# Guidance for the management of adult patients with coronavirus disease 2019

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In December 2019, a novel coronavirus was identified in Wuhan, Hubei Province, China. [1-3] On January 12, 2020, the World Health Organization (WHO) temporarily named the new coronavirus as "2019 novel coronavirus (2019-nCoV)." On February 8, the National Health Commission of the People's Republic of China announced that the name of the pneumonia caused by 2019-nCoV was "novel coronavirus pneumonia (NCP)." On February 12, Coronaviridae Study Group of the International Committee on Taxonomy of Viruses proposed to officially name the new coronavirus "severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)." On the same day, the WHO announced the official name of the disease caused by the virus as "coronavirus disease 2019 (COVID-19)." On February 22, the National Health Commission of the People's Republic of China issued a notice to amend the English name of "NCP" to "COVID-19." [4] Currently, although the epidemic situation in China is under control, the number of cases globally has been increasing. On March 11, 2020, the WHO officially announced that COVID-19 had reached global pandemic status. As experience and understanding of disease prevention, control, diagnosis, and treatment continue to accumulate, a summary of the results of available studies and experience is needed to guide the management of the disease. This guidance explains the up-to-date etiology, pathogenesis, epidemiology, clinical characteristics, treatment principles, rehabilitation, and prevention and control measures of COVID-19.

# Definition, Etiology, and Pathogenesis of COVID-19

#### **Definition**

COVID-19 is an acute respiratory infectious disease caused by the newly emerging SARS-CoV-2. The incubation period of this disease is generally 1 to 14 days, and the main manifestations are fever, dry cough, and fatigue. Most patients have mild clinical symptoms and good

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prognosis; however, more severe cases can quickly deteriorate to acute respiratory distress syndrome (ARDS) and septic shock. [1] Furthermore, myocardial injury, acute kidney injury (AKI), and other organ dysfunction often occur in patients with severe disease. [2,3]

# Etiology

In December 2019, after an unexplained viral pneumonia epidemic emerged in Wuhan, the National Health Commission designated the Chinese Center for Disease Control and Prevention, the Chinese Academy of Medical Sciences, the Academy of Military Medical Sciences of the Academy of Military Sciences, the Hubei Provincial Center for Disease Control and Prevention, and the Wuhan Institute of Virology, Chinese Academy of Sciences, among others, as parallel testing units. This combined effort resulted in the joint identification of a new type of coronavirus as the pathogen that caused the outbreak. On January 8, the results of the preliminary pathogen identification were announced, and the complete genome sequence of the virus was actively announced to the world. [2,5-7] The Coronaviridae Study Group of the International Committee on Taxonomy of Viruses named the virus SARS-CoV-2.[8]

SARS-CoV-2 belongs to the β-coronavirus genus of coronaviruses, which is the same branch as severe acute respiratory syndrome coronavirus (SARS-CoV). Sequence alignment of the virus genome shows that SARS-CoV-2 is homologous to the SARS-CoV by approximately 79% and

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to the Middle East respiratory syndrome coronavirus (MERS-CoV) by only approximately 52%. [5] Similar to SARS-CoV, SARS-CoV-2 uses angiotensin-converting enzyme II (ACE2) as a receptor, which is widely distributed in many organs such as the lung, heart, kidney, and gastrointestinal tract. [7,9]

# **Pathogenesis**

Although most patients infected with SARS-CoV-2 have only mild respiratory symptoms, the disease in approximately 5% of patients will progress to severe lung injury or even multiple organ dysfunction, with a mortality rate of 2%. [10] Until now, a substantial amount of research has been conducted in China. This has resulted in a basic understanding of the disease characteristics of COVID-19. However, the pathogenesis remains unknown, and further research is needed.

Based on the recent findings of studies on SARS-CoV-2 and those of previous studies on SARS-CoV, we speculate that the pathogenesis of severe COVID-19 follows three potential mechanisms. (1) Direct infection by SARS-CoV-2 contributes to multiple organ damage. Electron microscopy of autopsy specimens has revealed a large number of virus particles in alveolar epithelial cells, [1] and live virus particles have also been isolated from respiratory specimens and urine and stool samples. [11,12] (2) An imbalance of the host immune response, characterized by cytokine storms and lymphopenia, is an important precursor of the onset of severe COVID-19. [2] (3) Multiple organ injury and coagulopathy caused by the virus also participate in the pathogenesis of severe COVID-19. [3]

#### Pathological changes

#### Autopsy and gross observation

Following severe COVID-19, the lung lobes on both sides atrophy to varying degrees. On the cut surface, the lung air content decreases and solid changes are observed at varying degrees; no significant secretion is retained in the trachea, main bronchi, or lobar bronchi. Most patients have adhesions to the chest wall, especially in the middle and lower lobe of the lung.

#### Light microscopic observation

The main pathological changes noted in the lungs are a large number of macrophages and serous fibrous exudation in the alveolar cavity, accompanied with intra-alveolar hemorrhage [Figure 1]; diffuse alveolar damage, carnification in alveolar space, and pulmonary consolidation [Figure 2]; transparent membrane formation at alveolar cavity surface in some patients; Type II alveolar epithelium proliferation to varying degrees; alveolar septa widening to varying degrees and interstitial fibrous tissue proliferation, with a small amount of lymphocyte infiltration [Figure 3]; and retention of mucinous secretion and even mucus plugs in some small airways (mainly bronchioles and terminal bronchioles). Some patients have secondary bacterial infections, which are manifested as inflammatory cells, mainly neutrophils, infiltrating the lesion, and a few patients have secondary fungal infections, which are manifested as fungal mycelia

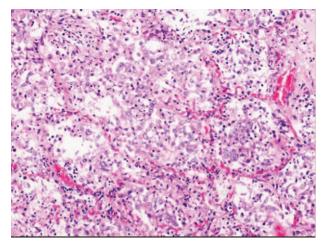
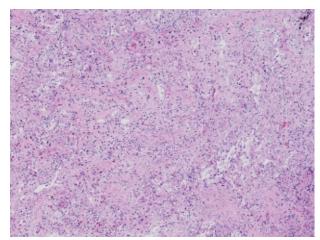
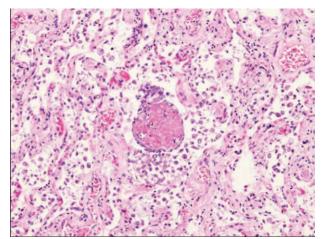


Figure 1: Exudation of a large number of macrophages in the alveolar cavity and a small amount of lymphocyte infiltration in the alveolar septum (hematoxylin & eosin staining; original magnification  $\times 200$ ).



**Figure 2:** Significant fibrotic proliferation and lung consolidation (hematoxylin & eosin staining; original magnification ×100).



**Figure 3:** Widening of the alveolar septum with fibrotic proliferation (hematoxylin & eosin staining; original magnification  $\times 100$ ).

and sporophytes in the lesion. These findings are consistent with viral pneumonia with or without secondary bacterial or fungal infection.

# **Epidemiology of COVID-19**

#### Source of infection

Currently, it is believed that patients infected with SARS-CoV-2 are the main source of infection. Latent patients with no or mild transient symptoms may also become sources of infection. These patients are difficult to diagnose and isolate in a timely manner because they have no obvious symptoms. The accumulation of infectious sources at the community level has also hindered disease control. Current evidence suggests that patients in the incubation period may be infectious to some extent and that the presence of the virus can be detected in patients in the early recovery period, who may also be somewhat infectious. [1]

#### Modes of transmission

At present, respiratory droplets and close contact are considered to be the main route of transmission. [13] The virus is thus primarily transmitted through droplets produced by infected individuals when they cough and talk, and individuals who are susceptible become infected after inhaling these droplets. The droplets containing the virus can also be deposited on the surface of items and then transmitted to the mucous membranes of the mouth, nose, and eyes by contaminated hands and subsequently to the respiratory tract, where the infection develops. Aerosol transmission is also possible via prolonged exposure to high concentrations of virus aerosols in a relatively closed environment. As SARS-CoV-2 has also been isolated from feces and urine, there is a risk of fecal-oral transmission. A possible connection between environmental pollution caused by feces and urine and aerosol or contact transmission routes should be considered. Further studies are needed to determine whether mother-to-child transmission can occur.

# Susceptible populations

As COVID-19 is a novel infectious disease, the global population lacks immunity to SARS-CoV-2, and thus, people of all ages are susceptible to infection. Elderly adults and people with comorbidities, such as chronic obstructive pulmonary disease, diabetes, hypertension, and heart disease, have an increased risk of infection. Close contacts with symptomatic and asymptomatic infected patients are at high risks of developing COVID-19. Medical staff is also at a higher risk of infection.

#### **Clinical Features of COVID-19**

#### Clinical manifestation

The incubation period is generally 3 to 7 days, with the shortest and longest known incubation periods of 1 day and 14 days, respectively. Acute onset of fever and fatigue occurs in the early stage, and the primary respiratory symptom is dry cough. A minority of patients also have symptoms of nasal congestion, runny nose, sore throat, muscle pain, and/or diarrhea. In severe cases, chest

tightness and dyspnea may gradually develop after 7 to 10 days. ARDS, septic shock, metabolic acidosis that is particularly difficult to correct, and coagulation dysfunction can occur in critically ill patients. Notably, patients with severe illness can have moderate to low fever, even without obvious fever symptoms. Mild cases of infection generally manifest as low fever, fatigue, and no symptoms of pneumonia. Although most patients have a good prognosis, a few patients (approximately 5%) become critically ill and may die.

# Laboratory and radiological investigation

#### General inspection

In the early stage of the disease, the total number of white blood cells is normal or decreased, and the lymphocyte count is decreased. Some patients have increased levels of liver enzymes, muscle enzymes, and myoglobin. In most patients, C-reactive protein levels and erythrocyte sedimentation rates are elevated, and procalcitonin levels are normal. In severe cases, D-dimer levels are elevated, and inflammatory factors may also be elevated.

# Etiology and serology

On etiological examination, SARS-CoV-2 nucleic acid can be detected in nasopharyngeal swabs, sputum, and other lower respiratory tract secretions, blood, stool, and other specimens using real-time fluorescence quantitative reverse transcription polymerase chain reaction (RT-PCR) or next-generation sequencing (NGS). Detection with lower respiratory tract specimens (sputum and airway extracts) is more accurate. On serological examination, new specific immunoglobin M (IgM) antibodies are typically observed 3 to 5 days after onset, and the immunoglobin G (IgG) antibody titers in the recovery period are at least four times the amount in the acute phase.

#### Chest imaging

Multiple small patchy shadows and interstitial changes appear in the early stage and are obvious in the peripheral zone of lungs. These then develop into multiple ground-glass infiltrates in the lungs. In severe cases, pulmonary consolidation may appear, and pleural effusion is rare. Chest imaging findings are not specific and must be combined with clinical manifestations and dynamic observations. If short-term disease progression is obvious, the presence of multiple lung lesions is supportive of a definitive diagnosis; however, if the patient has an underlying lung disease, it is often difficult to distinguish viral lesions from other lung lesions, and thus, diagnosis must be confirmed with other tests. [1]

# **Diagnosis of COVID-19**

### Etiological diagnosis

# Detection of SARS-CoV-2 nucleic acid using RT-PCR and/or NGS

Various specimens, including nasopharyngeal swabs, sputum and other lower respiratory tract secretions, blood, and stool, are used to test positivity for SARS-CoV-2 nucleic

acid, and positive findings can confirm viral infection. The most commonly used method for detecting nucleic acid is RT-PCR, which is the standard for COVID-19 etiological diagnosis. However, RT-PCR results can present with a false negative. SARS-CoV-2 infection mainly invades bronchial epithelial cells and alveolar epithelial cells; thus, lower respiratory tract specimens (ie, sputum or airway extracts) should be used to more accurately determine infection. Qualified sample collection, rapid delivery of specimens for inspection, standardized testing operation procedures, and use of standard-compliant test kits can effectively improve the accuracy of sample detection. In addition, nicking enzyme amplification reaction, which does not rely on the extraction of nucleic acids, can be used for rapid SARS-CoV-2 detection and screening.

# Serological detection of SARS-CoV-2 specific antibodies

Most SARS-CoV-2-specific IgM antibodies are positive 3 to 5 days after onset. IgG antibody can serve as a confirmatory test when the titers in the recovery period are at least four times the amount in the acute phase. Enzymelinked immunosorbent assay, the antibody gelatin method, and immunochromatography method can detect serumspecific IgM and IgG antibodies, with a sensitivity of 50% or more, and a specificity of 90% to 99%. The combined detection of IgM and IgG can improve diagnostic sensitivity. Serum antibody detection is a newly added evidence for etiological diagnosis in the "Diagnosis and Treatment Protocol for Novel Coronavirus Pneumonia (Trial Version 7),"[1] and has the advantages of simplicity, rapidness, low price, and easy accessibility. Serum antibody detection can determine not only the presence of infection, but also the patient's immune status and can compensate when false-negative results of nucleic acid detection are observed, particularly in cases with low virus loads in the upper respiratory tract. Although serum antibody detection is an effective supplementary method for SARS-CoV-2 nucleic acid detection, there is an early window period in which serum antibodies cannot be detected. Additionally, this method has relatively low sensitivity, can only provide evidence of recent infection, and cannot confirm the presence of a live virus.

#### Diagnostic criteria and systems

The diagnosis of COVID-19 is based on a comprehensive diagnostic system of epidemiological history, clinical manifestations, and pathogenic confirmation. As a serious acute infectious disease, complete epidemiological history is particularly critical in the diagnosis of the disease. The average incubation period is 1 to 14 days, and a history of residence in or travel to Wuhan or severely infected areas within the past 14 days is an important epidemiological factor. The most common clinical manifestations are fever and respiratory symptoms such as dry cough and shortness of breath. More than 86% of patients also develop abnormalities visible on lung imaging. In addition to the typical manifestations of viral pneumonia (normal or decreased white blood cells), most COVID-19 cases are associated with decreased lymphocytes. Etiological confirmation of SARS-CoV-2 infection remains the gold standard for diagnosis. As asymptomatic viral carriers are also infectious and clustered onset has been observed, clinicians should pay close attention to it, which requires dynamic observation and repeated pathogenic examinations.

The COVID-19 diagnostic criteria for suspected and confirmed cases are as follows.<sup>[1]</sup>

#### Suspected cases

For suspected cases, a comprehensive analysis of the following epidemiological history and clinical manifestations should be performed.

Epidemiological history: (i) Travel history or residence history in Wuhan and surrounding areas or other communities with case reports within 14 days before onset; (ii) history of contact with SARS-CoV-2-infected persons (with positive nucleic acid test) within 14 days before onset; (iii) history of contact with patients from Wuhan and surrounding areas, or patients with fever or respiratory symptoms from a case-reporting community within 14 days before the onset of illness; and (iv) clustered cases.

Clinical manifestations: (i) Fever and/or respiratory symptoms; (ii) characteristic imaging of COVID-19; and (iii) normal or decreased total number of white blood cells in the early stage of the disease and normal or decreased lymphocyte count.

Patients who meet any one of the epidemiological items and any two of the clinical manifestations are suspected to have the disease. If there is no clear epidemiological history, three of the clinical manifestations should be met.

#### Confirmed cases

Suspected cases can be confirmed by one of the following pathogenic or serological positive results: (i) positive RT-PCR results for SARS-CoV-2 nucleic acid; (ii) viral gene sequencing highly homologous to the known SARS-CoV-2; or (iii) serum samples positive for SARS-CoV-2-specific IgM and IgG antibodies. The SARS-CoV-2-specific IgG antibody will need to change from negative to positive or the titers in the recovery period will need to be at least four times the amount in the acute phase.

#### Severity classification

The clinical classification of COVID-19 can be mild, moderate, severe, or critical. [1]

- (i) Mild: Clinical symptoms are slight, with no pneumonia manifestation on lung imaging.
- (ii) Moderate: Fever and respiratory symptoms with pneumonia manifestation visible on imaging; no dyspnea or other complications.
- (iii) Severe: Patients meet any of the following criteria: shortness of breath, respiratory rate (RR) ≥30 beats/min; resting state, mean oxygen saturation ≤93%; partial pressure of arterial oxygen (PaO<sub>2</sub>)/fraction of inspired oxygen (FiO<sub>2</sub>) ≤300 mmHg (1 mmHg = 0.133)

- kPa). At high altitudes (>1000 m), PaO<sub>2</sub>/FiO<sub>2</sub> should be corrected according to the following formula: PaO<sub>2</sub>/FiO<sub>2</sub> × [atmospheric pressure (mmHg)/760]; pulmonary imaging shows that the lesions have progressed by >50% within 24 to 48 h.
- (iv) Critical: Patients with one of the following conditions are considered critical: respiratory failure requiring mechanical ventilation; shock; and multiorgan failure requiring intensive care unit (ICU) monitoring and treatment.

#### Risk factors for severe disease

According to the Diagnosis and Treatment Protocol for Novel Coronavirus Pneumonia (Trial Version 7),<sup>[1]</sup> and the "Expert Consensus on Comprehensive Treatment of Coronavirus in Shanghai 2019,"<sup>[14]</sup> in combination with the latest clinical research, [3,15,16] we propose the following risk factors for severe COVID-19: (i) elderly patient (age >65 years); (ii) comorbidities, such as hypertension, diabetes, and coronary heart disease; (iii) progressive decline in peripheral blood lymphocytes, CD4<sup>+</sup> T lymphocyte count <250/μL; (iv) progressive increase in peripheral blood inflammatory factors, such as interleukin (IL)-6 and C-reactive protein; (v) progressive increase in lactic acid and lactic dehydrogenase >2 times the upper limit of the normal value; (vi) intra-pulmonary lesions significantly progressed by >50% within 2 to 3 days; (vii) metabolic alkalosis; (viii) high sequential organ failure assessment scores; and (ix) D-dimer levels >1 mg/L at admission.

# Differential diagnosis

Cases of mild COVID-19 should be distinguished from upper respiratory tract infections caused by other viruses, mainly common cold and influenza. Common cold primarily manifests with low fever and catarrhal symptoms, without seasonality, and although the population is generally vulnerable, it is typically self-limiting. Influenza<sup>[17]</sup> can cause more systemic symptoms, such as headache and myalgia, and influenza cases are the most prevalent from the end of November to the end of February of the following year.

For moderate, severe, and critical cases with different levels of pulmonary infiltration, epidemiological and medical history, laboratory examination, and imaging findings should be incorporated to distinguish from other types of pneumonia caused by viral infections or atypical pathogens. <sup>[18]</sup> In particular, potential lung changes caused by non-infectious diseases should not be overlooked.

# Differential diagnoses of COVID-19 include:

(i) Other viral pneumonia: The main viruses to be identified include influenza virus, human avian influenza virus, adenovirus, respiratory syncytial virus, MERS-CoV, and SARS-CoV. The diagnosis of COVID-19 should be based on a combination of epidemiological history (such as epidemic period and epidemic area travel history), clinical characteristics, and pathogenic examination results.

- (ii) Atypical pathogen pneumonia: This primarily includes lower respiratory tract infections caused by Mycoplasma, Chlamydia, and Legionella, which are mostly clustered. Irritating dry cough is a more characteristic clinical symptom of Mycoplasma infection, which is progressively aggravated. The clinical manifestations of Chlamydia pneumonia and Mycoplasma pneumonia are similar, and most cases have good prognosis. A small number of patients with Chlamydia psittaci infection can develop severe pneumonia. Epidemiological history of Legionella includes exposure to contaminated air conditioning systems or water sources, and the clinical manifestations include relatively slow pulse, fever, acute onset headache, non-drug-induced consciousness or drowsiness, nondrug-induced diarrhea, shock, acute liver and kidney damage, hyponatremia, and hypophosphatemia.
- (iii) Pulmonary changes caused by non-infectious factors: These include acute interstitial pneumonia, organizing pneumonia, pulmonary edema, alveolar hemorrhage, and secondary interstitial pneumonia caused by connective tissue disease. Detailed medical history, clinical manifestations, and specific laboratory indicators are required for definitive diagnosis.

# **Treatment of COVID-19**

#### General treatment

Stratified treatment is based on the clinical severity of SARS-CoV-2 infection. The general treatment includes (i) proper bed rest, (ii) supportive treatments, such as maintaining a certain energy intake, water-electrolyte and acid-base balance, and the homeostasis of the internal environment; (iii) monitoring of vital signs, including body temperature, breathing, pulse, and blood oxygen saturation.

# Anti-viral treatment

There are currently no specific anti-viral drugs for COVID-19. Based on the available evidence, the WHO lists candidate anti-viral drugs, including remdesivir, lopinavir/ritonavir, or lopinavir/ritonavir plus an interferon, that should be urgently evaluated. [19] Remdesivir has strong anti-viral activity against SARS-CoV-2 in vitro and shows good anti-viral effects in both severe acute respiratory syndrome (SARS) and Middle East respiratory syndrome (MERS) animal models. Additionally, data from previous clinical trials show that remdesivir is well tolerated. [22] At present, two randomized controlled double-blind trials in China that include hospitalized patients with mild-to-moderate and severe COVID-19 are ongoing, with the aim of obtaining efficacy and safety results for remdesivir (NCT04252664, NCT04257656). The U.S. National Institutes of Health recently began a trial of remdesivir for hospitalized patients with COVID-19, and in March 2020, remdesivir received an orphan drug designation from the U.S. Food and Drug Administration.

For lopinavir, there are no data regarding its efficacy against SARS-CoV-2 *in vitro*; however, there are available *in vitro* and *in vivo* data for both SARS and MERS.<sup>[23,24]</sup> In one study of 41 patients with SARS and 111 historical

controls, the combination of lopinavir/ritonavir (in the study participants) was associated with significantly fewer adverse clinical outcomes than ribavirin alone (in the historical controls).<sup>[25]</sup> However, the historical nature of the control comparison in that study does not allow for a valid estimate of efficacy. In 2016, Arabi et al<sup>[26]</sup> launched a randomized controlled trial (RCT) of lopinavir/ritonavir combined with interferon-β in patients with MERS-CoV in the Kingdom of Saudi Arabia (NCT02845843). In a randomized, controlled, open-label trial conducted by the community-acquired pneumonia-China network and involving hospitalized adult patients with confirmed SARS-CoV-2 infection, no benefit was observed in the primary endpoint of time to clinical improvement, but the results for certain secondary endpoints were intriguing (ChiCTR2000029308). [27] Future trials in patients with COVID-19 may help confirm or exclude the possibility of treatment benefits with lopinavir/ritonavir. Common adverse events of lopinavir/ritonavir include gastrointestinal tract reactions, and attention should be paid to the interaction between lopinavir/ritonavir and other drugs metabolized by cytochrome P450.

Favipiravir and ribavirin are nucleoside analogs, and *in vitro* data have confirmed that ribavirin has weak anti-viral activity against SARS-CoV and MERS-CoV. The median effective concentration (EC50) of favipiravir against the SARS-CoV-2 *in vitro* was 61.88 μmol/L.<sup>[28]</sup> In theory, high blood concentrations of favipiravir would be required to achieve anti-viral effects in patients with COVID-19. At present, a historical cohort study and a preprint paper of an RCT reported mild clinical benefits of favipiravir treatment for COVID-19. In addition, some experts have proposed using Arbidol to treat COVID-19; however, its anti-viral mechanism against the coronavirus is unclear. In brief, further RCTs are needed to clarify the efficacy and safety of potential anti-viral agents against SARS-CoV-2.

#### Anti-bacterial treatment

The irrational use of anti-microbials should be avoided, and a combined approach to anti-microbial stewardship program (ASP) and COVID-19 prevention and control has been recommended. Senior infectious disease experts and qualified clinical pharmacists are both core members of a hospital ASP team and will also have actively participated in the epidemic response and institutional preparation. An expert team can identify early potential cases, the microbiology laboratory team can identify pathogens, and the anti-infective clinical pharmacist can help develop anti-microbial treatment programs, monitor and manage drug shortages owing to insufficient supply during an epidemic, and coordinate front-line access to new drugs in epidemic areas.

It is not recommended to use anti-bacterial drugs to treat mild cases of COVID-19. Antibiotics can be used to treat severely and critically ill patients with COVID-19 and pre-existing or secondary bacterial and/or fungal infections. Appropriate respiratory pathogen detection should be improved, including the detection of other respiratory viruses, bacterial smears and cultures, and fungal tests. Empirical treatment should refer to local pneumonia

epidemiology and surveillance data of bacterial or fungal resistance. When sepsis is present, antibiotics should be administered within 1 h of the initial patient evaluation, and step-down treatment should be administered in a timely manner according to microbiological results and clinical consultations. [1,30]

The irrational use of anti-bacterial drugs in the management of patients with COVID-19 will increase the risk of nosocomial infections from drug-resistant bacteria, as well as the risk of early lymphocyte decline in severely or critically ill patients. High-risk factors for secondary invasive fungal infections include the use of high-dose glucocorticoids, long-term stays in the ICU, and receiving non-invasive or invasive mechanical ventilation. The guidelines for hospital-acquired pneumonia and invasive fungal infection should be followed, and drugs should be selected rationally.

# Immunomodulatory therapy

#### Glucocorticoids

The use of glucocorticoids in the treatment of COVID-19 is controversial because no relevant RCTs have been conducted. Studies have shown that low and medium doses of glucocorticoids can reduce mortality and shorten hospital stays in patients with severe viral pneumonia without inducing secondary infection or other complications. [32] The "Diagnosis and Treatment Protocol for Novel Coronavirus Pneumonia (Trial Version 7)"[1] of the National Health Commission recommends that glucocorticoids should be used as appropriate in the short term for patients with progressive deterioration of oxygenation indicators, rapid disease progression on imaging findings, and excessive activation of inflammatory response. Domestic retrospective studies in China have shown that low and medium doses of glucocorticoids have no significant effect on viral clearance time. A potential benefit of glucocorticoids in critically ill patients may exist. [133]

Given the current limited evidence, glucocorticoids should be used with caution in the management of COVID-19, and the indications and contraindications must be strictly adhered to. The specific recommendations for glucocorticoid use are as follows.<sup>[34]</sup>

Principles: (i) Use glucocorticoids with caution, and prohibit the use of glucocorticoids to reduce fever and (2) for patients who have regularly used glucocorticoids before SARS-CoV-2 infection for autoimmune diseases, nephrotic syndrome, bronchial asthma, and other underlying diseases, the dosage of glucocorticoids should be individualized according to the patient's underlying disease and the severity of the infection.

Indications: (i) Imaging-confirmed pneumonia and rapid progression (lesion progression >50% within 24 to 48 h); (ii) blood oxygen saturation (SpO<sub>2</sub>)  $\leq$ 93% or respiratory distress (RR  $\geq$ 30 breaths/min) or oxygenation index  $\leq$ 300 mmHg breathing room air in a resting state. Both of these conditions must be met simultaneously to justify glucocorticoid use.

Contraindications: Caution is required for patients with (i) diabetes who are receiving oral medication or insulin treatment; (ii) allergy to methylprednisolone, hydrocortisone, dexamethasone, or other excipients; (iii) refractory hypertension; (iv) epilepsy or delirium; (v) glaucoma; (vi) known active gastrointestinal bleeding in the previous 3 months; (vii) known hypokalemia that is difficult to correct; (viii) known secondary bacterial or fungal infections; (ix) known immunosuppressive status (ie, chemotherapy, radiation therapy, within 1 month after surgery, human immunodeficiency virus infection); and (x) severe lymphopenia (absolute peripheral lymphocytes  $<\!300/\mu L$ ).

Usage, dosage, and course of treatment: It is suggested that the dosage should not exceed the equivalent of methylprednisolone 1 to 2 mg·kg<sup>-1</sup>·d<sup>-1</sup> for 5 to 7 days. Higher doses of glucocorticoids may delay the clearance of the coronavirus owing to immunosuppression.

Mode of administration: Based on the physician's discretion, the recommended method is intravenous drip. If the patient's condition allows, this can be gradually transferred to oral administration.

# Cytokine-targeted therapy

One of the primary causes of death from COVID-19 is an excessive immune response, known as a "cytokine storm," which leads to lung tissue damage, repair imbalances, and respiratory failure. [35] In one study, more than half of 99 COVID-19 patients diagnosed at the Wuhan Jinyintan Hospital had elevated IL-6 levels. [36] Furthermore, the levels of inflammatory factors, such as IL-2, IL-7, IL-10, granulocyte colony-stimulating factor, interferon-y-inducible protein-10, monocyte chemotactic protein-1, macrophage inflammatory protein- $1\alpha$ , and tumor necrosis factor (TNF)  $\alpha$ , in patients with severe disease were significantly higher than those in patients with mild-to-moderate disease. [2] Thus, for such severely and critically ill patients, relevant markers should be actively monitored, and interventions to avoid further lung injury and respiratory failure are imperative.

The current treatment for cytokine storms lacks solid evidence, and pros and cons should be carefully weighed. Targeted therapies for cytokine storms include cytokine antagonist therapy and blockade of cytokine signaling pathways. Therapeutic modalities that are commonly used include IL-6 receptor antagonists and Janus kinase (JAK) inhibitors [Table 1]. The IL-6 receptor antagonist tocilizumab is a recombinant humanized monoclonal

antibody directed against the IL-6 receptor and is a first-line drug for treating cytokine release syndrome. [37,38] It has been included in the Diagnosis and Treatment Protocol for Novel Coronavirus Pneumonia (Trial Version 7). [1] Etanercept is a TNF antagonist used to treat rheumatoid arthritis, ankylosing spondylitis, and macrophage activation syndrome and can competitively bind to blood TNF $\alpha$  and block TNF $\alpha$  binding to TNF receptors on the cell surface, thereby reducing TNF $\alpha$  activity and controlling inflammatory responses. [39] The JAK pathway is a signaling pathway used by many inflammatory factors, and JAK inhibitors include tofacitinib and ruxolitinib. These drugs act via a temporary inhibitory or regulatory mechanism against cytokine storms, allowing for timely intervention in the critical period of excessive immune response.

However, it should be noted that in critically ill patients with viral pneumonia, bacterial infections, and fungal infections are often concomitant. In these patients, the immune status is complex, and cytokine antagonists should be used with extreme vigilance.

# Convalescent plasma treatment

Plasma treatment is a method by which the convalescent plasma of recovered patients is collected, and following strict preparation procedures the high-titer neutralizing antibody biological products are transfused into patients. This method achieves therapeutic effect by reducing the viral load. Convalescent plasma treatment may be suitable for patients with rapid disease progression or severe or early critical illness; however, its efficacy and safety need to be confirmed in further clinical studies.

Plasma treatment should follow three main principles: (i) transfusion may be more effective when performed within 14 days of onset and the course of the disease should not exceed 3 weeks. (ii) SARS-CoV-2 nucleic acid test is positive, or viremia is present. (iii) Severe patients with rapid disease progression, early critically ill patients, or patients who are assessed to need plasma therapy are appropriate recipients.

Contraindications for plasma treatment include a history of allergy to plasma infusion or allergy to human plasma protein products; history of allergy to sodium citrate; history of methylene blue allergy (in these cases, use of plasma inactivated by methylene blue is strictly prohibited); and other history of severe allergies or contraindications to plasma use. Additionally, convalescent plasma therapy should not be used in patients with poor general condition and an estimated survival time of less

Table 1: The potential therapy of COVID-19 targeting proinflammatory mediators.			
Targeted drugs	Mechanism	Dose	Ref.
Tocilizumab	IL-6 receptor antagonist	4–8 mg/kg, IV drip, qd	[1]
Etanercept	TNF antagonist	50 mg ih, qw	[39]
Tofatib	Janus kinase inhibitors	5 mg po, bid, 5–10 days	[40]
Lucotinib	Janus kinase inhibitors	0.3 mg/kg po. bid. 5–10 days	[41]

COVID-19: Coronavirus disease 2019; IL-6: Interleukin-6; mg: Milligram; kg: Kilogram; IV: Intravenous; qd: Once a day; TNF: Tumor necrosis factor; ih: Subcutaneous injection; qw: Once a week; po: Take orally; bid: Twice a day.

than 7 days; those with severe dysfunction of major organs such as the heart, liver, or kidney; and pregnant or breast-feeding women. For specific dosage instructions and management of adverse reactions, refer to the National Health Commission's "Novel Coronavirus Pneumonia Convalescent Plasma Clinical Treatment Protocol (Trial Second Edition)." [42]

# Stem cell therapy

Some cells with immunoregulatory and anti-inflammatory capabilities could reduce lung injury, promote lung tissue repair, inhibit lung fibrosis, and have a positive effect in improving respiratory function and prognosis of patients with COVID-19. [43-45] Stem cell therapy for SARS-CoV-2 infection is currently at an exploratory stage. [46,47]

#### Other treatments

#### Chloroquine

Chloroquine was initially used as an anti-malarial drug but was found to effectively prevent the replication of the SARS virus in cells by changing the pH value of endosomes, reducing terminal glycosylation of ACE2 receptors, and interfering with the binding of the SARS virus to ACE2 receptors; it also has good safety. [48,49] A Chinese research team has reported preliminary confirmation for *in vitro* anti-SARS-CoV-2 activity of chloroquine. [28] Multicenter clinical trials conducted in more than ten hospitals have also reported clear efficacy and acceptable safety of chloroquine for SARS-CoV-2-associated pneumonia. [50]

The latest edition of the National Health Commission's "Diagnosis and Treatment Protocol for Novel Coronavirus Pneumonia (Trial Version 7)" provides the following recommendations: adults aged 18 to 65 years and weighing  $>50\,\mathrm{kg}$  may take 500 mg chloroquine twice daily for 7 days, while patients weighing  $\le 50\,\mathrm{kg}$  may take 500 mg twice daily on the first and second days and 500 mg once daily on days 3 to 7. The course of treatment for all patients should be 7 days. Importantly, electrocardiogram results must be normal before administering the medication, and chloroquine is prohibited for patients with heart diseases. [1]

Hydroxychloroquine is a derivative of chloroquine with the same pharmacological effects of chloroquine and half of the toxicity. A national multicenter RCT to evaluate the efficacy and safety of high-dose hydroxychloroquine for COVID-19 is on-going.

#### Arbidol

Umifenovir (Arbidol) is a non-nucleoside broad-spectrum anti-viral drug that can prevent the influenza virus from entering target cells by inhibiting hemagglutinin-mediated membrane fusion of the virus and playing a role in multiple stages of the viral replication cycle. [51] *In vitro* studies have also shown Arbidol to be effective against the coronavirus. [52] A retrospective analysis of 69 patients with SARS-CoV-2-associated pneumonia revealed that treatment with

Arbidol tended to improve the discharge rate and decrease the mortality rate. <sup>[53]</sup> The National Health Commission's "Diagnosis and Treatment Protocol for Novel Coronavirus Pneumonia (Trial Version 7)" recommends 200 mg for adults, three times daily, with a course of treatment not exceeding 10 days. <sup>[1]</sup>

#### Artemisinin

Artemisinin has unique efficacy in anti-malarial treatment, and research has also found that artemisinin compounds have inhibitory effects on several viruses.<sup>[54]</sup> However, further studies of the clinical treatment of COVID-19 with artemisinin are needed.

# Respiratory support

### Standard oxygen therapy

Patients with hypoxemia ( $PaO_2 < 60 \text{ mmHg}$  or  $SpO_2 \le 93\%$  when breathing air at sea level) should be administered standard oxygen therapy immediately. Standard oxygen therapy devices include nasal cannula, mask, venturi mask, and non-rebreathing mask with a reservoir bag. The initial oxygen flow rate should be set to 5 L/min and then titrated to maintain  $SpO_2$  at 94% to 98% (at least 95% for pregnant women). However, the  $SpO_2$  of patients with a history of chronic hypercapnia should be maintained at 90% to 92%. If there is no improvement or deterioration of hypoxemia after 1 to 2 h with the oxygen flow rate >10 L/min, the therapy device should be immediately replaced with other respiratory support modalities. [55,56]

# High-flow nasal oxygen (HFNO) and non-invasive positive pressure ventilation

HFNO treatment can be considered when standard oxygen therapy fails to relieve acute hypoxemic respiratory failure (150 mmHg  $\leq$  PaO2/FiO2 < 300 mmHg) and/or dyspnea. However, HFNO is not recommended for patients with hemodynamic instability, multiple organ failure, unconsciousness, or severe carbon dioxide retention (pH <7.3).  $^{[57]}$  After administering HFNO treatment, patients should be closely monitored for 1 to 2 h. If the clinical condition does not improve or continues to deteriorate (ie, SpO2 >90% cannot be maintained with FiO2 exceeding 0.5), a short-term non-invasive ventilation (NIV) trial or endotracheal intubation can be considered.  $^{[58,59]}$ 

Patients with no indication for tracheal intubation and  $PaO_2/FiO_2 > 150$  mmHg can be supported with NIV treatment for 1 to 2 h. If respiratory distress and hypoxemia do not improve or continue to worsen (ie,  $SpO_2 > 90\%$  cannot be maintained with  $FiO_2 > 60\%$  and positive endexpiratory pressure [or continuous positive airway pressure] > 8 cmH<sub>2</sub>O), NIV treatment should be replaced with invasive positive pressure ventilation immediately.

#### Invasive positive pressure ventilation

If patients have refractory respiratory failure or if noninvasive respiratory support results in poor airway protection ability or hemodynamic instability, endotracheal intubation should be performed immediately with invasive positive pressure ventilation.<sup>[60]</sup>

#### Low tidal volume ventilation

Low tidal volume (4–8 mL/kg predicted body weight) and low plateau pressure ( $<30~\text{cmH}_2\text{O}$ ) ventilation are strongly recommended. The initial tidal volume can be set to 6 mL/kg, which can be increased to 8 mL/kg; however, plateau pressure should be maintained at  $<30~\text{cmH}_2\text{O}$ . [59,61]

# Positive end-expiratory pressure (PEEP)

It is recommended to titrate PEEP levels based on lung recruitability and PaO<sub>2</sub>/FiO<sub>2</sub>. <sup>[62]</sup> For patients with lower lung recruitability, especially when pulmonary fibrosis or organizing pneumonia occurs in the late course of COVID-19, a low PEEP setting is recommended.

### Prone position ventilation

For patients with severe ARDS ( $PaO_2/FiO_2 < 100 \text{ mmHg}$ ), prone ventilation is strongly recommended for a duration of at least 12 h. <sup>[63]</sup>

#### Muscle relaxants

For patients with moderate-to-severe ARDS (PaO<sub>2</sub>/FiO<sub>2</sub> <150 mmHg), muscle relaxants should not be routinely administered. When patients have severe respiratory distress, patient-ventilator asynchrony, or excessive respiratory drive, a short-term use of muscle relaxants (eg, rocuronium bromide, cisatracurium) may be considered with sufficient sedation and analgesia. When the patient's condition improves, muscle relaxants and sedatives should be reduced or discontinued in a timely manner.

# Recruitment maneuver (RM)

RM is primarily used as a rescue therapy for patients with refractory hypoxemia. RM cannot be routinely used in mechanically ventilated patients with ARDS. High-level continuous positive airway pressure (35–40 cmH<sub>2</sub>O, maintained for 40 s) and pressure-controlled ventilation methods (inspiratory pressure 10–15 cmH<sub>2</sub>O, PEEP 25–30 cmH<sub>2</sub>O, maintained for 1–2 min) are commonly used to implement RM. Excessive levels of RM (maximum airway pressure of 60 cmH<sub>2</sub>O, 2 min) can worsen the prognosis of patients with ARDS, especially in patients with hemodynamic instability. [64]

#### Extracorporeal membrane oxygenation (ECMO)

In view of the potential reversibility of COVID-19, ECMO should be considered when the traditional standard treatment (protective ventilation of tidal volume 6 mL/kg, plateau pressure <30 cmH<sub>2</sub>O, PEEP ≥10 cmH<sub>2</sub>O, lung recruitment, prone ventilation, muscle relaxants, etc) does not result in significant improvement. ECMO should be applied according to the following guidelines: (i) PaO<sub>2</sub>/FiO<sub>2</sub> <100 mmHg or alveolar-arterial oxygen partial pressure difference [P (A-a) O<sub>2</sub>] >600 mmHg; (ii) frequency of ventilation >35 times/min while pH <7.2,

and plateau pressure >30 cmH<sub>2</sub>O; (iii) patient age <70 years; and (iv) mechanical ventilation duration <7 days.

ECMO can also be considered if one of the following conditions is met: (i)  $PaO_2/FiO_2 < 50$  mmHg for > 3 h; (ii)  $PaO_2/FiO_2 < 80$  mmHg for > 6 h; or (iii) arterial blood pH < 7.25 accompanied by partial pressure of carbon dioxide > 60 mmHg for > 6 h.<sup>[65]</sup>

Early application (PaO<sub>2</sub>/FiO<sub>2</sub> 100–150 mmHg) of ECMO in the treatment of ARDS is beneficial for reducing respiratory drive, reducing inflammation of the lungs and the whole body, and avoiding severe impairment of the lung and other organ functions. [66,67] For some young patients who tend to respond well to treatment, if there are neither other organ failures or other serious lung infections, early treatment with "awake ECMO" can be cautiously considered. [68,69] Veno-venous ECMO is the primary choice. If there is obvious myocardial impairment, venous-arterial ECMO can be considered. Early tracheotomy can improve airway management and can be safely performed under deep sedation and muscle relaxation and with strict personal protective equipment. To reduce the risk of tracheotomy bleeding, early tracheotomy can be performed before establishing ECMO. In view of the need for expertise and the high risk of complications, an ECMO center should be established and managed by an experienced team.

#### **Blood purification**

AKI may occur in patients with severe COVID-19, and the various causes of renal injury should be actively monitored and corrected. Approximately 25% to 30% of critically ill COVID-19 patients will develop septic shock and multiple organ failure. Severe hypoxemia and/or systemic inflammatory response contribute to these complications.

AKI develops in 3% to 9% of patients with severe COVID-19. There are many underlying causes of AKI, including hypovolemia, insufficient renal perfusion caused by low mean arterial pressure, or direct kidney damage caused by infections or drugs. The cause should always be determined and corrected to reduce renal injury. For the treatment of AKI, refer to the "2012 Clinical Guidelines of Kidney Disease: Improving Global Outcomes (KDIGO)" and the "2016 International Guidelines for Management of Sepsis and Septic Shock." [74]

In severe cases of COVID-19, the severity of AKI should be assessed following the KDIGO guidelines, and a blood purification treatment should be performed if necessary. There are multiple methods of blood purification, such as renal replacement therapy, blood/plasma perfusion, plasma adsorption, and plasma exchange. There are multiple objectives of blood purification in the treatment of severe COVID-19, including (1) removing metabolites (creatinine, urea nitrogen, etc) and removing various inflammatory mediators by convection, adsorption, or plasma exchange to reshape immune homeostasis; (2) regulating volume status, correcting fluid overload, and helping maintain hemodynamic stability in severe cases; (3) correcting electrolyte and acid-base balance disorders

and maintaining hemostasis; (4) controlling hyperthermia; and (5) regulating fluid overload status in combination with ECMO. Of note, hemoadsorption can be used to remove inflammatory cytokines.

Four major steps should be followed when performing blood purification to treat severe COVID-19: (1) assess whether the patient needs blood purification; (2) select the blood purification mode; (3) monitor and adjust parameters during the treatment process; and (4) determine when to stop performing blood purification.

# **Nutrition support**

Evidence-based and rational nutritional treatment plays a critical role in the recovery and prognosis of patients with severe COVID-19. COVID-19 can progress to ARDS owing to infection, fever, and other causes, which places patients in a high catabolic state and leads to nutritional metabolic disorders. For nutritional risk assessment of patients with COVID-19, the nutrition risk screening (NRS-2002) or modified nutrition risk in the critically ill (NUTRIC) scoring tool<sup>[75]</sup> should be used. An NRS-2002 score of  $\geq$ 3 suggests that patients are at a risk of malnutrition, and nutrition intervention is required. In cases with an NRS-2002 score  $\geq$ 5 or modified NUTRIC score  $\geq$ 5, nutritional therapy should be administered as soon as possible.

The "Expert Advice on the Medical Nutrition Treatment for COVID-19 patients" [76] recommends the implementation of a five-stage method for nutrition therapy that includes diet with nutrition education, oral nutrition supplements, enteral nutrition (EN; ie, tube feeding), supplemental parenteral nutrition (PN), and total PN. EN should be initiated within 48 h for patients who cannot eat independently. For patients with contraindications for oral eating or EN, PN should be started within 3 to 7 days. [75]

To avoid overfeeding patients with severe disease, EN and PN should gradually reach the target feeding amount within 3 to 7 days. The mainstream guidelines at home and abroad and the "Novel Coronavirus Pneumonia Quick Guide" recommend 20 to 30 kcal·kg<sup>-1</sup>·d<sup>-1</sup> of caloric intake and state that the target energy should be reached as soon as possible according to the severity of the disease. [77] For patients with severe COVID-19, the recommended target feeding amount is 25 to 30 kcal·kg<sup>-1</sup>·d<sup>-1</sup>. A relatively high protein proportion is recommended according to the latest version of the National Health Commission's "Diagnosis and Treatment Protocol for Severe and Critically Ill COVID-19 Cases (Trial Second Version), [78]" in which the recommended protein intake is 1.5 to 2.0 g·kg<sup>-1</sup>·d<sup>-1</sup> (nitrogen 0.25–0.33 g·kg<sup>-1</sup>·d<sup>-1</sup>) to increase the supply of branched chain amino acids and promote protein synthesis.<sup>[1]</sup> In cases with insufficient protein intake, supplemental protein powder is recommended.

For patients with NIV, it is recommended to temporarily switch to a nasal mask or nasal high-flow oxygen therapy during meals to reduce the risk of hypoxemia during eating. The "button" mask is recommended for patients on NIV because this type of mask has a gastric tube outlet, which does not affect the efficiency of NIV and is more conducive to uninterrupted implementation of EN. If patients on NIV have severe flatulence, post-pyloric feeding is recommended. For patients with invasive mechanical ventilation or ECMO, if there are no contraindications, EN should be initiated as early as possible. The stomach is the preferred EN channel. Critically ill patients with jejunal feeding and proton pump inhibitors often experience malabsorption of vitamin  $B_{12}$ ; thus, vitamin  $B_{12}$  should be supplemented. [78]

#### Venous thromboembolism (VTE) prophylaxis and treatment

Patients with COVID-19 often have abnormal coagulation, <sup>[2,36]</sup> especially in severe and critically ill cases. <sup>[2,3]</sup> All patients with severe COVID-19 are at a risk for VTE, and VTE prophylaxis should thus be considered if there are no contraindications.

Bleeding risk should be comprehensively evaluated before taking preventive measures, including assessment of underlying diseases, laboratory tests (blood coagulation function, platelet, liver and kidney function, etc), other medications, and invasive procedures.

For patients with severely and critically ill COVID-19 who have a low risk of bleeding, subcutaneous injection of low-molecular-weight heparin (LMWH) is the first-line recommendation for VTE prevention. [4,16] Most patients can receive LMWH 3000 or 4000 U once per day. The dose should be adjusted based on the specific condition of the patient. As LMWH is eliminated via the kidney, the patient's renal function should be carefully monitored. LMWH should be administered with caution to patients with renal impairment. In such cases, dose adjustment should be based on anti-factor Xa activities, which should be closely monitored. Patients with severe renal dysfunction can receive a subcutaneous injection of unfractionated heparin at a dose of 5000 U twice daily. The dose can be adjusted based on the specific condition of the patient.

Drug prevention and/or mechanical prevention are recommended for critically ill COVID-19 patients in the ICU. For patients receiving ECMO support with heparinized saline solution, additional pharmacological prophylaxis is not required and thus should be avoided to minimize the risk of bleeding.

The administration of heparin may lead to heparininduced thrombocytopenia (HIT). For patients with thrombocytopenia or those who develop HIT during the administration of heparin, other anti-coagulant drugs, such as argatroban, bivalirudin, fondaparinux sodium, and rivaroxaban, should be used.

In the event of bleeding or coagulation abnormalities, which may occur following the administration of anticoagulant drugs for VTE prevention, drug administration should be immediately stopped, and appropriate actions should be taken. Moreover, preventive treatments should be adjusted dynamically based on changes in bleeding risks. Drug prevention should be conducted throughout the entire ICU stay or until the risk factors have been eliminated. For patients with a high risk of bleeding, intermittent pneumatic compression may be considered.

Dynamic monitoring of changes in patient condition is required for moderately, severely or critically ill, and discharged patients. In particular, deep venous thrombosis (DVT) may occur in patients who are bedridden for more than 3 days, and such patients should be monitored for asymmetrical pain, unilateral or bilateral swelling or discomfort of the lower limbs, localized swelling of the extremities, or superficial venous filling in patients with central venous catheterization. Pulmonary thromboembolism (PTE) may manifest as chest pain, hemoptysis, dyspnea, hypoxemia, decreased blood pressure, or other unexplained clinical manifestations. [79] When clinical suspicion of DVT or PTE is high, the diagnosis should be based on bedside examinations (lower limb venous ultrasound and echocardiography). If the protective conditions allow, it is recommended to perform computed tomography (CT) pulmonary angiogram to exclude PTE.

When patients with COVID-19 are diagnosed with DVT or PTE or there is a high suspicion of VTE based on clinical judgment, anti-coagulant therapy should be initiated in cases without contraindications. Parenteral anti-coagulation drugs are recommended in consideration of adverse reactions from various drug interactions. Platelet count and renal function level should be carefully monitored during anti-coagulation treatment. If the D-dimer level continues to increase, the underlying cause should be determined, and the anti-coagulation strategies should be adjusted in a timely manner. [1,80]

Throughout the entire period of COVID-19 diagnosis and treatment, thrombolytic therapy or other therapies for cardiopulmonary support (such as ECMO) can be initiated with full informed consent from the patient. This would be appropriate in cases where the condition suddenly worsens and high-risk factors for PTE, such as hypotension or sudden cardiac arrest, are present. Additionally, bedside echocardiography can identify the new onset of increased right-ventricular load or pulmonary arterial hypertension that cannot be explained by the primary pneumonia. Shock or sudden cardiac arrest caused by other conditions should also be excluded.

# Rehabilitation and Health Management for Patients With COVID-19

#### Rehabilitation

Respiratory rehabilitation guidance can be provided for patients in quarantine through audio, video, brochures, or remote consultations. Discharged patients who are released from isolation can be provided with various forms of comprehensive rehabilitation treatment appropriate to the types of dysfunction experienced by the patient. [81] Evaluation and monitoring should be performed throughout the rehabilitation period, and indications and contraindications should be strictly followed.

- (1) Patients with mild COVID-19 have mild clinical symptoms that may be accompanied by psychological problems such as fear and anxiety. [1,82] Relevant knowledge about the prevention and control of COVID-19 should be actively shared with patients. Countermeasures and ways of asking for help if the condition worsens [30] should be explained, and patients who smoke should be encouraged to quit smoking. Psychological interventions should be provided using manuals, audio, and video for psychological counseling. [82] Patients should be instructed to perform low-intensity exercise, preferably at an intensity that does not induce fatigue the following day. [81]
- (2) Patients with moderate COVID-19 have decreased muscle strength, sputum clearance disorder, [81] and increased risk of thrombosis [79] owing to reduced activity; these patients can also experience psychological problems such as anxiety and depression. [83] It is important to ensure that the airway remains unobstructed during rehabilitation interventions, and thoracic expansion exercises can support sputum clearance. The intensity of daily exercise should be maintained between rest (1.0 metabolic equivalents [METs]) and light physical activity (<3.0 METs) with a duration of 15 to 45 min; intermittent exercise can also be performed. [81] The types of rehabilitation, such as respiratory rehabilitation exercises, simple Tai Chi or Baduanjin, can be based on the patient's preference. Because COVID-19 may become severe in 3% to 5% of patients, any changes in vital signs during rehabilitation should be carefully monitored, and rehabilitation intervention and suspension guidelines should be strictly followed. [2,84]
- (3) Respiratory and physical dysfunction may occur in critically ill patients. In such cases, the underlying causes of hypoxia and physical injury should be determined. Early rehabilitation can help reduce complications, prevent and improve dysfunction, reduce disability, and improve patient's quality of life. Refer to the "Respiratory Rehabilitation Guidance for 2019 Novel Coronavirus Pneumonia (Second Edition)"[81] for appropriate timing of rehabilitation interventions. SARS-CoV-2 can attack multiple organs, systems, and tissues, causing varying degrees of lung consolidation, mucus, and mucus plug formation in the bronchial cavity. [1] Critically ill patients often develop systemic infection, shock, and multiple organ dysfunction syndrome owing to extremely low or progressively reduced immunity. [10] There are four primary rehabilitation measures: (i) Airway clearance management<sup>[81]</sup>: Use intra-airway vibration or oscillatory-positive expiratory pressure. (ii) Postural management and early activities<sup>[85]</sup>: Implement anti-gravity posture and progressive active and passive activities. For patients with ARDS, ventilate in a prone position for more than 12 h per day. (iii) Muscle retraining<sup>[86]</sup>: Use neuromuscular electrical stimulation, peripheral muscle strength training, and respiratory muscle strength training. (iv) Prevention of venous thrombosis of the lower limbs: Use compression socks, ankle pump exercises,

- and so on. During the respiratory rehabilitation process, ensure the safety of pipelines, circuits, and normal running of equipment, and prevent adverse events such as falls.
- (4) There are several rehabilitation considerations for patients after discharge. Patients recovering from severe disease may experience a certain degree of cognitive, mental, and physical dysfunction<sup>[87]</sup> and may find it difficult to adapt to family and social life. A personalized rehabilitation plan should be developed based on a comprehensive assessment of the patient's respiratory function, exercise ability, activities of daily living (ADL), anxiety, depression, cognitive abilities, and other key factors. The plan should include aerobic training, skeletal muscle strength training (peripheral and respiratory muscles), guidance for performing ADL, and nutrition and psychological support. Remote online management may be used.

# Health management

Patients with COVID-19 should be kept in isolation for 14 days of medical observation, with careful health management and rehabilitation after recovery and discharge. [88] Short-term health management should address typical symptoms, including fever, cough, sputum, shortness of breath, chest tightness, and activity tolerance. For formerly severely or critically ill patients with significant residual pulmonary fibrosis lesions, daily monitoring of oxygen levels using a pulse oximeter is recommended, especially during physical activity. If the patient has hypoxemia, oxygen therapy should be administered. If the patient has chronic diseases, ensure that the underlying diseases are stabilized.

Mid- and long-term health managements primarily target recovered patients with severe and critical pneumonia and recovered COVID-19 patients with chronic diseases such as diabetes mellitus, hypertension, chronic obstructive pulmonary disease, asthma, bronchiectasis, and cancer. Careful follow-up and management of such patients can improve rehabilitation results. Quality of life assessment and lung function and chest imaging examinations can be performed to determine potential lung injury caused by severe COVID-19.

The implementation of a health management plan requires the close cooperation of specialized public health institutions, such as designated hospitals, isolation locations, medical rehabilitation institutions, centers for disease prevention and control, and primary medical institutions. <sup>[89]</sup> Exploring monitoring and follow-up options with an online-offline integration and linkage of medical institutions at all levels is necessary to cope with short-term increases in health management medical service needs.

#### **Prevention of COVID-19**

SARS-CoV-2 is highly infectious, and preventing healthcare-associated infections caused by SARS-CoV-2 in medical institutions is critical for protecting patients and medical workers and eventually ending the pandemic. Infection prevention and control in medical facilities should begin with controlling the source of infection, cutting off transmission routes, and protecting susceptible populations. [90]

# Controlling the source of infection

The primary known source of SARS-CoV-2 infection is patients with COVID-19. Asymptomatic cases can also be a source of infection. Early detection, early reporting, early isolation, and early treatment of the source of infection (referred to as the "four earlies") are key strategies to reduce the outbreak of healthcare-associated infections.

First, the entrance of patients to medical institutions should be controlled, and standardized pre-examination triage points should be established in outpatient and emergency departments. Imaging and laboratory testing of suspected COVID-19 cases should be performed as early as possible; this can be achieved by focusing on relevant clinical manifestations, epidemiological history, and temperature monitoring. Screening and diagnosis of suspected patients should be expedited, isolation measures should be implemented quickly, and the time between diagnosis and hospitalization should be shortened to achieve the "four earlies."

Medical institutions that are second-tier and above should establish independent fever clinics and observation areas, with obvious signs to distinguish these areas from ordinary outpatient and emergency departments. Fever clinics should be divided into three areas: clean area, potentially polluted area and/or buffer zone, and polluted area. The doctor-patient channel should be organized separately, and the area should be well ventilated. A one-way flow from the clean area to the polluted area should be maintained, air cleaning and disinfection facilities should be provided, and negative pressure wards should be arranged if possible.

Second, patient admission to hospitals and the management of inpatients, escorts, and visitors should be improved. Medical institutions should formulate screening procedures for patient admission during the pandemic in accordance with the risk level of the country and region. Routine blood tests, chest CT screening, and screening for the novel coronavirus nucleic acid with nasopharyngeal swabs should be performed if necessary. Screening of confirmed cases, suspected cases, patients with fever symptoms, and close contacts should be strengthened, and a transition ward can be set up for newly admitted patients. Patients should be treated in a single room before SARS-CoV-2 infection is ruled out, after which they should be transferred to a conventional ward for further hospitalization. A space of more than one meter should be maintained between beds in the general ward to reduce the potential risk of cross-infection in the hospital. Escorts and visitors should also be screened and managed as necessary.

Third, proactive monitoring of nosocomial infections in hospitalized patients is essential, as is establishing health monitoring and a mandatory reporting system for all members of the hospital personnel (including all medical,

nursing, management, logistics, cleaning, security, delivery, and other staff). Active monitoring of fever, respiratory symptoms, and chest imaging can promptly detect cases of sporadic infections, clustered infections, and suspected infections, allowing for appropriate and timely investigations and preventive measures.

# Preventing transmission

SARS-CoV-2 may have several transmission routes, and the current understanding of these routes continues to evolve. Initially, the virus was understood to be transmitted via respiratory droplets and close contacts. Since then, the possibility of aerosol transmission via prolonged exposure to high concentrations of virus aerosols in a relatively closed environment has been discovered. As stated in "Diagnosis and Treatment Protocol for Novel Coronavirus Pneumonia (Trial Version 7)," SARS-CoV-2 can be isolated from fecal and urine samples, and thus, the possibility of aerosol or contact transmission from environmental pollution caused by feces and urine should be further investigated. A key strategy for controlling nosocomial infection is to take all necessary measures to reduce droplet spray, avoid contact between infectious and clean sources, and prevent airborne transmission. In general, the highest level of prevention and control should be applied when a novel infectious disease with unknown origin appears.

The easiest, most effective, most convenient, and most economical method for controlling hospital infections is hand hygiene. Every person who enters a medical institution, regardless of who they are or their purpose, must adhere to appropriate hand hygiene practices. Medical institutions should be equipped with adequate hand hygiene facilities, including sinks, non-contact faucets, hand sanitizers, and hand drying facilities. Fastacting alcohol and hydrogen peroxide-based hand disinfectants should be widely available during the COVID-19 pandemic. Medical staff in particular must improve hand hygiene compliance. It is important to note that wearing gloves cannot replace hand hygiene. [91]

Daily cleaning and disinfection of environmental surfaces must be enforced, particularly in terms of disinfection frequency of high-frequency contact surfaces (ie, handles, computer keyboards, instrument panels). According to the "Technical Specifications for Disinfection of Medical Institutions (WA/T367.2019)," an appropriate disinfectant should be selected, and the product instructions for concentration and contact time should be adhered to. Indoor ventilation and mechanical ventilation should be reinforced if necessary. "No-touch" disinfection methods, such as ultraviolet light devices or hydrogen peroxide systems, can be implemented to reduce the cleaning workload of hospital staff. In principle, centralized air conditioning and ventilation systems should be suspended during an epidemic for increased prevention and control. If it is necessary to open the centralized air conditioning ventilation system, the air return valve should be completely closed, and the fresh air valve should be completely opened to increase the fresh air volume in the system. The corresponding exhaust system should also

be turned on, and the air return port should be equipped with a nanometer or high-intensity ultraviolet lamp and other disinfection devices for centralized air conditioning ventilation systems. All components of the centralized air conditioning ventilation system should be regularly cleaned and disinfected, and components should be replaced once per month and again after the pandemic has ended.

The proper use of masks plays a very important role in preventing the spread of droplets. Each person entering a medical institution must wear a mask. Confirmed or suspected COVID-19 patients should not wear masks with exhalation valves. In addition, respiratory hygiene/cough etiquette and maintaining a safe social distance are important measures to prevent droplet transmission.

During the pandemic, hospitals should strengthen management of examinations and operations of activities that may generate aerosol and droplets. For example, pulmonary function tests should be avoided as much as possible. Portable lung function testing machines can help reduce cross-infection and are also convenient for the online diagnosis and treatment of patients in their home. The cleaning and disinfection of pipes, valves, and interfaces of lung function instruments should be performed in accordance with the specifications outlined in the "Technical Specifications for Disinfection of Medical Institutions (WA/T367.2019)." Bronchoscopy should only be performed when necessary; if it is not urgently needed, the operation should be postponed. Cough relief and sedatives should be used during examinations to reduce droplet spray.

It is mandatory that the hospital logistics department strictly implements sewage system disinfection measures in accordance with relevant national regulations. Directly discharging sewage or discharging sewage without meeting national standards is strictly forbidden. Disposing or dumping solid infectious waste and various chemical waste liquids into the sewer is strictly forbidden. The treatment of medical waste should also be carefully managed during the epidemic. Medical waste generated by patients diagnosed with or suspected to have COVID-19, including the domestic waste of these patients, should be managed as infectious medical waste and strictly disposed in accordance with relevant regulations. [21]

#### Protecting susceptible populations

COVID-19 is a novel infectious disease, and thus, all populations are susceptible. However, the susceptible populations that are protected by hospital infection prevention and control measures in this epidemic are atypical. In addition to patients presenting to the hospital for treatment and hospitalization, medical staff is also at a high risk of infection, especially front-line personnel who have close contact with patients and patient body fluids, secretions, and excreta. Each medical institution should formulate emergency plans and operating procedures according to real-world conditions of their hospitals and national laws, regulations, industry norms, and guidelines during the epidemic. In addition to areas such as fever

clinics and isolation wards, personalized diagnosis/treatment procedures and emergency plans should be developed for all clinical departments and imaging medicine, ultrasound, radiotherapy, and hemodialysis departments.

While medical institutions routinely conduct active surveillance of nosocomial infections, the prevention of infections in key populations is of particular importance. Such populations include pregnant women, patients in emergency departments, critically ill patients, patients with acute cardiovascular and cerebrovascular diseases, patients on hemodialysis, and patients with malignant tumors. Vigilance is especially important in invasive procedures, such as surgery and endoscopic diagnosis and treatment.

Medical institutions should strengthen resource allocation and institutional capabilities for developing specialized and part-time personnel in infection control. Funding of infection control should be ensured at all levels, management of infection control should be implemented at all levels, and all medical staff should become an infection control practitioner. The layout and workflow of key departments should meet the requirements of relevant technical standards and specifications, and all departments should be equipped with the necessary safeguards to provide medical staff with a safe working environment and good personal hygiene conditions.

Training on infection control knowledge and occupational protection for all members of the hospital should be provided, and no medical staff should be allowed to work without training qualifications. All medical personnel should strictly implement standard prevention measures, particularly regarding bi-directional protection. Medical personnel should select protective equipment that is appropriate for the inherent risks of diagnostic and treatment operations. In any situation where medical staff may come into contact with patients' blood, body fluids, or secretions or situations in which aerosols may be generated, protective equipment (eg, goggles, protective face shields, isolation clothing, and medical protective masks) should be worn. Additionally, medical staff should master the method and sequence of putting on and removing protective equipment. Medical institutions should establish a special working group for material management, referring to relevant national standards<sup>[92]</sup> such as the "Technical Guide of Protection for Medical Staff during the Novel Coronavirus Pneumonia Epidemic (Trial)" (General Office of the National Health Commission Medical Letter [2020] No. 155) and should rationally allocate resources, formulate protection level requirements according to exposure risks, and ensure that the quality and quantity of protective equipment are maintained throughout the hospital.

To reduce risks inherent to gatherings of medical staff and occupational exposure, medical institutions should use modern information methods, where possible, such as remote consultation, cloud imaging, and video conferencing. Medical staff should minimize social interactions, and work hours should be arranged reasonably to avoid infection caused by decreased immunity from overwork.

Medical institutions should implement interventions to support the physical and mental health care of medical personnel involved in key departments, such as those working in fever clinics, isolation and observation wards for triage and admission of suspected and confirmed patients; additionally, living arrangements close to the hospital should be arranged for medical staff.

### **Integrated Chinese and Western Medical Treatment**

COVID-19 belongs to the "epidemic disease" category of traditional Chinese medicine. The syndrome elements are "wet, poison, cold, heat, stasis, and deficiency." The pathogenic characteristics of wet evil are prominent, and the main disease characteristics are observed in the "lung" and "spleen." The treatment is different according to the incubation period, disease period (mildly, moderately, severely, and critically ill), and recovery period. Disease differentiation and syndrome differentiation (including cold-damp constraint in the lung pattern, damp-heat accumulation in the lung pattern, epidemic toxin blocking the lung pattern, internal blockage and external desertion pattern, lung-spleen qi deficiency pattern, deficiency of both qi and yin patterns) should be combined in the treatment. [1,93-97] Traditional Chinese medicine injections, which can be used in combination, should be used according to the patients' situation.

# **Medical Treatment Systems and Social Mobilization**

When an epidemic occurs, the entire society must be mobilized to create a complete and efficient medical treatment system. Of vital importance to the coordinated response for emerging and rapidly spreading respiratory infections is the activation of the primary medical system; improvement of the comprehensive prevention and treatment capacities of county hospitals; and mobilization of a coordinated system for the respiratory and critical care medicine department, the infectious disease department, the clinical microbiology department, and a comprehensive critical care department.

Grass-roots medical institutions are the front-line defense in an epidemic and play a joint role in prevention and control. These institutions are the most effective defense against external anti-input and internal anti-proliferation. In the prevention and control of the COVID-19 epidemic, primary-level medical institutions must consider overall planning. In addition to epidemic prevention and control, these institutions must successfully manage daily diagnosis and treatment, family doctor contracting, and basic public health services, among other roles, to ensure the continuity of basic health services for urban and rural residents, as well as to play the dual role of "gatekeepers" of residents' health and "net bottom" of the COVID-19 epidemic. [98]

The role of grass-roots medical institutions includes (1) strengthening outpatient pre-screening and triage screening and performing early detection, early reporting, and isolation referral for patients with fever, suspected patients, and clustered cases of families; (2) organizing grid-based carpet-style management of urban and rural communities, including follow-up and isolated medical

observations of close contacts of patients, as well as followup of discharged patients; and (3) attending to the basic health and medication needs of key populations in the relevant jurisdiction, particularly those of pregnant women, children, elderly adults, and patients with chronic diseases, such as hypertension, chronic obstructive pulmonary disease, diabetes mellitus, and so on, and for these populations, health education, health management, prevention, rehabilitation, and psychological counseling should be provided. Follow-up services can be provided through several communication modes such as telephone, text messages, WeChat, video, or regional health cloud app. For patients with chronic diseases, policies such as long-term prescriptions and extended prescriptions should be implemented. [59-101]

County-level hospitals are another frontier and an important battlefield in the prevention and control of the COVID-19 epidemic, playing a vital role in disease prevention at the county level. However, the deficiencies of county-level hospitals are particularly prominent, including the lack of discipline system construction, a talent shortage in the departments of respiratory and critical medicine and infectious diseases, and a limited capacity to respond to infectious disease outbreaks. Through platform organizations, such as the National Medical Union for Respiratory Diseases, the National Telemedicine and Internet Healthcare Center, and the Chinese Alliance for Respiratory Disease in Primary Care, it is possible to open communication and resource channels between countylevel hospitals and provincial and national hospitals. For early detection and diagnosis of severely and critically ill patients, it is necessary to leverage the advantages of internet medical care, to refine the consultation network between provincial designated hospitals and municipal designated hospitals, and to achieve full coverage of citylevel expert consultations for confirmed cases and provincial expert consultation for severe cases. In addition, an efficient clinical microbiology department must be developed to provide technical support for rapid diagnosis, timely admission, and isolation. [79]

# **Fangcang Shelter Hospital**

Fangcang shelter hospitals ("Fangcang hospitals") are temporary hospitals located in large public facilities (ie, exhibition centers, stadiums) that can provide isolation, monitoring of disease progression, and medical care or other services for patients with mild-to-moderate COVID-19. The construction of Fangcang hospitals can alleviate medical pressure of designated hospitals and can allow for rapid admission and treatment of confirmed cases. [102] The establishment of these hospitals is a key move in compliance with China's strategic policy of "leaving no patients unattended" and "leaving no patients untreated" and has played a vital role in combating the COVID-19 outbreak.

The main functions of Fangcang hospitals are as follows: (1) Isolation: Interpersonal contact with COVID-19 patients can result in community transmission. [103,104] Admitting confirmed patients into Fangcang hospitals can significantly reduce community transmission. (2) Treat-

ment: Medical care that conforms to the disease characteristics can be administered to the admitted patients (patients with mild and moderate COVID-19). (3) Monitoring: Fangcang hospitals can admit and treat patients with mild-to-moderate COVID-19, closely monitoring each patient's condition, providing early identification of patients with complications or disease progression, and transferring such patients to designated hospitals for further treatment.

There are several required features of Fangcang hospitals: (1) Large capacity: Fangcang hospitals are converted from large public facilities such as exhibition centers and stadiums and can accommodate a large number of beds to quickly admit and treat confirmed COVID-19 patients. (2) High speed of construction: The construction of Fangcang hospitals can be efficiently completed in a very short time; the construction steps include creating wards. dividing infection control areas into separate zones (polluted zone, buffer zone, clean zone), and establishing basic medical facilities. (3) Low cost: Fangcang hospitals make full use of existing resources of large public facilities. Additional required resources include bedplates, partitions, bedside tables, and other basic items, as well as resources for establishing basic facilities such as temporary toilets and wash basins. Other costs are also lower or at least are not higher than costs of ordinary hospitals. (4) Clinical function zones: The functional zones include a ward department, radiological examination department, laboratory examination department, and viral nucleic acid detection department. Each Fangcang hospital can organize clinical functional zones according to the surrounding medical resources and specific needs of each hospital.

There are several basic operating rules of Fangcang hospitals.

- (1) Admission criteria: (i) Confirmed COVID-19 case (mild or moderate); (ii) ability to walk and live independently; (iii) no serious chronic diseases, including hypertension, diabetes, coronary heart disease, malignancy, structural lung disease, pulmonary heart disease, or immunosuppression; (iv) no history of mental health disorders; (v) age <65 years; (vi) no influenza virus infection; and (vii) SpO<sub>2</sub> >93% and RR <30 breaths/min in a resting state.
- (2) Monitoring and transfer: Patients' vital signs and SpO<sub>2</sub> should be closely monitored to identify potential severely and critically ill patients at an early stage. If a patient's disease condition progresses rapidly or fulfills the diagnostic criteria for severely or critically ill patients, the patient should be transferred to a designated hospital for appropriate and timely treatment.
- (3) Prevention of cross-infection: All patients in Fangcang hospitals must wear masks; staff members must wear comprehensive personal protective equipment in the ward environment.
- (4) Community management: Fangcang hospitals are essentially a small community of patients with mild-to-moderate COVID-19. Considering the large number of patients gathered in one location, it is necessary to monitor patients' psychological conditions to prevent potential conflict. In addition, to enrich patients' quality of life, designated spaces such as reading

corners and television areas can be established, and cultural activities can be organized.

The Fangcang hospital is a new model for responding to public health emergencies. The promotion of this model will support the modernization of China's health care system and capacity for governance.

#### **Focus of Future Research**

- (1) The traceability of viruses, evolution of mutations, biological characteristics, and transmission routes should be investigated to provide a theoretical basis for the prevention and control of infectious diseases, vaccines, and drug development.
- (2) The mechanism by which SARS-CoV-2 damages target organs, including the mechanisms for the attack on immune cells and the reduction of lymphocytes, should be elucidated. As the lung is the first and main target organ of SARS-CoV-2, changes that occur in the pathology and pathophysiology of the lung should be assessed. In addition to studies of the lungs, research is needed on the pathogenesis of other important organs, including myocardial damage, liver and kidney damage, thrombocytopenia, and even the pathogenesis of viral encephalitis.
- (3) The rules of disease progression for COVID-19, including risk factors specific to patients who progress from mild to severe and critical illness, risk factors for death, and related predictive disease models should be clarified.
- (4) Intervention measures and timing of implementation, including the efficacy of anti-viral drugs, side effects, course of treatment and applicable population, timing, dosage, duration and adverse reactions of glucocorticoid use, and the timing, indications, and benefits of invasive mechanical ventilation and ECMO administration need to be clarified.
- (5) Research and development of anti-viral drugs is needed, as well as the formulation of guidelines for conducting standardized, well-structured, clinical drug trials in emergency situations. New anti-coronavirus drugs should be developed based on an understanding of the biological characteristics of the virus. Existing drugs include lopinavir/ritonavir, radixivir, arbidol, chloroquine, and hydroxychloroquine. The efficacy and mechanism of traditional Chinese medicines against SARS-CoV-2 should also be clarified.
- (6) The shortcomings and risk points of the existing system should be elucidated, including investigations on how to improve the sensitivity and efficiency of the existing infectious disease reporting system and how to improve a coordinated ability to respond to major public health emergencies. Epidemic prevention and control is not limited to medical and health systems but also require a comprehensive response. For example, stadiums and soccer fields that have been converted to medical treatment facilities, known as "Fangcang shelter hospitals," have played an important role in fighting against the COVID-19 epidemic. Planning ahead and integrating disaster preparedness into urban design and

construction, such as anticipating a future need to transform large venues into temporary hospitals, are needed.

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#### Conflicts of interest

None.

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