

# Multicenter Validation Study of a Prognostic Index for Portal Vein Tumor Thrombosis in Hepatocellular Carcinoma

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## Purpose

We previously reported on a staging system and prognostic index (PITH) for portal vein tumor thrombosis (PVTT) in hepatocellular carcinoma (HCC) patients treated with radiotherapy (RT) at a single institution. The aim of this study is to validate the PITH staging system using data from patients at other institutions and to compare it with other published staging systems.

## Materials and Methods

A total of 994 HCC patients with PVTT who were treated with RT between 1998 and 2011 by the Korean Radiation Oncology Group were analyzed retrospectively. All patients were staged using the Cancer of the Liver Italian Program (CLIP), Japanese Integrated Staging (JIS), Okuda, and PITH staging systems, and survival data were analyzed. The likelihood ratio, Akaike information criteria (AIC), time-dependent receiver operating characteristics, and prediction error curve analysis were used to determine discriminatory ability for comparison of staging systems.

## Results

The median survival was 9.2 months. Compared with the other staging systems, the PITH score gave the highest values for likelihood ratio and lowest AIC values, demonstrating that PITH may be a better prognostic model. Although the values were not significant and differences were not exceptional, the PITH score showed slightly better performance with respect to time-dependent area under curve and integrated Brier score of prediction error curve.

## Conclusion

The PITH staging system was validated in this multicenter retrospective study and showed better stratification ability in HCC patients with PVTT than other systems.

## Key words

Hepatocellular carcinoma, Portal vein, Radiotherapy, Multicenter study, Validation

## Introduction

Portal vein tumor thrombosis (PVTT), a common complication of hepatocellular carcinoma (HCC) with a reported incidence of 34% to 50% in patients with advanced HCC, is one of the most negative prognostic factors [1,2]. However, survival duration in patients with PVTT is very heterogeneous, depending on other clinical characteristics and/or hepatic function [2,3].

Several staging systems have already been used in determination of prognosis and identification of optimal treatment modalities for HCC patients [1,4-7]. However, HCC patients with PVTT cannot be stratified using these systems [8-10]. More precise stratification would be helpful in identification of patients who might benefit from specific treatments.

To address this shortcoming, in a previous study we proposed a prognostic index for PVTT in patients with HCC (PITH) who were treated with radiotherapy (RT) [11]. However, it was a retrospective single-institution study, which was inherently influenced by selection bias. Therefore, the PITH staging system is currently not considered reliable enough for direct application in clinical situations. The purpose of the current study is to validate the PITH staging system and compare it with other known systems in order to determine which system enables the most accurate prediction of survival through a retrospective analysis of patients from the Korean Radiation Oncology Group (KROG).

## Materials and Methods

### 1. PITH staging system

In our previous study we proposed the PITH staging system based on the clinical outcomes of 281 patients with combined HCC and main and/or first branch PVTT who were treated with RT at Samsung Medical Center [11]. This system is based on seven pretreatment factors: Eastern Cooperative Oncology Group (ECOG) performance status, Child-Pugh classification, tumor size, tumor multiplicity, site of PVTT involvement, degree of occlusion of portal flow, and the presence of lymph node metastasis. Of those factors, degree of occlusion of portal flow was excluded in the current study due to diagnostic obscurity and differences among institutional imaging work-up protocols. We used the remaining six pretreatment factors in validation of the PITH staging system. This study was approved and exempted

from permission by the Institutional Review Board of Samsung Medical Center, Sungkyunkwan University School of Medicine (IRB no. 2011-10-057).

### 2. Eligibility criteria and data collection

To validate the PITH staging system we enrolled patients with HCC combined with main and/or first branch PVTT who were treated with RT from January 1998 to October 2011 at 10 Korean institutions of the KROG.

Because other local treatment modalities can be considered when PVTT is confined to segmental branches (i.e., portal vein branches other than the main or first branch), we excluded those patients in order to maintain homogeneity of the study.

The diagnosis of HCC was based on histology of tumor tissue or reliable clinical criteria, largely in accordance with guidelines proposed by the Korean Liver Cancer Study Group (KLCSG), as follows: liver nodules in a high-risk group (association with liver cirrhosis or viral hepatitis) with one typical dynamic imaging finding and a trend of increasing elevated serum  $\alpha$ -fetoprotein (AFP) level above 400 ng/mL, or two typical dynamic imaging findings without AFP increase. After 2009, the cutoff value of AFP was lowered to 200 ng/mL.

PVTT was identified using helical computed tomography (CT) scans with contrast enhancement, magnetic resonance imaging scans, or angiography. On contrast-enhanced CT scans, PVTT was identified by the presence of a low-attenuation intraluminal filling defect adjacent to the primary tumor with contrast enhancement. Thrombi located in the bilateral first branches and the main trunk were categorized as main PVTT. Tumor size was defined as the length of the longest diameter of the primary tumor with PVTT.

CT simulation was used in planning RT. The RT protocol, which included fractionated 3D conformal radiation therapy (3D-CRT; 1.8 Gy or 2.0 Gy/fraction), hypofractionated RT (2.0 to 5.0 Gy/fraction), or stereotactic body RT (SBRT, more than 5.0 Gy/fraction with fewer than five fractions) was not a criterion for eligibility for evaluation by the PITH system provided that the other eligibility criteria of this study were met. Recurrence and type of treatment before and after RT were also not considered in determining eligibility.

Data from 1,050 patients were gathered from the 10 institutions of the KROG. Among these, 56 patients could not be analyzed due to missing data that were requisite for this study (24 patients due to inaccessibility of RT history and 32 patients due to lack of information on performance status). Consequently, 994 patients were enrolled in this study.

### 3. Comparison of staging systems

All patients were categorized according to the Cancer of the Liver Italian Program (CLIP), Japanese Integrated Staging (JIS), Okuda, and PITH staging systems based on their clinical and laboratory characteristics before RT. Because all patients enrolled in this study were stage C according to the Barcelona Clinic Liver Cancer (BCLC) staging system, we did not compare the BCLC with the PITH system.

### 4. Assessment of RT response and statistical analysis

PVTT response after RT was determined using serial CT scans 4 to 12 weeks after completion of treatment, and the modified Response Evaluation Criteria in Solid Tumors (mRECIST) were used for measurement of response [12].

Patient overall survival (OS) was the single end point used to assess the performance of the different staging systems. OS was measured from the date of the start of RT to the date of death or the last follow-up visit. OS data were plotted using the Kaplan-Meier method and compared using the log-rank test. Homogeneity of the staging systems (i.e., a small difference in survival among patients in the same classification within each system) was determined by the likelihood ratio and Akaike information criterion (AIC) was also used to evaluate the discriminatory ability of the given models by the Cox proportional hazards model, which was tested using the Schoenfeld residuals method [13]. Finally, time-dependent receiver operating characteristics (ROC) curve estimation and prediction error curve analysis were used to evaluate the discriminatory and stratification abilities in the different staging systems [14,15]. A staging system is considered to have a better performance if there is a higher likelihood ratio and time-dependent area under curve (AUC), and a lower AIC. Low integrated Brier score (IBS), which is the area under the curve of the prediction error curve analysis, is regarded as a prerequisite for a good staging system. All analyses were performed using PASW ver. 18.0 (SPSS Inc., Chicago, IL) except for time-dependent ROC curve estimation and prediction error curve analysis, which were performed using R statistical package 1.0.4.

## Results

### 1. Patient characteristics

Table 1 summarizes the characteristics of the 994 patients

**Table 1.** Characteristics of 994 patients from the Korean Radiation Oncology Study Group

	No. of patients (%)
Gender	
Male	884 (88.9)
Female	110 (11.1)
Age (yr)	
< 55	523 (52.6)
≥ 55	471 (47.4)
ECOG performance status	
0-1	892 (89.7)
2	102 (10.3)
Cause of hepatitis	
HBV	820 (82.5)
HCV	64 (6.4)
Alcohol	50 (5.0)
Others	60 (6.0)
Child-Pugh class	
A	684 (68.8)
B-C	310 (31.2)
α-Fetoprotein (ng/mL)	
< 400	467 (47.0)
≥ 400	527 (53.0)
Size (cm)	
< 10	538 (54.1)
≥ 10	456 (45.9)
Multiplicity	
Solitary	389 (39.1)
Multiple	605 (60.9)
T category	
2	7 (0.7)
3	386 (38.8)
4	601 (60.4)
N category	
0	891 (89.6)
1	103 (10.4)
Stage (mUICC)	
II	7 (0.7)
III	332 (33.4)
IVA	551 (55.4)
IVB	104 (10.5)
Main PVTT	
Involve	497 (50.0)
Not involve	497 (50.0)
RT target	
PVTT only	427 (43.0)
Primary+PVTT	476 (47.9)
Not reported	91 (9.1)

ECOG, Eastern Cooperative Oncology Group; HBV, hepatitis B virus; HCV, hepatitis C virus; mUICC, modified International Union Against Cancer; PVTT, portal vein tumor thrombosis; RT, radiation therapy.

from the 10 institutional databases who were used for external validation. The median age of the patients was 54 years (range, 23 to 84 years). The male:female ratio was 8:1. There were 102 patients (10.3%) with an ECOG performance status of 2 or higher. Main PVTT was observed in 50.0% of the patients, and the primary tumor and PVTT were irradiated simultaneously in 476 patients (47.9%).

## 2. Treatment before RT

Of the 994 patients, one or more treatments were administered in 822 patients (82.7%). The distribution of specific treatment modalities administered prior to RT was as follows (patients who received two or more treatment modalities were counted separately for each one): surgical resection (n=45, 4.5%), radiofrequency ablation (RFA; n=54, 5.4%), percutaneous ethanol injection (n=25, 2.5%), transcatheter arterial embolization or chemoembolization (TAE or TACE;

n=713, 71.7%), transcatheter arterial chemoinfusion (TACI; n=67, 6.7%), chemotherapy (n=27, 2.7%). Six patients (0.6%) had undergone previous RT.

## 3. Radiotherapy

The primary gross tumor and PVTT were simultaneously irradiated in 476 patients (47.9%), and only PVTT was targeted in 427 patients (43.0%) because of their hepatic and tumor condition (e.g., large tumor [more than two-thirds of the liver] with severe liver cirrhosis, Child-Pugh class C, large tumor with main PVTT in both lobes of the liver, numerous intrahepatic metastases). Target volume definition was not clarified in the remaining 91 patients (9.2%).

A median daily dose of 2.5 Gy (range 1.8 to 17.0 Gy) was administered, yielding a total dose of 45.0 Gy (range 7.2 to 66.0 Gy), which translates to a biologic effective dose of 56.25 Gy<sub>10</sub> (8.5 to 137.7 Gy<sub>10</sub> as the  $\alpha/\beta=10$ ).

**Table 2.** Distribution and survival of the 994 validation patients as determined by Okuda, CLIP, JIS, and PITH scores

Staging system	Score	No. (%)	Median survival (mo)	Overall survival (%)			
				6 mo	12 mo	18 mo	24 mo
Okuda	0	391 (39.3)	12.1	78.0	50.2	32.7	26.3
	1	377 (33.9)	8.4	61.6	35.2	20.8	12.8
	2	168 (16.9)	5.9	48.2	27.4	17.1	14.0
	3	54 (5.4)	5.5	47.8	26.3	13.2	6.6
	4	4 (0.4)	12.2	66.7	66.7	33.3	0.0
CLIP	1	110 (11.1)	15.0	85.4	60.2	39.0	30.1
	2	238 (23.9)	12.9	82.1	52.7	36.2	30.9
	3	250 (25.2)	8.2	61.2	35.6	22.3	14.4
	4	280 (28.2)	6.7	55.3	31.2	17.0	10.0
	5	109 (11.0)	5.5	41.6	19.9	9.0	7.0
	6	5 (0.5)	2.0	50.0	25.0	25.0	0.0
JIS	1	5 (0.5)	57.2	100.0	80.0	53.3	53.3
	2	255 (25.7)	14.1	80.7	56.7	38.4	28.8
	3	518 (52.1)	9.0	66.1	37.0	21.6	15.8
	4	208 (20.9)	5.2	42.2	23.3	14.3	9.0
	5	8 (0.8)	3.7	42.9	14.3	14.3	0.0
PITH	0	112 (11.3)	17.2	81.6	69.1	48.5	36.9
	1	239 (24.0)	11.7	79.2	48.9	33.8	27.3
	2	293 (29.5)	8.8	66.3	36.1	20.7	15.5
	3	195 (19.6)	6.7	54.3	28.6	14.0	7.9
	4	114 (11.5)	5.4	44.7	25.3	14.6	6.8
	5	36 (3.6)	4.4	28.5	19.0	7.9	7.9
	6	5 (0.5)	5.1	0.0	0.0	0.0	0.0

CLIP, Cancer of the Liver Italian Program; JIS, Japanese Integrated System; PITH, prognostic index of the portal vein tumor thrombosis in hepatocellular carcinoma.

**Table 3.** Comparison of staging systems with respect to likelihood ratio, Akaike information criteria (AIC), time-dependent area under curve (AUC), and integrated Brier score (IBS)

Staging system	Likelihood ratio	AIC	AUC	IBS
Okuda	8.073	10,058.740	0.557	0.084
CLIP	16.193	10,052.621	0.590	0.083
JIS	10.394	10,056.419	0.560	0.084
PITH	28.812	10,042.002	0.593	0.082

CLIP, Cancer of the Liver Italian Program; JIS, Japanese Integrated System; PITH, prognostic index of the portal vein tumor thrombosis in hepatocellular carcinoma.

Conventional fractionated 3D-CRT (1.8-2.0 Gy/fraction) was used in 392 patients (39.4%). Hypofractionated RT (2.22-5.0 Gy/fraction) was the most common dosing scheme (n=581, 58.5%). SBRT (more than 5.0 Gy/fraction with fewer than five fractions) was administered to 21 patients (2.1%). Chemotherapy was administered concomitantly with RT in 110 patients (intra-arterial in 75, sorafenib in 21, systemic in 4).

#### 4. Treatment after RT

After RT, among patients who received two or more treatment modalities, 49 patients (4.9%) received other local treatments (such as surgery, RFA, percutaneous ethanol injection therapy) and TAE/TACE/TACI were performed on 534 patients (53.7%). Chemotherapy (arterial or systemic) was administered in 111 patients (11.2%) and 62 (6.2%) received sorafenib.

#### 5. RT responses and OS

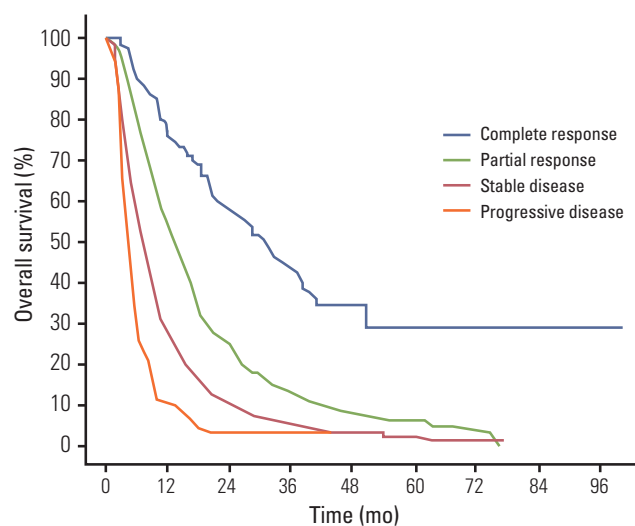
The median survival was 9.2 months and the actuarial 6-, 12-, 18-, and 24-month OS rates were 65.7%, 40.0%, 25.2%, and 18.1%, respectively.

With the exception of 46 patients (4.6%) who were not evaluated due to lack of follow up, complete response of the PVTT was observed in 50 patients (5.0%), partial response in 405 patients (40.7%), stable disease in 408 patients (41.0%), and progressive disease in 85 patients (8.6%), yielding an objective response rate of 45.7%. The median survival duration of the patients who showed complete response and partial response was 32 months and 13.1 months, respectively (Fig. 1).

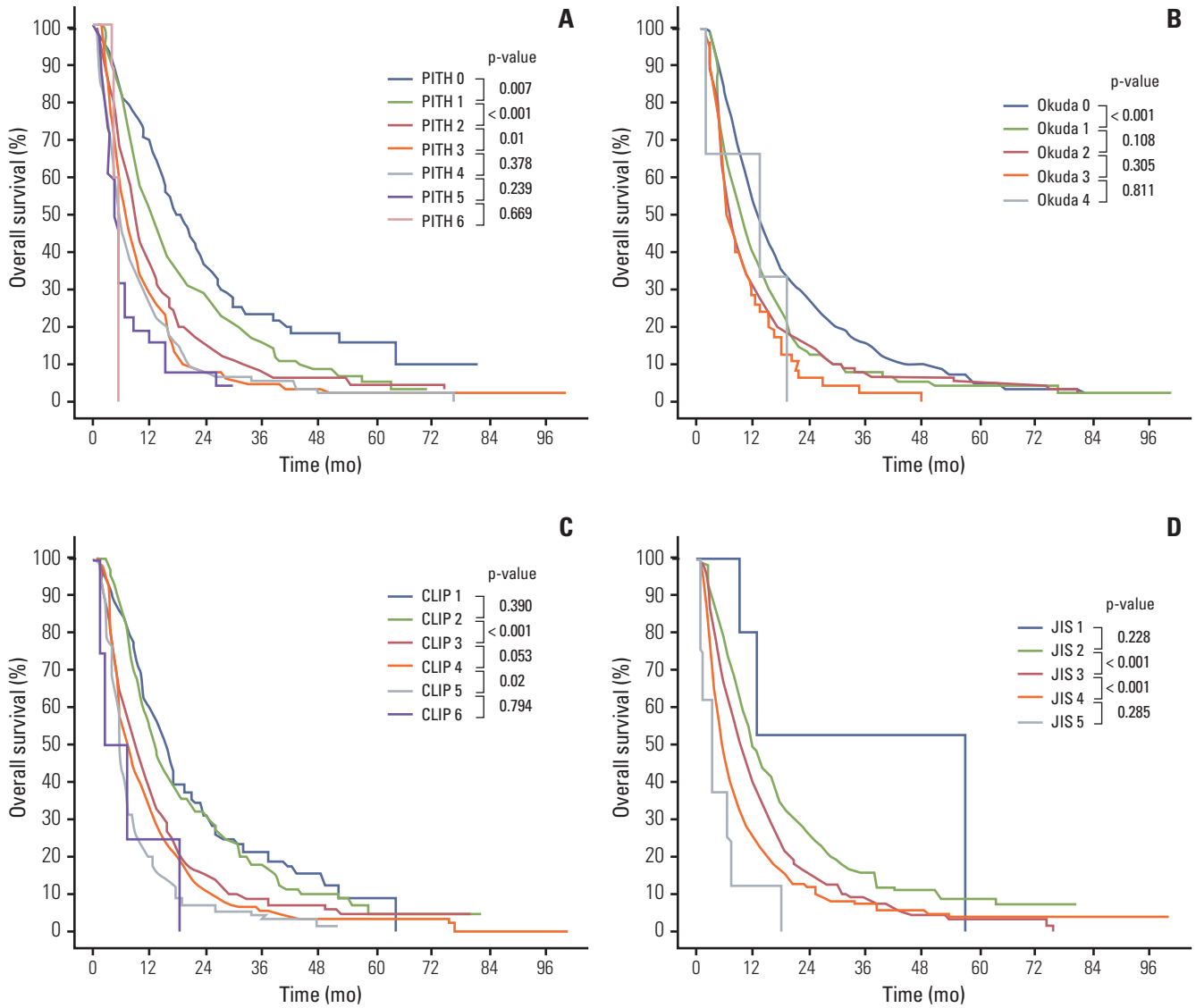
#### 6. Comparison of the Okuda, CLIP, JIS, and PITH staging systems

Patient distribution and survival rate according to each staging system are shown in Table 2 and Fig. 2. The CLIP and PITH staging systems showed a more even patient distribution than the other staging systems.

Fig. 2A shows survival curves according to PITH scores. The subgroup of patients with a score of zero experienced a significantly longer survival (median, 17.2 months) than the



**Fig. 1.** Overall survival according to radiotherapy response. Median survival for complete response, partial response, stable disease, and progressive disease was 32 months, 13.1 months, 7.1 months, and 4.2 months, respectively.



**Fig. 2.** Overall survival according to the score from each staging system. (A) Prognostic index of the portal vein tumor thrombosis in hepatocellular carcinoma (PITH). (B) Okuda. (C) Cancer of the Liver Italian Program (CLIP). (D) Japanese Integrated System (JIS).

subgroup with a score of 4 to 6, who experienced a median survival of less than six months.

Comparison between the best prognostic subgroups of each system showed that a JIS score of 1 was associated with superior survival (median 57.2 months and 80.0% OS at 12 months); however, only five patients from the current group were classified as this subgroup.

With the exception of this result, the PITH staging system stratified subgroups better than any other staging system.

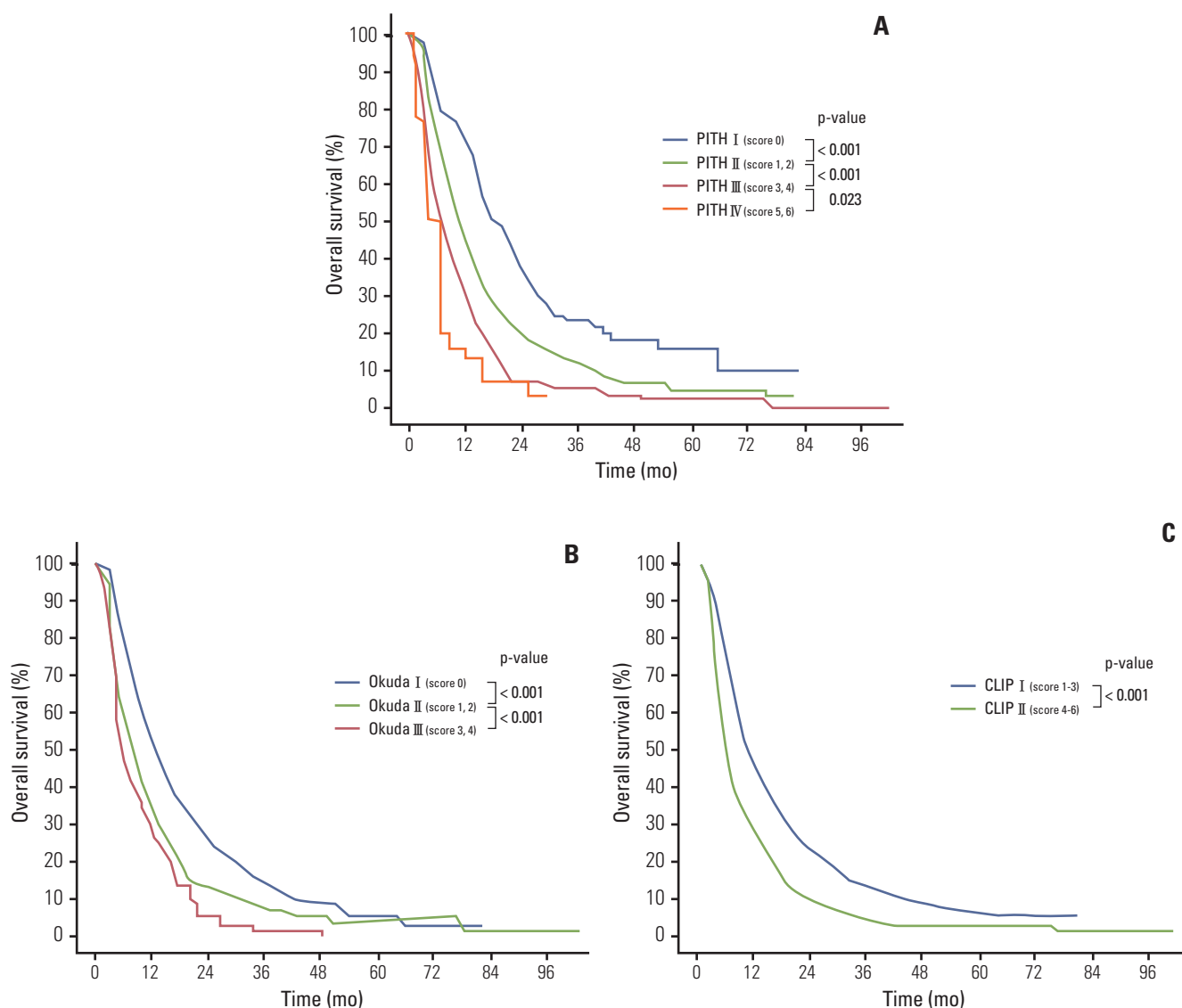
Nearly 70% of patients with a PITH score of zero had survival durations longer than 12 months and more than one-third of these patients had survival duration longer than two years. In addition, it clearly classified the worst prognostic subgroup; patients with a PITH score of 4 to 6 had median survival durations shorter than six months. Furthermore, significant differences in the survival curves were observed among PITH stage I, II, III, and IV, whereas no difference was observed between Okuda stage II and III (Fig. 3).

As shown in Table 3, the likelihood ratio (28.812) for the PITH staging system was higher than those of the other staging systems, indicating that PITH has better homogeneity, and the AIC (10,042.002) was the lowest for the PITH staging system, indicating that PITH is more informative than other systems with regard to prediction of survival. Time-dependent ROC curve and IBS of prediction error curve analysis were also analyzed, and the AUC and IBS values were compared. Although not all values reached generally accepted discriminatory levels, PITH showed the highest AUC (0.593) and lowest IBS (0.082).

## Discussion

As reported in several studies, the presence of PVTT in HCC patients remains one of the most negative prognostic factors [1-3]. In the absence of any treatment modality, patient survival in this setting is less than three months [3]. As a result, most staging systems include this factor as a criterion and assign these patients to a poor prognostic group [1,4-6,16].

However, the survival duration in these patients has been



**Fig. 3.** Overall survival according to the stage from each staging system. (A) Prognostic index of the portal vein tumor thrombosis in hepatocellular carcinoma (PITH). (B) Okuda. (C) Cancer of the Liver Italian Program (CLIP).

shown to vary widely, from less than five months to more than five years depending on patient and tumor characteristics [9,10,17,18]. Previous staging systems do not take into account these variations [1,4-7]. In the BCLC staging system, all of these patients are designated as stage C, and sorafenib is the only recommended treatment [6,19-21].

Because PVTT is a heterogeneous entity with significant prognostic variation, there are likely to be some patients who will benefit from local therapy rather than sorafenib. Theoretically, locoregional therapies such as TACE, radioembolization, and/or RT could suppress PVTT progression and delay intravascular tumor growth and the deterioration of liver function by maintaining adequate portal flow [22,23]. In fact, RT has been reported to yield fair outcomes with an objective response rate of approximately 40% to 50% and a median survival duration of 9 to 10 months [24,25]. These results suggest that RT is effective in patients with HCC and PVTT, and, based on these findings, the Korean Liver Cancer Study Group recommends sorafenib and RT as standard therapies for HCC with PVTT.

To address the lack of an effective staging system for HCC combined with PVTT, we conducted this validation study in order to obtain a more definitive conclusion regarding the clinical utility of the PITH staging system, which was originally developed using pretreatment tumors and PVTT characteristics obtained retrospectively from a single institution [11]. From 10 Korean institutions within the KROG, 994 patients with HCC and main and/or first branch PVTT who were treated with RT from January 1998 to October 2011 were analyzed.

During the validation process, we found that patients were more evenly distributed by the CLIP and PITH staging systems than by other systems. The patient distribution within each PITH score, an important feature of a good prognostic system, was much better than that in the other staging systems.

Fairly good stratification was achieved based on PITH score compared with other systems. Specifically, PITH showed a clearer division of the subgroups with the best and worst prognosis. Based on statistical analysis of likelihood ratio, AIC, time-dependent ROC curve estimation, and prediction error curve, the PITH system showed better homogeneity and discriminatory ability compared with other systems.

Compared with the other staging systems, the PITH staging system classified patients more clearly based on survival duration. Two-thirds of patients with a PITH score of zero had survival durations longer than 12 months. In contrast, patients with a PITH score of 4 to 6 had survival durations shorter than six months, although PITH could not stratify them specifically. In the former group, there might be the potential to extend survival by combining treatment

modalities, whereas in the latter group, it might be appropriate to reconsider RT. Our findings indicate that the PITH staging system might be helpful when planning RT in patients with HCC combined with PVTT.

This study has some limitations. First, due to the retrospective nature of the study, the potential for selection bias cannot be excluded. However, we attempted to minimize this bias by the large multicenter design. Second, the wide heterogeneity in RT regimens and additional treatment after RT could be confounding factors. However, such heterogeneity is an essential component of treatment decisions in actual practice, and additional treatments after RT may be administered when they offer the possibility of improving clinical outcome. The PITH system could be used more comfortably in such situations because specific restrictions were not applied in the current study to exclude patients receiving RT. Third, we only included patients who received RT; therefore, it is difficult to generalize our finding to patients undergoing other treatment modalities.

Like other staging systems, PITH included performance status (ECOG), liver function (Child-Pugh class), and extent of primary tumor. However, PITH also considered the extent and position of the PVTT. The status of PVTT might be particularly important in patients treated with RT, and the slightly better performance of the PITH system in these patients could reflect consideration of PVTT. Conduct of a larger prospective validation study is required in order to broaden the applicability of this staging system.

## Conclusion

This study successfully validated the PITH staging system in a study group obtained in a multicenter retrospective fashion. PITH showed better stratification ability than the Okuda, CLIP, and JIS systems. Compared with previous systems, PITH may be helpful to oncologists in more accurate determination of prognosis and selection of more appropriate treatment options through more effective stratification of patients with HCC and PVTT based on risk.

## Conflicts of Interest

Conflict of interest relevant to this article was not reported.



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