



Pyrotinib versus trastuzumab emtansine for HER2-positive metastatic breast cancer after previous trastuzumab and lapatinib treatment: a real-world study

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Background: To compare the efficacy and safety of pyrotinib and trastuzumab emtansine (T-DM1) in patients who experienced disease progression on trastuzumab and lapatinib treatment.

Methods: This was a real-world study that included cases of metastatic breast cancer (MBC) with trastuzumab and lapatinib failure. One group of patients received pyrotinib monotherapy or combination therapy, whereas the other group received T-DM1 monotherapy. The primary study endpoint was progression-free survival (PFS); secondary endpoints were the objective response rate (ORR), clinical benefit rate (CBR) and safety.

Results: Between January 2013 and November 2019, 105 patients were enrolled in the pyrotinib group (n=55) or T-DM1 group (n=50). The median PFS was 6.0 months (95% CI, 4.7 to 7.3 months) with pyrotinib and 4.2 months (95% CI, 3.6 to 4.8 months) with T-DM1 (P=0.044). ORR values were 16.3% and 20.0% in the pyrotinib and T-DM1 groups, respectively (P=0.629); CBR values were 45.5% and 40.0% in the pyrotinib and T-DM1 groups, respectively (P=0.573). Subgroup analysis of those benefitting from lapatinib revealed a median PFS of 8.1 months (95% CI, 4.8 to 11.4 months) in the pyrotinib group, whereas that of the T-DM1 group was 4.4 months (95% CI, 3.8 to 5.0 months, P=0.013). Moreover, the median PFS of patients without liver metastases was 6.9 months (95% CI, 3.7 to 10.1 months) in the pyrotinib group and 4.1 months (95% CI, 3.1 to 5.1 months) in the T-DM1 group (P=0.010). The main common adverse events (AEs) were diarrhea (98.2%) and nausea (49.1%) in the pyrotinib group and thrombocytopenia (42.0%) and nausea (40.0%) in the T-DM1 group. The percentages of grade 3 to 4 AEs in the pyrotinib and T-DM1 groups were 34.5% and 40.0%, respectively.

Conclusions: The results of this study suggest that patients with HER2-positive MBC with trastuzumab and lapatinib failure can benefit from subsequent pyrotinib treatment and tolerate this treatment well, especially those who have benefited from previous lapatinib treatment or those who have no liver metastasis.

Keywords: Metastatic breast cancer (MBC); HER2; pyrotinib; T-DM1

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1 Introduction

2 The prognosis of patients with human epidermal growth
3 factor receptor 2 (HER2)-positive metastatic breast cancer
4 (MBC) has been significantly improved by continuous
5 anti-HER2 targeted therapy (1,2). Lapatinib is a drug
6 recommended by the National Comprehensive Cancer
7 Network (NCCN) and Chinese Society of Clinical
8 Oncology for Breast Cancer (CSCO BC) guidelines after
9 the failure of trastuzumab (3,4). However, an increasing
10 number of patients experience trastuzumab and lapatinib
11 failure in clinical practice, and the subsequent treatment
12 recommendations are not clearly provided by clinical
13 guidelines (5).

14 Based on the availability and potential efficacy of existing
15 drugs, subsequent options for lapatinib failure include
16 trastuzumab emtansine (T-DM1) or the tyrosine kinase
17 inhibitor (TKI) pyrotinib. T-DM1 is a novel antibody-
18 drug conjugate of trastuzumab that is covalently combined
19 with the anti-microtubule drug maytansinoid (DM1), and
20 pyrotinib is an oral, small molecule and irreversible TKI;
21 both are used for the treatment of HER2-positive MBC.
22 However, only a few studies (6,7) have confirmed the
23 efficacy of T-DM1 after multiagent anti-HER2 targeted
24 therapy, and the efficacy of pyrotinib after lapatinib failure
25 has limited clinical verification with the exception of a case
26 report (8). Furthermore, no head-to-head randomized
27 controlled study has been performed to compare the
28 efficacy of pyrotinib and T-DM1.

29 Against this background, we used real-world data to
30 compare the efficacy and safety of the subsequent use
31 of pyrotinib or T-DM1 in HER2-positive MBC after
32 trastuzumab and lapatinib failure. We present the following
33 article in accordance with the STROBE reporting checklist
34 (available at <http://dx.doi.org/10.21037/atm-20-4054>).

37 Methods

39 Study population

40 In this real-world study, we enrolled patients with HER2-
41 positive MBC treated between January 2013 and September
42 2019 at the Department of Breast Oncology, the Fifth
43 Medical Center of the Chinese People's Liberation Army
44 of China (PLA) General Hospital. All these patients
45 continued their treatment after the failure of trastuzumab
46 and lapatinib. The inclusion criteria for patients were
47 as follows: female, pathologically diagnosed as HER2-
48 positive [immunohistochemical (+++) or fluorescent *in situ*
49

hybridization detection amplification] MBC, a minimum of
50 one extracranial measurable lesion according to Response
51 Evaluation Criteria in Solid Tumors (RECIST) version
52 1.1, an Eastern Cooperative Oncology Group (ECOG)
53 performance status of 0 or 1, and with normal liver, kidney
54 and heart function. The exclusion criteria were as follows:
55 pregnancy or breastfeeding, dyspnea, second primary
56 malignancy or serious concomitant illness. The study was
57 conducted in accordance with the Declaration of Helsinki
58 (as revised in 2013). The study was approved by the Ethics
59 Board of the affiliated hospital of Qingdao University (No.
60 221311920), and informed consent was obtained from all
61 the patients.

64 Treatment protocols

65 The follow-up treatments were pyrotinib monotherapy
66 or combination therapy and T-DM1 monotherapy,
67 constituting the pyrotinib group and the T-DM1 group,
68 respectively. Patients in the pyrotinib group were orally
69 administered 400 mg pyrotinib daily with or without other
70 anti-tumor drugs, including cyclophosphamide, paclitaxel,
71 albumin paclitaxel, capecitabine, etoposide or vinorelbine.
72 Patients in the T-DM1 group received 3.6 mg T-DM1 per
73 kilogram of body weight every 3 weeks. The dose could be
74 reduced and medication suspended based on the toxicity
75 of the drug and the adverse reactions of the patients. For
76 pyrotinib, the first dose was reduced to 320 mg daily,
77 compared to 3.0 mg per kilogram for T-DM1.

80 Efficacy assessment

81 The primary endpoint was progression-free survival (PFS),
82 which was defined as the time interval from the beginning
83 of treatment to disease progression or any cause of death.
84 Secondary study endpoints included the objective response
85 rate (ORR) and clinical benefit rate (CBR). ORR refers to
86 the percentage of patients with a complete response (CR)
87 and partial response (PR). CBR represents the percentage
88 of patients with complete, partial and stable disease ≥ 6
89 months as well as the safety results. The clinical efficacy of
90 all patients was evaluated using RECIST version 1.1, and
91 the curative effect was evaluated every 2 cycles or when the
92 disease was judged clinically based on symptoms and signs.

95 Safety assessment

96 All adverse events (AEs) were recorded in detail, including
97

Table 1 Characteristics of the patients at baseline

Characteristic	Pyrotinib (n=55)	T-DM1 (n=50)	P value
Age, median (range, yr)	47 [27–73]	46 [23–65]	0.824
Hormone-receptor status			0.026
HR-negative	35 (63.6)	21 (42.0)	
HR-positive	20 (36.4)	29 (58.0)	
Number of metastases			0.868
1	8 (14.5)	9 (18.0)	
2	16 (29.1)	13 (26.0)	
≥3	31 (56.4)	28 (56.0)	
Disease type at screening			0.91
Visceral	48 (87.3)	44 (88.0)	
Non-visceral	7 (12.7)	6 (12.0)	
Metastatic site			
Liver	21 (38.2)	21 (42.0)	0.69
Lung	30 (54.5)	27 (54.0)	0.955
Brain	18 (32.7)	10 (20.0)	0.141
Bone	26 (47.3)	23 (46.0)	0.896
Others	39 (71.0)	34 (68.0)	0.746

98 the description of the event and all related symptoms, time
 99 of occurrence, duration, severity, specific measures taken
 100 and final results. AE scores were calculated with reference
 101 to the Common Terminology Criteria for Adverse Events
 102 (CTCAE) version 4.0, and the researchers judged whether
 103 the AEs were related to pyrotinib or T-DM1.

104

105 *Statistical analysis*

106

107 Patients who received the different drugs were randomly
 108 assigned and analyzed. All statistical tests were performed
 109 using SPSS version 19 (SPSS Inc., Chicago, IL, USA), and
 110 all tests were two-sided with a significance level of 0.05.
 111 For survival analysis, the Kaplan-Meier curve was used to
 112 analyze the primary endpoint of the event. The treatment
 113 differences in ORR and CBR were tested using chi-square
 114 or Fisher's exact tests.

115

116 **Results**

117

118 *Clinical characteristics*

119

120 Follow-up was performed until November 1, 2019, and

a total of 105 patients with HER2-positive MBC were 121
 enrolled. The median age of the subjects was 46 years old 122
 (ranging from 23 to 73 years old). In total, 55 patients 123
 (52.4%) were included in the pyrotinib group, and 50 124
 patients (47.6%) were included in the T-DM1 group. The 125
 baseline demographic characteristics between the two 126
 groups remained balanced (*Table 1*), and only the hormone 127
 receptor status revealed statistically significant differences 128
 (63.6% vs. 42.0%, $P=0.026$). Eighty-eight of these patients 129
 (83.8%) had visceral disease. There were 21 cases of liver 130
 metastases both in the pyrotinib group (38.2%) and the 131
 T-DM1 group (42.0%). 132

133 *Efficacy*

134

135 Of the 105 patients, 85 patients (81.0%) achieved PFS, 136
 including 38 patients (36.2%) in the pyrotinib group and 137
 47 patients (44.8%) in the T-DM1 group. Twenty patients 138
 (19.0%) continued treatment, including 17 patients (16.2%) 139
 in the pyrotinib group and 3 patients (2.8%) in the T-DM1 140
 group. The median PFS was 6.0 months (95% CI, 4.7 to 141
 7.3 months) in the pyrotinib group and 4.2 months (95% 142

143 CI, 3.6 to 4.8 months) in the T-DM1 group ($P=0.044$)
 144 (Figure 1).

145 As shown in Table 2, CR was not achieved in either
 146 group. The stable disease rate was 65.5% in the pyrotinib
 147 group compared with 56.0% in the T-DM1 group, and the
 148 rate of progressive disease (PD) was 18.2% compared with
 149 24.0%, respectively. The ORR was 16.3% (9 of 55 patients)
 150 in the pyrotinib group and 20.0% (10 of 50 patients) in
 151 the T-DM1 group ($P=0.629$). The CBR was 45.5% (25 of
 152 55 patients) in the pyrotinib group versus 40.0% (20 of 50
 153 patients) in the T-DM1 group ($P=0.573$). No significant
 154 differences were noted in the ORR or CBR between the
 155 two groups.

156 Factors for the subgroup analysis included the benefit

157 of prior treatment with trastuzumab or lapatinib and the
 158 occurrence of liver metastases at the baseline of subsequent
 159 treatment. Among patients benefiting from lapatinib, the
 160 median PFS was 8.1 months (95% CI, 4.8 to 11.4 months)
 161 for the pyrotinib group and 4.4 months (95% CI, 3.8 to
 162 5.0 months) for the T-DM1 group ($P=0.013$, Figure 2A).
 163 The median PFS was not significantly different between
 164 patients who had benefited or not benefited from previous
 165 lapatinib treatment (Figure 2B), those who had benefited
 166 or not benefited from previous trastuzumab therapy
 167 (Figure 2C,D), those who had benefited or not benefited
 168 from the previous trastuzumab and lapatinib treatment
 169 (Figure 2E,F), and those who had liver metastases
 170 (Figure 2G). The median PFS of patients without liver
 171 metastases was 6.9 months (95% CI, 3.7 to 10.1 months)
 172 in the pyrotinib group and 4.1 months (95% CI, 3.1 to 5.1
 173 months) in the T-DM1 group ($P=0.010$, Figure 2H).

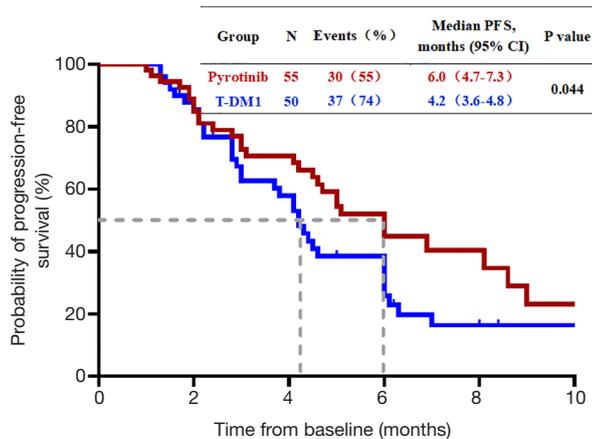


Figure 1 Kaplan-Meier estimates of progression-free survival (PFS) for all patients treated with pyrotinib and T-DM1.

Safety

174 The treatment AEs that could be tracked and recorded
 175 in either treatment group are listed in Table 3. In the
 176 pyrotinib group, the main common AEs included diarrhea
 177 (98.2%), nausea (49.1%), hand-foot syndrome (40.0%),
 178 and vomiting (38.2%); the dominating grade 3 or 4 AE was
 179 diarrhea (21.8%). The most frequently reported grade 3
 180 or 4 event associated with T-DM1 was thrombocytopenia
 181 (18.0%), and the main AEs in the T-DM1 group included
 182 thrombocytopenia (42.0%), nausea (40.0%), and fatigue
 183 (32.0%). In total, 19 patients (34.5%) and 20 patients (40.0%)
 184 in the pyrotinib and T-DM1 groups had grade 3 to 4 AEs,
 185 respectively, but no treatment-related deaths were observed.
 186
 187
 188

Table 2 Comparison of efficacy between the two groups

Type of response, No. (%)	Pyrotinib (N=55)	T-DM1 (N=50)	P value
Complete response	0	0	–
Partial response	9 (16.3)	10 (20.0)	–
Stable disease	36 (65.5)	28 (56.0)	–
SD \geq 6 months	16 (23.6)	10 (12.0)	–
Progressive disease	10 (18.2)	12 (24.0)	–
Objective response rate	9 (16.3)	10 (20.0)	0.629
Clinical benefit rate	25 (45.5)	20 (40.0)	0.573

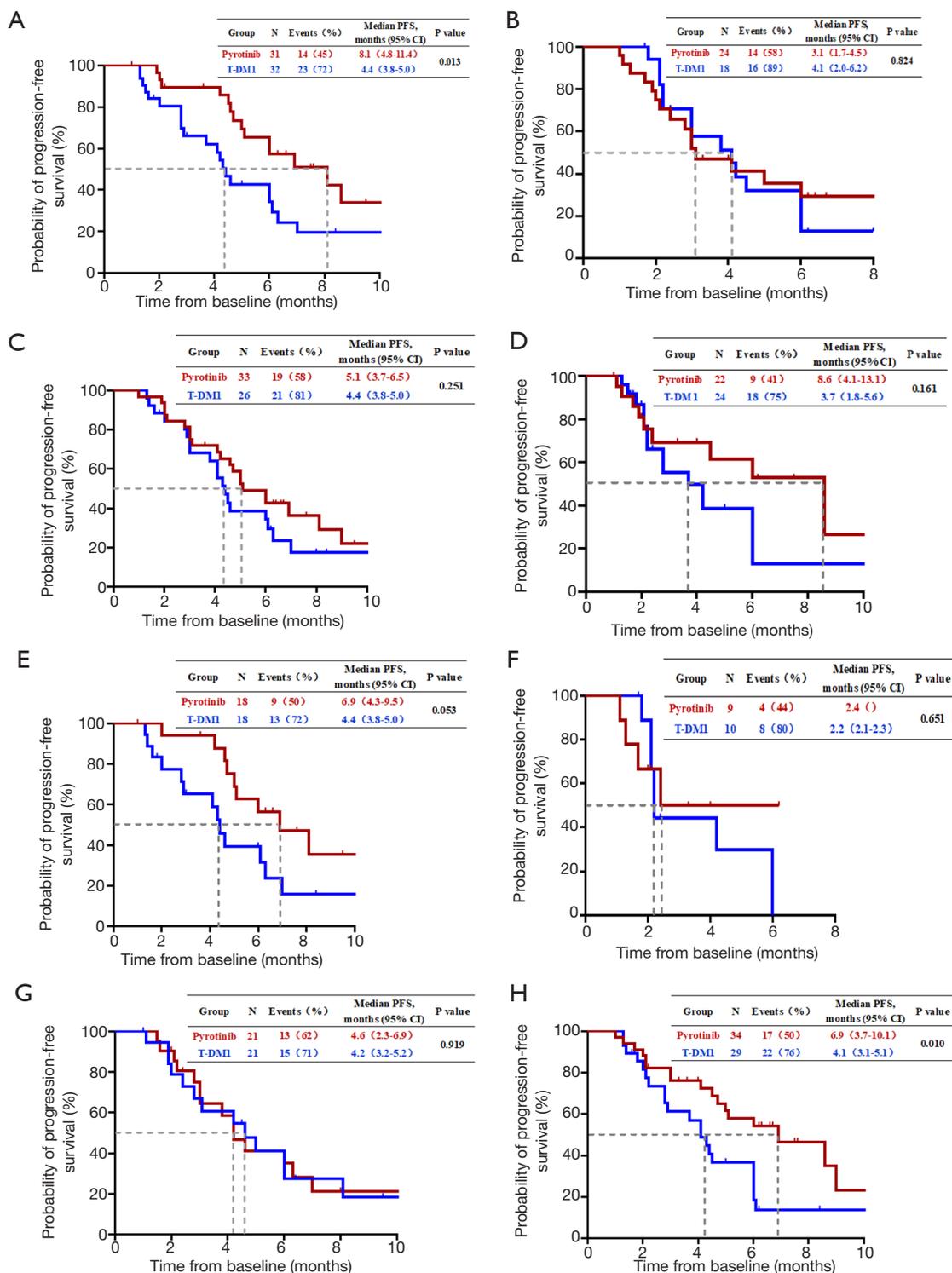


Figure 2 Kaplan-Meier estimates of PFS for the two groups. (A) Patients who have benefited from prior lapatinib; (B) patients who have not benefited from prior lapatinib; (C) patients who have benefited from prior trastuzumab; (D) patients who have not benefited from prior trastuzumab; (E) patients who have benefited from prior trastuzumab and lapatinib; (F) patients who have not benefited from prior trastuzumab and lapatinib; (G) patients with liver metastases; (H) patients without liver metastases.

Table 3 Treatment-related adverse events in the two groups

Adverse event	Pyrotinib (N=55)		T-DM1 (N=50)	
	Any grade	Grade ≥ 3	Any grade	Grade ≥ 3
Diarrhea	54 (98.2)	12 (21.8)	5 (10.0)	0
Nausea	27 (49.1)	0	20 (40.0)	3 (6.0)
Anemia	23 (41.8)	2 (3.6)	6 (12.0)	0
Hand-foot syndrome	22 (40.0)	0	2 (4.0)	0
Vomit	21 (38.2)	0	3 (6.0)	0
Elevated transaminase	18 (32.7)	1 (1.8)	15 (30.0)	2 (4.0)
Elevated bilirubin	17 (30.9)	0	2 (4.0)	0
Leukopenia	16 (29.1)	2 (3.6)	8 (16.0)	0
Neutropenia	16 (29.1)	2 (3.6)	8 (16.0)	2 (4.0)
Thrombocytopenia	11 (20.0)	0	21 (42.0)	9 (18.0)
Fatigue	15 (27.3)	0	16 (32.0)	4 (8.0)

Data are No. (%).

189 Discussion

190 Due to a lack of the availability of drugs, no studies
 191 have compared the efficacy and safety of pyrotinib with
 192 T-DM1. This study evaluated the efficacy and safety of
 193 pyrotinib and T-DM1 in HER2-positive MBC patients
 194 who received trastuzumab and lapatinib in the real world.
 195 Our results showed that the median PFS was 6.0 months in
 196 the pyrotinib group and 4.2 months in the T-DM1 group,
 197 and the ORR of the two groups was 16.3% and 20.0%,
 198 respectively. The TDM4258g and TDM4374g studies
 199 (7,9) explored the efficacy of T-DM1 after the failure of
 200 trastuzumab and lapatinib. The results showed that the
 201 median PFS of the two groups of patients was 4.6 months
 202 (95% CI, 3.9 to 8.6 months) and 6.9 months (95% CI,
 203 4.2 to 8.4 months) respectively, and the ORR values were
 204 25.9% and 34.5%, respectively. Data from the T-DM1
 205 group in this study, which demonstrated good efficacy of
 206 T-DM1 after the failure of trastuzumab and lapatinib, were
 207 similar to the results of the above two studies. In this study,
 208 the median PFS of the pyrotinib group was significantly
 209 better than that of the T-DM1 group, indicating that the
 210 new TKI pyrotinib may be a more valuable treatment
 211 strategy after the failure of trastuzumab and lapatinib.

212 Subgroup results showed that patients who had
 213 previously benefited from lapatinib and those without liver
 214 metastasis could benefit more from pyrotinib, indicating
 215 that switching to another TKI with a different mechanism
 216

may also achieve good clinical efficacy after TKI failure. 217
 In addition, TKI has been used as the first-line treatment 218
 for lung cancer. Patients can still benefit from another 219
 TKI after the failure of first-line TKI treatment, especially 220
 patients harboring the T790M mutation (10,11). This study 221
 demonstrated the effectiveness and safety of pyrotinib after 222
 lapatinib failure, providing a reference for the dominant 223
 patient population after the failure of TKI therapy. 224
 However, explorations regarding the resistance mechanisms 225
 of TKIs at the genetic level in the field of breast cancer 226
 remain problematic. 227

As noted in previous studies (12,13), pyrotinib was well 228
 tolerated given that most of the AEs were grade 1 or 2, and 229
 the main AE greater than grade 3 was diarrhea (21.8%). 230
 Most of the cases of diarrhea were controllable after the 231
 medication was stopped or the dose was reduced. Similar 232
 to the TH3RESA study (6), the most common grade 1 or 2 233
 AEs in the T-DM1 group were thrombocytopenia (42.0%), 234
 nausea (40.0%), and fatigue (32.0%). No deaths related to 235
 adverse reactions occurred. 236

The results of this study revealed the clinical advantages 237
 of pyrotinib. However, the data were obtained from the 238
 real world and were not as rigorous as that of randomized 239
 controlled studies. In addition, long-term survival 240
 information was lacking. Therefore, clinical trial data are 241
 still required to compare the efficacy of the two drugs, and 242
 we should also assess the potential benefits of these drugs 243

244 after the failure of TKI at the genetic level.

245 In conclusion, the results of this study showed that
246 patients with HER2-positive MBC for whom trastuzumab
247 and lapatinib failed may benefit from subsequent pyrotinib
248 treatment and that the treatment was well tolerated,
249 especially for patients who benefited from previous lapatinib
250 treatment or had no liver metastasis (Research number:
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252

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259 Footnote

260
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267

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269 uniform disclosure form (available at [http://dx.doi.](http://dx.doi.org/10.21037/atm-20-4054)
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272

273 *Ethics Statement:* The authors are accountable for all
274 aspects of the work in ensuring that questions related
275 to the accuracy or integrity of any part of the work are
276 appropriately investigated and resolved. The study was
277 conducted in accordance with the Declaration of Helsinki
278 (as revised in 2013). The study was approved by the Ethics
279 Board of the affiliated hospital of Qingdao University (No.
280 221311920), and informed consent was obtained from all
281 the patients.

282

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