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ORIGINAL RESEARCH

Honokiol/Magnolol-Loaded Self-Assembling Lecithin-Based Mixed Polymeric Micelles (IbMPMs) for Improving Solubility to Enhance Oral Bioavailability

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Objective: This study was intended to utilize lecithin-based mixed polymeric micelles (*lb*MPMs) for enhancing the solubility and bioavailability of honokiol and magnolol to resolve the hindrance of their extreme hydrophobicity on the clinical applications.

Methods: Lecithin was selected to increase the volume of the core of *lb*MPMs, thereby providing a greater solubilization capacity. A series of amphiphilic polymers (sodium deoxycholate [NaDOC], Cremophor[®], and Pluronic[®] series) were included with lecithin for screening and optimization.

Results: After preliminary evaluation and subsequentially optimization, two *lb*MPMs formulations composed of honokiol/magnolol:lecithin:NaDOC (*lb*MPMs[NaDOC]) and honokiol/magnolol:lecithin:PP123 (*lb*MPMs[PP123]) in respective ratios of 6:2:5 and 1:1:10 were optimally obtained with the mean particle sizes of 80–150 nm, encapsulation efficacy (EEs) of >90%, and drug loading (DL) of >9.0%. These *lb*MPMs efficiently stabilized honokiol/ magnolol in phosphate-buffered saline (PBS) at room temperature or 4 °C and in fetal bovine serum or PBS at 37 °C. PK study demonstrated that *lb*MPMs[NaDOC] showed much improvement in enhancing bioavailability than that by *lb*MPMs[PP123] for both honokiol and magnolol. The absolute bioavailability for honokiol and magnolol after intravenous administration of *lb*MPMs[NaDOC] exhibited 0.93- and 3.4-fold increases, respectively, compared to that of free honokiol and magnolol. For oral administration with *lb*MPMs[NaDOC], the absolute bioavailability of honokiol was 4.8%, and the absolute and relative bioavailability of magnolol were 20.1% and 2.9-fold increase, respectively.

Conclusion: Overall, honokiol/magnolol loaded in *lb*MPMs[NaDOC] showed an improvement of solubility with suitable physical characteristics leading to enhance honokiol and magnolol bioavailability and facilitating their wider application as therapeutic agents for treating human disorders.

Keywords: lecithin, mixed polymeric micelles, honokiol, magnolol, sodium deoxycholate, pluronic

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Introduction

Honokiol (3,5'-diallyl-4,2'-dihydroxybiphenyl) and magnolol (5,5'-diallyl-2,2'dihydroxybiphenyl) (shown in Figure 1) are the principal bioactive components of magnolia bark extract, which has long been used in traditional Chinese and Japanese medicine.^{1,2} Recently, they were demonstrated to have extensive and significant biological activities,³ including antioxidative,⁴ anti-angiogenesis,⁵ anti-inflammatory,⁶

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65 I

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Figure I Chemical structures of (A) honokiol and (B) magnolol.

antidepressant,⁷ and antitumor properties.⁸⁻¹⁰ Among these activities, the most beneficial effect of honokiol/magnolol is their suppression of the proliferation of various tumor cells. Studies reported that they exhibit anticancer properties by inhibiting proliferation, inducing differentiation and apoptosis, suppressing angiogenesis, countering metastasis, and reversing multidrug resistance.^{9,11–14} Although honokiol/magnolol showed antitumor activities against various tumor cells, their clinical use is limited by their insolubility, low bioavailability, and vascular irritation. Moreover, oral administration of honokiol/magnolol showed weak antitumor activity in vivo.15,16 The oral bioavailability of honokiol and magnolol in rats was reported to be only 5% due to extensive first-pass metabolism and low absorption.¹⁷ Therefore, there is a strong need to develop a novel oral delivery system to resolve the issues of poor oral bioavailability and high dosage problems.

Several novel drug delivery systems have been utilized to improve the bioavailability and anticancer effects of honokiol and magnolol. For example, a ligand-based (used folic acid as a targeted therapeutic ligand) surface modification of pH-sensitive honokiol nanoparticles has a high tumor inhibition rate.¹⁸ A stable honokiol-in-hydroxypropyl-β-cyclodextrin in liposomes was developed to release honokiol at slow, sustained speeds. Results of their pharmacokinetic (PK) study demonstrated significant retardation of elimination and prolongation of the residence time in the circulatory system.¹⁹ Furthermore, poly(ethylene glycol) (PEG)ylated honokiol liposomes as long-active liposomes exhibited significantly improved PKs in Balb/c mice and lengthened its elimination half-life $(T_{1/2\beta})$ and the area under the plasma curve from time 0 to infinity $(AUC_{0\to\infty})^{20}$ As a consequence, the antitumor efficacy of honokiol can be greatly enhanced in tumor-bearing mice. However, due to the high cost of production of PEG-distearoylphosphatidylethanolamine (DSPE) and the prevalence of anti-PEG antibodies after long-term administration of PEGylated liposomes, the use of PEG has many drawbacks that limit its application. A more cost-effective preparation and safer carrier for honokiol/ magnolol is desired to further improve their oral bioavailability enabling their clinical applications.

The use of micelles as a carrier for oral drug administration to overcome the low solubility and bioavailability of hydrophobic drugs has recently gained significant attention.^{21,22} The small size of micelles can also facilitate achieving a favorable biodistribution.²³⁻²⁶ The extravasation and accumulation of therapeutic agents in tumor sites can be achieved through an enhanced permeability and retention (EPR) effect.^{27,28} Previously, our research group successfully developed lecithin-based mixed polymeric micelles (lbMPMs) that combined amphiphilic polymers and lecithin to successfully encapsulate hydrophobic drugs to enhance their oral bioavailability.^{29,30} The design rationale of *lb*MPMs is to form a supporting lipid layer, which possesses better stability and encapsulates more of the hydrophobic drug than traditional mixed micelles leading to enhanced therapeutic efficacy. To resolve the problem of the low bioavailability of honokiol/magnolol, herein, the preparations of honokiol/magnolol-loaded *lb*MPMs, composed of lecithin and three amphiphilic polymers, namely sodium deoxycholate (NaDOC), Cremophor[®], and a Pluronic[®] series, were investigated and characterized to find an optimal formulation. Physical characterizations, including particle size, physical stability, and drug-release profile, of optimal formulations, were evaluated and in vivo pharmacokinetics studies (intravenous and oral) were conducted to examine the enhancement efficiency of intravenous and oral bioavailability. The information obtained from the present study should provide a foundation for future clinical investigations.

Materials and Methods Materials

Honokiol and magnolol were isolated and purified by professor Wang's lab (graduate institute of pharmacognosy, Taipei Medical University) with a purity >95%. L- α -lecithin granules were supplied by Acros (Morris Plains, NJ, USA). Sodium deoxycholate (NaDOC), Pluronic[®] L121 (PL121), Pluronic[®] F108 (PF108), and Pluronic[®] P123 (PP123) were purchased from Sigma (St. Louis, MO, USA). Pluronic® F87 (PF87), Pluronic® F127 (PF127), and Pluronic[®] F68 (PF68), and Cremophor[®] ELP (PEG-35 Castor Oil, CELP) and Cremophor[®] RH40 (PEG-40 Hydrogenated Castor Oil, CRH40) were delivered by BASF (Hanover, Germany), and heparin (5000 IU/mL) was provided by China Chemical & Pharmaceutical (Hsinchu, Taiwan). All reagents for high-performance liquid chromatography (HPLC) or ultra-performance liquid chromatography (UPLC)/tandem mass spectrometric (MS)/MS analysis were of an HPLC or MS grade, and other reagents were analytical grade.

Preliminary Screening and Optimization of Honokiol/Magnolol-Loaded Lecithin-Based Mixed Polymeric Micelles (H/M-Loaded *Ib*MPMs)

Preliminary screening of the influence of various amphiphilic polymers with/without the addition of lecithin on the formation of the micellar core for encapsulating honokiol/ magnolol was examined with respect to the particles' size and stability in this study. Honokiol/magnolol-loaded lbMPMs (H/M-loaded lbMPMs) were prepared using a thin film method as previously described.³¹ Briefly, honokiol/magnolol, lecithin, and another amphiphilic polymer (NaDOC; PF87, PF127, PF68, PL121, PF108, or PP123; CRH40 or CELP) in a predetermined ratio were added to 1 mL of a mixed solvent (methanol: dichloromethane, 3:7, v/v) in a round-bottom flask. The mixture was shaken for 30 s, sonicated for 1 min, and subsequently evaporated through rotary evaporation (Buchi, Rotavapor R124, Flawil, Switzerland) under reduced pressure to remove the solvent and obtain a thin film. Self-assembly of the thin films resulting in micelle formation was induced by adding 1 mL of deionized water and gently shaking the micellar solution until the thin film had completely dispersed. Non-encapsulated honokiol/magnolol

(H/M) aggregates were removed by passing the solution through a 0.22- μ m filter (Millipore, Billerica, MA, USA).

After preliminarily screening, those optimal amphiphilic polymers for formation of the H/M-loaded *lb*MPMs were further optimized with respect to those physical characteristics, namely the average particle size (PS) and polydispersity index (PI), zeta potentials (ZP), encapsulation efficacy (EE), and drug loading (DL), to determine optimal formulations to encapsulate honokiol/magnolol with a nano range of particle size and maximal EE and DL.

Characterization of Honokiol/ Magnolol-Loaded *Ib*MPMs

The average particle size and its size distribution of the optimal H/M-loaded *lb*MPMs were measured at a scattering angle of 90° with a Zetasizer (Nano-ZS) (Malvern Instruments, Worcestershire, UK) at 25 °C with the intensity autocorrelation of the sample being in a range of $5 \times 10^4 \sim 10^6$. The zeta potential was also examined utilized the same instrument of Zetasizer (Nano-ZS). The surface morphology of the optimal H/M-loaded *lb*MPMs was observed through transmission electron microscopy (TEM; Hitachi H-600, Tokyo, Japan).

Quantification of Encapsulated Honokiol/ Magnolol

Honokiol/magnolol was analyzed using an HPLC method (Pump PU-980, Jasco, Tokyo, Japan) adapted from Yang et al.³² The honokiol/magnolol concentration was determined using an XBridgeTM C18 column (5 μ m, 150 \times 4.6 mm). The mobile phase was a mixture of acetonitrile and 0.5% acetic acid (4:6, v/v) at a flow rate of 1.0 mL/min and 30 °C. Furthermore, the column effluent was monitored using an ultraviolet detector (UV-975, Jasco) at a wavelength of 290 nm, and the HPLC method was validated to have an acceptable coefficient of variation for accuracy and precision. On determining the honokiol/magnolol concentration from the validated calibration curve, the EE and DL were calculated according to equations (1) and (2), respectively:

Encapsulation efficiency (EE, %) = $W_M/W_I \times 100$ and (1) Drug loading (DL, %) = $W_M/(W_P + W_M) \times 100$;(2)

where W_M is the drug weight in micelles, W_I is the weight of the initial feeding drug, and W_P is the weight of the initial feeding polymers.

Examination of Encapsulation Stability

The encapsulation stability of two H/M-loaded lbMPMs composed of H/Ml:lecithin:NaDOC and honokiol/magnolol:lecithin:PP123 in respective ratios of 6:2:5 (designated as *lb*MPMs[NaDOC]) and 1:1:10 (designated as *lb*MPMs [PP123]) dispersed in dd water was assessed at room and 4 °C under dark conditions. temperature Furthermore, the encapsulation stability of both lbMPMs[NaDOC] and lbMPMs[PP123] in a simulated plasma was examined by mixing an equal volume of honokiol/magnolol-loaded lbMPMs and phosphatebuffered saline (PBS, 0.01 M, pH 7.4) or fetal bovine serum (FBS) and then was co-incubated in a 37 °C water bath. The mean particle size of the H/M-loaded lbMPMs as an indicator of the encapsulation stability without precipitation was analyzed at a predefined time-point.

In vitro Release Studies

Drug release from H/M-loaded lbMPMs[NaDOC] and *lb*MPMs[PP123] was examined using the dialysis bag method, in which 0.01 M PBS containing 0.5% Tween 80 was used as the release medium.³³ One milliliter of honokiol/magnololloaded *lb*MPMs or a free H/M solution (ie, honokiol/magnolol dissolved in dimethyl sulfoxide [DMSO]) diluted with water to yield a final concentration of 0.1 mg/mL was placed in a separate dialysis bag (MWCO 3500; Cellu-Sep[®] T1, Seguin, TX, USA). The dialysis bag was placed in a tube with the addition of 25 mL of a release medium and the whole tube then was placed at 37 °C shaker with a shaking rate of 100 rpm. At predetermined interval of 0.5, 1, 2, 3, 5, 7, 9, 12, 24, 48, and 72 h, the concentration of honokiol/magnolol released from the dialysis bag was analyzed using an HPLC method as described in Quantification of Encapsulated Honokiol/Magnolol. All measurements were conducted in triplicate. For comparison, honokiol/magnolol release from the free solution under the same conditions was assessed.

In vivo PK Studies

This study involved an animal experiment that was approved by the Institutional Animal Care and Use Committee of Taipei Medical University (approval no.: LAC-2013-0126) and conducted in compliance with the *Animal Welfare Act* in Taiwan. We used 8~10-week-old male Sprague-Dawley rats to investigate PK profiles of optimal H/M-loaded *lb*MPM formulations (6 mg/mL) and a free honokiol/magnolol solution (ie, honokiol/magnolol dissolved in CELP:Ethanol at a ratio of 1:1 and then diluted with sterile water to yield a final concentration of 6 mg/mL). Rats were administered a single intravenous (IV) dose of 20 mg/kg of H/M-loaded *lb*MPMs[NaDOC], *lb*MPMs [PP123], or free H/M solution (n = 3 for each group). Blood samples were collected in heparinized tubes from the jugular vein at 0.083, 0.166, 0.25, 0.5, 1, 2, 4, 7, 12, 24, 48, and 72 h after administration. In addition, rats were orally administered a single dose of 40 mg/kg of H/M-loaded *lb*MPMs[NaDOC], *lb*MPMs[PP123], or free H/M solution (n = 3 for each group). Blood samples were collected in heparinized tubes from the jugular vein at 0.083, 0.25, 0.5, 1, 1.5, 2, 4, 6, 8, 12, 24, 48, and 72 h after administration. All blood samples were immediately centrifuged at 3000 rpm for 15 min at 4 °C to obtain plasma, which was stored at -80 °C before the UPLC/MS/MS analysis.

The UPLC/MS/MS analysis was performed according to a method adapted from Sheng et al³⁴ using the Waters ACQUITY UPLC and Xevo TQ MS system (Waters, Milford, MA, USA) equipped with an electrospray ionization (ESI) source. Separation was achieved using a BEH C_{18} column (2.1 mm ID × 50 mm, 1.7 µm; Waters). The system delivered a constant flow of 0.2 mL/min, and the mobile phase consisted of methanol and water with a gradient ratio, and an injection volume of 10 µL. During analyses, the ESI parameters were set as follows: capillary voltage of 3.6 kV in the negative mode, a desolvation temperature of 350 °C, cone gas flow of 100 L/h, and desolvation gas flow of 650 L/h.

PK parameters are presented as the mean and standard deviation (SD) from individual rats of each group and were estimated through a non-compartmental analysis. The terminal elimination rate constant (K_e) was estimated from the slope of the log-linear phase of a graph of the declining plasma concentration of honokiol/magnolol versus time. The half-life (T_{1/2}) was calculated using the following equation: T_{1/2} = ln 2/K_e. Furthermore, the area under the plasma curve (AUC) from the beginning to the end point (AUC₀₋₇₂) was calculated using the trapezoidal method. Summing AUC_{0→last} and the concentration at the last measured point divided by K_e yielded AUC_{0→∞}. Clearance (CL) was calculated by dividing the dose by AUC_{0→∞}, and the distribution volume (V) was calculated by dividing CL by K_e.

Statistical Analysis

Data are presented as the mean \pm standard deviation (SD). Student's *t*-test was used to compare 2 groups, and more than 2 groups were compared using one-way ANOVA. A 2-tailed *p* value of <0.05 was considered significant.

Results

Preliminary Screening of Lecithin-Based Honokiol/Magnolol-Loaded *Ib*MPMs

Preparatory procedures for hydrophobic drug-loaded amphiphilic polymeric micelles can be found in our earlier work.^{30,35,36} Similarly, the influence of various amphiphilic polymers on the formation of the micellar core for encapsulating honokiol/magnolol was preliminarily examined in this study. Surfactants play important roles in the precipitation of nanoparticles, in that they prevent agglomeration between particles, thus helping control the size of the nanoparticles. Various types of amphiphilic polymers with different values of the hydrophilic-lipophilic balance (HLB) and different proportions of drug and polymers play important roles in the particle size and stability of *lb*MPMs; thus, a range of single-factor experiments was performed to investigate different ratios of honokiol/magnolol and various polymers to prepare honokiol/ magnolol-loaded lbMPM formulations. Results of preliminary screening are listed in Table 1 and Figure 2.

As shown in Table 1, the honokiol/magnolol formulation composed of H/M:L:NaDOC at a 1:0:5 weight ratio was

unable to form micellar droplets. With an increasing amount of NaDOC of ratios of 10 to 20 (w/w), the mean size of micellar droplets increased from 170 to 636 nm. The addition of lecithin resulted in larger particle sizes, but a lower polydispersity index (PI) values. On the other hand, all of the H/M-loaded MPM formulations composed of H/M: L:Pluronic flake series at a 1:0:5 weight ratio were unable to form micellar droplets. When increasing the amount of amphiphiles at weight ratios of 10 to 20 (w/w), H/M-loaded lbMPMs utilizing Pluronic flake series containing 70% EO fragments (ie, PF87 and PF127) formed smaller micellar droplets compared to those of honokiol/magnolol-loaded *lb*MPMs using Pluronic[®] flake series containing 80% EO fragments (ie, PF68 and PF108). PF87 and PF127 micelles were more stable, but adding lecithin increased the particle size. For the Pluronic[®] liquid series, regardless of the ratio of PL121 and lecithin, no transparent micelle solution formed. When a lower PP123 concentration was used, micelles precipitated within 12 h even in the presence of lecithin. With an increase in the PP123 concentration, the particle size decreased and stability increased. PP123 facilitated micelle formation with a particle size of <200 nm

Table I Preliminary Screening of Mixed Polymeric Micellar Formulations with Various Ratios of Different Amphiphiles (NaDOC,PP123, PF68, PF87, PF127, PF108, and PL121, CRH40 and CELP) and Lecithin

Amphiphile	H/M:Lecithin:Amphiphile							
(HLB)	1:0:5	1:1:5	1:0:10	1:1:10	1:0:20	1:1:20		
NaDOC (16)	$\frac{1300.6 \pm 316.48^{a,c}}{(1.177 \pm 0.832)^{b}}$	227.8 ± 45.86 (0.631 ± 0.238)	169.6 ± 152.14 (5.877 ± 11.17)	215.7 ± 131.03 (1.211 ± 0.289)	234.7 ± 152.33 (0.456 ± 1.385)	636.3 ± 286.29 (0.035 ± 0.97)		
PF68 (>24)	$\frac{346.2 \pm 8.48}{(0.091 \pm 0.124)}$	$\frac{986.9 \pm 484.23}{(1.461 \pm 0.068)}$	105.6 ± 5.91 (0.129 ± 0.041)	$\frac{641.5 \pm 5.39}{(0.495 \pm 0.149)}$	$\frac{103.9 \pm 6.35}{\pm 0.335} (1.26$	448.2 ± 26.41 (0.655 ± 0.066)		
PF108 (>24)	$\frac{29.9 \pm 3.11}{\pm 0.159} (0.675$	$\frac{746.7 \pm 50.79}{(0.603 \pm 0.139)}$	35.1 ± 3.16 (0.762 ± 0.364)	$\frac{392.7 \pm 11.01}{(0.546 \pm 0.067)}$	30.2 ± 5.16 (0.725 ± 0.364)	253.9 ± 3.14 (0.466 ± 0.1)		
PF87 (>24)	$\frac{21.8 \pm 6.42}{\pm 1.492} (0.275$	$\frac{701.1 \pm 134.1}{(0.816 \pm 0.258)}$	191.3 ± 126.22 (23.08 ± 33.43)	$\frac{882.2 \pm 14.06}{(0.211 \pm 0.099)}$	28.4 ± 6.31 (2.124 ± 0.488)	$\frac{1054 \pm 267.64}{(0.033 \pm 1.068)}$		
PF127 (18–23)	30.9 ± 2.73 (0.558 ± 0.364)	$\frac{2243 \pm 1819}{(1.313 \pm 0.258)}$	29.2 ± 1.6 (1.26 ± 0.35)	$\frac{772.5 \pm 101.9}{(1.51 \pm 0.07)}$	47.7 ± 47.9 (1.379 ± 1.508)	854.6 ± 336.8 (1.621±0.068)		
PL121 (1-7)	<u>≥3000</u>	<u>≥3000</u>	<u>≥3000</u>	<u>≥3000</u>	<u>≥3000</u>	<u>≥3000</u>		
PP123 (7–9)	$\frac{1181.6 \pm 51.21}{(1.305 \pm 0.028)}$	$\frac{371.7 \pm 12.77}{(1.033 \pm 0.016)}$	120.3 ± 9.87 (0.343 ± 0.297)	149.2 ± 1.49 (0.686 ± 0.037)	122.9 ± 5.89 (0.552 ± 0.091)	100 ± 7.12 (0.899 ± 0.062)		
CRH40 (14.3)	$\frac{198.6 \pm 0.88}{(0.466 \pm 0.022)}$	$\frac{256.3 \pm 7.54}{\pm 0.039} (0.87$	115 ± 1.42 (1.203 ± 0.063)	$\frac{348.6 \pm 2.75}{(1.044 \pm 0.064)}$	28.2 ± 14.29 (0.976 ± 3.515)	$\frac{322.4 \pm 17.37}{(1.969 \pm 0.026)}$		
CELP (13.9)	$\frac{164.6 \pm 1.57}{(0.755 \pm 0.01)}$	$\frac{235 \pm 3.24}{(0.758 \pm 0.029)}$	20.3±1.03 (0.862 ± 0.634)	$\frac{302.5 \pm 8.194}{(1.298 \pm 0.042)}$	16.2 ± 6.45 (1.044 ± 0.273)	98.6 ± 11.15 (1.591 ± 0.101)		

Notes: ^aMean size±standard deviation (SD). ^bMean polydispersity index±SD. ^cUnderlining indicates precipitation during 12 h.

Abbreviations: H/M, honokiol-magnolol; HLB, hydrophilic-lipophilic balance.



Figure 2 Particle sizes of honokiol/magnolol micelles formed using different amphiphiles and ratios.

and adding lecithin increased the size and stability (ie, no precipitation occurred at 12 h).

When using a low concentration of Cremophor as the amphiphile for H/M-loaded MPMs, micelles precipitated within 12 h even in the presence of lecithin. With an increase in the Cremophor concentration at weight ratios of 10 to 20, the particle size of micelles decreased. However, adding lecithin increased the particle size and reduced the EE.

Taken together, two amphiphilic polymers, NaDOC, and PP123, were found to be more appropriate to form H/M-loaded *lb*MPMs with acceptable particle size and stability. Those two amphiphilic polymers, NaDOC and PP123, were selected for further optimization.

Optimization of Honokiol/ Magnolol-Loaded *Ib*MPMs

After screening as described above, with the use of lecithin and two amphiphilic polymers, NaDOC and PP123, were selected for further optimization to obtain honokiol/magnolol-loaded lbMPMs with a particle size (PS) in nano range and maximal encapsulation efficiency (EE) and drug loading (DL) values to improve their solubility hence resulting in the enhancement of bioavailability. Physical properties of those resultant mixed polymeric micelles (NaDOC and PP123) are listed in Table 2. As shown in Table 2, increasing the added amount of lecithin in the formulation of H/M:NaDOC=1:5 from 1 to 2 parts resulted in smaller PS and increasing DL, but increasing polydispersity index (PI) values and decreasing EE. Moreover, successively increasing the added amount of H-M in the formulation of L:NaDOC=2:5 from 2 to 6 parts resulted in PS gradually increasing, PI maintaining around 0.1-0.3, ZP progressively increasing, and drug loading proportionally increasing with a fair encapsulation efficiency. Results of optimization

Formulations	PS (nm)	PI	ZP (mV)	DL (%)	EE (%)
H/M:L:NaDOC=1:1:5	121.5 ± 1.888	0.159 ± 0.023	-59.8 ± 3.49	14.60	85.16
H/M:L:NaDOC=1:2:5	50.82 ± 3.645	1.000 ± 0.000	-46.9 ± 4.79	18.65	72.53
H/M:L:NaDOC=2:2:5	83.96 ± 1.422	0.178 ± 0.012	-55.5 ± 2.74	21.55	80.83
H/M:L:NaDOC=3:2:5	79.70 ± 1.324	0.182 ± 0.009	-52.8 ± 2.12	24.00	66.68
H/M:L:NaDOC=4:2:5	82.57 ± 0.202	0.244 ± 0.003	-62.6 ± 2.41	39.60	90.76
H/M:L:NaDOC=5:2:5	96.42 ± 0.632	0.236 ± 0.008	-68.6 ±1.76	42.04	84.08
H/M:L:NaDOC=6:2:5	118.4 ± 2.101	0.186 ± 0.022	-63.7 ± 1.23	44.42	96.41
H/M:L:P123=1:0:5	285.0 ± 3.132	0.253 ± 0.038	-3.13 ± 0.10	16.49	98.93
H/M:L:P123=1:1:5	167.7 ± 3.470	0.253 ± 0.015	-2.38 ± 0.09	13.11	91.79
H/M:L:P123=1:0:10	125.1 ± 1.682	0.280 ± 0.017	-1.73 ± 0.08	6.29	69.15
H/M:L:P123=1:1:10	89.47 ± 1.286	0.413 ± 0.010	1.28 ± 0.10	9.81	99.51
H/M:L:P123=1:0:20	69.68 ± 0.904	0.306 ± 0.026	0.10 ± 0.44	5.35	98.75
H/M:L:P123=1:1:20	66.78 ± 1.555	0.312 ± 0.029	1.70 ± 0.25	5.34	98.62

 Table 2 Optimization of Honokiol/Magnolol-Loaded Lecithin-Based Mixed Micelles Formed Using NaDOC and PP123 as Amphiphilic

 Polymers with Lecithin at Different Ratios

Abbreviations: H-M, honokiol-magnolol; PS, particle size; PI, polydispersity index; DL, drug loading; ZP, zeta potentials; EE, encapsulation efficiency.

disclosed that *lb*MPMs with a H/M:L:NaDOC ratio of 6:2:5 (designated as *lb*MPMs[NaDOC]) was an optimal formulation, with a particle size of 118.4 \pm 2.1 nm, a PI of 0.186 \pm 0.022, a ZP of -63.7 \pm 1.23 mV, an EE of 96.41%, and a DL of 44.42%.

Further illustrated in Table 2, increasing the added amount of lecithin in the formulations of H/M:PP123=1:5, 1:10, and 1:20 from 0 to 1 parts caused smaller PS and similar PI values. However, only for formulations of H/M: PP123=1:10 increased the added amount of lecithin from 0 to 1 part demonstrated to increase DL as a result of increasing EE from 69.1% to 99.5%. Results of optimization revealed that *lb*MPMs with a H/M:L:PP123 ratio of 1:1:10 (designated as *lb*MPMs[PP123]) was an optimal formulation with a particle size of 89.47±1.29 nm, a PI of 0.413±0.010, a ZP of 1.28±0.10 mV, an EE of 99.51%, and a DL of 9.81%. *lb*MPMs[PP123] was selected as the other one of the two optimal formulations for further evaluation.

TEM

TEM revealed that particle sizes were 80–150 nm for both optimal formulations (Figure 3A and B). A spherical and homogeneous morphology of micelles was observed for *lb*MPMs[NaDOC] and *lb*MPMs[PP123]. The sizes of micelles formed by *lb*MPMs[NaDOC] were more even than those formed by *lb*MPMs[PP123], and the result was consistent with that measured by the dynamic light scattering (DLS) method, as shown by lower PI values.

Encapsulation Stability

Honokiol/magnolol are hydrophobic polyphenol compounds and therefore are less soluble in aqueous solutions causing precipitation if they did not encapsulate in the micellar core. To evaluate whether the optimal formulations increased the encapsulation stability in micellar core, H/M-loaded *lb*MPMs[NaDOC] and *lb*MPMs[PP123] were, respectively, incubated with PBS and FBS and stored at various temperatures. The change of the mean particle size as an indicator of precipitation was determined at different time points as well as at different storage temperatures.

In PBS, the particle size of H/M-loaded *lb*MPMs[NaDOC] and *lb*MPMs[PP123] was ~80-150 nm and was stable for at least 56 days at both room temperature and at 4 °C (Figure 4A and B). When the incubation temperature was increased to 37 °C, the particle size of H/M-loaded *lb*MPMs[NaDOC] increased to >200 nm after 24 h of incubation and precipitated after 72 h of incubation, while no significant change occurred in the particle size of H/M-loaded *lb*MPMs[PP123] after 72 h of incubation.

At 37 °C in FBS, the same trend as in PBS was found for H/M-loaded *lb*MPMs[NaDOC], as the particle size increased to >200 nm after 24 h of incubation and then precipitated after 72 h of incubation (Figure 4C). As for H/ M-loaded *lb*MPMs[PP123], the size did not exceed 200 nm until 72 h of incubation (Figure 4D).

In vitro Release Studies

Figure 5 shows drug-release profiles of *lb*MPMs[NaDOC] and *lb*MPMs[PP123] (at a drug load of 0.1 mg/mL) which were slower than that of the free honokiol/magnolol solution. Free honokiol/magnolol were depleted in about 9 h, as no sign of the cumulative amount was further detected after 9 h of the eluting test. However, once the chemicals were well encapsulated in *lb*MPMs, slower release profiles were



Figure 3 TEM micrograph of *lb*MPMs[NaDOC] and *lb*MPMs[PP123]. (A) Honokiol/magnolol: lecithin: NaDOC in a ratio of 6:2:5. (B) Honokiol/magnolol: lecithin: PP123 in a ratio of 1:1:10.



Figure 4 Stability of honokiol/magnolol-loaded (*lb*MPMs[NaDOC]) (**A**) and *lb*MPMs[PP123] (**B**) stored in phosphate-buffered saline (PBS) at 4 °C and at room temperature; *lb*MPMs[NaDOC]) (**C**), *lb*MPMs[PP123] (**D**) in PBS or FBS at 37 °C.

detected; in particular, a much-slower release rate was found when Pluronic[®] P123 was employed for encapsulation, ie, reaching ~62% of release for *lb*MPMs[NaDOC], compared to ~10% for *lb*MPMs[PP123] in the first 1 h. Similar release profiles were observed for honokiol and magnolol, as both were considerably reduced from *lb*MPMs[PP123].

In vivo PK Studies

PK profiles as shown by Figure 6 demonstrated that the plasma honokiol concentrations after IV administration of H/M-loaded *lb*MPMs[NaDOC] were higher than those of free honokiol/magnolol and H/M-loaded *lb*MPMs[PP123]. The main PK parameters of magnolol and honokiol after IV administration calculated by a non-compartmental analysis are shown in Tables 3 and 4. PK parameters demonstrated that the AUC₀₋₇₂ of honokiol for *lb*MPMs[NaDOC] was 1.57-fold higher than that of the free honokiol/magnolol group. However, there was no significant difference in plasma honokiol concentrations between H/M-loaded *lb*MPMs[PP123] and free honokiol/magnolol groups.

*lb*MPMs[NaDOC] had lower elimination rates and longer retention times of honokiol/magnolol ($t_{1/2}$ =4.01±0.70 h).

PK results in Figure 7 and Tables 3 and 4 show the plasma honokiol and magnolol concentrations after oral administration of free honokiol/magnolol, H/M-loaded *lb*MPMs[NaDOC] and *lb*MPMs[PP123], respectively. The maximal concentrations (C_{max}) of H/M-loaded *lb*MPMs[NaDOC] and *lb*MPMs[PP123] were higher than that of free honokiol/magnolol (Figure 7). (Note: the plasma honokiol concentration in the free H/M group was too low to calculate these parameters.) The AUC₀₋₇₂ of honokiol in the H/M-loaded *lb*MPMs[NaDOC] group was higher than those of free H/M and *lb*MPMs[PP123], while no significant difference was found for the AUC₀₋₇₂ of magnolol in the H/M-loaded *lb*MPMs[PP123] group compared to that of the free honokiol/magnolol group.

Discussion

Honokiol and magnolol have shown multiple potential pharmacological effects in several preclinical models. In



Figure 5 In vitro release profiles of honokiol (A) and magnolol (B) from/magnolol Honokiol/magnolol: lecithin: NaDOC in a ratio of 6:2:5 (*lb*MPMs[NaDOC]) and honokiol/magnolol: lecithin: PP123 in a ratio of 1:1:10 (*lb*MPMs[PP123]).

recent studies, they displayed diverse biological activities, including anti-arrhythmic, anti-inflammatory, antioxidative, anti-depressant, anti-thrombocytic, and anxiolytic activities.^{4,11,37,38} In the past few years, the anticancer properties of honokiol/magnolol have also been a focus, emphasizing their tremendous potential as anticancer agents. However, owing to their high lipophilicity, they cannot be dispersed in water, which makes oral and IV administration difficult and hinders their clinical use in exerting maximal therapeutic activities against various diseases. Previously, our group developed a protocol for *lb*MPM drug delivery systems that combines lecithin and amphiphilic polymers to successfully encapsulate hydrophobic drugs to enhance their oral bioavailabilities.^{30,36} Taking advantage of the unique strengths of *lb*MPMs, the present study attempted to optimize and characterize

combinations of lecithin with amphiphilic polymers to develop suitable H/M-loaded micelles for future applications.

The particle size (PS), polydispersity index (PI), EE, and DL of lbMPMs were optimally studied for their influences on the physical stability and release of encapsulated drugs. From the results of a preliminary screening (Table 1), the particle size of the mixed micelles decreased when the drug/polymer ratio changed from 1:5 to 1:20 in the absence of lecithin. After adding lecithin to the micellar systems, an increased particle size was found, and in some cases, it further caused precipitation, particularly for amphiphiles using the Pluronic flake series (PF68, PF108, PF87, and PF127) with higher HLB values and Cremophor (data not shown). These polymers with higher hydrophilic properties (HLB) were incompatible with the hydrophobic lecithin, causing honokiol/magnolol-loaded *lb*MPMs to precipitate. The relatively high hydrophilicity of these amphiphilic polymers (HLB >10), therefore, might have had a lower ability to incorporate a hydrophobic drug and form micelles. Although the critical micellar concentration (CMC) of PL121 is considered the lowest and this surfactant can self-assemble into micelles, the poly(propylene oxide) (PPO) fragment is excessively long and has limited space for loading the hydrophobic honokiol-magnolol. Therefore, the high HLB value and the short hydrophilic chain of PL121 allowed the micelles to easily aggregate.³⁹⁻⁴²

Stable *lb*MPMs were formed when combining lecithin with the NaDOC and PP123 mixed micelles groups. PI values were lower than those of micelles formed using other amphiphilic polymers, indicating an even distribution. In addition, their drug loading (listed in Table 2) was also slightly higher after adding lecithin, eg, from 6.29% to 9.81% for the PP123 group. EE increased with an increasing amount of lecithin, because the addition of lecithin into the micellar system increased the volume of the hydrophobic region of the micelles, thereby providing more space for the hydrophobic drug to be solubilized.⁴³ Inserting lecithin into the micelles formed *lb*MPMs, with a possible transition of polyoxyethylene-polyoxypropylene chains from a brush to a mushroom conformation as previously suggested, thus decreasing the particle size.⁴⁴ The results demonstrated that *lb*MPMs comprised of two polymers (NaDOC and PP123) offered benefits of both polymers, which could compensate for each other's limitations.



Figure 6 Plasma concentration-time curves of honokiol ((A): 0-72h; (B):0-12h) and magnolol ((C): 0-72h; (D): 0-12h) after intravenous administration of *lbMPMs*[NaDOC], *lbMPMs*[PP123] and free honokiol-magnolol (20 mg/kg) to rats. Each point represents the mean±SD of three determinations (n=3).

Additionally, the presence of lecithin facilitated the absorption of the encapsulated drug and helped stabilize the micellar system with an optimal combination ratio.

The physical characteristics of the optimal mixed polymeric micelles containing NaDOC and PP123 are listed in Table 2 and show that their particle sizes were 80–150 nm with a high drug EE (>90%) and DL (>9%). (solubilization capacity: >6 mg/mL; data not shown). The tremendous potential of honokiol/magnolol as anticancer agents has been emphasized, and a small particle size of the delivery system is beneficial for passive targeting to tumor tissues through the EPR effect, cellular uptake, and intracellular trafficking. Therefore, it is hoped that the small size of the formulated honokiol/magnolol micelles can increase the blood circulation time and avoid the reticuloendothelial system.

To study honokiol/magnolol encapsulation stability. we incubated honokiol/magnolol (free and H/M-loaded *lb*MPMs) in PBS at room temperature, 4 °C and 37 °C, and in FBS at 37 °C and determined the change of particle sizes over time (Figure 4). Regardless of whether honokiol/magnolol was incubated in PBS or FBS, the time when the particle size reached <200 nm was longer for PP123 than that for NaDOC. The CMC value of PP123 was lower than that of NaDOC, revealing higher stability of Pluronic® P123 in FBS. The lack of adherence of plasma proteins to Pluronic[®] micelles was possibly due to the poly(ethylene oxide) (PEO) units in Pluronic[®], which retard protein adsorption. According to the encapsulation stability test, H/M-loaded lbMPMs[PP123] were more stable than those using *lb*MPMs[NaDOC]; therefore, the in vitro release rate of H/M-loaded *lb*MPMs[PP123] was slower. In this study, the release of honokiol/magnolol

Treatment Group	IV _{Free}		IV _{P123} ^b	Oral _{Free} c	Oral _{NaDOC} ^a	Oral _{P123} b
Dose (mg/kg)	20	20	20	40	40	40
C _{max} (μg/mL)				- ^c	0.13±0.08	0.05±0.02
AUC₀₋₇₂ (h.μg/mL)	24.87±24.29	23.25±13.00	5.11±2.11	- ^c	1.19±1.84	0.10±0.13
k _{el} (I/h)	0.81±1.28	0.07±0.09	0.04±0.02	- ^c	0.27±0.30	1.48±2.26
t _{1/2} (h)	7.3±6.1	20.4±14.3	19.2±6.7	- ^c	6.3±6.2	2.8±2.5
T _{max} (h) V (L/kg)	20.3±19.7	18.8±5.4	96.7±48.9	_c _c	0.83±1.01 688.1±416.8	0.33±0.14 1144.8±764.4
CL (L/h/kg)	1.4±1.0	1.06±0.91	3.73±1.77	- ^c	219.3±246.5	1007.0±1286.8
F _{ab} (%)	100	93.5	20.5	- ^c	4.8	0.4

Table 3 Summary of Pharmacokinetic Parameters for Honokiol Following Intravenous and Oral Administration of Lecithin-BasedMixed Polymeric Micelles (NaDOC and PP123) and Free Honokiol-Magnolol (n=3)

Notes: ^aHonokiol/magnolol: lecithin: NaDOC=6:2:5; ^bHonokiol/magnolol:lecithin:PP123=1:1:10; ^cnon-detectable.

Abbreviations: k_{el} , elimination rate constant; $t_{1/2}$, half-life; T_{max} , time of maximum concentration observed; C_{max} , maximum concentration observed; AUC₀₋₇₂, area under curve from the beginning to the end point; V, volume of distribution; CL, clearance; F_{ab} , absolute bioavailability; F_{rel} , relative bioavailability.

Table 4 Summary of Pharmacokinetic Parameters for Magnolol Following Intravenous and Oral Administration of Lecithin-BasedMixed Polymeric Micelles (NaDOC and PP123) and Free Honokiol-Magnolol (n=3)

Treatment group	IV _{Free}	IV _{NaDOC} ^a	IV _{P123} ^b	Oral _{Free}	Oral _{NaDOC} ^a	Oral _{P123} b
Dose (mg/kg)	20	20	20	40	40	40
C _{max} (μg/mL)				0.14±0.07	0.39±0.19	1.48±0.44
AUC₀₋₇₂ (h.µg/mL)	15.95±6.82	54.49±20.97	12.25±9.28	1.10±0.84	3.21±4.61	0.98±0.24
k_{el} (I/h)	0.34±0.54	0.06±0.05	0.04±0.01	0.21±0.06	0.31±0.21	1.54±1.25
t _{1/2} (h)	13.30±10.90	17.27±10.85	16.05±2.98	3.42±1.00	3.30±2.46	1.17±1.44
T _{max} (h)				2.00±1.80	0.67±0.72	0.33±0.14
V (L/kg)	30.88±26.32	7.28±2.61	51.14±33.28	301.20±322.02	36.82±106.51	54.47±60.33
CL (L/h/kg)	1.36±0.46	0.34±0.13	2.18±1.19	63.21±70.88	45.86±36.00	36.20±8.82
F _{ab} (%)	100	341.6***	76.8	6.7	20.1***	6.1
F _{rel} (%)				100	291.8***	89.1

Notes: ^aHonokiol/magnolol:lecithin:NaDOC=6:2:5; ^bHonokiol/magnolol:lecithin:PP123=1:1:10. ***Significant (p < 0.005) compared to IV NaDOC; Parameters are defined in the footnotes to Table 3.

from *lb*MPMs[PP123] was slightly slower compared to that from *lb*MPMs[NaDOC] and occurred to a lesser extent. This can probably be attributed to the "coreshell" nanostructure of honokiol/magnolol entrapped in *lb*MPMs[PP123] in water, which allowed the slowrelease behaviors of drug-loaded micelles in vitro. This demonstrates that honokiol/magnolol entrapped in *lb*MPMs[PP123] were able to be sustainably released, thus preventing their clearance by the systemic circulation. With IV administration, free honokiol and magnolol were found to have rapid rates of distribution followed by slower rates of elimination in Sprague Dawley rats.⁴⁵ In our study, the PK characters of the isomers after IV administration indicated similar in vivo PK behaviors (eg AUC_{0- ∞}: 15.24 ± 8.95 vs 12.29 ± 2.08; t_{1/2}: 1.22 ± 0.78 vs 1.29 ± 0.57). The AUC, CL, and V of honokiol and magnolol tended to be similar between the two rat groups. The pattern of the plasma concentration–time curves demonstrated a rapid distribution phase followed by



Figure 7 Plasma concentration-time curves of honokiol ((A): 0–72h; (B): 0–8h) and magnolol ((C): 0–72h; (D): 0–8h) after oral administration of *lb*MPMs[NaDOC], *lb*MPMs [PP123] and free honokiol-magnolol at a single dose of 40 mg/kg to rats. Each point represents the mean \pm SD of three determinations (n=3).

a slower elimination phase, which is consistent with what is reported in the literature.^{45,46}

Previous in vitro release and stability study results revealed that *lb*MPMs[PP123] were more stable and exhibited slower release rates compared to *lb*MPMs[NaDOC] groups. *lb*MPMs[PP123] were expected to be sustainably released in vivo, thus preventing clearance by the systemic circulation. Nevertheless, this was not the case, as PK results suggested that H/ M-loaded *lb*MPMs[NaDOC] had longer $t_{1/2}$ and larger AUC values than those of *lb*MPMs[PP123] regardless of IV or oral administration. As is well known, the particle size and PI are the main physicochemical attributes that influence stability, EE, the drug-release profile, biodistribution, mucoadhesion, and cellular uptake.⁴⁷ The rate of uptake by immune system cells increases with an increase in the size of the nanocarrier. In general, the lowest possible PI is preferred in order to obtain more reliable and repeatable in vivo blood clearance PKs and subsequent biodistribution results.⁴⁸ Therefore, a possible explanation for such a high elimination rate found in the *lb*MPMs [PP123] group was the high PI value (0.413 ± 0.010) which caused a dramatic increase in being taken up by phagocytes, which resulted in a shorter period of circulation in vivo.

 $t_{1/2}$ and AUC levels were found to be significantly higher in *lb*MPMs[NaDOC] compared to the respective free drug groups. AUC levels of honokiol and magnolol for *lb*MPMs[NaDOC] were nearly equal (0.93) and 3.41fold increases compared with those of free honokiol and magnolol, respectively. H/M-loaded *lb*MPMs[NaDOC] were detected for a long duration (after 24 h). These results suggested that H/M-loaded *lb*MPMs[NaDOC] had greatly improved absorption, but the elimination of honokiol/magnolol was retarded. These results implied that H/ M loaded micelles could allow honokiol/magnolol to circulate in the blood for a longer time, inducing better therapeutic effects.

In the oral PK study, the oral bioavailability of honokiol and magnolol was reported to be only 5% due to extensive first-pass metabolism and low absorption.¹⁷ We also found a low bioavailability of free honokiol and magnolol in rats from our study (bioavailability: 0% and 9% respectively for the free honokiol and magnolol group). It is worth noting that the plasma concentration of free honokiol after oral administration was too low to accurately calculate the PK parameters. These experimental results are very similar to test results from other groups.^{49,50} Honokiol is a weakly acidic substance and is unstable in an alkaline environment such as the intestines at pH 8.4~8.6. The low bioavailability of honokiol in rats could presumably be due to its structural transformation, which might be related to pH and intestinal flora catabolism in the gastrointestinal tract.⁵¹ Another study by Liu et al also showed poor absorption in the gastrointestinal tract;⁴⁹ about half of the honokiol was quickly removed from the body after oral administration. Using lbMPMs[NaDOC], the oral bioavailability of honokiol/ magnolol was significantly increased. This was clearly demonstrated by the significant increase in $t_{1/2}$ and AUC levels for the *lb*MPMs[NaDOC] groups compared to the respective free honokiol and magnolol groups. Moreover, the relative bioavailability was dramatically enhanced, which was a 2.91-fold increase compared to the free magnolol group.

On the other hand, unsatisfactory results were obtained from the *lb*MPMs[P123] groups. There was no significant difference in plasma honokiol and magnolol concentrations between the *lb*MPMs[P123] and free drug groups. The low oral bioavailability of honokiol and magnolol could not be improved using lbMPMs[PP123] in our study. As is well known, in vitro drug-release testing is a powerful and useful method for determining product quality and sometimes to evaluate the clinical performance of dosage forms. However, in our experiments, the in vitro release did not correlate well with in vivo bioavailability. Higher bioavailability but higher in vitro drug-release results were observed for *lb*MPMs[NaDOC], while lbMPMs[PP123] demonstrated lower bioavailability and a slower in vitro drug-release profile. Furthermore, there was a little concern about the cytotoxicity of PP123 against MCF-7 observed in a previous study showing that the IC50 value for blank LMPM (composed of lecithin, PP123, and DSPE-PEG2K at a w/w ratio of 1:17.5:2.5) was >200 μ M.³⁰ Although this IC50 value was not significantly too high, it was still a potential

concern for in vivo safety if the local concentration in tissues or organs cumulated higher than this concentration.

The reasons for such a higher absorption for lbMPMs[NaDOC] may be as follows. First, the small particle size of *lb*MPMs provides a large interfacial surface area for drug absorption, therefore inducing higher bioavailability. Second, bile salts may act as an absorption enhancer by increasing the permeability of honokiol and magnolol across the gastrointestinal mucosa.52,53 Thus, the rapid and effective transmembrane transport of lbMPMs[NaDOC] led to much-higher bioavailability. In addition, clear secondary peaks were observed in the plasma concentration-time curves at 2 and 1.5 h for honokiol and magnolol, respectively, when H/M loaded lbMPMs[NaDOC] groups were orally administered. This might be due to the effect of bile salts via the enterohepatic circulation,^{54,55} which is attributed to the phenomenon of enterohepatic recycling, and may be an important consideration for interpreting the higher bioavailability.

Overall, *lb*MPMs[NaDOC] formulations exhibited significantly improved and superior bioavailability profiles regardless of IV or oral administration. The micelle biodistribution mainly depends on components of the hydrophilic shell causing the micelles to stabilize and interact with plasma proteins and cell membranes. Moreover, because of their amphiphilic characteristics, the encapsulated polymers used here have surfactant properties and boost the stability and biocompatibility of micelles. These favorable oral PK profiles may resolve the high dose problems associated with promising natural products for various medicinal applications.

Conclusions

The current study involved developing and characterizing H/M-loaded lbMPMs for improving the bioavailability of honokiol/magnolol. Optimal formulations of H/M:L: NaDOC (lbMPMs[NaDOC]) and H/M:L:PP123 (lbMPMs [PP123]) were 6:2:5 and 1:1:10, respectively, providing a particle size of 80-150 nm, an EE of >90%, and a DL >9.0%. two of Among optimal formulations. lbMPMs[NaDOC] significantly improved the bioavailability of honokiol and magnolol in comparison with that for *lb*MPMs[PP123] for both IV and oral administrations. It was concluded that *lb*MPMs improved the solubility, encapsulation stability, and bioavailability of honokiol/ magnolol, and represent a suitable delivery system for these hydrophobic drugs. Therefore, increasing honokiol/ magnolol bioavailability using lbMPMs can allow

honokiol/magnolol to become prominent therapeutic agents for treating cancer and other various disorders.

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Disclosure

The authors report no conflicts of interest in this work.

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