

## Heterogeneity of immune microenvironment in ovarian cancer and its clinical significance: a retrospective study

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### ABSTRACT

Treatment of ovarian cancer (OC) remains the biggest challenge among gynecological malignancies. Immune checkpoint blockade therapy is promising in many cancers but shows low response rates in OC because of its heterogeneity. Although the biological and molecular heterogeneity of OC has been extensively investigated, heterogeneity of immune microenvironment remains elusive. We have collected the expression profiles of 3071 OC patients from 22 publicly available datasets. CIBERSORT was applied to infer the infiltration fraction of 22 immune cells among 2086 patients with CIBERSORT  $P < .05$ . We then explored the heterogeneity landscape of immune microenvironment in OC at three levels (immune infiltration, prognostic relevance of immune infiltration, immune checkpoint expression patterns). Multivariable Cox regression model was used to investigate the associations between survival risk and immune infiltration. Constructed immune risk score stratified patients with significantly different survival risk (HR: 1.47, 95% CI: 1.31–1.66,  $P < .0001$ ). The immune infiltration landscape, prognostic relevance of immune cells, and expression patterns of 79 immune checkpoints exhibited remarkable clinicopathological heterogeneity. For instance, M1 macrophages were significantly associated with better outcomes among patients with high-grade, late-stage, type-II OC (HR: 0.77–0.83), and worse outcomes among patients with type-I OC (HR: 1.78); M2 macrophages were significantly associated with worse outcomes among patients with high-grade, type-II OC (HR: 1.14–1.17); Neutrophils were significantly associated with worse outcomes among patients with high-grade, late-stage, type-I OC (HR: 1.14–1.73). The heterogeneous landscape of immune microenvironment presented in this study provided new insights into prognostic prediction and tailored immunotherapy of OC.

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ovarian cancer; immune infiltration; prognosis; heterogeneity; immunotherapy

### Introduction


Treatment of ovarian cancer (OC) remains the biggest challenge for oncologists among gynecological malignancies.<sup>1,2</sup> Although the combination of surgery and chemotherapy is initially effective in most patients, it is often ineffective in eliminating residual lesions and preventing metastasis and recurrence of OC.<sup>2</sup> Immunotherapy has established itself as a promising therapeutic modality with its better specificity, long-term benefits, and fewer side effects, which is the ultimate goal of cancer treatment. Immune checkpoint blockade therapy has achieved an unprecedented therapeutic effect in many cancers such as melanoma and lung cancer.<sup>3,4</sup> However, the response rate of checkpoint blockade therapy is merely around 15% in OC, which is largely attributed to its extensive heterogeneity.<sup>3–5</sup> Even so, patients with OC who respond to immune checkpoint blockade therapy will achieve long-term benefits because successful immunotherapy could effectively clear tumor lesions, prevent recurrence of tiny lesions as a result of the immune surveillance and memory functions.<sup>5</sup> Thus, precisely identifying potential benefits in patients is

critical to improving the current plight of immunotherapy in the context of OC heterogeneity. Although the biological and molecular heterogeneity of OC has been extensively investigated through massive omics sequencing data,<sup>2</sup> the heterogeneity of immune microenvironment remains elusive.

Immune response was orchestrated between various immune cells and immune checkpoints. Accumulating evidence suggested that both the type and extent of immune infiltration correlate with responses to immunotherapy among patients with OC.<sup>6</sup> Immune cells (e.g., NK cells) might exercise different antitumor activities within the tumor depending on the FIGO stages of OC.<sup>7,8</sup> Moreover, different pathological grades of OC also presented with distinct patterns of immune cells (e.g., CD8 T cells) infiltration.<sup>9</sup> Given the heterogeneous nature of OC, a dualistic model (type-I and type-II tumors) was proposed to categorize various types of OC. These two types of OC exhibited quite distinct morphological and molecular characteristics and were recognized as completely distinct types of tumors. Type-I OC was characterized by resistance to chemotherapy,

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indolent progression, infrequent TP53 mutation, and good prognosis, while type-II OC seemed on the contrary.<sup>10</sup> Heterogeneity of immune infiltration is not only reflected in patients with different clinical characteristics but also closely related to the patients' epithelial to mesenchymal transition (EMT) status. Increasing evidence indicated that EMT played an essential role in mediating tumor metastasis, chemoresistance, and immune escape.<sup>11–13</sup> Furthermore, EMT has been reported to be associated with immune infiltration and expression of immune checkpoints.<sup>13,14</sup> However, ways in which immune infiltration and its prognostic relevance, as well as the expression patterns of immune checkpoints, differ by stage, grade, type, and EMT status of OC have not yet been systematically elucidated.

Moreover, previous studies have limitations regarding the immune infiltration of cancers. The experimental protocols to detect the immune infiltration lacked standardized assays and evaluation system. For example, the primary detection method, immunohistochemistry, has some limitations. First, it relies on restricted phenotypic biomarkers, and the judgment of staining intensity is subjective and poorly reproducible. Second, immunohistochemistry can only cover a minute subset of immune cells.<sup>7</sup> Last but not least, the impact of myeloid and erythroid cells on survival is not well studied. Therefore, it is of paramount importance to objectively decipher the full landscape of immune infiltration microenvironment in OC based on large patient cohorts.

In this study, we explored the immune infiltration based on CIBERSORT, which is a computational method to decipher the infiltration fractions of 22 immune cell types from tissue bulk mRNA profiles.<sup>15</sup> The present study enrolled large-scale expression profiles of 3071 patients with OC from 22 publicly available expression data sets. Then, we systematically investigated the heterogeneous landscape of immunological infiltration and its prognostic implications as well as the expression patterns of 79 immune checkpoints under different clinicopathological characteristics of OC patients. Finally, we reviewed the potential guidance of the heterogeneous immune microenvironment for prognostic prediction and immunotherapy for OC.

## Materials and methods

### Study design and participants

A systematic search of Gene Expression Omnibus (GEO), Array Express, The Cancer Genome Atlas (TCGA), and related literature was conducted to locate expression datasets of OC with samples >20. As a result, we collected OC samples from 22 publicly available data sets with survival information encompassing 19 databases from GEO, one from ArrayExpress, one from OV-AU, and one from TCGA (Table 1). The primary endpoint was overall survival (OS). OS refers to the date of diagnosis to death or last follow-up. Early stage was defined as FIGO stage I and stage II, and late stage was defined as FIGO stage III and stage IV.<sup>16,17</sup> Low grade includes grade I and grades I to II; high grade includes grades II and above. Optimal debulking indicates no evident disease or minimal residual disease ( $\leq 1$  cm); suboptimal

debulking indicates gross residual disease (>1 cm) or no operation.<sup>17</sup> Type-I tumors encompass endometrioid, clear cell, and seromucinous carcinomas, low-grade serous carcinomas, mucinous carcinomas, and malignant Brenner tumors; Type-II tumors comprise high-grade serous carcinomas, carcinosarcoma, undifferentiated carcinoma, and primary peritoneal carcinoma.<sup>10</sup> Samples without “overall survival information” or “overall survival” equal to 0, samples belong to “normal” or “benign tissues,” and GEO samples belong to TCGA were excluded, which resulted in a total cohort of 3071 samples from 22 datasets (Table 1, Table S1).

The use of datasets and the processing protocol was approved by the Ethical Committee of Tongji Medical College, Huazhong University of Science and Technology according to the principles of the Declaration of Helsinki.

### Data collection and preprocessing

Data collection and preprocessing followed the guideline of Ali et al.<sup>18</sup> and Shen et al.<sup>19</sup> 21 microarray datasets were normalized and scaled. To make RNA sequencing datasets OV-AU and GSE102073 suitable for the downstream linear modeling, we utilized voom<sup>20</sup> to transform the raw count into log<sub>2</sub>-counts per million and scale it. Then, we adopt ComBat to adjust the batch effects 1) between repetitions within each dataset; 2) between different datasets within the same platform; 3) between different platforms step by step. The detail of preprocessing and normalization procedure for each dataset and platform is listed in Table S2. As illustrated from Figure S1 PCA plot, patients across cohorts and platforms were mixed together, suggesting the batch effects were well eliminated.

### Deciphering the composition of infiltrating immune cells

Utilizing CIBERSORT computational tool, preprocessed mRNA expression data were used to infer the absolute fraction score of 22 subtypes of infiltrating immune cells. The immune cells consist of granulocytes and myeloid cells. CIBERSORT is a computational program based on support vector regression used to decipher the immune cell-type fractions from mixed cell-type tumor samples, which uses 547 gene signature values as a reference baseline.<sup>15</sup> The overlap between 547 genes in CIBERSORT L22 reference and genes in different platforms was shown in Figure S2.

In this study, the mRNA expression matrix was analyzed using CIBERSORT R script downloaded from “<http://cibersort.stanford.edu>,” with 1000 permutations, without quantile normalization, and “absolute” mode turned on. The LM22.txt signature matrix was used as input reference.

### Calculating prognostic risk score from infiltrating immune cell composition

Univariate Cox regression model implemented in R package “survival” was applied for the selection of prognostic associated infiltrating immune cells. We fit infiltrating immune cells absolute fraction to a survival regression model and obtained its prognostic risk score. To stratify patients into different prognostic risk groups, we set the mean value

**Table 1.** Baseline characteristics of datasets.

Datasets	Platform	Patients
TCGA	Affymetrix HT Human Genome U133a microarray/ AffyU133a	562
E-MTAB-386	Illumina HumanRef-8 v2 Expression BeadChip/A-MEXP-931	129
OV-AU	Illumina HiSeq Illumina TruSeq/Illumina HiSeq	93
GSE102073	Illumina HiSeq 2500 (Homo sapiens)/GPL16791	84
GSE49997	ABI Human Genome Survey Microarray Version 2/ GPL2986	194
GSE18520	[HG-U133_Plus_2] Affymetrix Human Genome U133 Plus 2.0 Array/GPL570	53
GSE19829	[HG-U133_Plus_2] Affymetrix Human Genome U133 Plus 2.0 Array/GPL570	28
GSE26193	[HG-U133_Plus_2] Affymetrix Human Genome U133 Plus 2.0 Array/GPL570	107
GSE30161	[HG-U133_Plus_2] Affymetrix Human Genome U133 Plus 2.0 Array/GPL570	58
GSE63885	[HG-U133_Plus_2] Affymetrix Human Genome U133 Plus 2.0 Array/GPL570	75
GSE9891	[HG-U133_Plus_2] Affymetrix Human Genome U133 Plus 2.0 Array/GPL570	276
GSE17260	Agilent-014850 Whole Human Genome Microarray 4 × 44 K G4112 F (Probe Name version)/GPL6480	110
GSE32062	Agilent-014850 Whole Human Genome Microarray 4 × 44 K G4112 F (Probe Name version)/GPL6480	260
GSE32063	Agilent-014850 Whole Human Genome Microarray 4 × 44 K G4112 F (Probe Name version)/GPL6480	40
GSE53963	Agilent-014850 Whole Human Genome Microarray 4 × 44 K G4112 F (Probe Name version)/GPL6480	160
GSE73614	Agilent-014850 Whole Human Genome Microarray 4 × 44 K G4112 F (Probe Name version)/GPL6480	106
GSE13876	Operon human v3 ~ 35 K 70-mer two-color oligonucleotide microarrays/GPL7759	157
GSE14764	[HG-U133A] Affymetrix Human Genome U133A Array/ GPL96	80
GSE23554	[HG-U133A] Affymetrix Human Genome U133A Array/ GPL96	28
GSE26712	[HG-U133A] Affymetrix Human Genome U133A Array/ GPL96	185
GSE3149	[HG-U133A] Affymetrix Human Genome U133A Array/ GPL96	116
GSE51088	Agilent-012097 Human 1A Microarray (V2) G4110B (Probe Name version)/GPL7264	128
GSE19829	[HG_U95Av2] Affymetrix Human Genome U95 Version 2 Array/GPL8300	42

1.486e-17 and standard deviation (SD) as 0.225 of the entire data set as the cutoff value. A patient was predicted to be at high risk if the prognostic risk score was greater than the cutoff value and vice versa.

### Patient stratification by EMT gene signature

Utilizing EMT signatures consisting of 77 genes,<sup>21</sup> EMT scores were calculated as the mean expression of the 25 “mesenchymal (M)” markers subtracted by the mean expression of the 52 “epithelial (E)” markers; a higher EMT score indicates a more mesenchymal phenotype. EMT signatures and calculation methods are described as before.<sup>21</sup> Here, we categorized the patient as “E” type if the EMT score was negative, and as “M” type if the score was positive.

### Survival analysis

Kaplan–Meier curve and univariate/multivariate Cox regression model implemented in R package “survival” were used to illustrate the difference in survival outcomes among different patient subgroups.  $P < .05$  was considered statistically

significant by the log-rank test; 95% confidence intervals (CIs) were reported if necessary.

### Prognostic interpretation of inferred immune cells

Associations between inferred proportions of immune cell types and survival among different patient cohorts were tested using multivariate Cox regression. Analyses were conducted separately for “low- and high-grade,” “early- and late-stage,” and “type-I and type-II” subgroups, with OS as the survival outcome. In order to derive smaller Hazard ratio (HR) values in a Cox model, the absolute immune cell fraction scores for each cell were classified into quantiles according to the infiltrating distribution landscape (Figure S3) and subsequently treated as category variables in the Cox model, where  $0\% \leq Q1$  (low)  $< 50\%$ , and  $50\% \leq Q2$  (high)  $\leq 100\%$ . In the multivariate Cox model, variables containing only a single quantile fraction were excluded.

### Differential expression of immunomodulators

Seventy-nine immunomodulators were collected from Thorsson et al.<sup>22</sup> Expression differences between “low-” and “high-risk,” “low-” and “high-grade,” “early-” and “late-stage,” “type-I” and “type-II,” “E” and “M” subgroups were conducted using “limma” for 2086 patients, respectively.

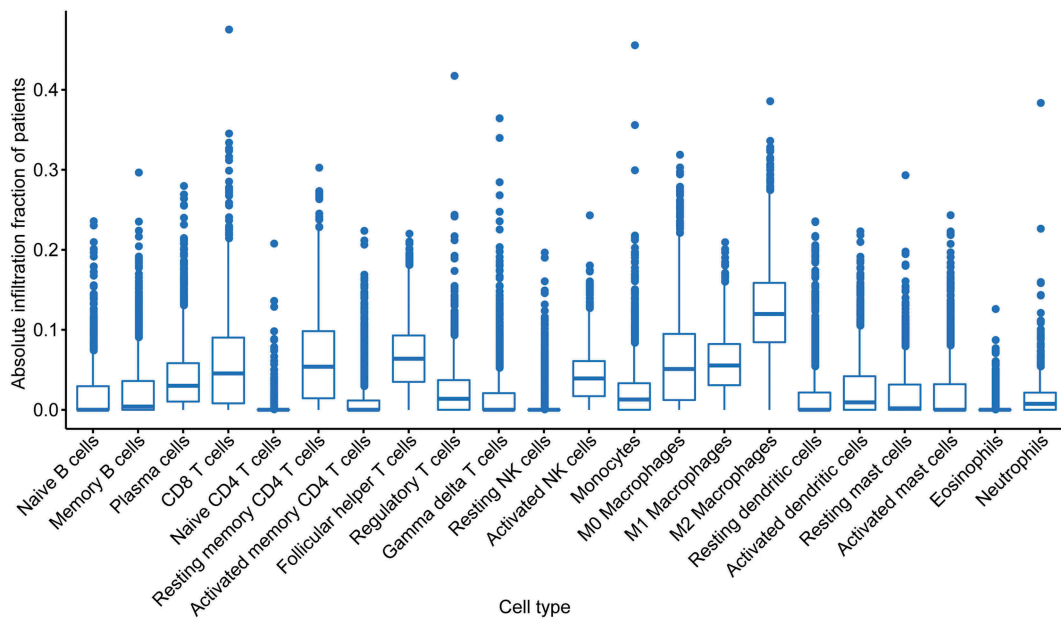
## Results

### Infiltration fraction overview of 22 immune cells across patients

The baseline characteristics of patients and datasets were summarized in Table S1 and Table 1, respectively. Patients in this study included various stages, grades, and pathological subtypes of OC. In order to understand the immune status of patients with OC, we first analyzed the infiltration fraction of immune cells. CIBERSORT derived a  $P$  value for each patient according to the deconvolution of infiltration fraction, and only patients with CIBERSORT  $P < .05$  were included in the main analysis. As a result, 985 patients with CIBERSORT  $P \geq 0.05$  were excluded from the total patients of 3071. Distinct infiltration patterns of 22 immune cell types among 2086 patients with CIBERSORT  $P < .05$  were shown in Figure 1. It could be seen that the infiltration fraction of immune cells varied across OC samples. We speculated that variations in immune infiltration might be an intrinsic characteristic representing individual immune microenvironment differences. To better interpret Figure 1, we showed the infiltration fraction of 22 immune cells in Table 2. In general, we found that M2 macrophages (12.28%), T follicular helper cells (6.60%), and resting memory CD4 T cells (6.31%) had the highest mean infiltration fraction, whereas naive CD4 T cells (0.12%), eosinophils (0.31%), and resting NK cells (0.66%) had the lowest infiltration fraction (Table 2).

### An immune risk model based on the fraction of 22 immune cells and patient outcome

To procure a prognostic implication of immune cell subtypes, we grouped the cells of 2086 CIBERSORT  $P < .05$  patients into



**Figure 1.** Absolute infiltration fraction overview of 22 immune cells across 2806 OC patients with CIBERSORT  $P$ -value  $<0.5$ . Each blue dot represents the infiltration fraction of certain immune cells in a patient. OC, ovarian cancer.

**Table 2.** Infiltration fraction of 22 immune cells among 2086 OC patients.

Cell type	Mean infiltration fraction	Cibersort $P$ value
Naive CD4 T cells	0.0012	$<0.05$
Eosinophils	0.0031	$<0.05$
Resting NK cells	0.0066	$<0.05$
Activated memory CD4 T cells	0.0128	$<0.05$
Neutrophils	0.0137	$<0.05$
Gamma delta T cells	0.0166	$<0.05$
Resting dendritic cells	0.0177	$<0.05$
Naive B cells	0.0188	$<0.05$
Resting mast cells	0.0191	$<0.05$
Activated mast cells	0.0207	$<0.05$
Regulatory T cells	0.0233	$<0.05$
Memory B cells	0.0240	$<0.05$
Monocytes	0.0243	$<0.05$
Activated dendritic cells	0.0263	$<0.05$
Plasma cells	0.0406	$<0.05$
Activated NK cells	0.0423	$<0.05$
CD8 T cells	0.0587	$<0.05$
M1 Macrophages	0.0590	$<0.05$
M0 Macrophages	0.0630	$<0.05$
Resting memory CD4 T cells	0.0631	$<0.05$
Follicular helper T cells	0.0660	$<0.05$
M2 Macrophages	0.1228	$<0.05$

OC, ovarian cancer.

quantiles according to the absolute immune cell fraction score and treated quantiles as category variables in subsequent analyses. Quantiles of the absolute infiltration proportion of each immune cell were computed for OS analysis. First, we calculated the survival risk score by fitting the absolute infiltration fraction into the survival regression model (Table S3). Then, we assigned patients whose risk score was larger than the mean value of  $1.486e-17$  (SD: 0.225) to the high-risk group and others to the low-risk group. Patients with higher survival risk score mean they would have worse outcomes and vice versa. The model robustly stratified patients with better (median OS: 55.0 months) and worse (median OS: 39.8 months) outcomes (HR: 1.47, 95% CI: 1.31–1.66,  $P < .0001$ ; Table S4, Figure 2a, b). Risk stratification remained statistically significant after adjusting for confounding

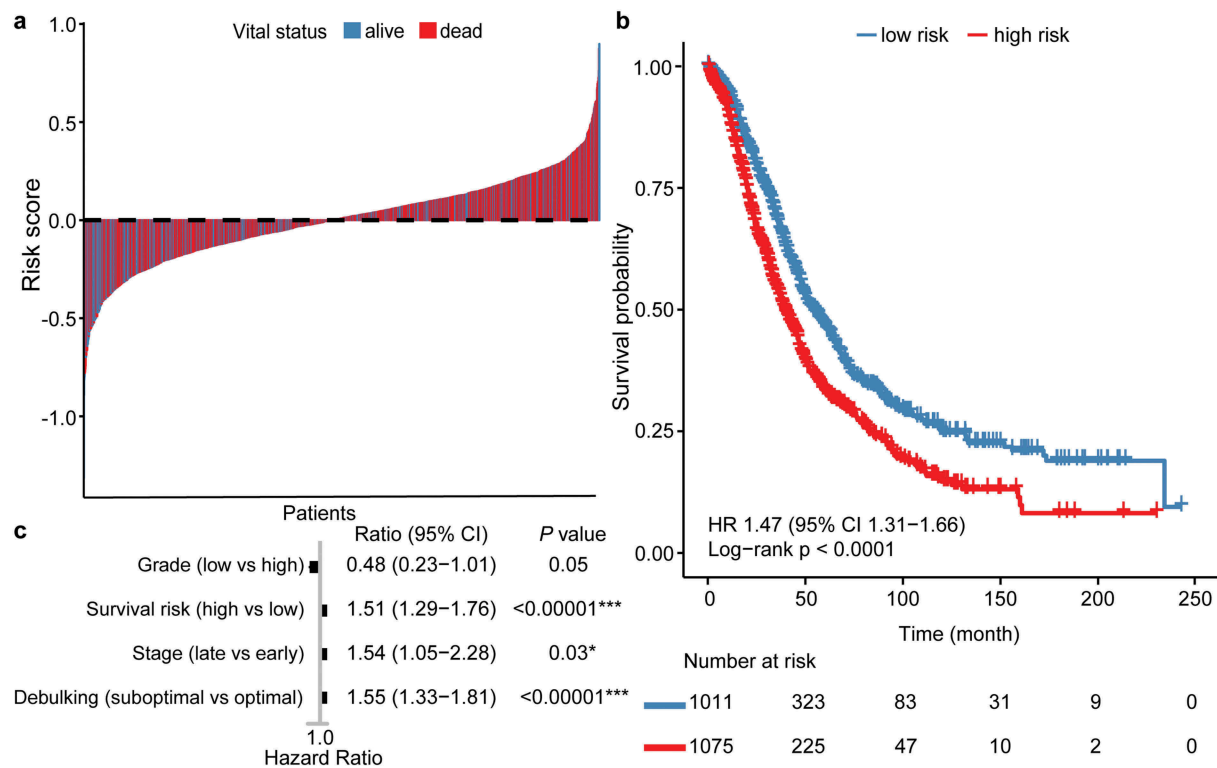
factors such as grade, stage, and debulking status (HR: 1.51, 95% CI: 1.29–1.76,  $P < .00001$ ; Figure 2c).

### **Infiltration fraction of 22 immune cells across clinicopathological subgroups of patients**

Next, we analyzed the infiltration fraction of immune infiltration with clinicopathological characteristics. As shown in Table 3, the infiltration fraction of 22 immune cells varied significantly across different clinicopathological (grade, stage, type, survival risk, and EMT) subgroups of patients. The number of immune cell types with significant infiltration differences was most among patients with different survival risks and EMT status (Table 3). Notably, the infiltration fraction of the most infiltrating M2 macrophages was 10.20% among patients with low survival risk versus 14.25% among patients with high survival risk ( $P = 3.60e-61$ ), and 11.54% among patients with epithelial type versus 12.94% among patients with mesenchymal type ( $P = 4.50e-08$ ). There was no statistical difference in the infiltration of M2 macrophages among other patient subgroups.

### **Prognostic relevance of immune cells under distinct clinicopathological characteristics**

Long-term clinical practice showed that clinicopathological characteristics such as grade, stage, and type status might affect the prognosis of OC. Our data in Table S4 also confirmed the different OS among patients with distinct grades, stages, and types. Given prognostic differences across these patient subgroups, we tried to explore the prognostic relevance of a particular immune cell type and how these associations varied by clinical characteristics. Prognostic relevant immune cells varied among OC patients with distinct clinicopathological



**Figure 2.** Patient stratification by immune infiltration derived prognostic immune score. (a) Risk score landscape among dead and alive OC patients, the dashed line denotes the mean. Patients with risk score larger than mean are categorized as “high risk,” otherwise “low risk.” (b, c) Kaplan–Meier and Cox survival analysis of “low-risk” and “high-risk” groups with OS as endpoint. OC, ovarian cancer. OS, overall survival.

characteristics (Figure 3). Among patients with high-grade OC, M1 macrophages (HR: 0.83, 95% CI: 0.72–0.95,  $P = .008$ ) were significantly associated with better outcomes, whereas M2 macrophages (HR: 1.14, 95% CI: 1.00–1.30,  $P = .04$ ) and neutrophils (HR: 1.16, 95% CI: 1.02–1.32,  $P = .02$ ) were significantly associated with worse outcomes (Figure S4a). Among patients with low-grade OC, activated dendritic cells (HR: 0.20, 95% CI: 0.04–0.96,  $P = .04$ ) were significantly associated with better outcomes, whereas follicular helper T cells (HR: 9.20, 95% CI: 1.70–49.76) were significantly associated with worse outcomes (Figure S4b).

Among patients with late-stage OC, M1 macrophages (HR: 0.80, 95% CI: 0.70–0.92,  $P = .001$ ) were significantly associated with better outcomes, whereas neutrophils (HR: 1.14, 95% CI: 1.00–1.30,  $P = .04$ ) were associated with worse outcomes (Figure S5a). Among patients with early-stage OC, none immune cell was significantly associated with patient outcome (Figure S5b). Among patients with type-II OC, M1 macrophages (HR: 0.77, 95% CI: 0.66–0.90,  $P = 9e-04$ ) were significantly associated with better outcomes, whereas M2 macrophages (HR: 1.17, 95% CI: 1.01–1.35,  $P = .03$ ) were significantly associated with worse outcomes (Figure S6a). In contrast, among patients with type-I OC, no immune cells were found to be significantly associated with better outcomes, whereas neutrophils (HR: 1.73, 95% CI: 1.03–2.93,  $P = .04$ ) and M1 macrophages (HR: 1.78, 95% CI: 1.02–3.10,  $P = .04$ ) were significantly associated with worse outcomes (Figure S6b).

In general, M1 macrophages appeared to have opposite effects on the prognosis of OC patients with different types.

Follicular helper T cells had the greatest negative effect on prognosis (HR: 9.20,  $P = .01$ ) in patients with low-grade OC among all prognostic relevant immune cells.

### Correlation between EMT and immune infiltration

Considering the potential effect of EMT on patients’ prognosis and immune escape in OC, we further explored the correlation of EMT between prognostic risk score and 22 immune cells. There was a significant positive correlation between EMT and survival risk score ( $R = 0.16$ ,  $P = 5.7e-13$ , Figure 4a). In total, 16 of the 22 immune cells showed a significant correlation with EMT. Eleven immune cell types were positively correlated with EMT, and five were negatively correlated (Figure 4 and Figure S7). M2 macrophages showed the strongest positive correlation ( $R = 0.14$ ,  $P = 6.3e-11$ ) with EMT, whereas Tregs showed the strongest negative correlation ( $R = -0.18$ ,  $P < 2.2e-16$ ) with EMT among all 16 cell types (Figure 4b, c).

### Expression patterns of immune checkpoints varied by clinicopathological status

Only by understanding the prognostic relevance of certain immune cell types under the influence of clinical and molecular characteristics can we specifically target immune cells. Immune checkpoint blockade therapy provides therapeutic targets for particular immune cells. However, the mechanism by which expression of immune checkpoint varied by clinicopathological characteristics remained unclear. Therefore, we

**Table 3.** Differential infiltration of immune cells across patient subgroups.

Cohort	Cell type	Infiltration fraction	P value
Survival risk (low vs high)	M2 Macrophages	0.1020 vs 0.1425	3.60e-61
Survival risk (low vs high)	M1 Macrophages	0.0716 vs 0.0471	2.90e-54
Survival risk (low vs high)	Follicular helper T cells	0.0785 vs 0.0543	9.00e-42
Survival risk (low vs high)	Activated memory CD4 T cells	0.0198 vs 0.0062	1.70e-28
Survival risk (low vs high)	Resting memory CD4 T cells	0.0509 vs 0.0745	1.00e-23
Survival risk (low vs high)	Monocytes	0.0316 vs 0.0174	1.60e-20
Survival risk (low vs high)	Naive B cells	0.0132 vs 0.0241	4.30e-17
Survival risk (low vs high)	Resting dendritic cells	0.0238 vs 0.0120	8.30e-15
Survival risk (low vs high)	Neutrophils	0.0104 vs 0.0169	4.50e-14
Survival risk (low vs high)	Gamma delta T cells	0.0112 vs 0.0216	2.80e-13
Survival risk (low vs high)	Eosinophils	0.0016 vs 0.0044	9.90e-13
Survival risk (low vs high)	M0 Macrophages	0.0724 vs 0.0541	1.80e-12
Survival risk (low vs high)	Regulatory T cells	0.0278 vs 0.0191	5.50e-11
Survival risk (low vs high)	Activated mast cells	0.0166 vs 0.0246	1.30e-07
Survival risk (low vs high)	Naive CD4 T cells	0.0022 vs 0.0002	4.60e-06
Survival risk (low vs high)	Resting NK cells	0.0084 vs 0.0050	3.40e-05
Survival risk (low vs high)	CD8 T cells	0.0633 vs 0.0543	0.0043
Survival risk (low vs high)	Resting mast cells	0.0177 vs 0.0205	0.024
Grade (low vs high)	M1 Macrophages	0.0392 vs 0.0600	2.40e-06
Grade (low vs high)	Follicular helper T cells	0.0474 vs 0.0675	1.90e-05
Grade (low vs high)	Activated NK cells	0.0346 vs 0.0421	0.030
Grade (low vs high)	Resting memory CD4 T cells	0.0783 vs 0.0623	0.049
Stage (early vs late)	Activated mast cells	0.0136 vs 0.0215	0.00012
Stage (early vs late)	Activated NK cells	0.0367 vs 0.0428	0.0082
Stage (early vs late)	Activated memory CD4 T cells	0.0191 vs 0.0122	0.011
Type (I vs II)	M1 Macrophages	0.0456 vs 0.0606	7.60e-08
Type (I vs II)	Follicular helper T cells	0.0586 vs 0.0680	0.0015
Type (I vs II)	Activated NK cells	0.0375 vs 0.0426	0.021
Type (I vs II)	Activated dendritic cells	0.0221 vs 0.0276	0.024
Status (E vs M)	Regulatory T cells	0.0282 vs 0.0190	1.20e-11
Status (E vs M)	Resting mast cells	0.0151 vs 0.0227	4.00e-10
Status (E vs M)	Activated dendritic cells	0.0314 vs 0.0217	1.90e-09
Status (E vs M)	M2 Macrophages	0.1154 vs 0.1294	4.50e-08
Status (E vs M)	Resting memory CD4 T cells	0.0563 vs 0.0690	9.30e-08
Status (E vs M)	Follicular helper T cells	0.0694 vs 0.0630	0.00041
Status (E vs M)	Naive B cells	0.0164 vs 0.0210	0.00061
Status (E vs M)	Gamma delta T cells	0.0139 vs 0.0189	0.00062
Status (E vs M)	Resting NK cells	0.0079 vs 0.0055	0.0041
Status (E vs M)	Eosinophils	0.0026 vs 0.0035	0.012
Status (E vs M)	Memory B cells	0.0261 vs 0.0222	0.015
Status (E vs M)	Monocytes	0.0226 vs 0.0258	0.031

Only immune cells with significantly differential infiltration between patient subgroups were shown.

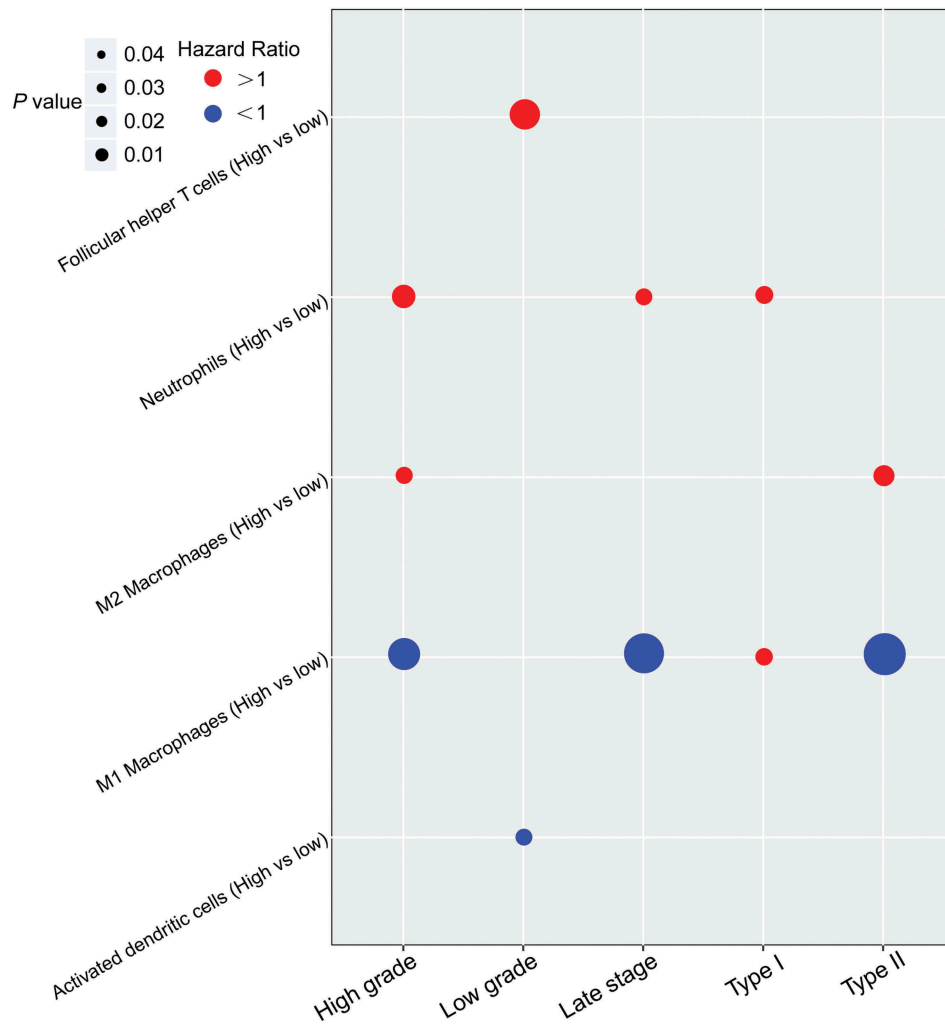
examined the expression patterns of crucial immunomodulators across 2086 patients. A total of 79 immunomodulators involved in the analysis encompassed sets of stimulators, inhibitors, ligands, receptors, cell adhesion, antigen presentation, and other modulators. The differential expression of these immunomodulators varied significantly across five (survival risk, type, stage, grade, and EMT status) patient subgroups (Figure 5). The most differentially expressed immune checkpoints between the cohorts included CXCL10, VEGFA, HLADRB1, and HLADRB5. IFNG and TNFSF9 were differentially expressed across all five subgroups. The latest identified immune checkpoint inhibitor FGL1,<sup>23</sup> as a major immune inhibitory ligand of LAG-3, demonstrated different expression levels only in patient with different pathological grades. In general, expression levels of immunosuppressors were higher, and expression levels of stimulators were much lower among patients (high-risk, type II, late-stage, high-grade, and M-type) with worse outcomes (Figure 5, Table S4).

## Discussion

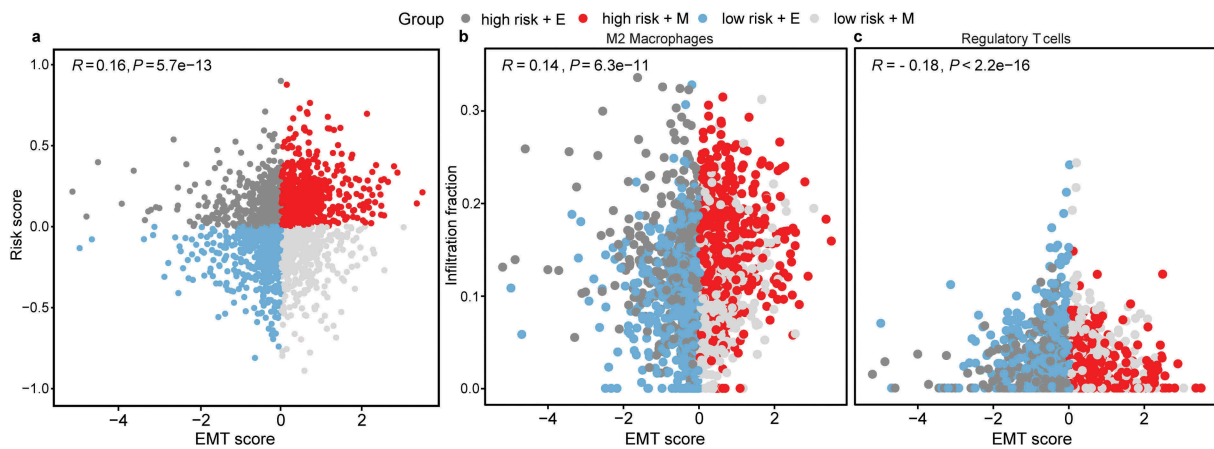
Based on the tissue bulk expression profiles of 3071 patients with OC from 22 cohorts, we uncovered the diverse infiltration patterns of 22 immune cells across 2086 patients with CIBESORT  $P < .05$ . The heterogeneous prognostic implications of immune

infiltration and the expression patterns of immune checkpoints under different clinicopathological characteristics were also revealed. To our knowledge, the present study was the most extensive report to investigate the heterogeneity of immune microenvironment in OC to date. The immune microenvironment has always been intricate; our findings provided the possibility to understand the low response rate of immunotherapy in OC and yielded new insights into the prognostic prediction and tailored immunotherapy for patients with OC.

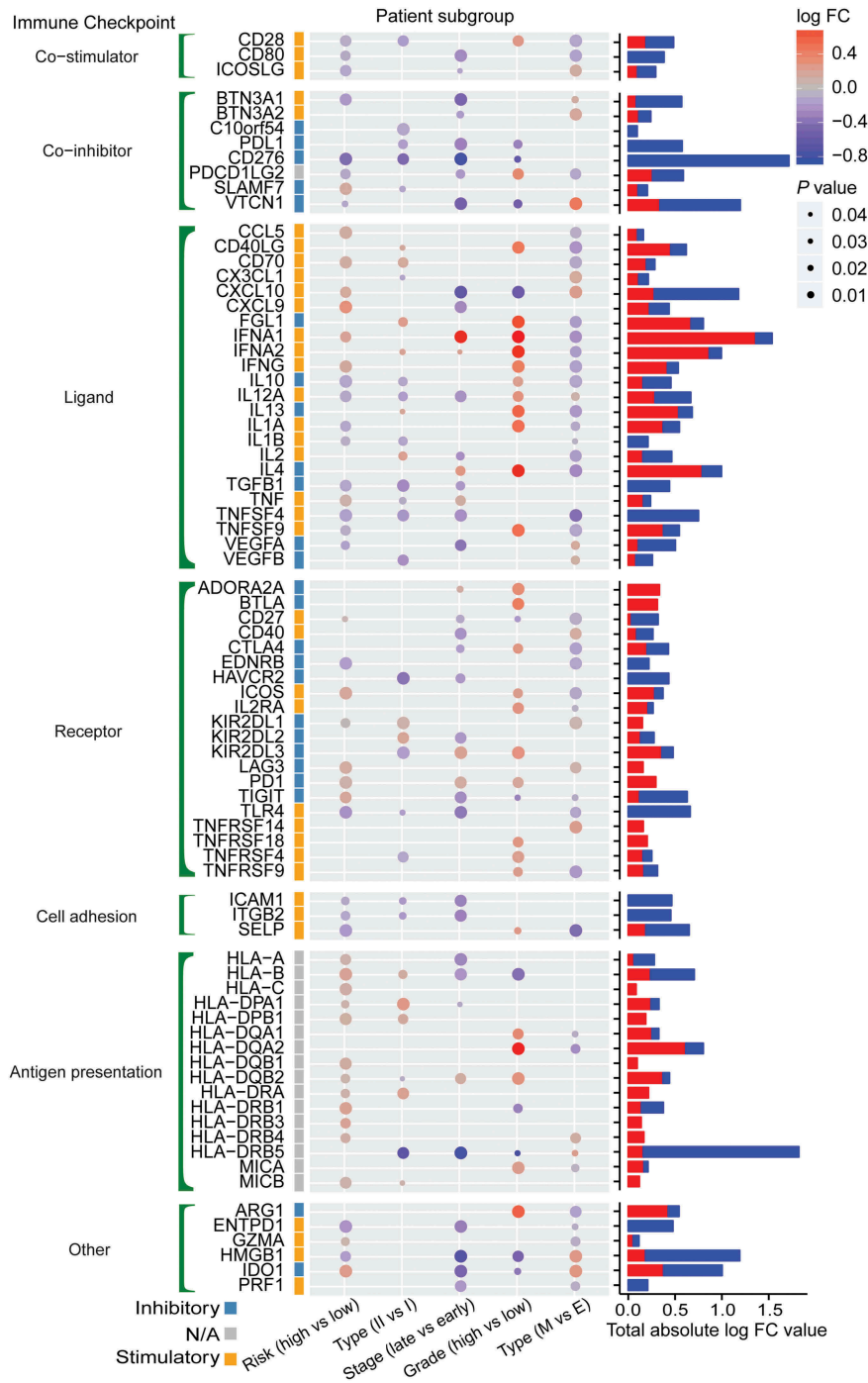
First, we constructed an immune risk model to stratify OC patients with different survival risks, which could complement the prognostic value of the existing FIGO staging. For patients with low survival risk indicated by the immune risk model, conventional treatments may be sufficiently effective for most patients. However, for patients with a higher survival risk, a combination of surgery and chemotherapy is often insufficient to inhibit disease progression, and hence, prognosis prediction based on this risk model for these patients is more clinically instructive. This unbiased model included various clinicopathological features of patients with OC in the context of heterogeneity. So far, many prognostic models based on expression profiles have been developed for OC.<sup>19</sup> In this study, we applied the state-of-the-art tool CIBESORT and enrolled large heterogeneous patient cohorts to explore the association between immune infiltration and prognosis; thus, our model was universal.



**Figure 3.** Hazard ratio of immune infiltration level in patients with different grades, stages, and types of OC, respectively, with multivariate cox as a model. Twenty-two immune cell infiltration quarantine level as variables, and OS as the endpoint. Only significant results were presented and thus data of patients with early-stage OC were not shown. The absolute infiltration fraction for each immune cell was grouped by median, where  $0\% \leq Q1$  (low)  $< 50\%$ , and  $50\% \leq Q2$  (high)  $\leq 100\%$ . OC, ovarian cancer.



**Figure 4.** Correlations between EMT and immune infiltration. The Pearson correlation between EMT score and (a) immune risk score ( $R = 0.16$ ,  $P = 5.7e-13$ ), (b) M2 macrophages ( $R = 0.14$ ,  $P = 6.3e-11$ ), and (c) regulatory T cells ( $R = -0.18$ ,  $P < 2.2e-16$ ), respectively. “High risk” and “E” OC were colored with “dark gray,” “high risk” and “M” OC were colored with “red,” “low risk” and “E” OC were colored with “blue,” “low risk” and “M” OC were colored with “light gray.” OC, ovarian cancer. E, epithelial. M, mesenchymal.



**Figure 5.** Differential expression patterns of 79 immunoregulators across patient subgroups with “high-risk” against “low-risk,” “type-II” against “type-I,” “late-stage” against “early-stage,” “high-grade” against “low-grade,” and “M-type” and “E-type” OC, respectively. The right histogram sums up the total “up” (blue) and “down” (red) absolute log FC values of each immunomodulator. OC, ovarian cancer. E, epithelial. M, mesenchymal.

This study showed several interesting findings regarding the prognostic relevance of several well-known immune cells (e.g., M1 macrophages, CD8 T cells, and Tregs). M1 macrophages and CD8 T cells have been reported to be associated with better outcomes, whereas Tregs associated with worse outcomes in OC.<sup>9,24,25</sup> Our study indicated that M1 macrophages were associated with better outcomes among patients with high-grade, late-stage, and type-II OC, while associated with worse outcomes among patients with type-I OC. Abdullah et al. observed two different phenotypes within M1

macrophages.<sup>26</sup> Furthermore, Cho et al. proved M1 macrophages augmented ovarian cancer cell metastasis through activation of NF-κB.<sup>27</sup> Therefore, our study, together with previous studies, suggested the heterogeneous effects of M1 macrophages on the prognosis of patients with OC.

However, CD8 T cells and Tregs cells were not found to be associated with prognosis in any patient subgroup in this study. Although Tregs were well known to be associated with poor prognosis, a meta-study of 800 patients with OC found no association between Tregs and patient outcomes.<sup>28</sup>



These seemingly contradictory findings may be attributable to the following three aspects. On the one hand, from the perspective of immune checkpoints, the different prognostic impact of immune cells across patient subgroups may be attributed to the immunosuppressive tumor microenvironment. On the other hand, there may be functional subtypes of immune cells that could not yet be identified by CIBERSORT.<sup>29</sup> For instance, exhausted CD8 T cells characterized by the immunosuppressive hallmarks of PD1, LAG3, and IL10 showed antitumor activity dysfunction in many cancers.<sup>30,31</sup> Last but not least, functional heterogeneity may also result from different histological localization of immune cells in OC.<sup>32</sup> Goode et al. reported the positive prognostic role of CD8 T cells among patients with high-grade serous, endometrioid, and mucinous ovarian cancer but not clear cell and low-grade serous ovarian cancer.<sup>9</sup> However, they only considered CD8 T cells in the intraepithelial component of the tumor. In this study, the prognostic relevance of immune infiltrates we discussed was the combined effects of intraepithelial and stromal immune cells.

Furthermore, findings of the heterogeneous immune microenvironment revealed in this study also provided instructive immunotherapeutic strategies. In general, the present study revealed that among all investigated immune cells, M2 macrophages (12.28%) were the ones with the largest infiltration fraction, whereas that of activated NK cells was only 4.23%. OC has been deemed as “cold tumor.”<sup>33</sup> Exploring effective means to turn the “cold” to “hot” cancer is a topic, such as how to increase the infiltration of antitumor NK cells. Among all patients, the high-grade subgroup had the most prognostic relevant immune cells, which meant that patients in this group would have more targeted options in immunotherapy.

Previous studies indicated that activated M1 macrophages had antitumor properties, whereas alternatively activated M2 macrophages had pro-tumoral properties. Given the plasticity and opposite prognostic effect of M1 macrophages on OC patients, the phenotype reprogramming of M1 into M2 macrophages would be an important direction of immunotherapy in patients with type-I OC. The present study revealed that among all investigated immune cells, M2 macrophages (12.28%) were the ones with the largest infiltration fraction. Omentum and ascites are specific mediators of OC metastasis, and macrophages account for more than 60% of the total cells in these areas,<sup>34</sup> which is consistent with the conclusion of this study. Neutrophils also reported promoting the formation of premetastatic omental niche in OC.<sup>35</sup> Thus, blocking the influx of neutrophils and macrophages might be an effective way to inhibit the abdominal metastasis of OC.

Transcoelomic spread and resistance to chemotherapy were essential hallmarks of refractory OC where EMT played an important role.<sup>14</sup> Macrophages and dendritic cells were reported to regulate the process of EMT through immunosuppressive TGF $\beta$ ,<sup>36,37</sup> which bridge the interplay between immune cells, immune checkpoints, and EMT. Furthermore, plasticity was a hallmark of macrophages. Therefore, plastic process of EMT lies at the core of regulatory networks composed of immune cells and immune checkpoints. Considering the extensive association between immune cells, EMT, and immune

checkpoints, our findings suggest that multipronged approaches may be more effective for future immunotherapy. Moreover, differential expression patterns revealed that inhibitory checkpoint targets, such as PD1, might be more effective among patients with high-risk, late-stage, and high-grade OC than patients with low-risk, early-stage, and low-grade OC. Another strategy was to relieve the inhibition of immune cells such as exhausted CD8 T cells by immune checkpoints such as PD1, IL-10, LAG3 and restore their antitumor immunity.

The study on the heterogeneity of the immune microenvironment of ovarian cancer by introducing the unbiased tool CIBERSORT and the large number of heterogeneous patient samples provides us several inspirations in the future. First, to explore the immune microenvironment of specific tissue locations, we need to purify different parts of the tumor tissue with the help of microdissection, because the prognostic impact of immune cells varied depending on their location, such as Tregs.<sup>38</sup> Second, to explore the interaction between immune cells and between immune checkpoints, we will explore the interaction network at the level of the entire immune system. Third, considering the important role of chemotherapy in the current treatment of OC, which could increase neoantigen and immune infiltration,<sup>39</sup> the modification of the immune microenvironment needs to take into account by the multi-pronged combined therapy strategy.

This multicenter, large cohort study showed the extensive heterogeneity of immune cell infiltration, prognostic relevance of immune infiltration, and immune checkpoint expression in OC. The heterogeneous immune microenvironment might yield new insights into the dilemma of immunotherapy in OC and help offer guidance for the prognostic prediction and tailored immunotherapy of OC.

## Abbreviations

CI	Confidence interval
HR	Hazard ratio
EMT	Epithelial to mesenchymal transition
FIGO	Fédération Internationale de Gynécologie et d'Obstétrique
GEO	Gene Expression Omnibus
E	Epithelial
M	Mesenchymal
OC	Ovarian cancer
OS	overall survival
Tregs	Regulatory T cell
mRNA	messenger RNA

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## Authors' contributions

Yue Gao designed the study. Lingxi Chen carried out data analyses. Yue Gao and Lingxi Chen drafted the article. Qinglei Gao and Shuaicheng Li critically revised the manuscript. All authors approved the final submitted manuscript.

## Availability of data and materials

GEO data sets were available at GEO repository (<https://www.ncbi.nlm.nih.gov/geo/>); TCGA data set was available at UCSC Xena (<https://xenabrowser.net/datapages/>); clinicopathological information of patients was available in Table S1.

## Disclosure of interest

The authors report no conflict of interest.

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## Ethics approval

This study was performed in agreement with the Declaration of Helsinki and approved by the local institutional ethical committee of Huazhong University of Science and Technology.

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