



# Application of Antibody Fragments Against Aβ With Emphasis on Combined Application With Nanoparticles in Alzheimer's Disease

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Alzheimer's disease (AD) is one of the most common neurodegenerative diseases and accumulating evidences suggest a key role of amyloid- $\beta$  (A $\beta$ ) peptide in the pathogenesis of AD. According to the amyloid cascade hypothesis, the imbalance of producing and clearing AB is the beginning of neurodegeneration and dementia. Consequently, immunotherapy becomes popular through using antibodies against AB. However, many studies of monoclonal antibodies were stopped because adverse effects appeared or there were no evident benefits observed. Some antibody fragments have many advantages over monoclonal antibodies, such as small sizes, lack of the crystallizable fraction (Fc) and so on. There are three main antibody fragments, including single chain variable fragments (scFvs), Fab fragments and single-domain antibody fragments. Nanoparticles can facilitate the entry of drug molecules across the blood-brain barrier, making them become excellent carriers. Various kinds of nanoparticles have been applied in the treatment of AD. The combination of nanoparticles and antibody fragments against amyloid-ß can be used in the diagnosis and treatment of Alzheimer's disease. In this review, we summarize the progress of antibody fragments against amyloid- $\beta$  in AD, focusing on the combined application with nanoparticles in the diagnosis and treatment of AD.

Keywords: antibody fragments, amyloid- $\beta$ , nanoparticle, Alzheimer's disease, immunotherapy

### INTRODUCTION

Alzheimer's disease (AD) is the most common cause of dementia (Holmes and Amin, 2020). One of the important pathological characteristics of AD is the extracellular aggregation of amyloid plaques, mostly consisted of amyloid- $\beta$  (A $\beta$ ) peptide. (Uddin et al., 2020). According to the amyloid cascade hypothesis, A $\beta$  plays a key role in the development of AD (Uddin et al., 2020). A $\beta$  is accumulated because it is overproduced or there is deficiency in elimination (Uddin et al., 2020). The excess of A $\beta$ results in the aggregated fibrils and neurotoxic oligomers. Immunotherapy targeting A $\beta$  to promote the elimination of A $\beta$  has become a promising strategy to treat AD (Behl et al., 2020). Bapineuzumab is a monoclonal antibody (mAb) against A $\beta$ , which was terminated in phase 3 clinical trials (Loureiro et al., 2020). Many second generation of anti-amyloid mAbs have been studied and undergone clinical trials. However, lots of clinical trials were terminated because results were not successful (Tian Hui Kwan et al., 2020). These monoclonal antibodies that entered phase 3 clinical trials mainly include Crenezumab, Solanezumab, Gantenerumab and Aducanumab (Tian Hui Kwan et al., 2020).

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TABLE 1	Antibody frac	gments against	different	epitopes	of Aß	in AD.
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Epitope	Antibody fragments	Investigation model	Comments	Reference
N-terminal	ScFv-h3D6	SH-SY5Y cells	Inhibit amyloid fibril formation and cytotoxicity,	Marin-Argany et al. (2011); Esquerda-Canals et al
region of Aβ		3xTg-AD mice	improve memory and learning abilities, decrease	(2013); Gimenez-Llort et al. (2013); Roda et al.
			levels of apoE, apoJ and tau, reduce levels of $A\beta$	(2020b); Guell-Bosch et al. (2020)
			oligomers and IL-6, increase volume of brain and	
			keep neurons within DCN from death, target oligomers, monomers, fibrils	
	ScFv-IC16	7PA2 cells	As a probe to detect $A\beta$ aggregation, target	Dornieden et al. (2013)
	301 1-10 10	Tq2576 mice	monomers, oligomers, fibrils	Donneden et al. (2013)
	ScFv HT7	SH-SY5Y cells, HUVEC and	Prevent aggregation of $A\beta$ , decrease cytotoxicity	Zhang Y. et al. (2019)
		C6 glioma cells	and transport the BBB with high efficiency, target	
		0	oligomers, protofibrils, fibrils	
	ScFv9	Drosophila	Improve memory impairment significantly	Martin-Pena et al. (2017)
	A8 scFv	A model of cell-free Aβ "on-	Prevent aggregation of $A\beta$ and disaggregate	Zhang et al. (2015c)
		pathway" aggregation	fibrils	
	WO-2 Fab	neuroblastoma cells	Inhibit the toxicity caused by $A\beta$ oligomer and	Robert et al. (2010)
			aggregation, boost the disaggregation of amyloid fibrils	
	F(ab)'2 fragment	Tg2576 mice	Decrease Aß plaque formation	Tamura et al. (2005)
	of an IgG1 mAb			
	Fab fragment of	Transgenic mice (Tg4-42	Therapeutic effect on loss of neurons and	(Antonios et al., 2015)
	NT4X	mice and 5XFAD mice)	memory impairment	
Central region	B4.4	SH-SY5Y cells	Neutralize toxicity of $A\beta$	Solorzano-Vargas et al. (2008)
of Aβ	H1v2 scFv	SH-SY5Y cells	Reduce $A\beta$ aggregation and eliminate toxicity	Liu et al. (2004)
	1E8 scFv	PC12 cell line and murine primary neurons	Decrease formation of fibril and protect cells from toxicity	Nisbet et al. (2013)
	ScFv17	APP/PS1 transgenic mice	Decrease pathological impairments	Hu et al. (2018)
C-terminal	VHH V31-1	Amyloids from AD patient	Prevent toxicity and formation of fibrils	Lafaye et al. (2009)
region of Aβ		brain tissue, SK-N-SH cells		
· - 3· - · · · · · · · · · · · · · · · ·	ScFv42.2	Drosophila	Improve memory deficit significantly	Martin-Pena et al. (2017)

BBB, blood-brain barrier; DCN, deep cerebellar nuclei; ScFv, single chain variable fragment.

These studies of Solanezumab and Crenezumab were terminated due to lack of efficacy. In terms of Gantenerumab and Aducanumab, although many studies were also terminated, there are researches still in progress (Tian Hui Kwan et al., 2020).

Some antibody fragments preserve the ability of combining with antigen, which can replace complete mAbs (Bitencourt et al., 2020). The size of antibody fragment is small, enhancing the capacity of passing through BBB. Besides, they can be very useful in imaging and the manufacturing cost is not high. There are three main kinds of antibody fragments, including single chain variable fragment (scFv), Fab fragment and single-domain antibody fragment (Bitencourt et al., 2020). Applying antibody fragments and combining them with nanoparticles in the diagnosis and treatment of AD are mainly discussed in this review. These antibody fragments against different epitopes of A $\beta$  in AD are summarized in **Table 1**. And the antibody fragments against A $\beta$  oligomers are summarized in **Table 2**.

# THE APPLICATION OF ANTIBODY FRAGMENTS AGAINST $A\beta$ IN AD

### The Application of scFvs Against $A\beta$ in AD

Applying scFv is safer compared with using compete mAb, because scFv do not contain the crystallizable fraction (Fc), which activates microglia and triggers complement system (Bitencourt et al., 2020). Therefore, Fc fragment is related to adverse effects produced by mAb. These adverse effects were part of the reason of stopping the clinical trials of Bapineuzumab (Bitencourt et al., 2020). Another reason was that researchers did not obtain treatment effect. The beginning of the treatment with Bapineuzumab was late in the clinical trials, which had been considered to be a reason for not obtaining clinical benefits. Therefore, treating AD in a prodromal stage is necessary (Tian Hui Kwan et al., 2020).

These scFvs can target different linear epitopes of  $A\beta$ , including N-terminal region, central region and C-terminal region. Besides, they can also target various conformational epitopes, which contain monomers, oligomers, protofibrils and fibrils (Robert and Wark, 2012).

# ScFvs Targeting the N-Terminal Region of A $\beta$ (Amino Acids 1-16)

#### Experiments of scFvs Targeting the N-Terminal Region of $A\beta$ In Vitro

ScFv-h3D6 was obtained from Bapineuzumab (Esquerda-Canals et al., 2019b). It was proved to be able to inhibit cytotoxicity caused by A $\beta$  peptide in the SH-SY5Y neuroblastoma cell line. The formation of amyloid fibril was also prevented by it (Marin-Argany et al., 2011). The conformational mechanism was relevant to the worm-like pathway (Marin-Argany et al., 2011). As for producing

#### TABLE 2 | Antibody fragments against Aβ oligomers.

Antibody fragments	Investigation model	Comments	Reference
W8 W9 W20 WC2	SH-SY5Y cells	Inhibit fibrillation of $A\beta$ and prevent cytotoxicity	Wang Xp. et al. (2009)
A4 scFv	SH-SY5Y cells Brain tissues of PD, AD and non disease patients	Inhibit $A\beta$ aggregation and decrease the toxicity	Zameer et al. (2008)
C6	7PA2 cells (a CHO cell line that over- expresses hAPP) wild type and triple transgenic (3xTg) mice	Combine with oligomeric $A\beta$ specifically	Kasturirangan et al. (2013)
NUsc1	Hippocampal neurons AD-transgenic mice and wild type mice	Comebine with $A\beta$ oligomers with high specificity, decrease oxidative stress of neuron, and decrease tau hyperphosphorylation	Sebollela et al. (2017)
MO6	SH-SY5Y cells, HUVEC and C6 glioma cells	Cross the BBB, reduce cytotoxicity, and increase cell viability	Zhang et al. (2015a)
AS	SH-SY5Y cells, HUVEC and C6 glioma cells, rat primary neurons	Pass through the BBB, prevent cytotoxicity, and decrease the level of $A\beta$	Zhang et al. (2015b)
ScFv-IC16	7PA2 cells Tg2576 mice	As a probe to detect A $\beta$ , inhibit A $\beta$ aggregation	Dornieden et al. (2013)
ScFv HT7	SH-SY5Y cells, HUVEC and C6 glioma cells	Prevent aggregation of $A\beta$ , decrease cytotoxicity and transport the BBB with high efficiency	Zhang Y. et al. (2019)
scFv HT6	SH-SY5Y cells	Prevent Aβ aggregation and decrease cytotoxity	Zhang X. et al. (2019)
10D5-scFv	Pheochromocytoma (PC12) cells	Prevent from forming oligomers and fibers of $A\beta$ and	Fu et al. (2020)
12B4-scFv	APPswe/PS1dE9 transgenic mice	inhibit toxicity	
ScFv-h3D6	SH-SY5Y cells	Inhibit formation of amyloid fibril, and protect cells	Marin-Argany et al. (2011); Esquerda-Canals et al
	3xTg-AD mice	from toxicity, improve memory and learning abilities,	(2013); Gimenez-Llort et al. (2013); Roda et al.
		decrease levels of apoE, apoJ and tau, reduce levels of A $\beta$ oligomers and IL-6, increase volume of brain and keep neurons within DCN from death	(2020b); Guell-Bosch et al. (2020)
VHH V31-1	Amyloids from AD patient brain tissue, SK-N- SH cells	Prevent toxicity and formation of fibrils	Lafaye et al. (2009)

BBB, blood-brain barrier; DCN, deep cerebellar nuclei; ScFv, single chain variable fragment.

scFv-h3D6, there are many problems in producing it from Escherichia coli, such as the existence of endotoxins. Therefore, producing it from Pichia pastoris was studied by Montoliu-Gaya et al. (2017a). In terms of inhibition of toxicity, they proved that effects of scFv-h3D6 were not changed whether it was obtained from Escherichia coli or from Pichia pastoris (Montoliu-Gaya et al., 2017a). The effect of inhibiting toxicity caused by AB was evaluated in the SH-SY5Y neuroblastoma cell line. Besides, obtaining this antibody fragment from Pichia pastoris had more advantages than from Escherichia coli, which made Pichia pastoris a better choice for producing scFv-h3D6 (Montoliu-Gaya et al., 2017a). Montoliu-Gaya et al. (2017b) also found that the production yield could be increased by eliminating the disulfide bond of the V<sub>H</sub> domain, resulting in the absence of scrambling conformations (Montoliu-Gaya et al., 2017b).

ScFv-IC16 could identify different A $\beta$  species, including monomers, oligmers and protofibrils, which was confirmed by ELISA analysis (Dornieden et al., 2013). And scFv-IC16 was able to stain A $\beta$  plaques in the brain slices of AD transgenic mice by immunohistochemistry. Therefore, scFv-IC16 could be used as a molecular probe of detecting A $\beta$ , which was potential for diagnosing and treating AD (Dornieden et al., 2013). A8 scFv, expressed in baculovirus, also could prevent the aggregation of A $\beta$  in a model of cell-free A $\beta$  aggregation (Zhang et al., 2015c). Besides, HT7 was obtained from the scFv antibody library of human, which was contributed by a healthy donor (Zhang Y. et al., 2019). HT7 antibody could disaggregate the A $\beta$ 42 aggregates *in vitro* and inhibit cytotoxicity caused by A $\beta$ 42 in SH-SY5Y cells. The mechanism of A $\beta$ 42 oligomeric subunits for effective anti-A $\beta$ 42 antibodies called "post-saturation dissociation" was raised (Zhang Y. et al., 2019).

# Experiments of scFvs Targeting the N-Terminal Region of $A\beta$ In Vivo

Gimenez-Llort et al. (2013) studied the protective effects of scFvh3D6 in the 3xTg-AD mice. They found that scFv-h3D6 could be effective in many aspects of 3xTg-AD mice, such as the recovery of swimming speed, improvement of memory and enhancement of learning ability. Treatment with scFv-h3D6 reduced the level of A $\beta$  oligomers and apolipoproteins, including apoE and apoJ (Gimenez-Llort et al., 2013). Besides, Esquerda-Canals et al. (2013) also studied functions of scFv-h3D6 in the 3xTg-AD mice. They focused on deep cerebellar nuclei (DCN) neurons. In the early phase of AD, some of these neurons could be dead. The scFv-h3D6 fragment could protect neurons from death, but not all of them, because of the insufficient dose in this study (Esquerda-Canals et al., 2013). Afterward, Esquerda-Canals et al.

(2019b) observed that levels of intracellular AB were decreased in the 3xTg-AD mice after injecting scFv-h3D6. It could prevent neurons from death. They also found that cognitive function was improved in terms of spatial memory according to the Morris Water Maze (MWM) tests (Esquerda-Canals et al., 2019b). Moreover, the treatment did not cause neuroinflammation. It was not harmful to the functions of kidney and liver, either. However, there were influences on spleen shown during the treatment, which indicated that spleen might be related to clearance of the combination of AB and scFv-h3D6 (Esquerda-Canals et al., 2019b). Roda et al. (2020a) proved the effect of scFvh3D6 on decreasing level of AB and improving impairment of cognition in the 3xTg-AD mice (Roda et al., 2020a). They suggested that applying scFv-h3D6 and stimulation of cognition together might be a strategy for treating AD (Roda et al., 2020a). Moreover, they found that levels of A $\beta$  and tau in the 3xTg-AD mice were both decreased after applying it. They also showed that scFv-h3D6 did not induce the response of inflammation (Roda et al., 2020b). Furthermore, another study also described the therapeutic effects of scFv-h3D6 in the 3xTg-AD mice. The volume of brain was increased while levels of IL-6 and AB were decreased after applying scFv-h3D6 (Guell-Bosch et al., 2020). In terms of producing scFv-h3D6, it was proved that scFv-h3D6 could decrease the level of Aβ in the 3xTg-AD mice whether it was obtained from Escherichia coli or from Pichia pastoris (Montoliu-Gaya et al., 2017a). And scFv9 could protect effectively against memory deficit of drosophila caused by Aβ42 deposits (Martin-Pena et al., 2017).

# ScFvs Targeting the Central Region of A $\beta$ (Amino Acids 17-32)

### Experiments of scFvs Targeting the Central Region of $A\beta$ In Vitro

B4.4 could combine with the central part of  $A\beta_{1-42}$  and inhibit the toxicity of fibrillar  $A\beta_{1-42}$  and oligomeric  $A\beta_{1-42}$  in SH-SY5Y cells (Solorzano-Vargas et al., 2008). Some scFvs have effects on inhibiting aggregation of A $\beta$ . Liu et al. (2004) discovered that H1v2 could combine with the 17-28 region of AB and have effects on inhibiting the aggregation of AB. It could also eliminate the toxic effects caused by aggregation of AB in SH-SY5Y cells (Liu et al., 2004). Besides, 1E8 scFv was obtained successfully and could combine with the central part of A $\beta$  (Nisbet et al., 2013). It was proven that 1E8 scFv could decrease fibril formation of  $A\beta_{1-42}$ . The toxicity caused by  $A\beta_{1-42}$  could be inhibited by 1E8 scFv in murine primary neuronal cultures and the P12 cell line (Nisbet et al., 2013). Besides, scFv 4.8 and scFv 3.20 prevented toxicity caused by oligomeric  $A\beta_{1-42}$  in neuroblastoma cell cultures (Medecigo et al., 2010). The 25-35 fragment of AB promotes AB aggregation and causes toxicity. The study showed that scFvs called B6 and D4 against 25-35 fragment of A $\beta$  could restrain the aggregation of A $\beta$ 42 and decrease the toxicity caused by Aβ42 in SH-SY5Y cells (Zameer et al., 2006).

## Experiments of scFvs Targeting the Central Region of $A\beta$ In Vivo

There were five fragments chosen and studied by Medecigo et al. (2010). ScFv 4.8 and scFv 3.20 could target central region of  $A\beta$ .

After the intracranial injection, it was observed that scFv 4.8 and scFv 3.20 identified amyloid deposits and decreased amyloid deposits specifically in the brains of APP/Tg2576 mice (Medecigo et al., 2010).

# ScFvs Targeting the C-Terminal Region of A $\!\beta$ (Amino Acids 33-42)

ScFv42.2 targeted the C-terminal region of Aβ. It could protect against memory deficit of drosophila (Martin-Pena et al., 2017). Drosophila model of AD can be used in studying the neuroprotective effect of novel scFvs. It was shown that scFv42.2 could inhibit the loss of neurons and improve neuron function. The effect of applying scFv9 and scFv42.2 together was also studied in drosophila (Fernandez-Funez et al., 2015). It was proven that their protective functions were synergistic, which indicated that applying scFvs targeting different epitopes together might be a more effective way to treat AD (Fernandez-Funez et al., 2015). ScFv17 targeting Aβ31-35 was obtained through genetic engineering technology of phage display (Hu et al., 2018). It was proven that scFv17 could penetrate BBB easily and had evident effects on decreasing the levels of AB oligomers and AB plaques in APP/PS1 transgenic mice (Hu et al., 2018).

## ScFvs Targeting a Conformational Epitope (Monomers, Oligomers, Protofibrils and Fibrils)

More and more evidences have shown that  $A\beta$  oligomers, instead of fibrils or monomers, is the main toxic form inhibiting synaptic plasticity (Wang X.-p. et al., 2009). According to the study, four scFv antibodies including W8, W9, W20 and WC2, were obtained from human scFv library through phage display, which identified Aβ oligomers specifically (Wang X.-p. et al., 2009). All of these scFv antibodies could combine with Aß oligomers and prevent against the cytotoxicity in SH-SY5Y cells and fibrillation of AB (Wang X.p. et al., 2009). A4 scFv antibody targeting Aβ oligomers was proven to restrain Aß aggregation and decrease the toxicity in SH-SY5Y cells (Zameer et al., 2008). Besides, A4 scFv was able to combine with AB aggregates in brain tissues of AD patients (Zameer et al., 2008). C6 scFv can also combine with oligomeric AB in 7PA2 cells and brain tissues of triple transgenic mice (Kasturirangan et al., 2013). It could be helpful in diagnosing neurodegenerative diseases and evaluating the treatment and development of disease (Kasturirangan et al., 2013). Similar with C6, NUsc1 is a scFv which targets  $A\beta$ oligomers (Sebollela et al., 2017). It is potential to be used in the diagnosis and treatment in AD (Sebollela et al., 2017). It was reported that scFv MO6 could identify and combine with the oligomeric Aβ42 selectively (Zhang et al., 2015a). It could decrease levels of oligomeric Aβ42 by preventing their formation and disaggregate Aβ42 aggregation. ScFv MO6 could also reduce the cytotoxicity caused by Aβ42 and improve viability of cell. And scFv MO6 could cross the BBB model in vitro (Zhang et al., 2015a). Moreover, scFv AS was identified and could combine with immature protofibrils as well as medium-size AB oligomers (Zhang et al., 2015b). ScFv AS could decrease levels of Aβ oligomers through preventing their formation or leading to their disaggregation (Zhang et al., 2015b). In this way, Aβ

cytotoxicity was inhibited. ScFv AS could also transport across the BBB model in vitro (Zhang et al., 2015b). What is more, scFv HT6 was able to combine with medium-sized AB42 aggregation and lead to disaggregation of large Aβ42 aggregation into small Aβ42 oligomers (Zhang X. et al., 2019). At the same time, it could inhibit the progress of AB42 aggregation. The effect of scFv HT6 on decreasing the cytotoxicity caused by AB42 oligomers was evident (Zhang X. et al., 2019). HT7 could target oligomers, protofibrils and fibrils (Zhang Y. et al., 2019). Besides, scFv-IC16 could recognize monomers, oligomers and fibrils (Dornieden et al., 2013). Besides, there are many scFvs targeting several conformational epitopes. Both 12B4-scFv and 10D5-scFv could combine with A $\beta$  fibers, monomers and oligomers, as well as A $\beta$ plaque successfully. Moreover, the study showed that 10D5-scFv could combine with Aß fibers and oligomers better than 12B4-scFv (Fu et al., 2020).

#### Adeno-Associated Virus as Vectors of scFvs

Adeno-associated virus (AAV) can be vectors of scFvs (Levites et al., 2006). Levites et al. (2006) found that AB deposition was reduced after injecting AAV1 containing the scFv (scFv9, scFv40.1 and scFv42.2) into the ventricles of CRND8-transgenic mice. Another study about injecting AAV containing the scFv59 into the corticohippocampal parts showed that the amyloid deposits were decreased compared with that of mice injected with PBS (Fukuchi et al., 2006). The existence of scFv59 could be detected easily in the neurons in a year after injecting AAV containing the scFv59 (Fukuchi et al., 2006). However, immunotherapy through recombinant AAV (rAAV) can cause hemorrhage by injecting it into ventricle (Kou et al., 2011). Researchers should pay attention to this point (Kou et al., 2011). Delivering scFv59 directed by muscle through rAAV1 was proven to be an efficient way of reducing A $\beta$  deposits in the brain (Yang et al., 2013). Reduced levels of  $A\beta$  were observed in cerebrospinal fluid (CSF). However, incremental levels of  $A\beta$  were shown in the serum (Yang et al., 2013). Wang Y.-J. et al. (2009) proved that delivering the scFv gene through AAV in an intramuscular way or in an intracranial way did not cause the increase of microhemorrhage, activation of microglia or evocation of T cells. Besides, the effect was similar in these two ways. The level of  $A\beta$  was increased in serum while was decreased in the brain (Wang Y.-J. et al., 2009). The effect of delivering scFv gene intramuscularly was significant on inhibiting the formation of  $A\beta$  plaque and preventing the accumulation of AB. Besides, the cognitive impairment was also ameliorated through delivering scFv gene intramuscularly. Wang et al. (2010) proved that delivering scFv gene intramuscularly did not induce microhemorrhage or inflammation in the brain of APP<sub>Swe</sub>/ PS1dE9 transgenic mice (Wang et al., 2010). After intrahippocampally injecting rAAV1, insoluble AB was decreased and Morris Water Maze (MWM) showed that the cognition of mice was improved (Ryan et al., 2010).

#### The Application of Fab Fragments in AD Experiments of the Application of Fab Fragments in AD *In Vitro*

It was proven that 1E8-4b Fab could identify  $A\beta$  [1-40/42/43] peptides (Tammer et al., 2002). 1E8-4b Fab was able to combine

with plaques in the brain tissue sections from CERAD-defined AD patients by immunohistochemistry (Tammer et al., 2002). It was demonstrated that rFab of WO-2 had the ability of restraining the neurotoxicity caused by  $A\beta_{1-42}$  oligomer and depolymerizing the  $A\beta_{1-42}$  fibrils formed previously (Robert et al., 2009). It was shown that humanized WO-2 Fab had the ability of inhibiting the toxicity caused by  $A\beta$  oligomer and aggregation (Robert et al., 2010). It could boost the disaggregation of amyloid fibrils (Robert et al., 2010). Fab can be combined with a quenchbody (Q-body), which is an antibody-based biosensor. This combination can be used in detection and imaging of  $A\beta$  aggregation, which may help diagnose AD (Dong et al., 2018).

## Experiments of the Application of Fab Fragments in AD *In Vivo*

4396C is a mAb targeting GM1 ganglioside-bound A $\beta$  (GA $\beta$ ) specifically (Yamamoto et al., 2005). According to a study, Fab fragments of 4396C were effective in the inhibition of A $\beta$  deposition in transgenic mice after the intraperitoneal injection of Fab fragments (Yamamoto et al., 2005). F(ab)'2 fragment belongs to an IgG1 mAb. The F(ab)'2 fragment was able to decrease A $\beta$  plaque formation in Tg2576 mice after the injection of it, whether in an intracranial way or in an intraperitoneal way (Tamura et al., 2005). Application of the F(ab)'2 fragment decreased the infiltration of phagocytes compared with the complete mAb (Tamura et al., 2005). The Fab fragment which belonged to NT4X had the therapeutic effect on loss of neurons and memory impairment (Antonios et al., 2015).

### The Application of Single-Domain Antibody Fragments in AD

## Experiments of the Application of Single-Domain Antibody Fragments in AD *In Vitro*

The VHH is a single-chain domain binding to the antigen, which lacks light chain. The recombinant VHH has an intact antigenbinding domain with minimal size (Lafaye et al., 2009). VHHs acquired from an immunized alpaca phage display library, could identify  $A\beta$  oligomers with low molecular-weight (MW) selectively in neurons (Lafaye et al., 2009). VHH V31-1 was able to inhibit the formation of fibril and prevent the neurotoxicity caused by  $A\beta$  (Lafaye et al., 2009). The study suggested that VHH V31-1 was potential in imaging and diagnosing AD (Lafaye et al., 2009). Hydrophobic complementarity-determining regions (CDRs) are able to boost the aggregation of antibody (Perchiacca et al., 2012). It was observed that the solubility of VHH could be increased by changing the sequence of CDR loops within them. In this way, the aggregation of antibodies would be resisted while their binding affinity would not be decreased. VHH used in this study was specific for AB peptide and their specificity did not change (Perchiacca et al., 2012). Gammabody is a VH antibody against A $\beta$ , which could identify A $\beta$  aggregations specifically with high affinity and decrease A $\beta$  toxicity (Zhang et al., 2018). VH antibody fragments obtained from the mouse immune library could decrease the toxicity caused by oligomeric Aβ42 (Medecigo



et al., 2010). The specific combination of VH antibody fragments and  $A\beta$  deposits was observed in the brain of Tg2576 mouse (Medecigo et al., 2010).

### Experiments of the Application of Single-Domain Antibody Fragments in AD *In Vivo*

The size of llama VHH is small, which is about 12–15 kDa (Rotman et al., 2015b). It could cross the BBB, but the BBB passage was poor (Rotman et al., 2015b). It was observed that VHHs could extravagate slowly to pass the BBB. It was shown that VHHs diffused in the parenchyma. Besides, the tangles of neurofibril and deposits of amyloid were labeled by VHHs (Li et al., 2016). After delivering a VH fragment in an intracranial way, this fragment could decrease the amyloid burden in the Tg2576 mouse (Medecigo et al., 2010).

### THE COMBINED APPLICATION OF ANTIBODY FRAGMENTS AND NANOPARTICLES IN AD

Nanoparticles can serve as drug delivery carriers to cross the BBB (Thangudu et al., 2020). Nanoparticles have many advantages. For

example, nanoparticles can make hydrophobic drugs more stable and effective. And they can help drugs to target at tissues specifically and increase efficacy. Therefore, less doses can be effective and adverse effects will be decreased (Thangudu et al., 2020). Nanoparticles can pass through the BBB because their small sizes, often between 1 and 100 nm (Thangudu et al., 2020). Different kinds of nanoparticles have been used in the diagnosis and treatment of AD, including polymeric nanoparticles, liposomes, magnetic nanoparticles and etc (Liu et al., 2019). Nowadays, the combination of nanoparticles and antibody fragments has been applied in the diagnosis and treatment of Alzheimer's disease. The following studies related to the combined application of antibody fragments and nanoparticles are summarized in **Figure 1**.

# The Combination of Fab Fragments and Polymeric Nanoparticles

Polymeric nanoparticles are promising to be used in diagnosis and therapy of AD if they can pass through the BBB efficiently. Chitosan nanoparticles belong to polymeric nanoparticles (Agyare et al., 2008). There are several advantages of the chitosan nanoparticles (Agyare et al., 2008): 1) no allergic reactions induced; 2) effects on improving absorption of drugs;

3) The producing condition is very mild, which guarantee the completeness of proteins and etc.; 4) the degradation product of chitosan in the body is nontoxic. However, it was still challenging for these nanoparticles to target amyloid deposits in the brain specifically (Agyare et al., 2008). In order to solve this problem, smart nano-vehicles (SNVs) were designed by Agyare et al. (2008). The polymeric core of SNV was made from chitosan nanoparticle. The  $pF(ab')_2$  was used as the biosensor, which covered the surface of the nanoparticle core. PF(ab')<sub>2</sub> could detect deposits of amyloid specifically.  $PF(ab')_2$  was obtained by using putrescine to modify a  $F(ab')_2$  fragment. It belongs to IgG4.1 against A $\beta$ . The pF  $(ab')_2$ could cross BBB and combine with plaques and deposits of amyloid. Therefore, SNVs in this study were the chitosan polymeric cores (CPCs) covered with PF(ab')<sub>2</sub>. Meanwhile, CPCs covered with a bovine serum albumin (BSA) were made as a control nano-vehicle (CNV). BSA applied in the control group was not specific and its molecular weight was similar to pF  $(ab')_2$ . The study showed that uptake of <sup>125</sup>I-SNVs was much more than that of <sup>125</sup>I-CNVs in the brain of mice. Therefore, they suggested that  $pF(ab')_2$  enhanced the transcytosis of SNVs in the endothelial cells of BBB (Agyare et al., 2008). Afterward, Agyare et al. (2014) had another study about combining chitosan with  $pF(ab')_2$ . Different with the previous system, they added a MRI contrast agent and cyclophosphamide (CYC). This new system was called theranostic nanovehicles (TNVs). The MRI contrast agent Magnevist<sup>®</sup> could detect the early stage of cerebral amyloid angiopathy (CAA). They loaded the core with CYC, which was able to inhibit cerebrovascular inflammation. They found that the productions of pro-inflammatory cytokine were decreased more effectively by using TVNs than using CYC alone.  $PF(ab')_24.1$  that was on the surface of this nanocore could combine with amyloid. TVNs were able to target accumulation of amyloid in the brain of mice. Besides, they observed that TVNs could prevent production of cytokines caused by the exposure of A $\beta$ 40 (Agyare et al., 2014). Another application of combining polymeric nanoparticles and antibody fragment is studied by Xie et al. (2020). The system of polymeric nanomicelle (PM) was provided to deliver 3D6-Fab. After applying the system of PM, they found that 3D6-Fab was transported more into the brain of AD mice than using this fragment alone. The accumulation of this fragment was 41 times in the system of PM than using 3D6-Fab without this system. It was observed the aggregation of  $A\beta_{1-42}$  was restrained in the way of transporting 3D6-Fab through this system. 3D6-Fab absorbed by peripheral tissues was minimal. It was proven that the effect on treating AD was increased significantly by using 3D6-Fab combined with PM system compared with that of applying free 3D6-Fab (Xie et al., 2020).

### The Combination of VHH and Liposomes

Liposomes are also used as carriers widely. Liposome contains an aqueous core and the lipid bilayer, whose unique structure is able to carry many different kinds of agents (Gopalan et al., 2020). Liposomes are nanometric and they can target certain domains specifically (Gopalan et al., 2020). VHH could be applied in the detection of A $\beta$  deposits based on their high affinity to A $\beta$  deposits. VHHs were also able to cross the model of BBB *in vitro*. VHHs were non-immunogenic and had been applied in clinical trials of

human (Rotman et al., 2015a). According to the previous study, the renal clearance of VHH given in the intravenous way was rapid and the half-lives in blood were about 10-20 min, leading to the limited BBB passage. Therefore, GSH-PEG liposomes were developed in order to extend its time of staying in the blood, deliver VHH and make it pass through the BBB (Rotman et al., 2015a). This study offered a potential platform for diagnosing and treating AD by using VHH. VHH-pa2H was radiolabeled with indium-111 (<sup>111</sup>In) and DTPA so that VHH-pa2H itself could be traced (Rotman et al., 2015a). Two formulations of GSH-PEG liposomes which loaded VHH-pa2H-DTPA-<sup>111</sup>In were designed in the delivery of VHH. They found that liposomes were able to improve bioavailability and extend residence of VHH in the blood. In comparison with free VHH-pa2H, standard uptake values (SUV) were increased in the both formulations of GSH-PEG liposomes. VHH were able to be delivered into the brains of transgenic mice by GSH-PEG liposomes (Rotman et al., 2015a).

# The Combination of Antibody Fragments and Gold Nanoparticles

Gold nanoparticles (AuNPs) have excellent biocompatibility, stability and optical properties (Liu et al., 2019). Gold nanoparticles can be bioconjugated and functionalized easily. Their advantages make them become outstanding candidate in comparison with other nanoparticles. It was reported that combination of amyloid-degrading enzymes (ADEs), nanoparticles and scFvs had the capacity of reducing neurotoxicity by disaggregating AB fibrils and restraining aggregation of AB. Liu et al. (2019) made a complex called GNRs-APH-scFv (GAS). It was consisted of scFv 12B4, thermophilic acylpeptide hydrolase (APH) ST0779 and gold nanorods (GNRs). GNRs could produce hyperthermia by converting optical energy. GNRs were more effective in absorbing energy of near infrared (NIR) light than spherical AuNPs (Liu et al., 2019). Local hyperthermia could dissolve Aß aggregations. ScFv binding to Aß oligomers and fibrils, made hyperthermia specific, which decreased damage to other normal tissues. ST0779 could hydrolyze AB monomers. Multifunctional GAS complex could detect aggregation of AB and clear excessive  $A\beta$  by activating this entire system with NIR light after detecting Aβ. This complex can restrain aggregation of A $\beta$ , disassemble fibrils of A $\beta$  and decrease activity of peroxidase caused by A $\beta$ . The A $\beta$  cytotoxicity was decreased (Liu et al., 2019). Besides, there is another study about AuNPs and antibody fragments. An antibody against fibril of  $A\beta_{1-42}$  is called OC. Immobilizing the half fragment of OC on AuNPs was able to make an immunosensor. Palla et al. (2021) proved that the immunosensor could detect fibril of A $\beta_{1-42}$  and evaluate the progress of AD (Palla et al., 2021).

### The Combination of Antibody Fragments and Superparamagnetic Iron Oxide Nanoparticles

Superparamagnetic iron oxide nanoparticles (SPIONs) are usually used in imaging as MRI contrast agents (Thangudu

et al., 2020). Liu X.-G. et al. (2020) made a system called W20/ XD4-SPIONs. They studied the effects of it on diagnosing and treating AD. SPIONs can transport through the BBB because of their small sizes. Aß oligomers (ABOs) have important effect on causing dementia. W20, which is a scFv, could identify oligomers of amyloid specifically (Liu X.-G. et al., 2020). Phagocytosis of ABOs could be boosted by XD4. The class A scavenger receptor (SR-A) was activated by XD4. PEGylated SPIONs were combined with XD4 and W20. It was studied that W20/XD4-SPIONs boosted the phagocytosis of AB obviously because of the effect of XD40 on SR-A. W20/XD4-SPIONs could pass through the BBB. They could arrive at region of oligomers in the brain of AD transgenic mice according to the MRI. Their combinations with ABOs were sensitive and specific. They can be used in the diagnosis of AD in the early phase. Besides, W20/XD4-SPIONs could be used in treating AD because they can restrain the aggregation of A<sub>β</sub>. (Liu X.-G. et al., 2020). It was proved that W20/XD4-SPIONs could reduce cytotoxicity caused by ABOs. As for microglia, phagocytosis of Aß was improved. And the cognition impairments and neuropathology were ameliorated by W20/XD4-SPIONs in AD mice (Liu X.-g. et al., 2020).

Taken together, different nanoparticles can be combined with antibody fragments including scFv, Fab fragment and singledomain antibody fragment. Their combination could facilitate the penetration of BBB and provide multiple therapeutic effects. Among these complexes, we prefer the combined application of antibody fragments and gold nanoparticles, which integrated diagnosis and treatment in one system. And local hyperthermia could dissolve A $\beta$  aggregations. Furthermore, multifunctional GAS complex had less side effects due to its high specificity. Therefore, the combination of nanoparticles and antibody fragments may have more advantages in diagnosing and treating AD.

#### SUMMARY

It is promising to apply antibody fragments against  $A\beta$  in the diagnosis and treatment of AD. Antibody fragments have been further developed, because of their advantages over mAbs. Nanoparticles are potential candidates for drug delivery. A

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very important advantage of nanoparticles is that they can bind with many substances and deliver them to targeted regions. Nanoparticles can bind different agents with multiple functions to treat AD, which may take synergistic effects. Besides, nanoparticles can be combined with detective agents and treatment agents at the same time, integrating diagnosis and treatment in one system. Therefore, the combination of antibody fragments and nanoparticles becomes a system. This system can preserve and even enhance the functions of antibody fragments. In addition, many aspects should be paid attention to and further studied, for example, improving the specificity and stability of the combination and evaluating the potential toxicity. In the future, more and more combined application of antibody fragments and nanoparticles will be developed. All in all, combining antibody fragments with nanoparticles is a promising strategy in the diagnosis and treatment of AD.

### AUTHOR CONTRIBUTIONS

Z-TS, CM, G-JL, and X-YZ searched the literature and drafted the manuscript. Y-TH made the figure. XW and YY critically revised the manuscript. All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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#### **GLOSSARY GAS:** GNRs-APH-scFv AD: Alzheimer's disease GNR: gold nanorod **A** $\beta$ : amyloid- $\beta$ **GAβ:** ganglioside-bound Aβ AAV: adeno-associated virus MWM: Morris Water Maze **A**β**O**: Aβ oligomer MP: mimetic peptide ADE: amyloid-degrading enzyme MW: molecular-weight **APH:** acylpeptide hydrolase **mAb:** monoclonal antibody **BBB:** blood-brain barrier NIR: near infrared **BSA:** bovine serum albumin **PM:** polymeric nanomicelle CDR: complementarity-determining region rAAV: recombinant adeno-associated virus CSF: cerebrospinal fluid scFv: single chain variable fragment **CPC:** chitosan polymeric core **SNV:** smart nano-vehicle CNV: control nano-vehicle SUV: standard uptake values **CYC:** cyclophosphamide SPION: superparamagnetic iron oxide nanoparticle CAA: cerebral amyloid angiopathy SR-A: class A scavenger receptor DCN: deep cerebellar nuclei TNV: theranostic nanovehicle Fc: crystallizable fraction