

RESEARCH ARTICLE

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# Short-term prognostic value of forced expiratory volume in 1 second divided by height cubed in a prospective cohort of people 80 years and older

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## Abstract

**Background:** Spirometry-based parameters of pulmonary function such as forced expiratory volume in 1 second (FEV<sub>1</sub>) have prognostic value beyond respiratory morbidity and mortality. FEV<sub>1</sub> divided by height cubed (FEV<sub>1</sub>/Ht<sup>3</sup>) has been found to be better at predicting all-cause mortality than the usual standardization as percentage of predicted "normal values" (FEV<sub>1</sub>%) and its use is independent of reference equations. Yet, limited data are available on the very old adults (80 years and older) and in association to other adverse health outcomes relevant for this age group. This study aims to investigate the short-term prognostic value of FEV<sub>1</sub>/Ht<sup>3</sup> for all-cause mortality, hospitalization, physical and mental decline in a cohort of very old adults.

**Methods:** In a population-based prospective cohort study of 501 very old adults in Belgium, comprehensive geriatric assessment and spirometry were performed at baseline and after 1.7 ± 0.21 years. Kaplan-Meier curves for 3-year all-cause mortality and hospitalization rates and multivariable analysis adjusted for age, sex, smoking status, co-morbidities, anemia, high C reactive protein and creatinine levels examined the association of FEV<sub>1</sub>/Ht<sup>3</sup> with all-cause mortality, unplanned hospitalization and decline in mental and physical functioning. Physical functioning was assessed by activities of daily living, a battery of physical performance tests and grip strength. Mental functioning was assessed with mini mental state examination and 15 items geriatric depression scale.

**Results:** Individuals in the lowest quartile of FEV<sub>1</sub>/Ht<sup>3</sup> had a statistically significant increased adjusted risk for all-cause mortality (hazard ratio [HR] 1.69, 95% confidence interval [CI] 1.10-2.60) and unplanned hospitalization (HR 1.65, 95% CI 1.21-2.25), as well as decline in physical (odds ratio [OR] 1.89, 95% CI 1.05-3.39) and mental functioning (OR 2.39, 95% CI 1.30-4.40) compared to the rest of the study population.

**Conclusions:** In a cohort of very old adults, low FEV<sub>1</sub> expressed as FEV<sub>1</sub>/Ht<sup>3</sup> was found to be a short-term predictor of all-cause mortality, hospitalization and decline in physical and mental functioning independently of age, smoking status, chronic lung disease and other co-morbidities. Further research is needed on FEV<sub>1</sub>/Ht<sup>3</sup> as a potential risk marker for frailty and adverse health outcomes in this age group.

## Background

The prognostic value of the spirometry parameters of pulmonary function (PF) such as forced expiratory volume in 1 second (FEV<sub>1</sub>) and forced vital capacity (FVC) has been found to extend beyond respiratory morbidity and mortality into overall morbidity, mortality and other

adverse health outcomes [1-5]. Inflammatory markers seem to have a significant role in the multiple pathways that link PF to overall mortality and morbidity [4,6]. Impaired PF has also been found to be associated with reduced physical performance and disability in community-dwelling adults [7-10], while lower PF measures at midlife have been found to be independently associated with lower cognitive performance in later life [7,11-17]. Recently, cross-sectional and longitudinal analysis has also shown that impaired PF and frailty are strongly associated and both increase the risk for mortality in older adults [18], leading to further

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interest in researching the role of PF parameters as prognostic markers of adverse health outcomes in this worldwide growing age group.

Yet the interpretation of key spirometry parameters is an area of ongoing discussion, especially for the older adults [19]. The common approach of expressing FEV<sub>1</sub> as percentage of a predicted "normal" value (FEV<sub>1</sub>%) is dependent on reliable age-specific reference values derived from spirometry data of "healthy" people of equivalent age and gender, but these have been relatively lacking for the very old adults until recently when the Global Lung Initiative (GLI) all-age reference equations have been made available for populations up to 95 years old [20,21]. Yet, the GLI reference equations need to be validated for people over 80 years old and more data are needed for this age group [21]. The FEV<sub>1</sub>% approach also does not account for the variability of predicted values that is even higher in the older adults [20,22]. These limitations of FEV<sub>1</sub>% have led to exploration of alternative ways of standardizing FEV<sub>1</sub> such as FEV<sub>1</sub> standardized residuals (FEV<sub>1</sub>SR or z-scores), FEV<sub>1</sub> divided by height squared or cubed (FEV<sub>1</sub>/Ht<sup>3</sup>) or as a function of the sex-specific first percentile (FEV<sub>1</sub> quotient (FEV<sub>1</sub>Q) with the last two being found to be superior to FEV<sub>1</sub>% in predicting all-cause mortality [23-27]. Studies on the ability of these alternative expressions of FEV<sub>1</sub> to predict mortality in the very old adults and other relevant adverse health outcomes for this age group are very limited.

The aim of this study is to investigate the short-term prognostic value of FEV<sub>1</sub>/Ht<sup>3</sup> for all-cause mortality and unplanned hospitalizations as well as declines in physical and mental functioning in a cohort of very old adults. FEV<sub>1</sub>/Ht<sup>3</sup> was investigated in this study as its use is independent of reference equations.

## Methods

### Study design and population

The BELFRAIL study (BF<sub>c80+</sub>) is a prospective, observational, population-based cohort study of people aged 80 years or older living in Belgium aiming to acquire a better understanding of the epidemiology and pathophysiology of chronic diseases in this age-group and to study the dynamic interaction between health, frailty and disability in a multi-system approach. The study protocol and sampling methods have been already described [28]. Briefly, between November 2008 and September 2009, in 29 general practice centers, 567 individuals aged 80 years and older were included in the BF<sub>c80+</sub>, excluding only those with severe dementia (defined as a mini-mental state examination  $\leq 15/30$ ) and those in palliative or emergency care. At baseline (T<sub>0</sub>), the participants' general practitioners (GP) recorded socio-demographic data and medical history. An extensive

assessment by a clinical research assistant (CRA) included performance tests, questionnaires and technical examinations such as spirometry as well as collection of blood samples for laboratory tests. The same comprehensive assessment was repeated at  $1.7 \pm 0.21$  years from baseline (T<sub>1</sub>). Hospitalization and mortality data were collected periodically until  $3.0 \pm 0.25$  years from baseline (Figure 1). The study protocol was approved by the Biomedical Ethics Committee of the Medical School of the Universite Catholique de Louvain (UCL) in Brussels, Belgium. All participants gave written informed consent.

### Baseline spirometry

All spirometry data were gathered by two trained CRAs using a Spirobank spirometer (Medical International Research, Rome, Italy) that has been previously found to be reliable for research purposes [29]. After demonstration of the correct spirometry maneuver, participants performed up to eight forced vital capacity maneuvers or until exhaustion to achieve the acceptability and repeatability criteria of the American Thoracic Society (ATS)/European Respiratory Society (ERS) [30]. Repeatability of the spirometry was calculated automatically in accordance with these criteria. Two independent researchers evaluated all spirometry by the ATS/ERS criteria and classified them in the following levels: 1 – all criteria concerning acceptability and repeatability are fulfilled; 2 – all criteria are fulfilled except duration of expiration > 6 seconds; 3 – spirometry has good starts and no cough during the 1st second of manoeuvre; 4 – none of the criteria are fulfilled. Standardized measurements of height were performed during the CRA visit at T<sub>0</sub>. FEV<sub>1</sub> was expressed as FEV<sub>1</sub> divided by height cubed and ranked in quartiles.

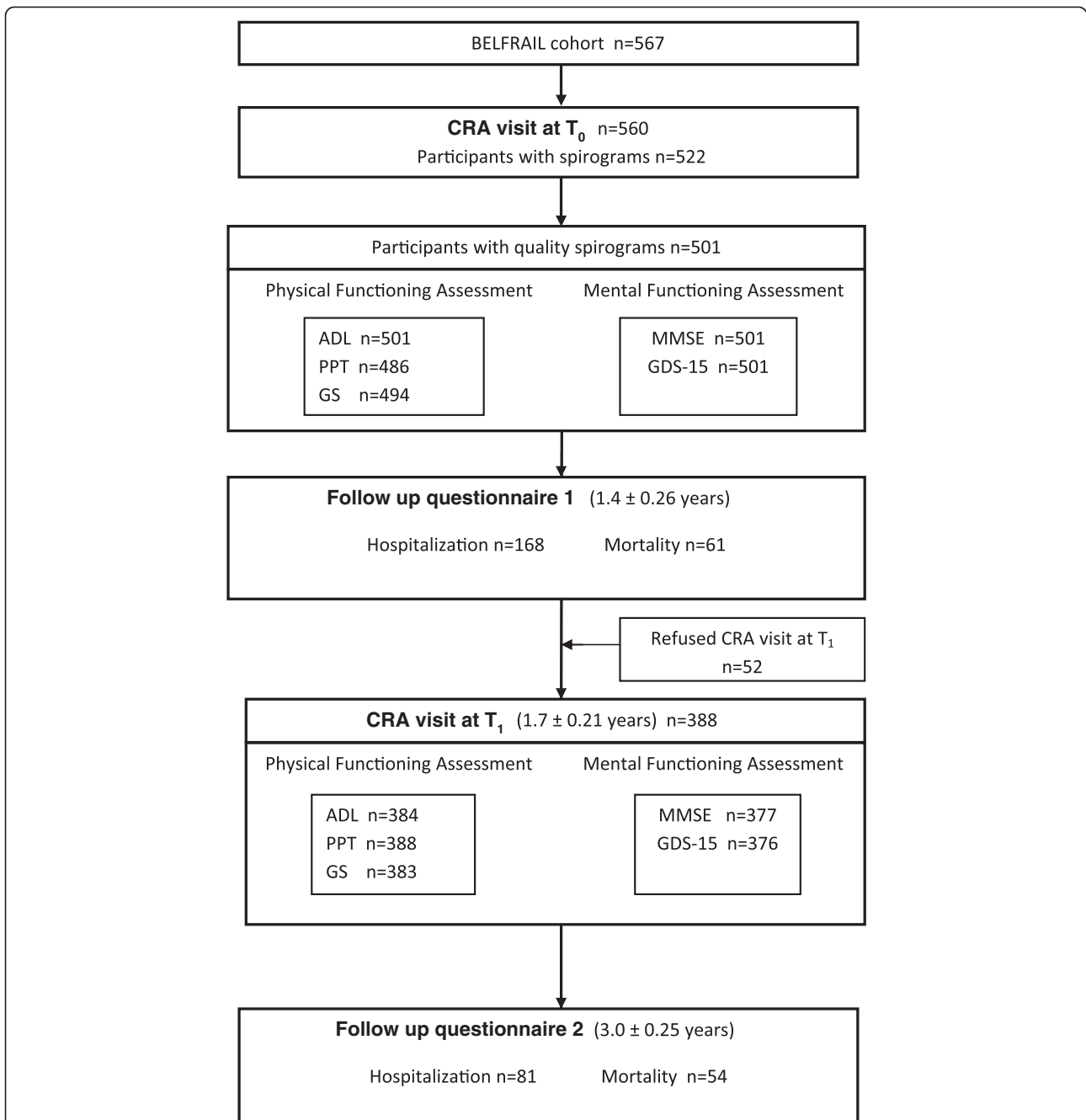
### All-cause mortality and hospitalizations

The detailed follow-up questionnaires received from the GPs of the participants at  $1.4 \pm 0.26$  years and  $3.0 \pm 0.25$  years after the baseline (Figure 1) reported on the date and cause of mortality and hospitalizations. All-cause mortality and time to first unplanned hospitalization after the CRA visit at T<sub>0</sub> were used as outcome measurements.

### Physical decline

Activities of daily living (ADL), physical performance tests (PPT) and grip strength were used as measures of physical functioning. Physical decline was defined as a relevant decline in any of these tests between T<sub>0</sub> and T<sub>1</sub>.

The ADL consisted of asking the respondents to describe the degree of difficulty they have with six activities of daily living: climbing stairs, walking 5 minutes outdoors without resting, getting up and sitting down in a chair, dressing and undressing oneself, using own or public transport and cutting one's own nails [31]. The



**Figure 1** Flowchart of the data collection in the BELFRAIL study CRA: clinical research assistant; GP: general practitioner; ADL: activities of daily living; PPT: physical performance tests; GS: grip strength; MMSE: mini mental state examination; GDS-15: 15 items geriatric depression scale.

response categories ranged from 1 (“No, I cannot”) to 5 (“Yes, without difficulty”) with the total score of 6–30. The relevant decline was determined using the Edwards-Nunnally index that determines the probability of substantial individual change and avoids the problem of regression to the mean [32]. This index computes whether a significant change has occurred between  $T_0$

and  $T_1$  based on the reliability of the scale and the 95% Confidence Interval (CI) of the score at  $T_0$ .

The PPT consisted of measured times of walking 3 meters and return, sitting and standing from a chair, putting on and taking off a cardigan and maintaining balance in a tandem stand [33-35]. For the first three tasks, those who could not complete the task were

assigned a score of 0, while those completing it were scored 1–4 depending on the quartile of time needed to complete the task (fastest time scored as 4). For the balance in tandem stand, those who could not perform it or maintained the balance for less than 3 seconds were assigned a score of 0, while those maintaining it until  $\leq 9$  seconds or more were scored 1 and 2 respectively. The summary performance score (range 0–14) was calculated by adding up the category scores and ranked into gender-specific quintiles. The relevant decline was defined as a drop by at least two gender-specific quintiles in total score between  $T_0$  and  $T_1$ .

The grip strength was measured in the dominant hand using a JAMAR® Plus digital handheld dynamometer [36,37]. Three attempts at maximal squeeze were recorded. The relevant decline was defined as a drop by at least two gender-specific quintiles of the best attempt between  $T_0$  and  $T_1$ .

#### Mental decline

The mini-mental state examination (MMSE) and the 15-item Geriatric Depression Scale (GDS-15) were used to assess the cognitive and mood/affective components of the mental status respectively. Mental decline was defined as a relevant decline in any of these tests between  $T_0$  and  $T_1$ .

The MMSE evaluates cognitive efficiency by examining orientation in time and space; short and middle term memory; calculation; comprehension and constructive praxis with scores range from 0 to 30 points (optimal) [38]. The relevant decline was determined using the Edwards-Nunnally index [32].

The GDS-15 has been designed to screen for depression in the older adults and has been found to have good accuracy in the very old adults [39,40]. Its scores range from 0 (optimal) to 15. The relevant decline was determined as a shift of score from  $<5$  to  $\geq 5$  [41].

#### Potential confounding variables

In addition to age and sex, other potential confounding variables associated with PF and the study outcomes [4,6,16] were included in the statistical analysis as follows: smoking status (never, previous or current smoker), high sensitivity C-Reactive Protein (hs-CRP)  $> 0.3$  mg/dl, serum creatinine  $> 2$  mg/dL, anemia (hemoglobin  $< 12$  g/dL for women and  $< 13$  g/dL for men), history of cardiovascular disease (CVD), osteoporosis, Parkinson disease, diabetes, hypertension as well as chronic respiratory morbidities such as chronic obstructive pulmonary disease (COPD) and asthma. The GP reported the recorded status of smoking and the presence of morbidities at  $T_0$ . Plasma (EDTA) and serum samples were stored and frozen in  $-8^\circ\text{C}$  until analysis. Creatinine and hs-CRP were measured in serum using the UniCel DxC 800 Synchron

(Beckman-Coulter, Brea, USA). Hemoglobin was measured on whole blood using the Sysmex XE-2100 automated hematology analyzer (Milton Keynes, UK).

#### Statistical analysis

Descriptive statistics were calculated for baseline and outcome variables. Continuous variables are presented as mean  $\pm$  standard deviation (SD) or median and interquartile range (IQR), while categorical ones are presented as numbers and frequencies.  $\text{FEV}_1/\text{Ht}^3$  values were ranked into two groups based on the quartiles of their distribution: those in the lowest quartile and the rest of the study population. Comparison of baseline and outcome variables across the  $\text{FEV}_1/\text{Ht}^3$  quartiles was tested using one way analysis of variance for parametric variables, Kruskal-Wallis test for non-parametric variables and Pearson's Chi-Square test for categorical variables. Kaplan-Meier curves for all-cause mortality and hospitalization during 3 years follow-up for the lowest  $\text{FEV}_1/\text{Ht}^3$  quartile and the rest of the population were compared using a log-rank test. Cox proportional hazards regression model was used to estimate the hazard ratio (HR) for mortality and hospitalization for the lowest  $\text{FEV}_1/\text{Ht}^3$  quartile adjusting for potential confounders in three consecutive models. Logistic regression model (adjusted for the abovementioned confounders in three consecutive models) was used to estimate the odds ratios (OR) of the lowest  $\text{FEV}_1/\text{Ht}^3$  quartile for decline in physical and mental functioning. The rest of the study population was used as reference category. Variables were first checked for multicollinearity. A two-tailed probability value  $p < 0.05$  was considered statistically significant. Statistical analysis was performed using SPSS 22.0 for Windows (SPSS Inc., Chicago, IL, USA).

## Results

#### Baseline characteristics of the study population

The  $\text{BF}_{\text{c80+}}$  cohort consisted of 567 participants and 522 of them performed spirometry during the CRA visit at  $T_0$ . The quality of spirograms was scored as ATS 1 in 226 participants (43.3%), ATS 2 in 214 (41%), ATS 3 in 61 (11.7%) and ATS 4 in 21 (4%). Participants with spirograms scored as ATS 1–3 (501) were included in the study population for our analysis (Figure 1). They were comparable to the initial  $\text{BF}_{\text{c80+}}$  population with a mean age of 84.79 (SD 3.66) and 186 (37.1%) men. The main baseline demographic and clinical characteristics of the study population in total and by  $\text{FEV}_1/\text{Ht}^3$  quartiles are shown in Table 1. The differences in age and gender across the  $\text{FEV}_1/\text{Ht}^3$  quartiles were statistically significant with more female and older participants in the lowest  $\text{FEV}_1/\text{Ht}^3$  quartile. Current or previous smoking was present in 31.6% of the population with no statistically significant differences in smoking status between the

**Table 1 Baseline characteristics of the study population in total and across the FEV<sub>1</sub>/Ht<sup>3</sup> quartiles**

	Total population (n = 501)	Lowest quartile (n = 129)	Second quartile (n = 111)	Third quartile (n = 135)	Highest quartile (n = 126)	p value
Age (years), mean ± SD	84.8 ± 3.7	85.6 ± 3.8	85.3 ± 3.8	84.7 ± 3.7	83.6 ± 3.0	0.00 <sup>a</sup>
Male, n (%)	186 (37.1)	30 (23.3)	34 (29.6)	51 (38.1)	69 (54.8)	0.00 <sup>b</sup>
BMI (kg/m <sup>2</sup> ), mean ± SD	27.4 ± 4.9	26.7 ± 5.4	27.7 ± 4.9	28.1 ± 5.3	27.0 ± 3.7	0.11 <sup>a</sup>
FEV <sub>1</sub> /Ht <sup>3</sup> , mean ± SD	0.4 ± 0.1	0.3 ± 0.1	0.4 ± 0.0	0.4 ± 0.0	0.6 ± 0.1	0.00 <sup>a</sup>
FEV <sub>1</sub> , mean ± SD	1.7 ± 0.6	1.1 ± 0.3	1.5 ± 0.3	1.8 ± 0.3	2.4 ± 0.5	0.00 <sup>a</sup>
Smoker/ex-smoker, n (%)	158 (31.6)	41 (32)	34 (29.6)	35 (26.1)	46 (36.5)	0.24 <sup>b</sup>
COPD, n (%)	54 (10.8)	30 (23.4)	11 (9.6)	4 [17]	8 (6.3)	0.00 <sup>b</sup>
Asthma, n (%)	24 (4.8)	12 (9.4)	5 (4.3)	5 (3.7)	2 (1.6)	0.03 <sup>b</sup>
CVD history, n (%)	256 (51.1)	77 (60.2)	69 (60)	71 (53)	58 (46)	0.08 <sup>b</sup>
Hypertension, n (%)	356 (71.2)	97 (75.8)	87 (75.7)	86 (64.2)	87 (69.1)	0.13 <sup>b</sup>
Diabetes, n (%)	95 (19)	23 (18)	25 (21.7)	31 (23.1)	16 (12.7)	0.22 <sup>b</sup>
Parkinson, n (%)	9 (1.8)	2 (1.6)	0 (0)	4 [17]	3 (2.4)	0.34 <sup>b</sup>
Osteoporosis, n (%)	107 (21.7)	40 (31.7)	32 (28.6)	23 (17.3)	15 (12.1)	0.00 <sup>b</sup>
Anemia, n (%)	99 (20)	25 (19.7)	25 (21.7)	23 (17.4)	27 (21.8)	0.90 <sup>b</sup>
hs-CRP (mg/dl), median [IQR]	0.18 [0.1-0.4]	0.22 [0.1-0.6]	0.17 [0.1-0.4]	0.15 [0.1-0.3]	0.15 [0.1-0.4]	0.02 <sup>c</sup>
Serum creatinine (mg/dl), median [IQR]	0.95 [0.8-1.2]	0.92 [0.7-1.2]	0.93 [0.8-1.1]	0.90 [0.8-1.2]	1.0 [0.8-1.2]	0.17 <sup>c</sup>
ADL score at T <sub>0</sub> , median [IQR]	25 [21-27]	22 [17.3-26]	25 [21-27]	25 [22-28]	27 [24-29]	0.00 <sup>c</sup>
PPT score at T <sub>0</sub> , median [IQR]	9 [5-11]	7 [4-9]	8 [5-11]	8 [6-11]	10 [7-12]	0.00 <sup>c</sup>
Grip strength at T <sub>0</sub> , median [IQR]	20 [15.1-26.3]	16.9 [12.7-21.3]	18.7 [14.8-23]	20.2 [15.5-26.2]	25.3 [19.4-33.9]	0.00 <sup>c</sup>
MMSE score at T <sub>0</sub> , median [IQR]	28 [26-29]	27 [24.3-29]	28 [26-29]	28 [25.3-29]	28 [27-30]	0.00 <sup>c</sup>
GDS-15 score at T <sub>0</sub> , median [IQR]	2 [1-4]	2 [2-5]	3 [1-4.3]	2 [1-3]	2 [1-3]	0.00 <sup>c</sup>

BMI: body mass index; FEV<sub>1</sub>/Ht<sup>3</sup>: forced expiratory volume in one second over height cubed; COPD: chronic obstructive pulmonary diseases; CVD: cardiovascular disease; hs-CRP: high sensitivity C-reactive protein; ADL: activities of daily living; T<sub>0</sub>: baseline assessment; PPT: physical performance tests; MMSE: mini-mental state examination; GDS: geriatric depression scale; <sup>a</sup>based on one way ANOVA; <sup>b</sup>based on Pearson Chi-Square; <sup>c</sup>based on Kruskal-Wallis.

FEV<sub>1</sub>/Ht<sup>3</sup> quartiles. All of the components of physical and mental functioning at baseline (ADL, PPT, grip strength, MMSE and GDS-15) showed a statistically significant difference across the FEV<sub>1</sub>/Ht<sup>3</sup> quartiles with worse scores in the lowest FEV<sub>1</sub>/Ht<sup>3</sup> quartile. The prevalence of asthma and COPD in the study population was 4.8% and 10.8% respectively. The differences in prevalence of asthma, COPD and osteoporosis across the FEV<sub>1</sub>/Ht<sup>3</sup> quartiles were statistically significant with higher prevalence in the lowest quartile.

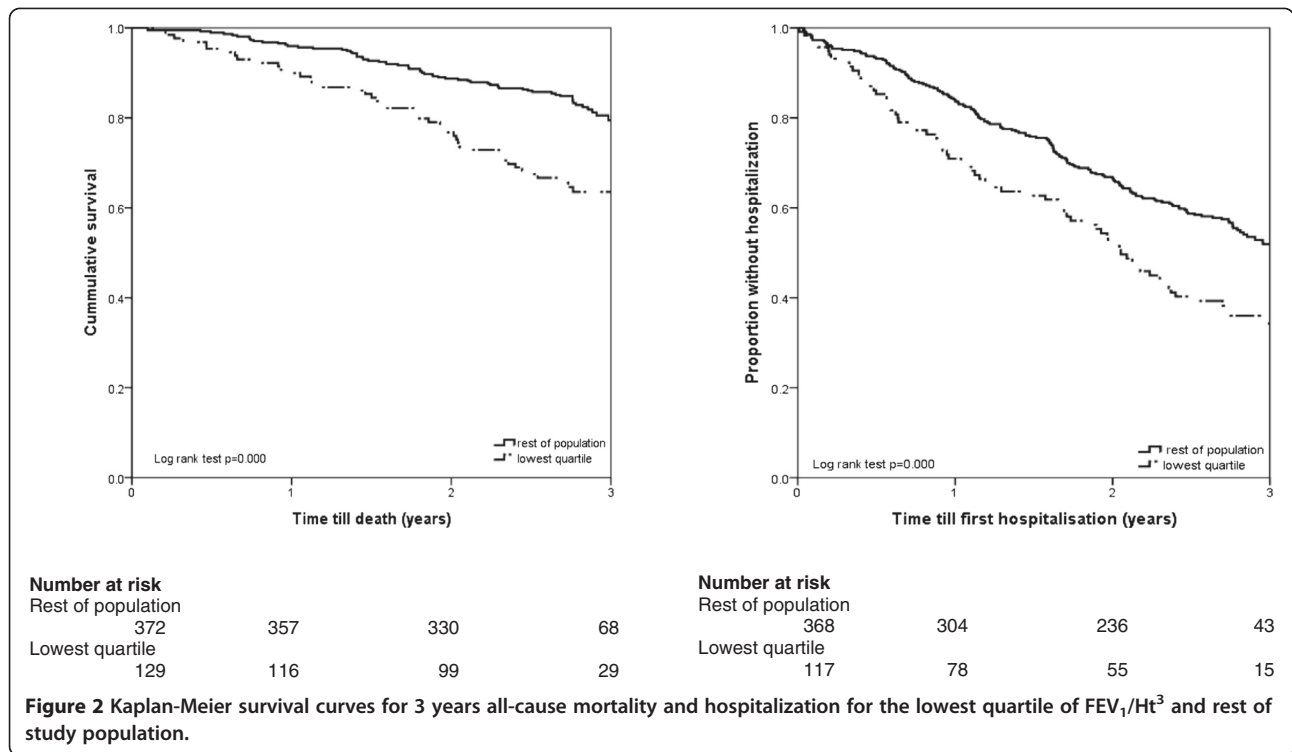
#### All-cause mortality and hospitalization

Follow-up data for mortality were available for all the participants (501), while data on hospitalizations were available for 494 of them. During the 3.0 ± 0.25 years of follow up, 115 (23%) participants died and 249 (50.4%) had at least one hospitalization reported. Kaplan-Meier survival curves showed significant higher all-cause mortality and hospitalization at 3 years follow up for the participants in the lowest FEV<sub>1</sub>/Ht<sup>3</sup> quartile (Figure 2). Even after adjustment for the potential confounders in

the Cox proportional hazards model, participants with FEV<sub>1</sub>/Ht<sup>3</sup> in the lowest quartile had a higher risk of all-cause mortality and hospitalizations with adjusted HR of 1.69 (95% CI 1.10-2.60, p < 0.05) and 1.65 (95% CI 1.21-2.25, p < 0.01) respectively (Table 2).

#### Physical and mental decline

Complete data regarding physical and mental decline after 1.7 ± 0.21 years follow-up were available for 378 participants. As defined for the purposes of this analysis, physical and mental decline were identified respectively in 116 (30.7%) and 85 (22.5%) participants. The association between the lowest quartile of FEV<sub>1</sub>/Ht<sup>3</sup> and physical decline was not statistically significant in unadjusted analysis. After adjustment for anemia, high CRP and creatinine, a significant positive association was found between the lowest FEV<sub>1</sub>/Ht<sup>3</sup> quartile and physical decline with OR 1.89 (95%CI 1.05-3.39 p < 0.05). Participants in the lowest FEV<sub>1</sub>/Ht<sup>3</sup> quartile had a statistically significant increased risk for mental decline with adjusted OR of 2.39 (95% CI 1.30-4.40 p < 0.01) (Table 3).



**Discussion**

**Main findings and comparison with previous research**

In a population-based prospective cohort of very old adults, we found that low FEV<sub>1</sub> expressed as FEV<sub>1</sub>/Ht<sup>3</sup> was associated with all-cause mortality, unplanned hospitalization as well as decline in mental and physical functioning independent of multiple potential confounders including age, smoking, chronic lung disease and an inflammation marker.

In previous clinical population studies including older adults, FEV<sub>1</sub>/Ht<sup>3</sup> has been found to be better than FEV<sub>1</sub>% for predicting all-cause mortality, but it has not yet been investigated in relation to other adverse health outcomes [23,25,26]. Our study found the lowest quartile of FEV<sub>1</sub>/Ht<sup>3</sup> to be associated with all-cause mortality in 3 years follow up of a cohort of very old adults who have not been the primary focus of previous studies. This association remained even after adjustment for a variety of potential confounders such as respiratory and non-respiratory co-morbidities, high levels of hs-CRP and serum creatinine that were not used in previous studies. In addition, our study explored the association of FEV<sub>1</sub>/Ht<sup>3</sup> with other adverse outcomes such as unplanned hospitalizations and decline in physical and mental functioning that are particularly relevant for the very old adults.

In our cohort, those in the lowest FEV<sub>1</sub>/Ht<sup>3</sup> quartile had a significantly higher risk for unplanned hospitalization during the 3 year follow-up, even after adjustment for

multiple potential confounders. We also found that being in the lowest quartile of FEV<sub>1</sub>/Ht<sup>3</sup> more than doubled the risk for mental decline (as defined for the purposes of our analysis) at 1.7 ± 0.21 years follow-up. Previous studies have found lower FEV<sub>1</sub> (including different height-adjustments) to be associated with lower scores in cognitive tests and higher risk for cognitive decline over long term [7,12,13,15-17]. Our study investigated and confirmed for the first time the predictive value of FEV<sub>1</sub>/Ht<sup>3</sup> for mental decline in a cohort of very old adults using standardized assessment of both cognitive and mood components.

Regarding physical decline, in line with previous studies where lower PF has been found to be associated with poor physical functioning [7-10,42], we found a significant association at baseline between FEV<sub>1</sub>/Ht<sup>3</sup> and each of the physical functioning components used in our study (ADL score, PPT score and grip strength). Our study explored for the first time the association of FEV<sub>1</sub> expressed as FEV<sub>1</sub>/Ht<sup>3</sup> and decline in physical functioning over 1.7 ± 0.21 years follow-up in a cohort of very old adults using both self-reporting (ADL) and performance-based tests (PPT and grip strength). We found that the lowest quartile of FEV<sub>1</sub>/Ht<sup>3</sup> had a statistically significant higher risk for physical decline only after adjustment for multiple confounders. These findings show a trend for a positive association of lowered FEV<sub>1</sub>/Ht<sup>3</sup> with physical decline. Further investigations are needed to explore this association using longer follow-up time as well as other definitions and cut-offs for physical decline and its components.

**Table 2 Multivariable Cox regression analysis for all-cause mortality and hospitalization**

Variables	Mortality (N = 478)				Hospitalization (N = 463)			
	Hazard ratio (95% confidence interval)				Hazard ratio (95% confidence interval)			
	Unadjusted model	Model 1	Model 2	Model 3	Unadjusted model	Model 1	Model 2	Model 3
Lowest FEV <sub>1</sub> /Ht <sup>3</sup> quartile	2.13 (1.46-3.09)**	2.13 (1.44-3.14)**	1.77 (1.16-2.70)**	1.69 (1.10-2.60)*	1.68 (1.27-2.21)**	1.73 (1.30-2.31)**	1.56 (1.15-2.11)**	1.65 (1.21-2.25)**
Age		1.08 (1.03-1.13)**	1.07 (1.02-1.12)**	1.06 (1.01-1.11)*		1.04 (1.01-1.08)*	1.04 (1.00-1.07)	1.02 (0.99-1.06)
Male		1.44 (0.98-2.11)	1.08 (0.64-1.81)	0.91 (0.53-1.57)		1.42 (1.09-1.85)*	1.19 (0.82-1.74)	0.99 (0.67-1.47)
Smoker or ex-smoker			1.34 (0.81-2.21)	1.41 (0.86-2.34)			1.40 (0.98-2.00)	1.50 (1.04-2.14)*
History of CVD			1.48 (0.99-2.21)	1.45 (0.96-2.18)			1.46 (1.11-1.91)**	1.37 (1.04-1.82)*
Hypertension			1.61 (1.01-2.55)*	1.53 (0.95-2.48)			1.25 (0.92-1.68)	1.25 (0.92-1.70)
Diabetes			0.72 (0.42-1.21)	0.68 (0.40-1.15)			1.19 (0.86-1.65)	1.09 (0.78-1.52)
Parkinson disease			1.43 (0.35-5.94)	1.76 (0.42-7.35)			0.69 (0.21-2.20)	0.91 (0.28-2.94)
Osteoporosis			1.18 (0.74-1.86)	1.24 (0.77-1.99)			1.51 (1.08-2.09)*	1.55 (1.10-2.17)*
Asthma			1.01 (0.43-2.37)	0.99 (0.42-2.33)			1.50 (0.85-2.65)	1.39 (0.78-2.49)
COPD			1.52 (0.87-2.68)	1.56 (0.87-2.78)			1.08 (0.70-1.65)	1.02 (0.65-1.59)
Anemia				1.53 (0.98-2.38)				1.56 (1.14-2.15)**
hs-CRP > 0.3 mg/dl				1.37 (0.93-2.03)				1.27 (0.96-1.67)
Serum creatinine > 2 mg/dl				1.53 (0.72-3.26)				2.30 (1.32-4.03)**

FEV<sub>1</sub>/Ht<sup>3</sup>: Forced expiratory volume in one second divided by height cubed; CVD: cardiovascular disease; COPD: chronic obstructive pulmonary diseases; hs-CRP: high sensitivity C-Reactive Protein; Model 1: adjusted for age and sex; Model 2: Model 1+ smoking status and other co-morbidities reported by GP; Model 3: Model 2+ anemia, hs-CRP and serum creatinine; \*p-value < 0.05 \*\*p-value < 0.01.

**Table 3 Multivariable logistic regression analysis for physical and mental decline**

Predictor variables	Physical decline (N = 362)				Mental decline (N = 362)			
	Odds ratio (95% Confidence interval)							
	Unadjusted model	Model 1	Model 2	Model 3	Unadjusted model	Model 1	Model 2	Model 3
Lowest FEV <sub>1</sub> /Ht <sup>3</sup> quartile	1.54 (0.93-2.56)	1.45 (0.86-2.46)	1.70 (0.96-3.01)	1.89 (1.05-3.39)*	2.54 (1.49-4.32)**	2.36 (1.37-4.06)**	2.39 (1.32-4.33)**	2.39 (1.30-4.40)**
Age		1.11 (1.04-1.18)**	1.11 (1.04-1.19)**	1.10 (1.03-1.18)**		1.11 (1.04-1.19)**	1.10 (1.02-1.18)**	1.08 (1.00-1.17)*
Male		1.06 (0.66-1.70)	0.94 (0.49-1.79)	0.95 (0.49-1.90)		0.90 (0.53-1.54)	0.71 (0.34-1.48)	0.70 (0.32-1.50)
Smoker or ex-smoker			1.15 (0.60-2.20)	0.96 (0.49-1.90)			1.55 (0.75-3.21)	1.68 (0.79-3.56)
History of CVD			1.13 (0.70-1.83)	1.09 (0.67-1.80)			1.17 (0.68-2.00)	1.18 (0.68-2.06)
Hypertension			1.16 (0.69-1.96)	1.15 (0.66-1.98)			1.44 (0.80-2.62)	1.49 (0.80-2.78)
Diabetes			0.69 (0.37-1.29)	0.63 (0.33-1.19)			0.38 (0.17-0.84)*	0.40 (0.18-0.87)*
Parkinson disease			4.00 (0.56-28.46)	2.38 (0.27-21.45)			3.65 (0.47-28.42)	1.46 (0.10-20.41)
Osteoporosis			0.62 (0.33-1.16)	0.62 (0.33-1.17)			0.93 (0.48-1.82)	0.90 (0.45-1.79)
Asthma			2.41 (0.80-7.24)	2.63 (0.88-7.88)			1.01 (0.25-4.05)	1.09 (0.27-4.40)
COPD			0.44 (0.16-1.20)	0.47 (0.17-1.29)			0.52 (0.18-1.50)	0.50 (0.17-1.47)
Anemia				1.65 (0.89-3.04)				0.94 (0.46-1.91)
hs-CRP > 0.3 mg/dl				1.26 (0.76-2.08)				0.87 (0.49-1.54)
Serum creatinine >2 mg/dl				1.23 (0.37-4.08)				1.83 (0.53-6.32)

FEV<sub>1</sub>/Ht<sup>3</sup>: Forced expiratory volume in one second divided by height cubed; CVD: cardiovascular disease; COPD: chronic obstructive pulmonary diseases; hs-CRP: high sensitivity C-Reactive Protein; Model 1: adjusted for age and sex; Model 2: Model 1+ smoking status and other co-morbidities reported by GP; Model 3: Model 2+ anemia, hs-CRP and serum creatinine; \*p-value < 0.05 \*\*p-value < 0.01.



Previous studies on the association of spirometry parameters of PF with adverse health in the older adults have used different standardizations of FEV<sub>1</sub> and measures of physical and mental functioning and have also not focused on the growing population of very old adults. More longitudinal studies are needed in this area, especially as the association of spirometry-based respiratory impairment with frailty as well as their combined effect on mortality has been recently hypothesized and tested in adults 65–80 years old supporting the exploration of PF parameters as prognostic markers of frailty and adverse health outcomes in the older adults [18]. This is of interest as frailty is prevalent in the older adults and a precursor of disability and other adverse outcomes, but may be reversible in its early stages [43–45]. Yet, there is no consensus on the best instrument for assessment of frailty and in light of its multi-domain phenotype current focus is on cognitive, mood and social components beside the classical physical ones [46]. Our findings support the need for further research on the use of FEV<sub>1</sub> as a predictor for important adverse outcomes and potential frailty marker in the very old adults and consideration as an indicator of overall health in geriatric assessments [1,7]. FEV<sub>1</sub> standardized as FEV<sub>1</sub>/Ht<sup>3</sup> takes into account the variability of body size and does not require the use of reference values and equations so it may be more suitable for use in this age group.

### Strengths and limitations

This study has several strengths. It is based on a large heterogeneous population representative of the very old adults in Belgium [28]. The protocol of the BF<sub>c80+</sub> study included a comprehensive geriatric assessment that allowed for a rich analysis of different outcomes and risk factors. The same standardized examination and questionnaires were applied to all participants as the involved GPs and CRAs received training in order to standardize the data collection and recording. Mental and physical functioning was assessed with standardized and validated self-reported and performance-based tests. Rigorous quality control of spirometry performance and interpretation based on the ATS/ERS quality criteria were followed and various confounders were included in the analysis covering demographics, smoking status, non-respiratory and respiratory co-morbidities as well as a marker of systemic inflammation (hs-CRP).

The exclusion criteria in the selection of participants for the BF<sub>c80+</sub> study (dementia or severe cognitive impairment and being in palliative or emergency care) are one of the limitations of this study. The definitions of physical and mental decline in our study were not based on a validated scoring method and the cut-off values for decline in some of the components may have missed or overestimated some of the change. We also used the

actual instead of true height in our study. This becomes an issue in the older adults as height reduction is frequent in this age group due to both ageing and disease-related osteoporotic vertebral changes and introduces bias in lung function testing with possible overestimation of its values [26]. While the effect of height reduction has been found to be smallest on FEV<sub>1</sub> and FVC, other proxies of height could be considered such as recalled tallest height or height calculated on arm span [47]. We plan to explore this in future studies as arm span has been measured in the BF<sub>c80+</sub> study population.

### Conclusions

In a representative sample of adults 80 years and older, a low FEV<sub>1</sub>/Ht<sup>3</sup> was an independent short-term predictor of all-cause mortality and hospitalizations as well as decline in physical and mental functioning. These findings support the consideration of FEV<sub>1</sub>/Ht<sup>3</sup> as an alternative way of standardizing FEV<sub>1</sub> and further exploration of its role as a potential risk marker for frailty and adverse health outcomes in very old adults.

### Competing interests

The authors declare that they have no competing interests.

### Authors' contributions

JD and BV designed the BELFRAIL study. ET analyzed the data and drafted the first version of the manuscript. EA and JD performed quality assessment of the spirometry. CM, GV, BV, WA and JD collected the data and commented the manuscript. All authors read and approved the final manuscript.

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