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Review

Intrinsic subtypes and bladder cancer metastasis



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KEYWORDS

Bladder cancer; Metastasis; Basal subtypes; Luminal subtypes; Epithelial-tomesenchymal transition Abstract Recent studies demonstrated that bladder cancers can be grouped into basal and luminal molecular subtypes that possess distinct biological and clinical characteristics. Basal bladder cancers express biomarkers characteristic of cancer stem cells and epithelial-tomesenchymal transition (EMT). Patients with basal cancers tend have more advanced stage and metastatic disease at presentation. In preclinical models basal human orthotopic xenografts are also more metastatic than luminal xenografts are, and they metastasize via an EMT-dependent mechanism. However, preclinical and clinical data suggest that basal cancers are also more sensitive to neoadjuvant chemotherapy (NAC), such that most patients with basal cancers who are aggressively managed with NAC have excellent outcomes. Importantly, luminal bladder cancers can also progress to become invasive and metastatic, but they appear to do so via mechanisms that are much less dependent on EMT and may involve help from stromal cells, particularly cancer-associated fibroblasts (CAFs). Although patients with luminal cancers do not appear to derive much clinical benefit from NAC, the luminal tumors that are infiltrated with stromal cells appear to be sensitive to anti-PDL1 antibodies and possibly other immune checkpoint inhibitors. Therefore, neoadjuvant and/or adjuvant immunotherapy may be the most effective approach in treating patients with advanced or metastatic infiltrated luminal bladder cancers.

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1. Introduction

Urothelial bladder cancer is a highly heterogeneous disease with variable patterns of progression and responses to conventional and targeted agents [1]. Histopathologically, bladder cancers are grouped into two major subsets (papillary and non-papillary) that pose distinct challenges for clinical management [2]. Papillary bladder cancers rarely progress to become muscle-invasive and metastatic, but they are highly prone to recurrence, necessitating expensive life-long clinical surveillance with repeated surgical intervention [1,2]. Thus, a top research priority is to identify agents that produce longterm durable remissions in patients with this form of the disease. There is also a need to identify biomarkers that distinguish papillary cancers that pose no risk of progression to muscle invasion from the smaller fraction that do, so that more aggressive interventions can be applied early when the chance of cure is greatest.

On the other hand, non-papillary bladder cancers have a high risk of progression to muscle invasive and metastatic disease [1], and they therefore pose a major threat to the patient. It is believed that many of these cancers evolve from carcinoma in situ (CIS) [2], although many patients have advanced or metastatic disease at presentation. High risk papillary and non-papillary non-muscle invasive cancers are currently treated uniformly with intravesical bacillus Calmette-Guerin (BCG) [1], which is a tuberculosis-like mycobacterium that produces a local immune response that mediates tumor regression [3]. However, even though most (>70%) patients are initially rendered free of clinically detectable disease, most will also develop recurrences that can become BCG-unresponsive [4]. These tumors have a high risk of progression, and at this point clinicians are faced with the decision of whether to remove the bladder (cystectomy) or to make another attempt at bladder preservation with a different intravesical or systemic regimen. There are currently few viable candidates for the latter, but new immunotherapy approaches hold promise. For example, adenoviral interferon-alpha (Ad-IFN α) gene therapy produced durable clinical responses in over a third of patients with BCG-unresponsive disease in completed clinical trials [5], and a phase III registration trial is now open that could lead to FDA approval. There are also open clinical trials examining the potential efficacy of immune checkpoint blockade in these patients [6].

Muscle-invasive bladder cancers are also clinically heterogeneous [1]. Approximately half of patients are cured by cystectomy with or without perioperative cisplatin-based combination chemotherapy, but the others experience very rapid disease progression to cisplatin-refractory and metastatic disease [1]. Bladder cancers tend to metastasize to the liver, lung, brain, and bone, but the molecular mechanisms underlying organ-specific metastasis have not been identified. Until very recently there were no effective treatment options for patients with advanced and/or metastatic disease, but exciting recent studies established that immunotherapy with blocking anti-PD1 or -PDL1 antibodies produces significant and durable benefit in about a quarter of these patients [7,8], which prompted the FDA to approve the anti-PDL1 antibody atezolizumab for advanced bladder cancer in May 2016.

Papillary and non-papillary bladder cancers appear to be caused by distinct genomic alterations [2]. Papillary cancers are characterized by very high frequencies (>70%) of activating mutations in the type 3 receptor for fibroblast growth factor receptor (FGFR3) [9]. The mutations cause constitutive ligand-independent dimerization of FGFR3, leading to downstream MAP kinase activation that drives proliferation. So far no mouse models of FGFR3-driven papillary bladder tumorigenesis have been developed, but expression of constitutively active mutant Ha-ras under the control of a urothelium-specific (uroplakin) promoter causes papillary tumorigenesis [10], suggesting that FGFR3induced Ras pathway activation probably plays a central role in transformation.

Non-papillary bladder cancers are more closely associated with inactivation of classical tumor suppressors, most notably TP53 and RB1 [2,9]. It appears that TP53 mutations are present in precursor lesions (CIS) [2,9], but most of the published studies that support this conclusion used indirect methods to identify p53-mutant tumors (i.e., immunohistochemistry to detection high levels of p53 protein), so more direct methods, such as next generation DNA sequencing, will be required to confirm these results. Preclinical studies confirmed that TP53 inactivation promotes the emergence of CIS and muscle-invasive tumors in mice exposed to the cigarette smoke nitrosamine carcinogen, BBN [11] and combined inactivation of TP53 and RB1 via expression of the SV40 large T antigen in the urothelium also drives CIS and non-papillary tumorigenesis in mice [12]. Inactivation of TP53 and PTEN in the mouse urothelium produced similar effects [13].

Recent lineage tracing studies suggest that papillary and non-papillary bladder cancers also arise from different cells of origin [14,15]. The papillary non-muscle invasive tumors that arise spontaneously in BBN-treated mice originate via transformation of a cell within the intermediate and/or superficial (luminal) layer [14], whereas CIS and muscleinvasive tumors arise from SONIC Hedgehog-expressing basal cell(s) [15]. It will be interesting to determine whether the genomic abnormalities that are observed in papillary and non-papillary cancers are only permissive for transformation in these more luminal or basal cells, respectively.

2. Intrinsic subtypes of bladder cancer

The introduction of whole genome technologies to catalog all of the mRNA expression patterns and DNA alterations in a given tumor has transformed our understanding of human cancers. The Cancer Genome Atlas (TCGA) and the International Cancer Genomics Consortium (ICGC) are examples of two high profile collaborative public projects that have exploited these capabilities to obtain comprehensive genomic portraits of dozens of human cancers, and parallel private efforts have further enhanced these efforts. One approach that has been used very successfully has been to use transcriptome profiling data to identify "molecular subtypes" of cancers that share gene expression signatures and by inference, biological properties. The highest profile early example of the successful utilization of this approach can be found in the work of Perou and colleagues [16] in human breast cancer. Their results revealed that breast cancers can be grouped into at least 5 distinct subtypes (luminal A, luminal B, HER2-enriched, basal-like, and claudin-low) that behave clinically as distinct disease entities [17]. Luminal tumors are associated with good longterm outcomes, and patients with them obtain major benefit from adjuvant therapy with selective estrogen receptor modulators (SERMs) but not from perioperative chemotherapy [18-20]. On the other hand, basal-like, HER2-enriched, or claudin-low tumors tend to be highly aggressive, progressing rapidly to produce local and distant metastases and shorter disease-specific survival. Patients with these cancers do not benefit from adjuvant therapy with SERMs, but many do obtain major benefit from perioperative chemotherapy, and patients with HER2-enriched tumors also benefit from ERBB2 antagonists (i.e., Herceptin) [18-20].

The gene expression signatures associated with the breast cancer subtypes provide important information about their likely biological properties. Luminal cancers express genes characteristic of estrogen receptor activation and terminal differentiation within the normal mammary epithelium [16]. Luminal B cancers are largely distinguished from luminal A by higher expression of proliferation biomarkers (i.e., Ki-67) [19], and they also contain more *TP53* mutations [21,22]. In contrast, basal-like cancers express higher levels of biomarkers that

characterize the basal layer of the normal mammary epithelium and of other epithelial tissues, including TP63, CD44, and high molecular weight cytokeratins (KRT5,6, and 14) [16,21,23]. These biomarkers are also enriched in normal epithelial stem cells and cancer-associated stem cells derived from epithelial lineage tumors. Basal-like tumors are also enriched with biomarkers associated with epithelial-to-mesenchymal transition (EMT) [19,21], a developmental program that mediates wound healing and can be reactivated to promote metastasis in solid tumors. The claudin-low tumors are a subset of the basal-like cancers that express even higher levels of EMT and stem cell biomarkers with lower expression of canonical epithelial biomarkers, including E-cadherin, the claudins, and the cytokeratins [19,24]. They express somewhat lower levels of Ki-67 than do the basal-like tumors, and consequently they are somewhat less sensitive to neoadjuvant chemotherapy (NAC) [19]. Preclinical studies concluded that basal-like cancers can readily and spontaneously "switch" between more epithelial and more mesenchymal states [25], which may be important for their aggressive behaviors (Fig. 1).

The application of similar methods to whole genome mRNA expression profiling data from multiple independent cohorts of bladder cancers revealed that they can also be grouped into basal and luminal subtypes (Table 1) [26–29]. Most of this work was focused on muscle-invasive bladder cancers, but the first deep characterization of non-muscle



Figure 1 Reversible EMT mediates metastasis of basal bladder cancers. Cells from orthotopic UM-UC3 tumors expressed higher levels of "epithelial" biomarkers than did circulating tumor cells (CTCs) harvested from tumor-bearing animals. The CTCs expressed particularly high levels of the EMT transcription factor, SNAIL (SNAI1). Interestingly, expression of EMT biomarkers returned to baseline in established metastases. Very similar observations were made previously by Tsai et al. [49] in a mouse model of squamous cell carcinoma. Based on Roth et al., *Oncotarget* 2016 [59]. CAFs, cancer-associated fibroblasts; EMT, epithelial-to-mesenchymal transition.

Primary tumor

Transcriptome profiling and unsupervised analyses were used by several groups to identify candidate molecular subtypes of bladder cancer. Cross comparisons of the results obtained by 4 of the groups are presented above as examples. These comparisons are meant to be illustrative rather than definitive, but they show how the overall concordance among the approaches was very high. Also see Aine et al., *Sci Rep* 2015 [37] for a more detailed analysis.

invasive cancers was just published and reveals similarities [30]. At the highest level, unsupervised hierarchical analyses revealed that non-muscle and muscle-invasive cancers are highly distinct [31-33], driven largely by differential expression of genes related to cell cycle progression, invasion, migration, metastasis, and the DNA damage response [34]. Muscle-invasive cancers also contain more TP53 mutations and copy number variations characteristic of chromosomal instability [34]. The work that has been performed to date suggests that non-muscle invasive cancers can be further subdivided into 2-3 molecular subtypes [30,35], and at least one of them appears to be enriched with basal biomarkers [30], but additional work is required to more precisely define the relationships between the molecular subtypes of non-muscle invasive and muscleinvasive cancers.

While the molecular portraits of non-muscle invasive cancers are still emerging, much more is known about the molecular subtypes of muscle-invasive bladder cancers. Pioneering studies performed by Höglund and colleagues at the University of Lund identified 5 distinct subtypes of muscle-invasive cancers that exhibited biologically informative gene expression signatures (Table 1) [35]. One of the subtypes (SCC-like) was enriched with squamous histopathological features and biomarkers that characterize squamous tumors in other organ sites, and patients with these tumors exhibited relatively poor clinical outcomes. In two of the subtypes (urobasal A and urobasal B) the hierarchical patterns of differentiation-associated urothelial biomarker expression were preserved. These tumors expressed FGFR3-associated gene expression signatures [35], suggesting that these tumors might be dependent on FGFR3 signaling for their growth. Another subtype, termed genomically unstable, was characterized by homogeneous expression of E-cadherin and dysregulated expression of biomarkers associated with cell cycle and the DNA damage response.

Subsequently, TCGA [26] and several other groups [27,28,36] independently identified molecular subtypes of bladder cancer. Although each group defended the existence of different numbers of subtypes (n = 2-4), the overall concordance among them (and with the 5 subtypes identified previously by the group at Lund) was extremely high [37]. At the highest level, muscle-invasive bladder cancers can be subdivided into basal and luminal subtypes (Table 1). Basal bladder cancers can be further subdivided into basal and claudin-low, and luminal bladder cancers can be subdivided based on differential expression of biomarkers associated with stromal cell infiltration and

proliferation (Table 1). The Lund group's uroA and genomically unstable (GU) tumors correspond to luminal tumors identified by the other groups that possess different patterns of driver mutations (W. Choi, manuscript in preparation). UroA tumors are enriched with mutations in FGFR3 and other mutations that are also enriched in low-grade papillary tumors, whereas the GU tumors contain more inactivating TP53 and RB1 alterations and copy number variations (W. Choi, manuscript in preparation). Interestingly, the Lund group's uroB subtype is also enriched with activating FGFR3 mutations but is assigned to the basal subtypes using the other classifiers, is enriched with squamous features, and is associated with poor clinical outcomes [35]. The uroB tumors may therefore correspond to a "progressed" subset of the uroA cancers that have acquired more aggressive properties.

3. Implications of the basal and luminal subtypes for metastasis

The clinical properties associated with the basal and luminal subtypes of muscle-invasive bladder cancer provide additional clues about their origins. Luminal bladder cancers are enriched with papillary histopathological features [26], consistent with the idea that they correspond to lowgrade papillary tumors that acquired additional genomic abnormalities and progressed to become muscle-invasive. Conversely, basal tumors are often enriched with squamous histopathological features [27,33,35], consistent with clinical experience indicating that tumors with squamous features tend to be more aggressive. It seems likely that other bladder cancer histopathological variants will also display luminal or basal biases. For example, we recently showed that micropapillary bladder cancers correspond to a clinically aggressive luminal variant characterized by downregulation of miR-296 [38].

Like their breast cancer counterparts, basal and luminal UCs are associated with different levels of benefit from NAC. Initial studies demonstrated that rates of pathological down staging were lower in "infiltrated" (p53-like) basal and luminal tumors [27], although more recent results raise questions about the relative impact of down staging on survival in patients with basal or luminal cancers. We recently performed subtype assignments on tumors collected from patients enrolled in a phase II neoadjuvant clinical trial of dose-dense MVAC plus bevacizumab (Avastin). Rates of down staging in patients with basal or luminal tumors were equivalent, but the patients with basal tumors had significantly better long-term outcomes [39]. Indeed, patients with basal tumors benefited much more from NAC than would have been expected based on down staging. There are many possible explanations for this uncoupling of pathological down staging of the primary tumor and survival, including differential effects of surgery, different levels of subclinical metastases, and differential sensitivity of those micro-metastases to NAC. All of these possibilities are currently under investigation.

As compared with luminal tumors, basal UCs are associated with advanced stage and metastatic disease at clinical presentation [27]. Like their breast cancer counterparts, basal UCs are characterized by high levels of stem cell and EMT biomarkers [26–28], which are overexpressed even further in the claudin-low subset of basal UCs [28]. Thus, the lethality associated with basal UCs in patients who do not receive NAC appears to be linked more tightly to their intrinsic invasive and metastatic potential as opposed to intrinsic drug resistance.

3.1. EMT and UC metastasis

A very large body of preclinical and clinical evidence has linked EMT to invasion, migration, stemness, and metastasis [40-43]. During EMT polarized epithelial cells downregulate the homotypic adhesion molecule E-cadherin via upregulation of families of transcription factors, including ZEB1/2, SNAIL, SLUG, and TWIST, that block E-cadherin expression [40]. Under normal conditions the epithelial phenotype is maintained by expression of members of the miR-200 family of microRNAs [44-46], which interact directly with the transcripts encoding ZEB1 and ZEB2, blocking their translation and promoting their degradation. Conversely, ZEB1 and ZEB2 can bind to the promoters that control expression of the miR-200 family and inhibit their expression. Interestingly, TP63, which has been implicated in epithelial "stemness" [47], can also block EMT by inducing expression of miR-205 [48], which functions like the members of the miR-200 family to inhibit ZEB1 and ZEB2. In normal epithelial cells reversible EMT is initiated by cytokines (particularly TGF β) that induce expression of the EMT-associated transcription factors [40]. However, in many cancers EMT appears to be driven by endogenous mechanisms.

Reversible EMT plays a central role in wound healing by enabling normal epithelial cells to temporarily adopt a more invasive and migratory phenotype that promotes wound closure [40]. These effects are coopted by cancer cells to enable them to become invasive and metastatic [40]. Using whole genome mRNA expression profiling, Weinberg's group [43] demonstrated that metastatic murine breast cancer cells overexpressed TWIST, and TWIST knockdown blocked metastasis. Indeed, enforced overexpression of TWIST was sufficient to promote "stemness" in human breast cancer cells [42].

As is true in wound healing, it appears that EMT reversibility also promotes metastasis. Using a conditional TWIST mouse model, Yang's group demonstrated that sustained, enforced TWIST expression promoted tumor cell invasion and migration in carcinogen-induced squamous cell carcinomas and resulted in the emergence of large numbers of circulating tumor cells (CTCs) in the peripheral blood, but it did not lead to efficient formation of large metastases [49]. Rather, transient upregulation of TWIST resulted in a transient EMT and downstream CTC production that was associated with the formation of large macro-metastases [49]. These effects were attributable to the differential effects of EMT on invasion/migration on the one hand and on proliferation on the other — the increase in the former was associated with a significant decrease in the latter [49]. It is interesting and potentially significant that there are similar differences in baseline proliferation rates (as measured by Ki-67 immunohistochemistry) in claudin-low and basal-like breast cancers [19].

Weinberg's group [25] also demonstrated that basal breast cancer cell lines have a much higher tendency to undergo spontaneous EMT than luminal breast cancer cell lines do. They linked these effects to a "poised" chromatin conformation within the ZEB1 promoter, enabling it to respond rapidly to environmental cues [25]. The molecular mechanisms that mediate this chromatin phenotype have not been defined, although as discussed above, a role for TP63 is very attractive given its established roles in maintaining both "stemness" and an "epithelial" phenotype. Modulation of TP63 expression could represent an important mechanism for effecting rapid and reversible changes in EMT transcription factor expression. Another possible mechanism could involve fluctuations in the expression of members of the miR-200 family of microRNAs [45].

Although luminal breast cancers are associated with lower rates of early metastasis, a significant fraction of women with luminal cancers do develop late (mostly bone) metastases. Therefore, luminal cancer cells are clearly capable of metasizing, but they may require "help" from cells in the tumor microenvironment [50–52], including cancer-associated fibroblasts (CAFs) [51]. Work from Massague's group [51] demonstrated that fibroblasts promoted bone metastasis in human breast cancer xenografts via Src pathway activation and production of specific chemokines and cytokines, most notably CXCL12 and IGF-1. CAFs appeared to "prime" breast cancer cells within the primary tumor for subsequent growth in the bone microenvironment by upregulating these Src-related pathways [51].

A significant fraction of primary luminal human breast cancers is infiltrated with CAFs [25], and it is possible that they enable them to metastasize without undergoing full EMT. The existence of EMT-dependent and -independent pathways of metastasis has recently been documented in two high profile studies performed in genetically engineered mouse models of breast and pancreatic cancer [53,54].

3.2. EMT, CAFs and UC metastasis

Our group has a longstanding interest in defining the molecular mechanisms that mediate UC metastasis. Early studies demonstrated that invasion and metastasis in patients were associated with upregulation of matrix metalloproteinase-9 (MMP9) and downregulation of E-cadherin [55,56], changes that are consistent with EMT [57]. We also developed some of the first preclinical mouse models to study UC metastasis using an approach termed "orthotopic recycling" in nude mice [58]. The technique involves implanting human cancer cells into the anatomically correct organ microenvironment (in this case, the bladder wall), allowing the tumors to grow until the animals become moribund, harvesting cells from any metastases that develop, and reimplanting them back into the bladder. This process is repeated until metastases are consistently observed in almost all of the mice [58].

We initially used this approach to generate metastatic variants of the 253J human bladder cancer cell line [58]. More recently we launched studies to systematically measure the tumorigenic and metastatic potentials of the 30 human cell lines in our panel, and we recently published results obtained with the first 5 (UM-UC3, UM-UC6, UM-UC9, UM-UC13, and UM-UC14) [59]. Of them, only the UM-UC3 and UM-UC13 lines reliably produced metastases after orthotopic recycling in nude mice [59]. The UM-UC3 and UM-UC13 lines were also the only cells in the panel that expressed biomarkers characteristic of EMT [48].

We used the complete TCGA dataset to develop a 515-gene prediction analysis of microarrays (PAM) classifier that assigned primary human tumors to the basal or luminal subtype with a high degree of accuracy (A. Ochoa, manuscript under revision). Using this classifier, we assigned all 30 lines in our panel of human bladder cancer cells to the basal or luminal subtype. The UM-UC3 and UM-UC13 cells were stably assigned to the basal subtype, whereas the UM-UC6, UM-UC9, and UM-UC14 lines were all stably assigned to the luminal subtype. This result was not surprising given that EMT biomarkers are generally enriched in basal cancers, but it supported the overall hypothesis that basal cancers are intrinsically more metastatic than luminal cancers are.

As described above, a recent study concluded that reversible EMT plasticity was required for optimal formation of macro-metastases in a mouse model of squamous cell carcinoma. To investigate whether the same effects were required for metastasis in our preclinical models, we performed whole genome mRNA expression profiling on matched primary tumors, CTCs, and established macrometastases from the UM-UC3 model [59]. Consistent with the previous work, many EMT markers were upregulated in the CTCs relative to the primary tumors or established metastases, but the most striking change observed was upregulation of SNAIL (SNAI1) in the CTCs [59]. Therefore, in order to directly determine whether this upregulation of SNAIL was required for metastasis, we transduced the "recycled" UM-UC3 cells with a conditional, doxycyclineregulated lentiviral shRNA construct specific for SNAIL and examined the effects of transient knockdown on CTC production and metastasis. Administration of doxycycline to the drinking water blocked both in mice bearing established UM-UC3 tumors, and removal of doxycycline restored CTC production and subsequent metastasis [59]. Therefore, SNAIL knockdown caused a reversible inhibition of both events, consistent with the hypothesis.

Although basal bladder cancers (like their breast cancer counterparts) appear to be intrinsically more metastatic, luminal bladder cancers can progress to become muscleinvasive and metastatic as well. It seems likely that CAFs play an important role in promoting metastasis of luminal cancers. Indirect support for this hypothesis came from our recently completed neoadjuvant phase II trial of dose-

dense MVAC plus the blocking anti-VEGF antibody, bevacizumab (Avastin) [39]. Post-treatment tumors from resistant patients were enriched with CAFs and membership in the MDACC "p53-like"/infiltrated subtype [39]. consistent with our original findings in an independent cohort [27]. Importantly, many patients with these tumors developed bone metastases, and p53-like tumors were associated with the shortest disease-specific survival [39]. We have optimized methods for isolating CAFs from bladder cancers and have confirmed that they express high levels of the biomarkers that are associated with the p53-like subtype. We plan to combine them with recycled basal and luminal human bladder cancer cells to determine whether the CAFs promote chemoresistance and metastasis. If so, the models will serve as powerful model systems to identify the molecular mechanisms involved.

4. Summary and conclusion

Like their breast cancer counterparts, basal bladder cancers are enriched with EMT and cancer stem cell biomarkers and are intrinsically aggressive. In preclinical models basal human bladder cancer cell lines are more invasive and metastatic, and they appear to metastasize via EMT-dependent mechanisms. However, basal bladder cancers also appear to be sensitive to NAC, and many patients with basal cancers treated with NAC have excellent long-term outcomes. Therefore, patients with basal cancers should be identified as quickly as possible after diagnosis so that they can be managed with frontline therapy aggressively to prevent metastasis.

Although luminal cancers appear to be less prone to metastasize, a significant fraction of them still do. NAC appears to produce less benefit in patients with luminal tumors in general and particularly in patients whose tumors are infiltrated with CAFs. In patients treated with NAC, those with p53-like infiltrated tumors appear to be at the highest risk for developing metastases, particularly to the bone, and they exhibit the shortest disease-specific survival. Therefore, novel approaches are urgently needed for patients with these CAF-infiltrated tumors. The preliminary observation that the anti-PDL1 antibody atezolizumab produced the most clinical impact in patients with infiltrated (TCGA cluster II) tumors suggests that perioperative therapy with immune checkpoint blockade might be the best option for these patients. This hypothesis will need to be tested prospectively in future clinical trials.

Conflicts of interest

The authors declare no conflict of interest.

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