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Luminal Epithelial Cells within the Mammary Gland Can Produce Basal Cells upon Oncogenic Stress

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Abstract

In the normal mammary gland, the basal epithelium is known to be bi-potent and can generate either basal or luminal cells, whereas the luminal epithelium has not been demonstrated to contribute to the basal compartment in an intact and normally developed mammary gland. It is not clear whether cellular heterogeneity within a breast tumor results from transformation of bi-potent basal cells or from transformation and subsequent basal conversion of the more differentiated luminal cells. Here, we used a retroviral vector to express an oncogene specifically in a small number of the mammary luminal epithelial cells and tested their potential to produce basal cells during tumorigenesis. This *in vivo* lineage tracing work demonstrates that luminal cells are capable of producing basal cells upon activation of either Polyoma Middle T antigen (PyMT) or ErbB2 signaling. These findings reveal the plasticity of the luminal compartment during tumorigenesis and provide an explanation for cellular heterogeneity within a cancer.

Introduction

Human breast tumors take many years to form and are usually clonal (1, 2). The majority of human breast cancers are comprised primarily of cells resembling the luminal layer of the normal mammary epithelium, and are thought to have an origin in the luminal epithelium. However, some human breast tumors also harbor both luminal- and basal-like cells, or have a basal-like expression profile $(^3, ^4)$. Therefore, heterogeneous tumors must be derived from basal cells which become luminal cells, or vice versa.

In early mammary development, both the luminal and basal epithelial compartments are generated from bipotent progenitors expressing markers of both lineages $(^5, ^6)$. After birth, while it is controversial whether basal cells can give rise to luminal cells in an unperturbed mammary gland, they retain the capability of regenerating a complete ductal tree after isolation and transplantation into an epithelia-cleared fat pad. However, luminal cells have

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The authors have no competing interests to declare.

been repeatedly demonstrated to be lineage-restricted and incapable of generating basal cells in either the intact mammary gland or upon transplantation (5_{-9}) . Unclear is whether this differentiated state in luminal cells precludes the development of basal cells during tumorigenesis.

There have not been any studies which fully address this question. Previous studies in transgenic rodent models congenitally expressed oncogenes, often impacting mammary gland development, and further lacked highly lineage-defined promoters $(^{10}-^{13})$. Other studies relied on *ex vivo* lineage enrichment and alteration followed by transplantation $(^{14}-^{17})$. Clinical BRCA1 patients have an expanded luminal progenitor population and develop basal-like tumors, and this correlation was interpreted to suggest a luminal cell origin for these tumorss $(^{18})$. However, later studies indicate that the luminal compartment in these patients show a basal-like profile and may not have properly differentiated in the first place $(^{19})$.

Therefore, it is unclear whether committed luminal cells in a fully developed and intact mammary gland – upon the gain of oncogenic mutations – can generate basal cells in their evolution to breast cancer. Here, we sought a direct answer to this question using a retroviral vector to selectively infect a small number of mammary luminal cells in vivo and to trace clonal tumor initiation events, according the emerging standard for tumor cell-of-origin studies ($^{20}_{-24}$). Resolving this issue will help explain the source of cellular heterogeneity in human breast cancer, which contributes to therapy resistance.

Results and Discussion

RCAS viral integration is restricted to the luminal compartment in WAP-tva mice

Here, we tested whether during tumorigenesis committed luminal cells can give rise to basal cells by utilizing an avian leukosis virus vector (RCAS) (25) to introduce two oncogenes (*PyMT* or *ErbB2*) into whey acidic protein (WAP)-positive committed luminal mammary epithelial cells in a fully developed mammary gland and evaluated the lineage potential during tumorigenesis. WAP is produced selectively by committed luminal cells within mammary ducts and alveoli (26 , 27). We recently generated a transgenic FVB mouse line using the luminal *WAP* promoter to drive the expression of cDNA encoding the TVA receptor (28), which is both necessary and sufficient for infection by RCAS (25).

To reconfirm that RCAS integration is restricted to the WAP+ luminal cell population, RCAS- β actin-HA (²⁹) was injected intraductally into eight adult WAP-*tva* mice (14–18 weeks of age; 1x10⁷ IUs per gland; one set of #2–4 glands per mouse). Uninjected glands were retained for each mouse as an internal control in this and all subsequent experiments, as opposed to treatment randomization. Mammary glands were collected at 2.5 days post-injection for co-immunofluorescence staining for the viral HA tag in addition to the luminal marker keratin 8 (K8), or the basal marker K5 or p63. Approximately 99% of HA+ cells co-stained for K8 (Figures 1A,J & S1A). We counted a single K5 or p63 positive basal cell per 800–1100 infected cells over 8 mice quantified (0.1%; Figures 1B–C,J & S1B–C). Our previously study of the infection rate of this virus detected approximately 3,000 infected cells per gland (²⁸). Thus, the total number of HA+ basal cells are estimated to be 3 per

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mammary gland in this current study. These data demonstrate that in the WAP-*tva* mouse line viral integration – and therefore oncogene expression – is highly selective for the luminal epithelium.

Next, we evaluated the lineage plasticity of the HA-tagged cells in an unperturbed mammary gland. The RCAS- β actin-HA-infected mammary glands of 8 WAP-*tva* mice each were collected 2 and 6 weeks post-infection for co-immunofluorescence for the above markers. Again, 99% of the HA+ cells co-stained for K8 at both time points, but none colocalized with K5 and only one with p63 at 2 weeks while only 5 co-stained with either K5 or p63 at 6 weeks (Figures 1D–I,K–L & S1D–I). These data indicate that the infected luminal cells remained luminal-restricted even after extended periods of time (equivalent to approximately 14 estrus cycles at the 6 week time point), confirming previous reports that in postnatal mammary glands luminal cells contribute only to the luminal compartment (⁶, ⁷).

PyMT-initiated tumorigenesis from luminal cells leads to the formation of early lesions and tumors that both harbor basal cells

To test whether oncogenic stress could cause committed luminal cells to generate basal cells, eight adult WAP-tva mice (12-14 weeks of age) were intraductally injected with RCAS virus expressing the gene encoding HA-tagged polyoma middle T antigen (RCAS-PyMT-HA) (³⁰). PyMT is a potent oncoprotein widely utilized as a model for breast cancer development that activates Src and PI3K $(^{31}, ^{32})$. It can rapidly induce mammary tumors in mice either transgenic for $PyMT(^{33})$ or infected with RCAS-PyMT-HA (25), and the resultant tumors most closely resemble the clinical LumB subtype $(^{34}, ^{35})$. In our model, we find that the lesions and tumors generated have a solid-cribiform and cribiformmicropapillary pathology, respectively (Figure S2A–B,F). Early lesions can be detected by seven days following RCAS-*PyMT-HA* injection $(^{25})$, so at this point, three mice were euthanized, and their mammary glands were stained by co-immunofluorescence for the HA tag and either K8 or K5. In the 30 lesions evaluated, the majority of HA+ cells produced K8, as expected (median=67.3%; Figure 2A,C; Figure S4A); however, a subset of HA+ cells in the majority of lesions stained for K5 (median=1.64%; Figure 2B,D; Figure S4B). Of note, RCAS-PyMT-HA-driven tumorigenesis engenders a multitude of distinct early lesions $(\sim 10^2)$ from the approximately 3000 initially infected cells (Figure S3). As only a few basal cells existed among this infected population, the overwhelming majority of lesions - and the basal cells within them - must have arisen from committed luminal cells.

Tumors that developed in the remaining five mice were collected for coimmunofluorescence analysis for the HA tag in PyMT and either a luminal or a basal marker. As expected, the majority of tumor cells were positive for K8 (median=79.8%; Figure 2E,H; Figure S4C), and they appeared to be well differentiated with a low nuclear grade (Figure S2B). However, again a small subset of HA+ tumor cells stained for K5 (median=1.17%; Figure 2F,I; Figure S4D). These K5+ cells were predominantly, though not exclusively, localized in close proximity to the infiltrating stroma and were well organized like a basal epithelium. This finding was confirmed by staining for p63 (median=2.49%, Figure 2G,J, Figure S4E). Together, these data suggest that *PyMT* expression in luminal cells leads to the formation of early lesions and tumors that both harbor a small subset of well-differentiated and organized basal cells.

ErbB2-initiated tumorigenesis from luminal cells also leads to the formation of early lesions and tumors that harbor basal cells

Next, we tested whether a cellular oncogene commonly altered in human breast cancer can also cause committed luminal cells to produce basal cells. ErbB2 encodes a member of the epidermal growth factor receptor family of tyrosine kinases and is amplified and overexpressed in approximately 25% of human breast cancers $({}^{36}, {}^{37})$. Although ErbB2 tumors cluster together and are considered their own subtype, the category can be further divided into those tumors which are more luminal-like and those which are more basal-like $(^3, ^{38})$. In our system, we find that the ErbB2 precancerous lesions have a solid or solidcribirorm pathology, but often develops squamous metaplastic pockets at the tumor stage (Figure S2C–F), making this oncogene a suitable candidate for the study of tumor heterogeneity. Ten adult (13–16 weeks of age) WAP-tva mice were injected intraductally with RCAS-ErbB2-HA, which carries a constitutively activated ErbB2 (25). RCAS-ErbB2-*HA* leads to early lesions in two weeks $(^{29}, ^{39})$, and therefore three mice at this time point were euthanized and their infected mammary glands were co-stained for HA and either K8 or K5. Most of the resulting early lesions maintained a high percentage of luminal K8+ cells, as expected, although some down-regulated or lost K8 (median=81.8%; Figure 3A,C; Figure S5A). As in the PyMT-induced early lesions, some of these early lesions also gained a small subset of K5+ cells (median=0.21%; Figure 3B,D; Figure S5B), which existed mainly as isolated single events.

Tumors arising in the remaining seven infected mice appeared heterogeneous, as we reported previously (40). The majority of the tumor mass was glandular and comprised of dense luminal K8+ cells that were accompanied by few K5+ basal-like cells (Figure 3E–F; Figure S5C–D). In contrast, large pockets of K5+ squamous metaplastic cells developed in four of the seven tumor (Figure 3J), suggesting a clonal expansion and transdifferentation of basal cells within the ErbB2 tumor mass. These regions precipitously lost K8+ cells (Figure 3H–I; Figure S5F–G). This staining pattern was confirmed by staining for p63 (Figure 3G,J, Figure S5E–H). Squamous metaplasia represents a rare subtype of basal breast cancers with poor prognosis (41 , 42). The observations in this study suggest that squamous metaplastic cells within breast cancer may have an origin in the luminal epithelium.

Flow cytometry analysis of cellular lineages

We next wanted to evaluate the lineage profiles by flow cytometry for both PyMT and ErbB2 lesion-bearing mammary glands. Normal uninfected control mammary gland cells clearly showed both luminal (CD24^{high}CD49f+) and basal (CD24^{low}CD49f+) lineages, as reported (⁸). For both the PyMT and ErbB2 lesions, while the majority of the HA+ cells remained within the luminal gate, a significant subset was detected within the basal gate, and a substantial proportion of cells massed between the basal and luminal gates (Figure 4A–B, Figure S6). This observation confirmed basal conversion of luminal cells during tumorigenesis and further suggests that even cells which appear in a luminal or basal state by standard immunofluorescence methods may actually be in a transitioning state detectable

Taken together, both PyMT and ErbB2 can stimulate significant luminal-to-basal transition. Therefore, oncogenic stress can cause committed luminal cells to form additional cell lineages, including basal cells as well as squamous metaplastic cells, unveiling oncogenedriven plasticity of committed luminal cells during carcinogenesis. These findings provide further support for the idea that luminal cells can be the cells of origin of heterogeneous breast cancers including those that are basal-like, and suggest that intratumoral heterogeneity does not need to arise from transformation of bipotential progenitor cells.

K8/K5 double-positive cells exist in both early lesions and frank tumors

The detection of both a large population of K5+ cells and a large population of K8+ cells in some caErB2-induced tumors suggests that some of the tumor cells may be double-positive for both basal and luminal markers. Therefore, tri-immunofluorescence staining for K8/K5/HA was used to identify double lineage cells among the provirus+ population (based on HA staining). In PyMT-induced early lesions and tumors, K8+K5+ cells were detected in 2.26±1.0% and 2.17±0.7% of the provirus+ cells, respectively (Figure 4C–E). In ErbB2-induced early lesions and tumors, K8+K5+ cells were found in 0.84±0.3% and 5.11±1.8% of provirus+ cells, respectively (Figure 4F–I; **p<0.03**). These data demonstrate that K8/K5 double-positive cells exist in both early lesions and frank tumors induced by both PyMT and ErbB2. These double-positive cells may represent a transitional state between the luminal and basal compartments. Alternately, cells positive for both lineage markers may have stem cell properties or be cancer stem cells (CSC) (6 , 43).

We further quantified the numbers of double negative cells, as these may represent an alternate and possibly more dedifferentiated transition state. Here we found that PyMT lesions and tumor had $0.54\pm0.1\%$ and $0.51\pm0.2\%$ K5/K8 double negative cells among provirus+ cells. ErbB2 lesions and tumors had $0.94\pm0.4\%$ and $1.68\pm0.5\%$ double negative cells among provirus+ cells (Figure 4E,I).

Neither the lineage double-positive nor the double-negative cell population size significantly increased with the progression from precancerous lesions to tumors in the PyMT model, but there was a significant increase in the numbers of double positive cells in the ErbB2 model. These cells may represent a transitioning or possibly stem-like cellular state, suggested by previous literature (12). However, this emergent cellular population would necessitate further study to fully elucidate the function.

Conclusion

Using retrovirus-mediated in vivo lineage tracing, we show that oncogenic signaling can cause committed luminal cells to form additional cellular lineages, exposing the plasticity of formerly committed and lineage-restricted mammary luminal epithelial cells. This observation suggests that intratumoral heterogeneity does not need to arise from transformation of a cell with multipotent potential. Rather, heterogeneity may be a natural

consequence of oncogene activation regardless of the cell of origin. Consequently, breast cancer prevention should not solely focus on targeting a specific multipotent cell population. Understanding the molecular mechanism of luminal cell plasticity under oncogenic stress may lead to new molecular targets for preventing basal-like and metaplastic breast cancer.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1. Viral integration is restricted to the luminal epithelial compartment

(A–I) Immunofluorescence staining for the HA tag of RCAS-βactin-HA at 2.5 days (A–C), 14 days (D-F), and 6 weeks (G-I) shows that viral integration is restricted to the K8+ luminal population (A,D), with extremely rare K5+ (B,E,H) or p63+ (C,F,I) basal cells marked by HA. Solid arrows represent cells double-positive for the HA tag and a lineage marker. Hollow arrows represent cells positive for only the HA tag. (J-L) Quantification of the immunostaining shown above, n=8 mice per time point to ensure adequate HA+ cell numbers and to account for possible estrus cycle effects. See also Figure S1. The WAP-tva transgenic mouse line has been previously described $(^{28})$. All mice were bred and maintained in accordance with the animal protocol and guidelines approved by the BCM Institutional Animal Care and Use Committee (IACUC). RCAS viral culture and mammary intraductal injection has been previously described $(^{44})$. For immunofluorescence staining, antibodies used are as follows: mouse anti-HA (1:500; Covance, MMS-101P), rabbit anti-K5 (1:200; Covance, PRB-160P), rabbit anti-p63 (1:200; Biolegend, 619002), and rat anti-K8 (1:200; University of Iowa Hybridoma Bank, Troma-I). Cells were quantified using the WCIF ImageJ package (www.uhnresearch.ca/wcif). Thresholds for each channel were individually determined by evaluation against control duct. HA+ cells were manually selected and quantified using the Nucleus Counter plugin on the DAPI+ channel. Protein colocalization was ascertained using the Colocalization Highlighter plugin. Colocalized protein was required to encircle a nucleus to be considered a positive cell. For nuclear stains,

cellular colocalization was manually quantified using thresholded images in cells containing both the nuclear marker and encircled by the cytoplasmic marker.



Figure 2. PyMT lesions and tumors are comprised of both luminal and basal lineages

Immunofluorescence staining of RCAS-PyMT-HA precancerous lesions (A&B) and mature tumors (E–G). While luminal K8+ cells are predominant in both early lesions (A&C) and tumors (E & H), K5+ basal cells develop in many precancerous lesions (B&D), and persist and/or continue to develop in the mature tumors (F&H). Basal conversion is confirmed with p63 staining of the mature tumors (G–J). Solid arrows represent cells double-positive for HA tag and K8, K5, or p63. Open-ended arrows represent cells positive for only K5 or p63. WAP-*tva* mice (11–22 weeks) were intraductally injected with 10 µL RCAS-PyMT virus (10⁷ IU), previously described (²⁵, ³⁰), into each of the 2nd, 3rd, and 4th left mammary glands. Cellular colocalization was quantified as described in Figure 1. Quantifications represent 10 precancerous lesions from each of 3 mice and 5 mature tumors, to ensure detection of cellular conversion occurred in multiple mice at each stage. See also Figure S4.



RCAS-ErbB2-HA

Figure 3. ErbB2 lesions and tumors are mainly cells of the luminal lineage, but some tumors develop large pockets of basal lineage cells

RCAS-ErbB2-HA mostly generates precancerous lesions with predominantly K8+ cells (A&C) and a minor population of K5+ cells (B&D). Some tumors are predominantly K8+ luminal-like cells (E) that are accompanied by rare and isolated K5+ and/or p63+ cells (F–G). However, others develop also large pockets of K5+ and/or p63+ cells (I&J). Region 1 (E–G) and Region 2 (H–J) represent separate views of the same tumor for each staining. Solid arrows represent cells double-positive for the HA tag and K8, K5, or p63. Hollow arrows represent cells positive for only the HA tag. Open-ended arrows represent cells positive for only the HA tag. Open-ended arrows represent cells with 10 μ L RCAS-ErbB2 virus (10⁷ IU), previously described (²⁹), into each of the 2nd, 3rd, and 4th left mammary glands. Cellular colocalization was quantified as described in Figure 1. Quantifications represent 10 precancerous lesions from each of 3 mice and 7 tumors, to ensure detection of cellular conversion occurred in multiple mice at each stage. See also Figure S5.



Figure 4. RCAS-PyMT-HA and RCAS-ErbB2-HA driven tumorigenesis exhibit evidence of cellular plasticity

(A) Representative flow cytometry profile of luminal and basal gating for Lin(-) cells from uninjected mammary glands and Lin(-)HA(+) cells from early lesion-bearing glands. Lesion-bearing mammary glands were collected at appropriate timepoints along with uninjected control mammary glands, and single-cell suspensions were prepared and then serially stained for hematopeoetic lineage markers (CD45, Ter-119, CD31) (BD#553672, BD#553086, Biolegend#102504) and CD24 (BD#562563), and CD49f (BD#555736), and SytoxRed (Life Technologies #S34859). Cells were permeabilized in 2% Tween20 in PBS for 15 minutes at room temperature, followed by HA.11 antibody addition (BioLegend#A488-101L). See also Figure S6. (B) Quantification of cellular population frequencies in PyMT and ErbB2 lesion-bearing mammary glands as analyzed by flow cytometry (Mean±SEM). Plots represent results from 4 independent mice for each oncogene. (C-I) Tri-immunofluorescence staining for K5/K8/HA. The lesion type and the initiating oncogene are as indicated. Solid arrows represent cells triple-positive for K8/K5/HA. Hollow arrows represent cells positive K5/HA but not K8. Cellular colocalization was quantified as described in Figure 1. Quantifications represent percentage (Mean±SEM) of K5+/K8+ double-positive cells and K5-/K8- double-negative cells over total HA+ cells for 10 precancerous lesions from each of 3 mice and 5 (PyMT) and 7 (ErbB2) mature tumors, to ensure detection of cellular conversion occurred in multiple mice at each stage. P-value is calculated using a non-parametric kruskal-wallis test to account for large variability in the data.