

# Cardiorespiratory performance of coronary artery disease patients on land *versus* underwater treadmill tests: a comparative study

Mauricio Koprowski Garcia,<sup>I,\*</sup> Limanara Rizzo,<sup>II</sup> Paulo Yazbek-Júnior,<sup>I</sup> Daniela Yutiyama,<sup>I</sup> Fabiola Jomar da Silva,<sup>I</sup> Denise Matheus,<sup>I</sup> Luiz Eduardo Mastrocolla,<sup>III</sup> Eduardo Massad<sup>II</sup>

<sup>1</sup>Instituto de Medicina e Reabilitacao, Hospital das Clinicas (HCFMUSP), Faculdade de Medicina, Universidade de Sao Paulo, Sao Paulo, Sao Paulo, SP, BR. <sup>III</sup> Departamento de Medicina Legal e Etica Medica, Faculdade de Medicina (FMUSP), Universidade de Sao Paulo, Sao Paulo, SP, BR. <sup>III</sup> Instituto de Cardiologia Dante Pazzanase, Sao Paulo, SP, BR.

**OBJECTIVE:** To compare responses to a cardiopulmonary exercise test on land *versus* on an underwater treadmill, to assess the cardiorespiratory performance of coronary artery disease patients while immersed in warm water and to compare with the performance of healthy individuals.

**METHODS:** The sample population consisted of 40 subjects, which included 20 coronary artery disease patients aged  $63.7 \pm 8.89$  years old, functional class I and II, according to the New York Hearth Association, and 20 healthy subjects aged  $64.7 \pm 7.09$  years old. The statistical significances were calculated through an ANOVA test with a (1 -  $\beta$ ) power of 0.861. ClinicalTrials.gov: NCT00989248 (22).

**RESULTS:** Significant differences were uncovered in coronary artery disease group regarding the variables heart beats (HB), (p > 0.01), oxygen consumption (VO<sub>2</sub>), (p > 0.01) and carbon dioxide production (VCO<sub>2</sub>) (p < 0.01). Also, for the same group, in relation to the environment, water *versus* on land for HB, VO<sub>2</sub>, VCO<sub>2</sub> and oxygen for each heart beat (VO<sub>2</sub>/HB) all of than (p < 0.01). The stages for data collected featured the subject's performance throughout the experiment, and within the given context, variables rating of perceived exertion (RPE), HB, VO<sub>2</sub>, VCO<sub>2</sub> and VO<sub>2</sub>/HB (p < 0.01) showed significant interactions between test stages and environment. Additionally, there was a significant interaction between the etiology and the test stages for the variables HB, VO<sub>2</sub> and VCO<sub>2</sub> (p < 0.01). Electrocardiographic changes compatible with myocardial ischemia or arrhythmia were not observed. The subjects exhibited lower scores on Borg's perceived exertion scale in the water than at every one of the test stages on land (p < 0.01).

**CONCLUSION:** This study show that a cardiopulmonary exercise test can be safely conducted in subjects in immersion and that the procedures, resources and equipment used yielded replicable and reliable data. Significant differences observed in water *versus* on land allow us to conclude that coronary artery disease patients are able to do physical exercise in water and that the physiological effects of immersion do not present any risk for such patients, as exercise was well tolerated by all subjects.

KEYWORDS: Cardiopulmonary Stress Test; Immersion; Individual Capability; Exercise.

Garcia MK, Rizzo L, Yazbek-Júnior P, Yutiyama D, Silva FJ, Matheus D, et al. Cardiorespiratory performance of coronary artery disease patients on land *versus* underwater treadmill tests: a comparative study. Clinics. 2017;72(11):667-674

Received for publication on February 20, 2017; First review completed on May 30, 2017; Accepted for publication on July 21, 2017

\*Corresponding author. E-mail: mauriciokg@usp.br

# INTRODUCTION

Physical activity in a warm water pool is traditionally used in rehabilitation facilities with patients who have different pathologies. As exercise in water can be reasonably easy to perform, it may enhance mobility, strength and physical

**Copyright** © 2017 **CLINICS** – This is an Open Access article distributed under the terms of the Creative Commons License (http://creativecommons.org/licenses/by/ 4.0/) which permits unrestricted use, distribution, and reproduction in any medium or format, provided the original work is properly cited.

No potential conflict of interest was reported.

**DOI:** 10.6061/clinics/2017(11)04

conditioning (1). Cardiopulmonary exercise tests (CPX) conducted on land are well known. When conducted in water, however, they require additional care as well as suitable equipment and resources to comply with the provisions set forth by the "clinician's guide to CPX in adults: as cientific statement from the American Heart Association, 2010" (2).

During immersion at the level of the manubrium, there is compression on surface veins caused by hydrostatic pressure. Blood flow in lower limbs is reversed from downward to upward through the unidirectional valves, first to the thighs and then upward to the abdomen and finally to the chest and to the heart. During immersion up to the iliac crest, changes in volume are not significant, but central venous pressure begins to increase during immersion at the level of



the manubrium and eventually gets higher during total immersion (3). Arborelius et al., 1972 (4), showed that during immersion at the neck level, an increase in central blood volume of 700 ml is observed, which represents a 60% increase in the central volume. One-fourth of the volume, that is 180-240 ml, is oriented to the heart, thus causing the four heart chambers to dilate (5-7). The heart volume increases by 27-30% during immersion at neck level (8). The heart, however, is not a static receptacle and its physiological response to increased volume is a rise in the force of contraction the greater the myocardial distension, the greater (more efficient) the muscle contraction, according to the Frank-Starling law (9). Most cardiovascular changes depend on water temperature and level of immersion. A progressive increase in cardiac output was observed at higher temperatures, which comprised an increase by 30% at 33°C to an increase by 121% at 39°C (10). During immersion at the neck level, systemic vascular resistance decreases by 30% as a result of reduced sympathetic vasoconstriction, and it remains low for a few hours following the first hour of immersion. This effect is also temperature dependent, as the temperature increases, the magnitude of the reduction becomes greater (3,5).

Lung blood flow also increases as a result of increased central volume and blood pressure. Average lung artery pressure ranges between 5 mmHg on land and 22 mmHg during immersion at the neck level. Most of the lung blood volume is directed to the larger vessels of the lung vascular bed (6). Immersion is also associated with renal responses such as increased diuresis, decreased plasma concentration, natriuresis, kaliuresis and suppression of arginine vasopressin, plasma rennin and aldosterone, with consequent augmentation of renal free water excretion (3,9).

Several studies have assessed and validated the use of aquatic therapy for cardiovascular rehabilitation following heart failure and ischemic heart disease. Common sense clinical opinion is that immersion in warm water and controlled exercises are well tolerated by patients diagnosed with coronary artery disease (CAD), even when they experience the physiological effects discussed herein. The assumption is that there are no major differences between a CPX conducted in water and its counterpart on land. Additionally, the required equipment and resources are supposed to be similar, provided that there is compliance with the required test preparation methodology for the CPX conducted in water.

Table 1 - Baseline characteristics of subject	cts.
---	------

Thus, the aim of this study was to compare responses to a cardiopulmonary exercise test (CPX) on land *versus* on an underwater treadmill, to assess the cardiorespiratory performance of coronary artery disease (CAD) patients while immersed in warm water and to compare with the performance of healthy (H) individuals.

## METHODS

The sampling population of this study comprised 40 subjects, 20 of whom were healthy controls and 20 of whom were patients enrolled in the physical conditioning program of the Institute of Medicine and Rehabilitation, Clinics Hospital, School of Medicine, University of São Paulo. The patient group had a medical history of one or more of the following conditions: acute coronary syndrome, coronary angioplasty, revascularization surgery or CAD. Patients were between 55 and 80 years old, and they were first examined by a heart specialist who assessed their clinical history and validated their medical conditions. Any patient who did not comply with the test schedule or those who had peripheral artery disease, diabetes, chronic lung disease, hypertension (blood pressure > 160/90), or significant or unstable cardiovascular morbidity conditionswhile taking medication were excluded from the study (Table 1). ClinicalTrials.gov: NCT 00989248.

#### Test Design

Both groups underwent two CPX tests and were always monitored by the same cardiology specialist throughout the testing and that did the data interpretation. All of the participants received clear explanations about the test methodology and granted their consent, after which they were requested to sign an informed consent.

Clinical data were then collected, and body mass and height were measured. Within an interval of 3-7 days after the land CPX was conducted, the second CPX was conducted in a warm indoor pool with 48 m<sup>3</sup> of water volume and depths ranging between 1.10 and 2.10 m. The nursing staff prepared the subjects for both tests by first cleaning the skin areas where electrodes were applied, connecting the cables, putting the facemasks on the subjects and finally checking their systolic and diastolic blood pressure (SDBP). The gas analyzer was calibrate prior to every run of the test.

	CAD	SD	Healthy	SD
N	20		20	
Males	20		20	
Age (years)	63.7	± 8.89	64.7	± 7.09
Weight (Kg)	73.7	± 10.6	73.6	± 18.8
Height (cm)	168	± 5.95	170.6	± 11.7
Body Mass Index - BMI (kg/m <sup>?</sup> )	22.83		23.19	
Patients Under Medication				
Antihypertensive	18			
Diuretic	6			
Hypercholesterolemia	9			
Functional class I VO <sub>2</sub> max $>$ 20ml/kg <sup>-1</sup> min <sup>-1</sup>	8			
Functional class I VO <sub>2</sub> (AT) > 14ml/kg <sup>-1</sup> min <sup>-1</sup>	8			
Functional class II VO <sub>2</sub> max from 16 to 20mL/kg-1 min <sup>-1</sup>	12			
Functional class II VO <sub>2</sub> (AT) from 11 to $14 \text{mL/kg}^{-1} \text{min}^{-1}$	12			
Base Etiology - Revascularization/Stent	12/8			

Coronary Artery Disease (CAD); Standard Deviation (SD); Number of participants (n); Functional Class as per the New York Heart Association (NYHA). Maximum rate of oxygen consumption (VO<sub>2</sub> max); Oxygen volume (VO<sub>2</sub>); Anaerobic Threshold (AT).



On land, the environment temperature was kept stable at  $20 \pm 2^{\circ}$ C and the relative air humidity was maintained at 50-70% (2). In the pool, the water temperature was maintained at 33-34°C and the relative air humidity between 60 to 80%. Subject test preparation for the water test followed the same procedures as for the on land test. To improve the conduction of electrical impulses without causing any signal interference, however, the electrocardiogram electrodes received additional protection by applying a 3M occlusive bandage on the top of each of the cable terminals. The subject's adaptation to the water was check by a pool specific practical test in order to identify and exclude from the study those individuals who showed difficult adaption to or fear of the water. A brief simulation allowed subjects to become acquainted with the environment and the equipment, thus lowering their level of anxiety.

Due to a lack of interfaces connected directly the underwater treadmill to a computer, the Bruce Protocol (20) was used to be the most suitable. Perceived levels of exertion and/or dyspnea were assessed as per the Borg rating of perceived exertion (21).

A set comprising a facemask covering the nose and mouth, a cap and a flow sensor was used to conduct the air exhaled by subjects to the gas analyzer. An model Metalyzer II (Cortex CPET) measured respiratory and metabolic variables. As this device was connected to the Ergo-Elite software on the computer, it supplied the following data: exhaled gas (average min), oxygen consumption (VO<sub>2</sub>), carbon dioxide production (VCO<sub>2</sub>), oxygen equivalents (VE/VO<sub>2</sub>) and carbonic dioxide equivalents (VE/VCO<sub>2</sub>) as well as the respiratory exchange rate (RER - VCO<sub>2</sub>/VO<sub>2</sub>).

Meditrace electrodes connected to a special cable with five thoracic derivations sent electrical signals to the digital Micromed ECG, thus allowing for continuous ECG monitoring by the researcher. A Model MF621 sphygmomanometer was placed on each subject's right arm to check SDBP. During the water test, due to the unfavorable access conditions, SDBP was checked at the beginning of the test and two minutes after the test was completed.

For the land test, the KT-ATL Millenium treadmill by Inbramed/ Inbrasorpt (R) was used and for the water test, the

Aquafit Hi-Tec<sup>®</sup> by Sahinco. The latter, made in stainless steel and featuring an electric-hydraulic unit, prevented accidental electric shock. Produced speeds ranging from 2 up to 10 km/h at 0-13% inclines, same inclination as the land treadmill, through electronic programming without a computer interface (Figure 1).

The test started at stage 1 of the Bruce Protocol, with 3 minutes of activity at a 10% incline and a speed of 2.7 km/h, and was completed when the Respiratory Quotient (RQ) reached  $\ge 1.1$ . After the test was completed, subjects kept walking on the treadmill for 2 minutes at a speed of 2.7 km per hour and 0% incline while still being monitored. The test was interrupted whenever any of the criteria set by the Brazilian Cardiology Society/American Heart Association were not complied with (12).

Data were collected at 5 relevant test stages or cardiorespiratory levels: One, rest (REP), accelerated ventilation, heart beat and oxygen consumption were observed to indicate whether subjects were anxious or experiencing metabolic disorders. Two, the anaerobic threshold (AT) featured an exertion level at which CO2 rates increased and metabolism changed from aerobic to anaerobic. This variable shows individual capacity for exercise and the physiologic responses. Three, respiratory compensation point (RCP) showed the aerobic and anaerobic rates. This is the point when the individual is no longer able to endure lengthy exercise as his or her capacity to remove lactate decreases and the anaerobic system takes over the aerobic system. Four, maximum effort (ME), a marker of cardiopulmonary system limits. Five, recovery (REC) showed heart rate recovery rates, any cardiac stress and the rate of metabolic acidosis after exertion that leads to faster and deeper breathing, as the body tries to eliminate excessive acid by reducing the amount of carbon dioxide (Figure 2).

For safety purposes, the experimental environment featured an emergency exit, a team of experts, continuous supervision and monitoring, and an emergency cart equipped with a defibrillator and emergency drugs.

Experiment profile for the five stages, environment and study group in each variable (Table 2).



Figure 1 - Test conducted on an underwater treadmill under supervision of a cardiologist.

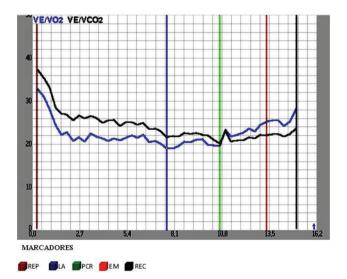


Figure 2 - Data collected at five moments, both on land and in water.

			RPE	ų	HB		V02	2	VCO2	02	VO <sub>2</sub> /HB	Ħ	VE/VO <sub>2</sub>	'0 <sub>2</sub>	VE/VCO <sub>2</sub>	02	VCO <sub>2</sub> /VO <sub>2</sub>	/02
Stage	Environment	Etiology	н	SD	н	SD	д.	SD	=	SD	п	SD	п	SD	д	ß	н	SD
REP	Pool	т	7.15	0.49	85.90	12.56	0.64	0.16	0.54	0.14	7.66	2.23	30.67	6.97	36.03	6.28	0.85	0.08
		DAC	7.40	0.82	81.45	13.00	0.57	0.17	0.49	0.12	7.00	1.92	31.82	9.37	36.09	6.39	0.87	0.14
	Land	т	7.10	0.45	88.60	11.49	0.59	0.17	0.53	0.19	6.66	1.82	31.18	10.86	35.52	6.31	06.0	0.16
		DAC	7.30	0.73	81.30	15.62	0.56	0.19	0.46	0.14	6.89	2.49	30.88	10.21	35.89	7.97	0.84	0.12
AT	Pool	т	9.95	1.05	103.95	13.80	1.26	0.37	1.02	0.31	12.19	3.16	25.00	3.52	30.81	4.03	0.87	0.23
		DAC	10.30	1.22	90.00	15.45	0.97	0.33	0.78	0.24	10.80	2.88	26.68	4.05	32.92	5.05	0.81	0.05
	Land	т	9.25	1.37	109.10	9.79	1.53	0.40	1.28	0.35	13.97	3.75	24.30	3.21	29.23	3.94	0.84	0.11
		DAC	9.85	1.60	9.45	17.82	1.22	0.23	0.99	0.20	13.12	3.21	25.92	5.90	31.77	5.85	0.81	0.10
RCP	Pool	т	12.40	1.50	122.90	10.74	1.69	0.53	1.58	0.49	13.65	4.00	27.95	4.17	29.66	3.84	1.02	0.39
		DAC	12.10	1.07	102.40	17.14	1.20	0.35	1.13	0.33	12.17	2.59	30.29	5.20	32.12	4.70	0.94	0.10
	Land	т	11.25	1.62	127.65	8.63	1.83	0.50	1.71	0.40	14.40	4.06	27.77	4.41	29.30	4.10	0.95	0.11
		DAC	12.15	1.46	108.30	18.48	1.44	0.27	1.33	0.27	13.62	3.03	28.69	7.22	30.93	5.68	0.93	0.13
ME	Pool	т	14.85	1.31	139.35	13.29	1.96	0.66	2.17	0.71	14.52	3.92	34.69	5.99	31.89	4.56	1.09	0.15
		DAC	14.85	1.35	118.60	20.63	1.51	0.33	1.60	0.38	12.92	2.86	35.27	6.31	33.30	5.02	1.06	0.12
	Land	т	15.00	1.62	149.65	12.77	2.35	0.85	2.61	0.94	15.90	5.31	35.63	6.20	31.77	5.62	1.13	0.15
		DAC	15.20	1.01	126.50	17.82	1.72	0.36	1.78	0.31	15.33	4.98	34.91	7.92	33.77	5.74	1.04	0.12
Ж	Pool	т	15.05	2.72	99.85	12.76	0.85	0.26	1.15	0.35	8.95	3.17	46.41	10.30	33.10	4.93	1.41	0.30
		DAC	15.60	0.88	89.30	14.12	0.68	0.21	0.93	0.22	7.13	2.29	50.17	11.41	35.85	6.66	1.41	0.24
	Land	т	16.10	1.17	109.15	11.39	1.13	0.41	1.51	0.53	10.32	3.48	45.12	11.49	33.45	5.49	1.37	0.42
		DAC	16.45	0.83	89.95	22.62	0.91	0.24	1.11	0.29	10.39	2.67	44.05	11.26	35.20	5.82	1.25	0.22
Stages: R	Stages: Rest (REP); Aerobic Threshold (AT); Respiratory compensation	Threshold (A <sup>ī</sup>	T); Respirat	tory compe		point (RCP); Maximum effort (ME); Recovery (R); Borg Rating of Perceived Exertion (RPE); Heart Beat (HB)	aximum e	ffort (ME);	Recovery	(R); Borg	Rating of	Perceived I	Exertion (R	(PE); Heart	Beat (HB).			

Table 2 - Experiment profile for the five stages, by environment and study group in each variable.



#### Statistical Analysis

The stage intra-group dependent variables for both on land and in-water tests are RPE, HB,  $VO_2$ ,  $VCO_2$ ,  $VO_2/HB$ ,  $VE/VO_2$ ,  $VE/VCO_2$ , and  $VCO_2/VO_2$ . The environment intragroup dependent variable stands for both the on land and in water experiment, and the etiology inter-group independent variables are CAD patients and H individuals. As this was not a treatment in itself, the analogy with a control case experiment was chosen.

A variance analysis of repeated measurements (rANOVA) was conducted for factors and for every one of the dependent variables. Time gaps between the stage variables were considered equivalent and were not compromised by the intra-group error term. As non-homogeneous variances were expected throughout the test stages, Greenhouse-Geisser or Huyn-Feldt corrections (23) were conducted according to the sphericity deviation score, as assessed by the Mauchly test (24).

As there is no canonical methodology for identifying significant differences in this type of variance analysis, it was decided to use t-tests for every one of the possible combinations between the three factors under investigation and Holm-Bonferroni corrections for multiple tests. In both cases, the significance level was set at 5%.

The sampling population profile is shown by tables with descriptive statistics (means and standard deviations) and is illustrated by graphs of the mean profiles and boxplots for every factor. ANOVA statistical power was calculated at  $(1 - \beta) = 0.861$ , thus totaling a minimum of 20 participants per group.

#### **Ethical Aspects**

This study was approve by the ethical review board of school of Medicine – University of Sao Paulo, protocol number 0532/08. All subjects agreed to volunteer for the study and signed an informed consent form.

# RESULTS

Variables: Oxygen Consumption (VO<sub>2</sub>); Carbon Dioxide Consumption (VCO<sub>2</sub>); Oxygen Pulse (VO<sub>2</sub>/HB); Oxygen Equivalents (VE/VO<sub>2</sub>); Carbon Dioxide Equivalents (VE/VCO<sub>2</sub>); Respiratory Exchange Rates (VCO<sub>2</sub>/VO<sub>2</sub>).

The analysis showed major effects for CAD group *versus* Health one for the variables HB, VO<sub>2</sub> and VCO<sub>2</sub>, all of than with (p > 0.01), no significant effect for the other variables. The major effect for environment, land *versus* water, showed significance for variables HB, VO<sub>2</sub>, VCO<sub>2</sub> and VO<sub>2</sub>/HB, all of than with (p > 0.01) (Table 3).

In addition to the major effects described above, it is worth noting that the interactions between factors, particularly the interactions with stage, indicate the subject's performance throughout the experiment. In this context, the variables RPE

Tab	le 3	<ul> <li>Major</li> </ul>	effects	for	every	variable	under	investigation.	

Variables	CAD vs	Healthy	Land v	/s Water
	F	р	F	p
RPE	2.81	0.10	0	1.00
НВ	17	< 0.01	13	< 0.01
VO <sub>2</sub>	12.2	< 0.01	25.6	< 0.01
VCO <sub>2</sub>	16.5	< 0.01	25.4	< 0.01
VO <sub>2</sub> /HB	1.39	0.25	15.4	< 0.01
VE/VO <sub>2</sub>	0.45	0.51	1.51	0.23
VE/VCO <sub>2</sub>	1.35	0.25	1.76	0.19
VCO <sub>2</sub> /VO <sub>2</sub>	1.91	0.17	1.17	0.29

RPE-Borg Rating of Perceived Exertion; HB-Heart Beat; VO<sub>2</sub>-Oxygen Consumption; VCO<sub>2</sub>-Carbon Dioxide Consumption; VO<sub>2</sub>/HB-Oxygen Pulse; VE/VO<sub>2</sub>-Oxygen Equivalents; VE/VCO<sub>2</sub>-Carbon Dioxide Equivalents; VO<sub>2</sub>/VO<sub>2</sub>-Respiratory Exchange Rates; F-Statistics; *p*-Significance.

;;

Mean (

Standard Deviation (SD); Health (H); Coronary artery disease (CAD)



(p > 0.01), HB (p=0.02), VO<sub>2</sub> (p > 0.01), VCO<sub>2</sub> (p > 0.01), and VO<sub>2</sub>/HB (p > 0.01), suggested significant interactions between stage and environment. In other words, the on-land mean profiles were different from the in water profiles throughout the experiment. Moreover, there was a significant interaction between etiology and stage for variables HB (p > 0.01), VO<sub>2</sub> (p > 0.01), and VCO<sub>2</sub> (p > 0.01). CAD patients and H individuals showed different performances throughout the experiment for these variables. There were no significant interactions regarding the others variables. Furthermore, there was no significant interaction between etiology and environment; these factors can be interpreted independently, which there for e justifies the method chosen for the variance analysis and data interpretation (Table 4).

Major effects CAD and Health groups for land *versus* water, profile for test time measured in minutes and seconds (Figure 3).

The Bruce Protocol determines changes in the treadmill incline and speed every 3 minutes, thus resulting in changes in the variables under investigation in the 5 specific set-points of data collection. Hemodynamic changes and cardiovascular overload caused by immersion might lead to a shorter exertion period in the in water test. However, the opposite was observed for both CAD patients and H individuals; the activity time in water was longer than on land (p <0.001). ECG changes compatible with myocardial ischemia or arrhythmia were not observed, and the SDBP did not vary significantly.

## DISCUSSION

In this study, we tested the hypothesis that immersion in warm water and the physiological effects associated with exercise during immersion would not be risky for patients with CAD. This study further aimed to investigate and produce reliable and replicable data about cardiorespiratory responses during a CPX conducted in water compared with the test conducted on land. The "Clinician's Guide to Cardiopulmonary Exercise Testing in Adults" from the American Heart Association (2) clearly states that CPX conducted on land is an extremely safe and versatile test that provides data for evaluating a wide range of responses and levels of tolerance to physical exercise. This set of data is invaluable for the diagnosis and prognosis of patients with cardiovascular diseases.

Conducting a CPX in water, however, is not an ordinary practice, as it requires specific equipment, resources and procedures to ensure accurate records and test replicability

**Table 4** - Interactions between Etiology vs Stage andEnvironment vs Stage.

Variables	Etiolog	y x Stage	Environment x Stage		
	F	p	F	p	
RPE	0.32	0.87	5.67	< 0.01	
НВ	7.1	< 0.01	3.01	0.02	
VO <sub>2</sub>	6.4	< 0.01	10.1	< 0.01	
VCO <sub>2</sub>	8.17	< 0.01	8.43	< 0.01	
VO <sub>2</sub> /HR	0.48	0.75	6.79	< 0.01	
VE/VO <sub>2</sub>	0.24	0.30	1.72	0.15	
VE/VCO <sub>2</sub>	1.59	0.18	0.86	0.49	
VCO <sub>2</sub> /VO <sub>2</sub>	0.23	0.92	1.89	0.12	

RPE-Borg Rating of Perceived Exertion; HB- Heart Beat; VO<sub>2</sub>-Oxygen Consumption; VCO<sub>2</sub>-Carbon Dioxide Consumption; VO<sub>2</sub>/HB-Oxygen Pulse; VE/VO<sub>2</sub>-Oxygen Equivalents; VE/VCO<sub>2</sub>-Carbon Dioxide Equivalents; VO<sub>2</sub>/VO<sub>2</sub>-Respiratory Exchange Rates; F-Statistics; *p*-Significance. and to make this test as reliable as its counterpart on land. The quality of data depends on several factors, such as subject preparation for capturing ECG signals without any interference using a 5 derivation cable and electrodes covered with occlusive bandages.

The major contribution of this study is that it demonstrated that exercise in warm water is well tolerated by patients with CAD and that conducting a CPX in water is feasible and safe. In addition, our results showed that walking or jogging on an underwater treadmill with water at chest level requires less cardiopulmonary exertion than doing so on land. CAD patients showed as high a level of tolerance during the in-water test as the control group subjects, and no adverse events were observed.

Issues associated with cardiac preload and post load during immersion were observed as a result of blood displacement, especially from the lower limbs upward to the thoracic cave, thus increasing the volume of blood filling the four heart chambers. Blood volume increases by 27-30% when subjects are immersed to neck level (7). The heart, however, is not a static receptacle and the physiological cardiac response to increased blood volume causes the cardiac muscle to contract more forcefully as the healthy myocardium stretches. According to the Frank and Starling Law (8), contraction efficiency will improve. Gabrielsen et al., 2000 and 1993 (13) showed that both cardiac output and stroke volume increase during immersion in water at 30°C (14).

Immersion to the xiphoid process reduces the load on joints and bones by at least 60% (15). The effect of up thrust or buoyancy is an upward vertical force exerted by a fluid and the magnitude of that force is proportional to the weight of the displaced water volume by the object; buoyancy can thus facilitate exercise in water as the force of gravity is reduced.

The resistance of the water, however, which is 800 times denser than air, should theoretically require more effort to walk or jog in on an underwater treadmill. Nevertheless, this study shows quite the opposite. As subjects walked or jogged on the underwater treadmill, they did not fight the resistance of the water, and up thrust became a facilitating force as it demanded less exertion of the anti-gravitational muscles to support their body weight. Consequently, the perceived exertion scale Borg RPE showed statistically significant differences between in water and on land tests (p < 0.01) for both groups in every stage. Christie et al., 1990 (11), demonstrated that the heart rate in healthy subjects has similar responses to exercise on land and in water until VO2 reaches 60% of  $VO_{2peak}.$  Our findings demonstrated that the heart rate exhibits significant effects and interactions between etiology and stage, (p < 0.01), stage and environment (p < 0.01). At all of the stages, the HB rate was higher on land (p=0.02)than in water (p < 0.01) for both groups and healthy subjects had a higher HB rate than CAD patients in both environments. This can be explained by the use of medication - CAD group.

 $VO_2$  seems to be affected by several factors, but the magnitude of oxygen consumption is a much more reliable indicator of cardiorespiratory functional capability (12). In 1989, Gleimand Nicholas (16) demonstrated that oxygen consumption during a walking or jogging exercise at a speed of 53 m/ min was three times greater in water than on land. Therefore, in water, only one-half to one-third of the speed is required to reach the same metabolic intensity rate as on land performance. Because subjects did not run against the resistance of water in this study, this feature might have hindered the collection of similar findings to those in Gleimand Nicholas'



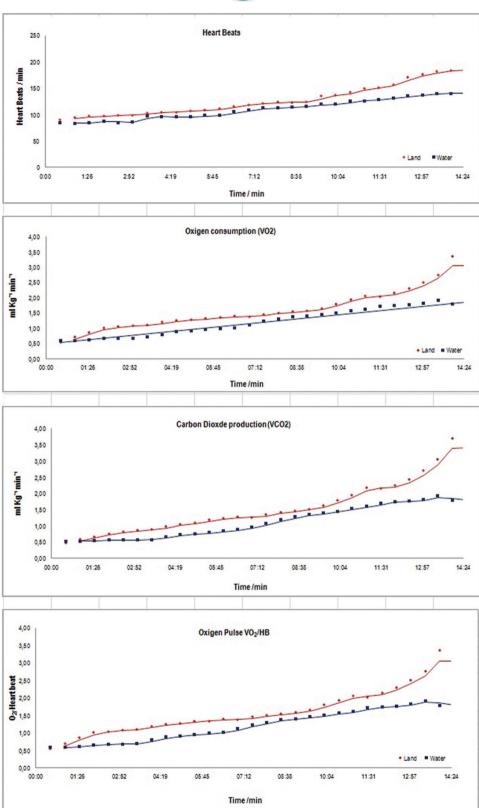


Figure 3 - Major effects CAD and Health groups for land versus water, profile for test time measured in minutes and seconds.

study. Nevertheless, our findings show the significant effects and interactions between etiology and stage, (p < 0.01) and stage and environment (p < 0.01). VO<sub>2</sub> on land was higher

than in water at all the stages for both CAD and Healthy subjects and both showed lower VO<sub>2</sub> in water than on land (p < 0.01). Craig and Dvorak, 1969 (14), showed that at rest,



 $VO_2$  was similar for healthy subjects on land and in water at 34°C. This finding, however, could not be replicated in the current study, which suggests that the resistance of water or the temperature (34°C) affected oxygen consumption.  $CO_2$  production shows a causal relationship with  $O_2$ and a linear increase.

According to Yazbek et al., 1998 (12), the O<sub>2</sub> pulse VO<sub>2</sub>/ HB indicates the amount of O<sub>2</sub> transported during every heart beat. Lower VE performance may be detected in an increasing exertion test by observing the VO<sub>2</sub>/HB, and it represents an indirect index of O<sub>2</sub> transported by the cardiopulmonary system. Significant effects and interactions were observed for stage and environment (p<0.01), but not for etiology and stage (p=0.75). According to Hall et al., 1990 (17), a reduced-gravity environment requires less activity of the anti-gravity muscles, which might explain the significant difference observed in the VO<sub>2</sub>/HB, which was lower in water than on land for both groups (p<0.01). However, it does not explain why CAD patients showed lower O<sub>2</sub> pulse (p<0.01) in water and on land than healthy individuals.

The relationships of VE/VO<sub>2</sub> and VE/VCO<sub>2</sub> with VE at body temperature pressure saturated (BTPS) conditions and VO<sub>2</sub> and VCO<sub>2</sub> at standard air temperature and air pressure dry (STPD) conditions show how many liters of air per minute are necessary and must be ventilated to consume 100 ml of O<sub>2</sub> and to produce CO<sub>2</sub>.

This study has assessed that even during increasing exertion and under the physiological effects of immersion, both CAD patients and H individuals did not show significant changes in VE, which remained appropriate to the relative demand of  $O_2$  at each of the stages. In a previous study, Craig and Ware, 1967 (18), and Hall et al., 1990 (19), showed that for healthy individuals, VE decreases during immersion. These findings, however, were not observed in the current study. Our findings corroborate those of Asa C. et al. (20). Ventilatory efficiency during exercise, both on land and in water on a treadmill, was according to the demand of  $O_2$ .

Respiratory quotient or QR reflects the quotient between VCO<sub>2</sub> and VO<sub>2</sub>. During increased exertion, which was the case in this study, metabolism increasingly uses carbohydrates as a source of energy, reaching  $\geq 1.1$  at maximum exertion. In both tests (in water and on land), subjects reached a QR > 1, so there was no significant effect between stage and environment and etiology and environment.

As hemodynamic responses to exercise vary depending on cardiac output and peripheral resistance, increased systolic blood pressure was expected as a result of higher cardiac output in both environments, mainly during immersion. Diastolic blood pressure, in contrast, was expected to remain stable or even to mildly decrease with exercise. However, no significant SDBP response was observed. Studies concerning the SDBP responses of healthy individuals during rest at thermo neutral temperature immersion are conflicting and scarce in the literature Gabrielsen et al., 1993 (13).

No significant arrhythmia or ventricular ectopic activity and/or instances of ST-segment depression were observed by the cardiologist in the ECG recordings performance evaluation curve.

#### Study limitations

The use of an underwater treadmill without any computer interface prevented this study from automatically controlling the treadmill speed and incline. This might have affected data collection for the in water test, however, the methodology used for both tests was identical and the subjects had to walk or jog in compliance with the Bruce Protocol (10).

The order of the test was not randomized because a CPX in water is not well known and, for safety, we prefer to start with a CPX on land, secure and widely used procedure.

This study can be presents a small study population although we performed more 144 tests, but many had to be discarded because of the difficulties in collecting data, primarily in the immersion test. That is why we used the statistical power was calculated at  $(1 - \beta)=0.861$ , thus totaling a minimum of 20 participants per group.

This study show that a CPX can be safely conducted in subjects in immersion and that the procedures, resources and equipment used yielded replicable and reliable data. Significant differences observed in water *versus* on land allow us to conclude that CAD patients are able to do physical exercise in water and that the physiological effects of immersion do not present any risk for such patients, as exercise was well tolerated by all subjects. We can also conclude that CPX conducted in water may be used by cardiologists to safely diagnose and estimate the exertion capability of CAD patients to perform dynamic exercise in a pool. Further investigation is necessary to provide a clearer understanding of the physiological effects of immersion associated with higher risk subjects.

A cross-sectional study where the effects of medium and long term are not known, but this was not the objective of the study.

#### ACKNOWLEDGMENTS

We gratefully acknowledge the support provided by the Institute of Rehabilitation Medicine and LIM 01 - Clinics Hospital - School of Medicine University of Sao Paulo and Dante Pazzanese Institute of Cardiology, Sao Paulo, Brazil. This work was partially supported by grants provided by LIM01-HCFMUSP, CNPq, Institute of Rehabilitation and Medicine Clinics Hospital - School of Medicine - University of São Paulo.

#### AUTHOR CONTRIBUTIONS

Garcia MK was responsible for the study conception and design, analysis and interpretation of data and manuscript writing. Rizzo L was responsible for the coordination of the research, conduction of the experiments and manuscript writing. Yazbek-Júnior P was responsible for the conduction of the experiments with cardiopulmonary stress exertion analysis and interpretation of data. Yutiyama D, Silva F,J and Matheus D were responsible for the conduction of the experiments. Mastrocolla LE was responsible for the analysis and interpretation of the findings. Massad E was responsible for the study conception, design, analysis and interpretation of the findings.

#### REFERENCES

- Schmid JP, Noveanu M, Morger C, Gaillet R, Capoferri M, Anderegg M, et al. Influence of water immersion, water gymnastics and swimming on cardiac output in patients with heart failure. Heart. 2007;93(6):722-7, http://dx.doi.org/10.1136/hrt.2006.094870.
- Balady GJ, Arena R, Sietsema K, Myers J, Coke L, Fletcher GF, et al. Clinician's Guide to cardiopulmonary exercise testing in adults: a scientific statement from the American Heart Association. Circulation. 2010; 122(2):191-225, http://dx.doi.org/10.1161/CIR.0b013e3181e52e69.
- Norsk P, Bonde-Petersen F, Walberg J. Central venous pressure and plasma arginine vasopressin in man during water immersion combined with changes in blood volume. Eur J Appl Physiol Occup Physiol. 1986; 54(6):608-16, http://dx.doi.org/10.1007/BF00943349.
- Arborelius M Jr, Ballidin UI, Lilja B, Lundgren CE. Hemodynamic changes in man during immersion with the head above water. Aerosp Med. 1972;43(6):592-8.



- Risch WD, Koubenec HJ, Beckmann U, Lange S, Gauer OH. The effect of graded immersion on heart volume, central venous pressure, pulmonary blood distribution, and heart rate in man. Pflugers Arch. 1978;374(2): 115-8, http://dx.doi.org/10.1007/BF00581289.
- Lange L, Lange S, Echt M, Gauer OH. Heart volume in relation to body posture and immersion in a thermo-neutral bath. A roentgenometric study. Pflügers Arch. 1974;352(3):219-26, http://dx.doi.org/10.1007/BF00590487.
- Weston CF, O'Hare JP, Evans JM, Corrall RJ. Hemodynamic changes in man during immersion in water at different temperatures. Clin Sci (London). 1987;73(6):613-6, http://dx.doi.org/10.1042/cs0730613.
- Becker BE, Cole AJ. Aquatic rehabilitation. In: DeLisa JA (eds). Physical Medicine and rehabilitation: principles and practice. 4th ed. Philadelphia: Lippincott. 2005;479-92.
- 9. Epstein M. Renal effects of head-out water immersion in humans: a 15-year update. Physiol Rev. 1992;72(3):563-621.
- Bruce RA, Pearson R, Lovejoy Jr FW, Yu PN, Brothers GB. Variability of respiratory and circulatory performance during standardized exercise. J Clin Invest. 1949;28(6 Pt 2):1431-8, http://dx.doi.org/10.1172/JCI102208.
- Christie JL, Sheldahl LM, Tristani FE, Wann LS, Sagar KB, Levandoski SG, et al. Cardiovascular regulation during head-out water immersion exercise. J Appl Physiol. 1990;69(2):657-64.
- Yazbek-Jr P, Carvalho RT, Sabbag LM, Battistella LR. Ergoespirometria. Teste de Esforço Cardiopulmonar, Metodologia e Interpretação. Arq Bras Cardiol. 1998;71(5):719-24, http://dx.doi.org/10.1590/S0066-782X199800 1100014.
- Gabrielsen A, Johansen LB, Norsk P. Central cardiovascular pressures during graded water immersion in humans. J Appl Physiol. 1993;75(2):581-5.
- Craig A, Dvorak M. Comparison of exercise in air and in water of different temperatures. Med Sci Sports. 1969;1:124-30.

- Harrison RA, Bulstrode S. Loading of the lower limb when walking partially immersed. Physiotherapy. 1992;78(3):164-6, http://dx.doi.org/ 10.1016/S0031-9406(10)61377-6.
- Gleim GW, Nicholas JA. Metabolic costs and heart rate responses to treadmill walking in water at different depths and temperatures. Am J Sports Med. 1998;17(2):248-52, http://dx.doi.org/10.1177/036354658901700216.
- Hall J, Macdonald IA, MaddisonPJ, OHare JP. Cardiorespiratory responses to underwater treadmill walking in healthy females. Eur J Appl Physiol Occup Physiol. 1998; 77(3):278-84, http://dx.doi.org/10.1007/ s004210050333.
- 18. Craig AB Jr, Ware DE. Effect of immersion in water on vital capacity and residual volume of the lungs. J Appl Physiol. 1967;23(4):423-5.
- Hall J, Bisson D, OHare P. The physiology of immersion. Physiotherapy. 1990;76(9):517-21, http://dx.doi.org/10.1016/S0031-9406(10)63019-2.
- Cider A, Sunnerhagen KS, Schaufelberger M, Andersson B. Cardiorespiratory effects of warm water immersion in elderly patients with chronic heart failure. Clin Physiol Funct Imaging. 2005;25(6): 13-7, http:// dx.doi.org/10.1111/j.1475-097X.2005.00633.x.
- Brandão MR, Pereira MH, Oliveira R, Matsudo VK. Percepção de esforço: uma revisão da área. Revista Brasileira de Ciências do Movimento. 1989; 3(1):34-40.
- 22. The Criteria Committee of the New York Heart Association. Nomenclature and Criteria for Diagnosis of Diseases of the Heart and Great Vessels. (9th ed.). Boston: Little, Brown & Co. 1994; 253–256.
- Greenhouse SW, Geisser S. On methods in the analysis of profile data. Psychometrika. 1959;24(2):95-112, http://dx.doi.org/10.1007/BF02289823.
- Mauchly, JW. Significance Test for Sphericity of a Normal n-Variate Distribution. The Annals of Mathematical Statistics. 1940;11(2): 204-9, http://dx.doi.org/10.1214/aoms/1177731915.