# Adaptive Admixture of HLA Class I Allotypes Enhanced Genetically Determined Strength of Natural Killer Cells in East Asians

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# Abstract

Human natural killer (NK) cells are essential for controlling infection, cancer, and fetal development. NK cell functions are modulated by interactions between polymorphic inhibitory killer cell immunoglobulin-like receptors (KIR) and polymorphic HLA-A, -B, and -C ligands expressed on tissue cells. All *HLA-C* alleles encode a KIR ligand and contribute to reproduction and immunity. In contrast, only some *HLA-A* and -B alleles encode KIR ligands and they focus on immunity. By high-resolution analysis of *KIR* and *HLA-A*, -B, and -C genes, we show that the Chinese Southern Han (CHS) are significantly enriched for interactions between inhibitory KIR and HLA-A and -B. This enrichment has had substantial input through population admixture with neighboring populations, who contributed *HLA class I* haplotypes expressing the KIR ligands B\*46:01 and B\*58:01, which subsequently rose to high frequency by natural selection. Consequently, over 80% of Southern Han *HLA* haplotypes encode more than one KIR ligand. Complementing the high number of KIR ligands, the CHS *KIR* locus combines a high frequency of genes expressing potent inhibitory KIR, with a low frequency of those expressing activating KIR. The Southern Han centromeric *KIR* region encodes strong, conserved, inhibitory HLA-C-specific receptors, and the telomeric region provides a high number and diversity of inhibitory HLA-A and -B-specific receptors. In all these characteristics, the CHS represent other East Asians, whose NK cell repertoires are thus enhanced in quantity, diversity, and effector strength, likely augmenting resistance to endemic viral infections.

Key words: HLA class I, KIR, admixture, adaptive introgression, natural killer cells, infectious disease, East Asia.

# Introduction

Human leukocyte antigen (HLA) class I molecules are critical components of immunity, whose extreme variation associates with resistance and susceptibility to infection, multiple immune-mediated diseases, and some cancers (Dendrou et al. 2018). *HLA class I* genes are located in the *major* 

histocompatibility complex (MHC) of chromosome 6 and encode proteins that bind peptide fragments derived from intracellular protein breakdown and transport them to the cell surface. In doing so, they can communicate to the adaptive immune system's T cells whether a tissue cell is healthy or unhealthy due to infection or cancer. Subsets of HLA class I allotypes additionally contain an externally facing amino acid

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# MBE

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Α	HLA class I Allotypes in Chinese Southern Han (2N=612)							)	
KIR Ligand	HLA-A			HLA-B			HLA-C		
A3/11									
C1	$\left\{ - \right\}$	$\rightarrow$							
C2	$\langle$		Ž						
ligand	Total	A3/11	Bw4	Total	Bw4	C1	Total	C1	C2
Number of allotypes	27	6	4	54	18	1	29	21	8
Frequency (%)	-	34.6	17.1	-	34.8	14.2	-	84.8	15.2

Ten most f	%		
A*02:07	B*46:01	C*01:02	7.68
A*33:03	B*58:01	C*03:02	5.72
A*11:01	B*40:01	C*07:02	4.58
A*11:01	B*13:01	C*03:04	3.27
A*11:01	B*15:02	C*08:01	2.12
A*30:01	B*13:02	C*06:02	1.96
A*11:01	B*46:01	C*01:02	1.96
A*24:02	B*40:01	C*03:04	1.63
A*24:02	B*40:01	C*07:02	1.47
A*11:01	B*40:06	C*08:01	1.47

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**FIG. 1.** Chinese Southern Han *HLA class I* haplotypes express multiple KIR ligands. (A) Pie charts show the frequency spectra for HLA-A, -B and -C allotypes of the Southern Han cohort of 306 unrelated individuals (2N = 612). Each pie segment represents one allotype. Alternative sequence motifs in the  $\alpha$ 1 domain of the HLA class I molecule determine the four epitopes recognized by different KIR, and which are also called KIR ligands. The A3/11 epitope is carried by HLA-A3 and -A11 (yellow-colored pie segments); the Bw4 epitope is carried by subsets of HLA-A and -B allotypes (green-colored pie segments). The C1 epitope is carried by a majority of HLA-C allotypes as well as by HLA-B\*46 and HLA-B\*73 (red-colored pie segments). The C2 epitope is carried by all HLA-C allotypes that do not carry C1 (blue-colored pie segments). Clear pie segments correspond to allotypes that are not KIR ligands. Supplementary material S2 (Supplementary Material online) lists all the HLA-A, -B and -C allotypes present in the study population and shows which KIR ligand motifs they carry. (B) Shows the 10 most frequent *HLA class I* haplotypes in the Southern Han and their frequencies (2N = 612). Colored shading indicates *HLA class I* alleles that encode KIR ligands, as described in panel A. (C) (left) Bars show the

motif that binds killer cell immunoglobulin-like receptors (KIR), facilitating interaction with NK cells of innate immunity.

KIR are expressed on the surface of NK cells and regulate their functions through binding to HLA class I ligands on other cells (Cooper et al. 2009; Long et al. 2013). The functions of these interactions are crucial in immunity to aid recognition and elimination of infected or tumorous tissue and in reproduction to regulate placentation and fetal development (Parham and Moffett 2013). In accordance with these critical and independent roles in human health, KIR and their HLA class I ligands are subject to natural selection, mediating their exceptional diversity across individuals, populations, and species (Prugnolle et al. 2005; Parham and Moffett 2013). Indeed, KIR and MHC are some of the fastest evolving genomic loci in higher primates (Guethlein et al. 2015). Correlating with direct impact on both NK cell development and effector function (Vivier et al. 2011; Freud et al. 2017), numerous studies have implicated combinatorial diversity of KIR and HLA class I alleles with the course of specific infectious and autoimmune diseases as well as the success of transplantation (Holzemer et al. 2017; Boudreau and Hsu 2018). Importantly, the quantity as well as quality of these interactions can influence individual responses to infection (Pelak et al. 2011; Boelen et al. 2018). Thus, the polymorphism of KIR and HLA class I has profound impact on human health. Underexplored are the scale and characteristics of KIR and HLA class I combinatorial diversity worldwide and the processes that shape this diversity.

NK cells express overlapping subsets of KIR that are acquired stochastically during their development (Andersson et al. 2009). During this process, the interaction of inhibitory KIR with HLA class I KIR ligands broadens and strengthens subsequent effector functions of the NK cell repertoire (Hoglund and Brodin 2010; Saunders et al. 2015; Bjorkstrom et al. 2016). This education process matures some NK cells, allowing them to respond effectively to specific instances of infection or cancer, and enhances the NK cell repertoire compared with those that develop using other more conserved pairs of ligands and receptors. Activating KIR recognizes the same ligands as inhibitory KIR but are more sensitive to peptide repertoire changes caused by infection (Holzemer et al. 2017; Bastidas-Legarda and Khakoo 2019). In these roles, the inhibitory KIR dominate, and in pregnancy where HLA-A and -B have no function, HLA-C is dominant because all expressed HLA-C are KIR ligands (Parham and Moffett 2013). Four mutually exclusive sequence motifs define the four HLA class I epitopes that are KIR ligands: C1 is carried by subsets of HLA-

C and HLA-B allotypes. C2 is carried by the other allotypes of HLA-C. Bw4 is carried by subsets of HLA-A and -B allotypes. The A3/11 motif is carried by a subset of HLA-A allotypes (HLA-A\*03 and A\*11). Thus, only some HLA-A and -B allotypes are KIR ligands, and their main role is likely to diversify the NK cell response to pathogens.

The KIR locus on chromosome 19q13.4 varies in gene content, containing up to eight genes encoding inhibitory KIR and five encoding activating KIR (Wilson et al. 2000). Four of the inhibitory KIR and four activating KIR have wellcharacterized HLA-A, -B, or -C ligands. Two broad groups of KIR haplotypes are present in every human population. KIR A haplotypes carry all four of the HLA-class I-specific inhibitory receptors and are associated with resistance to infectious diseases (Bashirova et al. 2006). KIR B haplotypes are more variable in their gene number, carrying two or more genes for inhibitory receptors as well as various activating receptor genes, and favor fetal development (Parham and Moffett 2013). A recombination hotspot separates the KIR locus into two segments, termed centromeric and telomeric (Wilson et al. 2000). Two inhibitory receptors specific for HLA-C are encoded in the centromeric region, and two HLA-A and -B-specific receptors are encoded in the telomeric region. Additional to gene content variation, polymorphism of both receptors and ligands can directly affect NK cell activity (Guethlein et al. 2015). Thus, by varying the number, density, specificity, strength, or signal transduction properties of the receptor-ligand interaction, genetic variation of KIR and HLA class I can predetermine functional differences in NK cell repertoires between individuals. This genetic diversity is substantial among populations, as demonstrated with high-resolution genotyping (Nemat-Gorgani et al. 2018). In such detailed analysis, Asian populations are underrepresented.

Comprising 20% of the human population, the Chinese Han are the largest ethnic group in the world (Abdulla et al. 2009). The Han have a complex population history and are presently structured with the Northern and Southern Han forming two main subgroups that are separated geographically by the Yangtze River (Wen et al. 2004). The Southern Han originated through large-scale population movements from the north during the last 2,000 years in parallel with admixture with resident and neighboring populations (Wen et al. 2004; Hellenthal et al. 2014). Importantly, for the current study, a major genetic distinction between the Northern and Southern Han occurs in the *MHC* and localizes to the region that spans *HLA-A, -B*, and -C (Xu et al. 2009; Chen et al. 2016). The most significant component of this difference is the

combined frequencies of *HLA class I* haplotypes encoding one (blue), two (gold) or three (green) KIR ligands in seven representative populations worldwide (Southern, Western and Eastern Africa, Europe, Oceania, South America and East Asia) and five further East/South East Asian populations. (Right) Heat-plot shows pairwise comparisons between populations of the proportion of *HLA class I* haplotypes encoding one KIR ligand to those carrying two or more KIR ligands. As shown in the key, colors correspond to  $-\log^{10}$  of a Benjamini–Hochberg corrected p (Pc) from pairwise comparisons. (D) Venn diagrams show the distribution of *HLA class I* haplotypes within representative subsets of populations. The number of haplotypes in each overlapping region is given. The % values indicate the combined frequency of haplotypes unique to a population when compared with the other populations in the diagram. (*E*) Shows correlation ( $r^2$ ) of the topology obtained from the 1000 Genomes populations according to the SNP frequencies of chromosome 6 compared with that obtained from HLA allele frequencies and KIR ligand frequencies. Correlations of topologies are shown and dendrograms given in supplementary material S5 (Supplementary Material online).

A\*33:03-B\*58:01-C\*03:02 HLA class I haplotype, which is common in the Southern Han and remains conserved across multiple unrelated individuals (Chen et al. 2016). Such strong linkage disequilibrium is consistent with recent acquisition of this haplotype by admixture (Chen et al. 2016). This haplotype encodes two KIR ligands: HLA-B\*58:01 and C\*03:02 (Saunders et al. 2015). Although less is known of KIR allele diversity in the Han, several studies established that the genes characteristic of KIR A haplotypes are common and demonstrated differences in their distribution among the different Han groups and among other resident populations (Yao et al. 2011, 2019; Wang et al. 2012; Bao et al. 2013). These studies also confirmed that KIR and HLA class I combinatorial diversity is an important factor in pregnancy syndromes, infectious disease, blood cancers, and transplantation outcome in the Han. They also uncovered both similarities and differences from the specific disease associations observed in Europeans (Jiang et al. 2013; Long et al. 2015; Bao et al. 2016; Shen et al. 2016; Su et al. 2018). To investigate these findings, we have examined how demographic and evolutionary processes have shaped combinatorial diversity of HLA class I and KIR in the Chinese Southern Han (CHS).

### **Materials and Methods**

#### **Study Samples**

Peripheral blood samples were collected from 306 unrelated healthy volunteer blood donors from Shenzhen, Guangdong, China. All donors self-identified to be of Han ethnicity from southern China. All subjects provided written informed consent for participation in the present research, which was approved by the ethics review board of Shenzhen Blood Center, Shenzhen, Guangdong, China.

#### Genomic DNA Extraction

Genomic DNA was extracted from 400  $\mu$ l of peripheral blood using a MegCore Nucleic Acid Extractor (MegCore, Taiwan, China). DNA purity and concentration were tested using a Nanodrop 2000 spectrophotometer (Thermo Scientific, Wilmington, Delaware USA) and adjusted to a concentration of 50–100 ng/ $\mu$ l.

#### High-Resolution HLA-A, -B, and -C Genotyping

HLA-A, -B, and -C genotyping was performed using the AlleleSEQR HLA sequencing-based genotyping commercial kit (Atria Genetics, San Francisco, USA). According to the manufacturer's instructions, exons 2–4 for HLA-A, -B, and -C were sequenced in both directions using an ABI 3730XL DNA sequencer (Applied Biosystems, Foster City, CA, USA). HLA genotypes were assigned using the Assign 4.7 software (Conexio Genomics, Fremantle, Australia). Samples giving ambiguous allele combinations by sequencing were further resolved using HLA PCR-SSP (Olerup, Stockholm, Sweden).

#### High-Resolution KIR Genotyping

The presence or absence of *KIR2DL1*, 2DL2/3, 2DL4, 2DL5, 2DS1, 2DS2, 2DS3, 2DS4, 2DS5, 3DL1/S1, 3DL2, and 3DL3 was first determined for each individual using the "KIR Ready Gene" PCR-SSP kit (Inno-Train Diagnostik GmbH,

Frankfurt, Germany). The *KIR* genes identified using PCR-SSP were then subject to nucleotide sequencing of all exons (Deng et al. 2019b). Sequencing reactions were performed using ABI PRISM BigDye Terminator Cycle Sequencing Ready reagents and analyzed using an ABI 3730 DNA Sequencer (Applied Biosystems, Foster City, USA). *KIR* alleles were assigned using Assign 4.7 allele identification software (Conexio Genomics, Fremantle, Australia) and release 2.6.1 (February 2015) of the Immuno-Polymorphism database (IPD) (Robinson et al. 2015). When the sequencing results gave ambiguous allele combinations, we used group-specific PCR primer pairs to amplify and sequence the target alleles separately (Zhang and Deng 2016).

#### Novel KIR Alleles

To confirm and fully characterize any novel allele identified during amplicon sequencing, we cloned and sequenced KIR transcripts. Further peripheral blood samples were collected, and total RNA isolated using the Maxwell 16 low elution volume simplyRNA Blood Kit (Promega, Madison, USA). Complementary DNA (cDNA) was synthesized using the Transcriptor First Strand cDNA Synthesis Kit (Roche, Basel, Switzerland). KIR transcripts were amplified specifically from cDNA using primer pairs described previously (Yawata et al. 2006), with addition of KIR3DL3-specific primers (forward 5'-GGTTCTTCTTGCTGGAGGGGC-3' and reverse 5'-TTACACGCTGGTATCTGTTGGGGG-3'). The amplified transcripts were cloned using the TA cloning kit (Takara, Dalian, China), and at least three clones of any novel allele were sequenced. The sequences of novel KIR alleles were submitted to GenBank and the IPD KIR database (Robinson et al. 2015) to obtain official names.

#### Haplotype and Ligand Frequencies

KIR and HLA-A, -B, and -C allele frequencies were calculated from the observed genotypes. The subsequent genotype distributions for all loci were consistent with Hardy–Weinberg equilibrium. HLA class I (A-B-C) haplotype frequencies were determined using the EM algorithm of Arlequin software version 3.5 (Excoffier and Lischer 2010). KIR haplotype frequencies were determined using PHASE II (Stephens and Donnelly 2003). The following parameters were used; -f1, x5, and -d1, and from the output, the two haplotypes with highest probability were taken for each individual. For comparing HLA class I and KIR haplotypes with representative global populations, we used populations for which genotype data was available from every individual sampled, and for which the resolution of genotyping was the same as the CHS described here. Thus, we used data from the Ga-Adangbe from Ghana in West Africa (Norman et al. 2013), the Nama, a KhoeSan population from Southern Africa (Nemat-Gorgani et al. 2018), Yucpa from South America (Gendzekhadze et al. 2009), Europeans from the USA (Norman et al. 2016), Māori from Oceania (Nemat-Gorgani et al. 2014), and Hondo Japanese (Yawata et al. 2006). Specifically, for the HLA class I analyses, these data sets were supplemented with a subset of populations described in the 13th International Histocompatibility Workshop and Conference report (Meyer et al. 2007). Inclusion criteria for the latter were East/Southeast Asian populations having >90 *HLA-A*, *-B*, and *-C* genotyped individuals and one East African group that we chose at random from three present (Nandi from Kenya). We compared the proportion of *HLA class I* haplotypes encoding one KIR ligand to those encoding more than one KIR ligand across populations using a twoproportion *Z* test, using the prop.test function in R (R Development Core Team 2008). Watterson's homozygosity *F* test was performed using Pypop software (Lancaster et al. 2007), with 10,000 replicates to calculate the normalized deviate *F*<sub>nd</sub> test (Salamon et al. 1999).

# Comparison of HLA Class I and KIR Ligand Distributions

We obtained genome-wide SNP data from the 1,000 Genomes Project Phase 3 individuals (Auton et al. 2015) from whom HLA allele calls are available (Abi-Rached et al. 2018). To confirm that chromosome 6 SNPs correlate with genome-wide SNPs, PCAs were constructed using the PCA function in PLINK (Purcell et al. 2007). Genotype data was first filtered to include only SNPs having minor allele frequency >1% and that were independent of other SNPs (linkage disequilibrium,  $r^2 < 0.3$ ). The correlation between PC1 calculated from whole genome and chromosome 6 genotypes is 0.996. We then calculated the respective Euclidean distances between populations from the frequencies of chromosome 6 SNPs, HLA class I alleles, and the number of KIR ligands encoded per haplotype. To compare the relationship between global distribution of human genetic variation and HLA allele frequencies to the relationship between global distribution of human genetic variation and the number of KIR ligands per haplotype, we determined the correlation of each respective topology with the topology for the full set of chromosome 6 SNPs, using the dendextend package (v1.14.0) (Galili 2015).

#### Admixture Estimates

Whole genome SNP genotypes for Japanese (N = 104), Vietnamese (N = 99), Han from Beijing (N = 103), Southern Han (N = 105), and Dai (N = 93) were obtained from the 1,000 Genomes (Phase 3) Project (Auton et al. 2015). We used any SNPs having minor allele frequency >1% and independent of other SNPs (linkage disequilibrium,  $r^2 < 0.3$ ). Admixture was calculated for chromosome 6 using the ADMIXTURE program (Alexander et al. 2009), with the unsupervised option and k = 3. Two regions were analyzed, the MHC (chr6:28,477,797-33,448,354: 3,541 SNPs) and chromosome 6 excluding the MHC (84,898 SNPs). The number of SNPs required to resolve genetic ancestry is inversely proportional to the genetic distance between populations (Alexander et al. 2009). To ensure that there was adequate population structure for admixture estimates, we therefore calculated the  $F_{ST}$  for chromosome 6 across the populations used to calculate genetic ancestry. We first filtered out variants with a minor allele frequency less than 1% and then calculated  $F_{ST}$  for each SNP variant of chromosome 6 using VCF tools 0.1.12b, which implements a modified version of

Wright's  $F_{ST}$  (Danecek et al. 2011). Mean  $F_{ST}$  was then determined for the variants of chromosome 6, within and outside the MHC. We selected a K of 3 to represent the three primary ancestry groups in the region that are represented in the 1,000 Genomes data: Japanese, South East Asian, and East Asian (Chen et al. 2016). HLA class I alleles were obtained from the 1,000 Genomes Project data (Gourraud et al. 2014). We analyzed the Hondo Japanese (JPT), Vietnamese (KHV), Chinese Dai (CDX), CHS, and Beijing Han (CHB). Validating their use for this purpose, the correlation of the HLA class I allele frequencies between our study population and the CHS  $(P = 6.65^{-11}, \text{supplementary})$ is 0.95 material S1. Supplementary Material online). Individuals were considered carriers if they had at least one copy of the respective allele. Distributions of ancestry proportions for carriers and noncarriers of specific HLA alleles were compared using a Wilcoxon test, using the wilcox.test function in R (R Development Core Team 2008).

#### Estimates of Nucleotide Diversity

We used  $\pi$  (Nei and Takahata 1993) to measure the nucleotide diversity of haplotypes carrying specific *HLA-B* alleles. We used the phased genomes of the CHS population available from the 1,000 Genomes Project (Auton et al. 2015) and extracted the genomic region containing the *HLA-B* and -C genes, with 500 kbp flanking on each side. For each carrier of a given allele, we identified (by sequence) and retained the haplotype representing the allele of interest. For each given allele, we pooled all of the respective haplotypes present in the population and calculated  $\pi$  in 100 bp windows using VCFtools (Danecek et al. 2011). Distributions of  $\pi$  values were compared between respective alleles with a Wilcoxon test using the wilcox.test function in R.

# Tests for Positive Selection Affecting Specific HLA Class I Alleles

We filtered 1,000 Genomes genotyping data of chromosome 6 from the CHS population to remove nonbiallelic and duplicated SNPs (Purcell et al. 2007) and then phased using the program Eagle (Loh et al. 2016). We used the program Selscan (Voight et al. 2006) to calculate the integrated haplotype statistic (iHS). The statistic is a measure of haplotype diversity associated with a given genetic variant, where lower diversity and longer haplotypes correlate with selection of that variant. Selscan reports iHS that are either positive or negative based on whether the variant exhibiting the extended haplotype homozygosity is ancestral or derived, respectively. The characterization of ancestral or derived can be confounded by population size or by long-term balancing selection (as observed for HLA). For this reason and because we are specifically interested in the distribution of iHS for variants on specific HLA class I haplotypes, regardless of whether selection is targeting an ancestral or derived variant, we used the absolute value of iHS.

To determine if specific *HLA class I* alleles have been targeted by directional selection in the CHS, we again used the 1,000 Genomes SNP data from the CHS population. SNPs within the following hg19 coordinates were used: *HLA-A*,

Chr6: 29,910,089-29,913,770; HLA-B, Chr6: 31,321,648-31,325,007; HLA-C, and Chr6: 31,236,517-31,239,917. We phased haplotypes from individuals positive for each given HLA class I allele and aligned them to reference sequences to identify the haplotype containing that allele. The alignments were then used to identify "tagging" SNPs that could be used to identify each given HLA class I allele. The criteria for choosing tagging SNP alleles were that they must be present in every individual carrying the corresponding HLA class I allele and that they must be absent from the other HLA class I alleles in the analysis. We analyzed the alleles present on the 10 most frequent HLA class I haplotypes that we observed in the CHS; HLA-B\*15:02 was excluded because we were not able to identify unique tagging alleles on haplotypes carrying this allele. For each tagging SNP, we calculated the integrated haplotype score (iHS) using SelScan. We used the absolute value of iHS since derived alleles under selection will have a negative value and ancestral alleles under selection will have a positive value (Szpiech and Hernandez 2014). Using a Wilcoxon two-sample test, we examined whether the distributions of absolute iHS values differed between tagging SNPs of HLA alleles and SNPs of the full chromosome 6.

Assessment of Receptor/Ligand Quality and Quantity As described previously (Nemat-Gorgani et al. 2018), experimental data were used to determine the interacting pairs of KIR and HLA class I, which are listed in supplementary material S2 (Supplementary Material online). To determine the quantity of receptor/ligand interactions, the number of KIR/ HLA allotype pairs that are known to interact was summed for each individual, and homozygous KIR or HLA allotypes were counted twice. To determine the diversity of interactions, the number of different KIR/HLA allotype pairs that are known to interact were summed for each individual (in this case, homozygous allotypes were counted once). Populations were compared using unpaired t tests, using GraphPad software.

# Results

High Frequency of KIR Ligands in the Southern Han

All HLA-C and subtypes of HLA-A and -B allotypes are ligands for KIR, which are expressed on the surface of NK cells to modulate their functions in immunity and reproduction. To characterize the distribution of KIR ligands in the CHS, we analyzed the HLA-class I genes of 306 healthy individuals. We identified 27 HLA-A, 54 HLA-B, and 29 HLA-C alleles (supplementary material S3, Supplementary Material online). Each of these 110 alleles encodes a different HLA class I allotype and 58 of them are known KIR ligands (fig. 1A). The majority of 233 HLA class I haplotypes, including the ten most frequent (fig. 1B), encode more than one KIR ligand (70.3% of distinct haplotypes; 81.8% by frequency, supplementary material S4A, Supplementary Material online). To investigate the unusually high frequency of KIR ligands, we compared Southern Han HLA class I haplotypes with those of sub-Saharan African, Oceanian, European, and South American populations that represent major modern human groups (Rosenberg et al.

2002; Tishkoff et al. 2009). Among the seven populations, 831 different HLA class I haplotypes were observed (supplementary material S4B, Supplementary Material online). Five of the major populations have a similar distribution of KIR ligands, with each population having an approximately equal frequency of HLA class I haplotypes carrying one and two KIR ligands, and a smaller frequency of haplotypes carrying three KIR ligands (fig. 1C). Only Southern Han and South Americans differ from this pattern, with the Han encoding more and the Amerindians encoding less KIR ligands per haplotype than other populations (fig. 1C). The difference in the proportion of HLA class I haplotypes encoding one versus more than one KIR ligand between the Southern Han and each of the other representative populations is statistically significant, as is that between Amerindians and the other populations (two-proportions Z-test, Benjamini-Hochberg corrected P < 0.001, fig. 1C). The allele frequency distribution of South American Amerindians was likely influenced by severe population bottlenecks, leading to a reduced genome-wide diversity compared with other populations (Fagundes et al. 2008; Raghavan et al. 2015), whereas the Han were not subject to severe population-specific bottleneck (Henn et al. 2012; Schiffels and Durbin 2014; Lu et al. 2016). Finally, to examine if the CHS are representative of other related populations, we examined HLA data obtained from East Asian (Hondo Japanese and Korean) and Southeast Asian (Thai, Malay, and Filipino) populations (Meyer et al. 2007). This analysis showed that these populations also have a high frequency of HLA class I haplotypes encoding multiple KIR ligands (fig. 1C). Our analysis thus shows that East Asian and South East Asian HLA class I haplotypes encode more ligands for inhibitory KIR than the haplotypes of any other populations.

Despite having distinct population histories, the three sub-Saharan African, Oceanic, and European populations all have a similar mean number of KIR ligands per HLA haplotype (fig. 1C). However, very few HLA class I haplotypes are shared by any of these populations. For example, only 19 of 369 haplotypes detected in Africans are present in more than one of the three African populations studied, and comparing the disparate Southern African Nama, Maori, and European populations revealed just six haplotypes in common (fig. 1D). We therefore examined whether the observed distribution of HLA class I encoded KIR ligands is consistent with the global distribution of human genetic variation. We analyzed genome-wide SNP and HLA allele data of individuals from the 1000 Genomes Project (Auton et al. 2015; Abi-Rached et al. 2018). Trees were generated based on the frequencies of all chromosome 6 SNPs, HLA class I alleles, and the number of KIR ligands encoded by HLA haplotypes (supplementary material S5, Supplementary Material online). We observed a modest correlation ( $r^2 = 0.53$ ) between the topology obtained from chromosome 6 SNPs and that obtained from HLA allele frequencies (fig. 1E), consistent with combined effects of local adaptation and human demography in shaping global HLA diversity (Prugnolle et al. 2005; Solberg et al. 2008). By contrast, we observed little correlation  $(r^2 = 0.04)$  between chromosome 6 SNPs and the number of KIR ligands per haplotype. Thus, whereas genetic patterns arising from human demography are broadly congruous with observed HLA diversity, they are a poor predictor of KIR ligand composition.

# The CHS Acquired HLA Haplotypes Encoding Multiple KIR Ligands by Admixture

Previous analyses suggested that specific MHC genomic region haplotypes (which include the HLA genes) present in the CHS were obtained from the Northern Han through admixture (Chen et al. 2016). The most frequent HLA class I allotypes contributing to the enrichment of KIR ligands in the CHS are HLA-A\*11, -A\*24, -B\*46, and -B\*58 (fig. 1B). We therefore examined the relative contributions of admixture to the high frequency of these alleles in the CHS. For this analysis, we considered known admixture events (Wen et al. 2004; Xu et al. 2009; Hellenthal et al. 2014) and drew upon the 1,000 Genomes SNP and HLA genotype data (Gourraud et al. 2014; Auton et al. 2015) from Hondo Japanese, Vietnamese, Dai, Beijing Han, and CHS. Consistent with the previous work examining whole-genome data (Takeuchi et al. 2017), in analyzing chromosome 6, we identified three primary genetic ancestries, corresponding to the Japanese, East Asian (Southern and Beijing Han), and South East Asian (Vietnamese and Dai) population groups (fig. 2A). That we identify a higher "Japanese" component in the Beijing than Southern Han (36% vs 22%: fig. 2A) likely reflects the higher proportion in Beijing of Northern Han (Auton et al. 2015), a population from which we have no data for the current study. Supporting this observation, the greatest contribution from China to Japanese ancestry is from the Northern Han (Chen et al. 2016; Takeuchi et al. 2017).

We compared the relative proportions of the three genetic ancestries in the CHS within the MHC region of chromosome 6 to their proportions throughout chromosome 6 excluding the MHC. This analysis revealed a predominance of East Asian ancestry throughout the length of chromosome 6, including the MHC (fig. 2B). In carriers of HLA-B\*40:01, the most frequent HLA-B allele in CHS, there is also clear East Asian ancestry throughout the length of chromosome 6 (fig. 2B). The proportion of East Asian ancestry is similar in B\*40:01 carriers than noncarriers (Wilcoxon test, P = 0.98). By contrast, among HLA-B\*46:01 carriers, the MHC is primarily of South East Asian ancestry (fig. 2B) with carriers having a significantly higher proportion of South East Asian genetic ancestry in the MHC than outside the MHC ( $P = 2.7^{-6}$ ), or within the MHC of noncarriers ( $P = 1.9^{-5}$ ). Similarly, among HLA-B\*58:01 carriers, the MHC region is primarily of Japanese ancestry (fig. 2B), with carriers having a significantly higher proportion of Japanese genetic ancestry within the MHC than outside the MHC ( $P = 2.4^{-4}$ ) and compared with non-B\*58:01 carriers  $(P = 6.1^{-7})$ . For each carrier group, the primary genetic ancestry outside of the MHC region was determined as East Asian (fig. 2B). We calculated the mean  $F_{ST}$  across the East Asian, South East Asian and Japanese ancestral groups, both within and outside the MHC. Further supporting the observed population structure as specific to the MHC region, among the three ancestral groups the  $F_{ST}$  values range from

0.098 to 0.161 within the *MHC*, compared with 0.012–0.017 for the remainder of chromosome 6.

Based on the analysis of HLA-B\*46 and -B\*58, we examined the genetic ancestry proportions of carriers of any alleles that comprise the ten most frequent HLA class I haplotypes observed in the CHS. For every group of individuals defined by the allele they carried, the primary genetic ancestry outside of the MHC was determined as East Asian. We then determined the primary genetic ancestry in the MHC region for carriers of each respective allele and then determined the relative proportion of that ancestry in the remainder of the MHC. This analysis identified six haplotypes maintaining strong evidence of East Asian genetic ancestry both within the MHC and throughout chromosome 6. These haplotypes include those that carry A\*11:01 and A\*24:02 as well as B\*15:02 and B\*40:01 (fig. 2C). It was shown previously that these alleles all likely derive from introgression with archaic humans (Abi-Rached et al. 2011), and our results and others (Solberg et al. 2008; Gonzalez-Galarza et al. 2015) suggest that these haplotypes are now endemic to East Asia. The analysis also identified four haplotypes having genetic ancestry within the MHC that is distinct from the ancestry of the remainder of the chromosome (fig. 2C). For three of the haplotypes, which include the two most frequent haplotypes in the population, this distinction is statistically significant ( $P_{
m corr}$  < 0.01). Two of these haplotypes contain B\*46:01 and one contains B\*58:01 (fig. 2C). In total, four of five of the HLA-B alleles that encode a KIR ligand and are present on these ten most frequent haplotypes show increased evidence for admixture in the MHC region. By contrast, neither of the two HLA-A alleles that encode a KIR ligand show a genetic ancestry within the MHC that differed from the East Asian ancestry throughout chromosome 6. This finding suggests that the number of HLA-B alleles encoding KIR ligands was enhanced in CHS by admixture with neighboring or displaced populations. In summary, these findings clearly show that the B\*46:01 and B\*58:01 alleles are present in CHS through admixture.

# Admixed HLA Haplotypes Have Increased in

Frequency under Positive Natural Selection in the CHS To investigate whether or not the admixed haplotypes were also subject to natural selection, we examined further characteristics of their diversity and distribution. We first measured nucleotide diversity  $(\pi)$  of the genomic sequence flanking  $\pm$  500 kb of specific HLA-B alleles (fig. 3A). We found significantly reduced nucleotide diversity of haplotypes containing HLA-B\*46 compared with haplotypes containing HLA-B\*40 (mean  $\pi$  of B\*40 = 2.2 × 10<sup>-3</sup>, B\*46 = 0.6 × 10<sup>-3</sup>, Wilcoxon test,  $P = 1.24 \times 10^{-12}$ ). We also observed that haplotypes containing  $B^*58$  have lower diversity than  $B^*40$ , but this reduction was not statistically significant (mean  $\pi$  of  $B^*58 = 1.6 \times 10^{-3}$ , Wilcoxon test, P = 0.12). This reduced diversity suggests that admixed B\*46 haplotypes have arisen in frequency in the CHS without accumulating mutations. To further explore this finding, we used the iHS statistic, which identifies genomic variants that have increased in frequency recently and rapidly under natural selection, so that their haplotypic background has not yet been diversified by recombination (Voight et al. 2006). We identified a strong signal of recent selection (iHS  $\geq$  99th percentile) that falls precisely in the *MHC* of the CHS (fig. 3*B*).

To investigate the patterns of selection specific to HLA class I alleles that are common in the CHS, we first identified SNPs that characterize the alleles present on the ten most frequent haplotypes and then compared their distributions of iHS values to the distribution of all the SNPs of chromosome 6. In this comparison, the B\*13, C\*03, and C\*06 alleles did not exhibit iHS values higher in magnitude than the mean for chromosome 6 (fig. 3C), and we did not find any SNPs unique to B\*15. By contrast, for B\*46, the mean absolute iHS of 3.38 was significantly higher than the mean for chromosome 6 of 0.785 (Wilcoxon two-sample test,  $P = 5.77^{-6}$ ), as was the mean iHS for  $B^{*}58$  (3.43,  $P = 1.8^{-3}$ ). Although the signal for  $B^{*}58$  is weaker, there is a more distinct subset of SNPs having extremely high iHS values (>99th percentile, fig. 3C), which could indicate recent selection of an older haplotype, although it was not possible from our analysis to determine if the SNP allele was ancestral or derived in each case. This analysis identified two other HLA class I alleles as having highly distinct signatures of directional selection, A\*30 and C\*07. HLA-C\*07 is known to interact strongly with KIR to educate NK cells (Yawata et al. 2006; Hilton et al. 2015a). Although HLA-A\*30 does not encode a KIR ligand, it occurs on the same haplotype as HLA-B\*13 (fig. 1B), which is not selected by this measure (fig. 3C) but does carry a KIR ligand. By contrast, although we were unable to identify adequate tag SNPs for B\*15 alleles, the HLA-A\*11 and -C\*08 alleles that encode KIR ligands and comprise the haplotype carrying B\*15:02 do show evidence of natural selection (fig. 3C).

Interestingly, the mean iHS for  $B^*40$  associated SNPs was also significantly higher than the chromosome average (1.88,  $P = 7.7^{-6}$ ). However, fewer B\*40-specific SNPs had an iHS value in the 95th percentile than B\*58 or B\*46-specific SNPs (30, 50, and 100% of SNPs, respectively). Importantly, the most frequent  $B^{*40}$  containing haplotypes in the CHS carry either A\*11 or A\*24, which are KIR ligands (fig. 1B). Again, this analysis showed both  $A^{*11:01}$  (mean = 2.8, Wilcoxon two sample test  $P = 1.45^{-11}$ ) and  $A^*24:02$  (mean = 1.37,  $P = 1.27^{-9}$ ) associated SNPs have significantly higher iHS values than the chromosome average, with A\*11 having a mean iHS that is above the 95th percentile. Haplotypes carrying HLA-A\*11:01, A\*24:02, B\*46:01, or B\*58:01 were previously identified to have unusually high LD in this population (Chen et al. 2016). Together, these findings illustrate that multiple HLA class I allotypes present in the CHS have been targeted by natural selection and suggest that one major consequence has been an increase in the number of KIR ligands present in the population.

In summary, these results show that similar quantities of KIR ligands can be obtained across populations using different subsets of *HLA class I* haplotypes, indicating that there is pressure to maintain a certain ratio of KIR ligands, regardless of the background HLA allotype, and that this ratio is altered in East Asia. Our observations show that successive rounds of admixture followed by natural selection favoring specific *HLA class I* haplotypes have led to an increased quantity of KIR/

HLA interactions in East Asian populations. To investigate the characteristics of this receptor and ligand diversity, we next studied the *KIR* locus in the CHS.

# High Frequency of Inhibitory KIR Allotypes in Southern Han

The KIR locus comprises genes encoding the four inhibitory and six activating KIR known to bind polymorphic HLA class I ligands and three that do not bind polymorphic HLA class I (Guethlein et al. 2015). In total, we identified 116 KIR alleles, representing 101 KIR allotypes (supplementary material S6, Supplementary Material online). A total of 46 novel KIR alleles (39.7% of total KIR alleles detected) were characterized (supplementary material S7, Supplementary Material online) and 24.8% of the individual Han carried at least one novel allele. Correcting for the number of individuals tested showed that the Southern Han are more diverse than Amerindians and Oceanians, but less diverse than Europeans and Africans (fig. 4A). KIR diversity of the CHS is thus consistent with genome-wide diversity when compared with the other populations (Campbell and Tishkoff 2008). The CHS have 70 centromeric and 91 telomeric KIR haplotype motifs that combine to form a minimum of 199 KIR haplotypes (supplementary material S8A-C, Supplementary Material online). The majority are KIR A haplotypes (74.7%, fig. 4B), including 8 of the 10 most frequent haplotypes (fig. 4C). This skewing toward KIR A haplotypes is more pronounced in the centromeric region (87.9%) than the telomeric (79.7%) region (figs. 4C and supplementary material S8A-B, Supplementary Material online).

KIR A haplotypes encode all four inhibitory receptors that bind HLA class I ligands and either one or no activating receptors (Wilson et al. 2000). Accordingly, among the KIR alleles identified in the CHS, we observed high frequencies of those encoding strong inhibitory receptors. Both KIR2DL1\*003, a strongly inhibiting allotype of KIR2DL1 (Bari et al. 2009; Hilton et al. 2015a), and KIR2DL3\*001, a strongly inhibiting allotype of KIR2DL2/3 (Yawata et al. 2006), are common in the CHS, having frequencies of 73.5% and (supplementary 70.1%. respectively material S6. Supplementary Material online). Also frequent are KIR3DL1\*015, which is a strong inhibitor on binding to the Bw4 ligand (Yawata et al. 2006), and KIR3DL2\*002 that has high expression but unknown functional properties (supplementary material S6, Supplementary Material online). Noticeably scarce are inhibitory KIR allotypes having mutations that prevent cell surface expression, of which there are many examples (Pando et al. 2003; VandenBussche et al. 2006; Bari et al. 2009; Hilton et al. 2015b). For instance, weakly expressed KIR3DL1\*004 is present at allele frequencies of 5-15% in African, European, Oceanic, and South Asian populations (Norman et al. 2007; Nemat-Gorgani et al. 2014), but absent from the CHS [supplementary material S6, Supplementary Material online; Tao et al. (2014)]. Also rare in the CHS are alleles encoding inhibitory allotypes of reduced function, such as KIR2DL1\*004 (3.6%, supplementary material S6, Supplementary Material online), which is widespread at 5-25% in African, European, Oceanic, and South Asian

populations (Rajalingam et al. 2002; Meenagh et al. 2008; Bari et al. 2009; Vierra-Green et al. 2012; Norman et al. 2013; Nemat-Gorgani et al. 2014). Moreover, the frequencies of genes encoding activating receptors are much lower (4.4– 18%) than those encoding inhibitory receptors (91.5–100%), an effect compounded by the presence of multiple nonfunctional activating KIR allotypes (supplementary material S6, Supplementary Material online). Exceptional is KIR2DS4, for which the frequencies of functional and nonfunctional allotypes are similar (55%:45%, supplementary material S6, Supplementary Material online). These observations point to a strong requirement in the Southern Han population for retaining high numbers of functional inhibitory KIR, but not activating KIR.

### Directional Selection Reduced Centromeric KIR Region Diversity in the Southern Han

In CHS, the KIR3DL1/S1 and KIR3DL2 genes encoding inhibitory NK cell receptors specific for polymorphic HLA class I ligands have two or three high frequency alleles and multiple less frequent alleles (supplementary material S6, Supplementary Material online). In contrast, KIR2DL1 and 2DL2/3 also encode inhibitory receptors but are each dominated by one high frequency allele (fig. 4D). To explore this observation, we used the Ewens–Watterson test ( $F_{nd}$ ), which determines if homozygosity deviates within a population from that expected for the number of alleles present. We compared the observed homozygosity with the expected across populations representing major ancestry groups from Europe, Africa, Asia, South America, and Oceania. KIR2DL1 and KIR2DL2/3 are in the centromeric region of the KIR locus, whereas KIR3DL1/S1 and KIR3DL2 are telomeric KIR genes (Wilson et al. 2000). Overall, the Southern Han show greater deviation from expected homozygosity compared with other populations, and this is more pronounced among centromeric than telomeric KIR genes and statistically significant for KIR2DL2/3 ( $F_{nd} = 3.1$ , two-tailed P < 0.05, fig. 4E). As the  $F_{nd}$  test is not corrected for demography, it does not allow us to make inferences as to whether the patterns we observed are due to demography or natural selection. However, our high-resolution analysis of KIR alleles complements a recent analysis of genome-wide SNP data that identified directional selection specifically in East Asian centromeric KIR (Augusto et al. 2019). Although not statistically significant here (fig. 4E), evidence for directional selection acting on the centromeric KIR region is also described for Hondo Japanese (Yawata et al. 2006). Thus, the profile observed for East Asian populations is distinct from other populations. Together, these independent analyses suggest that directional selection reduced sequence diversity of the centromeric KIR in the Southern Han, whereas the telomeric KIR region retains some diversity. In addition, we observed a minimum of eleven different KIR haplotypes having a duplication in the telomeric region (supplementary material S8D, Supplementary Material online). The telomeric KIR have greater allelic diversity than centromeric KIR in Chinese Han (supplementary material S8D, Supplementary Material online), and these duplication haplotypes have potential to

further diversify the NK cell repertoire because both allotypes of each gene are expressed (Norman et al. 2009; Beziat et al. 2013). We conclude that the centromeric *KIR* region provides consistency to CHS NK cell functions, whereas the telomeric *KIR* region provides NK cell receptor diversity.

#### Interactions of KIR with HLA Class I

NK cell function is modulated by interactions between KIR and their cognate ligands, HLA class I molecules. Whereas all HLA-C molecules are always ligands for KIR, only a subset of HLA-A and -B molecules function as KIR ligands. We examined the impact of genetic variation on the diversity and guantity of KIR/HLA class I interactions in the CHS. The studied individuals have a mean of 6.7 distinct pairs of interacting KIR and HLA class I ligands, forming a normal distribution from one to twelve interactions per individual (Shapiro–Wilk test, P = 0.147, fig. 5A). Such normal distributions are seen in other populations (Norman et al. 2013; Nemat-Gorgani et al. 2014). To investigate the distinct HLA-A and -B ligand distribution of the Southern Han, we divided this analysis into its major components of KIR interactions with HLA-C and of KIR interactions with HLA-A and -B (supplementary material S2, Supplementary Material online). In analyzing only the interactions with HLA-C, we find that functional diversity, as measured by the mean number of distinct receptor/ligand combinations per individual, is consistent with the overall genetic diversity of the populations studied. At the low end of the range are the Yucpa Amerindians with two distinct receptor/ligand interactions per individual. At the high end are the Southern African Nama with 4.5 different interactions (fig. 5B).

When the total number of interacting pairs of inhibitory KIR and HLA-C ligands is analyzed, the ranking remains the same, but the difference across populations is reduced, ranging from 3.6 to 4.9 viable inhibitory KIR/HLA-C interactions per individual (fig. 5B). On this scale, the CHS are seen to have relatively low diversity and a similar number of interactions between inhibitory KIR and HLA-C to other populations. In sharp contrast, the CHS, together with the Hondo Japanese, have significantly higher number (*t*-test, P < 0.0001) and diversity (P < 0.001), of inhibitory KIR interactions with HLA-A and -B than any other population (fig. 5B). Thus, both the quantity and strength of interactions between inhibitory KIR and HLA-A and HLA-A and -B are enhanced in Southern Han and Japanese. We predict that this will also be true for other East Asian populations.

#### Discussion

Despite the significant differences in the distributions of *HLA class I* alleles across human populations (Meyer et al. 2007), the distribution of KIR ligands is very similar. In this context, our analysis identified an unusual distribution of HLA-A and - B-specific KIR ligands in CHS, representing the world's largest population group. For *HLA-C*, all haplotypes encode one of two alternative KIR ligands, whereas for *HLA-A* and *HLA-B*, only subsets of allotypes carry KIR ligands; an example being the Bw4 motif. A potential reason is that HLA-C ligand sequences converge on a single ancestral origin, whereas

the Bw4 motif can be shuffled among HLA-A or -B allotypes through meiotic recombination (Guethlein et al. 2015). Bw4 shows evidence for balancing selection across human populations, which maintain equivalent numbers of haplotypes that do or do not carry Bw4 (Norman et al. 2007). Accordingly, similar numbers of haplotypes with and without KIR ligands are attained independently in different human populations using very different HLA-A and -B allotypes. Due in part to high frequencies of the A3/11 ligand carried by subsets of HLA-A and C1 allotype carried by HLA-B\*46, the Southern Han Chinese and other East Asian populations do not follow these patterns. We show that the number of KIR ligands at HLA-A and B has increased both directly through natural selection of allotypes that carry KIR ligands and indirectly through linkage with naturally selected alleles that are not ligands. The high frequencies of the HLA class I haplotypes shared by East Asian populations are an indication of their recent shared ancestry (Abdulla et al. 2009). We find a greater abundance of HLA-A and -B KIR ligands in East Asians than other populations as well as a greater diversity of interactions between inhibitory KIR and HLA-A and -B.

The frequency of East Asian HLA class I alleles that derive from ancient humans by introgression was previously estimated to be 70-80% (Abi-Rached et al. 2011). The most common of these alleles are HLA-A\*11 and HLA-A\*24, which encode KIR ligands. Previous studies have illustrated a complex series of demic dispersions in Central China with three waves moving from North to South in the past two millennia (Wen et al. 2004). This migration was followed by admixture events between the Han and South East Asian populations as well as a genetic differentiation occurring between Northern and Southern Han (Wen et al. 2004; Hellenthal et al. 2014). Consistent with these later admixture events, we show that HLA-B\*46:01 and HLA-B\*58:01, which also encode KIR ligands, were specifically enhanced in frequency in Southern Han. HLA-B\*46 is a good educator of NK cells (Yawata et al. 2006) and rose in frequency in South East Asia under positive selection (Abi-Rached et al. 2010). The second most frequent haplotype, which encodes HLA-B\*58, likely arose in Northern Asia, and although the signal is weaker, this may have been selected both in the Northern and Southern Han. Consequently, HLA-B\*46:01 and HLA-B\*58:01 are the most frequent HLA-B alleles encoding KIR ligands and distinguish the most frequent HLA class I haplotypes, in the Southern Han.

There is precedent in other modern human populations for adaptive introgression of *HLA* alleles (Rishishwar et al. 2015; Busby et al. 2017). For example, populations of Bantu speakers from western central Africa expanded through new habitats and acquired *HLA* haplotypes from rainforest hunter-gatherer pygmies (Patin et al. 2017). Multiple studies have identified that signals of recent admixture evident in Amerindian and Hispanic populations are enhanced in the *MHC* (Homburger et al. 2015; Rishishwar et al. 2015; Zhou et al. 2016; Meyer et al. 2018). A common theme of these studies is that the acquired HLA allotypes are beneficial for populations exposed to pathogens they had not previously encountered (Tang et al. 2007; Norris et al. 2020). Moreover, our findings may be consistent with recent work identifying a second wave of Denisovan-like admixture that is specific to East Asian populations (Browning et al. 2018). Thus, although we show that the *HLA-B\*46:01* and *-B\*58:01* haplotypes were obtained by the Han from neighboring modern human populations, they were likely to have been acquired by those populations as a consequence of admixture with archaic humans.

Complementing the high number of HLA class I ligands, we find that in the CHS, the number of inhibitory KIR is increased relative to other groups. These KIR allotypes are distinguished by their high expression, signal transduction strength and fine specificity for ligand (Hilton et al. 2015a; Saunders et al. 2016; Boudreau et al. 2017). Thus, whereas we do not provide direct evidence that selection to increase the number of KIR ligands is driving HLA distribution in East Asians, we show that many of the component parts of NK cell diversity have not evolved under neutrality. Strong and specific inhibition during NK cell education in the bone marrow enhances responsiveness of the mature NK cells to any loss of the respective HLA class I ligand that may occur during infection or tumorigenesis (Kim et al. 2005; Anfossi et al. 2006; Goodridge et al. 2019). Possessing higher numbers of inhibitory KIR thus leads to better effector function, and a higher number of inhibitory KIR ligands leads to larger numbers of circulating NK cells, stronger killing and greater diversity of the NK cell repertoire (Yawata et al. 2006; Brodin et al. 2009; Beziat et al. 2013). That the number of receptors (Pelak et al. 2011) and ligands (Thons et al. 2017) correlates with infection control, suggests the diverse NK cell repertoires of the Southern Han have likely evolved to combat infectious diseases common or endemic to East Asia. Although it is difficult to identify the specific pathogen exposure history of the CHS, the most plausible candidates for causing selection pressure are viral infections that have established roles for KIR/HLA interaction during host defense (Bashirova et al. 2006; Abi-Rached et al. 2010). Such pathogens have been shown to be effective drivers of adaptive introgression and natural selection in human populations (Enard and Petrov 2018; Harrison et al. 2019). One example is nasopharyngeal carcinoma (NPC) caused by Epstein-Barr virus. HLA-A\*11 offers protection from NPC (Tang et al. 2012), and the interaction of KIR3DL2 with HLA-A\*11 is dependent on presentation of peptides derived from EBV (Hansasuta et al. 2004). Influenza is another key candidate, with highly virulent epidemics linked to the combination of dense population, agriculture, and industrialization (Chen et al. 2006; Cao et al. 2009). Human-specific viral hepatitis infections and arboviruses are also endemic to China and South East Asia, including Japanese encephalitis, dengue, and chikungunya (Khakoo et al. 2004; Bashirova et al. 2006; Petitdemange et al. 2011; Townsley et al. 2016; Naiyer et al. 2017; Thons et al. 2017). Consistent with these observations, KIR A has established roles in controlling virus infections (Khakoo et al. 2004; Bashirova et al. 2006), and we recently showed that KIR A homozygosity protects from leukemia in CHS (Deng et al. 2019a). Reproduction is also a major driver of selection, where KIR  $AA/C2^+HLA$ -C genotype is associated with increased risk for developing preeclampsia (Parham and Moffett 2013). Thus, the low frequency of  $C2^+HLA$  in East Asia likely allows the *KIR A* haplotype to reach high frequency (Nemat-Gorgani et al. 2018). High resolution analysis of KIR and HLA diversity across East Asian populations (Wang et al. 2012; Bao et al. 2013; Yao et al. 2019) will be critical for understanding these and other complex diseases.

Genomic analyses and historical records have identified the Han migrated in three large waves from North to South during 265-316AD 618-907AD and 1127-1279AD (Ge et al. 1997; Wen et al. 2004; Stoneking and Delfin 2010). Concurrently, and separated by the Yangtze river, the Han became two genetically differentiated groups in the North and South. The significant admixture events between the CHS and South East Asians therefore likely occurred within the past 2,000 years. The timing of selection events for the endemic HLA-B\*40:01 allele, and the admixed B\*46:01 and B\*58:01 alleles, can be speculated relative to these migrations, based on allele frequency, nucleotide diversity and |iHS| values. As expected, B\*40:01 has a higher frequency in the Southern Han than the South East Asian populations (e.g. 0.23 and 0.08, respectively, for CHS and CDX [Abi-Rached et al. 2018)]. The haplotype carrying B\*40:01 also has the highest nucleotide diversity and the lowest distribution of [iHS] values compared with B\*58 and B\*46, suggesting that this allele was under selection before the migration southward. Conversely, B\*46:01 has a higher frequency in South East Asians than East Asians [0.32 and 0.17, respectively, for CDX and CHS (Abi-Rached et al. 2018)]. HLA-B\*46:01 allele also has the lowest nucleotide diversity in the Southern Han and higher |iHS| values than B\*40:01. Therefore, it is likely that the B\*46:01 allele was beneficial to the Southern Han after migrating south. The frequency of B\*58 is similar in East Asians and South East Asians. Of the 1,000 Genomes populations we analyzed, this allele has the highest frequency in the Beijing Han (0.08), which maintains more Northern Han ancestry than the Southern Han population does. Also, we identified a signature of Northern Han ancestry within carriers of the A\*33:03-B\*58:01 haplotype. Our findings are thus consistent with the previous suggestion that HLA-B\*58:01 was obtained by admixture (Chen et al. 2016), and the likely Northern Han origin is further supported by the high frequency (7-20%) of HLA-B\*58:01 in Northern Asia (Machulla et al. 2003). The nucleotide diversity of B\*58 falls in a range between B\*40 and B\*46, and interestingly, distinct subsets of  $B^{*}58$  tagging SNPs exhibited |iHS| values that were all above the 99th percentile or all below the 95th percentile, which could indicate selection for standing variation.

In conclusion, our high-resolution analysis of KIR and HLA class I combinatorial diversity has uncovered a distinctive enhancement of the interactions between inhibitory KIR and HLA-A and -B in East Asians. These genetically determined distinctions likely underlie differences across human populations in their susceptibility to infections and immunemediated diseases.

# **Supplementary Material**

Supplemental Data include five figures and three Excel spreadsheets.

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# Web Resources and Accession Numbers

The URLs for data, material and programs used herein are as follows:

• ImmunoPolymorphism database (IPD), http://www. ebi.ac.uk/ipd/ (last accessed December 2020)

• International Histocompatibility Working Group (IHWG), www.ihwg.org/ (last accessed December 2020)

The official IPD names (Robinson et al. 2015) and GenBank accession numbers for the *KIR* sequences reported in this paper are:

(KIR prefix excluded for brevity) 2DL1\*00304 (KT438851), 2DL1\*00305 (KT438852), 2DL1\*030 (KP025959), 2DL1\*031 (KP025960), 2DL1\*033 (KT438853), 2DL1\*034 (KT438854), 2DL2\*013 (KM017076), 2DL3\*00109 (KF766495), 2DL3\*00110 (KF766497), 2DL3\*025 (KF766496), 2DL3\*026 (KF766499), (KF766498), 2DL3\*027 2DL3\*028 (KF766500), 2DL3\*029 (KF766501), 2DL3\*031 (KF849247), 2DL4\*00503 (KT438855), 2DL4\*00504 (KT438856), 2DL4\*032 (KT438858), 2DL4\*033 (KT438859), 2DL4\*034 (KT438857), 2DL5A\*022 (KT438863), 2DS2\*009 (KT438862), 2DS4\*00105 (KP025962), 2DS4\*017 (KP025961), 2DS4\*018 (KP025963), 3DL1\*01505 (KF849249), 3DL1\*079 (KF849250), 3DL2\*00706 (KT899864), 3DL2\*00707 (KT899868), 3DL2\*083 (KT899867), 3DL2\*084 (KT438861), 3DL2\*091 (KT438860), 3DL2\*093 (KT899866), 3DL2\*099 (KT899865), 3DL3\*01003 (KU529275), 3DL3\*02602 (KU529271), 3DL3\*04802 (KU529269), 3DL3\*062 (KU529272), 3DL3\*063 (KU529270), 3DL3\*064 (KU529273), 3DL3\*065

MBE

(KU529274), 3DS1\*078 (KJ001806), 3DS1\*082 (KJ001804), 3DS1\*083 (KJ001805), 3DS1\*084 (KJ001807), 3DS1\*085 (KJ365317).

# **Author Contributions**

Conceived and designed the experiments: Z.D., P.J.N. Performed the experiments: J.Z., G.Z., R.C., Z.D., L.H., S.C. Analyzed the data: G.F.H., Z.D., P.J.N., J.A.S., J.Z., N.N.G., G.Z., M.T., X.G., W.H.P., L.A.G. Contributed reagents/materials/ analysis tools: W.H., Z.D., G.S., Q.Y., C.R.G., M.C., P.P., P.J.N., H.Z. Wrote the paper: G.F.H., Z.D., P.P., P.J.N.

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