

High loss to follow-up of children on antiretroviral treatment in a primary care HIV clinic in Johannesburg, South Africa

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Abstract

Outcomes of HIV-infected children have improved dramatically over the past decade, but are undermined by patient loss to follow-up (LTFU). We assessed patterns of LTFU among HIV-infected children receiving antiretroviral treatment (ART) at a large inner-city HIV clinic in Johannesburg, South Africa between 2005 and 2014.

Demographic and clinical data were extracted from clinic records of children under 12 years. Differences between characteristics of children retained in care and LTFU were assessed using Wilcoxon rank sum tests or Pearson χ^2 tests. Cox proportional hazard models then identified characteristics associated with LTFU.

Of 135 children, the median age at ART initiation was 21.5 months (IQR: 6.3–47.7) with a median follow-up time of 3.3 years (IQR: 1.4–5.0). The incidence rate of LTFU was 10.8 per 100 person-years (95% CI: 8.2–14.4); cumulatively 36% of children were LTFU. Almost a third ($n=39$) of children missed a clinic visit, but then returned to care; 77% of these were eventually LTFU. In total, 18% of children had elevated viral loads after 6 or more months of ART. Older age at ART initiation (18–59 months: aHR 1.6, 95% CI: 3.9–14.2) and ever missing a clinic visit (aHR 7.4 95% CI: 3.9–14.2) were independent predictors of LTFU.

High rates of LTFU were observed in this primary care clinic. Risks for LTFU included older age (>18 months old) and missed clinic visits. Identifying children who miss scheduled visits and developing strategies directed at retaining them in care is critical to improving long-term pediatric HIV outcomes.

Abbreviations: aHR = adjusted hazard ratio, ART = antiretroviral therapy, CCA = cumulative clinic adherence, CD4 = T-lymphocyte cell bearing CD4 receptor, CI = confidence interval, EFV = efavirenz, HIV = human immunodeficiency virus, IeDEA-SA = International Epidemiologic Database to Evaluate AIDS- Southern Africa, IQR = interquartile range, LTFU = loss to follow-up, NVP = nevirapine, PI = protease inhibitor, WHO = World Health Organization.

Keywords: antiretroviral treatment, HIV-infected children, loss to follow-up, primary care clinic, South Africa

1. Introduction

The remarkable success in treatment of HIV-infected children has altered pediatric HIV from being a progressively fatal disease to a manageable chronic condition. Scale-up of early infant HIV diagnosis, coupled with increased access to pediatric HIV care and treatment across sub-Saharan Africa, where over 90% of children living with HIV reside,^[1] has improved survival and

reduced mortality in the past decade. Consequently, many perinatally HIV-infected children are surviving into adolescence and adulthood.^[2–4] In addition, an International Epidemiologic Database to Evaluate AIDS Southern Africa (IeDEA-SA) multi-cohort analysis showed that, compared with 2006, by 2010, children under 16 years had fewer markers of advanced clinical disease at the start of treatment, and had improved growth, lower levels of anaemia and higher baseline CD4 counts at antiretroviral therapy (ART) initiation.^[5]

Despite these notable achievements,^[5] challenges remain throughout the treatment cascade, particularly in maintaining viral suppression and retaining patients in long-term care.^[6–9] Opportunities to retain children within the cascade are missed at each step and the risk of mortality is especially high in the first 90 days following ART initiation.^[10–12] Several studies in sub-Saharan Africa describe high rates of loss to follow-up (LTFU) once children have entered care.^[6–9] In Kenya, the rate of LTFU among HIV-infected children was 14.2 per 100 children years post initiation, with being severely immunocompromised as the only risk factor for LTFU (adjusted hazard ratio (aHR): 2.2, 95% CI: 1.51–3.12).^[13,14] Leroy et al^[14] combined the pediatric IeDEA cohort data from Africa and Asia, and reported an overall LTFU rate of 12% after 18 months on ART and a cumulative mortality of about 6%, with the highest LTFU rate reported from West-Africa (16%). In this analysis, risk factors for LTFU included being under 1 year at ART initiation, advanced disease, not initiating a non-nucleoside reverse transcriptase inhibitor (NNRTI)-based

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regimen, and obtaining care from a public sector clinic.^[14] Similarly, a recent systematic review of 12 studies reporting retention rates among HIV-infected children found that LTFU ranged from 5% to 29% one year after ART initiation; with risks of attrition linked to younger age, more severe immunocompromise and a shorter period on ART. Of concern, among the children who were lost to follow-up, 27% had died.^[15]

Missed clinic visits are associated with poor ART outcomes in adults; these include poor CD4 count recovery, failure to suppress virologically, and increased mortality in the short and long terms.^[16,17] Few studies, however, have examined the rates and consequences of clinic nonattendance among children. Somewhat surprisingly, in contrast with adult studies, in Western Kenya, Nyandiko et al report that children with greater adherence to clinic visits, scored as cumulative clinic adherence (CCA) to visits, were at higher risk for mortality and LTFU at both 3 and 6 months post-ART initiation. However, by 24 months, higher CCA was associated with lower mortality and LTFU.^[18] This initially increased risk in mortality and LTFU was attributed to those children with more severe immune suppression, likely with late presentation, being given more frequent appointments and families being more adherent to these visits.^[18]

Achieving high rates of retention is central to the UNAIDS “90-90-90” targets,^[19] especially that 90% of HIV-infected people will receive sustained ART and 90% will have viral load suppression.^[20] In this study, we used routine clinic data to describe LTFU and identify high-risk groups among children receiving ART in inner-city Johannesburg, South Africa.

2. Methods

2.1. Study design, setting, and population

We conducted a retrospective record review of children on ART who attended a public sector primary care clinic between January 2005 and December 2014. The clinic is located in a densely populated urban setting in Johannesburg that is characterized by high levels of migrancy.^[21] It is operated by the South African Department of Health and supported by the Wits Reproductive Health and HIV Institute (Wits RHI), and provides free ART, primary care services, and psychosocial support to both adult and pediatric HIV patients. HIV-infected children who were ≤ 12 years at the time of their last visit and on either a standard first-line or second-line ART regimen were included in the analysis. Ethical clearance for this study was granted by the University of the Witwatersrand Human Research Ethics Committee. Approval for conducting the study was given by the Johannesburg Health District Research Committee.

2.2. Study variables and definitions

Patient data were extracted from medical records, from the visit at which ART was initiated until any of the following time-points: last clinic visit, LTFU or transfer out. Information on patient mortality among those LTFU had not been systematically collected. Data extracted included patient demographics, WHO clinical staging, absolute CD4 count, CD4 percentage, HIV plasma viral load, ART treatment history, and clinic visit history.

Children were initiated on a protease inhibitor (lopinavir/ritonavir (LPV/r)) regimen if < 3 years of age, or a NNRTI-based regimen, usually efavirenz, but occasionally nevirapine, if they were ≥ 3 years. The nucleoside reverse transcriptase inhibitors used before 2010 included stavudine and lamivudine, with stavudine

replaced with abacavir after 2010. Children were switched to a second-line ART regimen, when indicated, according to the national guidelines.^[22,23] A missed clinic visit was defined as nonattendance at the clinic > 30 days but < 90 days after the scheduled visit date, followed by a return to the clinic. Reasons for missed visits were not captured in most records.

Children with WHO stage 3 or 4 disease were considered to have advanced clinical disease. HIV viral load tests and CD4 counts were performed by the National Health Laboratory Service. HIV viral loads conducted post ART initiation were classified in 2 ways: virologically suppressed (< 400 copies/mL) or not (≥ 400 copies/mL); and ever had an elevated viral load (> 1000 copies/mL) or not (≤ 1000 copies/mL). Virological failure was defined as 2 or more consecutive viral loads > 1000 copies/mL. Immune suppression was defined according to the age of the child: children < 5 years with a CD4% $< 15\%$ and children ≥ 5 years with a CD4 absolute count of < 200 cells/ μ L were classified as severely immune suppressed; children < 5 years with a CD4% of 15% to 24% and children ≥ 5 years with a CD4 absolute count 200 to 499 cells/ μ L as moderately immune suppressed; and children < 5 years with a CD4% of $\geq 25\%$ and children ≥ 5 years with a CD4 absolute count of ≥ 500 cells/ μ L as immunologically normal.^[24] The primary outcome was LTFU, defined as no clinical contact ≥ 90 days after the date of a scheduled clinic visit or pharmacy refill.

2.3. Statistical analysis

Patient characteristics are presented overall and stratified by status at last clinic visit (retained in care or LTFU). All continuous variables were not normally distributed based on histograms and normal quantile plots, and thus were summarized using medians and compared using Wilcoxon rank-sum tests. Categorical variables, presented as proportions, were compared using the χ^2 test. Follow-up time was calculated as the person-time accrued from date of ART initiation to the earliest of date of last visit if LTFU, or date of last follow-up visit or transfer out before December 31, 2014.

Associations between patient characteristics and status at last visit were assessed using Cox proportional hazard models. Models included variables considered a priori to affect the probability of LTFU (i.e., age at ART initiation and ever missed a clinic visit) and all variables with $P \leq .2$ in univariate analyses. Backward elimination was used to select the most parsimonious model. Hazard proportionality was assessed by analysis of scaled Schoenfeld residuals. Kaplan–Meier survival curves showing the cumulative probability of LTFU were stratified by age group at ART initiation and ever missed a clinic visit. Survival curves were compared using log rank tests with follow-up time truncated at 5 years. Statistical analyses were performed using STATA (Statacorp, LP), version 13.1.

3. Results

3.1. Characteristics of cohort at ART initiation

Among the 135 HIV-infected children included in the study, 50.4% were female, and the median age at ART initiation was 21.5 months (Table 1). At ART initiation, 52 (54.2%) children were classified as WHO clinical stage 3 or 4, and 30.3% had severe immune suppression. The median CD4 percentage was 19.4% (IQR, 13.6–34.2) and 15 (19.5%) children had viral loads above 1 million copies/mL. Approximately half of the children,

Table 1**Demographic and clinical characteristics of HIV-infected children who initiated antiretroviral treatment, comparing children retained in care and those lost to follow-up (N=135).**

Characteristics		Overall cohort (N=135)	Retained in care (N=86)	LTFU (N=49)	P
At ART initiation	Females, n (%)	68 (50.4)	41 (47.7)	27 (55.1)	.80
	Age in months, median (IQR)	21.5 (6.3–47.7)	20.1 (5.2–48.1)	22.0 (7.5–47.4)	.85
	Age group, n (%)				
	<18 mo	61 (42.2)	40 (46.5)	21 (42.8)	
	18–59 mo	51 (37.8)	30 (34.9)	21 (42.8)	
	≥ 60 mo	23 (17.0)	16 (18.6)	7 (14.4)	.62
	WHO clinical stage III or IV, n (%)	52 (54.2)	36 (56.3)	16 (50.0)	.56
	CD4 percent in children <5 years, median (IQR)*	19.4 (13.0–30.7)	20.3 (13.5–34.7)	17.7 (14.0–24.0)	.17
	Absolute CD4 (cells/ μ L) in children \geq 5 y, median (IQR)*	834 (389–1383)	834 (408–1411)	824 (366–1260)	.63
	Severe immune suppression, n (%)	33 (30.3)	21 (29.2)	14 (32.4)	.36
During follow-up or at last visit	Plasma HIV viral load \geq 1 million copies/mL, n (%)	15 (19.5)	10 (19.6)	5 (19.2)	.97
	ART regimen, n (%)				
	PI based	73 (54.1)	43 (50.0)	30 (61.2)	
	EFV/NVP based	62 (45.9)	43 (50.0)	19 (36.7)	.21
	Age in years at last visit, median (IQR)	6.9 (3.9–8.9)	7.9 (3.9–8.7)	6.7 (4.1–9.2)	.77
	Median follow-up in years (IQR)	3.3 (1.4–5.0)	3.4 (1.8–5.0)	2.8 (0.5–4.9)	.05
	WHO clinical stage III/IV, n (%)	30 (37.9)	25 (45.5)	5 (20.8)	.04
	Severe immune suppression, n (%)	2 (2.2)	1 (1.5)	1 (3.6)	.64
	ART regimen at last visit, n (%)				
	PI-based	48 (37.2)	28 (33.7)	20 (43.5)	
EFV and NVP based	81 (62.8)	55 (66.3)	26 (56.5)	.27	
Regimen switch	4 (3.0)	3 (3.5)	1 (2.0)	.54	
Ever missed clinic visit since ART initiation, n (%)	39 (30.0)	9 (10.5)	30 (68.2)	<.001	
Ever had an elevated viral load (>1000 copies/mL) after ART initiation, n (%)	18 (18.4)	14 (20.3)	4 (13.8)	.44	

Denominators vary due to missing data, data are presented for patients with available data.

Wilcoxon rank sum testing used to compare continuous variables; Pearson χ^2 test used for categorical variables.

ART=antiretroviral treatment, EFV=efavirenz, IQR=interquartile range, LTFU=loss to follow-up, NVP=nevirapine, PI=protease inhibitor (lopinavir/ritonavir).

* 97 children <5 years and 14 \geq 5 years.

73 of 135 (54.1%) initiated ART on a LPV/r-based regimen, with the remainder initiating efavirenz- or nevirapine-based regimens.

3.2. Outcomes at last visit

By the last visit, the median age was 6.9 years (IQR, 3.9–8.9), the median follow-up time was 3.3 years (IQR, 1.4–5.0). The number of children with severe immune suppression at their last visit decreased to 2.2%. Four (3.0%) children changed to a second-line regimen. About 13% (15/114) of children did not have follow-up viral loads available in their clinic records. Of those with results, 4 (4.0%) experienced virological failure and 18 (18.4%) ever had an elevated viral load at any time post ART initiation (Table 1). Those who ever had an elevated viral load were more likely to have moderate immune suppression (odds ratio: 3.89, 95% CI: 1.55–6.24) at their last visit compared with those with viral load suppression.

Of the 135 children, 114 (84%) were in care for more than 6 months post-ART initiation. Over the whole follow-up period, the overall incidence rate of LTFU was 10.8 per 100 person-years (95% CI, 8.2–14.4); while 1-year retention was 88% (95% CI: 80–92) and 86 (64%) were retained in care at the clinic or transferred to another facility at last follow-up. Children who were retained in care did not have significantly different demographic and clinical characteristics at ART initiation compared with those LTFU (Table 1). Children who were LTFU were, however, more likely to have a better WHO clinical stage (1 or 2) compared with those who were retained in care (79.2% vs

54.5%, $P=.04$). Thirty percent of children had ever missed a clinic visit. Children who were LTFU were more likely to have ever missed a clinic visit than those retained in care (68.2% vs 10.5%, $P<.001$).

As shown in the Kaplan–Meier survival curves (Fig. 1), up until 1 year after ART initiation, all age groups appeared to have similar probabilities for LTFU, but from 1 year postinitiation onward, older children had a higher probability of LTFU (Fig. 1A). Four years after ART initiation, the cumulative probabilities of LTFU were 0.22, 0.36, and 0.48 among children <18 months, 18 to 59 months, and \geq 60 months old at ART initiation, respectively (log rank $P=.18$; Fig. 1A). The Kaplan–Meier cumulative probability for LTFU in those who missed a visit rose to 0.51 at 3-years postinitiation and increased further to 0.80 5-years post-ART initiation, compared to about 0.06 and 0.11, respectively, for children who had never missed a visit (log rank test $P<.001$; Fig. 1B).

A total of 94 (70%) children were included in the final multivariate cox proportional hazards model as the rest did not have data available for all the variables included. Compared with children <18 months old at ART initiation, older children aged 18 to 59 months (aHR: 5.2, 95% CI: 1.7–15.3) and those 60 months and older (aHR: 8.3, 95% CI: 1.6–41.9) were more likely to be LTFU post-ART initiation. Similarly, children who ever missed a clinic visit were more likely to be LTFU (aHR: 7.4, 95% CI: 3.9–14.2). Children who ever presented with an elevated viral load were less likely to be LTFU (aHR: 0.2, 95% CI: 0.04–0.8) (Table 2).

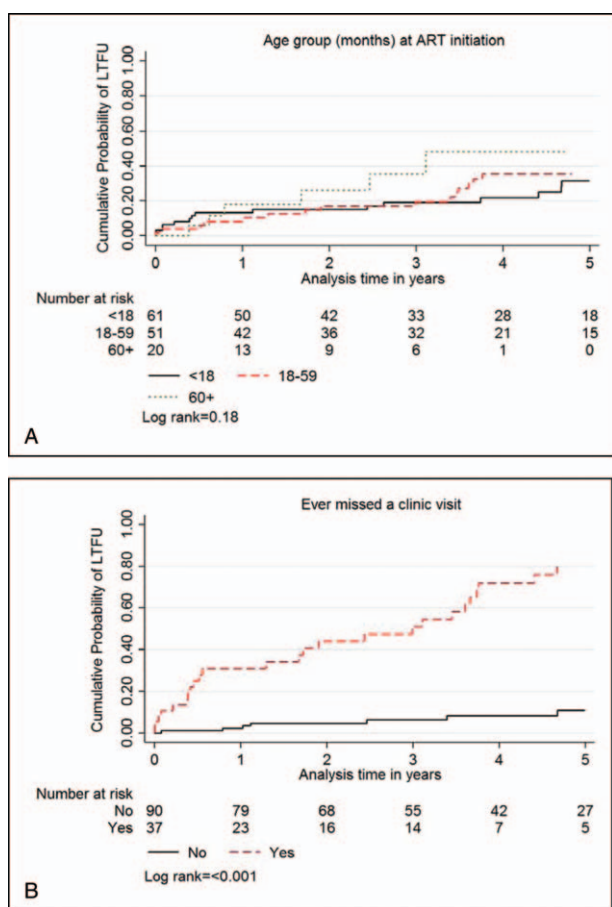


Figure 1. Probability of LTFU among children less than 12 years old on ART in a primary care HIV clinic stratified by (A) age group at ART initiation (months), (B) missed clinic visit. ART = antiretroviral therapy, LTFU = loss to follow-up.

4. Discussion

In this study, we found high rates of loss to follow-up among children receiving ART at an inner-city primary care clinic. Of note, children who were LTFU had less advanced disease (WHO stage 1 and 2) at ART initiation than other children, suggesting that mortality may have been an unlikely reason for LTFU. However, it remains sobering that the true outcome of the 36% of children who were LTFU is either unknown to the clinic or not recorded in the patients file and this requires further investigation. To our knowledge, there are no studies specifically investigating outcomes and the reasons for LTFU in children.

Interestingly, in contrast to other studies, older age at ART initiation was associated with LTFU. There are a number of possible explanations for this. A number of studies show high mortality and morbidity associated with HIV-infection in young children, particularly in those under 2 years of age both pre-ART initiation and in the first months of ART initiation.^[25-27] Young children have always been initiated on ART at earlier clinical and immunological cutoffs and since 2010, all children under 2 years, and since 2013, all children under 5 years, have been initiated on ART regardless of clinical or immunological status.^[24,28] For this reason, young children are frequently more carefully followed up than their older counterparts. Nyandiko et al^[18] from Western Kenya report higher LTFU and mortality in children with greater

adherence to clinic visits in the first 6 months after initiation, most likely because these children were at greater risk for morbidity and mortality and thus were monitored more closely. In our study, children who were LTFU were more likely to have WHO stage 1 or 2 disease, suggesting that in relatively well, older children retention in care is problematic. Less intensive services may be provided for asymptomatic older children as they are at lower risk of opportunistic infection such as TB and may be in better clinical health.^[29] This sense of urgency regarding younger children is likely also perceived by caregivers, resulting in improved compliance with clinic visits and perhaps medication adherence. As children get older and enter the school system, it may be more difficult to comply with clinic visits. We also found that missed clinic visits were associated with LTFU, which highlights that in routine programmes, it is not sufficient to focus only on aspects of HIV care, such as elevated viral loads and virological failure, but that ongoing intensive efforts are required to retain children in care. More intensive enquiry into reasons for missed visits and interventions to reduce these and link children back into care coupled with step-up adherence counselling is necessary.^[30,31] These interventions are challenging to implement in already over-burdened primary care settings and this is a potential pitfall of decentralized care. Of interest, we found that having an increased viral load was protective of LTFU, which could be secondary to more frequent clinic visits and increased intensity of adherence counselling in children who had increased viral load. It is also possible that this is the result of bias, with children retained in care being more likely to have an increased viral load at some point in their disease course than those with shorter durations of care.

Children form a relatively small percentage of the overall HIV burden, but are the most vulnerable in terms of morbidity and mortality.^[32,33] Decentralization of pediatric HIV care with ART initiation in nurse-managed rather than specialist physician-led care, has resulted in good treatment outcomes, including high rates of retention in care. In a prospective cohort study from Zimbabwe, only 4% of children aged 6 to 15 years were LTFU 18 months post ART initiation, although only 64% of children were virally suppressed.^[34] Attention is needed to ensure that, in the long run, the care provided by decentralized services is not hampered by inadequate clinical and psychosocial support for patients in these often under resourced, high volume, primary care settings.^[35,36] Innovative linking and tracing programmes need to be developed and scaled up to optimize retention throughout the treatment cascade.^[37-39] Children are dependent on an adult caregiver to access care and to administer medications, and complex social and health-related issues including poor health or loss of the caregiver, financial constraints, issues of stigma, and disclosure are likely to contribute to missed visits and LTFU.^[40,41] Interventions to improve long-term pediatric retention in HIV care may include aligning the follow-up visits of children and their caregivers, and improved psychosocial support for older children and their caregivers.^[42,43] Community health care workers hold great promise in all aspects of HIV-related and HIV-unrelated health care and indeed form part of the facility-based tracing teams in South Africa's primary health care re-engineering programme.^[44,45] Ahmed et al^[39] demonstrated a 6-fold increase in the number of HIV-infected children linked into care using trained community health care workers in Malawi. Adherence programmes for caregivers of children and for older children themselves, such as adherence clubs or family clubs, require

Table 2**Unadjusted and adjusted hazard ratios of being lost to follow-up among children receiving antiretroviral treatment at a primary care HIV clinic.**

Characteristics	Unadjusted hazard ratio (95% CI)	P	Adjusted hazard ratio (95% CI) (N = 94)	P
Female	1		—	—
Male	1.0 (0.6–1.9)	.87		
Age group at ART initiation				
<18 mo	1		1	
18–59 mo	1.5 (0.8–2.9)	.18	5.16 (1.7–15.3)	.003
≥60 months	2.0 (1.1–8.2)	.10	8.3 (1.6–41.9)	.010
WHO stage at ART initiation				
I/II	1		—	—
III/IV	0.6 (0.3–1.2)	.14		
Immune suppression at ART initiation				
Normal	1		—	—
Moderate	1.1 (0.5–2.6)	.81		
Severe	0.9 (0.4–2.4)	.91		
Plasma viral load at ART initiation in copies/mL				
<10,000	1		—	—
10,000–<100,000	0.4 (0.1–1.7)	.20		
≥100,000	0.92 (0.3–2.7)	.91		
ART regimen at initiation				
EFV and NVP-based	1		—	—
PI-based	1.8 (1.1–3.2)	.04		
Ever missed clinic visit since ART initiation				
No	1		1	.001
Yes	7.2 (3.8–13.80)	<.01	11.0 (4.4–28.1)	
Ever had elevated viral load (>1000 copies/mL) after ART initiation				
No	1		1	
Yes	0.4 (0.1–1.4)	.15	0.2 (0.04–0.8)	.022
Regimen switch				
No	1		—	—
Yes	0.2 (0.1–1.4)	.09		
Age at last visit				
< 5 y	1		—	—
≥ 5 y	0.33 (0.16–0.69)	.01		
WHO clinical stage at last visit				
I/II	1		—	—
III/IV	0.32 (0.11–0.94)	.04		

ART = antiretroviral treatment, EFV = efavirenz, NVP = nevirapine, PI = protease inhibitor (lopinavir/ritonavir).

further exploration.^[43] Furthermore, clinics should consider offering tailored services for families and children attending school, such as after hours and weekend clinical services. Implementation and scale-up of practices which address barriers to retention (including caregiver, health care system, and institutional barriers) is important as, although fewer children are vertically HIV-infected as a result of successful PMTCT interventions, early initiation with increased survival will still result in substantial numbers of children requiring lifelong ART.^[46]

Our study had several limitations. The study's retrospective design and reliance on medical records collected primarily for patient care rather than for research, led to missing data and possible information bias. In particular, anthropometric measurements and other clinical data had not been systematically recorded and there was no data on caregiver characteristics, which are likely to influence retention. Any attempts made to trace children who were LTFU and the outcomes of these attempts, including any deaths, were also not recorded. While our findings may be relevant to pediatric care in similar settings, larger studies are needed to more fully investigate challenges with decentralized care and the reasons for poor engagement of HIV-

infected children in these services. Similarly, more comprehensive and multidisciplinary approaches are required to identify the factors affecting the adherence to ART, especially in children above 18 months.

5. Conclusion

This retrospective review of a routine pediatric HIV clinic found that a high percentage of children were LTFU after ART initiation. One of the main predictors for LTFU was ever having missed a clinic visit; where 77% of children who had ever missed a visit were eventually lost to follow-up. Older children were also more likely to be LTFU, likely highlighting adherence issues once children enter the school system; these children require a more innovative approach to retention in care. These findings should prompt interventions to raise adherence, but also discussion with caregivers around the barriers to clinic attendance and potential solutions thereof. At a programme level, more dynamic, comprehensive, innovative, and multidisciplinary approaches could help retain HIV-infected children and adolescents in care and these require evaluation and then scale-up if successful.

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