## Synthetic Methods

# [2]Catenane Synthesis via Covalent Templating 

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#### Abstract

After earlier unsuccessful attempts, this work reports the application of covalent templating for the synthesis of mechanically interlocked molecules (MiMs) bearing no supramolecular recognition sites. Two linear strands were covalently connected in a perpendicular fashion by a central ketal linkage. After subsequent attachment of the first strand to a template via temporary benzylic linkages, the second was linked to the template in a backfolding macrocyclization. The resulting pseudo[1]rotaxane structure was successfully converted to a [2]catenane via a second macrocyclization and cleavage of the ketal and temporary linkages.


Over the last forty years, the field of mechanically interlocked molecule (MiM) synthesis has been dominated fully by non-covalent templated approaches. ${ }^{[1-3]}$ Taking advantage of specific supramolecular interactions, such as metal templating, ${ }^{[4-6]} \pi-\pi$ stacking ${ }^{[7]}$ or hydrogen bonding, ${ }^{[8]}$ two or more molecular building blocks are preorganized into the desired topology, which is then fixed via covalent modifications. This results, in comparison to covalent approaches, in shorter and relatively higher yielding syntheses of MiMs, which explains the widespread application of these strategies in other fields, such as that of molecular machines. ${ }^{[9]}$ In addition, new non-covalent approaches are being developed, such as radical and halogen bonding templation. ${ }^{[10,11]}$ However, the structural motifs required for supramolecular recognition tend to shape a large portion of the resulting MiMs, as well as their properties.
The development of covalent templated approaches, where reversible covalent bonds are employed to enforce the desired topology, can help widen the structural diversity of MiMs and open up new avenues.
Although less common, several covalent templates have recently seen application in MiMs synthesis. Drawing inspiration

[^0]from passive metal templating, Godt et al. developed a carbonate template for the synthesis of [2]catenanes and polymeric catenanes. ${ }^{[12-14]}$ Höger et al. successfully modified its terephthalic ester macrocyclization template to obtain MiMs, and our group later investigated this method as well. ${ }^{[15-18]}$ Other functional groups used for templating include esters ${ }^{[19-22]}$ and imines. ${ }^{[23,24]}$

For this work however, we were specially inspired by Schill and colleagues, who, by the synthesis of a catenane, prepared a MiM for the first time and pioneered the field of covalently templated MiM synthesis. ${ }^{[25-27]}$ In one study (Scheme 1, route A), they took advantage of a directing ketal group to join a macrocycle (in red) to a properly functionalized linear thread (in blue) in a perpendicular fashion. ${ }^{[28]}$ The resulting intermediate can adopt two conformations, a prerotaxane-like one 1 , with the thread positioned within the ring, and a trivial one $\mathbf{1}^{\prime}$, which are in an equilibrium lying far to the left. Upon alkylation with bulky stopper groups, this equilibrium was frozen, and the two distinct compounds could be separated.
Acidic hydrolysis of the ketal moiety then gave a mixture of the separate ring and axle for the major intermediate and a [2]rotaxane for the very minor one ( $0.08-0.12 \%$ yield). Despite the poor yields, it was not only proven that the prerotaxane conformation is possible, but also that it can in principle lead to an interlocked species.

We recently devised a somewhat similar approach to MiMs termed "templated backfolding" (Scheme 1, route B). Starting from two linear strands joined together at the center by a ketal, a suitable template is connected via temporary linkages (dashed lines) to give 6. Next the first macrocycle is formed by linking the template to its opposing strand (in red) in a "backfolding" fashion. Thanks to the covalent temporary linkages, the unfavored pseudorotaxane conformation of 1 is the only one available to 7 .

From 7, a second macrocycle (in blue) is formed, and final cleavage of the temporary linkages and the ketal selectively results in a [2]catenane. The efficacy of the backfolding approach was proven by the synthesis of both a quasi[1]rotaxane and a quasi[1]catenane, featuring irreversible bonds between the axle and ring fragments. ${ }^{[29]}$ The next step was to make the bond to the central quaternary spiro-carbon reversible, by introducing a ketal group. With this purpose, target [2]catenane 10a (Scheme 2) was addressed.
By following the backfolding templated strategy as outlined in Scheme 1B and using the powerful Cu'-catalyzed azidealkyne cycloaddition (CuAAC) and ring-closing metathesis (RCM) as the key macrocyclization steps, precatenane 9a was
A:

B:


Scheme 1. Comparison of Schill's statistical [2]rotaxane synthesis (A) with our covalent template backfolding strategy (B).


9a: $X=C O ; Y=N H$
9b: $X=Y=\mathrm{CH}_{2}$

10a: $X=C O ; Y=N H$
10b: $X=Y=\mathrm{CH}_{2}$

Scheme 2. Planned ketal hydrolysis from precatenanes 9a and 9b.
successfully obtained. However, to our surprise but even more disappointment, all attempts at hydrolyzing the acid labile ketal group failed. ${ }^{[30]}$ Initially this was attributed to steric shielding within the very congested precatenane architecture. In order to gather experimental evidence of this, model compound 11 was synthesized, which closely matches the electronic environment of precatenane 9 a (Scheme 3). Treatment of ketal 11 with concentrated aqueous HCl in MeOH at room temperature showed even after 28 h only trace amounts of the hydrolysis products. Hydrolysis of ketal 11 could only be accomplished after stirring in concentrated HCl and MeOH at



11: $\mathrm{R}=\mathrm{CO}_{2} \mathrm{Me}$
12: $\mathrm{R}=\mathrm{CH}=\mathrm{CH}_{2}$
13: $\mathrm{R}=\mathrm{CO}_{2} \mathrm{Me}$ (28 h, traces)
14: $\mathrm{R}=\mathrm{CH}=\mathrm{CH}_{2}$ (4 h, quant.)

Scheme 3. Model study to assess reactivity of the central ketal linkage.
$50^{\circ} \mathrm{C}$ for several hours. This indicates that besides the catenane effect that clearly plays a role in the remarkable stability of ketal 9a, other factors play a role. In contrast, ketal 12, an early intermediate in synthesis of 9 a , could be hydrolyzed at room temperature in only 4 h under otherwise identical conditions. The positive influence of amide groups on the stability of nearby ketals has been reported before ${ }^{[31]}$ and this observation inspired us to pursue the synthesis of 10 b , an analog of catenane 10 a in which the amides have been replaced by ethylidene groups.

For this, ketone 17 was prepared first from known alcohol $15^{[32]}$ in three steps (Scheme 4). The alkene groups were converted to alkynes via a bromination-elimination protocol, which proceeds in good selectivity under anhydrous conditions.

This was followed by oxidation of the alcohol group by PCC. As already found in our previous studies, direct coupling of ketones such as 17 and (+)-dimethyl tartrate proceeds sluggishly. This could be overcome by transformation of the ketone to the dimethoxy ketal, followed by transketalization with (+)-dimethyl tartrate to give functionalized ketal 18 in $58 \%$ yield. Although more viable, this procedure suffers from incomplete conversion, likely due to the difficulties in completely removing water from the reactants, but nonetheless allows for recovery of ketone 17 in high yield ( $90 \%$ brsm). Assembly of the second macrocycle follows, by saponification of the methyl esters and coupling of the resulting diacid with amine 19, which also includes the temporary linkages. At this stage, transesterification of template 21 with the two phenolic groups in 20 affords the backfolding macrocyclization precursor 22 b . To initiate this key step using the CuAAC reaction, $22 \mathbf{b}$ was stirred at high dilution with $\mathrm{Cu}(\mathrm{MeCN})_{4} \mathrm{BF}_{4}$ as catalyst and TBTA as ligand. Refluxing in $\mathrm{CH}_{2} \mathrm{Cl}_{2}$ for 3 days gave cage compound 23 b in $24 \%$ yield. Compared to $23 \mathrm{a},{ }^{[30]} 23 \mathrm{~b}$ is formed in lower yield and showed a much simpler ${ }^{1} \mathrm{H}-\mathrm{NMR}$ spectrum, with only minor splitting of the signals corresponding to chemically equivalent protons. These surprising differences suggest the presence of substantial interactions between the macrocycle amides and the tartrate core in 23 a , resulting



1) $\mathrm{HC}(\mathrm{OMe})_{3}, \mathrm{CSA}$


2) $\mathrm{NaOH}, \mathrm{H}_{2} \mathrm{O}, \mathrm{THF}$, MeOH
3) HBTU, DiPEA, 19, DCM, 57\%



Scheme 4. Synthesis of precatenane $\mathbf{2 3 b}$, the common [2]catenane and [2]rotaxane precursor.
in rigidification of the macrocycle (in red) and slowing down conformational exchange.

With the ketal and two macrocycles in place, the two terminal alkenes are positioned such as to allow the second macrocyclization to give the precatenane skeleton. This is done via RCM, performed in $\mathrm{CH}_{2} \mathrm{Cl}_{2}$ at $40^{\circ} \mathrm{C}$ using Grubbs' $2^{\text {nd }}$ generation catalyst (Scheme 5 ). The resulting product, obtained in $57 \%$ yield as an inseparable mixture of $E$ and $Z$ isomers, is then converted to a single compound $24 \mathrm{~b}-\mathrm{H}_{2}$ after saturation of the double bonds by catalytic hydrogenation. To liberate the [2]catenane, first the temporary linkages were broken via solvolytic transesterification of the lactone groups, followed by protolytic cleavage of the benzylic tertiary amides using TFA in the presence of $\mathrm{Et}_{3} \mathrm{SiH}$ as cation scavenger. Finally, the ketal core was hydrolyzed under strongly acidic conditions, liberating [2]catenane 10 b in $60 \%$ yield.

To further assess the influence of the catenane effect on the stability of the ketal group in precatenanes 9 a and 9 b , we set out to synthesize their respective regular spiro topoisomers and subjected them to ketal hydrolysis conditions (Scheme 6).


Scheme 5. Synthesis of [2]catenane 10 b .

This was done by simply altering the order of the final steps that were used for the syntheses of precatenanes $9 \mathbf{a}$ and $9 \mathbf{b}$. Thus starting from prerotaxane 23 a , instead of first carrying out the RCM macrocyclization reaction, the sequence of reactions started by solvolysis of the temporary ester linkages in 23 a to give macrocycle $25 \mathrm{a}^{\prime}$ as an intermediate, followed by a concomitant spontaneous unwinding process, yielding 25 a. Subsequent subjection of $\mathbf{2 5}$ a to RCM, catalytic hydrogenation conditions and final protolytic removal of the benzylic appendages gave a compound with different spectral properties from precatenane 9 a , supporting the proposed regular spiro topology of 27 a thus obtained.
As shown in Figure 1, a comparison of the ${ }^{1} \mathrm{H}$-NMR spectra of 27 a and 9 a shows marked differences for several key peaks. These were assigned for 27 a based on integral and multiplicity, and their identity was confirmed by COSY and HSQC NMR (see supporting information). Most striking, is the splitting of the diastereotopic benzylic protons (d), which is significantly increased in precatenane 9 a. Similarly, the aliphatic signals between 1.5 and 3 ppm as well as the amide NH signals display much more complex patterns in 9 a . This is likely the result of slower conformational movements in the sterically more congested precatenane macrocycles. ${ }^{[33]}$ In addition, the aromatic template signal (c) is shifted downfield by 0.34 ppm , indicating additional shielding in 27 a due to ring-current effects arising from the triazole moieties. ${ }^{[34]}$ This interaction is reduced in 9a as the intra-annular fragment limits the ability of the ketone macrocycle (in red) to fold. These findings are consistent with observations made on similar inverted spiro architectures previously synthesized via our backfolding approach. ${ }^{[29,35]}$

Remarkably, solvolysis of the ester linkages in 23 b gave intermediate $\mathbf{2 5} \mathbf{b}^{\prime}$ as a stable compound at room temperature, which required extensive heating to unwind to the thermodynamically favored conformer $\mathbf{2 5 b}$. Molecular mechanics modeling of structures $\mathbf{2 5} \mathbf{b}^{\prime}$ and $\mathbf{2 5} \mathrm{a}^{\prime}$ suggest that the benzylic


Scheme 6. Formation of trivial rings 29 and 28 b.


Figure 1. Comparison of ${ }^{1} \mathrm{H}$-NMR spectra of trivial spiro bicycle 27 a (I) and precatenane $9 \mathbf{a}^{[30]}$ (II) in $\mathrm{CDCl}_{3}$. For proton assignments, see Scheme 6.
groups (in black) could hamper this process, as they barely fit in the macrocycle cavity according to space filling representations (see supporting information). However, so far we have no sound explanation for the exceptional differences in the kinetics of this step between $\mathbf{2 5} a^{\prime}$ and $\mathbf{2 5} \mathbf{b}^{\prime}$. From unwound macrocycle 25 b, the trivial spiro bismacrocycle $\mathbf{2 6 b}$ was obtained after RCM and catalytic hydrogenation.

Similarly to what was observed for precatenanes $9 \mathbf{a}$ and $9 \mathbf{b}$, the final hydrolysis of the linking ketals in the regular spiro compounds 26b and 27a gave a completely different outcome (Scheme 6). Starting from 26a, the benzylic groups could be selectively cleaved in TFA in the presence of $\mathrm{Et}_{3} \mathrm{SiH}$, giving 27 a, which failed to hydrolyze to the desired separate macrocycles even under forcing conditions (not shown). In stark contrast, 26b partially split into the separate macrocycles 29 and $\mathbf{2 8 b}$ already by TFA addition. This process was brought to completion by treatment with HCl in $\mathrm{MeOH} / \mathrm{H}_{2} \mathrm{O}$ at room temperature. The relatively mild conditions required underscore that the high stability of the ketal in precatenanes $9 \mathbf{a}, \mathbf{b}$ emerges to a great extent from the catenane effect. Due to the presence of the additional ketal stabilizing amide groups in 9 a , in this case ketal cleavage is completely blocked.

Analysis by ${ }^{1} \mathrm{H}-\mathrm{NMR}$ revealed marked differences between catenane 10 b and a $1: 1$ mixture of its separate and non-interlocked components $\mathbf{2 8 b}$ and 29, as shown in Figure 2. The benzylic protons ( $d$ ) appear equivalent in $\mathbf{2 8 b}$, and become diastereotopic in 10 b . In addition, the overall chemical shift of the aliphatic proton signals is significantly reduced. This points to increased shielding and is common for methylene chains entrapped within macrocycles bearing aromatic rings. ${ }^{[33,36,37]}$ However, the most striking difference is the downfield shift of 0.56 and 0.11 ppm , respectively, of the template aromatic (c) and methyl ester ( $g$ ) protons. As was observed for precatenane 9 a and trivial bicycle 27 a , this is probably due to the rigid conformation of the interlocked rings in [2]catenane 10 b preventing the macrocycles from collapsing. Furthermore, the NMR spectrum of catenane 10 b shows that the signals of both the terephthalate protons (c) and triazole protons (a) appear as double singlets (Figure 3). This points to the presence of two diastereomeric forms of catenane 10 b , likely resulting


Figure 2. Comparison of ${ }^{1} \mathrm{H}$-NMR spectra of catenane 10 b (II) with an equimolar mixture of trivial rings 28 b and $29(\mathrm{I})$ in $\left[\mathrm{D}_{6}\right]$ DMSO. For proton assignments, see Schemes 5 and 6.


Figure 3. Detail of the ${ }^{1} \mathrm{H}$-NMR spectra of catenane 10 b (II) and an equimolar mixture of trivial rings $\mathbf{2 8 b}$ and $\mathbf{2 9}(\mathrm{I})$ in $\left[\mathrm{D}_{6}\right]$ DMSO showing splitting of the aromatic peaks. The proposed structure of the two diastereoisomers of 10 b is shown (III).
from a combination of central chirality, emerging from the tartrate moiety, and planar chirality of the red macrocycle. The AB system displayed by the benzylic protons (d) suggests in fact that rotation of the $p$-cyclophane-type terephthalate moiety is hindered, resulting in two different conformations of the macrocycle, which are mirror images of each other (Figure 3, III).
Conversely, the non-interlocked macrocycle $\mathbf{2 8}$ b, which lacks the steric constraints of the mechanical bond, displays a sharp singlet signal for the benzylic (d) protons, indicating that the terephthalic moiety can rotate freely. So far, all attempts to separate the eventual diastereomers of 10 b by several symmetric and asymmetric HPLC methods failed.
In conclusion, despite the strong stabilizing catenane effect experienced by the pivotal ketal link, small structural changes allowed its hydrolysis liberating the mechanically interlocked [2]catenane. As a consequence, the covalent template backfolding approach was, for the first time, successfully employed in the synthesis of a mechanically interlocked molecule. Currently, we are focusing on reducing the footprint of the template and further improving the versatility of the temporary linkages to expand the structural diversity within the class of mechanically interlocked molecules.

## Acknowledgements

The authors would like to thank The Netherlands Organization for Scientific Research (NWO-CW, ECHO grant number 711.012.007 to J.v.M). E. Zuidinga and D. S. Tromp (University of Amsterdam) are acknowledged for mass spectrometry and NMR assistance.

## Conflict of interest

The authors declare no conflict of interest.

Keywords: catenanes • covalent template • mechanically interlocked molecules - planar chirality • template synthesis
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Manuscript received: November 12, 2020
Accepted manuscript online: November 17, 2020
Version of record online: January 14, 2021


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    Supporting information and the ORCID identification number(s) for the au-
    DD thor(s) of this article can be found under:
    https://doi.org/10.1002/chem. 202004925.
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