

1 *Candida albicans* oropharyngeal infection is an exception to iron-based nutritional immunity

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3 Running title: Iron nutritional immunity in OPC

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25 **Abstract**

26 *Candida albicans* is a commensal of the human gastrointestinal tract and one of the most causes
27 of human fungal disease, including mucosal infections such as oropharyngeal candidiasis and
28 disseminated infections of the bloodstream and deep organs. We directly compared the in vivo
29 transcriptional profile of *C. albicans* during oral infection and disseminated infection of the kidney
30 to identify niche specific features. Although the expression of a set of environmentally responsive
31 genes were correlated in the two infection sites (Pearson R^2 , 0.6), XXX genes were differentially
32 expressed. Virulence associated genes such as hyphae-specific transcripts were expressed
33 similarly in the two sites. Genes expressed during growth in a poor carbon source (*ACS1* and
34 *PCK1*) were upregulated in oral tissue relative to kidney. Most strikingly, *C. albicans* in oral tissue
35 shows the transcriptional hallmarks of an iron-replete state while in the kidney it is in the expected
36 iron starved state. Interestingly, *C. albicans* expresses genes associated with a low zinc
37 environment in both niches. Consistent with these expression data, deletion of two transcription
38 factors that activate iron uptake genes (*SEF1*, *HAP5*) have no effect on virulence in a mouse
39 model of oral candidiasis. During microbial infection, the host sequesters iron and other metal
40 nutrients to suppress growth of the pathogen in a process called nutritional immunity. Our results
41 indicate that *C. albicans* is subject to iron and zinc nutritional immunity during disseminated
42 infection but is exempted from iron nutritional immunity during oral infection.

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48 **Importance**

49 Nutritional immunity is a response by which infected host tissue sequesters nutrients such as iron
50 to prevent the microbe from efficiently replicating. Microbial pathogens subjected to iron nutritional
51 immunity express specific genes to compensate for low iron availability. By comparing the gene
52 expression profiles of the common human fungal pathogen *Candida albicans* in two infection
53 sites, we found that *C. albicans* infecting the kidney was iron starved and, thus, subject to iron
54 nutritional immunity. In contrast, during oral infection, *C. albicans* is in an iron replete state and
55 thus excepted from iron nutritional immunity. Consistent with this model, transcription factors that
56 activate iron starvation responses are not required for *C. albicans* virulence during oral infection
57 but are required for disseminated infection of the kidney. Thus, our work provides a striking
58 exception to nutritional iron immunity that depends on the specific infection site of *C. albicans*.

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69 During microbial infection, mammalian hosts limit metal micronutrient availability to reduce
70 the fitness of the infecting organism. This strategy is referred to as nutritional immunity and is an
71 important feature of the immune response to a variety of microbial pathogens (1). For example,
72 the human fungal pathogen *Candida albicans* shows the transcriptional signature of iron
73 starvation during disseminated infection of the kidney (2, 3). Furthermore, strains lacking genes
74 required for survival in low iron environments are less virulent in mouse models of disseminated
75 infection (4). *C. albicans* is also a commensal of the human oral cavity and gastrointestinal tract.
76 In its commensal state within the gut, *C. albicans* appears relatively iron replete based on the
77 expression of iron-regulated transcription factor (TF) genes such as *SEF1* and *SUF1* and their
78 target genes (4). Thus, the commensal state of *C. albicans* is relatively iron replete while, after
79 dissemination to the kidney, it is iron-starved.

80 In addition to being a commensal of the oral cavity, *C. albicans* can also invade the local
81 submucosae to cause oropharyngeal candidiasis (OPC) in susceptible patients such as those
82 with reduced T-cell function, living with HIV/AIDS, or undergoing oral radiation therapy (5). The
83 oral submucosa is anatomically and physiologically distinct from target organs of disseminated
84 candidiasis such as kidney, liver and spleen (6). To characterize the effect of different infection
85 environments on the transcriptional profiles of *C. albicans*, we performed in vivo transcriptional
86 profiling with a set of environmentally responsive genes during infection of either mouse kidney
87 or tongue using standard models of disseminated (2) and oropharyngeal candidiasis (7),
88 respectively.

89 By regression analysis, *C. albicans* gene expression in the two infected tissues correlates
90 reasonably well (Fig. S1, R^2 0.61, Pearson r). At the gene level, however, 97 genes were
91 differentially expressed (OPC normalized to kidney; 30 genes downregulated and 67 upregulated;
92 ± 2 fold with FDR < 0.1 ; Benjamini-Yekutieli) as summarized in the volcano plot (Fig. 1A, See Table
93 S1 for raw data, data normalization and analysis). *C. albicans* is a dimorphic fungus that exists

94 primarily in the hyphal state when infecting either kidney or oral tissue (8). Virulence-associated,
95 hyphae-specific genes such as *ECE1*, *ALS3*, and *HWP1* are expressed comparably in the two
96 infection sites while *HYR1* and *SAP6* are expressed higher in oral tissue (Fig. 1B). Thus, the
97 expression profiles indicate that *C. albicans* is primarily in the invasive, hyphal morphology in both
98 infection sites. Interestingly, expression of the yeast phase specific gene *YWP1* (9) is
99 undetectable in the kidney but is expressed in the oral cavity. Although the expression of *YWP1*
100 is 30-fold lower than that observed under yeast phase growth in vitro (10), this indicates that the
101 yeast phase may be more prevalent in OPC. The pH of the infection environment can be inferred
102 from the relative expression of the *PHR1* (alkaline) and *PHR2* (acidic) (ref. 11); as shown in Fig.
103 1C, the *PHR1/PHR2* expression is skewed toward the alkaline-induced *PHR1* under both
104 conditions with the *PHR1/PHR2* ratio higher in oral infection (*PHR1/PHR2*: kidney 8.8; OPC 20.8)
105 indicating that the *C. albicans* experiences a neutral to alkaline environment in both infection sites.
106 Accordingly, Rim101, the TF that drives *PHR1* expression under alkaline conditions, is required
107 for full virulence in both infection models (12).

108 These transcriptional data also provide insights into the relative carbon and metal nutrient
109 status of *C. albicans* at the two infection sites. First, *PCK1* and *ACS1*, enzymes that mediate
110 gluconeogenesis (*PCK1*) and non-glucose-derived acetyl CoA synthesis (*ACS1*), are highly
111 expressed in oral tissue relative to the kidney (Fig. 1D). In vitro, *PCK1* (13) and *ACS1* (14) are
112 suppressed by glucose and induced by poor carbon carbon sources such as lactate or glycerol.
113 As such, *C. albicans* appears more dependent non-glucose carbon sources in oral tissue relative
114 to kidney tissue.

115 Second, zinc is a critical micronutrient that is subject to nutritional immunity and is
116 sequestered by the host in response to disseminated *C. albicans* infection (2), leading to
117 expression of genes indicative of zinc starvation (Fig. 2A). *C. albicans* infecting the oral cavity
118 expresses zinc-related genes at statistically similar levels to kidney. Third, genes induced during

119 iron starvation (4) are expressed at dramatically lower levels (up to 30-fold) in oral tissue relative
120 to kidney (Fig. 2B). Correspondingly, iron utilization genes that are induced in the iron replete
121 state are also expressed at much higher levels in oral tissue. Consistent with this transcriptional
122 signature (4, 15), TFs that regulate the expression of genes in response to iron-deficiency (*HAP2*
123 and *HAP3*) are repressed in oral tissue while the iron-replete expressed TF *SEF2* is induced in
124 oral tissue relative to kidney (Fig. 2C). These comparative expression data strongly support the
125 conclusion that oral tissue is an iron-replete *C. albicans* infection site.

126 If these transcriptional distinctions have pathobiological significance, then deletion of TFs
127 required for replication in low iron environments should have no effect on OPC virulence. To test
128 this hypothesis, we examined the virulence of strains lacking *SEF1* and *HAP5*, two TFs required
129 for in vitro growth of *C. albicans* on low iron media. The oral fungal burden of mice infected with
130 either the *sef1* $\Delta\Delta$ or *hap5* $\Delta\Delta$ mutants is not different than WT (Fig. 2D). Thus, low iron response
131 transcriptional regulators are dispensable for *C. albicans* virulence in OPC. These genetic results
132 are consistent with our transcriptional data and provide strong support for a model in which oral
133 tissue is an iron replete niche for *C. albicans*.

134 The relative expression of environmentally responsive genes during OPC and
135 disseminated kidney infection indicates that virulence-associated genes are expressed similarly
136 but that the two tissues represent significantly different environments with respect to both carbon
137 and metal nutrients. Most striking is the finding that *C. albicans* infection of the kidney appears to
138 induce iron nutritional immunity while that of the oral cavity does not. Specific physiological
139 features of the oral cavity and the immune response to *C. albicans* in oral tissue provide potential
140 explanations for the lack of an iron-based nutritional immune response in this niche. First, iron is
141 the second most abundant metal in saliva and a significant proportion of the total iron in saliva is
142 soluble (~30%), indicating there may be a substantial pool of available iron (16, 17). Second cells
143 of the oral mucosae lack divalent cation transporters present in other mucosal tissues and,

144 therefore, have no known mechanism to sequester iron (18). Third, IL-17, the critical mediator of
145 the innate immune response to *C. albicans* in oral tissue, induces the expression of lipocalin 24p3,
146 a protein that binds to, and inhibits, catecholate-class, bacterial iron siderophores (19). Although
147 lipocalin is induced by *C. albicans*, it does not express catecholate-siderophores and should not
148 be susceptible to this effector of iron nutritional immunity (20). Accordingly, deletion of lipocalin in
149 mice has no effect on OPC virulence (20). Thus, the environmental/physiological features of oral
150 tissue and the nature of the innate immune response to *C. albicans* in that niche appear to except
151 it from iron nutritional immunity. Taken together, these data also provide mechanistic support for
152 the concept that treatment with exogenous iron chelators may reduce *C. albicans* replication in
153 the oral cavity, an approach that has shown pre-clinical efficacy in mouse models (21).

154 Finally, this work highlights how variations in the local physiological environment of host
155 niches impact not only pathogen physiology but also the nature and/or efficacy of the host immune
156 response.

157 **Methods and materials**

158 **General methods and strains.** All *C. albicans* strains were in the SN background and have been
159 previously reported (22). The low iron growth phenotypes for the *sef1* $\Delta\Delta$ and *hap5* $\Delta\Delta$ mutants
160 were confirmed. Yeast strains were struck from frozen stocks and pre-cultured in yeast peptone
161 dextrose medium at 30°C prior to preparation of inoculum for infection.

162 **Oropharyngeal candidiasis model.** The immunosuppressed mouse model of OPC was
163 employed as previously described with some modification (7). Male ICR mice were injected
164 subcutaneously with cortisone acetate (300 mg/kg of body weight) on infection days: -1, 1, and
165 3. On the day of infection, the animals were sedated with ketamine and xylazine and a swab
166 saturated with *C. albicans* strain SN250, the *sef1* $\Delta\Delta$ mutant, or the *hap5* $\Delta\Delta$ mutant (10^6 cells per
167 ml) was placed sublingually for 75 min. On post-infection day 5, the mice were sacrificed and the

168 tongues were harvested. For fungal burden studies, the harvested tongues were homogenized
169 and plated for quantitative fungal burden (n =5 per strain). The log₁₀-transformed fungal burden
170 data for each experiment was analyzed by Student's t test to identify statistically significant
171 differences between individual strains ($P < 0.05$). For expression studies, mice were sacrificed
172 after 5 days of infection, and the tongues were harvested. Using a cell scraper, the *C. albicans*
173 was scrapped off the tongue and RNA was extracted from the collected cells according to the
174 manufacturer protocol (RiboPure RNA Purification Kit).

175 **Disseminated candidiasis model.** As previously described (23), 5-6 weeks old, female DBA2/N
176 mice (Envigo) were inoculated with 5×10^4 CFU of SN250 by lateral tail vein injection. After 48
177 hrs, mice were euthanized, kidneys harvested, and placed directly into ice-cold RNA Later
178 solution (n = 6). The kidneys were then flash frozen in liquid nitrogen and ground into a fine powder
179 with liquid nitrogen. The resulting tissue powder is mixed with the ice-cold Trizol. The samples
180 were placed on a rocker at room temperature (RT) for 15 min and further the cell debris were
181 removed by centrifuged the samples at 10K rpm at 4°C for 10 min. Cleared Trizol was collected
182 into a new 1.5 ml Eppendorf tube and 200 µl of RNase free chloroform was added. Tubes were
183 shaken vigorously for 15s and kept at RT for 5 min. Further the samples were centrifuged at 12K
184 rpm for 15 min at 4°C. The cleared aqueous layer then transferred to new 1.5 ml tube and RNA
185 was further extracted following the Qiagen RNeasy kit protocol.

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187 **Nanostring analysis.** As previously reported (23), RNA (40 ng for kidney sample and 3 µg tongue
188 sample) was added to a NanoString codeset mix (Table S1) and incubated at 65°C for 18 hours.
189 After hybridization reaction, samples were proceeded to nCounter prep station and samples were
190 scanned on an nCounter digital analyzer. NCounter .RCC files for each sample were imported
191 into nSolver software to evaluate the quality control metrics. Using the negative control probes

192 the background values were first assessed. The mean plus standard deviation of negative control
193 probes value was defined and used as a background threshold and this value is subtracted from
194 the raw counts. The background subtracted total raw RNA counts were normalized against the
195 highest total counts from the biological triplicates. The statistical significance of changes in counts
196 was determined by two-tailed Student's t test ($p < 0.05$) followed by correction for multiple
197 comparisons using the Benjamini-Yekutieli procedure and a false discovery rate or q value of 0.1.
198 The expression data are summarized in Table S1. Probes that were below background were set
199 to a value of 1 to allow statistical analysis. The raw counts, normalized counts, and statistical
200 analyses are also provided in Table S1. The data for the kidney infection model was previously
201 reported (23).

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204 **Author Contributions**

205 Conceptualization: Damian J. Krysan, Scott G. Filler

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281 **Figure Legends**

282 **Fig 1. The expression of environmentally responsive and virulence genes is distinct**
283 **during oral and disseminated kidney infection models. A.** The volcano plot shows log₁₀-fold
284 change of genes (OPC/kidney) with the false discovery rate q (Benjamini-Yekutieli) cut-off of 0.1
285 shown by the horizontal line on the plot. Genes that are induced in low Fe conditions are
286 highlighted in red. **B.** The normalized expression of hyphae-specific genes (*ALS3*, *ECE1*, *HWP1*,
287 and *SAP6*) and a yeast specific gene (*YWP1*) are shown in log₁₀ scale. *YWP1* was undetectable
288 in the kidney samples. Asterisk * indicates adjusted p value (q) < 0.1. **C.** alkaline-induced gene
289 *PHR1* is expressed at higher levels than the acid-induced *PHR2* in both oral and kidney tissue.
290 **E.** Genes indicative of glucose starvation, *ACS1* and *PCK1*, are induced in oral tissue relative to
291 kidney tissue.

292

293 **Fig. 2. *C. albicans* does not show characteristics of iron-starvation during oral infection.**
294 **A.** The expression of zinc-responsive genes is similar in oral and kidney tissue. **B.** Genes that
295 are induced during low iron growth (*CSA1*, *FRP1*, *FTR1*, *RBT5*) are expressed at much lower
296 levels in oral tissue compared to kidney while genes induced in iron-replete conditions are
297 expressed higher in oral tissue (*CAT1*, *CIT1*). Asterisk * indicates adjusted p value (q) < 0.1. **C.**
298 Low iron-induced transcription factors *HAP2* and *HAP3* are expressed higher during infection of
299 kidney tissue compared to oral tissue; *SEF2* is induced in iron replete conditions and is expressed
300 higher in oral tissue. The oral fungal burden (CFU/tongue) at day 5 post infection is shown for
301 two transcriptional regulators of the response to iron-starvation *SEF1* (**D**) and *HAP5* (**E**). The
302 fungal burden in mice infected with the two mutants did not differ from that of mice infected with
303 the WT reference strain (Student's t test, P> 0.05).

304

305 **Supplementary Figure and Table legends.**

306 **Fig. S1. Correlation between expression of environmentally responsive *C. albicans* genes**
307 **during oral and disseminated kidney infection.** The normalized expression of environmentally
308 responsive genes evaluated by nCounter correlate between *C. albicans* within oral tissue (OPC)
309 and kidney tissue with Pearson $R^2 = 0.61$.

310 **Table S1.** Expression of environmentally responsive *C. albicans* genes in kidney during
311 disseminated infection and tongue from oropharyngeal infection. The raw counts for kidney (six
312 replicates) and tongue (three replicates); normalized counts; mean; standard deviation; fold
313 change OPC relative to kidney; p value (Student t test); and adjusted p value (q) from Benjamini-
314 Yekutieli procedure are shown. Green are genes upregulated 2-fold and red are genes
315 downregulated 2-fold with false discovery rate (q) < 0.1.

Figure 1

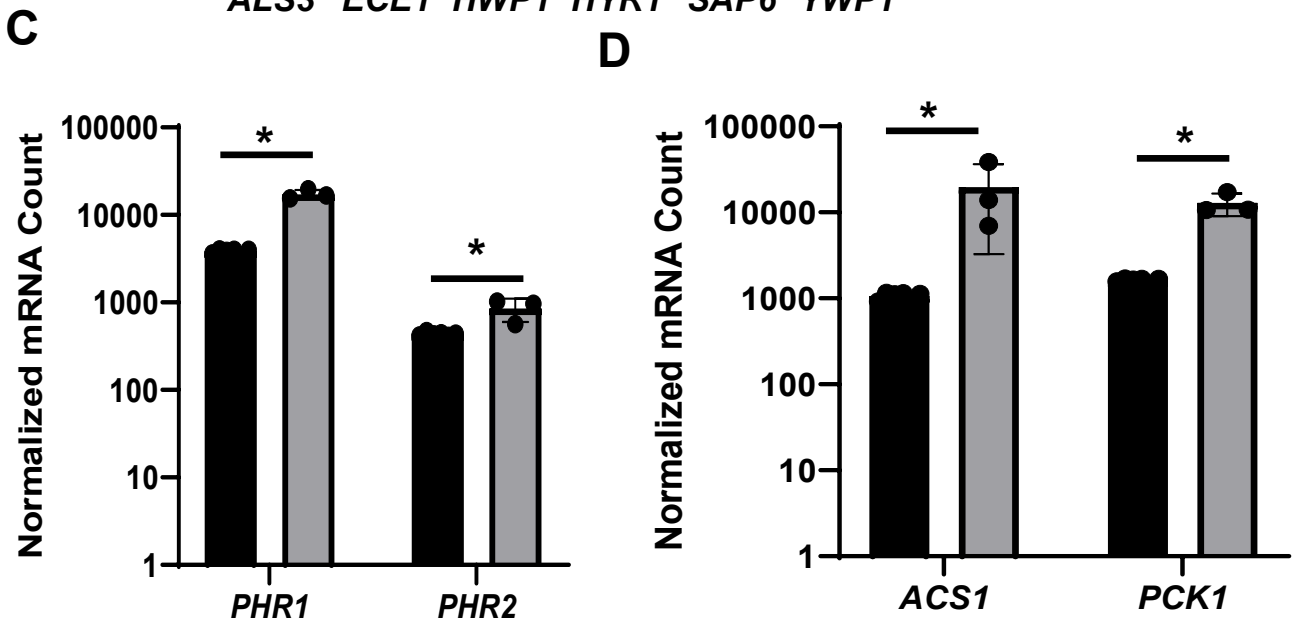
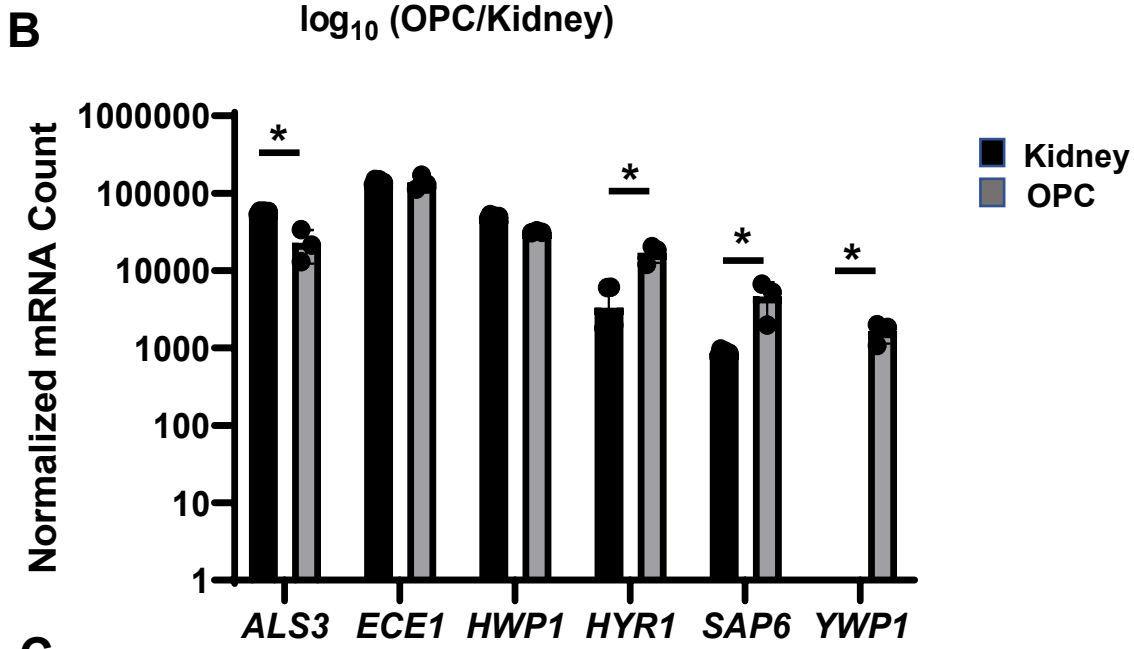
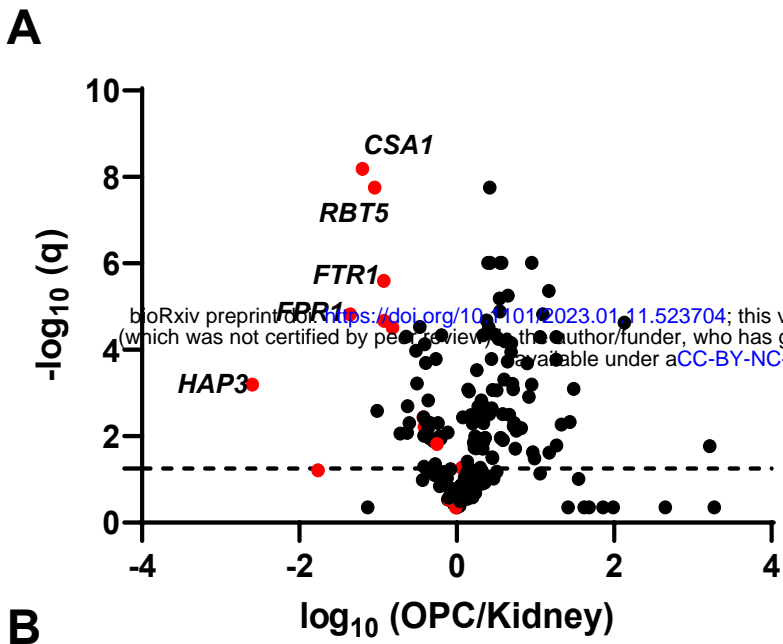
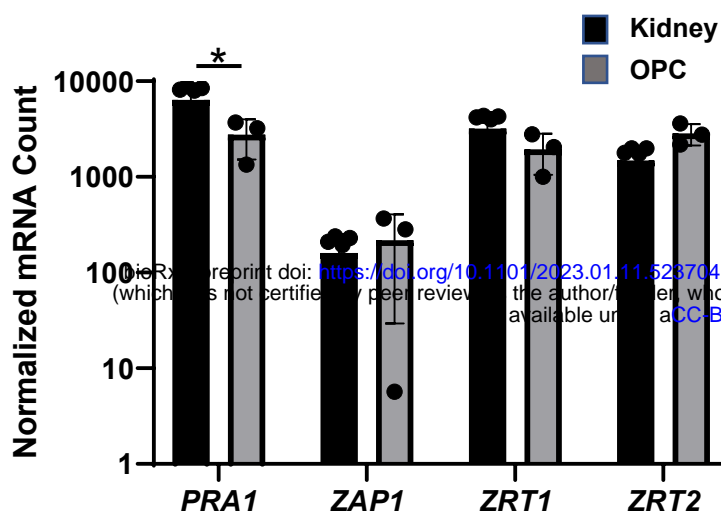
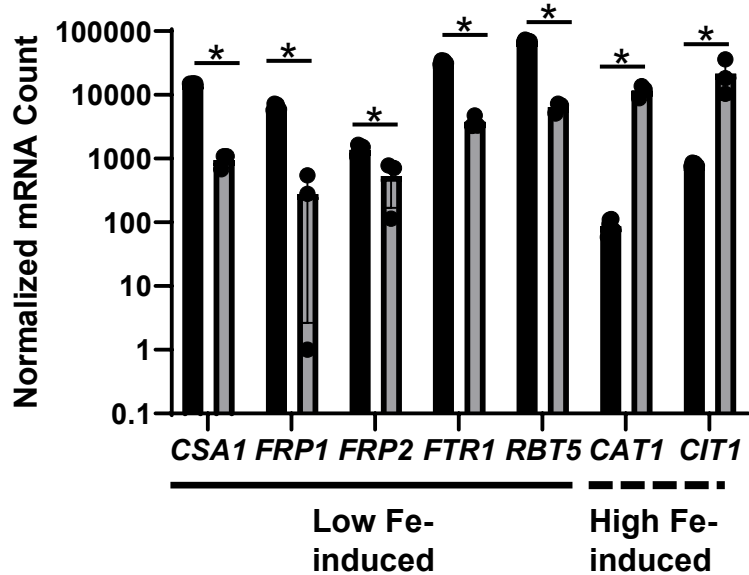


Figure 2

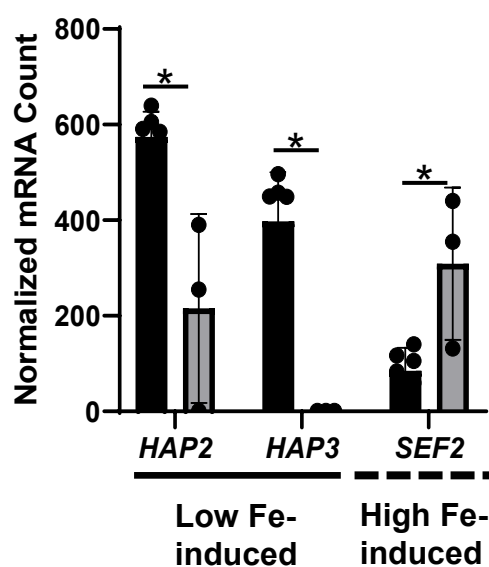
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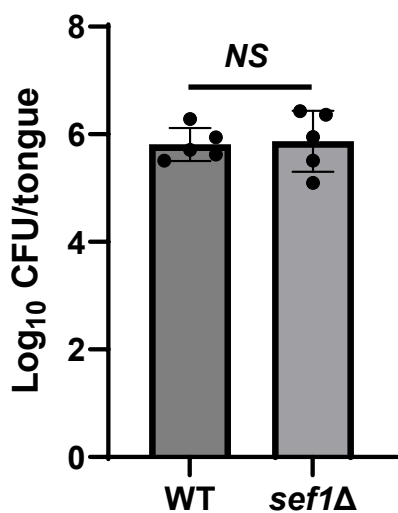
B



C



D



E

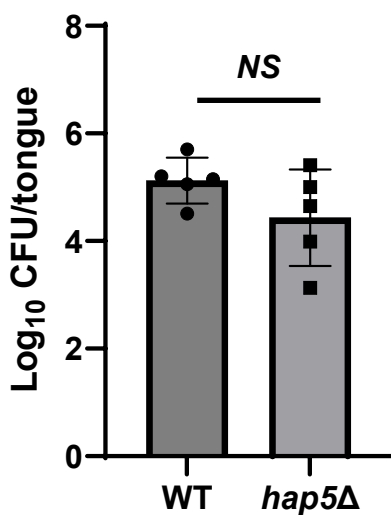
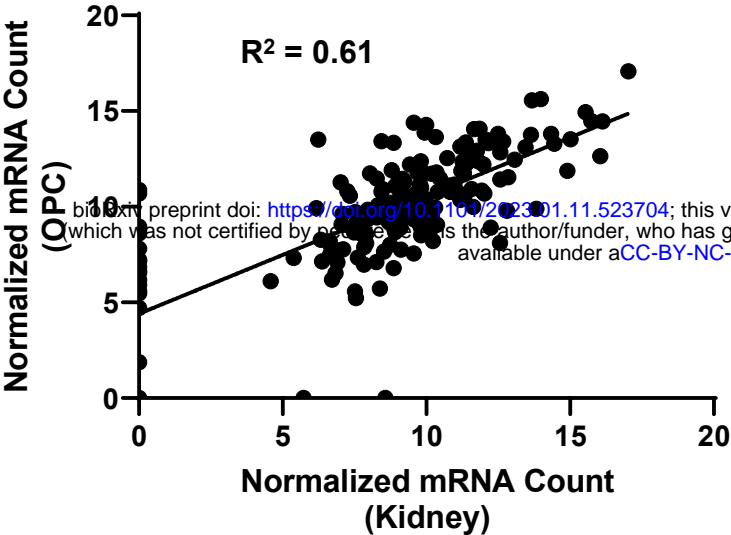


Figure S1



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