CLINICAL TRIALS

Public preferences on written informed consent for low-risk pragmatic clinical trials in Spain

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AIMS

Pragmatic randomized clinical trials (pRCTs) collect data that have the potential to improve medical care significantly. However, these trials may be undermined by the requirement to obtain written informed consent, which can decrease accrual and increase selection bias. Recent data suggest that the majority of the US public endorses written consent for low-risk pRCTs. The present study was designed to assess whether this view is specific to the US.

METHODS

The study took the form of a cross-sectional, probability-based survey, with a 2×2 factorial design, assessing support for written informed consent vs. verbal consent or general notification for two low-risk pRCTs in hypertension, one comparing two drugs with similar risk/benefit profiles and the other comparing the same drug being taken in the morning or at night. The primary outcome measures were respondents' personal preference and hypothetical recommendation to a research ethics committee regarding the use of written informed consent vs. the alternatives.

RESULTS

A total of 2008 adults sampled from a probability-based online panel responded to the web-based survey conducted in May 2016 (response rate: 61%). Overall, 77% of respondents endorsed written consent. In both scenarios, the alternative of general notification received significantly more support (28.7–37.1%) than the alternative of verbal consent (12.7–14.0%) (P=0.001). Forty per cent of respondents preferred and/or recommended general notification rather than written consent.

CONCLUSIONS

The results suggested that, rather than attempting to waive written consent, current pRCTs should focus on developing ways to implement written consent that provide sufficient information without undermining recruitment or increasing selection bias. The finding that around 40% of respondents endorsed general notification over written consent raises the possibility that, with educational efforts, the majority of Spaniards might accept general notification for low-risk pRCTs.



WHAT IS ALREADY KNOWN ABOUT THIS SUBJECT

- To facilitate recruitment and reduce selection bias, commentators have proposed the use of brief verbal consent or general notification in place of in-depth written consent for low-risk pragmatic randomized controlled trials (pRCTs).
- However, a survey from the US found that the majority of the public endorses written consent for low-risk pRCTs. It is unknown whether this support for written consent is specific to the US or applies in the EU as well.

WHAT THIS STUDY ADDS

- The present study found that the majority of the Spanish population endorses written consent for low-risk pRCTs.
- This finding suggests that support for written consent is not restricted to the US, and pRCTs in the EU should focus on developing approaches to written consent that provide sufficient information without undermining recruitment or increasing selection bias.
- The finding that around 40% of respondents endorse general notification over written consent suggests that, with educational efforts, it might be feasible in the future to conduct low-risk pRCTs in Spain, and perhaps in other EU countries, using general notification rather than study-specific written consent.

Introduction

Pragmatic randomized clinical trials (pRCTs) that assess standard-of-care interventions are important for improving clinical care. In particular, these studies can provide important data to help national health systems determine which interventions provide the best healthcare benefit for the money [1] Yet, EU and US regulations require written consent for almost all clinical trials, no matter what level of risk they pose. This requirement, which leads to complex and long (15 pages or more) consent forms, which take a long time to read and are difficult to understand [2], has the potential to undermine the value of pRCTs. In response to these concerns, some commentators have argued that written informed consent is not ethically necessary for pRCTs [3–6] that pose no or only minimal incremental risk compared with standard clinical care [7]

While these theoretical arguments are valuable, it is important to assess what the public thinks of conducting low-risk pRCTs without written consent. A study in the US found that the majority of respondents (70–82%) were willing to waive written consent for pRCTs, but only when it would make a study 'too difficult to conduct' [8]. In addition, Nayak *et al.* [9] found that the majority of US respondents (63%) endorse written consent for low-risk pRCTs, while 37% endorse the alternative of verbal consent or general notification [9]. Recognizing that views on informed consent can vary by culture [10] we replicated the Nayak *et al.* [9] survey in Spain, to assess whether majority support for written consent for low-risk pRCTs is specific to the US.

Methods

Survey characteristics and administration, and ethics review

A nationally representative online survey of Spanish citizens was conducted between 9 and 20 May 2016. The study protocol was reviewed by the research ethics committee (REC) of the Universidad Autónoma de Madrid (Madrid, Spain), which granted approval on 14 March 2016 (Ref. # CEI-70-1265).

The survey was administered to participants belonging to the Netquest (GfK group) panel, Spain. This is a probability-based online closed panel to which potential members are invited to join, with the goal of ensuring that it is representative of the non-institutionalized civilian Spanish population [with the exception of the oldest (≥75 years) age group]. Netquest comprises more than 198 000 adult members and has the ISO 26362, specific for free-access panels. Panellists receive nonsurvey-specific incentives through a point-based reward programme; points can be exchanged for more than 1200 different items.

The survey was developed as described elsewhere [9]. In short, two pretesting sessions and two pilot surveys were used to ensure comprehension of the US survey and obtain open-ended feedback. The survey was then revised following feedback from two anonymous peer reviewers for Time-sharing Experiments for the Social Sciences [9]. The US version of the survey was translated into Spanish and adapted to the Spanish health system environment. The final Spanish version was identical to the US version, except for a few sentences in the introduction. We omitted references to 'The Learning Healthcare System' [11] - a concept unknown in Spain - and substituted a short description of conducting research in the context of providing healthcare (Supporting Information Data S1). The composition of the REC (as opposed to that of the US Institutional Review Board) was also adapted to the Spanish regulation.

To assess comprehension of the Spanish version, we conducted a test on 100 individuals and found that most of them (80–100%) correctly answered seven true/false questions regarding the survey, such as on the need to have clinical trials approved by an REC and whether the patient's treatment could be changed during the trial (Supporting Information Data S2).

The survey assessed respondents' views on consent for low-risk pRCTs. Key elements of the survey are shown in Box 1. All respondents received a common introduction regarding the possibility of conducting research in the context of providing healthcare and the need for clinical trials to be approved by a REC. It was also explained that hypertension is a condition that affect millions of Spaniards and that, without treatment, it can lead to serious health complications. Immediately after the introduction,



respondents were randomly assigned to receive one of two pRCT scenarios.

pRCT scenarios (see Box 1)

Scenario 1. A pRCT comparing two widely used antihypertensive drugs that have been approved by the Spanish health authorities. Respondents were informed that both drugs had a low-risk profile and were effective antihypertensive agents. The examples were based on two commonly used diuretics, chlorthalidone and hydrochlorothiazide. However, in the survey, they were called CTD and TRT, to prevent response bias among respondents who might be familiar with the drugs.

Scenario 2. A pRCT assessing a once-daily antihypertensive drug taken in the morning vs. at night. Respondents were told that prescribers usually do not inform patients when to take these drugs.

Consent options (see Box 1)

Respondents in each pRCT scenario were randomized to a choice between written informed consent vs. brief verbal consent, or between written informed consent vs. general notification.

- Written consent: To allow comparison with Nayak et al. [9], the Spanish version described the same eight elements of consent as required in the US. However. respondents were not told that the eight listed elements were based on US regulations. All respondents were informed that obtaining written informed consent requires extra time and effort from both the physician and patient, making it difficult to integrate research studies like the one described into routine healthcare practice.
- Verbal consent: the survey informed respondents that, in this case, the physician briefly explains the main features

Box 1 Experimental design of the survey (Modified from Nayak et al[9]).

Research conducted at the time of providing healthcare	Hospitals that integrate research as part of care provision Patients informed that studies are conducted through letters, posters and brochures All studies are reviewed and approved by a REC, which comprises researchers, clinicians, ethicists, patient representatives and community members					
High blood pressure	Affects millions of persons in Spain Can lead to stroke, heart attack and/or kidney disease if untreated					
Pragmatic RCT scenario	Scenario 1: Drug 'CTD' or 'TRT'? Two health authorities-approved medicines Both effective in lowering high blood pressure; similar adverse effects Unknown which is more effective		Scenario 2: Dose timing, 'morning' or 'night'? Patients told to take medicine at same time each day Unknown whether morning or night more effective			
Trial proposal	Random assignment to CTD or TRT Patient's medicine can be changed at any time by patient or physician		Random assignment of whether told to take medicine at morning or night Patient's medicine can be changed at any time by patient or physician			
Debate	REC is debating the be	est way to get consent for this st	udy			
Consent options	Written consent vs. verbal consent	Written consent vs. general notification	Written consent vs. verbal consent	Written consent vs. general notification		
Written consent	 Some members argue that patients should give study-specific written consent Consent form would include purpose, risks and benefits, alternatives, method of maintaining privacy, and contact information; participation would be voluntary Written consent would require extra time and effort In some cases, if written consent is required, studies may not be conducted 					
Alternative option	 General notification Other members argue that because the risks are low, general notification through posters, brochures and letters is enough Eligible patients would be automatically enrolled without being informed Verbal consent Other members argue that because the risks are low, verbal consent is enough Patient's physician would briefly explain the study 					

Shows the 2 × 2 factorial design and information presented to respondents. Half received a drug RCT scenario comparing two first-line drugs; the others received a dose-timing RCT scenario comparing morning vs. night dosing. Half of participants in each group chose between written consent and general notification; the rest chose between written consent and verbal consent. CTD, chlorthalidone; RCT, randomized, controlled trial; REC, research ethics committee; TRT = hydrochlorothiazide.



- of the trial, that the drug will be randomly assigned and will ask the patient if he/she would like to participate.
- General notification: respondents were told that all patients are informed through letters, posters and brochures that the hospital conducts randomized trials and that eligible patients would be enrolled without study-specific consent.

Survey administration

Panel members were randomly assigned to one of the two scenarios (the drug pRCT or dose-timing pRCT) at the time they were invited to participate in the survey. Respondents were further randomly assigned to general notification or verbal consent as an alternative to written consent when they reached that part of the survey (see Box 1). The randomizations were computer generated and were concealed from respondents. Simple probability-based assignment was used for randomization, and neither stratification nor an imbalanced allocation scheme was used. To minimize missing data, respondents received a prompt if the primary outcome measures were left blank. Before starting the analysis, we decided to exclude respondents who did not answer the questions on both primary outcome measures. Responses from all participants were recorded in the database in an anonymized fashion; participants could not be identified by study investigators.

Outcome and measurements

Respondents were informed that the two choice options (i.e. written consent *vs.* general notification; written consent *vs.* verbal consent) were both supported by some members of the REC and were asked to make a recommendation to the REC. Respondents were also asked which of the two options they preferred personally.

Respondents were asked to evaluate the trial by indicating whether they agreed, using a seven-point scale (1 = strongly disagree, 7 = strongly agree), with the following three statements: (i) 'It is valuable to study whether one treatment option is more effective than the other for treating high blood pressure'; (ii) 'Patients who participate in the randomized trial face greater risks than patients who receive usual care'; and (iii) 'Patients who participate in the randomized trial are more likely to improve (lower) their high blood pressure than patients who receive usual care'.

Statistical analysis

It was estimated that a sample size of 500 respondents in each group would provide 80% power to detect a 9% absolute difference for all baseline levels of support, assuming a two-sided α level of 0.05. To evaluate the representativeness of the sample in each group and to ensure the absence of statistically significant differences between groups, we compared the sample distribution of sociodemographics, and diagnosis and control of hypertension in the four groups with that of the general population in Spain.

To replicate the study by Nayak *et al.* [9], recommendations to the REC and personal preferences for written consent or the alternative approach were

dichotomized. Logistic regression models were used to assess the pRCT scenario and consent/notification option were associated with respondents' recommendations and personal preferences. The models included the main effects for the research scenario (drug pRCT; dose-timing pRCT) and the alternative option (general notification; verbal consent), as well as the interaction of the two factors. Conditional logistic regression was used to assess the relationship between respondents' recommendations to the REC and their personal preferences. Ordered logistic regression was used to assess the effect of the research scenario on respondents' perceptions of the study's value, risk and benefit. To evaluate the association between respondents' perceptions of the study's risk and support for the alternative option, the Pearson chi-square test of independence corrected for bootstrap was used.

All analyses were conducted in IBM SPSS statistics, version 21 (Armonk, NY, US). According to final sample distribution, poststratification weights were not used. Statistical significance was defined as a *P* value less than 0.05, and all tests were two sided.

Results

Sample characteristics

The survey was presented to 3298 panel members and started by 2243, of which 45 dropped out before they were randomized to one of the two pRCT scenarios. After randomization, 179 were excluded for nonresponses to one of the two alternative options (written consent *vs.* general notification or written consent *vs.* verbal consent). Finally, 11 individuals were excluded for not responding to both primary outcomes (recommendation to the REC and personal preference), yielding 2008 panellist completers (response rate: 60.9%) (Figure 1).

The sample did not show statistically significant differences with the Spanish adult population in any of the variables shown in Table 1, except for age. In Spain, 11.4% [12] of the adult population is ≥ 75 years of age, whereas in the study sample only 1.9% of subjects fell within this age group. For this reason, poststratification weights were not used. 27.5% (552/2008) of respondents indicated that they had been diagnosed with hypertension and 16.8% (338/2008) reported being treated with prescription medications. This latter figure was similar to the 15.6% of known hypertensive people in Spain who receive treatment [13]. The characteristics of the four groups are given in Table 1; they were similar across the four groups and resembled those of the Spanish population in almost all variables.

Recommendations to the REC and personal preferences

Overall, 77.1% of respondents said that they would definitely or probably recommend use of written consent to the REC (Figure 2A). In the drug pRCT, 29.7% said that they would recommend general notification, whereas 14% would recommend verbal consent. In the dose-timing pRCT,



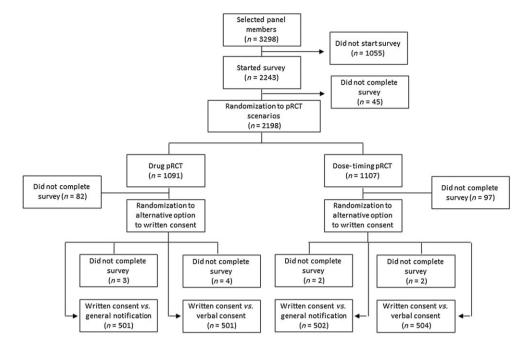


Figure 1 Study flow diagram. pRCT, pragmatic randomized controlled trial

35.1% said that they would recommend general notification, whereas 12.7% would recommend verbal consent instead of written consent.

Overall, 76.9% of respondents said that they would definitely or probably prefer the use of written consent (Figure 2B). In the drug pRCT, 28.7% preferred general notification, whereas 13.6% preferred verbal consent. In the dose-timing pRCT, 37.1% preferred general notification, whereas 12.9% preferred verbal consent instead of written consent. Figure 3 shows respondents' recommendations to the REC and their personal preferences.

Considering only the 1003 respondents who had to choose between written consent and general notification in both scenarios (drug pRCT and the dose-timing pRCT), 39.8% preferred and/or recommended general notification. Similarly, among the 1005 respondents choosing between written consent and verbal consent, 16.7% preferred and/or recommended verbal consent.

Responses to the two items were consistent across groups, with most (85.6-93.4%) having the same recommendation and personal preference (Table 2). In both scenarios (drug pRCT and dose-timing pRCT), consistency was statistically significantly greater (93.1% vs. 85.6%; P < 0.001) when verbal consent was the alternative option rather than general notification. The percentage of respondents who preferred the alternative option was not statistically significantly different in any of the four groups compared with the percentage of respondents who said that they would recommend the alternative option to the REC (Figure 3).

A logistic regression model was used to test the effect of the experimental design of the survey on recommendations for using the alternative option over written consent. As mentioned above, the main effect was the alternative option

presented; in both scenarios, drug pRCT and dose-timing pRCT, a statistically significant higher percentage of respondents preferred the alternative option of general notification compared with those who preferred verbal consent (P = 0.001). Although recommendation of general notification was greater in the dose-timing pRCT (35.1%) than in the drug pRCT (29.7%), this difference was not statistically significant (P = 0.078).

With respect to personal preferences, the effect of the alternative option presented reached statistical significance: more respondents preferred general notification than preferred brief verbal consent (P = 0.001). In this case, a statistically significant effect of the interaction 'alternative option and pRCT scenario' was observed. A higher percentage of respondents preferred general notification in the dosetiming pRCT than in the drug pRCT (37.1% vs 28.7%; P =0.003).

Views of pragmatic RCT scenarios

A large majority of respondents agreed that it is valuable to conduct the study, with no significant differences between the two scenarios: 87.8% in the drug pRCT and 88.7% in the dose-timing pRCT (Table 3). Some 31% of respondents in both scenarios believed that trial participants would face greater risks than patients, and 43% in both scenarios believed that they were more likely to benefit (have a reduction in their blood pressure) than those receiving usual care.

Relationship between risk perception and recommendation to the REC

Overall, respondents who believed that study participants face greater risks than patients receiving usual care were not



Table 1Characteristics of survey respondents

	Overall		Drug pRCT, %		Dose-timing pRCT, %		
	(n = 20 n (%)		General notification (n = 501)	Verbal consent (n = 501)	General notification (n = 502)	Verbal consent (n = 504)	
Age (years)							
18-24	244	(12.2)	12.2	12.2	12.2	12.1	
25-34	349	(17.4)	17.4	17.4	17.3	17.5	
35-44	452	(22.5)	22.6	22.4	22.5	22.6	
45-54	411	(20.5)	20.4	20.6	20.5	20.4	
55-64	302	(15.0)	15.2	15.4	13.9	15.7	
65-74	211	(10.5)	10.2	10.0	11.8	10.1	
≧75	39	(1.9)	2.2	2.2	1.8	1.6	
Gender							
Male	997	(49.7)	52.7	49.9	49.8	46.2	
Female	1011	(50.3)	47.3	50.1	50.2	53.8	
Geographical area							
North	309	(15.4)	15.0	15.6	16.2	15.0	
North-east	381	(19.0)	20.6	17.6	18.5	19.3	
East	285	(14.2)	12.8	15.0	13.8	15.3	
Central-West	526	(26.2)	27.0	26.3	27.3	24.3	
South	361	(18.0)	16.9	17.9	17.8	18.9	
Islands	146	(7.2)	7.8	7.6	6.4	7.4	
Marital status							
Never married	498	(224.8)	26.1	23.2	25.9	24.0	
Married	974	(48.5)	45.3	51.5	51.2	46.0	
Divorced	105	(5.2)	7.2	4.8	4.4	4.6	
Separated	37	(1.8)	1.6	2.4	2.0	1.4	
Widowed	45	(2.2)	2.2	2.4	1.6	2.8	
Living with partner	316	(15.7)	15.2	15.2	13.5	19.0	
No answer	33	(1.6)	2.4	0.6	1.4	2.2	
Annual household income	: (€)						
<12600	276	(13.7)	14	12.8	11.6	16.7	
12 600-25 000	609	(30.3)	28.8	30.3	32.6	29.6	
25 001–38 000	308	(15.3)	14.2	15.4	16.3	15.5	
38 001–50 000	164	(8.2)	8.8	7.4	8.8	7.7	
>50 000	90	(4.4)	8.8	7.4	8.8	7.7	
No income	89	(4.4)	4.8	4.6	4.2	4.2	
No answer	472	(23.5)	24.4	24.2	22.3	23.2	
Employment status							
Employed	957	(47.7)	46.9	50.5	47.2	46.0	
Unemployed or other	591	(29.4)	29.3	26.5	30.1	31.7	
Retired	262	(13.0)	13.2	13.2	12.7	13.1	
Student	198	(9.9)	10.3	9.8	10.0	9.1	

(continues)



Table 1 (Continued)

	Overall		Drug pRCT, %		Dose-timing pRCT, %	
	(n = 200 n (%)		General notification (n = 501)	Verbal consent (n = 501)	General notification (n = 502)	Verbal consent (n = 504)
Education						
Primary school	394	(19.6)	19.4	20.2	19.5	19.4
Secondary education	508	(25.3)	26.7	21.2	26.7	26.6
High school	682	(34.0)	32.7	36.3	34.9	31.9
University degree and postgraduate	424	(21.1)	21.2	22.4	18.9	22.0
Religious attendance						
Regularly	241	(12.0)	11.4	13.2	11.2	12.3
Rarely	370	(18.4)	20.0	18.0	16.9	18.8
Never	1196	(59.6)	58.9	60.5	62.2	56.7
No answer	201	(10.0)	9.8	8.4	9.8	12.1
Ideology						
1 Extreme left	73	(2.8)	2.6	3.4	3.4	1.8
2	405	(15.1)	15.4	18.2	13.3	13.7
3	501	(18.2)	19.6	16.6	17.7	18.8
4 Moderate	800	(31.1)	29.5	31.1	32.7	31.2
5	260	(9.8)	10.2	9.8	9.2	9.9
6	97	(3.8)	2.4	5.2	3.8	4.0
7 Extreme right	36	(1.4)	1.2	0.8	2.4	1.2
No answer	445	(17.8)	19.2	15.0	17.5	19.4
Diagnosed with hypertens	ion					
Yes	552	(27.5)	28.7	26.9	27.3	27.0
No	1382	(68.8)	67.9	68.7	68.7	70.0
I don't know	61	(3.0)	2.8	4.0	3.2	2.2
No answer	13	(0.6)	0.6	0.4	0.8	0.8
Prescription treatment for hypertension						
Yes, currently	338	(61.2)	59.0	63.0	62.0	62.0
Yes, but not currently	58	(10.5)	15.3	5.9	11.7	7.4
No	154	(27.9)	25.7	31.1	25.5	30.3
No answer	2	(0.4)			0.7	0.4

pRCT, pragmatic randomized controlled trial

more likely to recommend the alternative option to written consent compared with those who were neutral or disagreed. When general notification was the alternative option, there were no statistically significant differences in any of the scenarios. By contrast, and only in the dose-timing pRCT scenario, respondents who believed that participants face greater risks than patients receiving usual care were significantly less likely (P < 0.001) to recommend verbal consent (6.6%) compared with respondents who were neutral (7.2%) or disagreed (21.5%) (Table 4).

Discussion

The main finding of the present study was that written informed consent is endorsed by the majority (77%) of the Spanish population for low-risk pRCTs. This result is similar to that obtained in the US [9] and suggests that a preference for written informed consent in this setting is not restricted to the US. Hence, rather than attempting to waive the requirement for written consent, pRCTs in the EU should attempt to develop approaches to written consent that

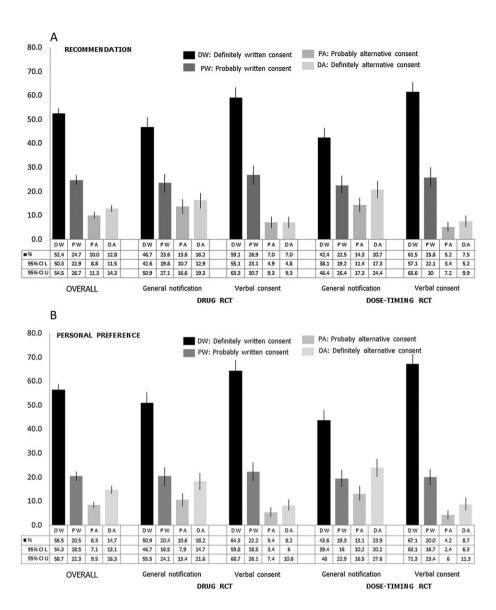


Figure 2

Recommendations to the research ethics committee (A) and personal preferences (B) for written consent and the alternative option. CI, confidence interval; L, lower limit; U, Upper limit; RCT, randomized controlled trial

provide sufficient information without decreasing recruitment or increasing selection bias.

In the US survey, the alternative of verbal consent received more support than general notification [9]. By contrast, in the present survey, the alternative of general notification received significantly more support (some 33%) than verbal consent (some 13%) (P = 0.001). This raises the possibility that, with additional educational efforts, the majority of the public in Spain, and perhaps other EU countries, might endorse general notification. This is important because the use of general notification has the potential to increase recruitment, reduce selection bias and make it more feasible to conduct pRCTs in real-world settings.

There are some differences between the results obtained in Spain and in the US. [9]. In particular, 72% and 88% of Americans and Spaniards, respectively, believed that it is valuable to conduct pRCTs; some 21% in the US and 31% in Spain believed that participants in pRCTs face additional risks. Finally, 18% in the US but 43% in Spain believed that participation in pRCTs offers additional benefits compared with standard care. This may reflect the fact that many respondents to the present survey did not understand that participation in the pRCT poses no additional risks and does not offer additional benefits compared with usual care. Greater experience with RCTs in the US compared with Spain, and the fact that the percentage of university graduates responding to the Spanish survey (21%) was lower than in the US study (29%) may help to explain these differences. Finally, in the present survey, significantly more respondents (P = 0.003) supported general notification in the dose-timing pRCT than in the drug pRCT, something that was not observed in the US study. This result is consistent



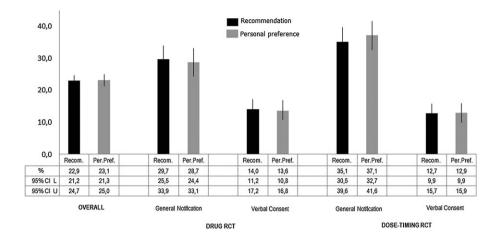


Figure 3 Support for alternative options to written consent. CI, confidence interval; L, lower limit; U, Upper limit; RCT, randomized controlled trial

Table 2 Cross-tabulation of respondents' recommendation to the research ethics committee and personal preferences

		Drug pRCT, %		Dose-timing pRCT, %		
Variable	Overall, % (n = 2008)	General notification (n = 501)	Verbal consent (n = 501)	General notification (n = 502)	Verbal consent (n = 504)	
Recommended written consent, preferred written consent	71.8	63.7	82.6	56.8	83.9	
Recommended written consent, preferred alternative option	5.4	6.6	3.4	8.2	3.4	
Recommended alternative option, preferred written consent	5.2	7.6	3.8	6.2	3.2	
Recommended alternative option, preferred alternative option	17.7	22.2	10.2	28.9	9.5	

pRCT, pragmatic randomized controlled trial

Table 3 Views of the public on statements about social value, risk and benefit of the pragmatic randomized controlled trial (pRCT) scenario

		Response, %			P value
Statement	Scenario	Disagree	Neutral	Agree	
It is valuable to study whether one treatment option is more	Drug pRCT ^a	4.5	7.7	87.8	0.586
effective than the other for treating high blood pressure	Dose-timing pRCT ^b	4.7	6.7	88.7	
Patients who participate in the randomized trial face greater	Drug pRCT ^a	34.7	31.6	33.6	0.053
risks than patients who receive usual care	Dose-timing pRCTb	37.8	32.7	29.5	
Patients who participate in the randomized trial are more	Drug pRCT ^a	22.3	34.7	43.0	0.650
likely to improve (lower) their high blood pressure than patients who receive usual care.	Dose-timing pRCT ^b	20.0	37.3	42.7	

 $a_n = 1002$

 $^{\rm b} n = 1006$

with the hypothesis that the dose-timing pRCT might have be perceived among Spanish respondents as more innocuous than the drug pRCT [9].

Strengths and weaknesses of the study

To the best of our knowledge, this was the first study conducted in any EU member state assessing the opinion of



Table 4

Percentage of respondents recommending the alternative option on the basis of perception of the study's risk

	Perception of study	's risk (95% CI), % ^a	All respondents	P value ^b	
Variable	Disagree ^c	Neutral ^d	Agree ^e	(95% CI)	7 vanac
Overall (n = 2008)	25.3 (22.3–28.5)	22.4 (19.2–26.0)	20.5 (17.6–23.7)	22.9 (21.2–24.7)	0.107
Drug pRCT					
General notification (n = 501)	31.3 (24.0–39.0)	27.7 (21.4–34.5)	30.3 (23.1–37.2)	29.7 (25.5–33.9)	0.763
Verbal consent (n = 501)	17.8 (12.7–23.6)	11.1 (6.6–16.2)	12.2 (7.6–17.6)	14.0 (11.3–17.2)	0.155
Dose-timing pRCT					
General notification (n = 502)	31.7 (24.5–38.7)	39.5 (32.8–47.6)	33.8 (26.3–41-1)	35.1 (30.5–39.5)	0.275
Verbal consent (n = 504)	21.5 (15.6–27.2)	7.2 (3.4–11.7)	6.6 (2.9–11.3)	12.7 (9.9–15.7)	<0.001

CI, confidence interval; pRCT, pragmatic randomized controlled trial

the public with regard to written informed consent for low-risk pRCTs. However, it had several important limitations. First, although the study was based on a probability-based sample, it had a low percentage (1.9%) of ≥ 75 -year-old participants. Second, the response rate was 61%. It was not possible to determine whether nonrespondents might have differed from respondents. Third, framing effects and the use of hypothetical scenarios might have influenced respondents' attitudes. In particular, the hypothetical scenarios, involving pRCTs conducted in clinical settings, were probably unfamiliar to many respondents. Lastly, the study design did not allow us to assess directly which alternative method (verbal consent or general notification) respondents would prefer or recommend.

Policy implications

The new EU regulation [14], to be implemented in 2018 [15], distinguishes, for the first time, low-intervention trials (phase IV trials comparing medicines used in accordance with the marketing authorization that pose minimal added risks) from all other trials. Nonetheless, this regulation [14] requires indepth written informed consent for all trials, except for cluster RCTs, which may enrol individuals who do not explicitly opt out.

The present findings suggest that it will be crucial to identify ways to implement written consent without undermining low-risk pRCTs. For two low-risk pRCTs, UK RECs have approved short consent forms that comply with EU regulations; the 15-page consent forms for a typical explanatory RCT have been reduced to a two-page document [16, 17]. This approach – in which participants receive the minimum amount of information needed to make an informed decision – should be encouraged by EU RECs, especially for point-of-care pRCTs conducted using routinely collected data from electronic medical records [16–18]. This short consent form could facilitate the recruitment of participants to pRCTs and could help to

ensure that pRCTs are not terminated early owing to insufficient enrolment – a common feature within RCTs [19, 20]. A further step to ease the consent process for low-risk pRCTs, and hence facilitate recruitment even further, would be to accept the simplified consent the new EU regulation endorse for cluster RCTs. This is somewhat aligned with a qualitative study conducted in the UK, which found that the majority of 110 individuals supported the use of simplified 'opt-in' models for low-risk, point-of-care trials [21]. This change would require an amendment of this regulation.

t the same time, it will be important to assess individuals from several EU countries regarding general notification *vs.* in-depth written informed consent for low-risk pRCTs. As information preferences may differ in response to hypothetical scenarios, it will be important to survey patients [22]. If there is sufficient support, it might make sense to start educational efforts to inform the public about the possibility of conducting clinical trials at the same time as providing clinical care. If successful, general notification may increase recruitment and decrease selection bias, and thus significantly improve the evidence available to inform healthcare decision-making. However, this will involve a change in the EU clinical trials regulation that will require a broad and indepth open discussion with interested stakeholders.

Competing Interests

All authors have completed the ICMJE uniform disclosure form at www.icmje.org/coi_disclosure.pdf and declare: no support from any organization for the submitted work (except for the financial support mentioned below); no financial relationships with any organization that might have an interest in the submitted work in the previous 3 years; no other relationships or activities that could appear to have influenced the submitted work. R.D.R. and X.C. are trustees of the Victor Grifols i Lucas Foundation.

^a'Patients who participate in the randomized trial face greater risks than patients who receive usual care'

^bPearson's chi-square test of independence corrected by bootstrap

c₁₋₃ on a seven-point scale

^d4 on a seven-point scale

e5-7 on a seven-point scale



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Supporting Information

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Data \$1 Survey Questionnaire **Data S2** Test results Data S3 Anonymous participant level data