1 <u>TITLE</u>

2	Aberrant expression of collagen type X in solid tumor stroma is associated with EMT,
3	immunosuppressive and pro-metastatic pathways, bone marrow stromal cell signatures,
4	and poor survival prognosis
5	
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23	Research Highlights
24	ColX highlights features of EMT in breast and pancreatic cancer
25	ColX gene modules are immunosuppressive and pro-metastatic

• ColX-associated gene networks contribute to sex differences in pancreatic cancer

- ColX-positive fibroblasts define more aggressive tumors with poorer survival
- ColX is emerging as a biomarker for bone marrow-derived cells in cancer
- 29

30 ABSTRACT

31 **Background:** Collagen type X (ColX α 1, encoded by COL10A1) is expressed specifically 32 in the cartilage-to-bone transition, in bone marrow cells, and in osteoarthritic (OA) cartilage. We 33 have previously shown that ColX α 1 is expressed in breast tumor stroma, correlates with tumor-34 infiltrating lymphocytes, and predicts poor adjuvant therapy outcomes in ER⁺/HER2⁺ breast 35 cancer. However, the underlying molecular mechanisms for these effects are unknown. In this 36 study, we performed bioinformatic analysis of COL10A1-associated gene modules in breast and 37 pancreatic cancer as well as in cells from bone marrow and OA cartilage. These findings 38 provide important insights into the mechanisms of transcriptional and extracellular matrix 39 changes which impact the local stromal microenvironment and tumor progression.

40 Methods: Immunohistochemistry was performed to examine collagen type X expression
41 in solid tumors. WGCNA was used to generate *COL10A1*-associated gene networks in breast
42 and pancreatic tumor cohorts using RNA-Seg data from The Cancer Genome Atlas.

43 Computational analysis was employed to assess the impact of these gene networks on

44 development and progression of cancer and OA. Data processing and statistical analysis was

45 performed using R and various publicly-available computational tools.

46 **Results:** Expression of *COL10A1* and its associated gene networks highlights
47 inflammatory and immunosuppressive microenvironments, which identify aggressive breast and
48 pancreatic tumors and contribute to metastatic potential in a sex-dependent manner. Both
49 cancer types are enriched in stroma, and *COL10A1* implicates bone marrow-derived fibroblasts
50 as drivers of the epithelial-to-mesenchymal transition (EMT) in these tumors. Heightened
51 expression of *COL10A1* and its associated gene networks is correlated with poorer patient
52 outcomes in both breast and pancreatic cancer. Common transcriptional changes and

53 chondrogenic activity are shared between cancer and OA cartilage, suggesting that similar

54 microenvironmental alterations may underlie both diseases.

55 **Conclusions:** COL10A1-associated gene networks may hold substantial value as 56 regulators and biomarkers of aggressive tumor phenotypes with implications for therapy 57 development and clinical outcomes. Identification of tumors which exhibit high expression of 58 COL10A1 and its associated genes may reveal the presence of bone marrow-derived stromal 59 microenvironments with heightened EMT capacity and metastatic potential. Our analysis may 60 enable more effective risk assessment and more precise treatment of patients with breast and 61 pancreatic cancer. 62 63 **KEYWORDS**

64 collagen type X, tumor microenvironment, breast cancer, pancreatic cancer, osteoarthritis65

66 BACKGROUND

67 The tumor microenvironment profoundly influences cancer progression and aggression 68 through direct and indirect interactions between neoplastic cells and surrounding structures 69 such as the extracellular matrix (ECM), whose remodeling plays a critical role in tumor growth, 70 invasion, and metastasis¹. The ECM encompasses a broad array of glycoproteins, collagens, 71 and proteoglycans, as well as affiliated regulators and secreted factors; together, these exhibit a 72 multitude of structural and signaling functions across diverse biological contexts and their 73 alteration contributes to the development of pathological states ranging from fibrotic diseases to cancer^{2,3}. Collagens are the most abundant protein component of the ECM and the composition 74 75 of both major and minor collagens has been shown to vary substantially across different cancer 76 types; additionally, numerous collagens have been identified as biomarkers associated with molecular alterations and overall survival in cancers of diverse primary tissues⁴. The 77 78 composition and distribution of collagens within the local ECM is largely driven by fibroblasts,

which influence the processes of inflammation and angiogenesis through regulation of the
ECM⁵, although other cells may also play a role in the production and degradation of collagens
in disease states. Fibroblastic activity appears to be an important driver of disease across
diverse tumor types, but the full composition and function of the ECM in cancer remains
uncertain.

84 Collagen type X (COL10A1, ColX) is a non-fibrillar collagen synthesized specifically by 85 hypertrophic chondrocytes to regulate matrix mineralization, stiffness, and metabolism⁶. ColX 86 promotes the cartilage-to-bone transition in skeletal development, is highly expressed by bone 87 marrow stromal cells (BMSCs), and becomes progressively elevated in articular cartilage during the development of osteoarthritis (OA)⁶⁻⁹. OA pathogenesis has been associated with 88 89 senescence of mesenchymal stromal cells, chondrocyte death, calcification and degradation of 90 the extracellular matrix, and angiogenic invasion^{10–12}. Previously we found that CoIX is 91 expressed in breast tumor tissue, the first time that CoIX was shown to be highly expressed in 92 non-skeletal tissues^{13–15}. Prior studies by our group have shown that CoIX is not only expressed 93 in many types of breast tumors, but is also associated with overall survival outcomes for 94 ER⁺/HER2⁺ breast tumors in particular^{13,15}. However, the mechanism by which CoIX is involved 95 in tumor progression and treatment outcomes remains unknown. Pancreatic ductal 96 adenocarcinoma (PDAC) remains a highly lethal malignancy due to its aggressive nature and 97 the paucity of effective treatment options; such tumors are notable for their high fractions of 98 desmoplastic stroma which contributes significantly to drug and immune resistance¹⁶. Complex 99 interactions between PDAC cancer cells and surrounding stromal features such as activated 100 fibroblasts and collagens play a major role in aggressive, treatment-refractory disease^{16,17}. 101 Thus, one approach to improve our understanding of stromal impacts in cancer is to identify key 102 ECM features which drive the development, survival, and progression of such tumors. 103 Core environmental factors which influence tumor outcomes include stromal composition, blood vessel density, and infiltrating immune cells¹⁸. The complicated interplay 104

105 between resident and foreign host cells, the extracellular matrix, and molecular signals all 106 contribute to primary tumor treatment responses. Recent studies have suggested that the 107 stromal fractions of breast and pancreatic tumors feature significant proportions of cancer-108 associated fibroblasts (CAFs), which exhibit substantial heterogeneity, originate from both the 109 local biome and differentiated bone marrow-derived mesenchymal stromal cells, and contribute significantly to patient prognosis and response to therapy^{19,20}. Given ColX's important role in 110 cartilage development and the bone marrow niche²¹, along with its dysregulated expression 111 112 across both OA cartilage and solid tumors, we sought to characterize its pathophysiologic role in 113 cancer through bioinformatic analysis of COL10A1-expressing cancer and non-cancer cells. We 114 hypothesized that similar stromal microenvironments across certain cancers, bone marrow, and 115 OA cartilage are defined by CoIX and its associated gene networks, which may contribute to 116 molecular mechanisms underlying tumor progression. In this study, we defined gene co-117 expression modules to characterize pathways and microenvironmental components related to 118 and correlated with CoIX expression in breast and pancreatic tumors from The Cancer Genome 119 Atlas (TCGA). By analyzing CoIX expression in BMSCs and OA cartilage cells, we found 120 notable common biological pathways in both cancer and BMSCs, thereby linking these two 121 types of stromal cells. Characterization of CoIX expression networks and their pathological 122 mechanisms will improve understanding of aggressive disease states and offer opportunities for 123 devising future therapies.

124

125 METHODS

126 Immunohistochemistry and ColXα1 expression scoring

Two PAAD samples were tested compared to breast tumor observations. One stage 3 and one stage 4 sample were evaluated for ColXα1 protein expression as follows. Four-micron sections were cut from formalin-fixed paraffin-embedded tissue blocks, heated at 60°C for 30 minutes, deparaffinized, rehydrated, and subjected to antigen retrieval by heating the slides in

131	epitope retrieval buffer in a water bath at 95°C for 45 minutes. The slides were then incubated
132	with either mouse monoclonal antibodies or rabbit polyclonal antibodies for 30 minutes at room
133	temperature in a DAKO Autostainer. Anti-ColX α 1 (1:100, eBioscience/Affymetrix, Clone X53)
134	was used for immunohistochemistry (IHC). Immunoreactivity was detected using the DAKO
135	EnVision method according to the manufacturer's recommended protocol.
136	
137	Data analysis and visualization
138	All data processing and analysis was performed in R (version 4.0.2) ²² unless otherwise
139	stated. Visualizations were generated in R using the ggplot2 (version 3.3.6) ²³ , gplots (version
140	3.1.3) ²⁴ , and eulerr (version 7.7.0) ^{25,26} packages. See Figure 1C for overview of tumor sample
141	datasets and computational tools employed in this study.
142	
143	Data acquisition and pre-processing
144	TCGA gene expression data
145	Log-normalized expression for COL10A1 across all TCGA cancer types was
146	downloaded from the Broad Institute's Genome Data Analysis Center FireBrowse portal. Batch-
147	corrected, normalized RNA-Seq-derived RSEM values for breast invasive carcinoma (BRCA, n
148	= 1,095 samples) and pancreatic adenocarcinoma (PAAD, $n = 178$ samples) TCGA cohorts
149	were downloaded from the NIH National Cancer Institute Genomic Data Commons ^{27,28} . Genes
150	with RSEM values < 1 in \ge 50% of samples and mean RSEM values < 50 overall were defined
151	as "low-expression" and excluded from downstream analysis.
152	Microarray gene expression data
153	To assess whether RNA-Seq-derived ColX-related modules would be robust to different
154	methodologies of gene expression quantification, microarray datasets with similar sample sizes
155	were selected for comparison to results from the BRCA and PAAD cohorts from TCGA. For
156	breast cancer, raw fluorescence intensity data from a previously-described collection of 12

157 studies on primary early-stage breast cancer in females was downloaded from the NCBI Gene Expression Omnibus²⁹ (GEO) database (n = 1,763 samples in total), all of which were 158 159 expression-profiled on the GPL570 (Affymetrix Human Genome U133 Plus 2.0 Array) platform 160 (see Table S1A for comparison to TCGA data and Table S1B for GSE accessions and number of samples analyzed from each study)^{30–42}. For pancreatic cancer, array-based gene expression 161 162 data for the Australian pancreatic cancer cohort (PACA-AU, n = 269 samples) was downloaded 163 from the International Cancer Genome Consortium (ICGC) Data Portal⁴³. Pre-processing of 164 microarray data was carried out using the following packages in R: oligo (version 1.52.1)⁴⁴. hgu133plus2.db (version 3.2.3)⁴⁵, AnnotationDbi (version 1.50.3)⁴⁶, tidyverse (version 1.3.0)⁴⁷, 165 166 WGCNA (version 1.69)^{48,49}, and sva (version 3.36.0)⁵⁰. Probe intensity values across each 167 cancer were log-transformed and normalized using the Robust Multichip Average (RMA) 168 quantile method. Probes were mapped to gene IDs based on the GPL570 annotation database, 169 and unmapped or multi-mapping probes were removed. Expression values for multiple probes 170 mapping to the same gene were consolidated using the collapseRows function. Gene 171 expression values for the breast cancer samples were then combined across GEO studies and 172 batch-corrected using the ComBat function. Finally, "low-intensity" expression thresholds were 173 established for each cancer dataset, and all genes with expression values below these 174 thresholds in > 80% of samples were defined as "low-expression" and excluded from 175 downstream analysis.

176 OA gene expression data

177 Raw RNA-Seg-derived gene counts for 4 knee joint-derived OA cell types (normal 178 cartilage stromal cells/NCSCs, OA mesenchymal stromal cells/OA-MSCs, OA

179 chondrocytes/OACs, bone marrow stromal cells/BMSCs; n = 3 each) were sourced from GEO

accession GSE176199¹⁰. Genes exhibiting fewer than 5 counts in \ge 90% of samples were 180

- 181 defined as "low-expression" and excluded from downstream analysis. DESeg2 (version
- 1.34.0)⁵¹ was used to normalize raw gene counts and perform differential expression analysis. 182

183 For each OA cell type, cell type-specific genes were defined based on the definition of "tissueenriched" employed by the Human Protein Atlas (at least four-fold higher mRNA level in a given 184 tissue compared to any other tissues)⁵²; i.e., all genes with log_2 -fold change \geq +2 and adjusted 185 186 p-value < 0.05 relative to each other cell type. 187 188 Characterization of CoIX consensus modules 189 Generation of ColX modules 190 Correlated gene network modules were generated for each TCGA dataset based on normalized, filtered RSEM values using WGCNA (version 1.69)^{48,49}. Briefly, for each dataset, 191 192 the soft thresholding power β was selected to ensure approximately scale-free topology of the 193 gene co-expression network, and signed modules were generated using the blockwiseModules

194 function with parameters deepSplit = 2 and minModuleSize = 30. ColX modules were defined

195 for each dataset separately, comprising all genes which co-modularized with COL10A1.

196 Enrichment analysis

Enrichment analysis of ColX modules was performed using the ConsensusPathDB web
 tool (release 35)⁵³. For each cancer, the *over-representation analysis* tool was run to identify all
 Reactome pathways and gene ontology (GO) terms enriched in the respective ColX module,

200 using as background all genes which were retained after pre-processing the dataset from which

201 the module was generated. Significantly-enriched pathways/terms were identified by FDR-

202 corrected p-value < 0.05.

203 Gene set overlap analysis

Matrisome, hallmark pathway, and Gene Transcription Regulation Database (GTRD) transcription factor target (TFT) gene sets were downloaded from MSigDB^{2,54,55}. Overlaps with breast and pancreatic cancer ColX modules were calculated using Fisher's exact test. Adjusted p-values (p.adj) were computed using the Benjamini-Hochberg (BH) method⁵⁶. Significantlyenriched gene sets were identified by p.adj < 0.05 (p.adj < 0.10 for candidate discovery of

209 enriched TFTs) and odds ratio > 1. Protein-protein interactions of specific transcription factors

- 210 (TFs) of interest were queried using the STRING database⁵⁷.
- 211 *Module preservation analysis*
- 212 Preservation of TCGA BRCA and PAAD RNA-Seq-derived ColX modules in
- 213 corresponding cancer microarray datasets of comparable sample size was assessed using the
- 214 modulePreservation function following standard WGCNA methodology⁵⁸. The *Z*_{summary} statistic,
- 215 defined as the mean of summarized density preservation statistics and connectivity preservation
- 216 statistics, was computed for each TCGA-generated module in order to assess the relative
- preservation of the CoIX module. A $Z_{summary}$ value > 10 was considered to indicate significant
- 218 module preservation.
- 219

220 Differential pathway analysis

221 Gene set analysis

Hallmark pathway and GTRD TFT gene sets were downloaded from MSigDB as described above. Immunome gene sets were obtained from The Cancer Immunome Atlas⁵⁹. Cancer-associated fibroblast gene sets were extracted from previously-published datasets and defined as all genes exhibiting \geq 2-fold increased expression (with p.adj < 0.05) in each fibroblast phenotype of interest relative to all others¹⁹.

227 Definition of the G.A.M.E. metric

To compare CoIX module expression across all tumor samples within each dataset, a ranking metric was defined based on the sample-wise percentage of CoIX module genes expressed above their respective median values across all samples (percentage of **G**enes **A**bove-**M**edian **E**xpression, "%G.A.M.E."). This effectively transformed the unimodal CoIX module eigengene (ME) distribution into a bimodal G.A.M.E. distribution with a fixed range between 0 and 1, facilitating clustering of samples into discrete groups (**Figure S3**). The getJenksBreaks function from the BAMMtools package (version 2.1.10)⁶⁰ was used to divide samples into "low", "medium", and "high" G.A.M.E. groups, which were used to proxy ColX
module expression for comparisons between subgroups.

237 QuSAGE analysis

238 Differential activity of gene sets between high and low G.A.M.E. tumor samples were assessed using gusage (version 2.22.0)^{61–63}. Log-fold change was used to guantify association 239 240 with differential CoIX module expression (i.e., enrichment). Activation and inhibition of individual 241 gene sets/pathways were defined as positive and negative enrichment relative to the 242 background gene set, respectively. Significance associated with ColX module expression was 243 determined by BH-adjusted p-value < 0.05 and absolute magnitude of enrichment \ge 25% of the 244 magnitude of activity of the CoIX module (both measured relative to the background gene set) 245 within that dataset.

246

247 Survival analysis

248 Cox proportional hazards model analysis

Survival analysis was carried out in R using the survival (version 3.3-1)^{64,65} and 249 250 survminer (version 0.4.9)⁶⁶ packages. Multivariate Cox proportional hazards models were constructed to assess the statistical dependence of overall survival (OS) and disease-free 251 252 interval (DFI) on patient age, gender, tumor stage (binarized as stage 1-2 vs. stage 3-4), and 253 ColX tumor signal (assessed as either normalized gene expression, ColX module eigengene 254 (ME), or %G.A.M.E.) for breast and pancreatic cancer cohorts as well as gender-specific 255 pancreatic cancer subcohorts. The proportional hazards assumption was validated for each 256 signal variable by a test of the scaled Schoenfeld residuals. Significant β coefficients were 257 determined by a p-value < 0.05, and the per-unit contribution of each significant variable to the 258 overall hazard risk was computed as e^{β} .

259 Kaplan-Meier survival curve analysis

260 Differential survival outcomes were assessed using Kaplan-Meier analysis. For each

261 comparison, samples were divided into two groups: a "low" %G.A.M.E. group (defined as

above), and a "high" %G.A.M.E. group (all other samples).

263

264 Tumoral osteoarthritic cell type proportion analysis

265 CIBERSORTx was utilized to quantify OA cell type proportions for all TCGA tumor

samples⁶⁷. The *Create Signature Matrix* tool was used to generate a dimensionally-reduced

267 expression signature (1,978 genes) to differentiate the 4 OA cell types profiled (NCSC, OA-

268 MSC, OAC, BMSC). The *Impute Cell Fractions* tool was subsequently employed to infer the

approximate proportions of each OA cell type present in each tumor sample, quantified on an

absolute scale. Absolute proportions were scaled by sample-wise total OA cell type proportions

to obtain sample-wise relative proportions.

273 **RESULTS**



274

275 Figure 1: COL10A1 is highly expressed in breast and pancreatic tumors. (A) Expression of

COL10A1 across all TCGA sample cohorts. (B) Representative 400x ColXα1 immunohistochemistry
 staining in pancreatic tumors. (C) Outline of study samples and analyses. See Methods and Results
 sections for details.

279 ColX-associated gene modules are conserved across different cancers

280 COL10A1 mRNA expression was found to be the highest in breast invasive carcinoma

- 281 (BRCA) and pancreatic adenocarcinoma (PAAD) tumors in TCGA (Figure 1A). We evaluated
- 282 expression of CoIX at the protein level by IHC to determine relative levels of expression. Strong
- 283 expression was observed in BRCA, as we have previously reported^{13–15}. Similar to the patterns
- observed in breast tumors, ColXα1 IHC revealed a range of mild to strong expression of ColX
- protein only in the stromal regions of pancreatic tumors (Figure 1B). Consistent with much lower
- 286 expression of *COL10A1* mRNA, no protein expression was observed in colon adenocarcinoma

287 or stomach adenocarcinoma by IHC. In accordance with these observations, we focused on 288 BRCA and PAAD tumors to evaluate the impact of COL10A1 on cancer pathophysiology. 289 To define the role of CoIX in breast and pancreatic tumors, we analyzed multiple 290 datasets by a variety of statistical methods (Figure 1C). To identify possible roles and gene 291 networks associated with COL10A1 in breast and pancreatic tumors, we used weighted gene 292 co-expression network analysis (WGCNA) to generate cancer-specific ColX gene modules in 293 the TCGA datasets for each cancer type. We defined "ColX-associated" genes as all those 294 which were co-modularized with CoIX in each dataset, signifying genes whose expression was 295 broadly associated with that of CoIX across the patient cohorts. This process yielded CoIX 296 modules of 423 and 404 genes across the breast and pancreatic cancer datasets, respectively, 297 with 168 (approximately 40%) of these genes co-modularizing with CoIX in both cancers (Figure 298 2A, Table S2A; see Table S2B for full list of WGCNA modules for each dataset). 299 To verify the reproducibility of these CoIX modules, we performed module preservation 300 analysis on a comparably-sized microarray dataset for each cancer type: a collection of 1,763 301 primary early-stage breast cancer samples sourced from GEO as well as 269 pancreatic cancer

303 conserved in their corresponding microarray cancer datasets; the BRCA ColX module was the
304 #2 most highly preserved among all 31 BRCA gene modules and the PAAD ColX module was

samples from the PACA-AU cohort. Both TCGA RNA-Seq-derived ColX modules were highly

305 the #1 most highly preserved among all 42 PAAD gene modules. This signifies the relevance of

306 these cancer-specific gene sets across diverse patient cohorts (Figure S1).



308 Figure 2: ColX modules are enriched for ECM genes, pro-metastatic pathways, and

309 developmental/regulatory transcription factor targets. (A and B) Overlap of genes within ColX 310 WGCNA modules from TCGA (A) breast and pancreatic cancer and (B) gender-segregated pancreatic 311 cancer datasets. See Table S2A for lists of CoIX module genes, Table S2B for full list of WGCNA 312 modules for each dataset, and Table S3 for gene ontology and Reactome pathway enrichment analysis of 313 cancer-specific and overlapping CoIX-associated genes. (C and D) Enrichment of (C) human in silico 314 matrisome gene sets² and (D) MSigDB hallmark pathway gene sets⁵⁴ within ColX modules. Gene sets 315 within each block are ordered by mean significance rank (by Fisher's exact test) across all 4 modules. 316 See Figure S2A-D and Table S4 for hallmark pathway enrichment analysis of all WGCNA-inferred 317 modules for each dataset. Significance values: *, p.adj < 0.05; **, p.adj < 0.01; ***, p.adj < 0.001; ****, 318 p.adj < 0.0001. (E) Enrichment of Gene Transcription Regulation Database (GTRD) transcription factor (TF) targets⁵⁵ within breast and pancreatic cancer CoIX modules. Of note, the TFT gene set attributed to 319 320 IGLV5-37 in the GTRD database actually represents targets of the fusion oncoprotein SS18-SSX, as 321 described in the text. Dotted lines correspond to p.adj = 0.10. Green labels/points indicate TFs whose 322 targets are enriched in both breast and pancreatic cancer CoIX modules. See Table S5A for reported TF 323 functions and lists of overlapping TFTs. Significance values: *, p.adj < 0.05; **, p.adj < 0.01; ***, p.adj < 324 0.001; ****, p.adj < 0.0001.

325

ColX-associated gene networks are enriched for ECM and developmental ontologies

326 To probe the biological importance of these ColX modules in the context of breast and

327 pancreatic cancer, we performed gene ontology (GO) and Reactome pathway enrichment

328 analysis to identify functions of CoIX modules in each dataset (Tables S3, S4). As anticipated,

329 GO terms relating to extracellular matrix and collagen function were highly enriched across both

the breast and pancreatic cancer CoIX modules, as well as in their overlapping gene sets (Table

331 S3A–C). Notably, GO categories including "cell migration" and "cell motility" were enriched in

both cancer types, as were "ossification," "cartilage development," "skeletal system

333 development," and several terms related to Wnt signaling. Reactome pathways relating to

334 collagen organization and ECM structure, function and degradation were similarly enriched,

along with the role of *RUNX2* in regulating chondrocyte maturation (Table S3F–H).

336 In addition to these shared GO categories, breast and pancreatic cancer-specific CoIX

modules were individually enriched for several categories associated with the epithelial-to-

338 mesenchymal transition (EMT). Enriched GO categories specific to the BRCA ColX module

included "epithelial cell migration" and "mesenchymal cell proliferation," and several Reactome

pathways relating to signaling through FGFR2 were also significantly enriched (Table S3A,

- 341 S3F). PAAD-specific GO hits included several developmental regulators such as Frizzled and
- 342 Smoothened, which were corroborated by enrichment of Reactome pathways relating to Wnt,

343 Hedgehog, and *RUNX2* signaling (Table S3B, S3G).

344

345 **ColX-associated gene networks are more strongly linked to OA and pro-metastatic**

346 processes in male pancreatic cancer cohorts

To assess the possible differential contribution of gender to the ColX-related pathology of pancreatic cancer, we also used WGCNA to define ColX modules in male and female patient subsets of the PAAD dataset, yielding 572 and 219 genes respectively, of which 144 were shared between male and female cohorts (Figure 2B). As male patients comprised only 1% of the BRCA dataset, we did not perform gender-specific analysis for breast cancer.

352 While both gender-specific pancreatic cancer modules were enriched for numerous GO 353 terms and Reactome pathways which were also significant in their combined analysis (e.g., 354 various ECM terms, "ossification," "cartilage development," and several terms related to 355 chondroitin sulfate metabolism), the male PAAD CoIX module was additionally enriched for the 356 GO terms "bone morphogenesis," "Wnt signaling," and "epithelial to mesenchymal transition," as 357 well as Reactome pathways relating to RUNX2 signaling and platelet responses (Table S3D, 358 S3I). In contrast, the female PAAD CoIX module was not significantly enriched for these terms 359 or pathways; the primary hits were largely similar to those of the full PAAD CoIX module (Table 360 S3E, S3J), with inference of a more functionally-restricted ColX-associated genetic network 361 possibly due to the smaller sample size.

362

Breast and pancreatic cancer-specific ColX modules overlap with key matrisome and oncogenic pathway gene sets

To assess processes and gene functions captured within these four dataset-specific
 ColX modules, we performed statistical overlap analyses with multiple well-characterized gene

sets encompassing a broad range of physiological and clinical functions. Both breast and 367 368 pancreatic ColX modules were found to overlap significantly with matrisome gene sets, 369 including ECM glycoproteins, collagens, ECM regulators, basement membranes, proteoglycans, 370 and secreted factors² (Figure 2C). The CoIX modules include numerous matrisome genes 371 previously implicated in tumor progression and metastasis, including ECM regulators CTSB, 372 LOXL2, and SERPINF1, and ECM glycoprotein SNED1, which have been reported as markers 373 of highly metastatic breast carcinomas that promote tumor invasiveness across a variety of 374 models⁶⁸ (Table S2A). CTSB is also upregulated in pancreatic cancer and may indicate 375 increased activity of CSTB, which enhances later metastatic extravasation in PDAC; 376 additionally, several collagens that are highly expressed in PDAC relative to its precursor 377 pancreatic intraepithelial neoplasia (COL6A1, COL6A2, and COL11A1) are present in the pancreatic CoIX modules^{69,70} (Table S2A). Thus, COL10A1 is associated with common 378 379 matrisome features that drive cancer progression, suggesting that ColX-associated genes may 380 broadly play important roles in the development, maintenance, and pro-metastatic function of the tumor microenvironment^{1,71}. 381

382 Multiple hallmark gene sets were significantly enriched in both breast and pancreatic 383 ColX modules. The epithelial-to-mesenchymal transition (EMT) was the most significantlyoverlapping hallmark gene set in both cancer types (p.adj = 5.2×10^{-43} and 3.2×10^{-31} for breast 384 and pancreatic cancer, respectively; p.adj = 8.0×10^{-40} and 3.0×10^{-17} for male and female 385 386 pancreatic cancer cohorts, respectively) (Figure 2D; Table S4). We observed substantial co-387 modularization of COL10A1 with numerous collagen-binding integrin genes which play critical 388 roles in invasion and blood vessel remodeling, including ITGA1 (BRCA), ITGA11 (BRCA, PAAD, 389 and male PAAD), ITGA5 (PAAD and male PAAD), ITGAV (BRCA), and ITGB1 (BRCA and 390 female PAAD) (Table S2A). The BRCA and male PAAD ColX modules additionally contain 391 DDR2, a COL10A1 receptor which has been shown to sustain the EMT phenotype to promote 392 metastasis in breast cancer as well as induce EMT to accelerate the progression of pancreatic

393 cancer^{72,73}, consistent with fibrosis and metastasis-associated EMT pathway genes being most 394 strongly enriched in those two CoIX modules. Notable overlap was also observed across both 395 cancer types with numerous other hallmark gene sets related to cancer cell motility and aberrant 396 cell repair, including angiogenesis, coagulation, apical junction, myogenesis, and decreased UV 397 repair response. The lists of EMT hallmark genes present in both breast and pancreatic CoIX 398 modules includes not only multiple collagen genes but also various genes coding for ECM 399 proteins involved in non-collagenous network formation and cartilage and bone development 400 (ADAM12, COMP, MATN3, FN1) (Figure S2E). Several of these genes are similarly present in 401 both male and female pancreatic CoIX modules, indicating conservation of pro-metastatic 402 function across gender (Figure S2F). The pairwise concordance in EMT hallmark genes overlap between these groups is statistically significant by Fisher's exact test ($p = 6.2 \times 10^{-17}$ between 403 404 BRCA and PAAD; $p = 4.6 \times 10^{-8}$ between male and female PAAD), suggesting conservation of 405 ColX module function across cancers and genders.

406 Several additional cancer type-specific hallmarks emerged as significant, including 407 genes related to KRAS in breast cancer, and Notch signaling in pancreatic cancer. Interestingly, 408 there were gender-specific differences within the pancreatic CoIX modules, with the male CoIX 409 module significantly overlapping with genes involved in Hedgehog and Notch signaling, while 410 neither of these gene sets overlapped significantly with the female CoIX module (Figure 2D). In 411 the female pancreatic cancer cohort, Hedgehog signaling was not significantly enriched in any 412 module and Notch signaling was only enriched in module #12 (Table S4D), suggesting a 413 stronger association between CoIX expression and developmental pathways in male pancreatic 414 tumors. Together, these observations provide evidence that ColX-associated gene signatures 415 may confer more aggressive tumor features through activity of specific developmental 416 pathways. Combined with the IHC observation that $ColX\alpha 1$ is expressed in tumor stroma (Figure 1B), these findings suggest that COL10A1 expression is associated with an oncogenic 417 418 fibroblast environment.

419

CoIX is associated with regulation of proliferative and mesenchymal cell states 420 421 To identify potential impacts on transcription factors (TFs), we assessed representation 422 of transcription factor target (TFT) gene sets from the Gene Transcription Regulation Database 423 (GTRD) in each ColX module. Two GTRD-annotated TFT gene sets were significantly enriched 424 in both BRCA and PAAD ColX modules, corresponding to targets of FOXH1 and IGLV5-37 425 (Figure 2E). Corroborating the GO enrichment of EMT and related pro-metastatic pathways, 426 FOXH1 is an inducer of the TGF- β /Nodal/Activin signaling pathway and has been implicated in 427 proliferation, migration, and invasion of both breast and pancreatic cancer^{74,75} (Table S5A). Of 428 note, the latter TFT gene set appears to have been misattributed to IGLV5-37 (an 429 immunoglobulin with no known TF activity) in the GTRD database, and according to the source 430 publication actually represents targets of the fusion oncoprotein SS18-SSX, which alters the 431 normal regulatory activity of the SWI/SNF (BAF) ATP-dependent chromatin remodeling complex to drive oncogenesis in synovial sarcoma through induction of SOX2⁷⁶. Both of these TFT gene 432 433 sets include CoIX module genes ADAMTS6 (a metalloproteinase) and RUNX1, which drives 434 mesenchymal stem cell proliferation and differentiation of myofibroblasts⁷⁷; additionally, the 435 SS18-SSX targets include LRRC15, a CoIX module gene marking TGF- β -driven, myofibroblastic CAFs which may play an immunoregulatory role in PDAC⁷⁸ (Table S5A). The 436 437 shared enrichment of these two TFT gene sets in both CoIX modules points toward a common 438 association with fibroblast proliferation and invasion in breast and pancreatic cancer. 439 Among the BRCA ColX module genes, transcription targets of *PRMT5*, *SIX1*, *SUPT16H*, 440 PRDM4, TFEB, NFKBIA, ZNF585B, and PRDM5 were highly enriched (Figure 2E). These TFs 441 have been established to regulate numerous pathways in breast and other cancers, ranging 442 from cell proliferation and tumorigenesis to invasion and immune regulation (Table S5A). In particular, SIX1 has been shown to induce EMT and metastasis in breast tumors⁷⁹ and 443 444 implicated in TGF-β regulation of collagen deposition leading to hampered immune infiltration





452 Figure 3: Tumors with high CoIX module expression exhibit activation of pro-metastatic,

453 immunosuppressive, and myofibroblastic gene signatures. (A-E) Mean pathway activation (by

454 QuSAGE) of (A) MSigDB hallmark pathway gene sets⁵⁴, (B) cancer immunome gene sets⁵⁹, (C) top

455 significant GTRD transcription factor target gene sets⁵⁵, and cancer-associated fibroblast (CAF) gene sets

derived from (D) breast tumors and (E) pancreas and other tumors¹⁹, in samples with high CoIX module 456

457 expression relative to samples with low CoIX module expression for each cancer dataset. Gene sets

458 within each block are ordered by mean pathway activation rank across all 4 datasets. See Figure S4 for 459

QuSAGE analysis of all modules and Table S5B for reported functions of selected enriched TFTs.

Significance values: *, p.adj < 0.05; **, p.adj < 0.01; ***, p.adj < 0.001; ****, p.adj < 0.0001. 460

461 Increased CoIX module expression is associated with activation of pro-metastatic

462 pathways as well as immunosuppressive and myofibroblastic signatures

463 To investigate the physiological states associated with expression of ColX-related gene 464 networks, we stratified patient samples into high and low ColX module expression groups and 465 performed Quantitative Set Analysis for Gene Expression (QuSAGE) to identify differentially-466 expressed pathways between these cohorts (see Methods section and Figure S3 for definition 467 and derivation of %G.A.M.E. metric). QuSAGE is an efficient alternative to classical Gene Set 468 Enrichment Analysis (GSEA) which accounts for inter-gene correlations and provides a more 469 robust quantification of differential pathway expression by generating a complete probability density to describe the activity of a particular gene set of interest^{61–63}. We ran QuSAGE on ColX 470 module-stratified samples for the MSigDB hallmark pathways⁵⁴, a collection of previously-471 characterized immune signature pathways⁵⁹, the MSigDB GTRD TFT gene sets^{54,55}, and a 472

473 collection of CAF genesets curated from human breast and pancreatic cancer datasets¹⁹.

474 Increased activity of hallmark pathways associated with aggressive cancer phenotypes 475 was significantly associated with CoIX module expression (Figure 3A). Heightened CoIX module 476 expression was positively associated with activation of genes involved in EMT (2.5-fold average 477 increase across all cohorts), angiogenesis (1.7-fold average increase), coagulation (1.6-fold 478 increase in BRCA; also significant in male and female PAAD cohorts), and myogenesis, apical 479 junction and apical surface (each 1.5-fold increase in male PAAD cohort). Additionally, QuSAGE 480 revealed increased activity of genes typically decreased in response to UV exposure across all 481 cohorts (1.5-fold average increase). We also observed significant upregulation in high-CoIX

482 module samples of several regulatory pathways involved in tumor progression and immune 483 response that were not identified through gene enrichment testing, including inflammatory 484 response and KRAS signaling (all cohorts), Hedgehog signaling (BRCA), allograft rejection, IL-485 6/JAK/STAT3 signaling, and TNF- α signaling via NFKB (all PAAD cohorts), IFN-y response 486 (PAAD and male PAAD cohorts), complement (male and female PAAD cohorts), and IL-487 2/STAT5 signaling and TGF- β signaling pathways (male PAAD cohort). Several hallmark gene 488 sets related to proliferation were downregulated in high-CoIX module BRCA samples, including 489 oxidative phosphorylation, MYC targets (V1, V2), E2F targets, and G2/M checkpoint; the high-490 CoIX module male PAAD cohort also exhibited downregulation of MYC targets (V2) and 491 oxidative phosphorylation. These findings indicate that tumors with high COL10A1 expression 492 exhibit increased activity of numerous pathways related to pro-metastatic and inflammatory 493 processes, along with a concomitant decrease in activity of homeostatic pathways such as 494 oxidative metabolism and cell cycle regulation. Thus, activity of ColX module genes highlights 495 more aggressive cancer phenotypes.

496 ColX module expression was also significantly associated with increased expression of 497 numerous immune signatures in both BRCA and PAAD, including immunosuppressive and 498 tumor-promoting features such as regulatory T cells, mast cells, and tumor-associated 499 macrophages (TAMs) (Figure 3B). In pancreatic cancer specifically, we observed broader 500 upregulation of numerous immune cell types, but notably no upregulation of the activated CD8⁺ 501 T cell signature, and greater upregulation of immature vs. activated B-cell signatures. Although 502 the activation of regulatory T cells was not uniquely associated with ColX module expression. 503 we note that other WGCNA modules tracking positively with regulatory T cell activity were 504 almost invariably also associated with activated CD8⁺ T cell signal as expected in controlled 505 physiological immune responses, while the CoIX modules were not (Figure S4A–D). CoIX 506 module expression was consistently associated with regulatory T cell activity even in the

absence of activated CD8⁺ T cells. These findings suggest that the ColX modules are strongly
associated with immunosuppressive environments.

509 QuSAGE analysis of GTRD TFT gene sets highlighted numerous transcription factors 510 whose downstream targets were differentially expressed in tumors with high CoIX module 511 expression, several of which are known to modulate tumor advantage in breast or pancreatic 512 cancer (Figure 3C; see Table S5B for detailed descriptions of significant TFs). Targets of 513 MCM5, a crucial component of the MCM replicative helicase complex which has been linked to 514 negative prognosis in breast cancer and implicated as a marker of pancreatic malignancy, were 515 the most significantly upregulated in both BRCA and PAAD high-CoIX module tumors, an effect 516 which was also observed in the pancreatic gender-specific analysis. Expression of NME2 517 targets was also increased significantly in high-ColX module PAAD tumors; this protein is a well-518 established activator of MYC and while competing evidence suggests that it may have pro- or 519 anti-tumor effects in diverse contexts, it has been identified as upregulated in metastatic PDAC samples compared to primary tumors by snRNA-Seq⁸⁴. XPO1 and NUP214, two associated 520 521 proteins whose regulatory targets are mutually upregulated in high-CoIX module tumors, have 522 collectively been described as drivers of breast cancer and markers of poor survival in pancreatic cancer. NUP214 is of particular interest as its varied fusion products have been 523 implicated as drivers of breast cancer (via fusion with NOTCH)⁸⁵ and leukemogenesis (via 524 aberrant activation of HOX genes)⁸⁶; similarly, HOXC8 co-modularizes with COL10A1 in BRCA 525 526 (Table S2A) and Hoxa3 has been shown to be upregulated alongside Col10a1 and implicated in OA progression in hypertrophic mouse chondrocytes⁸⁷. Targets of *GTF3C1* and *SYNCRIP*, both 527 528 of which are upregulated in metastatic pancreatic tumors compared to primary tumors, were 529 also significantly increased in expression in high-ColX module gender-specific PAAD cohorts. 530 Conversely, targets of multiple key genes crucial to the maintenance of various tumor-531 suppressive protein complexes were significantly downregulated in high-CoIX module samples 532 (Figure 3C), including ASXL2 in BRCA and PBRM1 in PAAD (irrespective of gender). Significant 533 downregulation in high-CoIX module tumors was also observed for targets of numerous zinc-534 finger TFs (ZNF302, ZNF211, ZFP37, ZNF23, ZNF454, ZNF774, ZNF419, and ZNF416) 535 associated with TRIM28, a pro-tumorigenic driver of EMT which stabilizes TWIST1 to promote cancer cell invasion and migration⁸⁸. While individual coexpression of each significant zinc-536 537 finger TF with TRIM28 and TWIST1 varied across cohorts, on average they were negatively 538 correlated with expression of both pro-metastatic genes in BRCA and PAAD, potentially 539 corroborating the increased activity of EMT drivers in high-ColX module tumors. 540 ColX module expression was additionally associated with increased activity of multiple 541 CAF gene sets. We analyzed gene signatures from diverse CAF populations recently 542 characterized by Cords et al. using scRNA-Seg data from breast tumors (~14,000 CAFs from 14 543 patients with breast invasive carcinoma) and pancreatic tumors (~5,700 CAFs from 4 cancer 544 types, of which 30% were sourced from patients with pancreatic ductal adenocarcinoma)¹⁹. As 545 these gene signatures have been shown to be highly conserved across cancer types, we 546 performed matched and cross-comparisons between breast- and pancreatic-derived CAF 547 signatures and our breast and pancreatic cancer data, stratified by CoIX module expression. We 548 found that gene sets associated with numerous breast-derived myofibroblastic CAF populations 549 (matrix, inflammatory, and antigen-presenting) were significantly upregulated in high-CoIX 550 module samples compared to low-CoIX module samples in both BRCA and PAAD cohorts, as 551 were genes associated with vascular CAFs, which have been shown to facilitate angiogenesis 552 and tumor vascularization (Figure 3D). High CoIX module expression in the PAAD cohorts was 553 uniformly associated with increased interferon-response and tumor-like CAF signatures as well. 554 Matrix CAFs (mCAFs) play a role in ECM remodeling, migration, TGF- β -driven myofibroblastic 555 activation, and EMT; numerous mCAF markers are present in the ColX modules, including 556 COMP, MMP11, POSTN, COL1A1, COL1A2, LRRC15, and the pro-myofibroblastic markers 557 FAP and PDPN (Table S2A). Various other CAF-specific genes are co-modularized with 558 COL10A1, including inflammatory CAF markers CXCL12 (BRCA) and CXCL14 (female PAAD),

559	vascular CAF markers ACTA2 (all ColX modules) and NOTCH3 (PAAD and male PAAD), and
560	tumor-like CAF markers PDPN (BRCA, PAAD, and male PAAD) and TMEM158 (male PAAD).
561	Additionally, the dividing CAF signature was significantly decreased in high-CoIX module BRCA
562	tumors, corroborating the decrease in homeostatic cell cycle control indicated by the hallmark
563	pathway analysis of the BRCA cohort (Figure 3D). Similar enrichment of pancreatic-derived
564	myofibroblastic CAF signatures in high-ColX module tumors was observed in both BRCA and
565	PAAD cohorts, concomitant with increased signal associated with tumor-like CAFs and
566	decreased signal associated with dividing CAFs (Figure 3E). These observations further support
567	the theme of CoIX being a marker of CAF heterogeneity in stromal environments across diverse
568	cancers.





570 Figure 4: COL10A1 and ColX module expression stratify breast and pancreatic cancer cohorts by 571 survival outcomes. (A and B) BH-adjusted significance values for multivariate Cox proportional hazards 572 models conditioning either (A) overall survival (OS) on age, gender, binarized tumor stage, and COL10A1 573 gene expression, or (B) disease-free interval (DFI) on age, gender, binarized tumor stage, and CoIX 574 module eigengene expression (ME). Dotted lines correspond to p.adj = 0.05. Per-unit contributions of 575 each significant variable (red bars) to the overall hazard risk in each panel is indicated by white 576 percentages (for COL10A1 and CoIX ME expression, 1 standard deviation = 1 unit). Note that the Cox 577 model for the female pancreatic cancer cohort was not conditioned on binarized tumor stage because all 578 samples were classified into the same group. See Figure S5 for full survival analysis results for all 579 WGCNA-inferred modules. (C) DFI Kaplan-Meier survival curves for the pancreatic cancer cohorts based 580 on %G.A.M.E. groupings. Dotted lines correspond to median "survival" (i.e., time to recurrence). Shaded 581 regions represent the 95% confidence intervals for each group. Log-rank test p-values are shown for 582 each panel.

583

ColX gene and module expression levels are prognostic of differential survival outcomes

- 584 Given the relevance of the stromal microenvironment to cancer progression and patient
 - 585 outcomes, we assessed the predictive value of CoIX with regard to breast and pancreatic
 - 586 survival metrics. Using a multivariate Cox proportional hazards model to assess overall survival
 - 587 risk, increased COL10A1 expression was found to be significantly associated with negative
 - 588 prognosis (+17% risk per standard deviation in breast cancer, p.adj = 0.048; +31% risk per

589 standard deviation in pancreatic cancer, p.adj = 0.030) (Figure 4A). These hazard contributions 590 were conferred in addition to the significant effects of age (+4% risk per year in breast cancer, p.adj = 2.2×10^{-8} ; +3% risk per year in pancreatic cancer, p.adj = 0.030) and advanced tumor 591 592 stage (+182% risk for stages 3-4 compared to stages 1-2 in breast cancer, p.adj = 7.3×10^{-9}). 593 To determine whether ColX-associated gene modules preserved the prognostic value of 594 ColX itself, we performed a similar analysis using the ColX module eigengene (ME) in place of 595 COL10A1 expression. Increased CoIX module expression was significantly associated with 596 shortened disease-free interval (DFI) in pancreatic cancer (+82% risk per standard deviation. 597 p.adj = 0.018) (Figure 4B). This effect was also significant in the male pancreatic cancer 598 subcohort (+219% risk per standard deviation, p.adj = 0.037), but was not preserved in the 599 female subcohort (p.adj = 0.062). The CoIX ME did not significantly impact DFI in breast cancer, 600 possibly due to the greater availability and efficacy of curative therapies for breast cancer 601 relative to pancreatic cancer. However, the fact that the CoIX ME was one of only two modules 602 in the pancreatic cancer cohort (as well as in the male subset) whose expression tracked 603 significantly with increased DFI risk suggests that the CoIX signature is uniquely associated with 604 likelihood of recurrence of advanced cancer (Figures S5B, S5C). 605 We then investigated whether the rescaled metric of ColX module expression 606 (%G.A.M.E.) could be used to effectively stratify pancreatic cancer patients based on DFI. 607 Patients with high %G.A.M.E. had significantly worse DFI prognosis compared to those with low 608 %G.A.M.E. (p = 0.02) by Kaplan-Meier analysis. Interestingly, this effect was preserved in the 609 male subcohort (p = 0.01), but attenuated in the female subcohort (p = 0.07) (Figure 4C). These 610 findings recapitulated the multivariate hazard risk analysis, corroborating the prognostic value of 611 ColX expression at both the gene and module level.



613 Figure 5: Bone marrow and cartilage cells are differentiated by collagen expression clusters. (A) 614 Normalized expression of COL10A1 across bone marrow and articular cartilage cell types (n = 3 each). 615 Mean normalized expressions are indicated in parentheses. Significance values were computed using 616 DESeq2, BH-adjusted across all genes analyzed. (B) Log-normalized expression of CoIX module genes 617 in each cell type (n = 3 each). Normalized gene-wise expressions were averaged prior to log-618 transformation with pseudocount of 1. Significance values were computed using the paired Wilcoxon 619 signed-rank test on log-normalized counts. (C) Relative expression of collagen genes across cell samples 620 (n = 3 each). Normalized expression values are scaled by rows. COL10A1 is indicated by a black box in 621 the left column; genes contributing to the same parent collagen are indicated by same-color boxes; and 622 genes which are the sole contributor to their parent collagen are indicated by gray boxes. Note that 623 COL6A4P1, COL6A5, COL20A1, and COL23A1 were filtered out as "low-expression" genes. See Figure 624 S7A for normalized (unscaled) expression of all collagen genes across cell types. (D) WGCNA module 625 assignments for all collagens in each cancer dataset. See Table S2B for WGCNA module assignments 626 for all genes. Black cells indicate the ColX module for each column. "-" indicates low-expression genes 627 which were filtered out; genes labeled "0" were not assigned to any module by WGCNA. See Figure S7B 628 for normalized expression of all collagen genes across TCGA cohorts.

629 ColX module expression correlates with increased activity of bone marrow stroma and

630 osteoarthritic cartilage signatures

631 Identifying connections between the roles of CoIX in cancer and non-cancer cells may

- 632 provide novel insights into common factors at play in these tissues. In its canonical contexts,
- 633 COL10A1 is a marker for hypertrophic chondrocytes and bone marrow-derived mesenchymal
- stem cells and contributes to cellular senescence, ECM degradation, and angiogenic invasion
- 635 during OA^{6,9,11,89–92}. Therefore, we examined the bone marrow and OA character of breast and
- pancreatic tumors based on their levels of ColX module expression, using RNA-Seq data from
- 637 four types of cartilage or bone marrow stromal cells for comparison¹⁰. While *COL10A1* was not
- 638 strongly expressed by normal cartilage stromal cells (NCSCs), it was significantly upregulated in
- both bone marrow stromal cells (BMSCs; over 100-fold increase, p.adj = 1.1×10^{-31}) and OA
- 640 mesenchymal stromal cells (OA-MSCs; 18.6-fold increase, p.adj = 3.4×10^{-11}) (Figure 5A).
- 641 Interestingly, the module-wide expression of COL10A1-associated genes in each cancer was
- 642 significantly increased in BMSCs, followed by two OA cartilage cell types, OA chondrocytes
- 643 (OACs) and OA-MSCs (Figure 5B); additionally, cell type-specific markers for BMSCs and
- 644 OACs were found to be highly enriched for genes in the ColX modules (Figure S6A–D). These
- 645 enrichments were significant by Fisher's exact test when compared across all WGCNA modules

646	for both BRCA (p.adj = 1.6×10^{-15} for BMSC and p.adj = 4.7×10^{-3} for OAC) and PAAD (p.adj =
647	1.7 × 10 ⁻¹¹ for BMSC and p.adj = 0.02 for OAC) (Figure S6E). Comparative analysis of the
648	expression of various collagens across cartilage and bone marrow cell types revealed that the
649	collagen gene signatures of BMSCs were closely aligned with the ColX modules in BRCA and
650	PAAD, in particular male but not female PAAD (Figures 5C, 5D). Numerous BMSC-specific
651	collagens also co-modularized with COL10A1 in tumors, including COL8A1 and COL8A2,
652	COL1A1 and COL1A2, COL4A1 and COL4A2, and several genes contributing to collagen types
653	III, V and VI. These latter three collagens have all been implicated in tissue repair, wound
654	healing, expression profiles of diverse CAF subtypes, and regulation of both BMSC and OAC
655	physiology ^{6,10,93} . Like COL10A1, collagen type IV is a network-forming collagen; its α 112 triple
656	helical form is ubiquitously expressed in basement membranes and is present in both normal
657	and OA articular cartilage, where it is expressed by chondrocytes ^{6,94} . COL8A1 is highly
658	expressed in OA tissues and, like COL10A1, is a myofibroblastic-specific marker in cancer ^{93,95} .
659	These results suggest that, in addition to COL10A1, BRCA, PAAD and male PAAD tumors
660	especially share common ECM gene signatures with BMSCs.



661

662 Figure 6: Tumoral proportions of osteoarthritic cell types trend with CoIX module expression and 663 cancer-associated pathway activity. (A and B) Sample-wise absolute (top) and relative (bottom) 664 proportions of 4 bone marrow and cartilage cell types inferred by CIBERSORTx for TCGA (A) breast and 665 (B) pancreatic cancer cohorts. Color bars (middle) indicate relative cancer-specific ColX module 666 expression; samples were ordered by increasing %G.A.M.E. See Methods section and Figure S3 for 667 details on %G.A.M.E. metric. (C and D) Spearman correlations between relative cancer-specific CoIX 668 module expression and (C) absolute or (D) relative OA cell type proportions inferred by CIBERSORTX. Raw p-values were Bonferroni-corrected across rows. Significance values: *, p < 0.05; **, p < 0.01; ***, p 669 670 < 0.001; ****, p < 0.0001; n.s., not significant. NCSC, normal cartilage stromal cells; OA-MSC, 671 osteoarthritis mesenchymal stromal cells; OAC, osteoarthritis chondrocytes; BMSC, bone marrow stromal cells. (E and F) Bubble plots of (E) MSigDB hallmark pathway gene sets⁵⁴ and (F) top significant GTRD 672 673 transcription factor target gene sets⁵⁵ in cell type-specific marker gene sets. Of note, the TFT gene set 674 attributed to IGLV5-37 in the GTRD database actually represents targets of the fusion oncoprotein SS18-675 SSX, as described in the text. See Methods section for definition of bone marrow and cartilage cell typespecific marker genes. Significance values: *, p.adj < 0.05; **, p.adj < 0.01; ***, p.adj < 0.001; ****, p.adj < 676 677 0.0001.

678 Cell type proportion inference using CIBERTSORTx revealed that expression of ColX

679 modules was positively associated with signatures attributable to BMSCs, OACs, and OA-MSCs

680 across both breast and pancreatic tumors (Figures 6A, 6B). ColX module expression was 681 significantly and positively correlated with CIBERSORTx-inferred absolute proportions of 682 BMSCs as well as, to a lesser extent, two OA cell types (OACs and OA-MSCs) (Figure 6C). 683 Conversely, ColX module expression was negatively correlated with the absolute inferred 684 proportion of NCSCs in breast cancer; correlations of similar direction and magnitude were 685 observed in pancreatic cancer, with some loss of significance likely attributable to decreased 686 power due to the smaller cohort size (Figure 6C). The relative inferred proportion of NCSCs 687 among the 4 cell types also decreased significantly with increased CoIX module expression in 688 both cancers, concomitant with a significant increase in the relative inferred proportion of 689 BMSCs (Figure 6D). Thus, ColX emerged as a biomarker for bone marrow-derived cells in 690 BRCA and PAAD tumors.

691

692 ColX highlights similar EMT markers between OA disease states and tumors

693 EMT comprises a range of markers depending on tissue settings and surrounding 694 phenotypes, and contributes to diverse processes including gastrulation, fibroblastic differentiation, and tumor metastasis⁹⁶. Examination of cell type-specific markers for each ColX-695 expressing non-cancer cell type (Table S6) revealed that EMT hallmark pathway genes were 696 697 significantly overrepresented in BMSCs, OACs, and OA-MSCs (Figure 6E). Notably, several 698 EMT-associated genes were identified as both OA cartilage cell type markers and CoIX module 699 genes. COL11A1, COMP, and MATN3, which were present in both breast and pancreatic CoIX 700 modules (Table S2A; Figures S2E, S2F), are markers of chondrogenesis expressed distinctly by 701 OACs (Table S6); additionally, COMP is a potent anti-apoptotic factor which may contribute to 702 survival of both chondrocytes and neoplastic cells. The BRCA CoIX module also includes the 703 OAC-specific markers LUM (also present in the PAAD ColX module) and its related genes DCN 704 and ECM2, which are collectively involved in collagen fibril organization and epithelial cell 705 migration (Table S2A). Genes coding for various CXC chemokines, inflammatory cytokines, and

706 matrix metalloproteinases were identified as EMT-associated markers in OA-MSCs (CXCL1,

707 CXCL6, CXCL8, IL6, MMP1, PTX3, TNFAIP3) (Table S6); while these were not specifically co-

708 modularized with COL10A1 in tumors, several related genes in both the CXC and MMP families

are present in the ColX modules (pro-metastatic CXCL12 in BRCA, pro-angiogenic MMP2 in

510 both BRCA and PAAD, and related MMPs 2, 3, and 14 in male PAAD) (Table S2A; Figures

711 S2E, S2F).

712 Significant overlap in EMT pathway genes was observed between BMSC-specific 713 markers and the ColX modules: 14 BMSC markers co-modularized with COL10A1 in BRCA (p = 1.8×10^{-5} by Fisher's exact test), 10 of which also co-modularized in PAAD (p = 2.8×10^{-3} by 714 715 Fisher's exact test) (Figure S6F). Several of these overlapping EMT pathway genes are also 716 highly expressed in matrix CAFs (COL1A2, LRRC15, POSTN); other overlapping genes have 717 been shown to contribute to vascular remodeling (ACTA2, CTHRC1) and myofibroblastic 718 motility (CALD1 and TPM1, both of which are significantly upregulated in metastatic pancreatic 719 cancer compared to primary tumors⁸⁴) (Table S2A; Table S6; Figures S2E, S2F). In particular, 720 FN1, VCAN, and LRRC15 are markers of BMSCs which also co-modularized with COL10A1 in 721 cancer; all three genes are involved in cell migration, and FN1 contributes directly to osteoblast 722 mineralization as well as metastasis. Together, these results suggest a shared activation of 723 common EMT-related pathways in cancer, bone marrow, and pathological OA contexts, 724 highlighting the role of COL10A1 as a marker of both BMSCs and pro-metastatic tumors. The 725 CoIX modules thus highlight well-characterized inflammatory OA-like disease states which 726 contribute to a pro-metastatic tumor microenvironment in both breast and pancreatic cancer. 727 Several TFs whose targets were found to be differentially expressed in high-CoIX 728 module vs. low-CoIX module tumors have also been reported to play roles in OA disease states 729 (Figure 3C). Upregulated TFT sets included targets of MCM5, whose expression is increased in 730 rat chondrocytes following inflammatory stimulation by IL-1 β : *TFAM*, which promotes 731 mitochondrial biogenesis in OA chondrocytes; and NME2, which has been shown to be

732 upregulated in early OA (Table S5B). Downregulated TFT sets included targets of the 733 associated proteins RPA1, whose expression is decreased in OA patients, and WRN, which is 734 mutated in Werner's syndrome and confers early-onset OA risk; as well as PBRM1, which has 735 been implicated in GWAS of OA and regulation of BMP signaling and osteogenic fate 736 determination (Table S5B). Cell type-specific markers for both OACs and OA-MSCs were also 737 significantly enriched for various inflammatory/immune (e.g., interleukin/STAT protein signaling) 738 and proliferative (e.g., KRAS signaling) pathways (Figure 6E). Additionally, OAC- and BMSC-739 specific markers were found to be enriched for targets of the TFs SS18-SSX (whose targets 740 were misattributed in the GTRD database to the immunoglobulin IGLV5-37 as noted above), 741 and NUP214, respectively (Figure 6F). These findings corroborate the similar enrichment of 742 SS18-SSX targets in both the breast and pancreatic CoIX modules (Figure 2E), suggesting that 743 common pathways involving dysregulated cell proliferation and tissue remodeling are involved 744 in both cancer and OA (Table S5A), as well as the differential activation of NUP214 targets in 745 high-ColX module breast and male pancreatic tumor samples (Figure 3C), which additionally 746 suggest a shared pathogenic mechanism linked to aberrant transcription regulation (Table S5B). 747 Many TFs thus appear to be perturbed similarly in both high-CoIX module tumors and OA 748 development, suggesting similar dysregulation of transcriptional programs across these disease 749 states.



750

751 Figure 7: Pathological expression of COL10A1 fosters immunosuppressive, fibroblastic

microenvironments in cancer, bone marrow, and cartilage. Graphical abstract summarizing the
 findings presented in this study. In brief, a specifically expressed collagen, *COL10A1*, connects the ECM
 and tissue microenvironments across cancer and bone. The pathological contributions of ColX and its
 associated gene networks are especially prominent in breast and pancreatic tumors, and mimic the
 development of a similar inflammatory and fibroblast-dominated environment seen in bone marrow and

757 cartilage changes in OA. A central outcome of this shared impact is the epithelial-to-mesenchymal

- transition (EMT), which contributes to disease progression in both contexts. *All images were sourced from*
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762

763 **DISCUSSION**

764

The pathological contributions of collagen type X (COL10A1, CoIX) and its associated

- gene networks are especially notable in breast and pancreatic tumors, where they contribute to
- 766 fostering an inflammatory and immunosuppressive microenvironment that contributes to
- 767 aggressive tumorigenesis, metastasis, and poor clinical prognosis. A significant component of
- this pathological role appears to be related to ColX's identity as a marker for bone marrow-

derived cells and cancer-associated fibroblasts (CAFs), both of which are strongly associated with extracellular matrix (ECM) remodeling, the epithelial-to-mesenchymal transition (EMT), and progression of disease in both cancer and OA. The findings of this study, summarized in a graphical abstract for visualization (Figure 7), suggest that there is a close relationship between these two ColX-expressing stromal cell populations; one in breast and pancreatic cancer and the other in bone marrow and OA cartilage.

775 CoIX is normally expressed only during skeletal development at the cartilage-to-bone 776 transition and in bone marrow during adulthood. However, ColX is also highly expressed in 777 certain disease contexts including OA cartilage and a variety of solid tumors, especially breast 778 and pancreatic cancer. Here, we demonstrated that ColX expression is aberrantly elevated in 779 solid tumors from TCGA; namely, breast invasive carcinoma (BRCA) and pancreatic 780 adenocarcinoma (PAAD). From RNA-Seq analysis, we found that CoIX is also highly expressed 781 by bone marrow stromal cells (BMSCs) and senescent mesenchymal stromal cells (OA-MSCs) 782 in OA. Recent work demonstrates that chromatin accessibility of COL10A1 is highest in 783 hypertrophic chondrocytes and increases with progression of chondrocyte maturation in skeletal 784 development⁹⁷. Indeed, in BRCA tumors we observed that COL10A1 expression is anticorrelated with the somitic mesoderm marker *TCF15* (Spearman's ρ = -0.16, p = 4.5 × 10⁻⁸) 785 786 and positively correlated with the sclerotome marker PAX9 (Spearman's ρ = +0.31, p = 2.0 × 10⁻ 787 ²⁶), supporting its contribution to the development of paraxial mesoderm and ossification in 788 cancer. CoIX's patterns of co-expression and variability across breast and pancreatic tumors 789 suggest that it may be associated with more aggressive disease as indicated by activation of 790 EMT and angiogenic pathways as well as heightened relapse and survival risk.

There are compelling reasons to extrapolate from the roles that *COL10A1* plays in OA to putative functions within the tumor microenvironment. ColX is known to contribute to calcified zones of articular cartilage when expressed by hypertrophic chondrocytes in OA, a phenotype similar to mechanical stiffness conferred by the stroma of many solid tumors^{6,98}. The EMT
795 process is also enriched during the progression of OA; we have previously described this 796 "chondrocyte-mesenchymal transition" (CMT) and note that it mimics the loss of tissue-specific 797 gene expression and inflammatory increase in invasive capacity which is the hallmark of the 798 metastatic process¹⁰. Invasion and aggression of breast tumors are known to be correlated with mechanical stress and stiffened phenotypes^{99,100}, and pancreatic tumors have similarly been 799 associated with such stress owing to their significant stromal fraction^{101,102}. The shared nature of 800 801 these phenotypes highlights the role of the ECM and stroma in tumor malignancy, and suggests 802 that CoIX may contribute in a similar pathological manner to both OA and cancer progression.

803 Numerous biological pathways and transcriptional networks implicated in pro-OA and 804 pro-metastatic disease states are significantly enriched within or activated in conjunction with 805 increased expression of the CoIX modules characterized here. The hallmark pathway most 806 significantly enriched in each ColX module was the epithelial-to-mesenchymal transition (EMT), 807 which was also found to be differentially activated in high-CoIX module expression samples 808 compared to low-CoIX module expression samples. EMT is intimately involved in the 809 intercellular remodeling processes of embryonic development, fibrosis, and wound healing, and 810 its activity in cancer is broadly considered crucial to the invasion of ECM and neighboring tissues which initiates metastasis in epithelial malignancies¹⁰³. Upregulation of EMT was found 811 812 to coincide with increased activity of angiogenic pathways which facilitate tumor cell 813 intravasation and motility into the circulatory system, as well as key immunosuppressive cellular 814 signatures (regulatory T cells and TAMs) which permit tumor development. Activity of both of 815 these immune cell types have been associated with poor outcomes in breast and pancreatic 816 cancer^{104–107}. These results suggest that heightened CoIX module activity signifies aggressive 817 tumor states marked by impaired immune response and greater potential to metastasize. 818

ColX modules also exhibited significant overrepresentation and/or differential activity of transcription factor (TF) networks crucial to numerous developmental pathways known to play a role in cancer aggressiveness and metastasis (Notch, Wnt, and Hedgehog signaling), including

FOXH1, SOX15, and several PRDM gene family members^{108–113}. GO enrichment of each ColX 821 822 module revealed multiple genes implicated in ossification, such as RUNX2 and TGFB3, both of 823 which have been shown to play a role in OA, influence developmental pathways such as Wnt 824 signaling, and contribute to EMT in breast and pancreatic cancer^{114–116}. The male PAAD ColX 825 module was additionally enriched for GO terms relating to EMT and Wnt signaling, both of which exert pro-metastatic effects that impair treatment responses¹¹⁷. Of particular interest were 826 827 enriched targets of two oncogenic fusion proteins, SS18-SSX (misattributed in the GTRD 828 database as IGLV5-37 as noted above) and SET-NUP214, whose regulatory targets were 829 enriched or differentially activated in association with both CoIX module expression and 830 signatures for osteogenesis and pathological OA cell types, suggesting common transcriptional 831 dysregulation between these disease states.

832 Together, these results demonstrate that the genes in these cancer-specific CoIX 833 modules are involved in a diverse array of biological functions which may exacerbate the 834 pathophysiology of both cancer and OA. Numerous genes implicated in both OA pathology and 835 metastasis co-modularize with COL10A1 in tumors, including COMP, CXCL12, and FN1, further 836 suggesting common EMT-mediated dysregulation across these disease states. ColX module 837 expression thus appears to highlight breast and pancreatic tumor states marked by activation of 838 developmental and pro-OA pathways which may contribute to heightened metastatic potential 839 and poorer clinical outcomes.

Survival analysis of the two TCGA cohorts reveals the prognostic value of ColX and its associated modules in both breast and pancreatic cancer. Increased expression of stromal ColX has previously been shown to correlate with worse survival outcomes in breast cancer cohorts with diverse tumor mutational burdens^{13,118}, and *COL10A1* has also been linked to negative prognosis in pancreatic cancer⁹³. Here, we corroborated that increased ColX expression confers significantly increased overall survival risk in both breast and pancreatic cancer. High ColX module expression is also significantly associated with decreased DFI in pancreatic cancer, an

847 effect which is stronger in the male PAAD cohort compared to the female PAAD cohort. We observed that DFI events actually occurred more frequently in the high-ColX module group in 848 849 the female PAAD cohort (65% event rate) compared to the male PAAD cohort (27% event rate). 850 but the groupwise Kaplan-Meier analysis for the female PAAD cohort was ultimately not 851 significant, likely due to being underpowered (when the analysis was rerun with all female 852 samples artificially duplicated, the difference between high- and low-CoIX module expression 853 groups became significant at an α -level of 0.05). Analysis of a larger sample cohort would help 854 clarify whether this is truly a gender-specific effect or merely the result of the cohort size 855 analyzed here. Of note, a prior meta-analysis of multiple studies comprising 1,000 pancreatic 856 cancer patients in total found that increased EMT is vital to the process of tumor budding, which 857 confers significantly worse outcomes in terms of both overall survival and disease-free 858 survival¹⁰⁹. Thus, our results corroborate earlier findings regarding the predictive value of CoIX 859 expression and suggest the clinical utility of the CoIX modules for risk stratification and 860 prognostication.

861 Although COL10A1 has been reported to be expressed by pancreatic cancer cells 862 directly⁷³, we observed that CoIX is most strongly detected in the stromal region of PAAD 863 tumors (Figure 1B), suggesting that it more likely serves as a marker for infiltration of fibroblasts 864 and subsequent induction of an immunosuppressive microenvironment. Additionally, stromal 865 markers such as ACTA2 are co-modularized with COL10A1 in all 4 ColX modules. These 866 observations are consistent with COL10A1's previously-characterized role as a marker of 867 myofibroblastic TGF-β-driven fibroblasts in pancreatic cancer, based on single-cell analysis of human PDAC samples^{78,119}. Recent work by Thorlacius-Ussing et al. has further highlighted 868 869 COL10A1 (as well as COL8A1, COL11A1, and COL12A1, all of which are present in the ColX modules) as a marker of myCAFs in PDAC as well as in other cancer types⁹³. In tumors, the 870 871 ECM is primarily produced by fibroblasts and activated myofibroblasts, the latter of which are 872 additionally responsible for driving fibrosis in response to inflammatory stimuli such as TGF-B

signaling from immune cells¹. While CAFs comprise a heterogeneous collection of cells with a 873 874 diverse array of functions, as a whole they exhibit numerous shared features across breast, 875 pancreatic and other cancers, notably plasticity of their developmental pathways as well as 876 purported regulatory roles in the functioning of NK, T, and other immune cells¹²⁰. In breast and 877 pancreatic tumors with high CoIX module expression, we observed significant differential 878 activation of numerous CAF types including matrix CAFs, inflammatory CAFs, antigen-879 presenting CAFs, vascular CAFs, and tumor-like CAFs, coupled with a decreased signal 880 associated with dividing CAFs especially in breast cancer. This activation of CAF-specific gene 881 signatures was corroborated by co-modularization of key CAF type markers with COL10A1 882 across the breast and pancreatic CoIX modules, which highlight CAF heterogeneity as well as 883 common features of CAF-mediated aggression in both cancer types. CAFs have been shown to 884 exert a variety of tumor-protective effects through direct interactions with cancer cells, ranging 885 from promoting cancer stemness and preventing T cell recognition of cancer cells to facilitating 886 invasion of the basement membrane through deposition of collagen "migratory tracks" and 887 integrin-mediated interactions with fibronectin¹. Although CAF populations are often 888 characterized by their dominant role, considerable diversity of function has also been reported for several specific subtypes¹⁹. For example, although matrix CAFs are primarily responsible for 889 890 producing and remodeling the ECM, they also appear to be capable of producing pro-891 inflammatory cytokines and chemokines to facilitate adhesion and migration. Similarly, tumor-892 like CAFs typically mimic tumor expression patterns and interact directly with tumor cells to 893 promote stemness, chemoresistance and immunosuppression, but may also produce MMPs 894 and other matrix proteins which contribute to remodeling. Our observed activation of antigen-895 presenting CAFs in pancreatic cancer is of particular interest, as prior studies in pancreatic 896 cancer have shown that this CAF subtype interacts significantly with tumor-infiltrating T cells 897 and TAMs through MHC II expression which induces naive CD4⁺ T cells to differentiate into regulatory T cells, thereby contributing to immune evasion^{120,121}. Regulatory T cells and TAMs 898

899 were among the most strongly activated immune cell types in high-ColX module pancreatic (as 900 well as breast) tumors in our analysis, an effect which links high CoIX module expression to 901 both CAF activity and immunosuppression in aggressive tumors. Of note, the activation of 902 regulatory T cells in the absence of activated CD8⁺ T cell signatures is an effect which is almost 903 uniquely associated with the CoIX modules (as opposed to other WGCNA modules), suggesting 904 predominance of a pathological immunosuppressive environment which lacks a strong cell-905 mediated immune component. Additionally, recent work has shown that increased CAF density 906 is associated with an inflammatory, pro-EMT environment in PDAC¹²², COL10A1 is thus a 907 valuable biomarker for CAF-mediated ECM remodeling, immunosuppression, inflammation, and 908 induction of invasion in breast and pancreatic tumors; future work will further elucidate its 909 complex roles across diverse CAF and cancer types.

910 Activated CAFs have been shown to derive from various stromal origins including bone 911 marrow¹. The upregulation of the TGF- β -induced TGFBI in BMSCs (Table S6) supports the 912 hypothesis that CoIX may originate from "activated," dysregulated bone marrow-derived 913 mesenchymal cells which infiltrate breast and pancreatic tumors. There is evidence for this 914 effect in mouse models¹²³, but effective markers are still being sought for human tumors. CoIX is 915 the strongest potential marker so far due to its specific expression in bone marrow during 916 adulthood. We found that increased CoIX module expression correlated significantly with the 917 BMSC signature, as evidenced by CIBERSORTx analysis and co-modularization of COL10A1 918 with BMSC-associated collagens type I, IV, VIII, XI, and XII. The roles that such bone-derived 919 cells play within the tumor microenvironment is an area of active study. BMSCs are intricately 920 involved in regulation of osteoblastic differentiation and the vascular microenvironment, and OA-921 MSCs, which are also ColX-positive, drive the chronic fibrosis and inflammation which characterizes the disease state^{10,124}. In the context of solid tumors, high *COL10A1* expression 922 923 may therefore indicate the presence of bone marrow-derived cells which contribute to an 924 inflammatory, pro-EMT microenvironment. Coupled with the enrichment for gene ontologies

925 related to cartilage/skeletal development and ossification, this suggests that increased CoIX 926 module expression may signify development of ECM pathology within the tumor mesenchyme. 927 A compelling piece of evidence for this common developmental feature is the fact that the Wnt 928 family gene WNT2 emerged as a ColX module gene in both BRCA and PAAD (Table S2A) as 929 well as a specific marker of BMSCs (Table S6); the Wnt signaling cascade is heavily implicated in joint degeneration and OA pathogenesis¹²⁵, and WNT2 has been established as a highly-930 expressed gene in breast cancer as well as a pro-metastatic activator in pancreatic cancer^{126,127}. 931 932 The interplay between various CAF subtypes and bone marrow cells is further supported by the 933 co-modularization of COL10A1 with key markers such as CXCL12, which is a marker for both 934 inflammatory CAFs as well as hypertrophic chondrocytes which give rise to osteoblasts and recruit vasculature during skeletal development^{19,128}. Corroborated by the capacity of NCSCs to 935 936 transform into diverse pathological OA cell types through a "senescence-associated cell transition and interaction" (SACTAI)¹²⁴ which mimics EMT-associated changes occurring in 937 938 breast and pancreatic cancer, these results further support the idea that advanced tumors may 939 contain significant subpopulations of cells with OA character. Additionally, the mutual 940 enrichment of specific genes implicated in EMT across breast and pancreatic cancer and 941 pathological OA cell types suggests that similar dysregulatory mechanisms are crucial to 942 development of both disease states. Spatial transcriptomic studies would provide greater insight 943 to the specific tumoral regions exhibiting COL10A1 expression and help to further validate this 944 hypothesis. Thus, differential activity of the CoIX module reveals a more complete picture of the 945 contribution of COL10A1 to malignant CAF and pro-metastatic activity in advanced tumors. 946 Although our findings suggest a multifaceted role for COL10A1 in the maintenance and 947 remodeling of the ECM with involvement of CAFs, immune cells, and other key players in the 948 tumor microenvironment, they are necessarily limited by the descriptive nature of this study. In 949 particular, while we have highlighted numerous pathological mechanisms which appear to

950 correlate and trend directionally with ColX module expression, direct experimentation will be

951 necessary to robustly validate these relationships in an in vitro or in vivo setting. Survival 952 analyses (in particular for the gender-specific PAAD cohorts) were restricted by the number of 953 TCGA samples with complete data for each outcome of interest, and the CoIX module-based 954 survival impacts presented here would benefit from validation in a larger dataset. Nevertheless, 955 the inflammatory, immunosuppressive, and pro-metastatic pathways we have identified here 956 supplement the current knowledge regarding COL10A1 and its significance to solid tumors, and 957 suggest multiple avenues of exploration to further characterize its importance in breast, 958 pancreatic, and other cancers.

959

960 CONCLUSIONS

961 In this study, we have demonstrated numerous links of biological and clinical interest 962 between the stromal ECM in breast and pancreatic cancer as well as bone marrow and OA 963 cartilage, highlighted by shared expression of COL10A1 and its associated gene networks 964 which contribute to development of an inflammatory, immunosuppressive, and CAF-dominated 965 microenvironment to facilitate EMT and metastasis. COL10A1 is an important and specifically 966 expressed collagen whose role in the progression of solid tumors is an area of active study; our 967 results suggest that it holds substantial value as a regulator and biomarker of aggressive tumor 968 phenotypes with implications for ECM-targeted therapies and clinical outcomes. Identification of 969 tumors which exhibit high expression of COL10A1 and its associated genes may reveal the 970 presence of more aggressive pathological microenvironments with heightened EMT and 971 metastatic potential. These findings may enable more effective risk assessment and treatment 972 of patients with breast and pancreatic cancer.

973

974 LIST OF ABBREVIATIONS

975 • BH: Benjamini-Hochberg

976 • BMSCs: bone marrow stromal cells

- 977 BRCA: breast invasive carcinoma
- 978 CAFs: cancer-associated fibroblasts
- ColX: collagen type X (COL10A1)
- 980 CMT: chondrocyte-mesenchymal transition
- 981 DFI: disease-free interval
- 982 ECM: extracellular matrix
- 983 EMT: epithelial-to-mesenchymal transition
- 984 %G.A.M.E.: percentage of Genes Above-Median Expression
- 985 GEO: Gene Expression Omnibus
- 986 GO: gene ontology
- 987 GSEA: Gene Set Enrichment Analysis
- 988 GTRD: Gene Transcription Regulation Database
- 989 IHC: immunohistochemistry
- 990 ME: module eigengene
- 991 NCSCs: normal cartilage stromal cells
- 992 OA: osteoarthritis, osteoarthritic
- 993 OA-MSCs: OA mesenchymal stromal cells
- 994 OACs: OA chondrocytes
- 995 OS: overall survival
- 996 PAAD: pancreatic adenocarcinoma
- 997 PACA-AU: Pancreatic Cancer Australian
- 998 PDAC: pancreatic ductal adenocarcinoma
- 999 QuSAGE: Quantitative Set Analysis for Gene Expression
- SACTAI: senescence-associated cell transition and interaction
- 1001 TAMs: tumor-associated macrophages
- 1002 TCGA: The Cancer Genome Atlas

- 1003 TF: transcription factor
- 1004 TFTs: transcription factor targets
- WGCNA: weighted gene co-expression network analysis
- 1006

1007 **DECLARATIONS**

1008 Ethics approval and consent to participate

- 1009 Use of patient material was approved by the Lifespan institutional review board approval
- 1010 (IRB #1070389–9). All procedures were performed in accordance with the relevant guidelines
- 1011 and regulations.
- 1012

1013 Consent for publication

- 1014 Patient consent for sample publication was obtained appropriately.
- 1015

1016 Availability of data and materials

- 1017 The datasets supporting the conclusions of this article are available in the NCI Genomic
- 1018 Data Commons (<u>https://gdc.cancer.gov/about-data/publications/pancanatlas</u>), in the Broad
- 1019 Institute GDAC FireBrowse portal (<u>http://firebrowse.org/</u>), and in the GEO accession
- 1020 GSE176199 (https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE176199).
- 1021

1022 Competing interests

- 1023 ASB and MBR are co-inventors of the following patent: Brodsky, Alexander S. and
- 1024 Wang, Yihong and Resnick, Murray. 2017. Collagens as markers for breast cancer treatment.
- 1025 US Patent US09784743B2, filed Jun 20, 2016, and issued Oct 10, 2017. The other authors

1026 declare that they have no competing interests.

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1030		
1031	Auth	nors' contributions
1032		EHHFY and AFY contributed equally. ASB and QC conceived and designed the study.
1033	WL g	generated the cartilage RNA-Seq data. DY performed IHC. EYW and AS interpreted IHC.
1034	MBR	contributed to interpretation of all COL10A1 and tumor features. EHHFY and AFY
1035	acqu	ired, analyzed and interpreted the data, and drafted the manuscript. ASB and QC edited
1036	the n	nanuscript with input from all authors.
1037		
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1042		
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- 1329

1330 **FIGURES**

- 1331 Figure 1: COL10A1 is highly expressed in breast and pancreatic tumors.
- 1332 (A) Expression of COL10A1 across all TCGA sample cohorts. (B) Representative 400x ColXα1
- 1333 immunohistochemistry staining in pancreatic tumors. (C) Outline of study samples and
- analyses. See Methods and Results sections for details.
- 1335
- 1336 Figure 2: ColX modules are enriched for ECM genes, pro-metastatic pathways, and
- 1337 developmental/regulatory transcription factor targets.
- 1338 (A and B) Overlap of genes within ColX WGCNA modules from TCGA (A) breast and
- 1339 pancreatic cancer and **(B)** gender-segregated pancreatic cancer datasets. See Table S2A for

1340 lists of CoIX module genes. Table S2B for full list of WGCNA modules for each dataset, and 1341 Table S3 for gene ontology and Reactome pathway enrichment analysis of cancer-specific and 1342 overlapping ColX-associated genes. (C and D) Enrichment of (C) human in silico matrisome 1343 gene sets² and (**D**) MSigDB hallmark pathway gene sets⁵⁴ within ColX modules. Gene sets 1344 within each block are ordered by mean significance rank (by Fisher's exact test) across all 4 1345 modules. See Figure S2A-D and Table S4 for hallmark pathway enrichment analysis of all 1346 WGCNA-inferred modules for each dataset. Significance values: *, p.adj < 0.05; **, p.adj < 0.01; 1347 ***, p.adj < 0.001; ****, p.adj < 0.0001. (E) Enrichment of Gene Transcription Regulation Database (GTRD) transcription factor (TF) targets⁵⁵ within breast and pancreatic cancer ColX 1348 1349 modules. Of note, the TFT gene set attributed to IGLV5-37 in the GTRD database actually 1350 represents targets of the fusion oncoprotein SS18-SSX, as described in the text. Dotted lines 1351 correspond to p.adj = 0.10. Green labels/points indicate TFs whose targets are enriched in both breast and pancreatic cancer CoIX modules. See Table S5A for reported TF functions and lists 1352 of overlapping TFTs. Significance values: *, p.adj < 0.05; **, p.adj < 0.01; ***, p.adj < 0.001; ****, 1353 1354 p.adj < 0.0001.

1355

Figure 3: Tumors with high ColX module expression exhibit activation of pro-metastatic,
 immunosuppressive, and myofibroblastic gene signatures.

(A–E) Mean pathway activation (by QuSAGE) of (A) MSigDB hallmark pathway gene sets⁵⁴, (B) 1358 cancer immunome gene sets⁵⁹, (C) top significant GTRD transcription factor target gene sets⁵⁵, 1359 1360 and cancer-associated fibroblast (CAF) gene sets derived from (D) breast tumors and (E) pancreas and other tumors¹⁹, in samples with high ColX module expression relative to samples 1361 1362 with low CoIX module expression for each cancer dataset. Gene sets within each block are 1363 ordered by mean pathway activation rank across all 4 datasets. See Figure S4 for QuSAGE 1364 analysis of all modules and Table S5B for reported functions of selected enriched TFTs. Significance values: *, p.adj < 0.05; **, p.adj < 0.01; ***, p.adj < 0.001; ****, p.adj < 0.0001. 1365

1366

Figure 4: *COL10A1* and ColX module expression stratify breast and pancreatic cancer cohorts by survival outcomes.

1369 (A and B) BH-adjusted significance values for multivariate Cox proportional hazards models 1370 conditioning either (A) overall survival (OS) on age, gender, binarized tumor stage, and 1371 COL10A1 gene expression, or (B) disease-free interval (DFI) on age, gender, binarized tumor 1372 stage, and CoIX module eigengene expression (ME). Dotted lines correspond to p.adj = 0.05. 1373 Per-unit contributions of each significant variable (red bars) to the overall hazard risk in each 1374 panel is indicated by white percentages (for COL10A1 and ColX ME expression, 1 standard 1375 deviation = 1 unit). Note that the Cox model for the female pancreatic cancer cohort was not 1376 conditioned on binarized tumor stage because all samples were classified into the same group. 1377 See Figure S5 for full survival analysis results for all WGCNA-inferred modules. (C) DFI Kaplan-1378 Meier survival curves for the pancreatic cancer cohorts based on %G.A.M.E. groupings. Dotted 1379 lines correspond to median "survival" (i.e., time to recurrence). Shaded regions represent the 1380 95% confidence intervals for each group. Log-rank test p-values are shown for each panel. 1381 1382 Figure 5: Bone marrow and cartilage cells are differentiated by collagen expression 1383 clusters. 1384 (A) Normalized expression of COL10A1 across bone marrow and articular cartilage cell types (n 1385 = 3 each). Mean normalized expressions are indicated in parentheses. Significance values were 1386 computed using DESeg2, BH-adjusted across all genes analyzed. (B) Log-normalized 1387 expression of CoIX module genes in each cell type (n = 3 each). Normalized gene-wise

1388 expressions were averaged prior to log-transformation with pseudocount of 1. Significance

1389 values were computed using the paired Wilcoxon signed-rank test on log-normalized counts. (C)

- 1390 Relative expression of collagen genes across cell samples (*n* = 3 each). Normalized expression
- 1391 values are scaled by rows. COL10A1 is indicated by a black box in the left column; genes

1392 contributing to the same parent collagen are indicated by same-color boxes; and genes which 1393 are the sole contributor to their parent collagen are indicated by gray boxes. Note that 1394 COL6A4P1, COL6A5, COL20A1, and COL23A1 were filtered out as "low-expression" genes. 1395 See Figure S7A for normalized (unscaled) expression of all collagen genes across cell types. 1396 (D) WGCNA module assignments for all collagens in each cancer dataset. See Table S2B for 1397 WGCNA module assignments for all genes. Black cells indicate the CoIX module for each 1398 column. "-" indicates low-expression genes which were filtered out; genes labeled "0" were not 1399 assigned to any module by WGCNA. See Figure S7B for normalized expression of all collagen 1400 genes across TCGA cohorts. 1401 1402 Figure 6: Tumoral proportions of osteoarthritic cell types trend with CoIX module 1403 expression and cancer-associated pathway activity. 1404 (A and B) Sample-wise absolute (top) and relative (bottom) proportions of 4 bone marrow and 1405 cartilage cell types inferred by CIBERSORTx for TCGA (A) breast and (B) pancreatic cancer 1406 cohorts. Color bars (*middle*) indicate relative cancer-specific ColX module expression; samples 1407 were ordered by increasing %G.A.M.E. See Methods section and Figure S3 for details on 1408 %G.A.M.E. metric. (C and D) Spearman correlations between relative cancer-specific ColX 1409 module expression and (C) absolute or (D) relative OA cell type proportions inferred by 1410 CIBERSORTx. Raw p-values were Bonferroni-corrected across rows. Significance values: *, p < 0.05; **, p < 0.01; ***, p < 0.001; ****, p < 0.0001; n.s., not significant. NCSC, normal cartilage 1411 1412 stromal cells; OA-MSC, osteoarthritis mesenchymal stromal cells; OAC, osteoarthritis 1413 chondrocytes; BMSC, bone marrow stromal cells. (E and F) Bubble plots of (E) MSigDB hallmark pathway gene sets⁵⁴ and (F) top significant GTRD transcription factor target gene 1414 1415 sets⁵⁵ in cell type-specific marker gene sets. Of note, the TFT gene set attributed to *IGLV5-37* in 1416 the GTRD database actually represents targets of the fusion oncoprotein SS18-SSX, as 1417 described in the text. See Methods section for definition of bone marrow and cartilage cell type-

- 1418 specific marker genes. Significance values: *, p.adj < 0.05; **, p.adj < 0.01; ***, p.adj < 0.001;
- 1419 ****, p.adj < 0.0001.
- 1420

1421 Figure 7: Pathological expression of COL10A1 fosters immunosuppressive, fibroblastic

- 1422 microenvironments in cancer, bone marrow, and cartilage.
- 1423 Graphical abstract summarizing the findings presented in this study. In brief, a specifically
- 1424 expressed collagen, COL10A1, connects the ECM and tissue microenvironments across cancer
- 1425 and bone. The pathological contributions of CoIX and its associated gene networks are
- 1426 especially prominent in breast and pancreatic tumors, and mimic the development of a similar
- 1427 inflammatory and fibroblast-dominated environment seen in bone marrow and cartilage changes
- 1428 in OA. A central outcome of this shared impact is the epithelial-to-mesenchymal transition
- 1429 (EMT), which contributes to disease progression in both contexts. All images were sourced from
- 1430 Bioicons (https://bioicons.com) and are licensed for public use by Servier
- 1431 (https://smart.servier.com) under CC-BY 3.0 (https://creativecommons.org/licenses/by/3.0) or by
- 1432 DBCLS (<u>https://togotv.dbcls.jp/en/pics.html</u>) under CC-BY 4.0
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- 1434

1435 SUPPLEMENTAL FIGURES

- 1436 Figure S1: TCGA ColX modules are preserved in cancer microarray datasets.
- 1437 (A and B) Module preservation scores (Z_{summary}) for all TCGA RNA-Seq-derived (A) breast and
- 1438 (B) pancreatic cancer WGCNA modules in comparably-sized microarray tumor datasets. ColX
- 1439 modules are indicated by (A) pink or (B) orange bars. Dotted lines indicate "high preservation"
- 1440 threshold of $Z_{summary}$ = 10 as defined by the authors of WGCNA.
- 1441

1442 Figure S2: TCGA WGCNA modules are enriched for numerous hallmark pathways.

- 1443 (A–D) Bubble plots of MSigDB hallmark pathway gene sets⁵⁴ enrichment in WGCNA modules
- 1444 from (A) breast cancer, (B) pancreatic cancer, (C) male pancreatic cancer, and (D) female
- 1445 pancreatic cancer cohorts. ColX modules for each dataset are indicated by bolded labels. See
- 1446 Table S4 for significance values and Figure 2D for CoIX module-specific enrichment results. (E
- 1447 and F) Overlap of EMT hallmark pathway genes within ColX WGCNA modules from TCGA (E)
- 1448 breast and pancreatic cancer and (F) gender-segregated pancreatic cancer datasets. Genes
- 1449 comprising each sector are listed alphabetically.
- 1450

Figure S3: The G.A.M.E. metric effectively proxies ColX module expression and improves sample stratification.

- 1453 (A–D) Relationship between ColX module eigengene (ME) expression and proportion of "Genes
- Above Median Expression" (G.A.M.E.) for (A) breast cancer, (B) pancreatic cancer, (C) male
- 1455 pancreatic cancer, and (D) female pancreatic cancer cohorts. Colored curves represent
- 1456 densities of ME (right) and G.A.M.E. (top) variables for each panel. Blue dotted lines indicate
- 1457 Jenks natural breakpoints defining 3 clusters ("low", "medium", and "high"). Spearman
- 1458 correlations (ρ) are shown for each panel.
- 1459

1460 Figure S4: TCGA WGCNA module expression tracks differential activity of immune,

1461 transcription factor, and cancer-associated fibroblast signatures.

1462 (A–P) Mean pathway activation (by QuSAGE) of various gene sets in samples with high module

- 1463 expression relative to samples with low module expression for each WGCNA module and TCGA
- 1464 cohort. (A–D) Results for cancer immunome genesets in (A) breast cancer, (B) pancreatic

1465 cancer, (C) male pancreatic cancer, and (D) female pancreatic cancer cohorts. Gene sets within

- 1466 each block are ordered by significance in respective CoIX module; see Figure 3B for focused
- 1467 comparison. (E–H) Results for transcription factor target lists in (E) breast cancer, (F) pancreatic

1468 cancer. (G) male pancreatic cancer, and (H) female pancreatic cancer cohorts. Gene sets within 1469 each block are ordered by effect size in respective CoIX module; see Figure 3C for focused 1470 comparison. (I-P) Results for cancer-associated fibroblast (CAF) gene sets derived from (I-L) 1471 breast tumor scRNA-Seg data and (M-P) pancreas/other tumor scRNA-Seg data, in (I and M) 1472 breast cancer, (J and N) pancreatic cancer, (K and O) male pancreatic cancer, and (L and P) 1473 female pancreatic cancer cohorts. Gene sets within each block are ordered by significance in 1474 respective CoIX module; see Figures 3D-E for focused comparison. See Methods section for 1475 sample grouping process (note the same procedure for calculating G.A.M.E. and assigning 1476 "low" and "high" labels was applied to each WGCNA module respectively). Significance values 1477 were corrected across each module individually (by rows). Significance values: *, p.adj < 0.05; **, p.adj < 0.01; ***, p.adj < 0.001; ****, p.adj < 0.0001. 1478

1479

1480 Figure S5: TCGA WGCNA module expression correlates with variable survival risk.

(A–D) BH-adjusted significance values for multivariate Cox proportional hazards models
conditioning overall survival (OS), disease-specific survival (DSS), disease-free interval (DFI), or
progression-free interval (PFI) on age, gender, binarized tumor stage, and module eigengene
(ME) expression for WGCNA modules from (A) breast cancer, (B) pancreatic cancer, (C) male
pancreatic cancer, and (D) female pancreatic cancer cohorts. ColX modules for each dataset
are indicated by bolded labels (see Figure 4B for focused ColX module results). Significance
values: *, p.adj < 0.05; **, p.adj < 0.01; ***, p.adj < 0.001; ****, p.adj < 0.001.

1488

1489 Figure S6: Osteoarthritis cell type-specific markers are enriched for ColX module genes.

1490 **(A–D)** Bubble plots of OA cell type-specific marker gene enrichment in WGCNA modules from

1491 (A) breast cancer, (B) pancreatic cancer, (C) male pancreatic cancer, and (D) female pancreatic

- 1492 cancer cohorts. ColX modules for each dataset are indicated by bolded labels. (E) Overlap of
- 1493 genes within breast and pancreatic cancer CoIX modules and OA cell type-specific gene sets.

1494	(F) Overlap of EMT pathway genes within breast and pancreatic cancer ColX modules and OA
1495	cell type-specific gene sets. Unlabeled sectors represent 0 gene overlap. NCSC is not shown as
1496	no NCSC-specific markers overlap with EMT pathway genes. NCSC, normal cartilage stromal
1497	cells; OA-MSC, osteoarthritis mesenchymal stromal cells; OAC, osteoarthritis chondrocytes;
1498	BMSC, bone marrow stromal cells.
1499	
1500	Figure S7: Collagen gene expression varies across bone and cartilage cell types and
1501	TCGA cohorts.
1502	(A) Normalized expression of all collagen genes expressed at nontrivial levels in bone marrow
1503	and cartilage cell types. Note that COL6A4P1, COL6A5, COL20A1, and COL23A1 were filtered
1504	out as "low-expression" genes and are omitted here. NCSC, normal cartilage stromal cells; OA-
1505	MSC, osteoarthritis mesenchymal stromal cells; OAC, osteoarthritis chondrocytes; BMSC, bone
1506	marrow stromal cells. (B) Normalized expression of all collagen genes expressed at nontrivial
1507	levels in TCGA cohorts. Note that COL6A4P1, COL6A5, COL20A1, and COL26A1 were filtered
1508	out as "low-expression" genes and are omitted here; additionally, COL2A1 was only nontrivially
1509	expressed in BRCA.
1510	
1511	SUPPLEMENTAL TABLES
1512	Table S1: Overview of cancer datasets used in this study.

1513 (A) Breast and pancreatic cancer datasets used in this study. (B) Citations of breast cancer

- 1514 GEO accessions used in this study.
- 1515
- 1516 **Table S2: Gene modules characterized in this study.**
- 1517 (A) WGCNA-generated COL10A1 (ColX) modules from TCGA breast and pancreatic cancer
- 1518 datasets, as well as gender-specific pancreatic cancer datasets. (B) WGCNA module
- 1519 assignments for all expressed genes in each dataset. Modules are numbered in descending

order of size, beginning with module 1. "-" indicates genes which were filtered out based on low
expression; genes labeled with a 0 were not assigned to any module by WGCNA.

1522

1523 **Table S3: Gene ontology and Reactome pathway enrichment analysis of ColX modules.**

1524 (A–E) Gene ontology pathway enrichment for (A) breast cancer, (B) pancreatic cancer, (C)

1525 overlap between breast and pancreatic cancer, **(D)** male pancreatic cancer, and **(E)** female

1526 pancreatic cancer ColX modules. (F–J) Reactome pathway enrichment for (F) breast cancer,

1527 (G) pancreatic cancer, (H) overlap between breast and pancreatic cancer, (I) male pancreatic

1528 cancer, and (J) female pancreatic cancer ColX modules. All significantly enriched Reactome

- 1529 pathways/GO terms (q < 0.05) are shown.
- 1530

1531 **Table S4: Hallmark pathway enrichment analysis of WGCNA modules.**

1532 (A–D) Hallmark pathway enrichment for (A) breast cancer, (B) pancreatic cancer, (C) male

1533 pancreatic cancer, and **(D)** female pancreatic cancer CoIX modules. All p-values shown were

1534 BH-corrected across all 50 hallmark pathways for each module. CoIX modules for each dataset

1535 (#8, #13, #7, and #23, respectively) are shown in the first column for clarity. Related to Figure

1536 <mark>S2A–D</mark>.

1537

1538 **Table S5: TFs implicated in ColX modules.**

1539 (A) GTRD TFTs enriched in BRCA and PAAD ColX modules. Cancer-relevant TF functions and

1540 overlapping targets are shown where applicable. (Related to Figure 2E.) (B) Selected QuSAGE-

- 1541 significant transcription factors whose targets are differentially activated/inactivated between
- 1542 tumors with high and low ColX module expression. Cancer/OA-relevant TF functions are shown

1543 where applicable. (Related to Figure 3C.)

1545 **Table S6: Differential expression of bone marrow and cartilage cell type-specific genes.**

- 1546 List of all genes defined as specific to normal cartilage stromal cells/NCSCs, OA mesenchymal
- 1547 stromal cells/OA-MSCs, OA chondrocytes/OACs, and bone marrow stromal cells/BMSCs,
- 1548 based on OA RNA-Seq data. Differential expression results were computed across all cells and
- 1549 statistics were extracted for each pairwise comparison. See Methods section for definition of
- 1550 "cell type-specific" genes.

BRCA

Α

B

WGCNA module

TCGA



PAAD







E EMT Hallmark Pathway Genes in ColX Modules





EMT Hallmark Pathway Genes in ColX Modules

PAAD (M) O PAAD (F)





D

PAAD (M)



С









Α



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module 42

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ColX module

PAAD



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PAAD (F)

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module 31

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module 31

module 41

module 42

PAAD



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+8

+6

+4

+2

0

-2

-4 -6

-8

signed -log(p.adj)

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signed -log(p.adj)

+6 signed -log(p.adj) +4 +2 0 -2 -4 -6 -8

+8




normalized RSEM (log₂)

