

Medicir

# Echocardiographic predictors of exercise intolerance in patients with heart failure with severely reduced ejection fraction

Beata Zaborska, MD, PhD<sup>\*</sup>, Krzysztof Smarż, MD, PhD, Ewa Makowska, MD, PhD, Aleksandra Czepiel, MD, PhD, Maciej Świątkowski, MD, Tomasz Jaxa-Chamiec, MD, PhD, Andrzej Budaj, MD, PhD

# Abstract

Decreased exercise capacity (EC) is an established predictor of cardiac and all-cause mortality in patients with chronic heart failure (HF). No correlation has been found between EC and left ventricular (LV) ejection fraction. Moreover, data about the effect of right ventricular (RV) function on EC in HF with severe LV dysfunction are limited and contradictory. In this study, we aimed to investigate the relationship between EC and myocardial mechanics in patients with HF with reduced ejection fraction.

Consecutive patients with symptomatic HF and LV ejection fraction  $\leq$ 35% were prospectively assessed. All patients were evaluated with enhanced echocardiography. A symptom-limited treadmill cardiopulmonary exercise test (CPX) was performed within 24-hour interval. Patients were stratified into 4 groups according to their EC defined by Weber's classification. Prognosis of EC, expressed as oxygen uptake at peak exercise (peak VO<sub>2</sub>), was evaluated in multivariate linear regression analysis model.

Sixty-seven patients with New York Heart Association classes II to III and a mean LV ejection fraction of  $26 \pm 7\%$  were enrolled. A wide range of peak VO<sub>2</sub> was observed in CPX with patient exercise performance distributed to all classes according to Weber's classification. Significant differences were found in RV systolic and diastolic functions between groups with different classes of EC: RV peak systolic myocardial velocity (S') (P < .001), tricuspid annular plane systolic excursion (TAPSE) (P = .003), RV E' (P = .003). In patients with functional decline, systolic pulmonary artery pressure (PASP) was higher (P = .029) and TAPSE/PASP ratio was lower (P = .006). No significant differences were found in LV diameter, systolic and diastolic function, and degree of mitral regurgitation. Thirty three patients with RV systolic dysfunction showed lower peak VO<sub>2</sub> and oxygen uptake at anaerobic threshold (P = .008, P = .006, respectively), shorter exercise time (P = .003), and lower systolic blood pressure (P = .01) than in patients with normal RV systolic function. Logistic multivariate linear regression analysis with stepwise inclusion and exclusion revealed that gender, RV S', and RV free wall strain were independent predictors of peak VO<sub>2</sub>.

RV function, assessed as S' and free wall strain, was independently related to EC, measured using CPX, in patients with HF and severe LV systolic dysfunction.

**Abbreviations:** CPX = cardiopulmonary exercise test, E' = early diastolic myocardial velocity, E/E' = ratio of early diastolic transmitral velocity to early diastolic myocardial velocity, EC = exercise capacity, EF = ejection fraction, FAC = fractional area change, GLS = global longitudinal strain, HF = heart failure, LV = left ventricular, NT-proBNP = plasma N-terminal pro B-type natriuretic peptide, PASP = pulmonary artery systolic pressure, Peak VO<sub>2</sub> = oxygen uptake at peak exercise, RV = right ventricular, S' = peak systolic myocardial velocity, TAPSE = tricuspid annular plane systolic excursion, VO<sub>2</sub>-AT = oxygen uptake at anaerobic threshold.

Keywords: cardiopulmonary exercise testing, echocardiography, exercise capacity, heart failure, right ventricular dysfunction

#### Editor: Mauro Feola.

This study was supported by the Postgraduate Medical School, Warsaw, Poland (Grant No. 501-1-10-54-09).

Conflict of interest: The authors have no financial relationships or conflicts of interest to disclose.

The authors of this work have nothing to disclose.

Department of Cardiology, Postgraduate Medical School, Grochowski Hospital, Grenadierów Warsaw, Poland.

\* Correspondence: Beata Zaborska, Department of Cardiology, Postgraduate Medical School, Grochowski Hospital, Grenadierów 51/59, 04-073 Warsaw, Poland (e-mail: zaborska@kkcmkp.pl).

Copyright © 2018 the Author(s). Published by Wolters Kluwer Health, Inc. This is an open access article distributed under the terms of the Creative Commons Attribution-Non Commercial-No Derivatives License 4.0 (CCBY-NC-ND), where it is permissible to download and share the work provided it is properly cited. The work cannot be changed in any way or used commercially without permission from the journal.

Medicine (2018) 97:28(e11523)

Received: 16 February 2018 / Accepted: 22 June 2018 http://dx.doi.org/10.1097/MD.000000000011523

# 1. Introduction

Decreased exercise capacity (EC) is an established predictor of cardiac and all-cause mortality in both the general population and patients with chronic heart failure (HF).<sup>[1,2]</sup> Cardiopulmonary exercise test (CPX) is an objective and quantitative method for measuring EC parameters. Despite the importance of CPX results, it is still performed rarely.<sup>[3]</sup> Left ventricular (LV) systolic function is a strong prognostic marker in HF, but previous studies failed to find a correlation between EC and ejection fraction (EF), an established LV systolic parameter.<sup>[4,5]</sup> In patients with HF with reduced EF, peak oxygen uptake was neither correlated with EF nor with global longitudinal strain (GLS). GLS is a novel and accurate systolic function parameter derived from speckle tracking echocardiography.<sup>[6]</sup> A wide spectrum of exercise ability and functional impairment is presented among patients with severe LV systolic dysfunction. We hypothesized that EC is more closely related to the right ventricular (RV) function than to the degree of LV dysfunction in these patients. The role of the

right ventricle in HF has been overlooked for many years. However, several recent studies performed in various populations of patients with HF have reported that poor outcomes are correlated with RV dysfunction, independent of the degree of LV dysfunction.<sup>[7–9]</sup> Limited and contradictory data about the impact of RV function on EC in advanced HF with severe LV dysfunction have been published.<sup>[6,10–12]</sup>

In this study, we aimed to investigate the relationship between EC and myocardial mechanics expressed as echocardiographic parameters, particularly RV geometry and function assessment, in patients with HF with reduced ejection fraction.

# 2. Methods

#### 2.1. Study population and design

Consecutive patients with symptomatic HF of New York Heart Association (NYHA) classes II and III and severe LV systolic dysfunction (LV EF  $\leq$  35%) referred to our cardiology department for consideration of cardiac resynchronization therapy were screened between January 2013 and December 2015. All patients remained on optimal medical therapy with no further options for myocardial revascularization or other etiological HF treatment. Patients with hemodynamic instability, severe organic valvular disease, chronic lung diseases, and inability to perform an exercise treadmill test were excluded. A total of 67 patients were enrolled in this observational study and were prospectively assessed. All patients were evaluated with echocardiography and CPX within 24-hour interval. Routine laboratory testing and measurement of plasma N-terminal pro B-type natriuretic peptide (NT-pro BNP) were performed.

The study met the requirements of the Declaration of Helsinki. The protocol was approved by the Postgraduate Medical School Ethics Committee. All participants provided their written informed consent.

#### 2.2. Echocardiographic assessment

Echocardiographic examinations were performed with the Vivid E9 ultrasound machine (GE Healthcare, Horten, Norway). Echocardiographers were blinded to clinical, electrocardiographic, and angiographic parameters. All measurements were performed according to the recommendations of the American Society of Echocardiography and the guidelines for echocardio-graphic assessment of the right heart.<sup>[13,14]</sup> LV EF was calculated according to the modified Simpson's rule. dP/dt was calculated from mitral regurgitant curve obtained using continuous wave Doppler. Diastolic LV function was assessed in patients with sinus rhythm using an integrative approach according to recent recommendations by the American Society of Echocardiography and the European Association of Cardiovascular Imaging.<sup>[15]</sup> Algorithm for estimation of LV filling pressures and grading LV diastolic function in patients with depressed LV EF was used, based on mitral inflow velocities (E wave and A wave), E/E' ratio, tricuspid regurgitation velocity, and left atrial maximum volume index. Mitral inflow velocities were assessed using spectral pulsed wave Doppler with the sample volume positioned at the tips of the mitral valve leaflets during a brief apnea. The E/E' ratio was calculated from the transmitral E wave and mean lateral and septal segments E' wave velocities derived from tissue Doppler. Peak velocity of tricuspid regurgitation jet was measured with continuous wave Doppler, obtained from multiple views. The left atrial maximum volume was calculated from the apical 4- and 2-chamber views using biplane area-length method. The left atrial maximum volume index was defined as left atrial maximum volume divided by the body surface area. Diastolic dysfunction was determined as grade 1 with impaired relaxation and normal filling pressure, grade 2 with increased left atrial pressure and grade 3 with increased left atrial pressure and restrictive filling pattern. Mitral regurgitation was graded as small, moderate, or severe (secondary) using an integrative approach based on the following quantitative parameters derived from Doppler examination: effective regurgitant orifice area, regurgitant volume, and supportive data (vena contracta measurements, pattern of pulmonary flow, and left atrial size). Examination of the right ventricle was performed from a modified apical 4-chamber view focused on the right ventricle. Measurements of fractional area change (FAC) of RV and tricuspid annular plane systolic excursion (TAPSE), and assessment of RV wall motion abnormalities were carried out. TAPSE was measured with Mmode echocardiography as the maximum displacement of the lateral tricuspid annulus. RV FAC was calculated as follows: RV (end diastolic area – end systolic area)  $\times 100\%$  divided by RV end diastolic area. Systolic pulmonary artery pressure (PASP) was estimated by calculating the systolic pressure gradient between the right ventricle and right atrium with the maximum velocity of the tricuspid regurgitant jet using the modified Bernoulli equation. Subsequently, estimated right atrial pressure based on the diameter of the inferior vena cava and its respiratory variations was then added to this gradient. TAPSE/PASP ratio was taken as a noninvasive index of RV to pulmonary circulation coupling.

Tissue Doppler was recorded during shallow respiration or end-expiratory apnea. Guided by a 2-dimensional (2D) 4 chamber view, a sample volume was placed 1 cm above the tricuspid annulus at the RV free wall. Peak systolic myocardial velocity (S') and peak early (E') and late diastolic velocities for the RV were obtained (Fig. 1). Guided by a 2D 4- and 2-chamber views, a sample volume was placed at basal segments septal, lateral, inferior and anterior LV wall, where S' and E' were obtained. The average of these 4 basal systolic velocities was used to calculate LV S'. The average of septal and lateral early diastolic velocities was used to calculate LV E'.

2D speckle tracking echocardiography was performed for LV and RV deformation analysis. The images were acquired with frame rates of 70 to 90 frame/s and stored for 3 cycles. These images were analyzed off-line using computer software (Echo-PAC 09; GE Healthcare, Horten, Norway). LV GLS was determined by 2D speckle tracking from apical 3-, 4- and 2chamber views based on the American Society of Echocardiography's 17-segment LV model. Three sampling points were manually placed on the endocardial border of 2 basal points of the mitral annulus and at the apex. The region of interest was automatically generated and manually edited for border tracing optimization. LV GLS was calculated as the arithmetical mean of regional strains.

An apical 4-chamber view focused on the right ventricle was used for RV deformation analysis. RV systolic longitudinal strain was evaluated by gray-scale imaging through the septum and RV free wall. RV free wall strain was calculated as the average of 3 regional strains comprising the lateral wall (Fig. 2A and B).

All measurements were performed on a minimum of 3 cardiac cycles for patients without atrial fibrillation and 6 cardiac cycles for patients with atrial fibrillation, and the mean values were recorded.



Figure 1. Example of tissue Doppler for RV S' and RV E' measurement.

RV systolic dysfunction was defined as RV S' < 9.5 cm/s derived from tissue Doppler.<sup>[13]</sup>

#### 2.3. Exercise capacity

A symptom-limited treadmill CPX with a Schiller Cardiovit CS-200 (Schiller, Baar, Switzerland) and an Ergo Spiro adapter (Ganshorn, Niederlauer, Germany) was performed by each patient at the same time of day (between 11 AM and 1 PM). The Naughton or modified Bruce protocol was used depending on the patient functional status. Cardiopulmonary exercise tests were performed once. All patients were carefully instructed to achieve maximal effort and all of them were familiar with 10-point Borg fatigue scale. Oxygen consumption, carbon dioxide production, and ventilation during exercise were analyzed breath by breath.

Oxygen uptake at peak exercise (peak VO<sub>2</sub>) and at anaerobic threshold (VO<sub>2</sub>-AT) was used as EC parameters. Weber's classification was used to categorize patient EC based on peak VO<sub>2</sub>: class A (>20.0 mL/kg/min), class B (16.0–20.0 mL/kg/min), class C (10.0–15.9 mL/kg/min), and class D (<10.0 mL/kg/min).<sup>[16]</sup> Maximal predicted oxygen uptake values were calculated according to the Wasserman/Hansen equations.<sup>[17]</sup>

Anaerobic threshold was assessed using the V-slope method. Other analyzed exercise parameters were minute ventilation, ventilatory reserve at peak exercise, minute ventilation-carbon dioxide production slope, exercise duration, heart rate exercise acceleration, heart rate at peak exercise, and blood pressure at peak exercise. All CPX were performed according to the American Thoracic Society/American College of Chest Physicians Guidelines.<sup>[18]</sup>

#### 2.4. Statistical analysis

Categorical variables are presented as frequency and percentage. Continuous variables are presented as mean $\pm$ standard deviation (SD) or as median as appropriate. Continuous variables were compared with the Student's *t*-test or the Mann–Whitney *U* test for nonparametric data. A *P*-value < .05 was considered statistically significant.

Prognosis of EC was tested in multivariate linear regression analysis model. Multivariate model was developed with stepwise inclusion and exclusion at a significance level of 0.1. The fit of the model and the number of parameters used were evaluated according to Akaike's Information Criterion. Age, sex, atrial fibrillation, RV function parameters (RV S', TAPSE, RV FAC, RV free wall strain, and TAPSE/PASP), and LV function parameters (EF, end systolic volume, degree of mitral regurgitation, and *E/E'* ratio) were tested. The analyses were carried out using the STATISTICA software (version 7; StatSoft, Inc., Poland) and R Core Team (2018) software (R Foundation for Statistical Computing, Vienna, Austria. URL https://www.R-project.org/).

# 3. Results

Baseline demographic and clinical characteristics of patients are presented in Table 1. The mean age of the study population was  $67\pm9$  years, and the majority of the patients were male (81%). Patients were distributed between NYHA classes II (40%) and III (60%). Ischemic etiology of HF was found in 75% of the patients. All patients received optimal medical treatment. CPX was performed safely in all patients. All tests were performed until patient exhaustion or exertion >8 points in the modified Borg scale. None of the patients had exercise limiting factors other than dyspnea and/or fatigue. Pulmonary limitations of exercise were not noted, and the mean respiratory exchange ratio in the entire group was  $1.05\pm0.1$ .

The mean peak VO<sub>2</sub> for the entire group was  $13.9 \pm 5.0 \text{ mL/kg/min}$ . Patients were stratified into 4 groups according to their EC defined by Weber's classification. We observed a wide range of peak VO<sub>2</sub> with minimum at 4.3 mL/kg/min and maximum at 27.8 mL/kg/min. The exercise performance of patients was distributed to all classes according to Weber's classification: class A in 10 patients (15%), class B in 7 patients (10%), class C in 37 patients (55%), and class D in 13 patients (19%).



Figure 2. Example of RV 2D strain assessment. (A) 6-segments model of RV free wall and interventricular septum. (B) 3-segments model of RV free wall.

NT-pro BNP was elevated in all patients, with the highest level in the class D group (P=.045). The groups did not differ in other demographic and clinical baseline characteristic parameters.

Echocardiographic parameters are presented in Table 2. In the total study population, LV systolic function was severely reduced. No significant differences were found in EF, d*P*/d*t*, LV diameter, LV S', LV GLS and degree of mitral regurgitation between groups according to EC classes. Grade of LV diastolic dysfunction was assessed in 59 patients with sinus rhythm. All of them presented diastolic dysfunction—grade 1 in 28 (48%) patients, grade 2 in 13 (19%), and grade 3 in 18 (27%) patients but no significant differences were revealed regarding grading in

relation to functional classes. Significant differences were found in RV systolic and diastolic functions expressed as RV S' (P < .001), TAPSE (P = .003), and RV E' (P = .003) between groups with different levels of EC. Differences in RV FAC, RV 2D strain, and RV free wall strain did not reach statistical significance. Systolic pulmonary artery pressure was higher (P = .029) and TAPSE/PASP ratio as a noninvasive index of RV to pulmonary circulation coupling was lower (P = .006) in patients with functional decline. No differences were found for RV diameters. A significant correlation was found between RV S' and the EC parameters: peak VO<sub>2</sub> (r = 0.52, P < .001) and percent of maximal predicted values (r = 0.44, P < .001). We compared CPX

# Table 1

#### Demographic and clinical baseline characteristics of patients.

		Exercise capacity by Weber's classification				
	All patients n=67	A n=10	B n=7	C n=37	D n=13	P-value
Age	67±9	$64 \pm 12$	$68 \pm 12$	68±8	$65 \pm 9$	.66
Sex (male/female)	54/13	10/0	6/1	28/9	10/3	.17
Systolic blood pressure, mm Hg	$120 \pm 17$	$124 \pm 17$	$123 \pm 17$	$120 \pm 17$	$114 \pm 17$	.53
Diastolic blood pressure, mm Hg	74±8	$74 \pm 9$	$69 \pm 7$	$75 \pm 9$	73±8	.30
Body mass index, kg/m <sup>2</sup>	$28 \pm 4$	$27 \pm 4$	$28 \pm 7$	$28 \pm 4$	$29 \pm 4$	.66
NYHA class, n (%)						.10
1	27 (40)	5 (50)	7 (100)	11 (30)	4 (31)	
III	40 (60)	5 (50)	0 (0)	26 (70)	9 (69)	
Etiology of heart failure, n (%)						.32
Ischemic	50 (75)	5 (50)	5 (71)	31 (84)	9 (69)	
Nonischemic	5 (25)	5 (50)	2 (29)	6 (16)	4 (31)	
Active smokers, n (%)	12 (18)	3 (30)	1 (14)	6 (16)	2 (15)	.48
Former smokers, n (%)	20 (30)	5 (50)	1 (14)	10 (27)	4 (31)	.60
Past medical history, n (%)						
Myocardial infarction	44 (66)	6 (60)	3 (43)	27 (73)	8 (61)	.44
Hypertension	49 (73)	6 (60)	5 (71)	30 (81)	8 (62)	.94
Diabetes mellitus	26 (39)	3 (30)	2 (29)	15 (41)	6 (46)	.34
Dyslipidemia	27 (40)	6 (60)	2 (29)	13 (35)	6 (46)	.75
ECG rhythm						.17
Sinus rhythm	59 (87)	10 (100)	7 (100)	29 (78)	8 (62)	
AF	8 (11)	0 (0)	0 (0)	5 (14)	3 (23)	
ECG QRS duration, ms	$157 \pm 30$	$146 \pm 28$	$163 \pm 27$	$157 \pm 28$	$163 \pm 40$	.63
ECG QRS morphology						.08
LBBB	31 (46)	2 (20)	5 (71)	19 (51)	5 (38)	
RBBB	3 (4)	0 (0)	0 (0)	2 (5)	1 (8)	
Hemoglobin, g/dL	$14 \pm 2$	$15 \pm 2$	$14 \pm 1$	$13 \pm 2$	$14 \pm 2$	.07
Glomerular filtration rate <sup>*</sup> , mL/min	64±24	72±31	64 ± 32	$60 \pm 22$	68±19	.48
NT-pro BNP, pg/mL	$1605 \pm 2107$	$705 \pm 1160$	$998 \pm 1390$	1667±1384	$3466 \pm 3292$	.045
Medications (%)						
Diuretics	67 (100)	31 (100)	36 (100)	37 (100)	13 (100)	1.00
Angiotensin-converting enzyme inhibitors	55 (82)	8 (80)	5 (71)	32 (87)	10 (77)	.91
Angiotensin receptor blockers	9 (13)	2 (20)	1 (14)	3 (8)	3 (23)	.84
Beta-blockers	66 (99)	10 (100)	7 (100)	36 (97)	13 (100)	.91
Antiarrhythmics	21 (31)	4 (40)	1 (14)	11 (30)	5 (39)	.73
Aldosterone antagonist	46 (69)	6 (60)	5 (64)	26 (70)	9 (69)	.72
Statins	54 (81)	10 (100)	4 (57)	31 (84)	9 (69)	.29
Digoxin	9 (13)	1 (10)	0 (0)	5 (14)	3 (23)	.20

Values are expressed as mean  $\pm$  SD and (%) and range or number.

LBBB=left bundle branch block, NT-proBNP=plasma N-terminal pro B-type natriuretic peptide, NYHA=New York Heart Association, QRS=QRS complex, RBBB=right bundle branch block. \* Values are expressed as median±interguartile range.

parameters between 34 patients with normal RV systolic function and 33 patients with RV systolic dysfunction (Table 3).

We found that the EC parameters assessed as peak VO<sub>2</sub> and VO<sub>2</sub>-AT were significantly lower in patients with RV systolic dysfunction. Peak VO<sub>2</sub> expressed as a percent of maximal predicted values was also lower in this group. Exercise time was shorter and peak systolic blood pressure was lower in the RV systolic dysfunction group. No differences were found in percent of predicted maximal HR achieved at peak exercise, which proves the absence of differences in chronotropic response between groups. Investigated groups did not differ in ventilatory response to exercise assessed in VE/VCO<sub>2</sub> slope. In all patients, no pulmonary limitations of exercise were noted. Ventilatory reserve at peak exercise was preserved in both groups.

Logistic multivariate linear regression analysis with stepwise inclusion and exclusion revealed that gender, RV S', and RV free wall strain were independent predictors of peak VO<sub>2</sub>. Inclusion E/E' ratio as an LV filling parameter improved the model but showed no statistical significance (P=.069) (Table 4).

#### 4. Discussion

In this study, consecutive patients with HF with severely reduced EF were investigated. Despite advanced LV systolic dysfunction, we found a wide spectrum of EC. In addition, gender, RV S', and RV free wall strain were independent predictors of peak VO<sub>2</sub>. As a result, the end model of EC prognosis enclosed echocardiographic parameters of RV long axis function and LV filling pressure.

We found that the presence of RV systolic dysfunction in patients with LV failure was independently related to a lower EC both expressed in absolute values and as a percent of maximal predicted values when compared with patients without RV systolic dysfunction.

Exercise testing in chronic HF can provide information about patient functional capacity, which is an important factor to assess functional class, evaluate therapy, and estimate risk, as well as to reach therapeutic decisions with regard to time of cardiac transplantation. In patients with chronic HF, exercise intolerance

# Table 2

Echocardiographic measurements in patients with different levels of exercise capacity.

		Exercise capacity by Weber's classification				
Variables	All patients n=67	A n=10	B n=7	C n=37	D n=13	P-value
RV outflow diameter, cm	$3.2 \pm 0.6$	$3.0 \pm 0.5$	$3.1 \pm 0.5$	$3.1 \pm 0.5$	$3.4 \pm 0.7$	.29
RV inflow diameter, cm	$4.0 \pm 0.7$	$4.0 \pm 0.5$	$3.7 \pm 0.4$	$4.0 \pm 0.7$	$4.3 \pm 0.7$	.25
RV S', cm/s	$10 \pm 3$	14±2	$10 \pm 3$	$9\pm 2$	$10 \pm 2$	<.001
RV E', cm/s	$8 \pm 4$	$11 \pm 3$	7±3	7±3	9±5	.003
TAPSE, mm	$19 \pm 5$	24 <u>+</u> 4	$20 \pm 5$	$18 \pm 4$	19±4	.003
RV fraction area change, %)	$38 \pm 13$	42±11	40±11	40±13	32±14	.17
RV 2D strain (%)	$-13 \pm 6$	$-17 \pm 5$	$-15 \pm 5$	$-12 \pm 4$	$-9\pm7$	.08
RV free wall 2D strain (%)	$-16 \pm 8$	$-23 \pm 7$	$-18 \pm 8$	$-16 \pm 6$	$-13 \pm 10$	.13
TAPSE/PASP	$0.7 \pm 0.4$	$1.0 \pm 0.4$	$0.9 \pm 0.3$	$0.6 \pm 0.3$	$0.5 \pm 0.3$	.006
LV ejection fraction (%)	$26 \pm 7$	$29 \pm 6$	$28 \pm 7$	24 <u>+</u> 7	$26 \pm 6$	.17
LV end diastolic volume, mL	$206 \pm 63$	$218 \pm 63$	185±39	$210 \pm 68$	$205 \pm 56$	.68
LV end systolic volume, mL	$156 \pm 58$	$156 \pm 55$	135±48	$162 \pm 62$	154 <u>+</u> 49	.68
dl/d <i>t</i> , mm Hg/s	$548 \pm 140$	$619 \pm 155$	$533 \pm 103$	540±144	522±130	.37
LV S', cm/s	$4 \pm 1$	$5\pm 2$	$5\pm 2$	$4 \pm 1$	4 <u>+</u> 1	.14
LV GLS (%)	$-7 \pm 3$	$-8 \pm 3$	$-9 \pm 3$	$-7 \pm 2$	$-6 \pm 1$	.10
Mitral regurgitation, n (%)						.08
Mild	35 (52)	6 (60)	5 (71)	20 (54)	4 (31)	
Moderate	21 (31)	2 (20)	2 (29)	14 (36)	3 (23)	
Severe	9 (13)	1 (10)	0 (0)	3 (8)	5 (38)	
LV <i>E/E</i>	$16.8 \pm 7.4$	15.0 <u>+</u> 5.8	15.7 <u>+</u> 7.8	17.3±8.0	17.5±7.2	.77
PASP, mm Hg	$35 \pm 18$	$29 \pm 14$	$23 \pm 6$	$35 \pm 17$	47 <u>+</u> 21	.029
LV diastolic dysfunction, n (%)						.19
Grade 1	28 (42)	6 (21)	5 (18)	14 (50)	3 (11)	
Grade 2	13 (19)	1 (8)	1 (8)	10 (77)	1 (8)	
Grade 3	18 (27)	3 (17)	1 (6)	8 (44)	6 (33)	

Values are expressed as mean  $\pm$  SD and (%) and range or number.

E'E' = ratio of early diastolic transmitral velocity to peak early diastolic myocardial velocity, E' = early diastolic myocardial velocity, GLS = global longitudinal strain, LV = left ventricular, PASP = systolic pulmonary artery pressure, PASP = systolic pulmonary artery pressure, PASP = systolic pulmonary artery pressure, RV = right ventricular, S' = systolic myocardial velocity, TAPSE = tricuspid annular plane systolic excursion.

LV diastolic dysfunction assessment was done for patients in sinus rhythm.

is a strong predictor of survival and HF progression.<sup>[16,19]</sup> According to HF guidelines and scientific statements, CPX is recommended to assess the EC of a population of patients with HF.<sup>[3,20–22]</sup>

The origin of RV systolic dysfunction in patients with chronic HF can be primary, caused by ischemia or cardiomyopathy, or secondary to LV dysfunction as a consequence of elevated pulmonary vascular resistance. As the right ventricle is functionally coupled to the pulmonary circulation, the importance of arterio-ventricular interaction and arterial load on cardiac performance is recognized, particularly in patients with pulmonary hypertension.<sup>[23]</sup> Although patients with primary pulmonary hypertension were not represented in our study group, higher systolic pulmonary artery pressure was found among patients with exercise intolerance. Elevated LV filling pressure and mitral regurgitation can aggravate RV afterload, and therefore impaired RV-arterial coupling can be observed. Since RV dysfunction was rather secondary to LV impairment in our study group, its function had to be considered in the interaction with LV function. Nevertheless, RV dysfunction could also affect LV function due to ventricular interdependence. A lower LV preload could be caused by lower RV contractility with lower RV stroke volume. An enlarged right ventricle could compress the LV and disturb relaxation and filling of the chamber. These abnormalities could be present at rest and aggravate during exercise or appear only during exercise.

The role of RV in determining EC is being vigorously investigated. The detailed assessment of RV dysfunction was a particular feature of our study. Assessment of RV longitudinal systolic contractility could be used as a parameter of RV function because of the predominance of RV longitudinal fibers and their role in stroke volume generation.<sup>[24]</sup> We used RV *S*' as the main indicator of RV function in our analysis of CPX results, which was in accordance with current recommendations.<sup>[13]</sup> This simple and reproducible method to assess RV longitudinal function could be used in clinical settings because it is less time consuming and widely available in many echocardiographic laboratories. RV free wall strain derived from speckle tracking echocardiography was included in multivariate analysis as a novel, angleindependent, quantitative parameter of deformation. This parameter is free of LV tethering influence.

Our recent study has demonstrated that RV dysfunction in patients without LV failure could diminish EC.<sup>[25]</sup> However, previous data about the influence of RV systolic dysfunction on EC in patients with HF were ambiguous. The positive correlation between RV function and exercise in patients with chronic HF, as observed previously by Baker et al,<sup>[10]</sup> was found in the present study. In the study by Baker et al, RV and LV ejection fraction were assessed using radionuclide ventriculography in 25 patients with chronic HF caused by coronary artery disease or idiopathic dilated cardiomyopathy. They found a positive correlation between RV ejection fraction and peak VO<sub>2</sub>. Furthermore, Di Salvo et al<sup>[26]</sup> demonstrated a positive correlation among RV function, EC, and prognosis in cardiac transplantation candidates.

In contrast to our results, Gadsboll et al<sup>[27]</sup> found no correlation between maximal exercise parameters and RV systolic function, assessed as ejection fraction in radionuclide ventriculography. Although their patients showed a wide range of LV function and size, more than half of the patients had

# Table 3

Cardiopulmonary exercise test parameters in patients with and without right ventricular dysfunction.

		Right ventricu		
Variables	All patients n=67	No n=34	Yes n=33	P-value
Exercise time, min	5.8±3.7	$7.6 \pm 4.3$	$4.3 \pm 2.5$	.003
Heart rate at rest, beats/min	77±14	74±13	80 <u>+</u> 14	.21
Heart rate at peak exercise, beats/min	$106 \pm 19$	$109 \pm 18$	13±18	.48
Heart rate at peak exercise/maximal predicted (×100) (%)	77±15	78±12	77 <u>+</u> 15	.72
Systolic blood pressure at peak exercise, mm Hg	$136 \pm 24$	144 <u>+</u> 26	128±21	.010
Diastolic blood pressure at peak exercise, mm Hg	72±9	$71 \pm 11$	72±8	.91
Respiratory exchange ratio at peak exercise	$1.05 \pm 0.10$	$1.08 \pm 0.09$	1.02±0.10	.13
Oxygen uptake at peak exercise, mL/kg/min	$13.9 \pm 5.0$	$16.1 \pm 6.1$	12.0 ± 2.9	.008
Oxygen uptake at peak exercise/maximal predicted, mL/kg/min (%)	$54 \pm 22$	$63 \pm 24$	47 <u>+</u> 18	.009
Oxygen uptake at anaerobic threshold, mL/kg/min	12.4 <u>+</u> 3.9	13.9±4.2	11.0±3.2	.006
VE/VCO <sub>2</sub> slope	31.1±7.1	$28.8 \pm 7.6$	33.2±6.4	.17
Breath reserve at peak exercise (%)	43 <u>±</u> 19	$38 \pm 18$	47 <u>+</u> 18	.07

Values are expressed as mean ± SD and (%) and range or number.

normal RV ejection fraction and none had RV ejection fraction <40%. In the study conducted by Rubis et al,<sup>[11]</sup> patients with advanced HF were examined with stress echocardiography and CPX. No significant correlations between RV function parameters and peak VO<sub>2</sub> were found. In multivariate analysis, only LV parameters were independent predictors of EC. In contrast to our study, Rubis et al. assessed EC as peak VO<sub>2</sub><14 mL/kg/min or  $\geq$ 14 mL/kg/min during semisupine bicycle test; therefore, our results could not be directly compared. Leong et al<sup>[12]</sup> found good correlation between RV strain rate by tissue velocity imaging (*r* = 0.71) and peak VO<sub>2</sub> in patients with HF and in healthy controls. On the other hand, the study by Hasselberg et al<sup>[6]</sup> showed that RV function parameters, among them those derived from tissue Doppler and strain analysis, did not correlate with oxygen uptake in 57 patients with reduced EF<50%.

# 4.1. Clinical applications

Despite strong indications to evaluate symptoms and assess prognosis in patients with HF, CPX is performed rarely in clinical practice because of lack of time, need for dedicated equipment, and the absence of competent staff. CPX is necessary for symptomatic patients with HF with severe LV dysfunction because oxygen uptake data are important. It should be performed despite possible difficulties in performing CPX and possible side effects in such patients. Meanwhile, echocardiography is routinely performed in this group of patients. In daily practice, detailed RV function assessment based on tissue Doppler examination, strain analysis, and LV filling assessment might serve as a method to predict EC.

# Table 4

Predictors of exercise capacity in patients with HF with severely reduced EF.

	Oxygen uptake at peak exercise, mL/kg/min				
Variable	Coefficient	SE	Р		
RV <i>S</i>	0.62	0.23	.009		
RV free wall strain	-0.20	0.08	.016		
Male gender	3.91	1.45	.001		
LV <i>E/E</i>	-0.15	0.08	.069		

HF=heart failure, EF=ejection fraction, SE=standard error, RV=right ventricular, S=systolic myocardial velocity, LV=left ventricular, E/E=ratio of early diastolic transmitral velocity to peak early diastolic myocardial velocity.

## 4.2. Limitations

This study has several limitations. First, our study group was relatively small. The examined population was homogenous with similar symptom score, disease stage, and severe LV dysfunction. Therefore, the obtained findings should be confirmed in larger populations. Second, the results could not be extrapolated to patients with the most severe HF and classified as NYHA class IV because we excluded patients with inability to perform the exercise treadmill test. Third, CPX was performed only once for each patient and accidental lower exercise performance might happen. However, we made every effort to properly instruct the patient and perform CPX in the stable conditions. At last we did not relate our findings to clinical outcomes. Follow-up studies are required to analyze the relationship among echocardiographic parameters, exercise intolerance, and clinical events.

## 5. Conclusions

We have demonstrated that RV function, assessed as S' and free wall strain, was independently related to EC, measured using CPX in patients with HF and severe LV systolic dysfunction.

#### Acknowledgments

We thank Mirosław Lis for his guidance in the statistical analysis.

# Author contributions

- Conceptualization: Beata Zaborska, Krzysztof Smarż, Andrzej Budaj.
- Data curation: Beata Zaborska, Krzysztof Smarż, Ewa Makowska.
- Formal analysis: Beata Zaborska, Krzysztof Smarż, Andrzej Budaj.
- Funding acquisition: Beata Zaborska.
- Investigation: Beata Zaborska, Krzysztof Smarż, Ewa Makowska, Aleksandra Czepiel, Maciej Świątkowski, Tomasz Jaxa-Chamiec.
- Methodology: Beata Zaborska, Krzysztof Smarż, Ewa Makowska.
- Project administration: Beata Zaborska, Tomasz Jaxa-Chamiec. Software: Beata Zaborska.

Supervision: Beata Zaborska, Andrzej Budaj.

Validation: Beata Zaborska.

Visualization: Beata Zaborska, Krzysztof Smarż.

- Writing original draft: Beata Zaborska, Krzysztof Smarż, Andrzej Budaj.
- Writing review & editing: Beata Zaborska, Krzysztof Smarż, Ewa Makowska, Aleksandra Czepiel, Maciej Świątkowski, Tomasz Jaxa-Chamiec, Andrzej Budaj.

# References

- Kavanagh T, Mertens DJ, Hamm LF, et al. Prediction of long-term prognosis in 12169 men referred for cardiac rehabilitation. Circulation 2002;106:666–71.
- [2] Kokkinos P, Myers J, Kokkinos JP, et al. Exercise capacity and mortality in black and white men. Circulation 2008;117:614–22.
- [3] Guazzi M, Adams V, Conraads V, et al. EACPR/AHA Scientific Statement. Clinical recommendations for cardiopulmonary exercise testing data assessment in specific patient populations. Circulation 2012;126:2261–74.
- [4] Franciosa JA, Park M, Levine TB. Lack of correlation between exercise capacity and indexes of resting left ventricular performance in heart failure. Am J Cardiol 1981;47:33–9.
- [5] Smart N, Haluska B, Leano R, et al. Determinants of functional capacity in patients with chronic heart failure: role of filling pressure and systolic and diastolic function. Am Heart J 2005;149:152–8.
- [6] Hasselberg NE, Haugaa KH, Sarvari SI, et al. Left ventricular global longitudinal strain is associated with exercise capacity in failing hearts with preserved and reduced ejection fraction. Eur Heart J Cardiovasc Imaging 2015;16:217–24.
- [7] Bistola V, Parissis JT, Paraskevaidis I, et al. Prognostic value of tissue Doppler right ventricular systolic and diastolic function indexes combined with plasma a B-type natriuretic peptide in patients with advanced heart failure secondary to ischemic or idiopathic dilated cardiomyopathy. Am J Cardiol 2010;105:249–54.
- [8] Meyer P, Filippatos GS, Ahmed MI, et al. Effects of right ventricular ejection fraction on outcomes on chronic systolic heart failure. Circulation 2010;121:252–8.
- [9] Alpendurada F, Guha K, Sharma R, et al. Right ventricular dysfunction is a predictor of non-response and clinical outcome following cardiac resynchronization therapy. J Cardiovasc Magn Reson 2011; 13:68.
- [10] Baker BJ, Wilen MM, Boyd CM, et al. Relation to right ventricular ejection fraction to exercise capacity in chronic left ventricular failure. Am J Cardiol 1984;54:596–9.
- [11] Rubis P, Podolec P, Kopec G, et al. The dynamic assessment of right ventricular function and its relation to exercise capacity in heart failure. Eur J Heart Fail 2010;12:260–7.
- [12] Leong DP, Grover S, Molaee P, et al. Nonvolumetric echocardiographic indices of right ventricular systolic function: validation with cardiovascular magnetic resonance and relationship with functional capacity. Echocardiography 2012;29:455–63.
- [13] Lang RM, Badano LP, Mor-Avi V, et al. Recommendations for cardiac chamber quantification by echocardiography in adults: an update from the American Society of Echocardiography and the European Associa-

tion of Cardiovascular Imaging. Eur Heart J Cardiovasc Imaging 2015;16:233-70.

- [14] Rudski LG, Lai WW, Afilalo J, et al. Guidelines for the echocardiographic assessment of the right heart in adults: a report from the American Society of Echocardiography endorsed by the European Association of Echocardiography, a registered branch of the European Society of Cardiology, and the Canadian Society of Echocardiography. J Am Soc Echocardiogr 2010;23:685–713.
- [15] Nagueh SF, Smiseth OA, Appleton CP, et al. Recommendations for the evaluation of left ventricular diastolic function by echocardiography: An update from the American Society of Echocardiography and the European Association of Cardiovascular Imaging. Eur Heart J Cardiovasc Imaging 2016;17:1321–60.
- [16] Weber KT, Kinasewitz GT, Janicki JS, et al. Oxygen utilization and ventilation during exercise in patients with chronic cardiac failure. Circulation 1982;65:1213–23.
- [17] Wasserman K, Hansen JE, Sue DY. Hansen JE, Wasserman K, Whipp BJ, Sietsema KE, Sue DY, Sun X, Stringer WW, et al. Normal values. Principles of Exercise 3 testing and Interpretations: Including Pathophysiology and Clinical Applications Philadelphia: Lippincott Williams & Wilkins, 2005;160–82.
- [18] American Thoracic Society, American College of Chest PhysiciansATS/ ACCP Statement on cardiopulmonary exercise testing. Am J Respir Crit Care Med 2003;167:211–77.
- [19] Mancini DM, Eisen H, Kussmaul W, et al. Value of peak exercise oxygen consumption for optimal timing of cardiac transplantation in ambulatory patients with heart failure. Circulation 1991;83:778–86.
- [20] Arena R, Myers J, Williams MA, et al. Assessment of functional capacity in clinical and research settings. A scientific statement from the American Heart Association Committee on Exercise, Rehabilitation, and Prevention of the Council on Clinical Cardiology and the Council on Cardiovascular Nursing. Circulation 2007;116:329–43.
- [21] McMurray JJ, Adamopoulos S, Anker SD, et al. ESC Committee for Practice Guidelines. ESC guidelines for the diagnosis and treatment of acute and chronic heart failure 2012: The Task Force for the Diagnosis and Treatment of Acute and Chronic Heart Failure 2012 of the European Society of Cardiology. Eur Heart J 2012;33:1787–847.
- [22] Yancy CW, Jessup M, Bozkurt B, et al. 2013 ACCF/AHA guideline for the management of heart failure: a report of the American College of Cardiology Foundation/American Heart Association Task Force on practice guidelines. Circulation 2013;128:e240–327.
- [23] Naeije R, Tedford RJ, Haddad F. Voelkel NF, Schranz D. Physiology of the right ventricle. The Right Ventricle in Health and Disease New York: Springer Science+Business Media, 2015;19–40.
- [24] Carlsson M, Ugander M, Heiberg E, et al. The quantitative relationship between longitudinal and radial function in left, right, and total heart pumping in humans. Am J Physiol Heart Circ Physiol 2007;293:H636–44.
- [25] Smarz K, Zaborska B, Jaxa-Chamiec T, et al. Right ventricular dysfunction and exercise capacity after inferior (posterior) wall acute myocardial infarction. Am J Cardiol 2012;110:784–9.
- [26] Di Salvo TG, Mathier M, Semigran MJ, et al. Preserved right ventricular ejection fraction predicts exercise capacity and survival in advanced heart failure. J Am Coll Cardiol 1995;25:1143–53.
- [27] Gadsboll N, Hoilund-Carlsen PF, Badsberg JH. Cardiac function and maximal exercise capacity early after acute myocardial infarction. Eur Heart J 1991;12:1189–94.