#### PRACTICAL APPROACH



# Management Issues in Conjunctival Tumours: Ocular Surface Squamous Neoplasia

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### **ABSTRACT**

Ocular surface squamous neoplasia is the most common tumour of the ocular surface. It is a spectrum of disease from intraepithelial dysplasia to invasive squamous cell carcinoma. Recent years have seen an increase in the use of topical chemotherapeutic agents to treat this condition, often as primary treatment without full-thickness biopsy. This practical approach provides a critical appraisal of the evidence base with the goal being to aid the clinician in the management of these patients.

Keywords: 5-Fluorouracil; Conjunctival intraepithelial neoplasia; Interferon- $\alpha_{2b}$ ; Mitomycin C; Ocular surface squamous neoplasia; Squamous cell carcinoma of the conjunctiva

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#### **Key Summary Points**

#### Why carry out this study?

The management of ocular surface squamous neoplasia (OSSN) is controversial with no consensus as to its optimal management

Topical chemotherapy agents are now routinely used in many centres as primary treatment for presumed OSSN

This commentary aims to provide a guide to the clinical management of OSSN lesions

#### What was learned from this study?

Our clinical approach to OSSN lesions is outlined, in particular the importance of obtaining a surgical specimen to direct treatment is emphasized

#### INTRODUCTION

Ocular surface squamous neoplasia (OSSN) is the most common non-pigmented tumour of the conjunctiva and cornea [1, 2]. Its incidence is 0.5 cases/million/year in the UK [3]. Risk factors for OSSN include smoking, exposure to ultraviolet light and systemic or immunosuppression, which may be iatrogenic or pathological [4–6]. It comprises a spectrum of squamous epithelial change from dysplasia to invasive carcinoma [7, 8]. Its clinical features, diagnosis, treatment and outcomes have been the subject of many review articles [4-7]. The evidence base is composed of case series, with very few comparator studies and no prospective randomised controlled trials. In addition, there is significant variability in surgical technique (e.g. margin size, cryotherapy location) and chemotherapy regimen (e.g. intralesional versus topical interferon- $\alpha_{2b}$ , mitomycin C strength and regimen) that makes comparing these case series fraught with difficulty. Unsurprisingly, reported recurrence rates vary widely, from 0 to 56% with surgical excision alone [9–13], 0–28% with surgical excision followed by topical interferon- $\alpha_{2b}$  (IFN $\alpha_{2b}$ ) [12–20], 10–15% with surgical excision followed by topical 5-fluorouracil (5-FU) [16, 21, 22] and 0-30% with surgical excision followed by topical mitomycin C (MMC) [23–28]. From this potpourri of evidence, the clinician must formulate treatment strategies for a diverse patient population, often with many medical comorbidities. This article will outline our approach to the key controversies faced in clinical practice rather than a further summary of the evidence. This article is based on previously conducted studies and does not contain any studies with human participants or animals performed by any of the authors.

#### MANAGEMENT ISSUES

#### Is Biopsy Necessary?

The mainstay for managing OSSN has been wide local excision using a 'no-touch technique' with alcohol epitheliectomy if the cornea is involved, and double freeze-thaw cryotherapy to the conjunctival margins [29]. In the past 15 years, there has been a shift to the use of topical chemotherapy, either as primary or neoadjuvant therapy, adjuvant in the presence of positive surgical margins, or for the

treatment of recurrent disease [30]. In particular, topical IFNα<sub>2b</sub> is increasingly favoured owing to its low toxicity and similar rates of OSSN regression and recurrence as surgery [12]. A recent literature-based decision analysis on publications between 1983 and 2015 by Siedlecki et al. found tumour excision with adjuvant topical IFN $\alpha_{2b}$  for management of positive margins to have the lowest rates of persistent or recurrent disease when compared to excision alone, empiric topical IFNα<sub>2b</sub> or incisional biopsy with adjuvant topical IFN $\alpha_{2b}$  [31]. However, the rates of persistent or recurrent disease in patients treated with excisional biopsy followed by adjuvant topical IFN $\alpha_{2b}$  and those treated with empiric topical IFN $\alpha_{2b}$  were similar [31]. Should we then reserve biopsy for persistent or recurrent disease? To answer this, we need to address the following:

- 1. Whether histopathological diagnosis alters management of patients
- 2. Whether there is a difference in the realworld patient experience of these treatments

The gold standard to grade OSSN-from conjunctival intraepithelial neoplasia (CIN) through to squamous cell carcinoma (SCC)—is histopathological analysis of a full-thickness biopsy [32]. Clinicians have used other techniques in order to avoid performing surgery, which requires a theatre admission. Potential complications of performing a biopsy include limbal stem cell failure (LCSF) if extensive or symblepharon formation with scarring leading to restricted eye movements. Clinical features suggestive of invasive disease are a papillomatous or nodular appearance, but diagnosis based on clinical features alone by experienced clinicians can have an accuracy of only 40% in distinguishing premalignant from invasive disease [7, 33]. Non-invasive pathological assessment consisting of impression or scrape cytology can identify the dysplastic epithelial cells characteristic of OSSN [7, 34-36]. Some features on impression cytology are suggestive of invasive disease, such as the presence of syncytial sheaths, macronucleoli and inflammatory cells [7, 21]. But these features are not diagnostic of invasive disease and further investigations are

required if they are present. Imaging techniques such as confocal microscopy and high-resolution anterior segment optical coherence tomography (ASOCT) show promise at delineating the depth of invasion of a lesion [36–38]. These techniques are not yet widely used in routine clinical practice. The imaging capability of confocal microscopy is limited by its depth of penetration, which is only up to 1000 µm. In addition, confocal microscopy and ASOCT cannot determine the depth of invasion or disregular conjunctival SCC mucoepidermoid or spindle cell variants, which are more aggressive with greater rates of intraocular and orbital invasion [39, 40]. Accordingly, it remains that, in order to differentiate premalignant from invasive disease, including the highly malignant variants of SCC, full-thickness biopsy is required.

In addition to the difficulties in determining the grade of an OSSN based on clinical grounds, imaging and non-invasive pathology, it can also be impossible to differentiate tumours of epithelial origin from other forms of conjunctival neoplasms without full-thickness biopsy. The typical features of OSSN are a pale, gelatinous, leukoplakic, telangiectatic mass. Based on clinical appearance, misdiagnosis as OSSN as compared to other non-epithelial lesions can occur in 10.5% of cases [41]. The misdiagnosed lesions are not always benign. Most commonly OSSN can be mistaken for conjunctival melanoma, which requires different treatment, including the use of local radiotherapy. The experience at our centre mirrors this, where in the past year three patients with a pre-biopsy presumptive diagnosis of OSSN were found to have invasive conjunctival melanoma [42]. Without full-thickness biopsy, the clinician and patient accept a small chance that the clinical diagnosis is incorrect, which can result in under- or overtreatment, and the ensuing risks.

SCC of the conjunctiva has been thought to be more frequently locally invasive, rather than prone to metastasis. Large series have found rates of orbital invasion of approximately 10%, often requiring exenteration or modified enucleation, and rates of metastases of less than 1% [43–45]. Further, in a series of ten patients with metastatic disease in Saudi Arabia, only one

patient died as a result of the disease at a mean follow-up time of 18 months [46]. These studies have been used as evidence that conjunctival SCC is a low-grade malignancy. There is, however, emerging literature that this disease may not be as benign as once thought. A case series of 26 patients with conjunctival SCC from Australia found a death due to metastatic disease rate of 8% [47]. Further, a case series of 1661 patients in the USA found that patients with conjunctival SCC had the same mortality rates as those with conjunctival melanoma, which has a reported tumour-related death rate of up to 24% [48, 49]. If SCC of the conjunctiva is indeed more aggressive than previously thought, early diagnosis is essential.

The treatment of conjunctival SCC is different to premalignant squamous disease. Surgical excision remains the mainstay of treatment for SCC [50, 51].

If the tumour margins are involved after the primary excision, a further wide local excision is often performed. Alternatively, adjuvant topical therapy can be used. Topical MMC 0.04% is the preferred chemotherapeutic agent as SCC does not seem to respond to topical IFN $\alpha_{2b}$  [18]. Similarly, although it may regress in 50% of patients treated with topical 5-FU, it recurs in 75% of these [21]. By contrast, Shields et al. reported a prospective series of patients with conjunctival SCC who demonstrated excellent response to topical MMC 0.04% [23]. In that series, all six patients with SCC (and four with extensive CIN) had complete resolution of disease and no recurrence at a mean follow-up of 22 months. Topical MMC is not, however, the panacea. A different series in the UK reported recurrent disease in two of three patients with conjunctival SCC treated with MMC [24]. It is particularly ineffective if the tumour is thick, or there is orbital extension, presumably due to failure of tissue penetration of topical drops. Radiation therapies have been employed for conjunctival SCC, particularly if scleral invasion is present or the lesion is unable to be surgically excised [7, 52–57]. There is, however, emerging evidence that radiotherapy may be a beneficial adjuvant for all patients with conjunctival SCC. Santoni et al. recently published a case series of 54 patients with minimally invasive or invasive

SCC diagnosed by excisional biopsy [51]. Adjuvant proton beam radiotherapy (PBRT) was offered to patients with invasive SCC, defined as greater than 0.2 mm invasion of the substantia propria, and not to those with minimally invasive SCC [51]. Adjuvant PBRT was the only factor associated with a lower risk of local recurrence, with a hazard ratio of 0.25, and more patients with minimally invasive SCC had local recurrence than those with invasive SCC. 20% versus 12%, respectively [51]. These are encouraging results and, if validated by future studies, may herald an important role for adjuvant radiotherapy in all patients with conjunctival SCC. Early diagnosis of SCC, rather than a premalignant CIN, by full-thickness biopsy allows the clinician to adopt a more aggressive treatment regimen, which is required to control SCC.

The patient experience of surgical versus medical treatment for OSSN is yet to be examined in detail. Nanji et al. performed a cost analysis in the USA and found that the overall cost of surgical treatment was greater than medical, but that patients who underwent medical treatment had more office visits and more out-of-pocket costs for the IFNα<sub>2b</sub> drops [58]. A recent quality of life analysis found that surgical patients experience more pain, but less ocular symptoms such as tearing and itchiness [59]. Patients in both groups were highly satisfied with their treatment and functional recovery 1 year after completing it [59]. Those who elected medical management did so frequently because of a fear of surgery [59]. Compliance with prescribed treatment in the real-world setting, outside of clinical trials, is a further issue to consider. This is particularly important, as most patients with OSSN are elderly, often with multiple medical comorbidities, which may influence topical medication compliance especially when the duration of topical treatment (four times a day) may be over a year. Fortunately, intralesional injection of IFN $\alpha_{2b}$  at a concentration of 3 million units/ml may be used to circumvent the issue of likely poor compliance. Flu-like symptoms are a significant side effect of intralesional injection of IFN $\alpha_{2b}$  at this increased concentration; however, from our experience, it appears that both surgical and medical treatment is well tolerated by most patients.

Our preference is for full-thickness biopsy, excisional if possible, because it allows us to accurately establish the diagnosis and appropriately match treatment to the aggressiveness of the disease. Surgical treatment and topical interferon is well tolerated in our institution.

### How Should Surgery be Performed?

The gold standard for treatment of OSSN has been surgical excision with no-touch technique, alcohol epitheliectomy and adjuvant cryotherapy to the conjunctival edges [29]. This is our preferred surgical technique. Much lower local recurrence rates have been reported for cryotherapy at the time of excision, such as 7% at 5 years with cryotherapy verses 39% with excision alone [9, 10].

# What Local Chemotherapy Agent Should be Used?

In our department, the most common indications for local chemotherapy use are recurrent disease, patient factors that preclude performing excisional biopsy with cryotherapy or as chemoreduction (described below). The available agents are topical or intralesional injection of IFN $\alpha_{2b}$  and topical 5-FU or MMC.

IFN $\alpha_{2b}$  is an endogenous glycoprotein released by various immune cells with antiviral, antibacterial, immunomodulatory and antitumour activity [60]. As topical treatment it is given at a dose of 1 million units/ml qid for a minimum of 3 months and intralesionally at 3 million units/0.5 ml every week for 4–5 weeks [6]. It is the most widely used agent owing to its proven efficacy and limited side effects [12, 15, 61, 62]. It is expensive; a course of topical IFN $\alpha_{2b}$  costs £1440, as compared to £140 for topical 5-FU and £210 for topical MMC, which can limit its use in developing countries.

5-FU is an antimetabolite, a pyrimidine analogue that inhibits thymidylate synthase, and therefore the nucleosides for DNA synthesis [63]. Topically it is given at a concentration of 1% and our preferred regimen is qid for 1 week,

then 3 weeks off treatment for four cycles [22]. It must be stored at room temperature, in contrast to IFN $\alpha_{2b}$  drops which must be refrigerated. Patients experience more side effects with this treatment [64, 65], the most common being conjunctival and lid hyperaemia, ocular surface irritation and filamentary keratitis. Occasionally corneal stromal melting has occurred, but we have not noticed this since increasing the interval between treatment cycles from 1 to 3 weeks.

MMC is an alkylating agent that acts in all phases of the cell cycle including RNA and protein synthesis [66]. It can be given at concentrations of 0.02% or 0.04%. In our department, our regimen is MMC 0.04% qid 1 week on, 1 week off for 6 weeks with concurrent dexamethasone 1% qid for the full 6-week duration. Common complications after MMC are keratopathy, ocular irritation and LCSF, although reported rates of long-term complications vary from zero to up to 80% [67, 68].

# When Should Chemoreduction be Considered?

Chemoreduction with topical IFN $\alpha_{2b}$ , 5-FU or MMC is an important tool in the armamentarium to treat large OSSN lesions. Our practice is to employ it when the expected morbidity from surgical excision is high; this can be due to extensive limbal disease or diffuse forniceal, tarsal or carcuncular disease. Excessive limbal surgery can lead to LCSF causing corneal conjunctivalisation and scarring. Limbal involvement of an OSSN lesion of greater than 3 or 4 clock hours is an indication for chemoreduction [5, 32]. Kim et al. reported a case series of 18 patients with giant OSSN, classified clinically as at least 6 clock hours of limbal involvement and/or greatest basal diameter at least 15 mm, who were treated with topical or intralesional injection of IFN $\alpha_{2b}$  neoadjuvantally [69]. Thirteen of 18 (72%) patients had complete regression of disease and the remaining five had a 74% reduction in the size of the OSSN allowing adjuvant surgical or laser treatment [69]. A potential alternative to chemoreduction to prevent LCSF is autologous simple limbal epithalial transplant at the time of excisional biopsy. The few reports of this technique have encouraging results [70, 71]. For multifocal or diffuse forniceal, tarsal or carcuncular disease, chemoreduction can shrink these tumours to a size that can be surgically excised, including invasive SCC using topical MMC 0.04% [72]. It is important, however, to rule out orbital invasion of these lesions, which may require more extensive surgery, including modified enucleation or exenteration.

# What to do Prior to Intraocular Surgery for a Patient with OSSN?

OSSN is an uncommon condition in the UK [3]. However, if unrecognised, or if there is residual disease after treatment, it can be become invasive to the deeper tissues of the eye via a tract opened by intraocular surgery [73]. Intraocular spread of OSSN can present as a corneal opacity due to invasion of the corneal stroma, an intraocular mass or cells in the aqueous or vitreous. If there is extensive invasion of squamous cell carcinoma, management by lid-sparing exenteration should be considered [73]. If the spread is limited to the cornea, topical chemotherapy or radiotherapy can attempted.

We recommend all patients who have a prior history of OSSN have mapping biopsies before proceeding to intraocular surgery. If the biopsy is positive, we recommend at least 3 months of topical IFN $\alpha_{2b}$  qid prior to intraocular surgery.

# What is the Role of the American Joint Committee on Cancer (AJCC) Classification of Conjunctival Squamous Neoplasia?

The AJCC recently released the revised, 8th edition of the classification of conjunctival squamous neoplasia [74]. The two main features used to define the primary tumour are depth of invasion and the size and extent of involvement of adjoining structures [74]. CIN is classified as Tis and SCC is T1–T4 depending on lesion size  $(T1, \leq 5 \text{ mm}; T2, > 5 \text{ mm})$ , invasion of adjoining structures  $(T3, \text{ any structure } [\text{cornea}, \text{ eyelid}, \text{$ 

sclera] except the orbit; T4, orbital invasion with or without further extension) [74]. To accurately grade a tumour, histopathological evaluation is required. A significant difficulty in interpreting studies that have assessed outcomes based on AJCC grading is that most have based their assessments of AJCC grade on clinical features and not histopathological evaluation [11, 12, 20, 75]. Despite this, in the largest study in which all patients had histopathological evaluation, AJCC grade does appear to be correlated with local recurrence [45]. AJCC grade does not, however, influence initial management and the T3 classification contains a very broad group of tumours, from extension onto the corneal surface, which is unlikely to require any additional treatment, to surgical excision and cryotherapy, through to scleral which often require invasion adjuvant brachytherapy [50]. Future studies are required to better define the role of the AJCC grading of OSSN in clinical practice.

### Our Clinical Approach to Suspected OSSN

- 1. First-line treatment for OSSN: complete excision with double freeze–thaw cryotherapy to conjunctival margins
  - If complete excision is not possible because of disease factors (extent or location) or patient factors, either consider incisional biopsy and adjuvant topical chemotherapy *or* chemoreduction of the tumour prior to complete excision.
- 2. Adjuvant therapy for OSSN
  - CIN
    - First line: topical IFN $\alpha_{2b}$  1 million units/ml qid for 3 months minimum [12, 15, 61, 62].
    - Second line: intralesional injection of IFN $\alpha_{2b}$  3 million units/ml once every week until regression or futility for a maximum of 4–5 injections [17].
    - Third line: topical 5-FU 1% qid
      1 week on, 3 weeks off for 16 weeks
      (four cycles) [22] or topical MMC

- 0.04% qid 1 week on, 1 week off for 6 weeks (three cycles) [23, 27, 72].
- SCC: topical mitomycin 0.04% qid 1 week on, 1 week off for 6 weeks (three cycles) [23], local radiotherapy with strontium-90 beta application, or ruthenium-106 episcleral plaque [52–55].

#### **CONCLUSIONS**

Ocular surface squamous neoplasia is an uncommon condition of the ocular surface that can be successfully controlled with surgery and/ or topical chemotherapy in the vast majority of patients. This article examines the literature and provides guidance for the clinician managing these patients. Despite the increasing use of topical chemotherapy as primary therapy for this condition, we advocate full-thickness biopsy in order to establish the diagnosis and appropriately pitch the aggressiveness of the adjuvant therapies.

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