Right ventricular function and its coupling to pulmonary circulation predicts exercise tolerance in systolic heart failure

Valéry Legris¹, Bernard Thibault¹, Jocelyn Dupuis¹, Michel White¹, Anita W. Asgar¹, Annik Fortier², Céline Pitre¹, Nadia Bouabdallaoui¹, Christine Henri¹, Eileen O'Meara¹, Anique Ducharme^{1*} and EARTH Investigators

¹Department of Medicine, Montreal Heart Institute and University of Montreal, Montreal, Quebec, Canada; and ²Montreal Health Institute Coordinating Center (MHICC), Montreal, Quebec, Canada

Abstract

Aims Right ventricular (RV) dysfunction, pulmonary hypertension, and exercise intolerance have prognostic values, but their interrelation is not fully understood. We investigated how RV function alone and its coupling with pulmonary circulation (RV-PA) predict cardio-respiratory fitness in patients with heart failure and reduced ejection fraction (HFrEF).

Methods and results The Evaluation of Resynchronization Therapy for Heart Failure (EARTH) study included 205 HFrEF patients with narrow (n = 85) and prolonged (n = 120) QRS duration undergoing implantable cardioverter defibrillator implantation. All patients underwent a comprehensive evaluation with exercise tolerance tests and echocardiography. We investigated the correlations at baseline between RV parameters {size, function [tricuspid annular plane systolic excursion (TAPSE), RV fractional area change (RV-FAC), and RV myocardial performance index (RV-MPI)], pulmonary artery systolic pressure (PASP), and tricuspid regurgitation}; left ventricular ejection fraction (LVEF), left ventricular end-diastolic volume index (LVEDVi), and left atrial volume index (LAVi); and cardiopulmonary exercise test (CPET) [peak VO₂, minute ventilation/carbon dioxide production (VE/VCO₂), 6 min walk distance (6MWD), and submaximal exercise duration (SED)]. We also studied the relationship between RV-PA coupling (TAPSE/PASP ratio) and echocardiographic parameters in patients with both data available. Univariate and multivariate linear regression models were used. Patients enrolled in EARTH (overall population) were mostly male (73.2%), mean age 61.0 ± 9.8 years, New York Heart Association class II-III (87.8%), mean LVEF of 26.6 ± 7.7%, and reduced peak VO₂ (15.1 ± 4.6 mL/kg/min). Of these, 100 had both TAPSE and PASP available (TAPSE/PASP population): they exhibited higher BNP, wider QRS duration, larger LVEDVi, with more having tricuspid regurgitation compared with the 105 patients for whom these values were not available (all P < 0.05). RV-FAC (β = 7.5), LAVi (β = -0.1), and sex (female, β = -1.9) predicted peak VO₂ in the overall population (all P = 0.01). When available, TAPSE/PASP ratio was the only echocardiographic parameter associated with peak VO₂ (β = 6.8; P < 0.01), a threshold \leq 0.45 predicting a peak VO₂ \leq 14 mL/kg/min (0.39 for VO₂ \leq 12). RV-MPI was the only echocardiographic parameter associated with ventilatory inefficiency (VE/VCO₂) and 6MWD (β = 21.9 and $\beta = -69.3$, respectively, both $P \le 0.01$) in the overall population. In presence of TAPSE/PASP, it became an important predictor for those two CPET (β = -18.0 and β = 72.4, respectively, both P < 0.01), together with RV-MPI (β = 18.5, P < 0.01) for VE/VCO₂. Tricuspid regurgitation predicted SED ($\beta = -3.2$, P = 0.03).

Conclusions Right ventricular function assessed by echocardiography (RV-MPI and RV-FAC) is closely associated with exercise tolerance in patients with HFrEF. When the TAPSE/PASP ratio is available, this marker of RV-PA coupling becomes the stronger echocardiographic predictor of exercise capacity in this population, highlighting its potential role as a screening tool to identify patients with reduced exercise capacity and potentially triage them to formal peak VO₂ and/or evaluation for advanced HF therapies.

Keywords Right ventricular function; RV to pulmonary arterial coupling; Heart failure with reduced ejection fraction; Exercise tolerance; Echocardiography

Received: 5 February 2021; Revised: 31 October 2021; Accepted: 11 November 2021

© 2021 The Authors. ESC Heart Failure published by John Wiley & Sons Ltd on behalf of European Society of Cardiology.

This is an open access article under the terms of the Creative Commons Attribution-NonCommercial License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited and is not used for commercial purposes. *Correspondence to: Anique Ducharme, Professor of Medicine, University of Montreal, Director of the Heart Failure Clinic, Montreal Heart Institute Research Center, 5000, Belanger East (Room S-2700), Montreal, Quebec H1T 1C8, Canada. Tel: 514-376-3330 ext 3947; Fax: 514-593-2575. Email: anique.ducharme@umontreal.ca

Introduction

Evaluation of exercise tolerance whether clinically or using cardiopulmonary exercise tests (CPET) is at the basis of routine assessment and prognostication of heart failure (HF) patients,¹ including at the time of consideration for advanced HF therapies²; a peak VO₂ \leq 14 mL/kg/min is commonly used as cut-off for transplantation, but a threshold of ≤ 12 seems more reliable for patients treated with beta-blockers.³ Still. peak VO₂ exhibits only modest correlation with the degree of left ventricular (LV) dysfunction,⁴ due to development of compensatory mechanisms in response to the chronic low output state, reduced peripheral and respiratory muscular perfusion, and/or function.² Also, submaximal exercise capacity assessed using 6 min walk test (6MWT) or a fixed-load protocol may be more representative of daily living limitations: they have good prognostic values, but their physiologic determinants remain largely unknown.^{1,2}

Right ventricular (RV) dysfunction and/or pulmonary hypertension (PH) are important predictors of outcomes in HF, regardless of LV systolic function.^{5–8} As CPET are not broadly available, reliable echocardiographic predictors of exercise intolerance as screening tools for consideration of advanced HF evaluation would be clinically useful. Accordingly, several RV parameters have been proposed, but the quest for the most valuable one(s) is still ongoing.^{9,10} The RV-pulmonary artery (PA) coupling, measured as the ratio of longitudinal RV shortening relative to developed pressure [tricuspid annular plane systolic excursion (TAPSE) to estimated pulmonary artery sys-

tolic pressure (PASP)⁵; *Figure 1*], has good correlation with PA compliance measured invasively⁶ and outcomes in patients with HF and preserved ejection fraction (HFpEF).⁷ Unfortunately, this ratio is not always available and neither its relationship nor those of other RV function indices with various CPET have been explored in patients with HF and reduced ejection fraction (HFrEF).^{2,5,11,12}

Therefore, we sought to evaluate the effects of various RV function parameters, alone and in association with its coupling to the PA, on several assessments of exercise capacity in ambulatory HFrEF patients.

Materials and methods

Study population

Patients enrolled in Evaluation of Resynchronization Therapy for Heart Failure (EARTH) were divided according to the QRS duration on the surface electrocardiogram: QRS < 120 ms in LESSER-EARTH (LE)¹³ and QRS \geq 120 ms in GREATER-EARTH (GE).¹⁴ Their rationale, design, and results have been previously reported. Briefly, EARTH was a randomized-controlled programme performed in 12 Canadian centres comparing the effect of cardiac resynchronization therapy (CRT) on submaximal exercise capacity. LE study was published in 2013 while GE study was published in 2011. Both populations' recruitment started in 2003 and ended in 2011 and 2009, re-

Figure 1 Right ventricular to pulmonary artery coupling as a measure of pulmonary artery compliance. Graphical representation of TAPSE (left) and PASP (right) measurements (top panel). PASP, pulmonary artery systolic pressure; TAPSE, tricuspid annular plane systolic excursion.



spectively. Patients with reduced left ventricular ejection fraction (LVEF) (\leq 35%) on optimal medical therapy and a 6MWT < 400 m were eligible. Patients in chronic atrial fibrillation, those who were limited to exercise by non-cardiac conditions, or who had a recent (<6 weeks) myocardial infarction and/or cardiac intervention were excluded. For the purpose of this analysis, we combined the populations of the two studies, and only data at baseline (pre-randomization, resynchronization-OFF) were analysed.

All local institutional review board approved the protocol, and participants provided written consent.

Echocardiography

A standardized transthoracic echocardiogram protocol was used, and analysis performed by a central core-laboratory.¹⁵ Variables of interests included RV parameters: size (dimensions and end-diastolic area), function [TAPSE, RV fractional area change (RV-FAC, which provides an estimate of the global RV systolic function; it calculates the % of area change within the RV between diastole and systole in the apical four-chamber view. A normal value for the FAC is 35% or higher), and myocardial performance index (RV-MPI) using pulsed wave Doppler], PASP, and tricuspid regurgitation (TR) grade; and LVEF, indexed left atrial volume (LAVi), and mitral regurgitation (MR). All these parameters had to be measured per protocol, as recommended by the American Society of Echocardiography.^{16,17} The reproducibility of echocardiographic measurements was excellent, with intraobserver intraclass correlation coefficients (ICCs) ranging from 0.95 to 0.98 for the first ultrasonographer and from 0.98 to 1.00 for the second one. Interobserver ICCs ranged from 0.78 to 0.96.15

Maximal and submaximal exercise testing

Two exercise tests were performed at baseline (minimum of 2 h between submaximal and 6MWT and 24 h between the maximal and the others).¹⁴ The maximal cardiopulmonary test consisted of a continuous and incremental exercise performed on a treadmill with an individualized ramp protocol using an automated continuous oxygen uptake determinate on a breath by breath basis,¹⁸ with data recorded at rest, during graded exercise, and throughout a 2 min recovery period; it was ended at either achievement of the primary maximal criteria: respiratory exchange ratio (RER) > 1.01, or with 1 of 2 secondary maximal criteria: (i) inability to maintain exercise and (ii) exhaustion due to fatigue, or cessation due to other clinical symptoms. Peak VO₂ and ventilatory efficiency (VE/VCO₂ slope) are reported here.

The submaximal constant load exercise test was performed on a treadmill using a fixed load protocol at an intensity corresponding to 75% of peak VO₂ measured during the maximal exercise test.¹⁹ After a 2 min warm-up at 30% of the maximal load, the slope and speed observed at 75% of the VO₂ peak was applied. The test was terminated for exhaustion or after 30 min of exercise. The 6MWT was completed as previously published.²⁰ While 6MWT and submaximal exercise duration (SED) are not per se obtained in the CPET, we have elected to group all the accepted measures of exercise tolerance under the CPET umbrella.

Outcomes

Our primary objective was the relationship between RV function and peak VO₂.

Secondary endpoints included (i) relationship between the TAPSE/PASP ratio and CPET [peak VO₂, VE/VCO₂ slope, SED, and 6 min walk distance (6MWD)] and (ii) relationship between key echocardiographic parameters and clinical characteristics, and all CPET (VE/VCO₂ slope, SED, and 6MWD).

Statistical analyses

Continuous variables are summarized using mean \pm standard deviation (SD) unless otherwise specified, while categorical variables are described using frequencies and percentages. No imputation was performed for missing data, as there were few. Statistical analysis was performed using SAS Version 9.4 (SAS Institute, NC), and a two-tailed *P*-value < 0.05 was considered statistically significant. The authors take full responsibility for the integrity of the data.

Baseline characteristics

Differences in baseline characteristics between the TAPSE/ PASP subgroup (n = 100) and the remaining subjects (n = 105) were examined using *t*-tests (continuous variables) or χ^2 tests (categorical variables).

Endpoints

Linear regression models were produced to evaluate the relationship between RV function echocardiographic parameters and peak VO₂. Factors selection was based on clinical relevance and univariate association with peak VO₂. All variables with a *P*-value \leq 0.20 in the univariate model were entered into a multivariate analysis using a stepwise selection procedure. Variables with *P* < 0.10 were kept in the final model to explore potential trends of relationship between factors and endpoints. Secondary endpoints were analysed using the same approach. In the eventuality that a statistically significant relationship is found between TAPSE/PASP and peak VO₂, two receiver operating characteristic (ROC) curves analysis will be performed, the first to define the value predictive of a peak VO₂ \leq 14 mL/kg/min, a commonly used cut-off for advanced HF therapies consideration, and the second for

Results

Study population

A total of 205 patients, 120 GE and 85 LE, were enrolled in EARTH (overall population), with 100 having both TAPSE and PASP values available (TAPSE/PASP population). The characteristics of the overall population are shown in *Table 1*, with a majority of male (73.2%), mean age 61.0 \pm 9.8 years, New York Heart Association (NYHA) class II–III (87.8%), and severely depressed systolic function (mean LVEF = 26.6 \pm 7.7%). Pharmacological treatment was robust for the time, including beta-blockers (97.1%), renin angiotensin system inhibitors (97.6%), loop diuretics (84.9%), and mineralocorticoid receptor antagonists (MRA) (43.4%). Maximal aerobic capacity assessed by peak VO₂ was severely reduced (15.1 \pm 4.6 mL/kg/min).³

Tricuspid annular plane systolic excursion/pulmonary artery systolic pressure population

Characteristics of the overall and TAPSE/PASP population (n = 100) are summarized in *Table 1*. The latter group exhibited features of more advanced disease, with higher BNP level, wider QRS duration on the electrocardiogram, larger left ventricular end-diastolic volume index (LVEDVi), and more having TR compared with the 105 patients for whom the TAPSE and PASP values were not available (all P < 0.05).

Predictors of peak VO₂

Univariate and multivariate analysis of predictors of peak VO_2 for the overall population and the TAPSE/PASP population is shown in *Table 2*.

Predictors of peak VO₂ (overall population)

By multivariate analysis, only RV-FAC (β = 7.5), LAVi (β = -0.1), and sex (female, β = -1.9) remained independent predictors of peak VO₂ (all *P* < 0.05).

Predictors of peak VO₂ (tricuspid annular plane systolic excursion/pulmonary artery systolic pressure population)

Only TAPSE/PASP remained associated with peak VO₂ (β = 6.8; P < 0.01) by multivariate analysis (*Figure 2*). ROC analysis showed an optimal threshold of TAPSE/PASP \leq 0.45, for a peak VO₂ \leq 14 mL/kg/min, with sensitivity and specificity of 0.63 and 0.62, respectively, and area under the curve (AUC) of 0.66 (P < 0.01) (*Figure 3*). Interestingly, increased sensitivity was obtained with a peak VO₂ \leq 12 mL/kg/min, a cut-off of 0.39 having a sensitivity of 0.92 and specificity of 0.50 and AUC of 0.74 (P < 0.01) (*Figure 4*).

Secondary endpoints

VE/VCO₂ slope

Univariate and multivariate analysis of associations with VE/VCO₂ slope is shown in *Table 3*. By multivariate analysis in the overall population, only sex (female, $\beta = -5.0$; P < 0.01) and RV-MPI ($\beta = 21.9$; P < 0.01) were independently associated with VE/VCO₂. In the TAPSE/PASP population, RV-MPI remained an independent predictor of VE/VCO₂ ($\beta = 18.5$; P < 0.01), but TAPSE/PASP emerged as another predictor of this endpoint ($\beta = -18.0$; P < 0.01).

Six minute walk distance

Results of univariate and multivariate analysis of 6MWD are shown in *Table 4*. For the overall population, only body mass index (BMI) (β = -3.0; *P* < 0.01) and RV-MPI (β = -69.3; *P* = 0.01) were associated with 6MWD. By multivariable analysis in the TAPSE/PASP subgroup, this ratio (β = 72.4; *P* = 0.04) and BMI (β = -3.7; *P* < 0.01) were the only predictors of 6MWD.

Submaximal exercise duration

Univariate and multivariate analyses are shown in *Table 5*. In the overall population, only age ($\beta = -0.1$; P = 0.03) and sex (female, $\beta = -0.2$; P < 0.01) were independent predictors of SED. In the TAPSE/PASP population, BMI ($\beta = -0.3$; P = 0.02) and TR grade ($\beta = -3.2$; P = 0.03) were associated with SED.

Discussion

We demonstrated that RV but not LV function is associated with exercise capacity in ambulatory HFrEF patients, providing new evidences on the importance of RV function (RV-FAC and RV-MPI) and its coupling to the PA (TAPSE/PASP) on exercise tolerance in HFrEF. Salient findings include (1) overall: (i) RV-FAC, LAVi, and female sex were associated with peak VO₂; (ii) RV-MPI and female sex were the only predictors of VE/VCO2 slope; (iii) only RV-MPI and BMI were associated with 6MWD; and (iv) age and female sex were predictors of a lower SED; (2) when available, the TAPSE/PASP ratio was the only echocardiographic parameter associated with both maximal and submaximal exercise capacity (peak VO₂ and 6MWD), or in combination with RV-MPI for ventilatory efficiency (VE/VO₂ slope); and (3) a TAPSE/PASP ratio \leq 0.45 mm/mmHg predicts a peak $VO_2 \leq 14 \text{ mL/kg/min.}$

Right ventricular function and its impact on exercise tolerance

Echocardiography is the main screening tool for assessment of RV function in daily clinical practice, but its interpreta-

Table 1 Baseline characteristics

| Baseline characteristics | Overall population $(n = 205)$ | TAPSE | PASP population (n = 100) | Others $(n = 105)$ | P ^a |
|---|---|---|---|--|---|
| Age, years $(n \pm SD)$ Male $(n, \%)$ Caucasian $(n, \%)$ BMI (kg/m^2) NVHA class $(n, \%)$ | 60.97 ± 9.79 150 (73.17) 203 (99.51) 29.71 ± 6.13 | 2 | 51.91 ± 9.04 70 (70.00) 99 (100.00) 29.08 ± 5.99 | $\begin{array}{c} 60.08 \pm 10.42 \\ 80 \ (76.19) \\ 104 \ (99.05) \\ 30.36 \pm 5.99 \end{array}$ | 0.182 0.317 0.330 0.129 |
| 1 2 3 4 | 24 (11.76) 115 (56.37) 65 (31.86) 0 (0) | | 14 (14.00) 55 (55.00) 31 (31.00) 0 (0) | 10 (9.62) 60 (57.69) 34 (32.69) 0 (0) | 0.624 |
| Medical history (n, %) | | | | | |
| Aetiology of CMP Ischaemic Non-ischaemic Prior MI Prior coronary bypass surgery or PG Prior valvular intervention (surgery Stroke/TIA Hypertension PAD | Cl or dilatation) | 121 (59.02) 84 (40.98) 115 (56.10) 88 (42.93) 7 (3.41) 26 (12.68) 102 (49.76) 7 (3.41) | 61 (61.00) 39 (39.00) 57 (57.00) 44 (44.00) 5 (5.00) 17 (17.00) 56 (56.00) 2 (2.00) | 60 (57.14) 45 (42.86) 58 (55.24) 44 (41.90) 2 (1.90) 9 (8.57) 46 (43.81) 5 (4.76) | 0.575 0.799 0.762 0.223 0.070 0.081 0.276 |
| Diabetes COPD Prior ICD implanted Prior pacemaker implanted History of AF/flutter | | 71 (34.63) 33 (16.10) 11 (5.37) 4 (1.95) 35 (17.07) | 36 (36.00) 17 (17.00) 6 (6.00) 2 (2.00) 17 (17.00) | 35 (33.33) 16 (15.24) 5 (4.76) 2 (1.90) 18 (17.14) | 0.688 0.732 0.694 0.961 0.978 |
| Biochemistry ($n \pm SD$) | | | | | |
| Haemoglobin, g/L Creatinine, μmol/L GFR, mL/min/1.73 m ² Sodium, mmol/L BNP, pg/mL (median, lower-upper | 133.53 107.07 65.78 139.18 quartile) 1372 (7 | ± 14.74 ± 31.30 ± 19.33 \$ ± 2.95 20-2782) | $\begin{array}{c} 130.03 \pm 14.98 \\ 110.13 \pm 34.03 \\ 63.30 \pm 18.71 \\ 139.32 \pm 2.92 \\ 1710 \ (907-3533) \end{array}$ | $\begin{array}{c} 136.82 \pm 13.80 \\ 104.18 \pm 28.36 \\ 68.12 \pm 19.69 \\ 139.05 \pm 2.99 \\ 1219 \left(480 - 2128 \right) \end{array}$ | 0.001 0.180 0.078 0.516 0.005 |
| Resting EKG | | | | | |
| QRS duration, ms ($n \pm$ SD) QRS morphology—LBBB (n , %) | 134.14 ± 31.06 82 (40.00) | 1 | 44.18 ± 30.77 54 (54.00) | 124.91 ± 28.45 28 (26.67) | <0.001 <0.001 |
| Clinical parameters ($n \pm SD$) | | | | | |
| Heart rate (b.p.m.) Systolic blood pressure (mmHg) Diastolic blood pressure (mmHg) 6MWD (m) Peak VO ₂ (mL/kg/min) Mean RER at peak VO ₂ Exercise duration (min) | $\begin{array}{c} 68.48 \pm 11.4 \\ 109.00 \pm 15.2 \\ 65.94 \pm 8.99 \\ 358.73 \pm 76.7 \\ 15.05 \pm 4.55 \\ 1.10 \pm 0.10 \\ 9.16 \pm 6.19 \end{array}$ | 8 1 6 | 67.82 ± 11.36 109.12 ± 15.68 64.77 ± 9.19 362.21 ± 77.07 14.81 ± 4.61 1.12 ± 0.12 9.76 ± 6.82 | $\begin{array}{c} 69.10 \pm 11.60 \\ 110.83 \pm 14.77 \\ 67.05 \pm 8.69 \\ 355.52 \pm 76.71 \\ 15.27 \pm 4.50 \\ 1.09 \pm 0.09 \\ 8.60 \pm 5.50 \end{array}$ | 0.424 0.423 0.070 0.539 0.474 0.015 0.183 |
| Medications (n, %) | | | | | |
| Beta-blockers ACE inhibitor/ARB Digoxin Diuretics | 199 (97.07) 200 (97.56) 82 (40.00) | 96 (98 (44 (| (96.00) (98.00) (44.00) | 103 (98.10) 102 (97.14) 38 (36.19) | 0.374 0.691 0.254 |
| MRA Loop diuretics | 89 (43.41) 174 (84.88) | 48 (87 (| (48.00) (87.00) | 41 (39.05) 87 (82.86) | 0.196 0.408 |
| Left cavity parameters ($n \pm SD$) | | | | | |
| Mean LVEF, % Mitral regurgitation grade (n, %) 0 1 2 | 26.60 ± 7.74 38 (19.29) 95 (48.22) 52 (26.40) | Ļ | 26.50 ± 7.75 15 (15.31) 43 (43.88) 32 (32.65) | 26.70 ± 7.77 23 (23.23) 52 (52.53) 20 (20.20) | 0.856 0.090 |
| 3 4 LVEDVi, mL LVESVi, mL LAVi, mL/m ² | 12 (6.09) 0 (0) 101.34 ± 33.3 75.64 ± 30.0 33.06 ± 14.2 | 96 0 4 | $8(8.16) 0(0) 106.20 \pm 34.22 79.29 \pm 31.04 34.54 \pm 14.97 $ | $\begin{array}{c} 4 & (4.04) \\ 0 & (0) \\ 96.54 \pm 31.93 \\ 72.03 \pm 28.64 \\ 31.59 \pm 13.38 \end{array}$ | 0.042 0.090 0.143 |

RV function and exercise tolerance in HFrEF

| Right ventricular parameters ($n \pm SD$ |)) | | | |
|---|-----------------|-----------------|-----------------|---------|
| TAPSE, mm | 17.71 ± 5.26 | 17.18 ± 5.05 | 19.27 ± 5.63 | 0.045 |
| PASP, mm Hg | 40.85 ± 13.68 | 41.38 ± 14.47 | 38.52 ± 9.38 | 0.246 |
| TAPSE/PASP, mm/mmHg | 0.47 ± 0.22 | 0.47 ± 0.22 | - | - |
| RV dimension, mm | 34.52 ± 7.76 | 35.03 ± 8.11 | 33.99 ± 7.39 | 0.347 |
| Tricuspid regurgitation grade (<i>n</i> , %) | | | | |
| 0 | 49 (25.00) | 2 (2.00) | 47 (48.96) | < 0.001 |
| 1 | 118 (60.20) | 73 (73.00) | 45 (46.88) | |
| 2 | 29 (14.80) | 25 (25.00) | 4 (4.17) | |
| 3 | 0 (0) | 0 (0) | 0 (0) | |
| 4 | 0 (0) | 0 (0) | 0 (0) | |
| RV-FAC, % | 39.43 ± 11.52 | 41.15 ± 11.96 | 37.61 ± 10.81 | 0.034 |
| RV-MPI | 0.47 ± 0.21 | 0.48 ± 0.21 | 0.45 ± 0.20 | 0.246 |

6MWD, 6 min walk distance; ACE inhibitor, angiotensin-converting enzyme inhibitor; AF, atrial fibrillation; ARB, angiotensin II receptor blocker; BMI, body mass index; BNP, brain natriuretic peptide; CMP, cardiomyopathy; COPD, chronic obstructive pulmonary disease; GE, GREATER-EARTH; GFR, glomerular filtration rate; ICD, implantable cardioverter defibrillator; LAVi, left atrial volume index; LBBB, left bundle branch block; LE, LESSER-EARTH; LVEDVi, left ventricular end-diastolic volume index; LVEF, left ventricular ejection fraction; LVESVi, left ventricular end-systolic volume index; MI, myocardial infarction; MRA, mineralocorticoid receptor antagonist; NYHA class, New York Heart Association class; PAD, peripheral artery disease; PASP, pulmonary artery systolic pressure; PCI, percutaneous coronary intervention; RER, respiratory exchange ratio; RV, right ventricle; RV-FAC, right ventricular fractional area change; RV-MPI, right ventricular myocardial performance index; SD, standard deviation; TAPSE, tricuspid annular plane systolic excursion; TIA, transient ischaemic attack; VO₂, maximal oxygen uptake.

^aComparison between TAPSE–PASP population (n = 100) and others (n = 105).

tion may be confounded by its exquisite sensitivity to increased afterload and challenged by its complex geometry.¹⁷ Consequently, magnetic resonance imaging (MRI) remains the gold standard, but is not as widely available and cannot be used in all patients with an implantable cardioverter defibrillator (ICD). Accordingly, several echocardiographic parameters have been proposed as alternative to assess RV performance, each having its own limitation and none measuring intrinsic RV contractility^{6,17}; a reasonable correlation with RV ejection fraction \leq 45% by MRI has been demonstrated for RV-FAC (r = 0.63) and RV-MPI (r = 0.58)²¹ The same parameters were associated with exercise tolerance in our overall population (respectively for peak VO₂; and VE/VCO₂ and 6MWD).

The relationship between RV ejection fraction and peak VO₂ has been previously shown in small radionuclide studies (r = 0.27-0.7),²²⁻²⁴ but not on other CPET. Also, RV myocardial strain by echocardiography was correlated with peak $VO_2 < 14$ mL/kg/min (r = 0.70), together with PASP (r = -0.58) and RV-FAC (r = 0.24) but not TAPSE (TAPSE/ PASP was not reported) and only after preload augmentation,¹² which is a cumbersome manoeuvre not routinely performed clinically. Furthermore, RV strain is not available in all commercial packages and its reproducibility has been questioned.¹⁷ Lastly, Tajima and colleagues recently showed that the presence of RV dysfunction (binary defined, using at least two criteria among RV-FAC < 35%, TAPSE < 1.6 cm, or S/ < 10 cm/s) reduced the peak VO₂ by 9% in patients with ischaemic heart disease without HF.²⁵ Unfortunately, many important parameters such as TAPSE/PASP, MR, and/or TR severity were not reported, also their analysis was limited to peak VO2, and the PASP value

in their cohort was within normal range, reflecting the difference in study population.

The relationship between RV function and exercise capacity is not fully understood. First, impaired RV contractility and lower stroke volume lead to reduced LV preload. Also, an enlarged RV could compress the LV, disturbs relaxation, and limits its filling due to ventricular interdependence. In addition, RV dysfunction may be secondary to LV failure as a consequence of elevated pulmonary vascular resistance, reflecting the importance of arterio-ventricular interaction and arterial load on cardiac performance, described in patients with PH.²⁶ Indeed, higher PASP was present among patients with exercise intolerance in our study. These abnormalities could be present at rest and aggravated during exercise or appear only during exercise, a concept called reactive PH caused by exercise.²⁷

The prognostic value of tricuspid annular plane systolic excursion/pulmonary artery systolic pressure

Because the RV is functionally coupled to the pulmonary circulation, the TAPSE/PASP ratio reflects both RV function and the presence/severity of PH, with good correlation with invasive haemodynamics.⁷ We found that, when available, TAPSE/ PASP is the most powerful and only echocardiographic predictor of peak VO2 and 6MWD, or in combination with RV-MPI (which encompasses both RV systolic and diastolic functions), for prediction of ventilatory efficiency (VE/ VCO₂). A lower TAPSE/PASP was predictive of worse outcome among patients with HFpEF and PH,^{7,28} HFrEF,^{6,29} or those

| | | 0 | rerall popula | tion $(n = 2)$ | (05) | | | | TAPSE-F | ASP popul | ation $(n =$ | 100) | |
|---|--|---|---|--|--|---|---|--|---|---|--|--|---|
| | | Univariate and | alysis | | Multivari | ate analysis | | Univari | iate analy | sis | | Multivariate a | inalysis |
| Study factors | β (95% (| CI) | Ρ | R ² | β (95% CI) | Р | | β (95% Cl) | | Р | R ² | β (95% Cl) | Ρ |
| Age | -0.09 (-0.16, | ; -0.03) | 0.004 | 0.040 | -0.06 | 0.06 | 64 —(| 0.10 (-0.20; -0.0 | 1) 0. | 044 0 | 0.041 | | 1 |
| Female | -1.09 (-2.50, | ; 0.32) | 0.130 | 0.011 | -1.86 -1.86 | 0.0 | 11 –(|).47 (-2.48; 1.54) | .0 | 644 (| 0.002 | · | |
| COPD PAD | -0.50 (-2.21, 1.22 (-2.23; | ; 1.21) ; 4.68) | 0.562 0.486 | 0.002 0.002 | | (<u>†</u> | | .66 (-4.09; 0.77) .16 (-5.41; 7.73) | 00 | 178 0 727 0 | 0.019 0.001 | | 1 1 |
| Resting EKG | | | | | | | | | | | | | |
| QRS duration (mm) |).0- (| <u>)3 (–0.05; –C</u> | .01) | 0.012 | 0.031 | | | -0.03 (-0.06 | 5; 0.01) | 0.06 | 52 | 0.035 - | |
| Medication | | | | | | | | | | | | | |
| Beta-blockers | -2.13 (-1 | 5.85; 1.59) | 0.25 | 6 | 0.006 | | | -4.00 (-8.62; 0 | .63) | 060.0 | 0 | - 029 | |
| Right ventricular på | arameters | | | | | | | | | | | | |
| TAPSE (mm) PASP (mmHg) TAPSE/PASP (mm/r | (gHmr | 0.21 (0.07; -0.09 (-0.1 6.64 (2.70; | : 0.36) 5; -0.03) 10.59) | 0.004 0.002 0.001 | 0.061 0.077 0.102 | | | 0.19 (0.01; 0. -0.10 (-0.16; 6.64 (2.70; 10 | .37) -0.03) 0.59) | 0.043 0.002 0.001 | 0.041 0.090 0.102 | - - 6.78 | - - <0.001 |
| RV dimension (mm Tricuspid regurgita RV-FAC (%) | ı) tion grade | -0.09 (-0.1 -1.49 (-2.4 8.85 (3.25; | 7; -0.01) 7; -0.50) 14.44) | 0.039 0.003 0.002 | 0.022 0.044 0.049 | 7.50 | - - 0.012 | -0.11 (-0.23; -1.97 (-3.91; 10.54 (3.12; 11 | -0.01) -0.04) 7.96) | 0.047 0.046 0.006 | 0.040 0.040 0.077 | (2.89; 10.68) - - | |
| RV-MPI | | -4.81 (-7.7 | 9; –1.83) | 0.002 | 0.052 | ,00; 13.33) - | ı | -3.99 (-8.29; | -0.30) | 0.068 | 0.035 | ŗ | ı |
| Left cavity paramet | ers | | | | | | | | | | | | |
| LVEF mean (%) Mitral regurgitatiou LVEDVi (mL) LVESVi (mL) LAVi (mL/m ²) | n grade | 0.11 (0.03; 1 -1.24 (-2.01 -0.01 (-0.03 -0.02 (-0.03 -0.08 (-0.13 | 0.20) ; -0.46) ; 0.01) ; 0.01) ; -0.04) | 0.006 0.002 0.186 0.100 <0.001 | 0.037 0.048 0.009 0.014 0.066 | - - - -0.(0 | 06 - 0.01) | 0.014 | .09 (-0.0 .88 (-1.9 .01 (-0.0 .02 (-0.0 .08 (-0.1 | 3; 0.21) 8; 0.23) 4. 0.01) 5; 0.01) 4; -0.02) | 0.144 0.119 0.288 0.287 0.247 0.012 | 0.022 0.025 0.012 0.014 0.063 | |
| Cl, confidence inte fraction; LVESVi, le tional area change; icant <i>P</i> -values by m | rval; COPD, ch ft ventricular ∈ ; RV-MPI, right ultivariate ana | ronic obstruc and-systolic v ventricular m ılysis. | tive pulmon olume index iyocardial pe | ary disease ; PAD, periș :rformance | ; LAVi, left atr oheral artery c index; TAPSE, | ial volume in lisease; PASP tricuspid anı | idex; LVED' , pulmona nular plane | <i>V</i> i, left ventricular ry artery systolic p systolic excursion | end-diast ressure; R 1; VO ₂ , ma | olic volumo V, right ve aximal oxyg | e index; LV ntricle; RV- Jen uptake. | EF, left ventricul FAC, right ventri Bold highlights | ar ejection cular frac- the signif- |

Table 2 Primary outcome—neak VO₂ (ml /kg/min)

456

ESC Heart Failure 2022; 9: 450–464 DOI: 10.1002/ehf2.13726



Figure 2 Relationship between TAPSE/PASP ratio and peak VO₂ in HFrEF population (n = 100). $R^2 = 0.10$. PASP, pulmonary artery systolic pressure; TAPSE, tricuspid annular plane systolic excursion.

Figure 3 ROC curve depicting the sensitivity and specificity of TAPSE/ PASP to predict a peak VO₂ result of \leq 14 mL/kg/min. The AUC is 0.66 (P < 0.01), and the sensitivity and specificity are 0.63 and 0.62, respectively. The optimal threshold for a peak VO₂ result of \leq 14 mL/kg/min is TAPSE/PASP of 0.45. AUC, area under the curve; PASP, pulmonary artery systolic pressure; ROC, receiver operating characteristic; TAPSE, tricuspid annular plane systolic excursion. **Figure 4** ROC curve depicting the sensitivity and specificity of TAPSE/ PASP to predict a peak $VO_2 \le 12$ mL/kg/min. The AUC is 0.74 (P < 0.01), and the sensitivity and specificity are 0.91 and 0.50, respectively. The optimal threshold for a peak $VO_2 \le 12$ mL/kg/min is TAPSE/ PASP of 0.39. AUC, area under the curve; PASP, pulmonary artery systolic pressure; ROC, receiver operating characteristic; TAPSE, tricuspid annular plane systolic excursion.



| | | Overall po | pulation (/ | $\eta = 205$ | | | TAPSE | -PASP popu | lation (<i>n</i> = | = 100) | |
|---|---|---|---|---|--|--|--|--|---|---|-----------------------------------|
| | Univariate | e analysis | | | Multivariate ana | lysis | Univariate an | alysis | | Multivariate an | alysis |
| Study factors | eta (95% CI) | Р | R ² | | β (95% Cl) | ٩ | β (95% Cl) | Р | R ² | eta (95% CI) | ٩ |
| Age Female | 0.24 (0.04; 0.43) -5.61 (-9.51; 1.71) | 0.019 0.005 | 0.03 | 4 00 | - -4.95 | _ 0.007 | 0.13 (-0.20; 0.45) -8.36 (-14.37; -2.35) | 0.434 0.007 | 0.007 0.083 | 1 1 | |
| BMI (kg/m²) COPD PAD | -0.22 (-0.52; 0.08) -0.60 (-5.57; -4.38) -3.15 (-14.91; 8.61) | 0.153 0.813 0.598 | 0.01 <0.00 0.00 | 5 - 3 ⁻ | (66.1 - ;20.0 - - | | -0.23 (-0.74; 0.29) 1.13 (-6.77; 9.02) 1.65 (-25.58; 28.88) | 0.380 0.778 0.905 | 0.009 0.001 <0.001 | | |
| Resting EKG | | | | | | | | | | | |
| QRS duration (mm | 0.06 (0.01; 0 | .12) | 0.037 | | .027 - | | 0.07 (-0.03; 0.17) | 0.17 | 2 | 0.022 - | ' |
| Medication | | | | | | | | | | | |
| Beta-blockers | -0.01 (-11.78; 11. | 76) | 0.999 | | .001 - | | -2.48 (-18.38; 13.43) | 0.7 | 58 | 0.001 - | ' |
| Right ventricular p | arameters | | | | | | | | | | |
| TAPSE (mm) PASP (mmHg) TAPSE/PASP | -0.89 (-1.33; -(0.33 (0.16; 0.45 -27.29 (-38.82; - |).45) · · · · · · · · · · · · · · · · · · · | <0.001 | 0.124 0.137 0.207 | | | -0.97 (-1.52; -0.43) 0.36 (0.18; 0.54) -27.29 (-38.82; -15.75) | 0.001 <0.001 <0.001 | 0.128 0.154 0.207 | - - -18.03 - 20.02 | - - 0.004 |
| RV dimension (mr Tricuspid | 1) 0.33 (0.10; 0.57 5.08 (2.40; 7.76 | | 0.006 <0.001 | 0.048 0.083 | | | 0.53 (0.18; 0.87) 8.65 (2.96; 14.34) | 0.003 | 0.099 0.097 | (40.0-);61.006-) - - | |
| regurgitation grad RV-FAC (%) RV-MPI | e | -9.93) .46) | 0.001 <0.001 | 0.064 0.154 | 21.85 (13.73; 29.98) | - <0.001 | –38.94 (–61.01; –16.87) 26.16 (13.43; 38.89) | 0.001 <0.001 | 0.127 0.169 | _ 18.54 (5.48; 31.60) | - 0.006 |
| Left cavity parame | ters | | | | | | | | | | |
| LVEF mean (%) Mitral regurgitatio LVEDVi (mL) LVESVi (mL) LAVi (mL/m ²) | -0.32 (1.60 (0.07 (0.09 (0.19 () | -0.58; -0.(-0.66; 3.86 0.01; 0.13) 0.02; 0.15) 0.06; 0.32) | 22 | 0.013 0.163 0.016 0.007 0.007 | 0.038 0.012 0.037 0.037 0.047 0.051 | | 0.44 (-0.84; -0. - 0.60 (-2.86; 4.0) - 0.08 (-0.01; 0.1) - 0.10 (0.01; 0.20) - 0.21 (0.02; 0.39) | 05) 6) 6) | 0.029 0.731 0.088 0.088 0.041 | 0.055 0.001 0.035 0.035 0.049 | |
| BMI, body mass in ventricular ejectior ventricular fraction production slope. I | dex; Cl, confidence inter 1 fraction; LVESVi, left ve 1 area change; RV-MPI, Bold highlights the signi | val; COPD, c ntricular en right ventric ificant <i>P</i> -val | hronic obs d-systolic cular myoc ues by mu | structive p volume ir ardial pe | oulmonary diseas. Idex; PAD, periph formance index; analysis. | e; LAVi, left eral artery d TAPSE, tricu | atrial volume index; LVEDVi, le isease; PASP, pulmonary arter spid annular plane systolic exc | eft ventricul y systolic pre :ursion; VE/V | ar end-dias essure; RV, 'CO ₂ , minu | tolic volume index; L' right ventricle; RV-FA te ventilation/carbon | VEF, left \C, right dioxide |

Table 3 Secondary outcome—VE/VCO, slope

| | Ove | rall popu | lation (<i>n</i> = | 205) | | TAPSE-P, | ASP popu | lation (<i>n</i> = | = 100) | |
|--|--|--------------------------------------|--|---|--|--|--|---|--|------------------------------------|
| | Univariate and | alysis | | Multivariate and | alysis | Univariate ana | Ilysis | | Multivariate ar | alysis |
| Study factors | β (95% Cl) | Р | R ² | eta (95% Cl) | ٩ | β (95% Cl) | Р | R^{2} | β (95% CI) | ٩ |
| Age Female BMI (kg/m ²) | -0.23 (-1.33; 0.87) -18.30 (-42.47; 5.88) -2.92 (-4.69; -1.16) | 0.682 0.137 0.001 | 0.001 0.011 0.052 | - - - 2.99 / _ 1.80 | - - 0.001 | -0.07 (-1.83; 1.70) -27.12 (-61.21; 6.98) -3.26 (-5.74; -0.78) | 0.938 0.118 0.011 | <0.001 0.026 0.069 | - - - 3.69 - 6.16 1.23) | - - 0.004 |
| COPD PAD | -19.82 (-48.59; 8.96) -49.21 (-111.73; 13.32) | 0.176 0.122 | 0.009 0.012 | (| - 0.088 | -8.26 (-49.35; 32.84) -79.03 (-232.82; 74.76) | 0.691 0.310 | 0.002 0.011 | | |
| Resting EKG QRS duration (mm) Modication | 0.24 (-0.11; 0.58) | 0.181 | 600.0 | 0.36 (-0.01; 0.72) | 0.057 | 0.24 (-0.28; 0.75) | 0.360 | 00.0 | | ı |
| Beta-blockers | -3.08 (-79.73; 73.56) | 0.937 | <0.001 | ı | ı | -26.85 (-136.64; 82.94) | 0.628 | 0.003 | | ı |
| Right ventricular parameters TAPSE (mm) PASP (mmHg) | 0.67 (-1.80; 3.15) -0.63 (-1.61; 0.35) 50.94 (-22.23; 124.12) | 0.592 0.205 0.170 | 0.002 0.014 0.020 | | | 0.79 (-2.37; 3.96) -0.62 (-1.71; 0.47) 50.94 (-22.23; 124.12) | 0.620 0.259 0.170 | 0.003 0.014 0.020 | - - 72.39 | - - 0.040 |
| TAPSE/PASP (mm/mmHg) RV dimension (mm) Tricusoid recurcitation grade | -0.40 (-1.81; 1.01) -8 13 (-25 86 [.] 9 61) | 0.575 | 0.002 | | | 0.50 (-1.45; 2.45) 1 17 (-37 48 [,] 34 77) | 0.613 0.948 | 0.003 | (3.22; 141. 57) - - | |
| RV-FAC (%) | 82.18 (-17.00; 181.36) -48.98 (-103.05; 5.09) | 0.104 | 0.014 | -69.29 | - 0.014 | 40.16 (-96.96; 177.28) -39.41 (-116.49; 37.67) | 0.562 | 0.004 | | |
| RV-MPI Left cavity parameters | 0 75 (_0 67 - 2 16) | 00 7 99 | 0 006 | (-124.63; -13.95) - | | 0 78 (-1 30: 2 86) | 0 458 | 0.006 | | |
| Mitral regurgitation grade | 4.99 (-8.56; 18.54) | 0.469 | 0.003 | ı | ı | 11.83 (-7.44; 31.11) | 0.226 | 0.016 | ı | ı |
| LVESVI (ml.) LVESVI (ml.) LAVI (ml/m ²) | 0.09 (-0.27; 0.44) 0.09 (-0.27; 0.44) -0.42 (-1.19; 0.35) | 0.400 0.638 0.283 | 0.001 0.006 0.006 | | | 0.02 (-0.47; 0.51) 0.02 (-0.47; 0.51) -0.28 (-1.35; 0.79) | 0.941 0.606 | <pre>0.001 <0.003 0.003</pre> | | |
| BMI, body mass index; CI, confide ventricular ejection fraction; LVES ventricular fractional area change multivariate analysis. | ance interval; COPD, chronic Ví, left ventricular end-systo s; RV-MPI, right ventricular m | obstructi ilic volum nyocardia | ve pulmon; e index; PA l performaı | ary disease; LAVi, lef D, peripheral artery ace index; TAPSE, tri | t atrial voli disease; P/ cuspid anı | ume index; LVEDVi, left vent S.P., pulmonary artery systol uular plane systolic excursio | ricular en ic pressur n. Bold hi | d-diastolic e; RV, righ ghlights th | : volume index; L' t ventricle; RV-FA ne significant <i>P-</i> v | /EF, left .C, right alues by |

 Table 4
 Secondary outcome—6 min walk distance (m)

ESC Heart Failure 2022; **9**: 450–464 DOI: 10.1002/ehf2.13726

| | Ó | erall popu | lation (<i>n</i> = | : 205) | | TAP | SE-PASP p | opulation (| (<i>n</i> = 100) | |
|---|--|--|---|--|--------------------------------------|--|---|--|--|---|
| | Univariate | analysis | | Multivariate an | alysis | Univariate a | analysis | | Multivariate a | inalysis |
| Study factors | β (95% Cl) | Ρ | R ² | eta (95% Cl) | ٩ | eta (95% CI) | Ρ | R ² | eta (95% Cl) | ٩ |
| Age | -0.06 (-0.15; 0.03) | 0.180 | 0.009 | -0.10 | 0.033 | -0.04 (-0.19; 0.11) | 0.631 | 0.002 | | |
| Female | -1.35 (-3.27; 0.57) | 0.167 | 0.009 | (-0.13, -0.01) -0.22 (-0.37: -0.07) | 0.004 | -1.22 (-4.18; 1.74) | 0.416 | 0.007 | ı | ı |
| BMI (kg/m ²) | -0.20 (-0.34; 0.06) | 0.006 | 0.038 | - | ı | -0.23 (-0.45; 0.01) | 0.051 | 0.039 | -0.26 | 0.024 |
| COPD PAD | -0.46 (-2.79; 1.86) -2.69 (-7.39; 2.00) | 0.697 0.259 | 0.001 0.006 | | | 0.37 (-3.26; 3.99) -5.28 (-14.94; 4.38) | 0.842 0.281 | <0.001 0.012 | (+0.0-) | |
| Resting EKG QRS duration (mm) | -0.01 (-0.03; 0.02) | 0.841 | <0.001 | | | -0.01 (-0.05; 0.04) | 0.672 | 0.002 | | |
| Beta-blockers | -0.39 (-5.46; 4.68) | 0.880 | <0.001 | ı | , | -0.37 (-7.32; 6.57) | 0.915 | <0.001 | 1 | ı |
| kight ventricular parameters TAPSE (mm) | 0.07 (-0.14; 0.28) | 0.523 | 0.003 | ı | · | -0.01 (-0.28; 0.26) | 0.937 | <0.001 | ı | |
| PASP (mmHg) | -0.05 (-0.14; 0.04) | 0.248 | 0.011 | ı | · | -0.07 (-0.16; 0.02) | 0.138 | 0.022 | | ı |
| TAPSE/PASP (mm/mmHg) | 3.69 (-2.42; 9.81) | 0.234 | 0.015 | I | | 3.69 (-2.42; 9.81) | 0.234 | 0.015 | ı | ı |
| | 0.06 (-1.35; 1.47) | 0.934 | <0.004 | | | -2.78 (-5.64; 0.09) | 0.058 | 0.036 | -3.17 | 0.031 |
| Tricuspid regurgitation grade | | | | | | | | | (-6.05; -0.29) | |
| RV-FAC (%) | 3.58 (-4.16; 11.32) | 0.363 | 0.004 | ı | | 3.41 (-8.14; 14.96) | 0.560 | 0.004 | | |
| RV-MPI | -2.09 (-6.48; 2.30) | 0.349 | 0.005 | · | ı | -1.16 (-7.92; 5.59) | 0.733 | 0.001 | | ı |
| Left cavity parameters LVEF mean (%) | -0.02 (-0.14; 0.09) | 0.686 | 0.001 | | | -0.03 (-0.21; 0.14) | 0.700 | 0.002 | | ı |
| Mitral regurgitation grade | 0.33 (-0.74; 1.41) | 0.541 | 0.002 | | , | 0.24 (-1.42; 1.89) | 0.777 | 0.001 | | ı |
| LVEDVi (mL) | 0.01 (-0.02; 0.04) | 0.402 | 0.004 | ı | ı | 0.01 (-0.04; 0.04) | 0.958 | <0.001 | ı | ı |
| LVESVi (mL) | 0.01 (-0.02; 0.04) | 0.443 | 0.003 | · | | 0.01 (-0.04; 0.05) | 0.970 | <0.001 | | |
| LAVi (mL/m ²) | 0.04 (-0.03; 0.10) | 0.259 | 0.006 | | , | 0.01 (-0.09; 0.09) | 0.957 | <0.001 | | |
| BMI, body mass index; Cl, confiden- ventricular ejection fraction; LVESVi ventricular fractional area change; F multivariate analysis. | ce interval; COPD, chroni i, left ventricular end-syst RV-MPI, right ventricular | c obstructi olic volum myocardia | ve pulmon e index; P/ Il performa | ary disease; LAVi, I AD, peripheral arter ince index; TAPSE, | eft atrial y disease tricuspid | volume index; LVEDVi, lef : PASP, pulmonary artery annular plane systolic ex | t ventricul systolic pr cursion. Bc | ar end-dias essure; RV, old highligh | tolic volume inde) right ventricle; RV its the significant | ;; LVEF, left -FAC, right ⁹ -values by |

Table 5 Secondary outcome—submaximal (75%) exercise duration (min)

with severe RV dysfunction,³⁰ indicating worse systemic and pulmonary haemodynamics and lack of RV contractile reserve, which correlated with lower exercise capacity, functional class, and ventilatory inefficiency.

In contrast, a small study of 67 patients reported that only sex, RV strain, and S⁷ were associated with peak VO₂ in NYHA III patients, but not PASP nor TAPSE/PASP³¹; it is uncertain whether these parameters were available for all patients, suggesting that they were potentially underpowered. While we did not measure S⁷, our study was three times larger and evaluated not only peak VO₂ but also a reliable parameter for ventilatory inefficiency (VE/VCO₂), allowing to expand the predictive value of TAPSE/PASP to a population with milder symptoms and a wider range of RV function.

A good correlation of selected echocardiographic variables, including TAPSE/PASP with peak VO₂ (r = 0.48) and VE/VCO₂ (r = -0.59) performed on a cycle ergometer, has been shown in 31 patients with wide QRS undergoing CRT.³² Using conventional treadmill stress testing, we revealed that this relationship was independent of QRS width and emphasized the value of other RV parameters when TAPSE/PASP is not available (>50% of our cohort). Likewise, Teramoto and colleagues showed that a lower RV-FAC/PASP ratio, an unusual surrogate marker of RV-PA coupling, was associated with a worse peak VO₂ and higher VE/VCO₂ slope; furthermore, each 0.1%/mmHg reduction in RV-FAC/PASP increased by 4% of the risk of death, LV assist device implantation, transplantation, or HF hospitalization at 2 years.⁸ We chose the TAPSE/PASP ratio because of its well-established good correlation with invasive haemodynamics.⁷

Lastly, Guazzi *et al.* demonstrated the strong predictive value of TAPSE/PASP on cardiac mortality, using a threshold of 0.36 mm/mmHg.⁶ We herein expand on their findings by demonstrating that a threshold \leq 0.45 mm/mmHg predicts a peak VO₂ \leq 14 mL/kg/min, a common cut-off for consideration of advanced HF therapies. This threshold could become a trigger for consideration of more specific HF evaluation of advanced HF therapies. Furthermore, a more restrictive cut-off of peak VO₂ \leq 12 mL/kg/min³³ was even more sensitive, with a TAPSE/PASP of 0.39 having a sensitivity of 0.92 and specificity of 0.50 and AUC of 0.74 (P < 0.01). Therefore, routine reporting of the TAPSE/PASP ratio would be a useful screening tool to help identify HFrEF patients with severely impaired exercise tolerance and triage them to specific CPET as needed.

Impact of tricuspid regurgitation on exercise tolerance

Recent publications showed that the impact of moderate/severe TR on mortality was independent of RV function,³⁴ even when severe.³⁰ In EARTH, TR was not associated with CPET results, except in the TAPSE/PASP population

for SED, which reflects the level of daily activities. Potential explanations for this apparent discrepancy may be related to differences in study populations. While Padang et al. included exclusively patients with severe RV dysfunction,³⁰ we studied HFrEF patients with a sufficiently good prognosis to undergo ICD implantation, a wide spectrum of RV function, mostly exempt of significant TR ($\geq 2/4$, 14.8%). Furthermore, our patients underwent CRT implantation, which has been convincingly shown to positively impact outcomes. Lastly, because EARTH enrolled patients undergoing defibrillator implantation to prevent arrhythmic death, they had to have a good life expectancy otherwise; we may therefore have selected patients with less co-morbidities, including chronic kidney disease; in fact, only a minority of patients had chronic kidney disease, with only 18% of the patients included in our analysis having an estimated glomerular filtration rate below 50%. Accordingly, the 1 year mortality in the cohort studied by Padang et al. was 40%, while it was below 10% for death/cardiac transplantation in EARTH.^{13,14}

Left-side parameters and exercise tolerance

Left atrial volume index was the only left-side parameters associated with CPET (peak VO₂ in the overall population). The absence of relationship with LVEF has been previously described,⁴ but recent reports have suggested the important role of LV diastolic dysfunction³⁵ and/or MR³⁶ on exercise capacity. While an association between MR and peak VO₂ was present in our univariate model, MR haemodynamic repercussion and subsequent RV dysfunction and/or PH were stronger predictors of CPET in our cohort. Also, LAVi but not diastolic function grade was associated with lower peak VO₂, but lost significance in presence of TAPSE/PASP. To increase the predictive value of increased filling pressures, LAVi and PASP are now integrated in the evaluation of diastolic function.³⁷

Relationship between body mass index and cardio-respiratory capacity

The inverse relationship between BMI and mortality, called the 'obesity paradox', was first observed in 2001.³⁸ We found a mild but significant negative association between BMI and exercise capacity portrayed by 6MWD and SED. This apparent inconsistency with the obesity paradox has been previously reported by McAuley *et al.*, who showed that exercise capacity (per 1 MET) was inversely associated, but BMI was not associated, with all-cause mortality, upholding the presence of an exercise capacity-obesity paradox dichotomy.³⁹

Study limitations

This study has some limitations. First, only half of the patients enrolled in EARTH had both TAPSE and/or PASP available at baseline, which has reduced the power of this study. Second, RV strain and S/ were not available to compare their prognostic values with the other RV echocardiographic parameters and TAPSE/PASP ratio. Third, even if the association between TAPSE/PASP and peak VO₂ was statistically significant, its linear correlation coefficient was low ($R^2 = 0.10$). Fourth, our cohort consisted of relatively healthy ambulatory HFrEF patients undergoing ICD implantation, with low incidence of valvular disease (MR and TR) and a wide spectrum of RV function. Therefore, the results cannot be extrapolated to patients with more symptomatic HF patients such as NYHA IV, as patients with inability to perform the exercise treadmill test were excluded. Fifth, TAPSE is preload dependent, so its value and the predictive significance of the ratio cannot be applied in an acute setting. Lastly, as there were few events, we could not relate our findings to clinical outcomes.

Conclusions

In HFrEF patients, the presence of RV dysfunction (assessed using RV-MPI and/or RV-FAC) is closely associated with exercise tolerance tests. When available (<50% of our cohort), the TAPSE/PASP ratio, a surrogate for RV-PA coupling, is strongly associated with all CPET (peak VO₂, VE/VCO₂ slope, and 6MWD) and can help risk-stratify patients. While CPET remains the gold standard to measure functional impairment and prognosis in HFrEF patients, echocardiography is non-

invasive, inexpensive, and widely available and can therefore be a helpful screening tool to identify patients with reduced exercise capacity and potentially triage them to formal peak VO_2 and/or evaluation for advanced HF therapies.

Conflict of interest

Valéry Legris, M.D.: None declared.

Bernard Thibault, M.D.: Abbott Medical.

Jocelyn Dupuis, M.D.: None declared.

Michel White, M.D.: Arca Biopharma USA, Bayer, Jenssen, Novartis, Pfizer, BMS, Servier, BI, Orizon.

Anita W. Asgar, M.D., M.Sc.: Abbott Medical.

Annik Fortier, M.Sc.: None declared.

Celine Pitre, S.N.: None declared.

Nadia Bouabdallaoui, M.D.: AstraZeneca.

Christine Henri, M.D.: None declared.

Eileen O'Meara, M.D.: Norvartis, AZ, BI, Bayer, Astra Zeneca, Merck, BI, Abbott Medical, Corvia, Edwards Medical.

Anique Ducharme, M.D., M.Sc.: Abbott Medical, Akcea, Alnylam, Astra-Zeneca, Novartis, Pfizer, Servier, Corvia.

Funding

The EARTH study was registered at National Institutes of Health (NIH) U.S. National Library of Medicine http://www. clinicaltrials.gov (NCT00901212) and supported by the Canadian Institutes for Health Research (67914) in partnership with St. Jude Canada.

References

- Keteyian SJ, Patel M, Kraus WE, Brawner CA, McConnell TR, Pina IL, Leifer ES, Fleg JL, Blackburn G, Fonarow GC, Chase PJ, Piner L, Vest M, O'Connor CM, Ehrman JK, Walsh MN, Ewald G, Bensimhon D, Russell SD, Investigators H-A. Variables measured during cardiopulmonary exercise testing as predictors of mortality in chronic systolic heart failure. J Am Coll Cardiol 2016; 67: 780–789.
- Del Buono MG, Arena R, Borlaug BA, Carbone S, Canada JM, Kirkman DL, Garten R, Rodriguez-Miguelez P, Guazzi M, Lavie CJ, Abbate A. Exercise intolerance in patients with heart failure: JACC state-of-the-art review. J Am Coll Cardiol 2019; 73: 2209–2225.
- Mehra MR, Canter CE, Hannan MM, Semigran MJ, Uber PA, Baran DA, Danziger-Isakov L, Kirklin JK, Kirk R, Kushwaha SS, Lund LH, Potena L, Ross

HJ, Taylor DO, Verschuuren EA, Zuckermann A, International Society for Heart Lung Transplantation Infectious Diseases C, International Society for Heart Lung Transplantation Pediatric Transplantation C, International Society for Heart Lung Transplantation Heart F, Transplantation C. The 2016 International Society for Heart Lung Transplantation listing criteria for heart transplantation: a 10-year update. J Heart Lung Transplant 2016; **35**: 1–23.

- Franciosa JA, Park M, Levine TB. Lack of correlation between exercise capacity and indexes of resting left ventricular performance in heart failure. *Am J Cardiol* 1981; **47**: 33–39.
- Ghio S, Guazzi M, Scardovi AB, Klersy C, Clemenza F, Carluccio E, Temporelli PL, Rossi A, Faggiano P, Traversi E, Vriz O, Dini FL. Different correlates but similar prognostic implications for right ventric-

ular dysfunction in heart failure patients with reduced or preserved ejection fraction. *Eur J Heart Fail* 2017; **19**: 873–879.

- Guazzi M, Bandera F, Pelissero G, Castelvecchio S, Menicanti L, Ghio S, Temporelli PL, Arena R. Tricuspid annular plane systolic excursion and pulmonary arterial systolic pressure relationship in heart failure: an index of right ventricular contractile function and prognosis. Am J Physiol Heart Circ Physiol 2013; 305: H1373–H1381.
- Guazzi M, Dixon D, Labate V, Beussink-Nelson L, Bandera F, Cuttica MJ, Shah SJ. RV contractile function and its coupling to pulmonary circulation in heart failure with preserved ejection fraction: stratification of clinical phenotypes and outcomes. *JACC Cardiovasc Imaging* 2017; 10: 1211–1221.
- Teramoto K, Sengelov M, West E, Santos M, Nadruz W, Skali H, Shah AM. Associ-

ation of pulmonary hypertension and right ventricular function with exercise capacity in heart failure. *ESC Heart Fail* 2020; 7: 1635–1644.

- Meyer P, Filippatos GS, Ahmed MI, Iskandrian AE, Bittner V, Perry GJ, White M, Aban IB, Mujib M, Dell'Italia LJ, Ahmed A. Effects of right ventricular ejection fraction on outcomes in chronic systolic heart failure. *Circulation* 2010; 121: 252–258.
- Sallach JA, Tang WH, Borowski AG, Tong W, Porter T, Martin MG, Jasper SE, Shrestha K, Troughton RW, Klein AL. Right atrial volume index in chronic systolic heart failure and prognosis. *JACC Cardiovasc Imaging* 2009; 2: 527–534.
- Lewis GD, Shah RV, Pappagianopolas PP, Systrom DM, Semigran MJ. Determinants of ventilatory efficiency in heart failure: the role of right ventricular performance and pulmonary vascular tone. *Circ Heart Fail* 2008; 1: 227–233.
- 12. Kusunose K, Yamada H, Nishio S, Ishii A, Hirata Y, Seno H, Saijo Y, Ise T, Yamaguchi K, Yagi S, Soeki T, Wakatsuki T, Sata M. RV myocardial strain during pre-load augmentation is associated with exercise capacity in patients with chronic HF. JACC Cardiovasc Imaging 2017; 10: 1240–1249.
- 13. Thibault B, Harel F, Ducharme A, White M, Ellenbogen KA, Frasure-Smith N, Roy D, Philippon F, Dorian P, Talajic M, Dubuc M, Guerra PG, Macle L, Rivard L, Andrade J, Khairy P, Investigators L-E. Cardiac resynchronization therapy in patients with heart failure and a QRS complex <120 milliseconds: the Evaluation of Resynchronization Therapy for Heart Failure (LESSER-EARTH) trial. *Circulation* 2013; **127**: 873–881.
- 14. Thibault B, Harel F, Ducharme A, White M, Frasure-Smith N, Roy D, Philippon F, Dorian P, Talajic M, Dubuc M, Gagne P, Guerra PG, Macle L, Rivard L, Khairy P. Evaluation of resynchronization therapy for heart failure in patients with a QRS duration greater than 120 ms (GREATER-EARTH) trial: rationale, design, and baseline characteristics. Can J Cardiol 2011; 27: 779–786.
- 15. Skaf S, Thibault B, Khairy P, O'Meara E, Fortier A, Vakulenko HV, Pitre C, White M, Ducharme A, Investigators E. Impact of left ventricular vs biventricular pacing on reverse remodelling: insights from the Evaluation of Resynchronization Therapy for Heart Failure (EARTH) trial. *Can J Cardiol* 2017; **33**: 1274–1282.
- 16. Lang RM, Badano LP, Mor-Avi V, Afilalo J, Armstrong A, Ernande L, Flachskampf FA, Foster E, Goldstein SA, Kuznetsova T, Lancellotti P, Muraru D, Picard MH, Rietzschel ER, Rudski L, Spencer KT, Tsang W, Voigt JU. Recommendations for cardiac chamber quantification by echocardiography in adults: an update from the American Society of Echocardiography and the European Association

of Cardiovascular Imaging. J Am Soc Echocardiogr 2015; **28**: 1–39 e14.

- 17. Rudski LG, Lai WW, Afilalo J, Hua L, Handschumacher MD, Chandrasekaran K, Solomon SD, Louie EK, Schiller NB. Guidelines for the echocardiographic assessment of the right heart in adults: a report from the American Society of Echocardiography endorsed by the European Association of Echocardiography, a registered branch of the European Society of Cardiology, and the Canadian Society of Echocardiography. J Am Soc Echocardiogr 2010; 23: 685–713 quiz 86-8.
- Myers J, Buchanan N, Walsh D, Kraemer M, McAuley P, Hamilton-Wessler M, Froelicher VF. Comparison of the ramp versus standard exercise protocols. J Am Coll Cardiol 1991; 17: 1334–1342.
- 19. Blanchet M, Sheppard R, Racine N, Ducharme A, Curnier D, Tardif JC, Sirois P, Lamoureux MC, De CJ, White M. Effects of angiotensin-converting enzyme inhibitor plus irbesartan on maximal and submaximal exercise capacity and neurohumoral activation in patients with congestive heart failure. *Am Heart* J 2005; 149: 938–937.
- Guyatt GH, Thompson PJ, Berman LB, Sullivan MJ, Townsend M, Jones NL, Pugsley SO. How should we measure function in patients with chronic heart and lung disease? *J Chronic Dis* 1985; 38: 517–524.
- Anderson K, Prylutska H, Ducharme A, Finnerty V, Gregoire J, Marcotte F, Harel F. Evaluation of the right ventricle: comparison of gated blood-pool single photon electron computed tomography and echocardiography with cardiac magnetic resonance. *Int J Cardiol* 2014; **171**: 1–8.
- de Groote P, Millaire A, Foucher-Hossein C, Nugue O, Marchandise X, Ducloux G, Lablanche JM. Right ventricular ejection fraction is an independent predictor of survival in patients with moderate heart failure. J Am Coll Cardiol 1998; 32: 948–954.
- Di Salvo TG, Mathier M, Semigran MJ, Dec GW. Preserved right ventricular ejection fraction predicts exercise capacity and survival in advanced heart failure. J Am Coll Cardiol 1995; 25: 1143–1153.
- Baker BJ, Wilen MM, Boyd CM, Dinh H, Franciosa JA. Relation of right ventricular ejection fraction to exercise capacity in chronic left ventricular failure. *Am J Cardiol* 1984; 54: 596–599.
- 25. Tajima M, Nakayama A, Uewaki R, Mahara K, Isobe M, Nagayama M. Right ventricular dysfunction is associated with exercise intolerance and poor prognosis in ischemic heart disease. *Heart Vessels* 2019; 34: 385–392.
- Naeije R. Assessment of right ventricular function in pulmonary hypertension. *Curr Hypertens Rep* 2015; 17: 35.
- Lim HS, Theodosiou M. Exercise ventilatory parameters for the diagnosis of reactive pulmonary hypertension in

patients with heart failure. J Card Fail 2014; 20: 650–657.

- 28. Santas E, Palau P, Guazzi M, de la Espriella R, Minana G, Sanchis J, Bayes-Genis A, Lupon J, Chorro FJ, Nunez J. Usefulness of right ventricular to pulmonary circulation coupling as an indicator of risk for recurrent admissions in heart failure with preserved ejection fraction. *Am J Cardiol* 2019; **124**: 567–572.
- 29. Guazzi M, Naeije R, Arena R, Corra U, Ghio S, Forfia P, Rossi A, Cahalin LP, Bandera F, Temporelli P. Echocardiography of right ventriculoarterial coupling combined with cardiopulmonary exercise testing to predict outcome in heart failure. *Chest* 2015; 148: 226–234.
- Padang R, Chandrashekar N, Indrabhinduwat M, Scott CG, Luis SA, Chandrasekaran K, Michelena HI, Nkomo VT, Pislaru SV, Pellikka PA, Kane GC. Aetiology and outcomes of severe right ventricular dysfunction. *Eur Heart* J 2020; 41: 1273–1282.
- 31. Zaborska B, Smarz K, Makowska E, Czepiel A, Swiatkowski M, Jaxa-Chamiec T, Budaj A. Echocardiographic predictors of exercise intolerance in patients with heart failure with severely reduced ejection fraction. *Medicine* (*Baltimore*) 2018; 97: e11523.
- 32. Martens P, Verbrugge FH, Bertrand PB, Verhaert D, Vandervoort P, Dupont M, Tang WHW, Janssens S, Mullens W. Effect of cardiac resynchronization therapy on exercise-induced pulmonary hypertension and right ventricular-arterial coupling. *Circ Cardiovasc Imaging* 2018; 11: e007813.
- O'Neill JO, Young JB, Pothier CE, Lauer MS. Peak oxygen consumption as a predictor of death in patients with heart failure receiving beta-blockers. *Circulation* 2005; 111: 2313–2318.
- 34. Wang N, Fulcher J, Abeysuriya N, McGrady M, Wilcox I, Celermajer D, Lal S. Tricuspid regurgitation is associated with increased mortality independent of pulmonary pressures and right heart failure: a systematic review and meta-analysis. *Eur Heart J* 2019; 40: 476–484.
- 35. Ohara T, Iwano H, Thohan V, Kitzman DW, Upadhya B, Pu M, Little WC. Role of diastolic function in preserved exercise capacity in patients with reduced ejection fractions. *J Am Soc Echocardiogr* 2015; 28: 1184–1193.
- 36. Kampaktsis PN, Albert BJ, Kim J, Xie LX, Brouwer LR, Tehrani NH, Villanueva M, Choi DY, Szulc M, Ratcliffe MB, Levine RA, Devereux RB, Weinsaft JW. Impact of mitral regurgitation severity and cause on effort tolerance-integrated stress myocardial perfusion imaging and echocardiographic assessment of patients with known or suspected coronary artery disease undergoing exercise treadmill testing. J Am Heart Assoc 2019; 8: e010974.

37. Nagueh SF, Smiseth OA, Appleton CP, Byrd BF 3rd, Dokainish H, Edvardsen T, Flachskampf FA, Gillebert TC, Klein AL, Lancellotti P, Marino P, Oh JK, Alexandru Popescu B, Waggoner AD, Houston T, Oslo N, Phoenix A, Nashville T, Hamilton OC, Uppsala S, Ghent LB, Cleveland O, Novara I, Rochester M, Bucharest R, St. Louis M. Recommendations for the evaluation of left ventricular diastolic function by echocardiography: an update from the American Society of Echocardiography and the European Association of Cardiovascular Imaging. *Eur Heart J Cardiovasc Imaging* 2016; **17**: 1321–1360.

 Horwich TB, Fonarow GC, Hamilton MA, MacLellan WR, Woo MA, Tillisch JH. The relationship between obesity and mortality in patients with heart failure. J Am Coll Cardiol 2001; **38**: 789–795.

39. McAuley PA, Keteyian SJ, Brawner CA, Dardari ZA, Al Rifai M, Ehrman JK, Al-Mallah MH, Whelton SP, Blaha MJ. Exercise capacity and the obesity paradox in heart failure: the FIT (Henry Ford Exercise Testing) project. *Mayo Clin Proc* 2018; **93**: 701–708.