# SYSTEMATIC REVIEW

# Convalescent Plasma—A Light at the End of the Tunnel: A Systematic Review and Meta-analysis of Randomized Controlled Trials

Soumya Sarkar<sup>1</sup>, Puneet Khanna<sup>2</sup>, Akhil K Singh<sup>3</sup>

## **A**BSTRACT

In the absence of a definitive therapy during this ongoing unprecedented crisis, coronavirus disease-2019 (COVID-19) pandemic, convalescent plasma transfusion (CPT) has shown some promising results. This review summarizes the existing evidence of the efficacy of CPT in COVID-19 patients based upon scientific publications to date.

We have included only the randomized controlled trials (RCTs) through an extensive screening of electronic databases up to July 31, 2021.

In 19 RCTs, with a total of 16,476 COVID-19 patients we found low-quality evidence of significant reduction in mortality (odds ratio (OR) = 0.80; 95% confidence interval (CI): 0.66-0.96,  $I^2 = 40\%$ ), better clinical outcome when applied <7 days (OR = 2.13, 95% CI 1.28-3.53,  $I^2 = 0\%$ ), and improved viral clearance (OR = 2.6, 95% CI: 1.3-5.45,  $I^2 = 74\%$ ). Meta-regression analysis found that as a covariate, intubation on admission (p = 0.007) had a significant impact. However, there was any significant reduction neither in duration for clinical improvement (MD = -0.79, 95% CI: -2.76-1.18,  $I^2 = 98\%$ ), nor in total period of hospital stay (MD = 0.02, 95% CI: -0.75-0.78,  $I^2 = 81\%$ ).

Early application of CPT is still relevant in reducing morbidity and mortality in critically ill patients and is too early to write it off as a potential therapeutic modality for COVID-19 patients.

Keywords: Convalescent plasma, Coronavirus disease 2019, Meta-analysis, Randomized controlled trial, SARS-CoV-2.

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#### Introduction

In the absence of definitive therapy for the novel coronavirus disease-2019 (COVID-19), caused by severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2), the US Food and Drug Administration (FDA) approved the use of convalescent plasma therapy (CPT) in COVID-19 patients under the emergency investigational new drug category.<sup>1</sup>

Traditionally during epidemics, the CPT has been tried in patients whose critical condition is refractory to supportive care.<sup>2</sup> The plasma is procured from a recently recovered person from a viral illness, which is supposed to have the maximum levels of polyclonal antibodies directed against the virus.<sup>3</sup>

The passive immune therapy has evolved from convalescent whole blood, convalescent plasma, pooled human immunoglobulin, and polyclonal or monoclonal antibodies, to the current practice of plasma collected by apheresis. The practice of using blood products from recovered patients as a therapeutic agent was way back in the late 1800s. CPT has been effectively used since the Spanish influenza pandemic in 1915–1917, severe acute respiratory syndrome (SARS) in 2003, influenza A (H1N1) in 2009, avian influenza A (H5N1), and even in viral hemorrhagic fever-like Ebola.

The CPT seems to be a promising option, with some early promising results on the improvement of clinical symptoms and reduction in mortality. However, the clinical evidence in this regard is still inconclusive and contradictory. Thus, the purpose of this review is to analyze the current evidence of the efficacy and safety

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of convalescent plasma therapy in COVID-19 patients. We have followed the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA-P) guidelines.

# METHODS

# **Search Strategy**

The authors PK and SS independently searched the major electronic databases (PubMed, MEDLINE, and Embase), Google Scholar (https://scholar.google.com), and preprint platforms medRxiv (https://www.medrxiv.org) from January 1, 2020, to July 31, 2021, with the following keywords: "COVID-19" OR "SARS-CoV-2" AND "plasma" OR "convalescent plasma" AND "Randomized Controlled trials" OR "RCT."

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#### **Inclusion and Exclusion Criteria**

The RCTs over CPT in COVID-19 patients published in the English language were included. Our primary outcome of interest was mortality and viral clearance was the secondary outcome (PRISMA flow diagram).

Controlled clinical trials, comparative cohort studies, and case-control studies—cross-sectional studies with a control group on convalescent plasma therapy for COVID-19 patients were excluded.

#### **Study Selection**

Initially, SS and PK screened every available abstract separately after the removal of the duplications for excluding the irrelevant articles. After that, the full texts of the potential studies were examined. Disagreements were consulted with AKS.

#### **Data Extraction**

SS and PK extracted the data of the first author, year of publication, type of study, place, sample size, details of the intervention and control groups, mortality, clinical improvement, and viral clearance by using a preconceived data extraction sheet individually.

#### **Risk of Bias Assessment**

PK and SS assessed the potential bias in every selected study individually with the Risk of Bias (RoB) 2.0 tool after resolving the difference of opinion with the consultation of AKS.

# **Quality of the Evidence**

PK and AKS evaluated the quality of evidence independently by the Grading of Recommendations Assessment, Development, and Evaluation (GRADE) tool.

# **Data Synthesis**

We used the Review Manager version 5.4 for conducting this metaanalysis along with subgroup analyses based upon severity and administration time of CPT, and the comprehensive meta-analysis version 3 for conducting meta-regression analysis. We calculated the odds ratio (OR) with 95% confidence interval (CI) according to the Cochrane Handbook for Systematic Reviews of Interventions. Statistical heterogeneity was assessed with the I<sup>2</sup> >50%, indicating substantial heterogeneity. A funnel plot was used to assess publication bias.

#### RESULTS

#### **Basic Characteristics**

A total of 19 studies out of 1,337 identified publications were included after satisfying the inclusion criteria and 9 of them were preprints (Flowchart 1; Table 1).

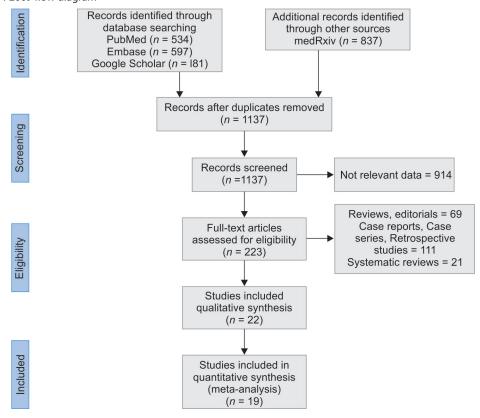
# Meta-analysis

# Mortality

A significant reduction in mortality among COVID-19 patients with CPT (OR = 0.80; 95% CI: 0.66–0.96,  $I^2$  = 40%) was found in 19 RCTs (n = 1,409 patients) (Fig. 1).

In subgroup analysis, though the impact of CPT on mortality among critically ill patients (OR = 0.68; 95% CI: 0.52–0.88,  $I^2$  = 59%) was significant, the patients with mild (OR = 1.00; 95% CI: 0.75–1.35,  $I^2$  = 0%) or moderate illness (OR = 0.70; 95% CI: 0.27–1.83,  $I^2$  = 46%) showed no additional benefit.

Flowchart 1: PRISMA-2009 flow diagram



shortened time to clinical recovery, reduction in hospital stay in severe No significant improvement in time CP resulted in rapid improvement 28 days in severe or life-threatening Patients assigned to CP had a lower The hyperimmune anti-COVID-19 derived from CP reduces mortality without any significant reduction ventilation for a shorter duration Early administration of high-titer CP lowered death rate from 28% SARS-CoV-2 to mildly ill-infected No significant difference in overall reated with convalescent plasma rate of worsening at 15 days than when the study was suspended COVID-19 patients until the early Significantly improved hypoxia, oatients receiving standard care disease severity was observed mortality between the patients COVID-19 patients with ARDS ntravenous immunoglobulin n respiratory parameters and convalescent plasma against to clinical improvement within mprovement in the day-15 and morbidity in critically ill differences in mortality (or No statistically significant progression of COVID-19 older adults reduced the in control group to 4.8% Fewer patients required preventing the mortality CP was not beneficial for termination of the trial in mortality Condition of Moderately Moderately the patients Critically ill Severely ill 14.80 + 7.46 Critically ill Severely ill Severely ill Severely ill Severely ill COVID-19 Not speci-Mild fied 8 days (IQR, 6 days (IQR <3 (n = 6),>3(n = 7)3-11 days) Initiation (1QR 6-15)  $4.2 \pm 2.21$ 72 hours  $8.0 \pm 3.0$ time 10 days 27 days Within 22 - 398 days 5-10) 1:3,200 (IQR 1:292 (IQR 238-451) specified >1:1,000 specified specified specified 1:3,200) Titer >1:640 1:800->1:80 >1:80 >1:80 Not Not Š Set two successive two successive 24 hours apart plasma in two divided doses Dosage of CP 500 mL (IQR, Two doses of 200 mL of CP, 200 mL over on consecu-250-300 mL 4-13 mL/kg 0.30 gm/kg) (0.15, 0.20, 200 mL on 415 - 600tive days 300 mL 400 mL 500 mL 250 mL 0.25, days days population Study 464 103 333 20 4 29 86 160 49 8 81 **Netherlands** Argentina Argentina Open-label Pakistan Country Bahrain China Spain India India India Irad Open-label RCT, MC study, center Open-label Open-label Open-label Open label RCT, MC Open-label Open-label Open-label Open-label Open-label **Type of** RCT, MC RCT, MC RCT, MC RCT, MC RCT, SC RCT, MC RCT, SC RCT, SC RCT, SC DOI: 10.1101/2020.08.26.20182444 DOI: 10.1016/j.eclinm.2021.100926, DOI: 10.1101/2020.10.25.20219337 DOI: 10.1038/s41467-021-23469-2, DOI: 10.1101/2020.11.25.20237883 DOI: 10.1038/s41598-021-89444-5, DOI: 10.1136/bmj.m3939, PMID: DOI: 10.1001/jama.2020.10044, PMID: 32492084 DOI: 10.1056/NEJMoa2033700, DOI: 10.1056/NEJMoa2031304, Table 1: Characteristics of the included studies PMID: 33976287 PMID: 34045486 PMID: 33406353 PMID: 33232588 PMID: 34109306 PMID: 32920571 33093056 Simonovich Bajpai et al., Gharbharan Li et al., 2020 Studies, Year et al., 2020 et al., 2020 AlQahtani et al., 2020 et al., 2020 et al., 2020 et al., 2020 Sola et al., Ray et al., Rasheed Agarwal Ali et al., Libster 2020 2020 2020 2021 No. 9. 7 S. ۲, 4. 5 ó. 'n ۲. တံ 6



COVID-19 patients

No significant difference in terms of mortality, frequency of intubation was found with CP in comparison with the hospitalized COVID-19 patients, received standard care	ill Both CP and IVIG had similar outcomes in terms of hospitalization duration or mortality in COVID-19 patients	ely ill The overall 90-day mortality was lower in CP group in comparison with standard plasma group (27 vs 33%; $p = 0.63$ ). The outcome is more significant in intubated patients at admission	ill The CPT-recipient COVID-19 patients had a median time of 26 days for clinical improvement and 31 days for discharge from hospital in comparison with the control group (66 days and 51 days, respectively). Significant benefit is noted in CP with higher neutralizing antibodies	<ul> <li>ill CP was associated with significantly reduced mortality (OR 0.44, 95% CI 0.22-0.91, p = 0.034)</li> </ul>	ulatory, antiviral role for avoiding the cytokine storm and improving the 8-point WHO severity score	ill High-titer CP did not improve survival in COVID-19 patients	ill The mortality was 37.3% in CP group, and 38.4% in control group
Mild COVID-19	Severely ill	Moderately ill	Critically ill	Severely ill	Critically ill	Critically ill	Severely ill
8 (IQR, 5-10)	Not specified	9 (IQR, 6–18)	7 days	9 days	Not specified	9 days	Not specified
>1:160	Not specified	1:526 (1:359– 1:786)	1:160 (IQR 1:80– 1:320)	1:160 (IQR 1:80- 1:320)	Not specified	>1:100	>1:160
500 mL	200 mL over 2 hours, for 2 days	480 mL	837 mL (IQR 738–872 mL)	250 mL	500 mL	550 mL	550 ± 150 mL
851	165	74	105	223	09	11,558	1,979
Canada, USA, Brazil	Mexico	USA	Germany	USA, Brazil	Iran	ž	Australia, Canada, UK, USA
Open-label RCT, MC	Open-label RCT, SC	Open-label RCT, SC	Open-label RCT, MC	Open-label RCT, MC	Open-label RCT, SC	Open-label RCT, MC	Open-label RCT, MC
DOI: 10.1101/2021.06.29.21259427	DOI: 10.1101/2021.03.28.21254507	DOI: 10.1097/ CCM.0000000000005066, PMID: 33870923	DOI: 10.1101/2021.05.10.21256192	DOI: 10.1172/JCI150646, PMID: 33974559	DOI: 10.1007/s11739-021-02734-8, PMID: 33837906	DOI: 10.1101/2021.03.09.21252736	19 REMAP-CAP, DOI: 10.1101/2021.06.11.21258760 Open-label Australia, 1,979 550 ± 150 mL ≥ 1:160 Not Severely ill The mortality was 37.3% 2021 Specified in CP group, and 38.4% in USA control group
<b>Table 1:</b> ( <i>Contd</i> ) 12 CONCOR, 2021	Gonzalez et al., 2021	Guerrero et al., 2021	Körper et al., 2021	O'Donnell et al., 2021	Pouladazdeh et al., 2021	Recovery Collaborative Group, 2021	REMAP-CAP, 2021
<b>Table</b> 12	13	41	15	16	17	18	19

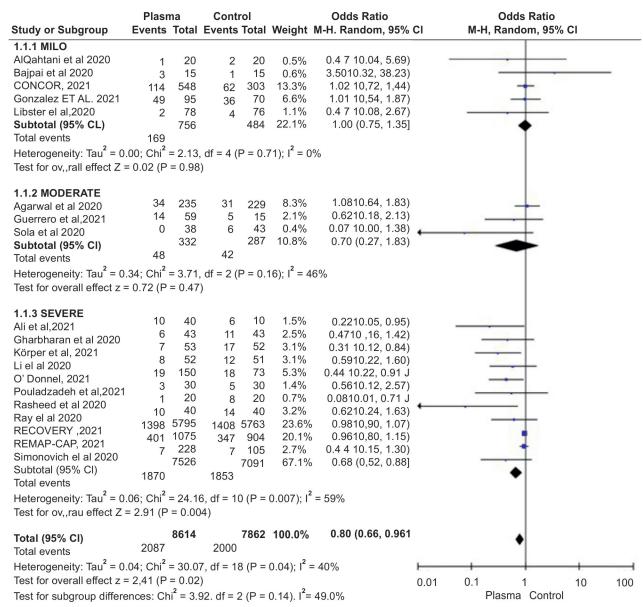


Fig. 1: The efficacy of convalescent plasma therapy on mortality in COVID-19 patients

## Clinical Improvement

Thirteen RCTs with 13,320 patients indicated that no statistically significant clinical improvement (OR = 1.27, 95% CI 1–1.61,  $I^2$  = 45%) in CPT-recipient COVID-19 patients in comparison with patients who received standard care (Fig. 2A).

However, in a subgroup analysis of five studies (n=369) where CPT was applied <7 days of symptoms, there are significantly higher odds for clinical improvement (OR = 2.13, 95% CI 1.28–3.53,  $I^2=0\%$ ).

#### Viral Clearance

Viral clearance was assessed in four RCTs (n=631). Significant clearance of viral shedding (OR = 2.66, 95% CI 1.3–5.45,  $I^2=74\%$ ) was found in CPT-recipient COVID-19 patients. However, the result is highly heterogeneous (Fig. 2B).

# Period for Clinical Improvement and Hospital Stay

The CPT recipients showed a significant reduction neither in duration for clinical improvement (MD = -0.79, 95% CI: -2.76-1.18,

 $I^2 = 98\%$ ; n = 354) (Fig. 2C) nor in overall period for hospital stay (MD = 0.02, 95% CI: -0.75-0.78,  $I^2 = 81\%$ ; n = 1,208) (Fig. 2D).

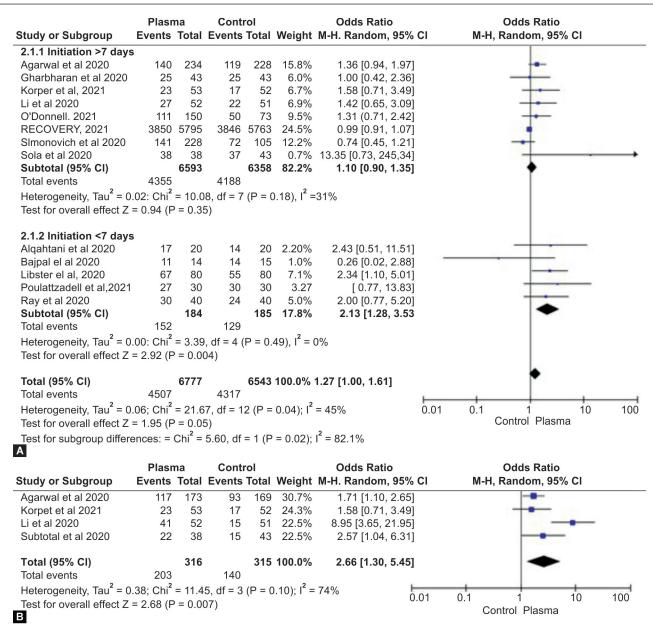
# Meta-regression

Meta-regression analysis found that the association between CPT and mortality in COVID-19 patients was influenced only by intubation status on admission (p = 0.007) (Fig. 2E), but not by volume (p = 0.676), titer (p = 0.464), concomitant use of steroid (p = 0.650), tocilizumab (p = 0.864), remdesivir (p = 0.524), presence of preexisting lung disease (p = 0.236), and diabetes (p = 0.151).

Publication bias for the studies on COVID-19 mortality was assessed. The funnel plot indicates that a publication bias is likely as few smaller studies were associated with large effects (Supplemental Fig. 1).

**Supplemental Figs 1A and B:** (A) Funnel plot of the included studies for assessment of publication bias; (B) ROB-2 assessment for the included randomized controlled trials





Figs 2A and B: (A) The impact of convalescent plasma therapy on clinical improvement in COVID-19 patients; (B) The effect of convalescent plasma therapy on viral clearance in COVID-19 patients

# Discussion

We have identified low-quality evidence with variability that the lower odds of mortality along with better clearance of viral shedding in COVID-19 patients who received the convalescent plasma therapy. (Table 2)

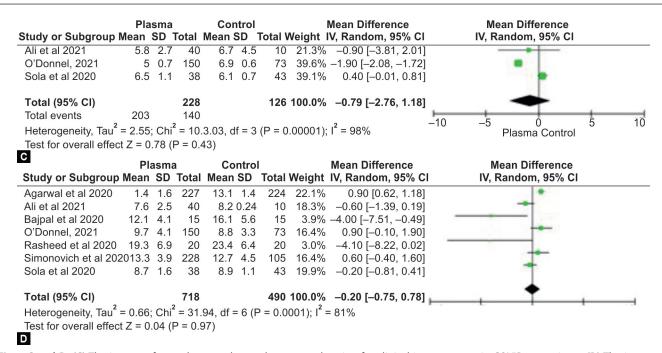
Similarly, a recent systematic review also found a significant reduction of mortality (risk ratio (RR) = 0.57, 95% CI 0.44–0.74,  $I^2 = 0\%$ ) in nine controlled studies with severely and critically ill COVID-19 patients.<sup>9</sup>

Previously, Sarkar et al.<sup>10</sup> also found low-quality evidence of reduced mortality (OR 0.44; 95% CI 0.25–0.77), and better clearance of viral shedding (OR, 11.29; 95% CI, 4.9–25.9) among CPT-recipient COVID-19 patients, in two RCTs and five matched cohort studies.

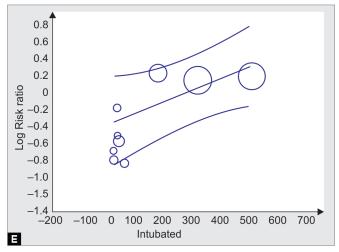
Another recent systematic review also reported a significant decrease in viral loads and improvement in clinical symptoms within 3–26 days post-CPT for the management of COVID-19.<sup>11</sup>

However, a living systematic review reported very low-quality evidence of no beneficial effect of CPT in reducing all-cause mortality at hospital discharge [RR 0.89, 95% CI 0.61–1.31] in one RCT and three controlled non-randomized studies of interventions, respectively.<sup>12</sup>

Another meta-analysis on efficacy and safety of convalescent plasma for severe COVID-19 based on evidence in other severe respiratory viral infections also found very low-quality noninformative results about complete recovery (OR 1.04, 95% CI 0.69–1.64), the period of hospital stays (mean difference–1.62, 95%



Figs 2C and D: (C) The impact of convalescent plasma therapy on duration for clinical improvement in COVID-19 patients; (D) The impact of convalescent plasma therapy on the period of hospital stays in COVID-19 patients



**Fig. 2E:** Meta-regression analysis showed that the association between convalescent plasma therapy and mortality was influenced by intubation status

CI -3.82-0.58), and viral clearance on day 3 (RR 1.07, 95% CI 0.58-1.8) and day 7 (RR 1.32, 95% CI 0.97-1.81). <sup>13</sup>

A recent systematic review and meta-analysis on severe acute respiratory infections of viral etiology reported that though the observational studies indicate a decline in mortality with CPT (OR 0.36, 95% CI 0.23–0.56, p <0.00001), the RCTs have not found any significant benefit for reducing the mortality (OR 0.82; 95% CI 0.57–1.19; p = 0.30).  $^{14}$ 

Rajendran et al.<sup>15</sup> also could not provide any opinion regarding the efficacy of CPT in COVID-19 due to paucity in quantitative synthesis for their systemic review. Similarly, another recent metaanalysis of 10 RCTs also reported that in comparison with standard care, CPT did not reduce the all-cause mortality (RR: 1.02; 95% CI 0.92-1.12).  $^{16}$ 

We found an earlier administration of CPT is associated with better odds for favorable outcomes. Similarly, a number of recent studies  $^{17,18}$  also echoed that while early application of CPT is beneficial in critically ill COVID-19 patients, late CPT is futile. However, another recent RCT reported no significant reduction of mortality rate (OR 3.04, 95% CI 0.54–17.2, p=0.25), and the requirement for mechanical ventilation (OR 3.04, 95% CI 0.54–17.2, p=0.25) is associated with early administration of CPT in comparison with the deferred patients. But it has to be noted that only 43.3% of the patients of the deferred group received CPT.  $^{19}$ 

A decline in per capita CPT, since late 2020 following the publication of several negative RCTs and meta-analyses resulted in approximately 29,000–36,000 excess deaths in the USA. Apart from the reaffirmation of the FDA for the Emergency Use Authorization for early CP with the adequate amount of antibodies in hospitalized patients again in February 2021, the guidelines of American Association of Blood Banks and Brazil also emphasized the early use of CP with high content of specific antibody.<sup>20</sup>

# Strengths and Limitations

Our study is a comprehensive review using only RCTs for assessing the efficacy of CPT in COVID-19 patients using data from the COVID-19 studies and may be considered at the moment as the prime evidence for decision-making.

Although in the present scenario, the efficacy of CPT in COVID-19 patients is debatable; this meta-analysis provides a signal of benefit in COVID-19 patients. However, the findings are heterogeneous and of low-quality evidence. A significant variation regarding methodology, the timing of initiation, optimal dosage, and neutralizing antibody titer, and concomitant therapy have been noted across the studies.



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Table

	N	No. of participants	ts						Ouality of evidence	
Outcome	Total no.	Total no. Intervention Control	Control	Risk of bias	Inconsistency	Indirectness	Imprecision	Risk of bias Inconsistency Indirectness Imprecision Other considerations		Relative effect
Mortality	16,476	8,614	7,862	Yes	No	No	No	None	Low ⊕⊕⊝⊝	OR 0.80 (95% CI 0.66–0.96)
Clinical improvement	13,320	6,777	6,543	Yes	N O	o N	Yes	None	Very low ⊕⊝⊝⊝	OR 1.27 (95% CI 1–1.61)
Viral clearance	631	316	315	Yes	o N	o N	No No	None	Low ⊕⊕⊝⊝	OR 2.66 (95% CI 1.3–5.45)
Duration for clinical improvement	354	228	126	Yes	N O N	No	Yes	None	Very low ⊕⊝⊝⊝	MD = -0.79 (95% CI: -2.76 to 1.18)
Period of hospital stay	1,208	718	490	Yes	No	o N	Yes	None	Very low ⊕⊝⊝⊝	MD = 0.02 (95% CI: -0.75 to 0.78)

CI, confidence interval; COVID-19, coronavirus disease-2019; GRADE, grading of recommendations assessment, development, and evaluation; MD, mean difference; OR, odds ratio

# Conclusion

In conclusion, as the COVID-19 pandemic progresses, there is a desperate need for definitive treatment. Till the development of an effective treatment or vaccine, CPT seems to be a safe and effective option and the current evidence regarding the use of CPT in COVID-19 patients is encouraging. It is too early to write it off as a potential therapeutic modality for COVID-19 patients.

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