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# A liposomal RNA vaccine inducing neoantigen-specific CD4<sup>+</sup> T cells augments the antitumor activity of local radiotherapy in mice

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#### ABSTRACT

Antigen-encoding, lipoplex-formulated RNA (RNA-LPX) enables systemic delivery to lymphoid compartments and selective expression in resident antigen-presenting cells. We report here that the rejection of CT26 tumors, mediated by local radiotherapy (LRT), is further augmented in a CD8<sup>+</sup> T cell-dependent manner by an RNA-LPX vaccine that encodes CD4<sup>+</sup> T cell-recognized neoantigens (CD4 neoantigen vaccine). Whereas CD8<sup>+</sup> T cells induced by LRT alone were primarily directed against the immunodominant gp70 antigen, mice treated with LRT plus the CD4 neoantigen vaccine rejected gp70-negative tumors and were protected from rechallenge with these tumors, indicating a potent poly-antigenic CD8<sup>+</sup> T cell response and T cell memory. In the spleens of CD4 neoantigen-vaccinated mice, we found a high number of activated, poly-functional, Th1-like CD4<sup>+</sup> T cells against ME1, the immunodominant CD4 neoantigen within the polyneoantigen vaccine. LRT itself strongly increased CD8<sup>+</sup> T cell numbers and clonal expansion. However, tumor infiltrates of mice treated with CD4 neoantigen vaccine/LRT, as compared to LRT alone, displayed a higher fraction of activated gp70-specific CD8<sup>+</sup> T cells, lower PD-1/LAG-3 expression and contained ME1-specific IFNy<sup>+</sup> CD4<sup>+</sup> T cells capable of providing cognate help. CD4 neoantigen vaccine/LRT treatment followed by anti-CTLA-4 antibody therapy further enhanced the efficacy with complete remission of gp70-negative CT26 tumors and survival of all mice. Our data highlight the power of combining synergistic modes of action and warrants further exploration of the presented treatment schema.

## Introduction

Half of the patients with cancer irrespective of tumor type undergo local radiotherapy for curative or palliative purposes.<sup>1</sup> In addition to its anti-proliferative and cytotoxic activity on cancer cells, LRT mediates a multitude of effects. These include the induction of immunogenic cell death, release of danger-associated molecular patterns, activation of antigen-presenting cells<sup>2-4</sup> and may promote the priming and effector phase of tumor-reactive T cells. As a consequence, LRT is considered to convert the tumor to an *in situ* vaccine,<sup>5</sup> which is the rationale for combining LRT with immune modulators such as antibodies against CTLA-4,<sup>6</sup> PD-1/PD-L1,<sup>7</sup> CD40,<sup>8</sup> or CD137<sup>9</sup> and also with CD8<sup>+</sup> T cell-inducing cancer vaccines.<sup>10–12</sup>

We have previously reported a comprehensive cancer mutanome analysis of mouse tumors showing that a considerable fraction of non-synonymous cancer mutations are immunogenic, that the majority of the immunogenic mutanome is recognized by CD4<sup>+</sup> T cells and that vaccination with such CD4<sup>+</sup> T cell-reactive immunogenic mutations confers strong antitumor activity.<sup>13</sup> In this regard, we were specifically interested to study high dose LRT in conjunction with a vaccine inducing tumor neoantigen-specific CD4<sup>+</sup> T cells. To this aim, we resorted to a cancer vaccine model based on the CT26 colon carcinoma in BALB/c mice. In this model, a pentatope vaccine (CT26  $P_{ME}$ 1), engineered from five highly expressed CT26-specific mutations (`monotopes`) with strong predicted major histocompatibility complex (MHC) class II binding capacity, mediates complete rejection in an aggressively growing hematogenic dissemination simulating lung metastasis model of CT26,<sup>13</sup> whereas it is ineffective against subcutaneously (s.c.) established CT26 tumors.

The tumor rejection depends on cytotoxic CD8<sup>+</sup> T cells including specificities against gp70-AH1,<sup>14</sup> the immunodominant gp70epitope in CT26. The pentatope vaccine induces a poly-epitopic CD4<sup>+</sup> T cell response, with CT26 ME1 (Aldh18a1<sub>P154S</sub>), being the most immunogenic CT26  $P_{ME}$ 1-encoded CD4 neoantigen.<sup>13</sup> The vaccine format used in this model is a single-stranded antigenencoding RNA encapsulated in liposomes (RNA-LPX).<sup>15</sup> The RNA has been engineered for optimized intracellular stability and translational efficiency<sup>16-18</sup> and for augmented presentation not only on MHC class I but also MHC class II.<sup>19</sup> Intravenously (i. v.) administered RNA-LPX target to lymphoid compartments and are taken up and expressed exclusively by resident antigenpresenting cells.<sup>15</sup> As a natural TLR7/8 ligand, RNA mediates a strong type I interferon (IFN) dominated innate response,

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#### ARTICLE HISTORY

Received 6 December 2019 Revised 17 April 2020 Accepted 17 April 2020

#### **KEYWORDS**

Radiotherapy; cancer vaccines; neoantigens; CD4<sup>+</sup> T cells; RNA-LPX

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concurrent to delivering the encoded antigen.<sup>15,20,21</sup> The described mode of action in mice is supported by preliminary observations in ongoing clinical trials with RNA-LPX in patients with solid cancers.<sup>21–24</sup>

The purpose of the study presented here was to utilize the described mouse model to investigate whether a CD4 neoantigen vaccine can synergize with LRT and to characterize the involved mechanisms.

Our data indicate that CD4 neoantigen vaccination maximizes radiation-induced adaptive T cell responses by boosting *in situ* CD8<sup>+</sup> T cell immunity.

## **Materials and methods**

## Mice

BALB/c wild-type mice were purchased from Janvier and agematched (8–12 weeks) female animals used throughout all experiments. Procedures and experimental group sizes were approved by the regulatory authorities for animal welfare. All mice were kept in accordance with federal and state policies on animal research at BioNTech SE.

## **Tumor cell lines**

The murine BALB/c colorectal cancer cell line CT26 was purchased from ATCC (CRL-2638, lot no. 58494154). CT26 cells present the immunodominant gp70 antigen, which is a viral envelope protein endogenously expressed in BALB/c mice, but silent in most normal mouse tissues.<sup>25</sup> The gp70-epitope AH1 (SPSYVYHOF) is known to elicit strong CD8<sup>+</sup> T cell responses in BALB/c mice.<sup>14</sup> CT26-gp70KO cells were generated via CRISPR/ Cas9 mediated introduction of indels into the gp70 locus<sup>26</sup> and thus not recognized by gp70-AH1-specific splenocytes. The murine BALB/c 4T1-luc2-tdTomato (4T1-luc) breast cancer cell line was purchased from Caliper Life Sciences (125669, lot no. 101648). Master and working cell banks were generated immediately upon receipt/generation. Cells from fifth to ninth passage were used for *in vivo* tumor experiments. Cells were tested for mycoplasma contamination every 3 months.

## **Mutation selection**

For mutation detection, RNA and whole-exome sequencing of CT26 and 4T1-luc tumor cells and BALB/c tail tissue samples was performed by TRON gGmbH (Mainz, Germany) as earlier described.13 Sequencing FASTQ files for CT26, 4T1-luc, and BALB/c are available from the European Nucleotide Archive (ENA) as PRJEB5321, PRJEB5320, PRJEB5791, and PRJEB5797. The computational pipeline for identification of immunogenic CT26 neoantigens was reported previously.<sup>13</sup> For mutation detection, Strelka (version 2.0.14)<sup>27</sup> and VarScan2 (version 2.3.5)<sup>28</sup> were used, and for MHC-binding prediction, the IEDB consensus method version 2.12. The 4T1 pentatope, P<sub>ctrl</sub>. was selected as a control pentatope for experiments with pentatope CT26 P<sub>ME</sub>1<sup>13</sup> and features immunogenic cancer mutations of the BALB/c 4T1 mouse tumor model, which are not present in BALB/c CT26 tumor models. As previously reported, of the CT26 PME1 encoded mutations, CT26 ME1 and ME2 (Supplementary Figure 2(b))

triggered antigenic CD4<sup>+</sup> T cell responses<sup>13</sup> and of the  $P_{ctrl}$  encoded mutations 4T1 M53, M56, and M57 triggered antigenic CD4<sup>+</sup> T cell responses (Supplementary Figure 2(a)).

## RNA constructs and in vitro transcription

Plasmid templates for *in vitro* transcription of antigen-encoding RNAs were based on the pST1-A120 and pST1-MITD vector which feature 5' and 3'UTRs and poly(A) tails pharmacologically optimized for stability and protein translation.<sup>17</sup> pST1-MITD features a signal sequence for routing to the endoplasmic reticulum and MHC class I transmembrane and cytoplasmic domains for improved presentation of MHC class I and II epitopes.<sup>19</sup> Mutated amino acids were encoded as 27-mers containing the non-synonymous single nucleotide variants (nsSNV) at position 14 and embedded into pST1-MITD vectors as pentatopes, fused to each other by 10 amino acid long glycine-serine linkers or as monotopes. The following antigen-encoding vectors were used: CT26 P<sub>ME</sub>1 (pentatope, encoding the CT26 neoantigens Aldh18a1<sub>P154S</sub> (ME1), Ubqln1<sub>A62V</sub> (ME2), Ppp6r1<sub>D309N</sub> (ME3), Trip12<sub>V1328M</sub> (ME4), Pcdhgc3<sub>E139K</sub> (ME5)),<sup>13</sup> CT26 ME1 (monotope, encoding the CT26 neoantigen Aldh18a1<sub>P154S</sub> (ME1)); P<sub>ctrl</sub>. (pentatope, encoding the 4T1-luc neoantigens Gnpat<sub>S110R</sub> (M53), Isoc1<sub>V205L</sub> (M54), H2-Q6<sub>E179D</sub> (M55), Chsy1<sub>G459A</sub> (M56), Pi4kb<sub>T17A</sub> (M57)). Antigen-encoding vectors were in vitro transcribed and RNA capped with  $\beta$ -S-anti-reverse cap analog (ARCA) as previously described.<sup>17,29</sup> As control RNA, the same vector backbone without an antigen-encoding open reading was used.

## **RNA-LPX** preparation

RNA-LPX were generated by complexing RNA with liposomes consisting of the cationic lipid DOTMA and the helper lipid DOPE as previously described.<sup>15</sup> In brief, RNA-LPX were prepared using RNA stored in HEPES-buffered solution (1 mg mL<sup>-1</sup>) diluted with H<sub>2</sub>O and 1.5 M NaCl and adding the liposome dispersion to reach a charge ratio of (+):(-) 1.3:2 and a final NaCl concentration of 150 mM. RNA-LPX preparations had a particle size of ~340 nm and a polydispersity index of ~0.4.

## Synthetic peptides

Peptides containing non-synonymous single nucleotide variants were synthetized as 27-mer peptides, containing the mutated amino acid at center position (underlined),13 CT26 ME1 (Aldh18a1<sub>P1545</sub>, peptide sequence LHSGQNHLKEMAISVLEA RAC AAAGQS), CT26 ME2 (Ubqln1<sub>A62V</sub>, DTLSAMSN PRAMQVLLQIQQGLQTLAT), 4T1 M53 (Gnpat<sub>S110R</sub>, VLREEASEILEEMRHKLRIGAIRFFAF), 4T1 M54 (Isoc1<sub>V205L</sub>, EAALAEIPGVRSVLLFGVETHVCIQQT), 4T1 M55 (H2-Q6<sub>E179D</sub>, PADITLTWQLNGE <u>D</u>LTQDMELVETRPA), 4T1 M56 (Chsy1<sub>G459A</sub>, YILDLLLLYKKHK<u>A</u>KKMTVPVRRHAYL) and 4T1 M57 (Pi4kb<sub>T17A</sub>, GKRLATLPTKEQKAQRLIS ELSLLNHK). The gp70-AH1 (gp70<sub>423-431</sub>) peptide with a single amino acid substitution at position five (V427A) was synthetized as 9-mer (peptide sequence SPSYAYHQF).<sup>14</sup> JPT Peptide Technologies GmbH synthesized all peptides with a purity above 70%.

## Tumor models and treatment

For therapeutic tumor experiments, BALB/c mice were injected with 5  $\times$  10<sup>5</sup> CT26 or 3  $\times$  10<sup>5</sup> CT26-gp70KO tumor cells subcutaneously to the right flank. Tumor growth was measured unblinded with a caliper every 3 to 4 days and tumor volumes calculated by  $(a^2 \times b)/2$  (a, width; b, length). Tumor growth is displayed on a nonlinear, logarithmic scale  $(log_2)$  allowing the better visualization of tumor growth kinetics, especially at smaller tumor volumes. Mice were immunized with 40 µg RNA-LPX intravenously every 5 to 7 days. Local tumor irradiation was performed using the orthovoltage X-ray source X-Rad320 from Precision X-Ray Inc. at a dose rate of 0.47 Gy/min applying either 12 Gy or an equivalent biologically effective dose (BED) of  $3 \times 6$  Gy. Biological effective doses were calculated using the formula  $BED = n \times d(1 + \frac{d}{a})$  with n being the number of fractions, d being the dose per fraction and being the tumor intrinsic radio-sensitivity.<sup>30</sup> Tumor irradiation was performed under ketamine/xylazine (12 Gy) or isoflurane (3 x 6 Gy) narcosis. Mice were completely shielded by a custom-made lead shield that spares a hole (1.5 cm diameter) allowing exposition only of the tumor tissues. Prior radiotherapy mice were randomized according to their tumor volume and were eligible when tumors exhibited volumes between 13,5 mm<sup>3</sup> - 200 mm<sup>3</sup>. Anti-CD8 (YTS169.4, BioXCell) depleting antibody was injected intraperitoneal at 200 µg every 3 to 4 days. Anti-CTLA-4 (9H10, BioXCell) and anti-PD-1 (RMPI-14, BioXCell) antibodies were injected intraperitoneal thrice, at 200 µg (first) and 100 µg (second and third), every 5 days. For tumor rechallenge experiments, mice were inoculated with  $3 \times 10^5$  CT26-gp70KO tumor cells to the opposite (left) flank. Animals were euthanized when exhibiting signs of impaired health or when the tumor volume exceeded 1500 mm<sup>3</sup>.

## **Tissue preparation**

For the generation of single-cell suspensions, tumors were cut and digested using the mouse tumor dissociation kit and gentleMACS<sup>TM</sup> dissociator (Miltenyi Biotec) and were forced through a 70 µm cell strainer (BD Falcon), using a plunger end of a syringe while rinsing with PBS. Cells were centrifuged at  $460 \times g$  for 6 min and resuspended in fresh PBS. Erythrocytes were lysed with a hypotonic electrolyte solution for 5 min. Similarly, spleens were forced through a 70 µm cell strainer while rinsing with PBS and erythrocytes lysed with hypotonic electrolyte solution. For the isolation of tumor CD45<sup>+</sup> or CD8<sup>+</sup> cells, target cells were magnetically enriched using the mouse CD8 (TIL) or CD45 (TIL) MicroBeads and for spleen CD4<sup>+</sup> or CD8<sup>+</sup> T cells using the CD4 (L3T4) or CD8a (Ly-2) MicroBeads (Miltenyi Biotech) according to manufacturer's instructions. Peripheral blood was collected from the orbital sinus for flow cytometry staining (50 μl), TCRaβ sequencing (100 μl) and blood IFNy ELISpot (120 µl). For blood IFNy ELISpot, blood lymphocytes were enriched via ficoll (Ficoll®-Paque PREMIUM 1.084) gradient according to the manufacturer's instructions, pooling the blood of 3–4 mice.

## Flow cytometry

Flow cytometry staining was conducted on full blood, tumor, and spleen single-cell suspension as well as on CD45<sup>+</sup> T cellenriched tumor samples and CD4<sup>+</sup> T cell-enriched spleen samples. Monoclonal antibodies for extracellular staining included CD4, CD8a, CD40L, CD44, CD45, CD69, TIGIT (BD Pharmingen), LFA-1, PD-1 (BioLegend), CD25, CD62L, ICOS, Lag-3, Tim3 (eBioscience). For intracellular staining, antibodies against IFNy (Invitrogen) and CD40L, T-bet, TNFa (BD Pharmingen) were used. Live cells were stained with viability dyes (eBioscience) according to the manufacturer's instructions. Gp70-AH1-specific CD8<sup>+</sup> T cells were stained with gp70<sub>423-431</sub> H2-Ld-restricted tetramers (MBL) for 10 min at 4°C in the dark. ME1-specific CD4<sup>+</sup> T cells were stained after CD4<sup>+</sup> T cell MACS enrichment with 12 µg/mL custom-made CT26 ME1 I-A(d)restricted tetramers (peptide sequence NHLKEMAISVLEARA, from NIH Tetramer Core Facility) for 45 min at room temperature in the dark. Full blood was stained with gp70423-431 H2-Ldrestricted tetramers prior to erythrocyte lysis using BD FACS lysing solution (BD Pharmingen). Extracellular targets were stained for 30 min at 4°C in the dark. PBS containing 5% FCS and 5 mM EDTA were used as washing and staining buffer. For the intracellular staining of IFNy, TNFa, and CD40L, samples were fixed and permeabilized with Cytofix/Cytoperm (BD Pharmingen), whereas for T-bet staining, samples were fixed and permeabilized using Foxp3 Fixation Kit (eBioscience) according to manufacturer's instructions. Intracellular cytokine staining was performed as earlier described,<sup>31</sup> stimulating  $5 \times 10^{6}$  CD45 MACS TILs with  $5 \times 10^{4}$  2 µg/mL gp70-AH1 or  $5 \times 10^4$  5 µg/mL ME1 peptide-loaded<sup>32</sup> BALB/c bone marrowderived cells (BMDC) for 5 h at 37°C in the presence of 10 µg/mL Brefeldin A (Sigma). Immune cell populations were defined by pre-gating on viable cells and singlets and determined as follows: CD8<sup>+</sup> T cells (CD45<sup>+</sup> CD8<sup>+</sup>), gp70-specific CD8<sup>+</sup> T cells (CD45<sup>+</sup> CD8<sup>+</sup> gp70-AH1 tetramer<sup>+</sup>), CD4<sup>+</sup> T cells (CD45<sup>+</sup> CD4<sup>+</sup>), ME1specific CD4<sup>+</sup> T cells (CD45<sup>+</sup> CD4<sup>+</sup> ME1 tetramer<sup>+</sup> or CD45<sup>+</sup> CD4<sup>+</sup> CD40L<sup>+</sup> after ME1-peptide restimulation<sup>32</sup>), tumor cells (CD45<sup>-</sup> CD44<sup>+</sup>). Flow cytometric data were acquired with LSR Fortessa flow cytometer (BD Biosciences) and analyzed with FlowJo 10.4 software (Tree Star).

## IFNy ELISpot

Enzyme-linked ImmunoSpot assay (ELISpot) was performed as previously described to detect T cell IFN $\gamma$  release upon antigen encounter.<sup>33</sup> In brief,  $1 \times 10^5$  MACS enriched CD8<sup>+</sup> T cells were restimulated with  $5 \times 10^4$  2 µg/mL peptide-loaded BALB/c BMDC or  $5 \times 10^4$  tumor cells, respectively, and  $5 \times 10^5$  MACS enriched CD4<sup>+</sup> T cells with  $5 \times 10^4$  5 µg/mL peptide-loaded BALB/c BMDC overnight at 37°C. In supplementary Figure 2(a),  $5 \times 10^5$  CD8<sup>+</sup> T cell-depleted splenocytes were used as effector cells. IFN $\gamma$  spots of ficoll purified blood lymphocytes were normalized to CD8<sup>+</sup> T cell counts determined retrospectively via flow cytometry using CountBright<sup>TM</sup> Absolute Counting Beads (ThermoFisher Scientific). In some instances, tumor cells were irradiated with 20 Gy (X-Rad320, Prevision X-Ray Inc.) 24 h prior to co-incubation with target cells as maximum MHC class I upregulation was observed at this dose (Supplementary Figure 3 a). All samples were tested in duplicates or triplicates.

## TCR sequencing and analysis

Bulk aBTCR sequencing was performed on cryo-conserved blood and intratumoral CD8<sup>+</sup> T cells after magnetic separation (MACS®, Miltenvi Biotec). Total RNA was extracted from blood followed by clean up on QIAcube using RNeasy mini spin columns. RNA was reverse-transcribed to cDNA using SMARTer Mouse TCR a/b Profiling kit. Bulk aBTCR sequencing was performed on MiSeq using MiSeq Reagent Kit v3. Raw sequencing data were aligned and TCR sequences obtained using MiXCR.<sup>34</sup> The data were further processed using VDJtools<sup>35</sup> including frequency-based error correction and filtering of nonfunctional sequences. Metrics were calculated for the intratumoral CD8<sup>+</sup> T cell TCR $\beta$  counts with richness as the number of unique clones found within the sample and clonality being the mean clonotype frequency. The fraction of bloodtumor shared TCRβ clones was determined by: (no. of bloodtumor shared clones)/(no. of all tumor clones) x 100.

## Multiplex immunoassay

Cell culture supernatants from peptide-restimulated CD4<sup>+</sup> T cells were analyzed using the Th1/Th2/Th9/Th17/Th22/Treg cytokine 17-Plex mouse ProcartaPlex<sup>TM</sup> immunoassay (ThermoFisher Scientific). Mice were vaccinated weekly with RNA-LPX, spleens excised 5 days after the third immunization and CD4<sup>+</sup> T cells magnetically enriched (MACS<sup>\*</sup>, Miltenyi Biotec).  $5 \times 10^5$  CD4<sup>+</sup> T cells were co-incubated with  $1 \times 10^5$  10 µg/mL ME1 peptide-loaded BMDC for 48 h at 37°C. Data was acquired using the Bioplex200 (Bio-Rad) and analyzed with ProcartaPlexAnalyst software. All samples were tested in duplicates.

### Statistical analysis

Single treatment and control group means were compared by unpaired, two-tailed Student's t-test. If more than two experimental groups were compared, one-way analysis of variance (ANOVA) was performed and when determined significant (p < 0.05), Tukey's multiple comparison test run. Survival benefit was determined using log-rank test (Mantel-Cox). \* $p \le 0.05$ , \*\* $p \le 0.01$ , \*\*\* $p \le 0.001$ . If not mentioned otherwise, results are depicted as mean±SEM. All statistical analyses were performed with GraphPad PRISM 8.

### Results

## A CD4 neoantigen vaccine improves LRT-mediated survival of mice with CT26 tumors in a CD8<sup>+</sup> T celldependent manner

To extend the model system described above for testing of the CT26  $P_{ME}1$  RNA-LPX-based CD4 neoantigen vaccine/LRT combination, we first assessed two LRT protocols. We treated s.c. CT26 tumors of BALB/c mice with LRT, applying either

three doses of 6 Gy at consecutive days or one equivalent biologically effective dose of 12 Gy. Both LRT regimens resulted in tumor growth inhibition and improved survival of mice with only occasional observations of tumor regression Figure 1(a,b). Both protocols were equally effective in inducing a strong adaptive CD8<sup>+</sup> T cell response against gp70-AH1, the immunodominant antigen of the CT26 tumor model Figure 1 (c). We chose single dose over the fractionated irradiation for practical reasons for further experiments.

We adapted the CT26 vaccine model described above (subcutaneous CT26 tumors and a sub-therapeutic prime/boost schema) to obtain a setting in which the vaccine alone would not be effective. We administered three cycles of the CD4 neoantigen vaccine and LRT in between the second and third RNA-LPX vaccination Figure 1(d-f). While LRT alone had a marginal antitumor effect, its combination with the CD4 neoantigen vaccine increased the rate of complete responses by 6-fold Figure 1(d) and significantly improved survival Figure 1(e). Expansion of gp70-specific CD8<sup>+</sup> T cells in blood was achieved with different kinetics by each of the individual components and it was significantly more efficient and sustained upon combining the CD4 neoantigen vaccine to LRT Figure 1(f). Again, the same findings were made when 12 Gy LRT was fractionated to an equivalent biological dose of  $3 \times 6$  Gy (Supplementary Figure 1(a, b)).

Depletion of CD8<sup>+</sup> T cells by systemic administration of an anti-CD8 antibody (aCD8) after the CD4 neoantigen vaccine/LRT treatment eradicated circulating gp70-AH1 specific CD8<sup>+</sup> T cells Figure 1(i) and significantly diminished tumor rejection and survival benefits in mice treated with the combination Figure 1(g,h).

# Activated poly-functional Th1-like CD4<sup>+</sup> T cells against the immunodominant vaccine-encoded CD4 neoantigen are induced in the spleen of CD4 neoantigen vaccine/LRT treated mice

First, we wanted to characterize the neoantigenic CD4<sup>+</sup> T cell response as the most direct effect of the CD4 neoantigen vaccine to understand its contribution to the CD4 neoantigen vaccine/LRT-mediated synergy.

To investigate if CD4 neoantigen vaccine/LRT-mediated therapeutic effects rely on multiple neoantigen-specific CD4<sup>+</sup> T cell responses or if a single, immunodominant, CD4<sup>+</sup> T cell neoantigen could confer similar antitumor effects, the pentatopic CT26 P<sub>ME</sub>1 version of the CD4 neoantigen vaccine was compared to CT26 ME1, the immunodominant CT26 PME1-encoded antigen (Supplementary Figure 2(b)). Similar therapeutic effects were reached when LRT was combined with either CT26 PME1 or CT26 ME1 RNA-LPX Figure 2(a-c). In addition, the need of CD4<sup>+</sup> T cell tumor-specificity was assessed, combining LRT with a tumor irrelevant CD4 neoantigen vaccine (Pctrl. RNA-LPX), encoding CD4<sup>+</sup> T cell-reactive neoantigens identified in the 4T1 mouse breast cancer model and thus termed irrelevant in the context of CT26. Pctrl. RNA-LPX induced poly-epitopic IFNy<sup>+</sup>  $CD4^{+}$  T cells (Supplementary Figure 2(a)), but did not augment the antitumor effect of LRT in CT26 tumors Figure 2(a-c), indicating that CD4<sup>+</sup> T cells contribute to the CD4 neoantigen vaccine/ LRT-mediated synergy by providing cognate help.



Figure 1. A CD4 neoantigen vaccine improves LRT-mediated survival of mice with CT26 tumors in a CD8\* T cell-dependent manner.

(a-c) CT26 tumor growth (a) and survival (b) of BALB/c mice (n = 7–8/group) locally irradiated with 12 Gy or 3 × 6 Gy at a mean volume of 60 mm<sup>3</sup>. (c) gp70-AH1 tetramer<sup>+</sup> CD8<sup>+</sup> T cells in blood of treated mice (n = 4–5/group). (d-f) CT26 tumor growth (d) and survival (e) of mice (n = 7–12/group) locally irradiated with 12 Gy at a mean tumor volume of ~70 mm<sup>3</sup> and immunized three times with CT26 P<sub>ME</sub>1 or control RNA-LPX. (f) Gp70-AH1 tetramer<sup>+</sup> CD8<sup>+</sup> T cells in blood of treated mice (n = all mice/group). (g-i) CT26 tumor growth (g) and survival (h) of mice (n = 8–9/group) immunized with CT26 P<sub>ME</sub>1 or control RNA and locally irradiated at a mean tumor volume of 90 mm<sup>3</sup>. CD8<sup>+</sup> T cells were depleted 6 days after LRT, administering the anti-CD8 antibody every 3–4 days over 3 weeks. (i) Gp70-AH1 tetramer<sup>+</sup> CD8<sup>+</sup> T cells in blood of treated mice (n = 8–9/group). Significance was determined using (b, e, h) Mantel-cox log-rank test and (c, f, i) one-way ANOVA, Tukey's multiple comparison test. (a, d, g) Tumor growth is displayed on a log<sub>2</sub>-scale. Ratios depict frequency of mice with complete tumor responses (CR). Mean±SEM. nd = not determined.



Figure 2. Activated poly-functional Th1-like CD4<sup>+</sup> T cells against the immunodominant vaccine-encoded CD4 neoantigen are induced in the spleen of CD4 neoantigen vaccine/LRT treated mice.

(a–c) CT26 tumor growth (a) and survival (b) of BALB/c mice (n = 7–10/group) treated with 12 Gy at a mean tumor volume of 45 mm<sup>3</sup> and immunized with different RNA-LPX vaccines. RNA-LPX vaccines included CT26  $P_{ME1}$ ; CT26 ME1, the most immunogenic CT26  $P_{ME1}$  neoantigen;  $P_{ctrl.}$  encoding mutations not expressed in CT26 and control RNA-LPX, encoding no antigens at all. (c) Gp70-AH1 tetramer<sup>+</sup> CD8<sup>+</sup> T cells in treated mice (n = 7–10/group). (d, e) Phenotypic analysis of enriched splenic CD4<sup>+</sup> T cells from mice immunized with CT26  $P_{ME1}$  or control RNA-LPX (n = 5/group). (d) Differential expression of ICOS, CD69, PD-1, CD62L, T-bet, CD25 on ME1-specific (ME1tet<sup>+</sup>) and -nonspecific (ME1te<sup>-</sup>) CD4<sup>+</sup> T cells as determined by flow cytometry. Representative pseudocolor plots of ME1 tetramer staining are shown. (e) Supernatant cytokine secretion after 48 h co-culture of CD4<sup>+</sup> T cells with ME1-peptide-loaded BALB/c BMDC. (f) IFNy intracellular cytokine staining after *ex vivo* restimulation of enriched splenic CD4<sup>+</sup> T cells from CT26 rumor-bearing mice, locally 12 Gy irradiated at a mean tumor volume of 60 mm<sup>3</sup> and immunized with CT26  $P_{ME1}$  or control RNA-LPX, with ME1 peptide-loaded BMDC (n = 6/group). Significance was determined using (b) Mantel-Cox log-rank test, (c, d, f) one-way ANOVA, Tukey's multiple comparison test and (e) unpaired, two-tailed Student's t-test. (a) Tumor growth is displayed on a log<sub>2</sub>-scale. Ratios depict frequency of mice with complete tumor responses (CR). Mean±SEM.

# Tumor immune infiltrates of CD4 neoantigen vaccine/LRTtreated mice contain activated, tumor antigen-specific CD8<sup>+</sup> T cells and vaccine-induced CD4<sup>+</sup> T cells capable of providing cognate help

When characterizing tumor-infiltrating lymphocytes, we found that LRT alone significantly increased the fraction of total leukocytes and CD8<sup>+</sup> T cells and reduced the fraction of tumor cells within the CT26 tumors and the vaccine did not further add to these effects Figure 4(a). In contrast, LRT alone did not affect the frequency of intratumoral gp70-AH1 specific CD8<sup>+</sup> T cells within CD8<sup>+</sup> T cells, whereas this cell fraction was significantly increased when adding the CD4 neoantigen vaccine Figure 4(b).

Intratumoral gp70-AH1-specific CD8<sup>+</sup> T cells from CD4 neoantigen vaccine/LRT treated mice displayed significantly lower levels of immunosuppressive markers PD-1 and Lag-3 and higher levels of the transmigration marker LFA-1 Figure 4 (c). Further, tumor-infiltrating CD8<sup>+</sup> T cells from combination-treated mice contained a significantly higher number of poly-functional IFN $\gamma$ /TNF $\alpha$ -secreting gp70-AH1-specific effector CD8<sup>+</sup> T cells Figure 4(d).

Next, we aimed to characterize the intra-tumoral CD8<sup>+</sup> T cell repertoire and performed TCR $\beta$  sequencing of CD8<sup>+</sup> tumor-infiltrating lymphocytes (TILs) from CT26 tumorbearing mice 9 days after LRT Figure 4(f). LRT reduced the number of unique TCR $\beta$  clones (richness) and increased TCR $\beta$  clonality, indicating skewing of the polyclonal TCR $\beta$  repertoire toward defined clonotypes. The fraction of blood-tumor-shared clones increased after LRT, implying that LRT induces new CD8<sup>+</sup> T cell clones, which are able to circulate and reach the tumor site Figure 4(g).

With regard to tumor-infiltrating  $CD4^+$  T cells, whereas the overall fraction was not affected by any of the treatments Figure 4(a),  $CD4^+$  T cells capable of recognizing CT26 ME1-peptide-pulsed target cells were found only within tumors of combination-treated mice. ME1-peptide restimulated  $CD4^+$  T cells from CD4 neoantigen vaccine/LRT treated mice were strongly CD40L- and IFN $\gamma$ -double positive Figure 4(e), indicating the presence of cognate help at the tumor site.

# CD4 neoantigen vaccine/LRT treatment followed by anti-CTLA4 antibody therapy further enhances the efficacy with complete remission of gp70-negative CT26 tumors and survival of all mice

As the benefit of combining checkpoint inhibitors (CPIs) with high dose LRT has previously been shown,<sup>38</sup> we wondered whether CPIs could further augment the synergistic antitumor effect of the CD4 neoantigen vaccine/LRT combination.

We chose the harder to treat CT26-gp70KO tumor model in combination with the CT26 ME1 monotope version of the CT26  $P_{ME}1$  CD4 neoantigen vaccine. LRT was again administered between the second and third vaccine, dosing at a slightly larger tumor volume (~60 mm<sup>3</sup>) than in previous experiments, and either anti-CTLA-4 or anti-PD-1 treatment started

Adding the CD4 neoantigen vaccine to LRT results in a potent polyantigenic CD8<sup>+</sup> T cell response and T cell memory

neoantigen vaccine/LRT had a strong increase of splenic

CD40L-positive CD4<sup>+</sup> T cells recognizing the immunodomi-

nant ME1 antigen on peptide-pulsed target cells Figure 2(f).

Having shown that synergistic antitumor effect of the CD4 neoantigen vaccine/LRT combination depends on the expansion of  $CD8^+$  T cells Figure 1(g-i), we investigated the  $CD8^+$  T cell response in more detail.

Testing splenocytes of CT26 tumor-bearing mice in IFN $\gamma$  ELISpot confirmed that CD8<sup>+</sup> T cells induced by either LRT alone or by its combination with vaccine were largely directed against gp70-AH1. Recognition of gp70-knock-out CT26 tumor cells (CT26-gp70KO, including irradiated ones to reflect the tumor setting after LRT, with MHC upregulation<sup>37</sup>) was more pronounced for CD8<sup>+</sup> T cells of combination-treated mice, with a 3-fold higher mean spot number as compared to LRT alone Figure 3(a).

To analyze the functional importance of non-gp70directed CD8<sup>+</sup> T cell responses, the therapeutic efficacy of the CD4 neoantigen vaccine/LRT combination was assessed in CT26-gp70KO tumor models Figure 3(b,c). The CD4 neoantigen vaccine/LRT combination mediated complete tumor rejection in nearly half of CT26-gp70KO tumorbearing mice and resulted in significantly superior survival, whereas either of the single modalities was ineffective Figure 3(b,c). This effect was associated with expansion of CD8<sup>+</sup> T cells in the spleen that recognized CT26-gp70KO target cells in IFN $\gamma$  ELISpot Figure 3(d).

In line with this observation, mice that previously rejected advanced CT26 tumors under CD4 neoantigen vaccine/LRT treatment remained tumor-free after rechallenge with CT26gp70KO cells, indicating protective immunity against this otherwise lethal tumor challenge Figure 3(e).

In conclusion, these data strongly suggest that adding a tumor-specific CD4 neoantigen vaccine to LRT induces

CD4 neoantigen vaccine and characterized vaccine-induced CD4<sup>+</sup> T cells in the spleen Figure 2(d,e), the major compartment with expression of RNA-LPX-encoded antigens.<sup>15</sup> We stained CD4<sup>+</sup> T cells with tetramers against the CT26 ME1 monotope, which we had confirmed to contribute dominantly to tumor rejection, survival benefit, and gp70-specific CD8<sup>+</sup> T cell expansion after combined LRT Figure 2(a-c). ME1-specific CD4<sup>+</sup> T cells of P<sub>ME</sub>1 RNA-LPX vaccinated mice displayed an activated effector phenotype with upregulation of ICOS, CD69, and PD-1 and downregulation of CD62L Figure 2(d), which was not the case for splenocytes of control RNA-LPX vaccinated mice or of the tetramer-negative fraction of vaccine-treated mice. ME1peptide restimulated bulk splenocytes showed expression of the transcription factor T-bet Figure 2(d), together with the dominant secretion of cytokines such as IFNy, IL-2, IL-18, IL-12p70, TNF $\alpha$ , IL-1 $\beta$  Figure 2(e), indicating enrichment of epitopespecific CD4<sup>+</sup> T cells with a Th1-like phenotype.<sup>36</sup> In addition, CT26 tumor-bearing mice treated with the CD4



Figure 3. Adding the CD4 neoantigen vaccine to LRT results in a potent polyantigenic CD8<sup>+</sup> T cell response and T cell memory.

(a) IFNY ELISpot using splenocytes isolated from CT26 tumor-bearing BALB/c mice, vaccinated with CT26  $P_{ME}1$  or control RNA-LPX and locally irradiated at a mean tumor volume of 45 mm<sup>3</sup> (n = 6 mice/group, 2 mice pooled each), against CT26 cells, gp70-AH1 peptide-pulsed BALB/c BMDC, CT26-gp70KO, and 20 Gy irradiated CT26-gp70KO cells. *In vitro* irradiation was performed to enhance tumor cell MHC class I presentation (Supplementary Figure 3(a)). (b-d) CT26-gp70KO tumor growth (b) and survival (c) of mice immunized with CT26  $P_{ME}1$  or control RNA-LPX and irradiated at a mean volume of 45 mm<sup>3</sup> (n = 7–10/group). (d) IFNY ELISpot using splenocytes isolated from CT26 tumor-bearing mice (n = 4 mice/group, 2 mice pooled each) against CT26 cells, 20 Gy irradiated CT26-gp70KO cells and gp70-AH1 peptide-pulsed BALB/c BMDC. As in (a), *in vitro* irradiation was performed to enhance tumor cell MHC class I presentation (Supplementary Figure 3(a)). (e) Survival of 12 Gy and CT26 P<sub>ME</sub> and CT26 P<sub>ME</sub> and CT26 P<sub>ME</sub> and CT26 tumor-bearing mice (n = 4 mice/group, 2 mice pooled each) against CT26 cells, 20 Gy irradiated CT26-gp70KO cells and gp70-AH1 peptide-pulsed BALB/c BMDC. As in (a), *in vitro* irradiation was performed to enhance tumor cell MHC class I presentation (Supplementary Figure 3(a)). (e) Survival of 12 Gy and CT26 P<sub>ME</sub> and CT26 P<sub>ME</sub> and CT26 tumor-free mice, challenged with a tumorigenic dose of CT26-gp70KO cells 40 days after initial tumor rejection (n = 10 each). Naïve BALB/c mice served as control group (n = 10). Significance was determined using (c, e) log-rank test and (a, d) one-way ANOVA, Tukey's multiple comparison test. (b) Tumor growth is displayed on a log<sub>2</sub>-scale. Ratios depict frequency of mice with complete tumor responses (CR). Mean±SEM.

concomitantly with the last cycle of the CD4 neoantigen vaccine (Figure 5). Adding CPIs to the CD4 neoantigen vaccine/ LRT combination had a profound effect on tumor rejection and survival. In particular, the CD4 neoantigen vaccine/LRT/ anti-CTLA-4 combination resulted in the rejection of all tumors and survival of all mice Figure 5(a,b). The fraction of circulating tumor-reactive T cells detected by IFNγ ELISpot in the CD4 neoantigen vaccine/LRT/anti-CTLA-4 triple-treated group was significantly higher as compared to LRT and LRT/ anti-PD-1 with or without the CD4 neoantigen vaccine Figure 5(c).

## Discussion

Immune-stimulatory properties of high dose LRT are increasingly recognized; hence, new combination regiments are designed that aim to maximize LRT-induced *in situ* immune responses.<sup>39</sup> As a majority of the immunogenic mutanome is recognized by CD4<sup>+</sup> T cells,<sup>13</sup> we set out to identify whether RNA-LPX vaccination against cognate CD4<sup>+</sup> T cell neoantigens can synergize with LRT to enhance antitumoral effects in a murine cancer model.

Innate immune activation, type I IFN signaling and recruitment of cross-presenting DCs to the local tumor



Figure 4. Tumor immune infiltrates of CD4 neoantigen vaccine/LRT-treated mice consist of activated, tumor antigen-specific CD8<sup>+</sup> T cells and vaccine-induced CD4<sup>+</sup> T cells capable of providing cognate help.

(a, b) Analysis of TIL in CT26 tumor-bearing BALB/c mice (n = 5–6/group) treated with 12 Gy at a mean tumor volume of 45 mm<sup>3</sup> and immunized with CT26 P<sub>ME</sub>1 or control RNA-LPX. Tumors were resected 8 days after LRT. (a) Percentage of CD45<sup>+</sup>, tumor cells, CD4<sup>+</sup> and CD8<sup>+</sup> T cells and (b) gp70-AH1 tetramer<sup>+</sup> CD8<sup>+</sup> T cells. Representative pseudocolor plots show gp70-AH1 tetramer staining. (c-e) Analysis of tumor-infiltrating CD8<sup>+</sup> T cells (c, d) and CD4<sup>+</sup> T cells (e) from CT26 tumor-bearing mice locally irradiated with 12 Gy at a mean tumor volume of 60 mm<sup>3</sup> and immunized three times with CT26 P<sub>ME</sub>1 or control RNA-LPX (n = 6/group). CD4<sup>+</sup> and CD8<sup>+</sup> T cells were enriched via CD45<sup>+</sup> TIL MACS for (c) phenotypic analysis or (d, e) intracellular cytokine staining after restimulation with gp70-AH1 or ME1 peptide-loaded BALB/c BMDC. Representative pseudocolor plots show CD8<sup>+</sup> (d, right) and CD4<sup>+</sup> (e, right) T cells after peptide restimulation. (f, g) TCR $\beta$  CDR3 sequencing of tumor CD8<sup>+</sup> T cells from mice (n = 3–4/group), irradiated with 12 Gy at a mean tumor volume of ~100 mm<sup>3</sup> and immunized three times with CT26 P<sub>ME</sub>1 RNA-LPX or control RNA-LPX, analyzed 9 days after irradiation. (g) Number of unique TCR $\beta$  sequences in tumors or treated mice (left) and mean TCR $\beta$  clonotype frequency (clonality) of CD8<sup>+</sup> T cells (right). (f) Frequency of blood-tumor shared TCR $\beta$  clones prior and 9 days after LRT compared to all unique tumor clones found 9 days after LRT. Significance was determined using (a–g) one-way ANOVA, Tukey's multiple comparison test. Mean±SEM.

environment are decisive effects of high dose LRT to trigger tumor antigen-specific T cell responses.<sup>4,6,40</sup> These *in situ* vaccination effects have been observed in sporadic clinical case reports following LRT.<sup>41</sup> In mice, high dose LRT lead to the priming of SIY-specific CD8<sup>+</sup> T cells,<sup>42</sup> OVA-specific CD4<sup>+</sup>, CD8,<sup>+</sup> and TRP-2-specific CD8<sup>+</sup> T cells<sup>43</sup> in B16 melanomas engineered to overexpress these antigens.<sup>42</sup> We observed a similar effect in s.c. CT26 tumor-bearing mice, where 12 Gy LRT lead to the priming of gp70-AH1-specific CD8<sup>+</sup> T cells seven to 10 days after LRT. Single, 12 Gy, LRT induced a more clonal T cell response in CT26 tumors and increased the number of blood-tumor-shared T cell clones, which was also observed for daily 2 Gy fractionated LRT in conjunction with anti-PD-L1 CPI in s.c. CT26 tumor models.<sup>44</sup> However, LRT alone was ineffective in rejecting s.c. CT26 tumors, which relapsed after a short phase of growth inhibition.

Different therapeutic strategies aim to maximize LRT *in situ* vaccination, modulating the radiation dose<sup>45</sup> as well as



Figure 5. CD4 neoantigen vaccine/LRT treatment followed by anti-CTLA4 antibody therapy further enhances the efficacy with complete remission of gp70negative CT26 tumors and survival of all mice.

(a-c) CT26-gp70KO tumor growth (a) and survival (b) of BALB/c mice (n = 13–14/group) locally irradiated with 12 Gy at a mean tumor volume of 60 mm<sup>3</sup>, immunized three times with CT26 ME1 or control RNA-LPX and treated with anti-CTLA-4 or anti-PD-1 antibodies 3, 8, and 13 days after LRT. (c) IFNY ELISpot using peripheral blood lymphocytes against wild-type or irradiated CT26-gp70KO cells (n = 13–14/group, blood of 3–4 mice pooled/data point). Significance was determined using (b) Mantel-Cox log-rank test and (c) one-way ANOVA, Tukey's multiple comparison test. (a) Tumor growth is displayed on a log<sub>2</sub>-scale. Ratios depict frequency of mice with complete tumor responses (CR). Mean±SEM.

assessing the combination with different CPIs<sup>6,7,38</sup> or cancer vaccines<sup>12,46,47</sup> to increase adaptive immune responses. While LRT dose-fractionation did not augment *in situ* vaccination effects in CT26 tumor models, vaccination with a cognate CD4<sup>+</sup> T cell neoantigen did.

Therapeutic effects of LRT and cancer vaccines have been identified in some preclinical studies, mostly vaccinating against foreign, overexpressed CD8<sup>+</sup> T cell antigens.<sup>10,12,47</sup> LRT-primed GUC2Y-specific CD8<sup>+</sup> T cell responses were amplified by an adenoviral GUC2Y vaccine, rendering an otherwise ineffective vaccine therapeutically valuable.<sup>12</sup> In another study, the survival of E.G.7-OVA tumor-bearing mice was enhanced by fractionated LRT and OVA-specific RNA vaccines, triggering a CD8<sup>+</sup> T cell response.<sup>47</sup> Such combination studies primarily leveraged LRT-mediated growth

inhibition and inflammation to potentiate vaccine-induced  $CD8^+$  T cells responses. Differing from the above-mentioned approaches, we combined LRT with a RNA-LPX vaccine encoding tumor-specific CD4 neoantigens, aiming to compensate for the lack of potent cognate help during *in situ* vaccination.

Tumor-specific CD8<sup>+</sup> T cells recognize and kill tumor cells directly, whereas CD4<sup>+</sup> T cells are able to modulate tumor immunity in many ways.<sup>48</sup> They possess the ability to secrete inflammatory cytokines, directly kill MHC-II positive tumors<sup>49</sup> or modulate antitumor T and B cell responses in magnitude and quality.<sup>50,51</sup> The CD4 neoantigen vaccine itself was not able to reject MHC class II-negative s.c. CT26 tumors, but it strongly augmented the antitumoral efficacy of LRT in a CD8<sup>+</sup> T cell-dependent manner. We identified Th1-like CD4<sup>+</sup> T cells recognizing the cognate CT26 neoantigen ME1 (Aldh18a1<sub>P154S</sub>),<sup>13</sup> a member of the aldehyde dehydrogenase family,<sup>52</sup> to be solely responsible for therapeutic benefits observed together with LRT as vaccination with this neoantigen conferred same anti-tumoral effects as vaccination with five cognate CT26 neoantigens.

The CD4 neoantigen vaccine/LRT combination increased the fraction of antigen-specific CD8<sup>+</sup> T cells within tumorinfiltrating lymphocytes, which was not achieved by LRT alone. Also, tumor-infiltrating antigen-specific CD8<sup>+</sup> T cells had a less suppressed and more cytotoxic phenotype. Similar effects were reported in vaccination settings, physically linking helper CD4<sup>+</sup> and CD8<sup>+</sup> T cell epitopes for concomitant presentation of MHC-I and II antigens on cognate DC.<sup>51</sup> Also, on the axis of CD4 help, but with a slightly different approach, the combination of total body irradiation and agonistic anti-CD40 antibody was reported, which mediated superior rejection of mouse lymphoma models in a CD8<sup>+</sup> T cell-dependent manner.<sup>8</sup> Detailed mechanisms of where and when CD4 neoantigen vaccine-induced T cells boost LRT-induced CD8<sup>+</sup> T cell responses - *i.e.*, via DC-licensing or via the secretion of additional cytokines in the TME - remain to be elucidated.

Whereas the CD4 neoantigen vaccine/LRT combination mainly induced CD8<sup>+</sup> T cells reactive against the immunodominant antigen gp70-AH1, gp70-independent CD8 T cell responses were observed in CT26-gp70KO tumor models that were antitumorally active and protected from tumor rechallenge. These CT26-gp70KO antigens could arise from mutation, overexpression, or be cancer-germline antigens.<sup>13,26,53</sup>

In preclinical and clinical research, LRT is most often combined with CPIs such as anti-PD-1/PD-L1 or anti-CTLA-4.<sup>38</sup> Antibodies blocking CTLA-4 have been reported to increase LRT-mediated priming, tipping the CD8<sup>+</sup> T cell/Treg ratio,<sup>5,38</sup> while combined anti-PD-1/PD-L1 treatment may release the "breaks" of T cell inhibition, enhancing the killing efficacy of primed CD8<sup>+</sup> T cells.<sup>38,54,55</sup> When classical schedules of LRT/ CPI were combined with the CD4 neoantigen vaccine, antitumoral responses were strengthened. This indicates that CPI and CD4 neoantigen vaccines potentially activate non-redundant mechanisms when combined with LRT.

To our knowledge, there are no previous reports describing the synergistic combination of LRT and CD4 neoantigen vaccines. Two studies reported the combination of LRT and adoptively transferred tumor-specific Th1-type CD4<sup>+</sup> T cells against  $OVA_{323-339}$  in EG7<sup>56</sup> and TRP-1 in B16<sup>57</sup> tumor-bearing mice, but therapeutic effects of the CD4<sup>+</sup> T cell component were rather modest.

Due to advances in next-generation sequencing and data science, comprehensive mapping and prediction of MHCbinding neoantigens is feasible.<sup>58</sup> The vast majority of neoantigens were recognized surprisingly by CD4<sup>+</sup> rather than by CD8<sup>+</sup> T cells.<sup>13</sup> Neoantigen-specific CD8<sup>+</sup> T cells are able to kill tumor cells directly, putting selective pressure on the tumor and thus potentially deleting tumor clones expressing strong CD8 neoantigens during cancer evolution.<sup>59</sup> Neoantigen-specific CD4<sup>+</sup> T cells on the other hand are not able to bind tumor cells, as they are mostly MHC class II negative; thus, CD4<sup>+</sup> T cellreactive neoantigens may be less prone to immunoediting and more ubiquitously represented within the tumor. We herein present a novel vaccination strategy, utilizing LRT to prime tumor-specific T cell responses while our CD4 neoantigen vaccine further expands the full repertoire of tumor-relevant T cell clones by cognate T cell help. This vaccination strategy is of special interest, i.e. when MHC class I restricted antigens are absent, sub-clonal, or non-identifiable.

Our data highlight the importance of neoantigen-specific CD4<sup>+</sup> T cells to establish potent *in situ* T cell immunity after LRT and warrants further efforts to validate the combinatorial regimen in clinical trials.

## **Author contributions**

U.S., F.V., S.K., M.D., M.V. and J.Q. conceived and guided the study. N. S. and A.S. performed experiments. N.S. analyzed experiments. B.S., M.L., J.Q. and T.B. analyzed sequencing data. N.S., Ö.T., U.S. and S. K. interpreted the results and wrote the manuscript.

## Acknowledgments

We thank I. Beulshausen, M. Brkic, E. Daniel, E. Petscherskich, A. Olbermann, E. Ockfen, H. Junginger and L. Cao for technical assistance; S. Witzel and B. Tillmann for cloning of constructs; E. Böhm, R. Sinderwald, F. Fleckenstein and S. Fesser for RNA production; V. Bukur, J. de Graf and C. Albrecht for the nextgeneration sequencing; H.Hefesha, J. Schumacher, J. Erk, A. Gerdts for liposomal formulation and RNA-LPX measurement. We are grateful to L.M. Kranz, C. Hotz and J. Beck for conceptual and technical discussions. We are grateful for the production of MHCtetramers by the NIH Tetramer Core Facility.

## **Disclosure of potential conflicts of interest**

M.V., J.Q., S.K., M.D., Ö.T., and U.S. are employees at BioNTech (Mainz, Germany). U.S., M.D., M.V., M.L., B.S. and S.K. are inventors on patents and patent applications related to this study. U.S. is cofounder; Ö.T. and U.S management board member of BioNTech and have an ownership interest in TRON and BioNTech. All other authors have no potential conflict of interest.

## Funding

This work was supported by the Deutsche Forschungsgemeinschaft SFB1292/TP17 to U.S. and S.K.

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