# SHORT REPORT

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# HOVON 104, long-term follow-up of bortezomib-dexamethasone induction therapy followed by autologous stem cell transplantation in newly diagnosed AL amyloidosis patients

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# Abstract

The HOVON 104 studied bortezomib-dexamethasone induction therapy and autologous stem cell transplantation in 50 patients, of whom 35 received an autologous stem cell transplantation (ASCT). We demonstrate a 5-year overall survival (OS) of 73% and progression-free survival (PFS) of 52% for all 50 patients with a median follow-up of 61.3 months. For the 35 transplanted patients, calculated from the date of ASCT, the 5-year OS and PFS were 91% and 68%, respectively. After ASCT, the rate of organ response improved over time but stabilized around 3 years. A complete cardiac response was seen in around 60% of patients and remained stable from 2 years onward. Reaching complete renal response was slower over time and achieved by 61% of the renal-affected patients at 5 years. We confirm the excellent outcomes after ASCT and demonstrate a 60% complete organ response with longer follow-up.

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Autologous stem cell transplantation (ASCT) is known for its excellent outcome in a selected group of AL amyloidosis patients [1]. Few data exist on long-term outcomes, especially for organ response, when bortezomib induction therapy is applied before ASCT. The international HOVON 104 study enrolled 50 patients with newly diagnosed AL amyloidosis between 2012 and 2016 [2]. Here, we report the longterm follow-up (LTFU) with a special focus on progression-free survival (PFS), overall survival (OS), and the recently defined graded organ responses, such as complete organ response [3, 4]. PFS was defined as hematological progression or death from any cause, whichever came first.

Treatment consisted of four cycles of bortezomib 1.3 mg/m<sup>2</sup> s.c. on days 1, 4, 8, and 11, and dexamethasone 20 mg p.o. on days 1, 2, 4, 5, 8, 9, 11, and 12, in a 21-day cycle followed by high dose melphalan (200 mg/m<sup>2</sup>) and ASCT [2]. Data on medical history, physical examination, NT proBNP, alkaline phosphatase, creatinine, free light chain (FLC) levels, M-protein, and 24-h urine analysis were collected every 3 months until 5 years after registration. Fifty patients were included, median age was 59 years (range 51–63) and 28% had  $\geq$  10% plasma cell bone marrow infiltration. As published previously, there was no relation between depth of response or survival between patients with less or more than 10% bone marrow infiltration [2]. Mayo classification was 32% class I, 32% II, and 34% IIIa, and renal staging was 40% stage I, 50% II, and 10% III. Two or more organs were involved in 72% of patients and  $\geq$  3 organs in 38%. Hematological very good partial response (VGPR) and complete response (CR) rates after induction were 38% and 20%, respectively. Thirty-five patients received ASCT and one year thereafter the VGPR rate was 21% and the CR rate was 56% [2]. Fifteen patients were not transplanted mainly due to clinical deterioration during induction therapy.

In the current analysis, the median follow-up was 61.3 months (range 55.8–71.6 months). Intention to treat (ITT) analysis demonstrated a 5-year OS of 73% (95% confidence interval [CI] 59%–84%) (Figure 1). In total 13 patients died, with eight additional deaths after the first publication. One patient, with a VGPR as the best response, subsequently progressed and succumbed to plasma cell leukemia. The remaining seven patients died due to complications related to AL amyloidosis and treatment. Five of these patients had a partial response (PR) as the best response during protocol treatment. The 5-year ITT hematological PFS was 52% (95% CI 38%–65%) (Figure 1).

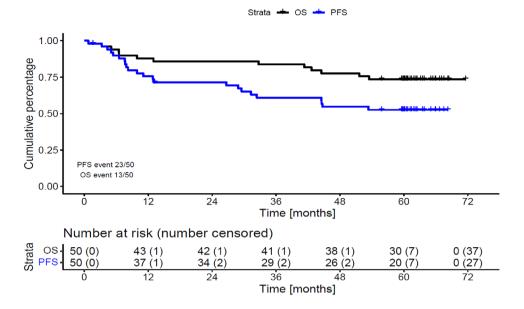
In a landmark analysis, calculated from the date of ASCT, the 5-year OS, and PFS were 91% (95% CI 76%–97%) and 68% (95% CI 50%–81%), respectively (Figure S1). Having a CR after induction therapy and before ASCT was not significantly associated with the duration of OS or PFS, but the 5-year PFS of 88% in the CR patients and 63% in the non-CR patients may suggest a trend. The median OS of the 15 not transplanted patients was 32.7 months (95% CI 5.03 months–NR)

(Figure S2). Of the seven patients with flow cytometry performed at 6 months post-ASCT, six were minimal residual disease (MRD) negative, and five of those remained in CR during LTFU.

Cardiac involvement was diagnosed in 33 patients (66%) of whom 23 received an ASCT. Forty-one patients (82%) had renal involvement of whom 29 received an ASCT. After ASCT, the number of patients with the best achieved cardiac response improved slightly from 78% to 91% (21/23 patients), and the best renal response from 69% to 79% (23/29 patients) [5]. Organ responses over time for all patients, at fixed time points, were captured with Sankey plots for the heart (Figure 2A) and kidney (Figure 2B). Total cardiac responses stabilized at 3 years after registration (green bars). In a recent publication, complete cardiac responses were defined as an NT proBNP level  $\leq$  350 pg/mL [4]. From the 2-year evaluation point onward between 59-65% of the cardiacresponding patients had a complete cardiac response, which did not improve further with longer FU. Total renal responses also stabilized at 3 years, but there was continuous improvement in the complete renal response rate, defined as proteinuria  $\leq 200 \text{ mg}/24 \text{ h}$  [3]. At 2 years, four patients (21%) had a complete renal response and this increased to 50%, 56%, and 61% at 3, 4, and 5 years, respectively. Although no data on dialysis was collected, in six of the total of 50 patients creatinine clearance worsened to  $\leq 15 \text{ ml/min}/1.73 \text{ m}^2$ .

Sixteen of the 50 patients have received additional treatments with a median time to the next treatment, calculated from the start of the first treatment, of 14 months (range 3–55 months). Second-line treatment was heterogeneous and consisted of a second ASCT (4 pts), lenalidomide based (6 pts), bortezomib re-treatment (4 pts), daratumumab (2 pts), oral cyclophosphamide (1 pt) and pomalidomide-dexamethasone (1 pt). Response to second-line treatment was not captured.

This LTFU analysis confirms the excellent outcome for patients receiving induction therapy followed by ASCT with a 5-year PFS of 68% and OS of 91% after ASCT and for all 50 patients a 5-year PFS of 52% and OS of 73%. Compared to the HOVON 41 study, another community, multi-center, prospective AL amyloidosis study with vincristine-doxorubicin-dexamethasone-based induction therapy, which demonstrated a 5-year OS of 74% after ASCT, our results show that nowadays, with improved induction regimens, relapse treatment options, and better supportive care, the long-term survival after ASCT can still improve [6]. This is in concordance with other contemporary analyses of retrospective datasets such as the CIBMTR analysis of 294 patients receiving bortezomib induction, reporting a 2-year OS calculated from the date of ASCT of 92% (range 88-95%) and a single center Chinese analysis of 124 patients, reporting a 5 year estimated OS of 81% [7, 8]. The Boston study reported an ITT OS analysis with bortezomib induction and ASCT of 80% compared to 73% in our study.[9]



**FIGURE 1** Overall survival and progression-free survival of a total cohort of 50 patients. OS: overall survival, PFS: progression-free survival. Median follow-up was 61.3 months (range 55.8–71.6 months).

Best achieved cardiac and renal organ responses were high at 91% and 79%, respectively. These response rates are in line with the Boston study reporting cardiac responses in 88% and renal responses in 65% of patients at 5 years.[9] Organ responses are mostly based upon blood measurements of serum creatinine, NT proBNP, and alkaline phosphatase, which can be volatile and also influenced by non-amyloidrelated situations and diseases. Therefore, in most publications best achieved organ response are reported. The pathophysiological reasoning is that organ responses follow deep hematological responses and can improve over time when a patient remains in VGPR/CR. The official organ responses as applied in the trial are binary. However, recently graded organ response criteria were constructed from large retrospective databases [3, 4]. When we apply these to our cohort of patients, we find that complete cardiac responses at 2 years after treatment initiation are achieved in around 60% of patients and thereafter do not improve further. However, complete renal response seems to improve constantly over time up to 5 years and is also seen in 61% of patients at this latest time point.

We tried to evaluate the prognostic value of MRD measurements using flow cytometry at 6 months after ASCT, but only seven patients had samples sent to the central laboratory. All seven were in CR at this time point and six of them were MRD negative with a sensitivity of  $10^{-5}$ . Due to the small number of patients, no further analyses were performed [10].

The role of first-line ASCT in fit patients with AL amyloidosis is challenged by the recent publication of the Andromeda study [11, 12]. In this study, with a median FU of 11.4 months, the best achieved VGPR and CR rates in the patients treated with daratumumab, bortezomib, cyclophosphamide, and dexamethasone were 25.2% and 53.%, respectively. These rates are comparable to the responses reported in the current study, one year after ASCT, with a VGPR rate of 21% and CR rate of 56%. In Andromeda, organ responses were also seen in more than 50% of the patients at a median FU of 18 months [13]. Data on OS with longer FU are currently lacking in the Andromeda trial, and therefore applying ASCT in carefully selected patients can still be considered. The treatment paradigm is shifting towards starting induction treatment in all patients and only proceeding to ASCT when patients do not achieve a CR, sparing patients with a toxic ASCT treatment [14]. However, although caution should be used with small numbers, our data demonstrate that hematological progression seems to occur more frequently in patients who are not in CR before ASCT (Figure S1), implying that ASCT cannot always confer the negative impact of the less than CR status with chemotherapy alone.

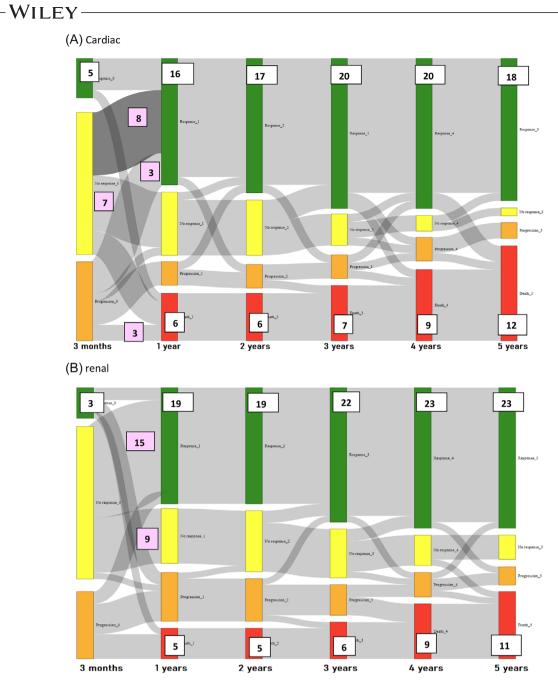
In conclusion, this LTFU of the HOVON 104 study demonstrates that patients who received bortezomib induction therapy followed by ASCT had an excellent 5-year median PFS of 68% and OS of 91% after ASCT. The rate of organ responses stabilized around 3 years after treatment with stable complete cardiac responses but complete renal responses continued to improve.

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#### CONFLICT OF INTEREST STATEMENT

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**FIGURE 2** Sankey plot with individual organ responses from 3 months to 5 years after treatment initiation in 50 included patients. Response criteria are Response (green), No response (yellow), Progression (orange), and Death (red). (A) Cardiac responses at 3 months, and yearly thereafter for 33 patients with cardiac involvement. (B) Renal responses at 3 months, and yearly thereafter for 41 patients with renal involvement. The numbers in the bars reflect the number of patients in that particular group.

the institution, UH Speakers Bureau: Janssen Cilag, Pfizer, Alnylam, Akcea, Prothena, Astra Zeneca, Hospitality: Janssen, Prothena, Pfizer. Advisory Boards: Pfizer, Prothena, Janssen, Alexion. MJK Honoraria: BMS/Celgene, Kite/Gilead, Novartis, Roche; Consulting or Advisory Role: BMS/Celgene, Kite/Gilead, Miltenyi, Biotech, Novartis, Takeda, Adicet Bio and Miltenyi Biomedicine; Research Funding: Kite/Gilead, all paid to the institution. SZ Research funding: Janssen Cilag, Advisory board: Janssen Cilag, BMS, Sanofi, Oncopeptides, Amgen, all paid to the institution. AB Advisory board: Janssen Pharmaceuticals, Amgen, Celgene, BMS, Takeda. PS Research funding: Janssen Pharmaceuticals,

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Amgen, Celgene, Karyopharm. Advisory board: Janssen Pharmaceuticals, Pfizer, BMS. SOS Research support: Janssen, Prothena, Sanofi, Neurimmune Advisory boards: Janssen, Telix and Prothena Honoraria: AstraZeneca, Alexion, Sobi, Janssen, Takeda, Pfizer, Prothena. KN, PFY, SC, LT, KLW, GMJB, and HK declare no conflict of interest.

# DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

#### ETHICS STATEMENT

All procedures were conducted in compliance with the Declaration of Helsinki. Written informed consent to participate in the study was provided by all patients (EudraCT number 2010-021445-42).

# PATIENT CONSENT STATEMENT

The authors have confirmed patient consent statement is not needed for this submission.

#### CLINICAL TRIAL REGISTRATION

Dutch Trial Register identifier NTR3220.

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#### SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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