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Journal Pre-proof

Ventilatory efficiency in response to maximal exercise in persistent COVID-19 syndrome patients: a cross-sectional study

Robinson Ramírez-Vélez Nora García-Alonso Gaizka Legarra-Gorgoñón Sergio Oscoz-Ochandorena Julio Oteiza Mikel Izquierdo



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Scientific letter

[[en]]Ventilatory efficiency in response to maximal exercise in persistent COVID-19 syndrome patients: a cross-sectional study

Eficiencia ventilatoria en respuesta al ejercicio máximo en pacientes con diagnóstico de COVID-19 persistente: un estudio transversal

Robinson Ramírez-Vélez^{a*}robin640@hotmail.com, Nora García-Alonso,^a Gaizka Legarra-Gorgoñón,^a Sergio Oscoz-Ochandorena,^a Julio Oteiza,^b Mikel Izquierdo^a

^a <org>Navarrabiomed, Hospital Universitario de Navarra (HUN), Universidad Pública de Navarra (UPNA), IdiSNA, Pamplona</org>, <city>Navarra</city>, <country>Spain</country>

^b <org>Servicio de Medicina Interna, Hospital Universitario de Navarra (HUN), Universidad Pública de Navarra (UPNA), IdiSNA, Pamplona</org>, <city>Navarra</city>, <country>Spain</country>

* Corresponding author:

To the Editor,

Currently, the clinical course of infection with severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) remains uncertain, particularly given the variety of chronic symptoms in the subsequent weeks and months.¹ Parameters such as ventilatory efficiency and exercise capacity allow objective assessment of an individual's ventilatory and functional response, and also provide prognostic information on their clinical status, with important implications for treatment.²

The aim of the present study was to examine the—as yet unassessed—effect of persistent coronavirus disease 19 (COVID-19) on parameters of ventilatory efficiency and exercise capacity, in comparison with a group of patients with no history of COVID-19. The sample for this exploratory observational study included 95 individuals (77% were women) with a diagnosis of COVID-19 and mild or moderate symptoms, who had not previously been hospitalized, and had no structural heart disease or lung disease. Patients were considered to have persistent COVID-19 on the basis of compatible signs or symptoms and a positive polymerase chain reaction test for SARS-CoV-2. In addition, they were required to have symptoms persisting for 3 months after

the infection, as assessed with a semistructured questionnaire previously used and validated by international expert consensus, which included self-diagnosis of 21 relevant symptoms 3 months after infection (yes/no answers).³

The group of patients with no history of COVID-19 (n = 95; 54% women) had not had SARS-CoV-2 infection and were recruited from the exercise capacity and cardiometabolic risk assessment clinic in our hospital. They underwent clinical assessment and functional testing of resting calorimetry, ergospirometry, vascular function, and body composition. Patients were also asked about their physical activity level. The study was approved by the ethics committee of Hospital Universitario de Navarra, and the participants gave signed informed consent (PI_2020/140).

The most prevalent persistent symptom was chronic fatigue (96.1%), followed by headache (81.4%), memory loss (80.4%), and difficulty concentrating (79.4%), the same symptoms as observed in previous studies.^{4,5} The results of the univariate general linear model (ANCOVA), adjusted for age, sex, and body mass index, showed that, during exercise, the group with persistent COVID-19 had lower oxygen uptake and metabolic equivalents (METs), as well as significantly higher oxygen pulse, the ratio between oxygen uptake and heart rate (VO₂/HR), at the first ventilatory threshold (VT₁) and at maximum load (P < .01). Significant between-group differences were also observed at peak VO₂, as well as in the pulmonary ventilation (VE)/CO₂ output (VCO₂) slope (d = 0.708), the VE/VO₂ slope (d = 0.531), watts (d = 0.436), VE (d = 0.257), VO₂/HR (d = 0.424), METs (d = 0.836), and heart rate (HR) as percentage of predicted (d = 0.314) (Table 1). Approximately 85% of the patients with COVID-19 had a moderate/severe ventilatory limitation score (Table 2).

In previous studies,¹ patients with COVID-19 showed peak VO₂ values that were 35%

lower (~15 $mL/kg^{-1} \cdot min^{-1}$) than the control group (~23 $mL/kg^{-1} \cdot min^{-1}$) at 30 days after hospital discharge. Debeaumont et al.⁴ reported on parameters of VO₂ and

maximum power of, respectively, $\sim 80\%$ and $\sim 90\%$ of predicted values for age at 6 months after discharge. Similarly, patients with persistent COVID-19 symptoms had a significant reduction in 6-minute walk test at 6 months after onset of symptoms.⁵ In our

series, the COVID-19 group showed peak VO₂ values $\sim 18\%$ lower than the control group. There was also a mixed pattern of abnormalities in parameters of ventilatory efficiency including VO₂ at VT₁ (70% vs 54%), abnormal VE/VCO₂ (46% vs 36%), and a very low VE/VCO₂ ratio (COP) (11% vs 0%), indicating a higher risk of functional deterioration.

To date, the mechanisms to explain the reduced exercise capacity in patients with persistent COVID-19 are unknown, but it has been hypothesized that excess adiposity (as seen in this series) and low levels of physical activity could partly explain the findings of this study.¹ The myopathic effect of SARS-CoV-2 has also not been excluded as a cause of functional deterioration in patients after COVID-19.² However, experimental studies are needed to corroborate these hypotheses.^{2,4} The main limitations of our study are the number of patients included, the inclusion of a majority of women (a characteristic of persistent COVID-19 syndrome) and the lack of previous measures of exercise capacity, a limitation that is difficult to solve given the emergent nature of the pandemic.

More research is needed to better understand the long-term consequences of COVID-19 on functional capacity over the whole spectrum of the disease, especially the underlying biological mechanisms that characterize its pathophysiology. Considering the central role of exercise capacity in patients with persistent COVID-19, exercise rehabilitation could be fundamental in this new and little-known situation. Therefore, it is essential to establish strategies with multicomponent programs, to optimize recovery in these patients.

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AUTHORS' CONTRIBUTIONS

All authors contributed substantially to the concept and design, data acquisition, analysis, and interpretation, as well as the writing, review, and intellectual content of the manuscript.

CONFLICT OF INTERESTS

The authors have no conflict of interests to declare.

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by group				
	COVID-19	Control $(n = 95)$	Cohen's	Р
	(n = 95)		d	
[0,1-5] <i>Characteristics</i> ^a				
Sex (male/female),	73/22	51/44	-	_
No.				
Age, y	47.37 (45.45-	52.21 (49.84-	0.441	< .001

Table 1 Clinical characteristics and ergospirometry parameters of the study population by group

4

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-1.68) 0.026 30- 0.159 85- 0.262 13- 0.686 80- 0.707 1395.45- 0.517 - - 4.87- 0.150 99- 0.349	.303 .185 .063 <.001 <.001 <.001 <.001 .434 .005
30- 0.159 85- 0.262 13- 0.686 80- 0.707 1395.45- 0.517 - - 4.87- 0.150	.185 .063 <001 <001 <001 <001 .434
85- 0.262 13- 0.686 80- 0.707 1395.45- 0.517 - - 4.87- 0.150	.063 <001 <001 <001 <001 .434
13- 0.686 80- 0.707 1395.45- 0.517 - - 4.87- 0.150	<
13- 0.686 80- 0.707 1395.45- 0.517 - - 4.87- 0.150	<
80- 0.707 1395.45- 0.517 - 4.87- 0.150	<
80- 0.707 1395.45- 0.517 - 4.87- 0.150	<
- - 4.87- 0.150	<
4.87- 0.150	.434
4.87- 0.150	.434
	.434
	.434
00 0.240	.005
99- 0.349	
0.5 17	
14.99- 0.014	.932
14.99- 0.014	.932
73.21- 0.054	.575
0.034	.575
0.82) 0.175	.396
0.02) 0.175	.390
	.006
-	.000
-	
30.04- 0.321	.031
(0, 0, 120	200
68- 0.138	.280
	701
-	.721
	-
)5.30	
	.848
05.30 -7.24) 0.340	
-7.24) 0.340	.248
-7.24) 0.340	
-7.24) 0.340	.002
-7.24) 0.340 13) 0.123	.002
-7.24) 0.340 13) 0.123 0.488	.002
-7.24) 0.340 13) 0.123 0.488 11.68)	
-7.24) 0.340 13) 0.123 0.488 11.68) 0.825	< .001
-7.24) 0.340 13) 0.123 0.488 11.68) 0.825 7.48)	
-7.24) 0.340 13) 0.123 0.488 11.68) 0.825 7.48) -9.14) 0.601	<
-7.24) 0.340 13) 0.123 0.488 11.68) 0.825 7.48)	< 001
8	- /

	(39.24-46.22)	(42.33-49.98)		
Watts at maximum	125.31	140.81	0.436	.006
load	(118.12-132.50)	(132.94–148.69)		
HR at VT1, bpm	105.83	98.90	0.472	.004
	(102.82-108.84)	(95.36-102.25)		
HR at maximum load,	148.15	155.26	0.257	.042
bpm	(143.76-152.53)	(150.21-160.30)		
METs at VT1	2.73 (2.56-2.90)	3.15 (2.97-3.34)	0.504	.001
METs at maximum	6.08 (5.76-6.40)	7.71 (7.36-8.06)	0.836	<
load				
[0,1-5]Ventilatory efficier	<i>icv^c</i>			
VE/VCO ₂ slope	34.37(33.18-	31.44 (30.58-	0.737	< .001
	35.56)	32.30)		
Baseline PECO ₂ ,	21.65 (20.72-	23.11 (22.33-	0.463	.021
mmHg	22.58)	23.88)		
PECO ₂ at VT1, mmHg	25.18 (24.26-	26.79 (25.84-	0.432	.017
	26.10)	27.73)	0.102	1017
PECO ₂ at maximum	25.23 (24.37-	27.48 (26.57-	0.663	< .001
load, mmHg	26.09)	28.38)	01000	
VEVCO ₂ at VT1	33.24 (31.89-	30.89 (30.04-	0.491	< .001
	33.59)	31.74)	0.171	< <u>.</u> .001
VEVCO ₂ at maximum	34.64	31.12	0.708	< 0.001
load	(33.64–35.64)	(30.02-32.22)	0.700	~ <u>~</u> 0.001
VEVO ₂ at VT1	36.59	33.73	0.531	.001
	(35.50-37.67)	(32.54-34.92)	0.001	
VEVO ₂ at maximum	36.59	33.73	0.531	.001
load	(35.50-37.67)	(32.54-34.92)		
VE at VT1, L/min	21.72	20.94	0.121	.439
	(20.41 - 23.03)	(19.50-22.37)	0.121	
VE at maximum load,	60.93	65.50	0.330	.101
L/min	(57.33-64.52)	(61.56-69.44)		
OUES at maximum	2097.36 (1933.54-	2301.02 (2081.40-	0.244	.134
load	2261.18)	2520.63)	0.2	110
[0,1-5] <i>Effort exerted</i> ^a		/		
Exercise time, min	13.05 (11.99-	16.11 (14.69-	0.594	.001
	14.11)	17.53)	0.071	.001
$VO_2 \ (\geq 85\% \ predicted)^{b}$	68.13 (64.92-	85.02 (80.33-	0.869	< .001
	71.35)	89.72)	0.007	\001
<i>HR</i> ($\geq 85\%$ predicted) ^b	86.29 (84.11-	91.92 (89.54-	0.314	.005
(_ co / c proutorou)	88.47)	94.33)	0.011	.000
Respiratory quotient at	1.05 (1.04-1.07)	1.08 (1.07-1.10)	0.329	.010
maximum load			0.02	
	4-11		66: '	

HR, heart rate; METs, metabolic equivalents; OUES, oxygen uptake efficiency slope; PA, physical activity; PECO₂, expired CO₂ pressure; VE/VCO₂, slope of the pulmonary ventilation and VCO₂ ratio; VEVCO₂, ventilatory equivalent for CO₂, VEVO₂, ventilatory equivalent for O₂; VO₂, oxygen uptake; VT1, first ventilatory threshold. ^aData are presented as mean and 95% confidence intervals (95% CI) without adjustment or percentage as appropriate.

^bData presented as percentage (%).

^cData presented as marginal mean and 95% CI. General linear univariate model (ANCOVA), adjusted for age, sex, and body mass index. The ergospirometry test on cycle ergometer (Lode Excalibur Sport, Germany) consisted of incremental ramp increases in load, starting with 25 W with 25-W increments every 2 min (pedaling cadence, 50-60 revolutions/min). The variables VO₂ (mL/kg⁻¹·min⁻¹), oxygen pulse (VO₂/HR), parameters VE and VT (L/min⁻¹), ventilatory equivalents of O₂ and CO₂ (VEVO₂, VEVCO₂), and expiratory CO₂ pressure (PECO₂) were recorded at the first ventilatory threshold (VT1) and at maximum load using flow analysis and concentrations of inhaled and exhaled respiratory gases in the mixing chamber (QUARK CPET, Cosmed, Italy).

Criteria	Categories	19	OVID-	-	- ontrol = 95)*	χ^2	Р
54 03140	NT 1	<u> </u>	<u>95)*</u>		(10)	F1 014 505	F1 01 00 6
[1,0]VO ₂	Normal >	29	(30)	44	(46)	[1,0]4.587	[1,0].006
inflection at VT ₁ ^a	11,						
	mL/kg/min						
	Abnormal <	67	(70)	51	(54)		
	11,						
	mL/kg/min						
[1,0]VE/VCO ₂ ^b	Normal <	51	(54)	-74	(77)	[1,0]11.318	[1,0].001
	34, slope in						
	degrees						
	Abnormal >	44	(46)	21	(23)	-	
	34, slope in						
	degrees						
[1,0]OUES ^c	Normal >	65	(68)	72	(76)	[1,0]0.942	[1,0].331
	1550 mL		× ,		~ /		
	Abnormal <	30	(32)	23	(24)	-	
	1550 mL		(-)				
[1,0]COP ^d	Normal <	85	(89)	95	(100)	[1,0]8.550	[1,0].003
[1,0]001	30 L	00	(0))	20	(100)	[1,0]0.000	[1,0].000
	Abnormal >	10	(11)	0	0	-	
	30 L	10	(11)	U	0		
[1,0]ΔVO ₂ /HR	$\frac{0.012}{\text{Normal} > 0}$	92	(97)	89	(94)	[1,0]0.467	[1,0].494
$VT_2 vs VT_1^e$	Abnormal <	3	(3)	6	(6)	[1,0]01107	[1,0]
	0	0		U	(0)		
[2,0]Ventilatory	No	14	(15)	29	(31)	[2,0]9.847	[2,0].007
performance	limitation		` '		~ /	L / J	. / .
score ^f	Moderate	62	(65)	58	(61)		
	limitation		()		()		
	Severe	19	(20)	8	(8)	-	
	limitation	.,	(_0)	U			
COD 11 ·		·	~ .				cc: :

Table 2 Comparison of ergospirometry criteria and ventilatory performance score by study group

COP, cardiorespiratory optimal point; HR, heart rate; OUES, oxygen uptake efficiency slope; VCO_2 , carbon dioxide produced; VE, pulmonary ventilation; VO_2 , oxygen uptake; VT_1 , first ventilatory threshold; VT_2 , second ventilatory threshold.

^aPoint of inflection of VO_2 expressed in mL/kg/min and estimated manually on the graph of VO_2 at VT_1 .

^bVentilatory efficiency or class derived from the VE/VCO₂ slope. ^cOUES VO₂ efficiency slope.

^dCOP estimated based on the minimum VE/VCO₂ ratio.

^eDifference in oxygen pulse between VT_2 and VT_1 , derived from VO_2/HR ratio. ^fVentilatory performance criteria score was derived from the sum of the abnormal criteria in a-e, then classified as: no ventilatory limitation (no abnormal criteria), moderate limitation (1-2 abnormal criteria), and severe limitation (more than 3 abnormal criteria).

Values are expressed as No. (%).

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