

# Hyperreflective Foci, Optical Coherence Tomography Progression Indicators in Age-Related Macular Degeneration, Include Transdifferentiated Retinal Pigment Epithelium

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**PURPOSE.** By optical coherence tomography (OCT) imaging, hyperreflective foci (HRF) indicate progression risk for advanced age-related macular degeneration (AMD) and are in part attributable to ectopic retinal pigment epithelium (RPE). We hypothesized that ectopic RPE are molecularly distinct from in-layer cells and that their cross-retinal course follows Müller glia.

**METHODS.** In clinical OCT (61 eyes, 44 patients with AMD, 79.4 ± 7.7 years; 29 female; follow-up = 4.7 ± 0.9 years), one HRF type, RPE plume (n = 129 in 4 morphologies), was reviewed. Twenty eyes of 20 donors characterized by ex vivo OCT were analyzed by histology (normal, 4; early/intermediate AMD, 7; geographic atrophy, 6; neovascular AMD, 3). Cryosections were stained with antibodies to retinoid (RPE65, CRALPB) and immune (CD68, CD163) markers. In published RPE cellular phenotypes, red immunoreactivity was assessed semiquantitatively by one observer (none, some cells, all cells).

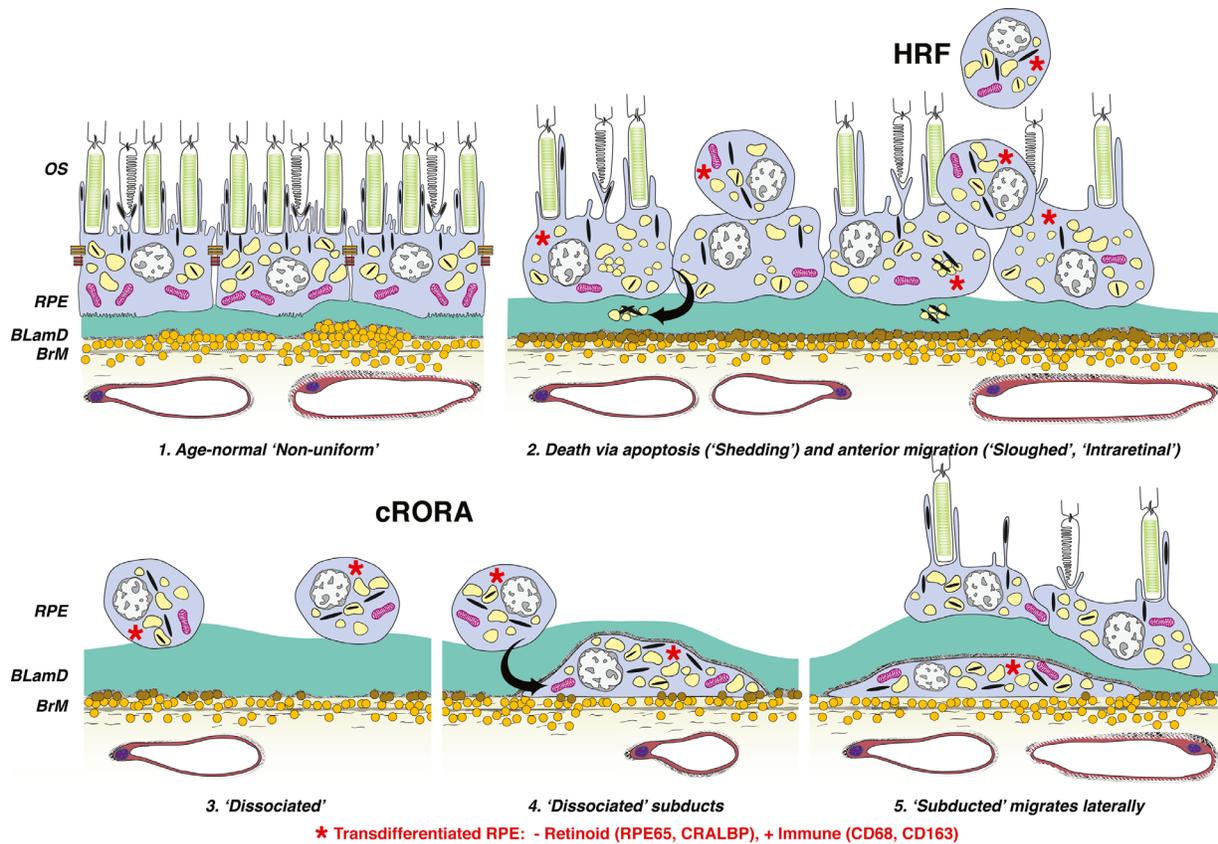
**RESULTS.** Plume morphology evolved over time and many resolved (40%). Trajectories of RPE plume and cellular debris paralleled Müller glia, including near atrophy borders. RPE corresponding to HRF lost immunoreactivity for retinoid markers and gained immunoreactivity for immune markers. Aberrant immunoreactivity appeared in individual in-layer RPE cells and extended to all abnormal phenotypes. Müller glia remained CRALBP positive. Plume cells approached and contacted retinal capillaries.

**CONCLUSIONS.** HRF are indicators not predictors of overall disease activity. Gain and loss of function starts with individual in-layer RPE cells and extends to all abnormal phenotypes. Evidence for RPE transdifferentiation, possibly due to ischemia, supports a proposed process of epithelial–mesenchyme transition. Data can propel new biomarkers and therapeutic strategies for AMD.

**Keywords:** age-related macular degeneration, hyperreflective foci, retinal pigment epithelium, optical coherence tomography, immunohistochemistry, retinoids, immune markers, epithelial–mesenchyme transition

Age-related macular degeneration (AMD) causes vision loss in older persons worldwide.<sup>1</sup> Neovascular complications are managed medically,<sup>2</sup> whereas the underlying and prevalent atrophic (“dry”) disease is not yet treatable. AMD affects vertically integrated cellular layers formed by light-sensing photoreceptors and support cells (retinal pigment epithelium [RPE], choriocapillaris, Müller glia). Characteristic extracellular deposits (drusen, subretinal drusenoid deposits) form between photoreceptors and their choroidal and retinal blood supplies.<sup>3</sup>

AMD is increasingly understood via clinical optical coherence tomography (OCT). This technology provides depth-resolved and registered images of retinal and choroidal tissue layers<sup>4</sup> that resemble histology and can be followed over time.<sup>5</sup> Not only are RPE cells central to and affected by both atrophic and neovascular AMD, but they can also be uniquely monitored in vivo by OCT. RPE health is also an OCT readout of the less visible Bruch’s membrane and choriocapillaris. Each RPE cell has >1400 organelles<sup>6</sup> capable of generating OCT reflectivity. Furthermore, as neovascular



**FIGURE 1.** Hypothesis, study design, and summary of findings. Schematic for cRORA<sup>9</sup> in nonneovascular AMD is adapted from Zanzottera EC, Ach T, Huisinigh C, Messinger JD, Spaide RF, Curcio CA. Visualizing retinal pigment epithelium phenotypes in the transition to geographic atrophy in age-related macular degeneration. *Retina*. 2016;36:S12–S25. © 2016 by Ophthalmic Communications Society, Inc. Six morphologic phenotypes of RPE from a system of 15<sup>27</sup> are shown. The current study presents a clinical OCT life cycle of one HRF form (“plume”) and, in separate eyes, immunohistochemical analysis of retinoid and immune cell markers. Abnormal RPE cells, including many corresponding to HRF, are molecularly transdifferentiated (*red asterisks*). Cell bodies are negative for retinoid markers (RPE65, CRALBP) and positive for immune markers (CD68, CD163). Normal RPE cells (*no asterisks*) are immunoreactive for RPE65 and CRALBP and negative for CD68 and CD163. (1) Age-normal “nonuniform” RPE overlies Bruch’s membrane (BrM), which has abundant lipoprotein particles (*yellow*). Basal lamellar deposit (BLamD, *green*) is thickened basement membrane material between the RPE cell body and native RPE basal lamina. RPE organelles are melanosomes (*black*), lipofuscin (*yellow*), and mitochondria (*pink*).<sup>6</sup> (2) Anteriorly migrated RPE (“sloughed” and “intraretinal”) manifest clinically as HRF. “Shedding” cells release organelle clusters<sup>15</sup> (*arrow*) basally into BLamD, thought to represent apoptosis. (3) Due to cell death and migration, the RPE layer disintegrates. “Dissociated” RPE are fully pigmented, nucleated cells scattered in the atrophic zone. BLamD persists after RPE death. (4) “Subducted” cells containing RPE organelles, appearing to originate from dissociated RPE cells (*arrow*), are flattened against BrM. (5) “Subducted” cells migrate outside the atrophic area.

AMD is managed clinically, many OCT images of at-risk eyes are captured. A progression sequence for drusen-initiated atrophy supported by OCT and histology is age-related deterioration of choriocapillaris and Bruch’s membrane preventing egress of wastes to the circulation, growth of drusen with death or migration of overlying RPE, and reactive gliosis.<sup>5</sup>

Longitudinal studies and post hoc analysis of clinical trial imaging data sets revealed OCT biomarkers for progression from intermediate AMD to geographic atrophy. Tissue damage in atrophy is severe and possibly irreversible.<sup>7</sup> Currently, the only imaging outcome accepted by the US Food and Drug Administration for AMD trials is slowing the expansion of atrophy. Identifying outcome measures earlier in disease progression is the goal of an international consensus group.<sup>5,8–10</sup> Of OCT biomarkers, hyper-reflective foci (HRF) within the neurosensory retina confer the largest progression risk.<sup>11–14</sup> Our histologic survey of RPE phenotypes in AMD,<sup>15–17</sup> confirmed in clinically characterized eyes,<sup>15,18</sup> identified two RPE phenotypes correspond-

ing to HRF. These are “sloughed” cells in the subretinal space and “intraretinal” cells within the neurosensory retina. In a hypothetical progression sequence (Fig. 1), morphologically distinct cells deploy along separate pathways of cell fate. These include death, migration, and transdifferentiation to other cell types, without intervening dedifferentiation and proliferation.<sup>19</sup> Due to migration and death-in-place of RPE, the layer disintegrates, scattering fully pigmented and nucleated cells (“dissociated”) across atrophic areas. Some cells appear to dive below the intact layer and migrate outside the atrophic area (“subducted”).<sup>16</sup> In neovascular AMD, lipid-filled non-RPE cells also correlate to HRF<sup>18,20</sup> and may represent microglia, macrophages, or both.

We postulated that one HRF form, called “RPE plume,” represents cells crossing the retina within the Henle fiber layer (HFL), which varies in thickness and angle with retinal position (Supplementary Fig. S1).<sup>17</sup> We herein provide a clinical OCT description for this feature in patients with AMD and show RPE-derived debris in relation to the cross-retinal

course of Müller glia. To probe the identity of HRF and test whether they include transdifferentiated RPE, we used immunohistochemistry of human donor eyes to show loss of retinoid processing and gain of immunologic function in all abnormal RPE phenotypes. Widespread RPE transdifferentiation, consistent with a proposed process of epithelial–mesenchyme transition,<sup>21</sup> supports a strategy of visualizing and targeting pathology earlier in AMD progression than atrophy.

## MATERIALS AND METHODS

### Compliance

Study of a clinical cohort and use of human tissue samples was approved by institutional review at Vitreous Macula Retina and University of Alabama at Birmingham (IRB-150910011), respectively, and complied with the Health Insurance Portability and Accountability Act of 1996 and the Declaration of Helsinki.

### Patient Selection, Clinical Image Capture, and Image Analysis

Consensus nomenclature was used for reflective bands of OCT.<sup>22</sup> RPE+BL band refers to the RPE and its basal lamina atop drusen or other material in the sub-RPE-BL space. This space is between the RPE-BL and the inner collagenous layer of Bruch's membrane.<sup>23</sup>

To analyze RPE plume natural history, we retrospectively reviewed (author BL) patients with nonneovascular AMD on OCT imaging seen by specialists (authors KBF, LAY) at a tertiary private retinal practice. Inclusion criteria included age >50 years, >12 months of follow-up with tracked OCT B-scans at an interscan spacing of  $\leq 250$   $\mu\text{m}$ , maximum follow-up interval of 6 months, and at least one eye containing HRF. HRF were defined as features with reflectivity like that of the RPE, separated from the RPE layer (i.e., discrete HRF), and thought to originate from the RPE layer. Reduced RPE+BL thickness in a scan subsequent to HRF appearance was considered evidence for RPE origin. Patients with diabetic retinopathy, retinal vascular disease, previous vitreoretinal surgery, laser treatment, or ocular inflammation were excluded. Eyes were evaluated at baseline by the presence of AMD lesions, including soft and cuticular drusen, subretinal drusenoid deposits, and acquired vitelliform lesions.<sup>24</sup> If color fundus photographs were available, pigmentary changes were also considered. Presence of drusenoid pigment epithelial detachment<sup>25</sup> and complete RPE and outer retinal atrophy (cRORA)<sup>9</sup> was noted.

All patients underwent spectral domain OCT and near-infrared reflectance imaging (Spectralis HRA + OCT; Heidelberg Engineering, Heidelberg, Germany) with eye tracking enabled. The OCT protocol comprised 20° horizontal raster line scans centered on the fovea (19 to 49 B-scans per eye; scan spacing at 115 to 250  $\mu\text{m}$ , automatic real-time averaging between 4 and 23).

Consecutive tracked OCT and near-infrared reflectance images were analyzed over time and with reference to the foveal center from the date of HRF appearance. Plume status over time was recorded as no change, change to a different morphology, formation of discrete HRF as defined above, and dissolution. Plume direction toward the outer plexiform layer (OPL) was noted as congruent or incongruent with

normal HFL architecture (Supplementary Fig. S1), that is, parallel or nonparallel with fibers. To evaluate plumes as progression indicators, we also noted expansion of cRORA and drusen growth and collapse.

### Overview of Laboratory Studies

To elucidate the molecular repertoire of RPE phenotypes corresponding to HRF, we obtained human donor eyes with and without AMD and performed immunohistochemistry. We used antibodies for retinoid- and immune-related proteins<sup>26</sup> (Supplementary Table S1) and positive and negative controls in human tissues (Supplementary Fig. S2). Cryosections were processed with an avidin-biotin-peroxidase immunohistochemistry detection system and a red reaction product. We checked the immunolabeling of all RPE phenotypes<sup>27</sup> (Supplementary Table S2) using bright-field microscopy for direct observation of cellular pigmentation and status of surrounding tissue.

### Donor Eye Acquisition, Ex Vivo Imaging, and AMD Diagnosis

Whole eyes were obtained from deceased human donors ( $\geq 80$  years of age, white, nondiabetic, and  $\leq 6$  hours death-to-preservation) to the Advancing Sight Network (Birmingham, AL, USA) during 2016 to 2017. Eye health history was not available. After anterior segment removal, eyes were preserved in 4% buffered paraformaldehyde and screened for AMD using ex vivo multimodal imaging, including OCT<sup>17</sup> (details in Results). Tissues were stored in 1% buffered paraformaldehyde at 4°C until used.

Rectangles of full-thickness eye wall (5  $\times$  8 mm) including fovea and optic nerve were dissected. To prevent ice crystals, we cryoprotected tissue in ascending concentrations of sucrose in PBS and then ascending concentrations of tissue-embedding medium and sucrose buffer (HistoPrep, SH75-1250D; Thermo Fisher Scientific, Rockford, IL, USA): 4 parts sucrose buffer and 1 part medium, then 2:1 sucrose/medium. Tissue was placed into a cryomold (#70176-10; Electron Microscopy Sciences, Fort Washington, PA, USA) with embedding medium, oriented, frozen in liquid nitrogen, and stored at  $-80^\circ\text{C}$ . Tissues were sectioned at 12  $\mu\text{m}$  thickness on a cryostat (Leica CM3050 Vashaw Scientific, Norcross, GA, USA), air dried at 37°C overnight, and stored at  $-80^\circ\text{C}$  until used.

For AMD diagnosis and imaging–histology correlation, cryosections through the fovea and perifovea (recognized by HFL) were stained with periodic acid–Schiff hematoxylin to highlight Bruch's membrane and sub-RPE deposits. One stained section per slide was scanned with a 20 $\times$  objective and a robotic microscope stage (Olympus VSI 120, CellSens; Olympus, Center Valley, PA, USA), scaled to tissue units, and centered on the fovea or vertical meridian (where Henle fibers diverge) using a custom plugin for FIJI (ImageJ; National Institutes of Health, Bethesda, MD, USA). Ex vivo OCT B-scans and scanned whole sections were matched for major landmarks (e.g., overall tissue contour, foveal center, large vessels, individual pathologies). For detailed examination, some sections were scanned with a 60 $\times$  oil immersion objective (numerical aperture = 1.4). For figures, images were adjusted to maximize the intensity histogram for contrast and white balance and made into composites (Photoshop CS6; Adobe Systems, San Jose, CA, USA).

## Immunohistochemistry and Evaluation

Detailed methods for immunohistochemistry are available in the Supplementary Materials.

Glass slides were scanned using the system described above and 20× and 40× objectives. RPE phenotype was categorized using the Project MACULA grading system<sup>15,16</sup> (Supplementary Table S2). In each stained section (one per antibody per eye), immunoreactivities for each RPE phenotype were scored semiquantitatively by a single observer (author DC after training by author CAC) as 0 (not stained), 1 (some stained), and 2 (all stained). These scores were converted to percentages by using total scores of eyes for each phenotype divided by maximum score ( $2 \times \text{number of eyes with the phenotype}$ )  $\times 100$ . Each phenotype was seen in at least three eyes.

## RESULTS

### Clinical Imaging

Four morphologies of RPE plume were defined (Fig. 2). Curvilinear plumes (Fig. 2A) appear as hyperreflective features of constant width, above the RPE layer with or without drusen, and pointing toward the outer plexiform layer. Relative to curvilinear plumes, comma plumes (Fig. 2B) are similarly reflective, with a wider base at the RPE layer and tapering anteriorly. Like curvilinear plumes, thread plumes (Fig. 2C), previously seen in inherited retinopathies,<sup>28</sup> are of uniform width but thinner. A vitelliform plume (Fig. 2D) is thick at its base, typically an acquired vitelliform lesion (autofluorescent and yellow subretinal extracellular material),<sup>24</sup> with an anterior taper that widens over time.

To observe plume life cycles and intraretinal trajectories, we enrolled 44 patients with nonneovascular AMD (61 eyes, 29 females, mean [SD] age 79.4 [7.7] years) with at least one HRF (Supplementary Table S3). In 29 eyes of 21 patients (15 female), 129 plumes were localized and followed for characteristics, including presence of cRORA and incomplete RPE and outer retinal atrophy (iRORA).<sup>5,9</sup> Mean (SD) follow-up duration was 4.67 (0.09) years. As shown in Supplementary

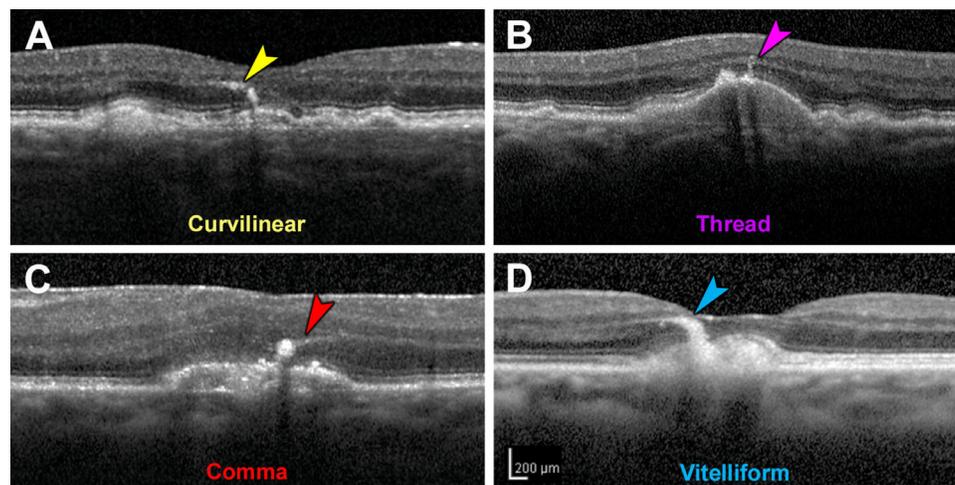
Figs. S3A and S3B, plumes obliquely crossing horizontally oriented OCT B-scans appeared as small reflective dots that were connected by inspecting consecutive scans. Over time, individual plumes evolved considerably (Fig. 3), converting from one morphology to another (Figs. 3B, 3D, 3E) or to discrete HRF as defined above (Fig. 3C). They also disappeared with (Figs. 3A, 3B, 3D) or persisted after (Figs. 3A–D) druse collapse to atrophy. One plume preceded druse appearance (Fig. 3E).

Curvilinear and thread plumes were the most common morphologies at baseline and the longest lasting over follow-up (Supplementary Fig. S4A). Plume number and dynamism (i.e., appearance, disappearance, and conversions) could be striking (Supplementary Fig. S4B). Of 129 plumes at baseline (Supplementary Table S4), 73 (56.6%) converted to another plume morphology or discrete HRF during follow-up, with 38 disappearing and 35 remaining; 56 (43.4%) plumes retained the original morphology.

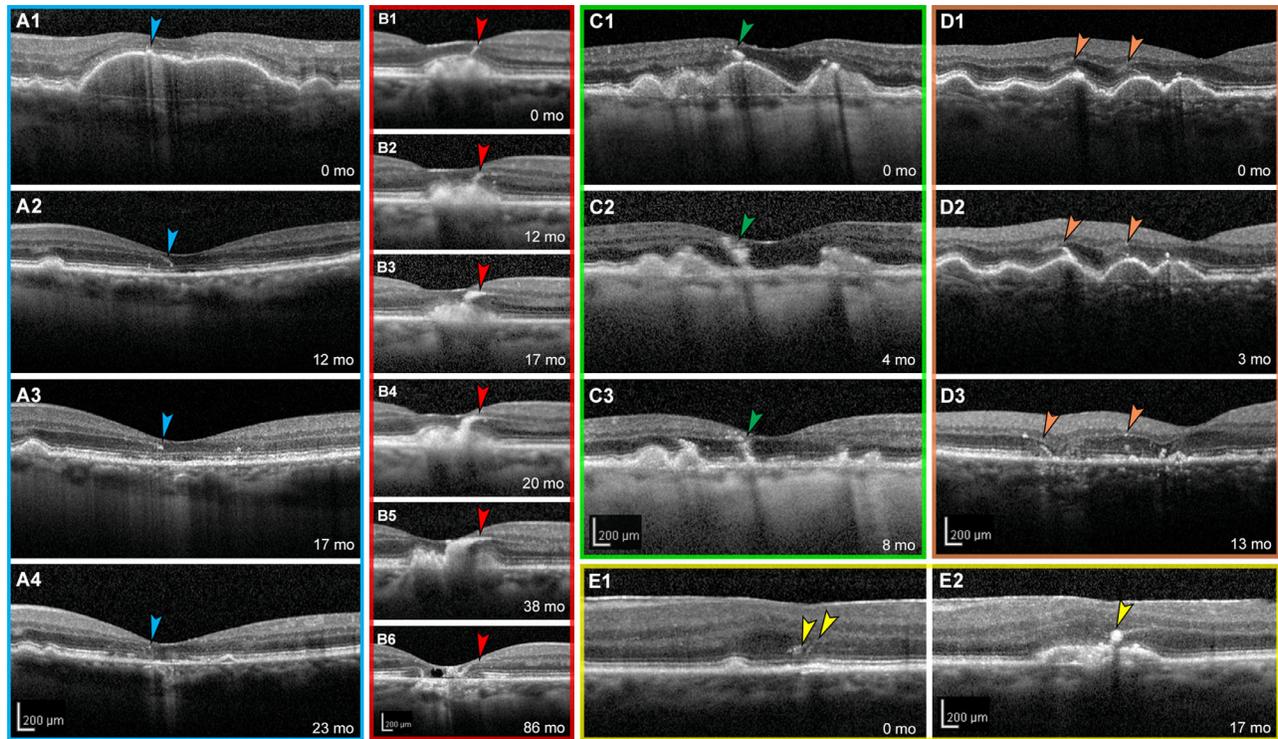
Most plumes (101/129, 78.3%) were geometrically congruent with the local trajectory of the normal HFL (Supplementary Table S5), especially temporal to the fovea. More noncongruent plumes appeared superior nasal to the fovea than in other quadrants. Of 129 plumes, 73 (56.6%) were associated with cRORA. Near cRORA, plumes were seen traveling in directions unrelated or opposite to the normal HFL (Supplementary Fig. S5). Accordingly, at the final visit (Supplementary Table S6), 48 of 129 (37.2%) plumes associated with cRORA were congruent with the local normal HFL and 25 of 129 (19.4%) were incongruent, with 16 of 129 (12.4%) incongruent plumes located at the cRORA border. In contrast, all 6 of 129 (4.7%) plumes associated with iRORA were congruent with the HFL. Finally, 50 of 129 (38.8%) plumes were not associated with either cRORA or iRORA.

### Laboratory Study

To molecularly characterize normal and abnormal RPE cells, especially those corresponding to HRF, we used ex vivo OCT imaging to find human donor eyes with AMD (Fig. 4).



**FIGURE 2.** Morphology of retinal pigment epithelium plumes. Examples from two eyes of one patient. (A) “Curvilinear” consists of hyperreflective structures of consistent width, often arising from a soft druse and aiming toward the outer plexiform layer. (B) “Thread” has a uniform and thin width, compared to curvilinear. (C) “Comma” has a wide base adjacent to the RPE layer and an anterior taper. (D) “Vitelliform” is thick and arises from an acquired vitelliform lesion.<sup>24</sup>



**FIGURE 3.** Retinal pigment epithelium plumes evolve over time. (A–E) Tracked optical coherence tomography B-scans of five different eyes with AMD are shown with individualized *colored frames* and *scale bars*. Numbers in the *lower-right corners* indicate time elapsed in months since the baseline visit at 0 months. (A1–A4) HRF overlie a drusenoid pigment epithelial detachment that collapsed at 12 months. HRF evolve into a comma plume before disappearing into complete outer retinal atrophy.<sup>9</sup> (B1–B6) A curvilinear plume evolves into a vitelliform plume, which collapses, leaving atrophy and degenerative cysts. (C1–C3) A comma-type plume evolves into HRF upon drusen collapse. (D1–D3) Of two thread plumes at baseline, one (*left*) becomes curvilinear at 3 months and persists through drusen collapse and incomplete RPE and outer retinal atrophy at 13 months. Thread plume at the *right* has disappeared at 13 months. (E1–E2) Two thread plumes evolve into a comma plume as an RPE elevation appears. This eye also has an epiretinal membrane.

HRF found in macular OCT B-scans were matched with pigmented cells in the subretinal space and retina of stained sections (Fig. 4). Atrophic AMD eyes with RPE plume in OCT exhibited pigmented cells in the outer nuclear layer (ONL) and HFL on histology (Fig. 4, C1 to C2 and F1 to F2). Results are based on 20 eyes of 20 donors (mean [SD] age 89.2 [5.0] years; 16 total AMD [7 early to intermediate, 6 atrophic, 3 neovascular, 4 control]), with mean (SD) time from death to preservation of 3.9 (0.7) hours (Supplementary Table S7).

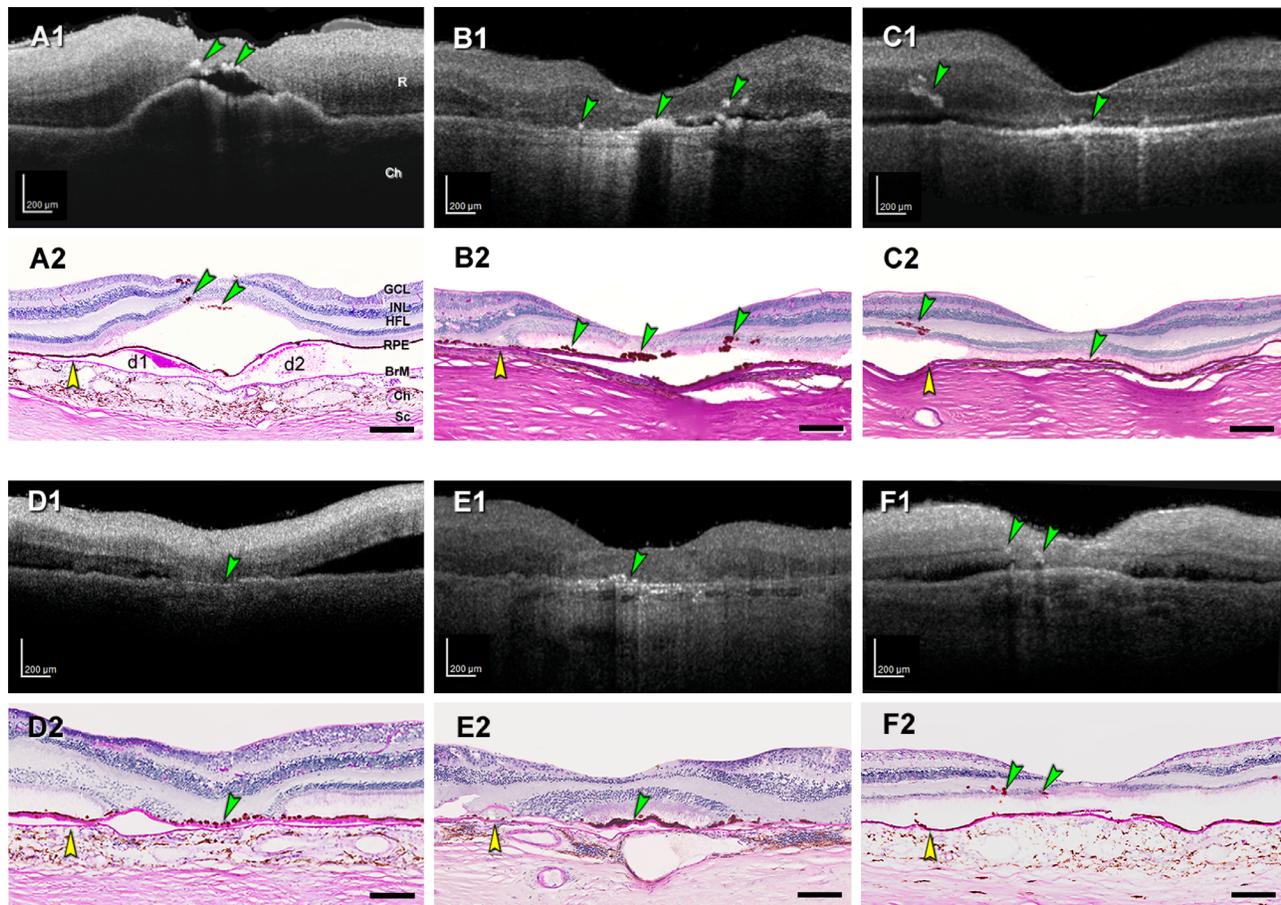
**Gain of Immune Function.** We begin by demonstrating that cells of RPE origin label with antibodies against two well-known immune cell markers, CD68 and CD163.<sup>29</sup> CD68 is a transmembrane glycoprotein that is abundantly expressed by macrophages and widely used as a myeloid-specific marker.<sup>30</sup> CD68 is one member (LAMP-4) of a five-protein family that together accounts for 50% of the protein in lysosome membranes.<sup>30</sup> In tissue sections (Fig. 5), positive controls for CD68 immunoreactivity are choroidal macrophages (Fig. 5D) and negative controls are healthy RPE (Fig. 5A). Fully pigmented cells in the neurosensory retina are strongly CD68<sup>+</sup> (Fig. 5F). Importantly, individual sloughed cells in the subretinal space (Figs. 5C, 5F) are also positive, as are individual cells still within the layer (Fig. 5E). Dissociated RPE scattered in the atrophic area are universally labeled (Figs. 5H, 5N), as are cells under the continuous layer (Fig. 5I), and within tubes of scrolled photoreceptors

(Figs. 5J, 5K; outer retinal tubulation<sup>31</sup>). Some bilaminar (Fig. 5D) and melanotic (Fig. 5M) cells are CD68<sup>+</sup>.

CD163 is a high-affinity haptoglobin–hemoglobin scavenger found in bone marrow–derived macrophages<sup>32</sup> and in retinal cells resembling microglia.<sup>33–35</sup> The latter are phagocytes of embryologic yolk sac origin that reside in inner retina when quiescent and migrate into outer retina when photoreceptors are damaged.<sup>33</sup> Figure 6 shows that anti-CD163 labels inner retina cells but not the normal RPE layer, as expected. It does label abnormal RPE phenotypes in a pattern like that of CD68, with overall lighter reaction product. These include fully pigmented CD163<sup>+</sup> cells in the ONL (Figs. 6F, 6N); HFL (Fig. 6L); above (Figs. 6E, 6I) and below (Fig. 6I) the continuous RPE layer in the subretinal and sub-RPE-basal laminar spaces, respectively; and dissociated cells in atrophic areas (Figs. 6H, 6J, 6K).

**Loss of Retinoid Function.** Next, we show that abnormal RPE cells cease to exhibit detectable quantities of retinoid-processing proteins essential for vision. Rod-mediated (dim light) vision is affected early in AMD, because rods depend on the choriocapillaris–Bruch’s membrane–RPE complex.<sup>36</sup> Cone-mediated (bright light) vision, which is also sustained by Müller glia and retinal vessels,<sup>37</sup> persists into later disease.

We begin with RPE65, the isomerohydrolase of the classic visual cycle. RPE65 catalyzes the conversion of retinyl esters to 11-*cis*-retinol, which is subsequently oxidized to



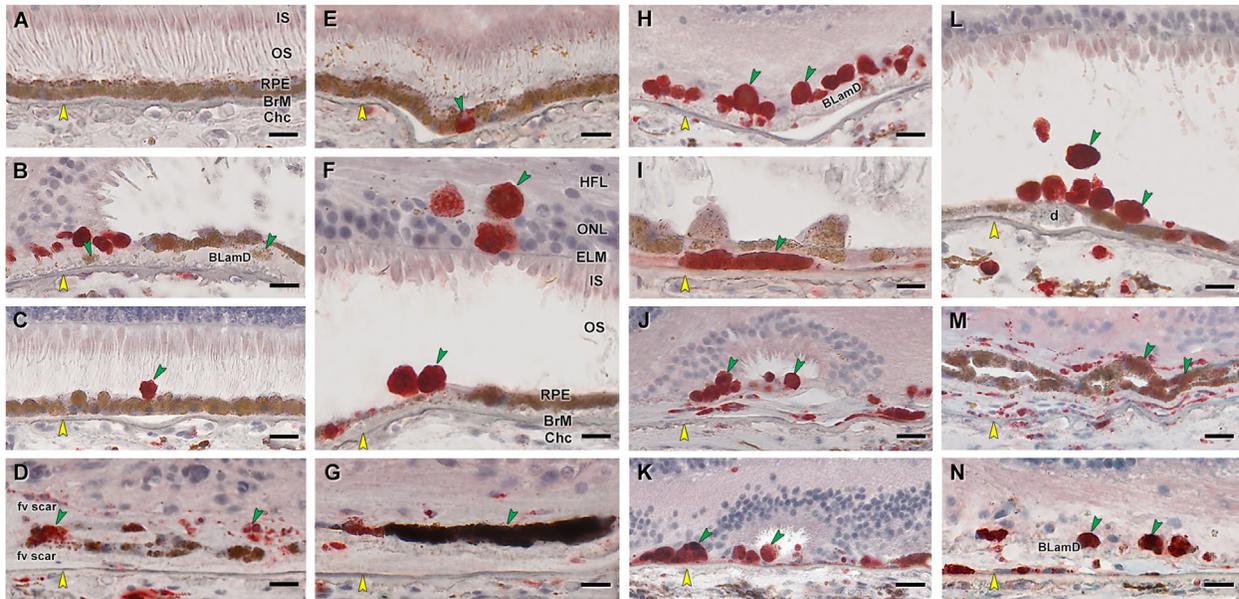
**FIGURE 4.** HRF in ex vivo OCT match intraretinal RPE on histology. Reflective features including HRF are visible in donor eyes (eye numbers in Supplementary Table S7) by ex vivo optical coherence tomography (A1–F1). These were matched with RPE phenotypes in histology sections stained with periodic acid–Schiff hematoxylin (PASH) (A2–F2), to show Bruch’s membrane (BrM), basal laminar deposit, and drusen. In all panels, scale bars are 200  $\mu$ m; yellow arrowheads indicate BrM, and green arrowheads indicate HRF in OCT and ectopic RPE in histology. (A1, A2) HRF above two soft drusen (d1, d2) at fovea from early to intermediate AMD eye (#5). (B1, B2) HRF at the fovea and nasal and temporal side, from atrophic AMD eye (#17). (C1, C2) RPE plume in atrophic AMD eye (#15). (D1, D2) Hyperreflective dots in OCT and dissociated RPE atop thick BLamD in the atrophic area. (E1, E2) Reflective dots under an island of surviving photoreceptors in the fovea center of an atrophic AMD eye (#12). (F1, F2) Multiple HRFs nasal to the fovea in an early to intermediate AMD eye (#7), corresponding to intraretinal RPE cells. Ch, choroid; GCL, ganglion cell layer; INL, inner nuclear layer; R, retina; Sc, sclera.

11-*cis*-retinal, required for visual transduction by rod and cone photoreceptors.<sup>38</sup> Figure 7 shows that in AMD eyes, intraretinal RPE in ONL (Fig. 7F) and individual sloughed cells overlying the continuous layer (Figs. 7C, 7L) lack RPE65 immunoreactivity. Cells in areas of photoreceptor degeneration and depletion are also RPE65<sup>-</sup>, including dissociated (Fig. 7N), subducted (Fig. 7I), and entubulated (Fig. 7J) cells. Importantly, some individual cells in the continuous layer are RPE65<sup>-</sup> (Figs. 7F, 7H). Interestingly, some bilaminar cells within fibrotic scars of neovascular AMD remain RPE65<sup>+</sup> (Fig. 7M).

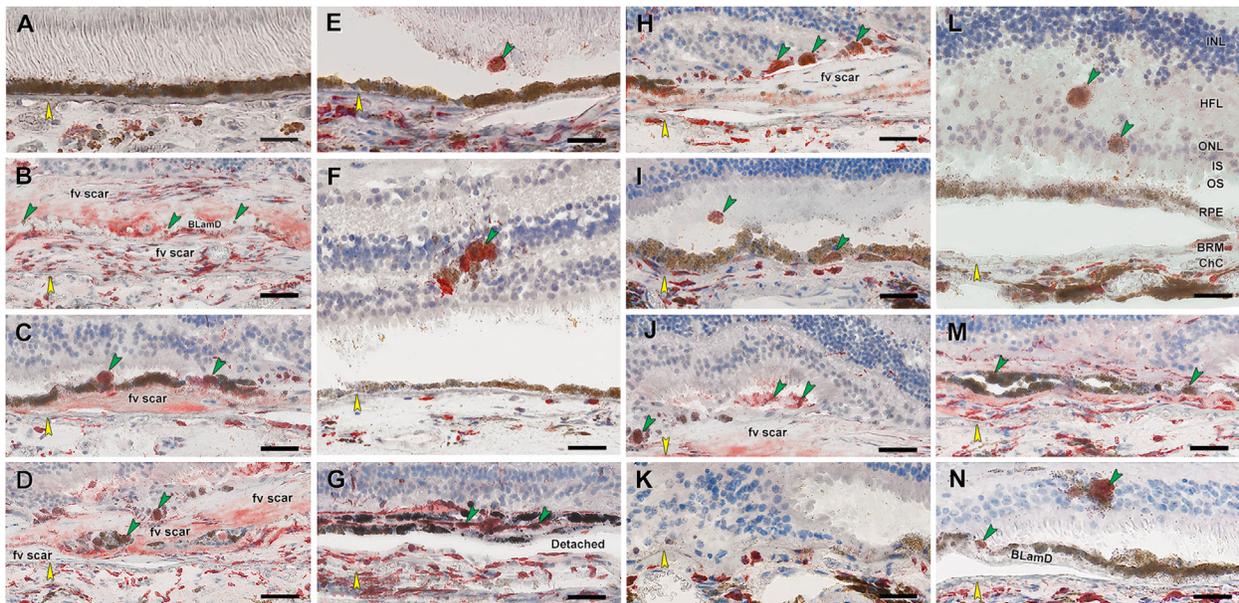
Cellular retinal binding protein (CRALBP) is important in the RPE-based visual cycle. It is also expressed by Müller glia in a second visual cycle thought to supply cones.<sup>37,39</sup> CRALBP is a 36-kDa water-soluble protein with two conformational states facilitating intracellular transport of hydrophobic 11-*cis* retinoids.<sup>40</sup> Figure 8 shows that healthy RPE has a strong CRALBP signal (Fig. 8A). In AMD, ectopic RPE in the ONL, recognizable by pigment load, is CRALBP<sup>-</sup> (Figs. 8F, 8L). Individual sloughed cells (Figs.

8H, 8L) and in-layer cells (Fig. 8E) are also negative, as are pigmented cells in atrophic areas (dissociated, Figs. 8C, 8K, 8N; subducted, Fig. 8I). Shed granule aggregates<sup>15,41</sup> are CRALBP<sup>-</sup>, under a continuous CRALBP<sup>+</sup> layer (Figs. 8B, 8J).

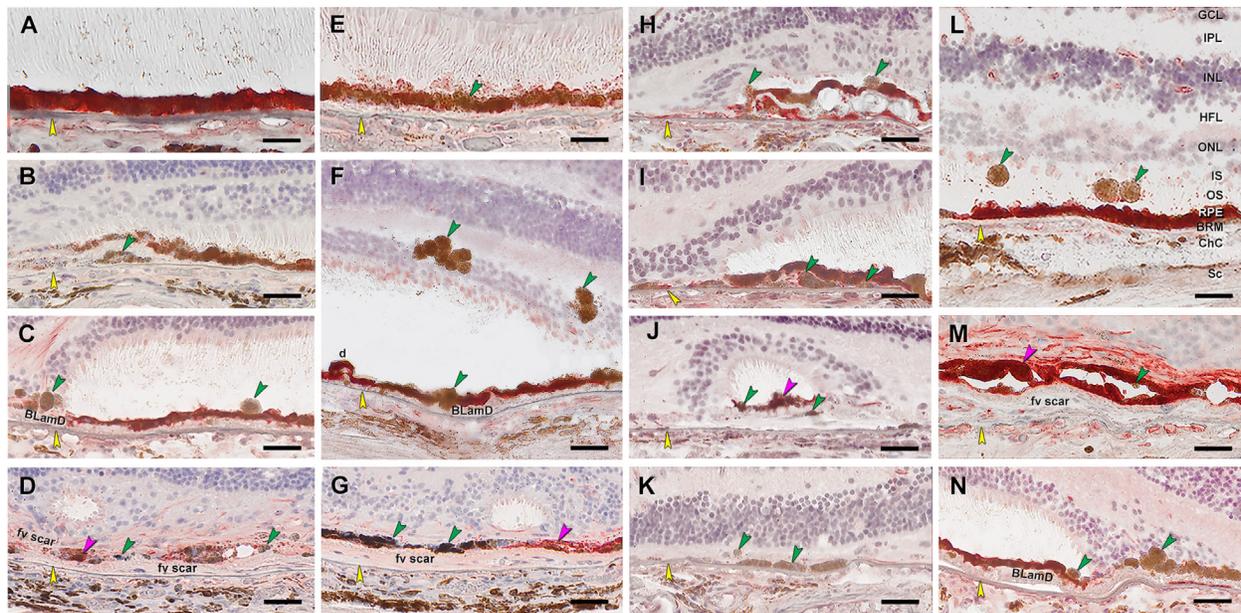
In contrast to RPE, Müller glia remain strongly CRALBP<sup>+</sup> in their cross-retinal course, regardless of the degree of photoreceptor loss. Distinctive features (Fig. 8L) are the external limiting membrane (junctional complexes between glia and photoreceptors)<sup>42</sup> and pericellular baskets<sup>43</sup> around ONL cell bodies. In the HFL of areas with lesser disease involvement, stained Müller glia are parallel and straight (ordered,<sup>7</sup> Fig. 8L). At the border of atrophy (descent of the external limiting membrane,<sup>44</sup> Figs. 8C, 8K, 8N) and outer retinal tubulation (Fig. 8J), CRALBP<sup>+</sup> fibers curve down toward Bruch’s membrane and then head laterally, parallel to it. In some locations, immunolabeled fibers zigzag in parallel, presenting cross sections of dots and lines (disordered,<sup>7</sup> Figs. 8F, 8N). CRALBP signal also appears in fibrotic scars resulting from neovascular AMD (Figs. 8D, 8G, 8M).



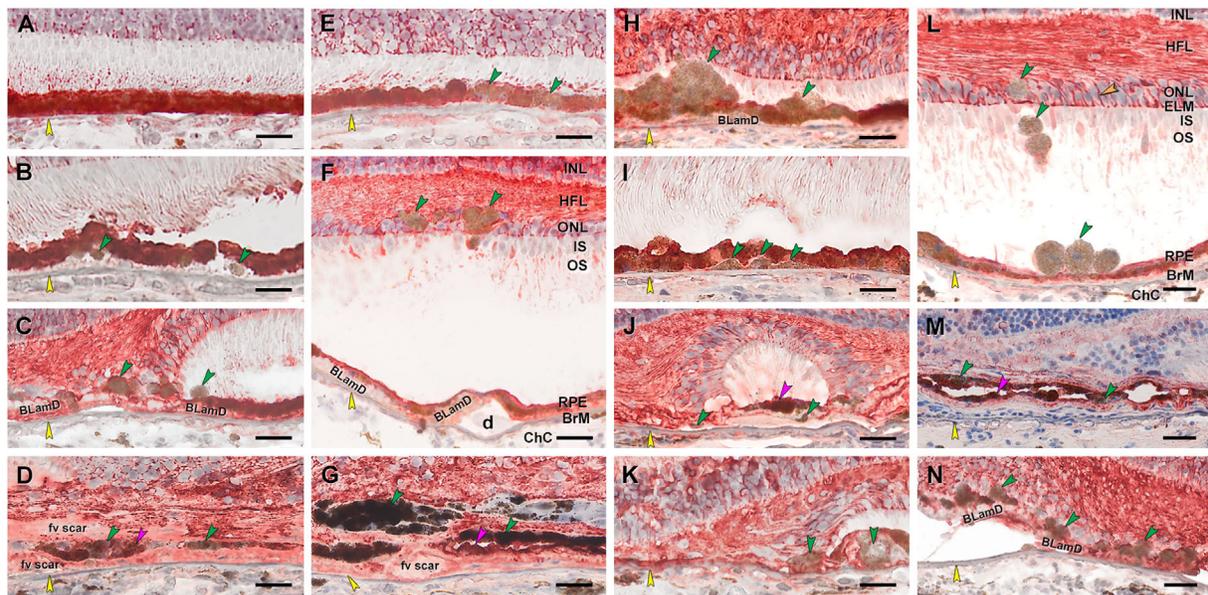
**FIGURE 5.** RPE corresponding to HRF and other abnormal cells are CD68<sup>+</sup>. Twenty donor eyes (16 AMD, 4 controls; eye numbers in Supplementary Table S7) were used for immunohistochemistry with mouse monoclonal anti-human CD68 (Supplementary Table S1) and red reaction product. Fourteen of 16 previously described morphologic phenotypes of RPE<sup>27</sup> were identified (Supplementary Table S2). All scale bars are 20 μm; yellow arrowheads indicate BrM, and green arrowheads indicate abnormal RPE phenotypes. (A) CD68<sup>-</sup> “uniform” RPE, unremarkable (#1). (B) CD68<sup>-</sup> granule aggregates, released basally from “shedding” RPE; atrophic AMD (#13). (C) CD68<sup>+</sup> “sloughed” RPE; atrophic AMD (#12). (D) Both CD68<sup>+</sup> and CD68<sup>-</sup> “entombed” RPE; neovascular AMD (nvAMD) (#18). (E) A single CD68<sup>+</sup> “nonuniform” RPE from a less-affected area, nvAMD (#20). (F) CD68<sup>+</sup> “intraretinal” RPE; early to intermediate AMD (#7). (G) Variable CD68 immunoreactivity in “melanotic” RPE, nvAMD (#18). CD68 immunoreactivity is variable on heavily and light pigmented melanotic RPE. (H) CD68<sup>+</sup> “severe” RPE; atrophic AMD (#13). (I) CD68<sup>+</sup> “subducted” RPE, atrophic AMD (#12). (J) CD68<sup>+</sup> “entubulated” RPE, atrophic AMD (#12). (K) CD68<sup>+</sup> “dissociated” RPE, atrophic AMD (#12). (L) CD68<sup>+</sup> “dissociated” RPE and “sloughed” RPE, early to intermediate AMD (#7). (M) Variable CD68 immunoreactivity in “bilaminar” RPE, nvAMD (#20). (N) CD68<sup>+</sup> “dissociated” RPE; atrophic AMD (#12). fv scar, fibrovascular scar; IS, inner segments of photoreceptors; OS, outer segments of photoreceptors.



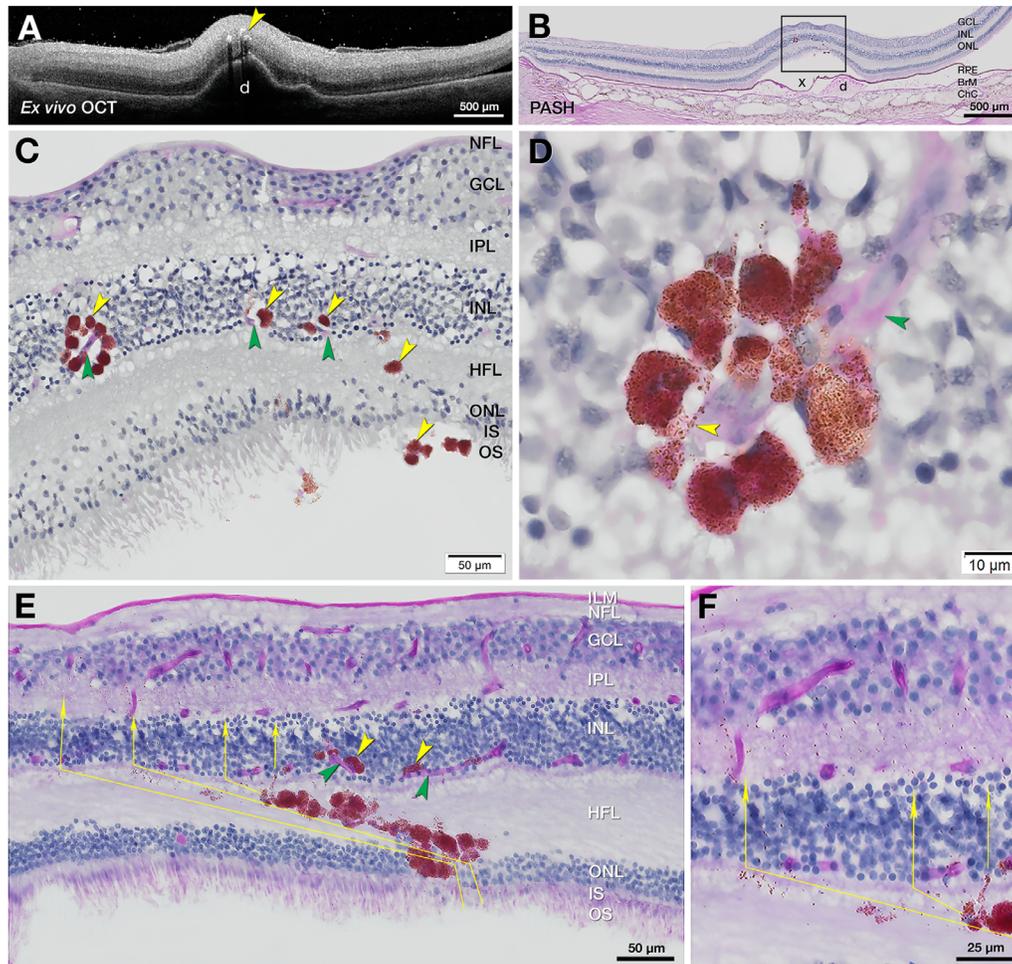
**FIGURE 6.** RPE corresponding to HRF and other abnormal cells are CD163<sup>+</sup>. Twenty donor eyes (16 AMD, 4 controls; eye number in Supplementary Table S7) were used for immunohistochemistry with mouse monoclonal anti-human CD163 (Supplementary Table S1) and red reaction product. Fourteen of 16 previously described morphologic phenotypes of RPE were identified (Supplementary Table S2). All scale bars are 20 μm; yellow arrowheads indicate BrM, and green arrowheads indicate abnormal RPE phenotypes. (A) CD163<sup>-</sup> “uniform” RPE, unremarkable (#1). (B) CD163<sup>-</sup> “shedding” RPE, nvAMD (#20). (C) CD163<sup>+</sup> “sloughed,” nvAMD (#20). (D) Both CD163<sup>+</sup> and CD163<sup>-</sup> are present in “entombed” RPE, nvAMD (#20). (E) A single CD163<sup>+</sup> migrating RPE above “nonuniform” RPE layer, atrophic AMD (#17). (F) CD163<sup>+</sup> “intraretinal” RPE, atrophic AMD (#15). (G) Variable CD163 immunoreactivities in “melanotic” RPE, nvAMD (#20). (H) CD163<sup>+</sup> “severe” or “very nonuniform” RPE, nvAMD (#20). (I) CD163<sup>+</sup> “subducted” and “sloughed” RPE, atrophic AMD (#16). (J) CD163<sup>+</sup> “entubulated” and “dissociated” RPE, nvAMD (#20). (K) There is no RPE in an area of “atrophy without BLamD,” atrophic AMD (#16). (L) CD163<sup>+</sup> “dissociated” and “intraretinal” RPE, atrophic AMD (#16). (M) Variable CD163 immunoreactivity in “bilaminar” RPE, nAMD (#20). (N) CD163<sup>+</sup> “intraretinal” RPE at “atrophy with BLamD” area, atrophic AMD (#14).



**FIGURE 7.** RPE corresponding to HRF are RPE65<sup>-</sup>, as are other abnormal cells. Twenty donor eyes (16 AMD, 4 controls; eye numbers in Supplementary Table S7) were used for immunohistochemistry with mouse monoclonal anti-human RPE65 (Supplementary Table S1) and red reaction product. Fourteen of 16 previously described morphologic phenotypes of RPE<sup>27</sup> were identified (Supplementary Table S2). All scale bars are 20 μm; *yellow arrowheads* indicate BrM; *green and fuchsia arrowheads* indicate RPE65<sup>-</sup> and RPE65<sup>+</sup> abnormal RPE phenotypes, respectively. (A) RPE65<sup>+</sup> “uniform” RPE, unremarkable (#1). (B) RPE65<sup>-</sup> “shedding” RPE, nvAMD (#20). (C) RPE65<sup>-</sup> “sloughed” RPE, atrophic AMD (#13). (D) Both RPE65<sup>+</sup> and RPE65<sup>-</sup> “entombed” RPE, nvAMD (#18). (E) A few RPE65<sup>-</sup> “nonuniform” RPE, early to intermediate AMD (#6). (F) RPE65<sup>-</sup> “intraretinal” RPE, early to intermediate AMD (#11). (G) Variable RPE65 immunoreactive “melanotic” RPE, nvAMD (#18). (H) RPE65<sup>-</sup> “severe” RPE, atrophic AMD (#12). (I) RPE65<sup>-</sup> “subducted” RPE, atrophic AMD (#12). (J) Both RPE65<sup>+</sup> and RPE65<sup>-</sup> “entubulated” RPE, atrophic AMD (#12). (K) RPE65<sup>-</sup> RPE at “atrophy without BLamD” area, atrophic AMD (#12). (L) RPE65<sup>-</sup> “dissociated” RPE, atrophic AMD (#16). (M) Both RPE65<sup>-</sup> and RPE65<sup>+</sup> “bilaminar” RPE, nvAMD (#20). (N) RPE65<sup>-</sup> RPE at “atrophy with BLamD” area, atrophic AMD (#13). IPL, inner plexiform layer.



**FIGURE 8.** Abnormal RPE is CRALBP<sup>-</sup>, and Müller glia are CRALBP<sup>+</sup>. Twenty donor eyes (16 AMD, 4 controls; eye numbers in Supplementary Table S7) were used for immunohistochemistry with mouse monoclonal anti-human CRALBP (Supplementary Table S1) and red reaction product. Fourteen of 16 previously described morphologic phenotypes of RPE<sup>27</sup> were identified (Supplementary Table S2). All scale bars are 20 μm. *Yellow arrowheads* indicate BrM. *Green and fuchsia arrowheads* indicate CRALBP<sup>-</sup> and CRALBP<sup>+</sup> abnormal RPE phenotypes, respectively. In panel L, labeled Müller glia are seen at the external limiting membrane (ELM), pericellular baskets in ONL (*orange arrowhead*), and parallel fibers in HFL. (A) CRALBP<sup>+</sup> “uniform” RPE, unremarkable (#1). (B) CRALBP<sup>-</sup> “shedding” RPE, early to intermediate AMD (#6). (C) CRALBP<sup>-</sup> “sloughed” RPE, atrophic AMD (#13). (D) Both CRALBP<sup>+</sup> and CRALBP<sup>-</sup> “entombed” RPE nvAMD (#18). (E) CRALBP<sup>-</sup> “nonuniform” RPE nvAMD (#18). (F) CRALBP<sup>-</sup> “intraretinal” RPE, early to intermediate AMD eye (#7). (G) Both CRALBP<sup>+</sup> and CRALBP<sup>-</sup> “melanotic” RPE, nvAMD (#18). (H) CRALBP<sup>-</sup> “severe” RPE, atrophic AMD (#12). (I) CRALBP<sup>-</sup> “subducted” RPE, atrophic AMD (#12). (J) Both CRALBP<sup>+</sup> and CRALBP<sup>-</sup> “entubulated” RPE, atrophic AMD (#12). (K) CRALBP<sup>-</sup> RPE at “atrophy without BLamD” area, atrophic AMD (#12). (L) CRALBP<sup>-</sup> “dissociated” RPE, early to intermediate AMD (#7). (M) Variable CRALBP immunoreactivity in “bilaminar” RPE, nvAMD (#20). (N) Variable CRALBP<sup>+</sup> and CRALBP<sup>-</sup> RPE at “atrophy with BLamD” area, nvAMD (#7).



**FIGURE 9.** RPE migrate toward vessels and follow Müller glial columns. One eye from each of two donors aged 86 to 90 years (#5 and #15, Supplementary Table S7) was subjected to ex vivo OCT images in **A** (Fig. 4, C1 and C2) and PASH staining with cryosections in **B**, **E**, and **F**. (**A**) Ex vivo OCT of donor eye (#5) shows HRF (yellow arrowhead) over a large druse. (**B**) Corresponding PASH histologic section 290  $\mu\text{m}$  superior to the fovea with a big druse (d), artifactually detached (x) from the RPE, and thinner ONL. (**C**) Magnified RPE rosette in **B** (yellow arrowheads) crossing the HFL and approaching retinal capillaries (green arrowheads). (**D**) Nucleated RPE rosette from panel **C** (adjacent section). RPE-characteristic spindle-shaped melanosomes and melanolipofuscin impart a brown hue. The surrounded capillary is stained light pink (green arrowhead) with endothelial cell nuclei. Processes containing RPE organelles extend along the capillaries. (**E**, **F**) In another plume, RPE cells and detritus take a Z-shaped course. Fully pigmented RPE cells cross the obliquely oriented fibers of the HFL, with individual and grouped organelles in the lead (thin yellow arrows). The path turns vertically to enter and cross the inner nuclear layer and inner plexiform layer. ILM, internal limiting membrane; NFL, nerve fiber layer.

**Semiquantitative Assessment of Immunohistochemistry.** The number of stained cells in each RPE phenotype was assessed semiquantitatively (0, not stained; 1, some cells of a phenotype stained; 2, all cells stained). These scores were summed and expressed as a percentage of the highest possible score, as if all phenotypes had been stained completely by each antibody. Supplementary Figure S6A shows that all RPE phenotypes were found in immunostained sections of these 16 AMD eyes, with similar patterns in neighboring sections. Supplementary Figure S6B graphically summarizes the marker studies. Age-normal uniform and nonuniform RPE is positive for retinoid markers and negative for immune markers. Abnormal RPE phenotypes have overall less retinoid immunoreactivity (although some stained cells were found at 5%) and universally more immune marker immunoreactivity (up to 75%). Thus, cells corresponding to HRF in OCT are part of an overall pattern

of transdifferentiation resulting in gain of function and loss of function of RPE.

**Histology of Plume Life Cycle.** Our clinical study indicated that RPE plumes have life cycles that are observable in vivo. We previously showed in type 3 (intraretinal) neovascularization that RPE cells contact the deep capillary plexus.<sup>18</sup> Figures 9A–C accordingly show RPE plume cells crossing the HFL to approach and encircle capillaries of this plexus. Cells are nucleated and contain numerous RPE-characteristic spindle-shaped melanosomes and melanolipofuscin granules. Fine processes extend along a capillary (Fig. 9D).

We previously showed RPE organelles in the HFL of photoreceptor-depleted atrophic areas,<sup>7</sup> suggesting that Müller glia ingested and cleared debris from degenerating RPE. Here we show region-specific distribution of RPE organelles, consistent with the local trajectory of Müller

glia. In the macula, Müller glia are Z-shaped.<sup>45</sup> Fibers are oriented vertically in the ONL, obliquely in the HFL, and vertically in the OPL and inner retinal layers (Supplementary Fig. S1). In plumes near the fovea (Figs. 9E, 9F; Fig. 6N), fully pigmented RPE cells obliquely cross the HFL, with individual and grouped organelles in the lead. The path turns vertically at the inner nuclear layer and inner plexiform layer, following a Z-shaped course. In contrast, in the peripheral retina, where Müller glia are vertical, Supplementary Figure S7 shows melanosomes on a vertical track away from RPE above a druse.

## DISCUSSION

For RPE plume, one HRF type in AMD, we established a clinical life cycle, including both cRORA and resolution without cRORA, with evidence of clearance by Müller glia. HRF are one of four OCT indicators in a composite risk score for AMD progression (with soft and calcified drusen, plus subretinal drusenoid deposit).<sup>46</sup> Of these four, HRF confer the largest risk (odds ratio at 24 months, 5.21; 95% confidence interval 3.29–8.26),<sup>13</sup> yet have received histologic investigation only recently, a gap that is herein addressed. We provide strong evidence that ectopic RPE can manifest as HRF, without excluding the possibility that other cells, such as microglia, macrophages, or both, may also correspond to HRF, as they do in neovascular AMD.<sup>18,20</sup> We further show that sloughed and intraretinal cells corresponding to HRF are two of many abnormal RPE phenotypes undergoing molecular transdifferentiation.

Our parallel findings of CD68 and CD163 immunoreactivity in all abnormal RPE phenotypes add important new information to a decades-old debate<sup>47,48</sup> about whether RPE, a phagocyte itself, shares or assumes properties of other phagocytes. This investigation, like our previous studies, used high-resolution comprehensive microscopy to link cells with characteristic RPE organelles<sup>15,16</sup> to a time course of activity visible through longitudinal clinical imaging. Our cellular phenotyping system<sup>15</sup> built on prior literature and is used by others.<sup>49,50</sup> We posited that these phenotypes represented discrete steps toward death, migration, or transdifferentiation of individual cells that could be followed over time in OCT due to high RPE reflectivity. In prior electron microscopic analysis of pigmented cells corresponding to HRF,<sup>51</sup> we did not observe phagolysosomes (i.e., cytoplasmic vacuoles formed by phagosomes with ingested material fusing with lysosomes) as expected from phagocyte activity. In a separate study of HRF over a large drusenoid pigment detachment,<sup>17</sup> all detectable HRF could be attributed to fully pigmented and nucleated intraretinal cells. In clinical cases of that prior study,<sup>17</sup> HRF appeared in the retina vertically above and several months after disturbances of the RPE layer. Our current data further show that individual in-layer cells and all abnormal phenotypes exhibit CD68 and CD163 immunoreactivity. We cannot exclude the possibility that phagocytes ingest and retain characteristic RPE organelles, seamlessly intercalate into the layer, and either become abnormal phenotypes or induce them. However, extensive transdifferentiation in response to common perturbations is also a parsimonious explanation.

We emphasize that snapshot immunodetection of CD68, a lysosomal protein of unknown function reliably found in macrophages of myeloid origin,<sup>30</sup> does not indicate that labeled cells have assumed macrophage behavior. Our data

do not imply that macrophages of hematopoietic origin previously shown in choroid and sub-RPE-BL space<sup>52,53</sup> started as RPE. Our data do not bear on the identity of CD68<sup>+</sup> cells seen in high-passage cultured RPE,<sup>54</sup> surgically excised neovascular membranes,<sup>55,56</sup> and inherited retinopathies.<sup>57</sup> In rapidly preserved eyes with experimental laser injury, individual detached cells atop an intact RPE layer are CD68<sup>+</sup><sup>58</sup> (compare to current Fig. 5C and Fig. 6C). Recent authors interpreted CD68<sup>+</sup> cells in aqueous after RPE tear<sup>59</sup> and in proliferative vitreoretinopathy<sup>60</sup> as RPE. CD68<sup>+</sup> cells in the outer junctional zone of atrophy in AMD were demonstrated by laser confocal microscopy and immunofluorescence,<sup>49</sup> a technique that does not directly reveal melanin; the authors did not comment on cellular identity.<sup>49</sup>

Our data illuminate subducted cells on the inner collagenous layer of Bruch's membrane containing RPE organelles and originally called "spent RPE."<sup>61</sup> Using single continuous high-resolution histologic sections of eyes with cRORA, we saw plausible transitional morphologies between fully pigmented RPE outside atrophic areas and flattened cells with fewer organelles in the sub-RPE-BL space.<sup>16</sup> We hypothesized that dissociated RPE in an atrophic area,<sup>62</sup> loosened from constraining junctional complexes, dove under the intact layer and moved into the outer junctional zone to participate in atrophy expansion (Fig. 1).<sup>16</sup> Herein we show CD68<sup>+</sup> and CD163<sup>+</sup> cells and highly pigmented RPE65<sup>-</sup> and CRALBP<sup>-</sup> cells in the same sub-RPE-BL tissue compartment of the same eyes. The sub-RPE-BL space can harbor macrophages of myeloid origin, multinucleate giant cells, and fibroblasts.<sup>63</sup> It is possible that colocalized CCR2 and CD18 immunoreactivity in these locations, attributed to macrophages,<sup>64</sup> may represent subducted and dissociated RPE, respectively. Multilabel immunohistochemistry is needed to confirm how many subducted cells are RPE derived. Macrophages that coexpress CD68 and CCR7 can be assigned to a "proinflammatory" M1 subset, whereas CD68<sup>+</sup>CD163<sup>+</sup> macrophages most likely belong to an "anti-inflammatory" M2 subset.<sup>65</sup> Longitudinal studies with higher-resolution OCT<sup>66,67</sup> may make it possible to detect subducted cells and determine if they promote or retard atrophy expansion, which is of value to validating this regulatorily approved anatomic endpoint.

Our expectation of plume congruence with HFL trajectory was largely met and of note was noncongruent near cRORA (Supplementary Table S6). This is likely due to subsidence (sinking) of the OPL, HFL, and ONL, a committed step toward cRORA onset.<sup>68</sup> Subsidence is visible where photoreceptors focally shorten and are scrolled by Müller glia.<sup>7,69</sup> Our data on plume trajectories and CRALBP immunohistochemistry thus add to prodigious activities of Müller glia in AMD. These include descent and curving of the external limiting membrane (border of atrophy), reactive gliosis, adherent glial seal in areas of photoreceptor depletion, and invasion of individual drusen.<sup>31,44,70,71</sup> CRALBP immunohistochemistry confirmed HFL fiber geometry as well as retinoid capacity of Müller glia, still supported by retinal circulation late in disease. Single and grouped RPE organelles paralleling Müller glia<sup>7,72</sup> are presumably carried intracellularly. In contrast, RPE cell bodies travel between HFL fibers (Fig. 8L and Fig. 9) following a trail of organelle detritus. Active glial disassembly and removal of fragments are suspected.

Because almost 40% of plumes were not associated with atrophy at follow-up (Supplementary Table S6), plumes should be considered indicators, not predictors. Factors

underlying variable plume outcome in our study include inclusion of patients with established disease, short observation period, and a “come-and-go” behavior consistent with cells subject to constant promigratory stimuli being dismantled en route.

Given evidence that many RPE cells are transdifferentiating, HRF may indicate risk by revealing an overall level and type of disease activity. Epithelial–mesenchyme transition (EMT) is one candidate activity. In this complex process, cells lose apical-basal polarity, modulate cytoskeleton, and reduce cell–cell adhesion.<sup>73</sup> A defining feature of an epithelium is localization within organs stabilized by a basal lamina and integrin-mediated interactions with extracellular matrix. Epithelial cells that detach from extracellular matrix normally undergo a programmed cell death called anoikis.<sup>74,75</sup> Tumor cells of epithelioid origin can escape anoikis by EMT. Thus, they assume amoeboid morphology, become motile and invasive, and travel in the circulation to other organs, with most dying en route. EMT of RPE has been suspected in AMD due to findings of characteristic molecular markers (vimentin<sup>76</sup> and transcription factor Snail<sup>21</sup>) and the presence of HRF.<sup>77</sup> Another contributor is the separation of the RPE-BL from its native basal lamina by BLamD,<sup>23,78</sup> an extracellular matrix that also contains other components. RPE cells undergoing EMT might indeed resist cell death,<sup>21</sup> but they may also be dysfunctional, due to gain and loss of function suggested by our data. One factor promoting EMT over anoikis is hypoxia,<sup>75</sup> which is of high AMD relevance due to age- and disease-related loss of choroid and choriocapillaris<sup>79–82</sup> and the distancing of RPE from choriocapillaris by BLamD and drusen.<sup>83</sup> Thus, we showed RPE cells not only contacting the deep capillary plexus (Fig. 9<sup>17,18</sup>) but also aiming toward and clustering around vessels as a seemingly coordinated group rather than as single cells. Parallels with cancer are appropriate due to the unusual metabolic demands of photoreceptors and their supporting system compared to other neurons. The retina, of all body tissues, shares with tumors a dependence on aerobic glycolysis (the Warburg effect).<sup>84–86</sup> A consensus group of cancer researchers recently advocated monitoring cellular properties as part of the main criteria for EMT, along with molecular markers.<sup>73</sup> The high OCT visibility of RPE makes it uniquely possible to view these cellular activities in AMD.

Strengths of the clinical study are high-quality images and long follow-up on known progression risk factors. Limitations include the small number of patients and lack of independently assessed HFL trajectory with directional OCT.<sup>87</sup> Strengths of the laboratory study are short postmortem specimens with attached retinas, comprehensive photomicroscopy to visualize pigment, a color-contrasting readout for immunoreactivity, and a cross-sectional format conducive to OCT comparison. Limitations addressable in future studies include lack of clinical records with postmortem imaging, lack of correlative data from flat mounts to show early RPE pathology, limited assessments of RPE adjacent to AMD deposits, and lack of double-label experiments to determine cell-by-cell overlap among the four markers. Nevertheless, this is the most detailed characterization of RPE pathology in AMD to date in either clinical or laboratory settings. HRF are a consensus OCT feature in AMD,<sup>10</sup> encouraging longitudinal studies in other clinical centers, ideally with comprehensive en face OCT and fundus autofluorescence imaging to determine the proportion of HRF that are RPE derived. Our data can propel new biomarker and therapeutic strategies for a common and currently untreatable cause of impaired vision.

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