leukemia

### Review

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Advancing the standard: venetoclax

combined with intensive induction and

consolidation therapy for acute myeloid

Abstract: The B-cell lymphoma 2 (BCL-2) inhibitor venetoclax (VEN) in combination with lower-intensity therapy is an efficacious treatment for acute myeloid leukemia (AML). VEN in combination with the hypomethylating agent azacitidine improved rates of response and measurable residual disease (MRD)-negative remissions in addition to overall survival in the pivotal phase 3 VIALE-A trial compared with azacitidine monotherapy and has since emerged as the current standard of care in older or unfit patients with AML. In younger, fit patients with AML, intensive induction and consolidation chemotherapy (IC) is commonly employed as frontline therapy; however, relapse remains the principal cause of treatment failure in approximately 30–40% of patients. Improved IC regimens that increase MRD-negative response rates, result in durable remissions, and enable transition to curative allogeneic hematopoietic stem cell transplantation in appropriate patients remain an area of active inquiry. Preliminary results from trials investigating the combination of VEN with IC have reported promising findings to date, with composite complete remission and MRD-negative remission rates of approximately 89-94% and 82-93%, respectively, correlating with improved 12-month event-free and overall survival compared to historical outcomes with IC. Herein, we discuss ongoing trials investigating VEN in combination with IC in addition to outcomes within specific molecularly defined subgroups; review the molecular mechanisms of sensitivity and resistance to VEN, and highlight future combinations of VEN with novel targeted therapies for the treatment of AML.

Keywords: venetoclax, intensive induction, acute myeloid leukemia

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#### Introduction

Intensive chemotherapy (IC) has been the standard of care treatment for younger, fit patients with acute myeloid leukemia (AML) for over 40 years,<sup>1,2</sup> relying on a combination of the pyrimidine analog cytarabine (araC) with anthracycline-based therapy often referred to as the '7 + 3' (7 days of cytarabine + 3 days of daunorubicin) regimen. Response rates with standard IC range from approximately 60–80% in patients younger than 60 years old with long-term survival of approximately 30–40%.<sup>1–3</sup> Even with dose augmentation of standard induction regimens, relapse occurs in approximately 30–40%.<sup>4</sup> Further optimization of treatment strategies remains a priority.<sup>4</sup> Alternative approaches have been developed in attempts to improve upon current therapies. Incorporation of purine analogs such as cladribine and fludarabine into multiagent chemotherapy regimens in combination with anthracycline and cytarabine based regimens have reported impressive results in both the phase 2 setting and compared to intensive chemotherapy controls in randomized phase 3 trials.<sup>3,5,6</sup>

A single-institution randomized phase 2 study evaluated clofarabine or fludarabine combined with idarubicin and cytarabine (i.e., CIA and. FIA) in a younger AML population (median age 51). Treatment resulted in similar 2-year OS Correspondence to: Courtney D. DiNardo Department of Leukemia, The University of Texas MD Anderson Cancer Center, Houston, TX 77030, USA cdinardo@mdanderson. org

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rates of 51% *versus* 57%, with patients aged < 50 in the FIA arm demonstrating the greatest benefit compared to historical controls receiving idarubicin with cytarabine alone (2-year OS age < 50: 72% *versus* 36%).<sup>5</sup>

A randomized phase 3 trial compared the addition of cladribine and fludarabine to '7 + 3' in 652 younger (median age 47–48 years) with AML.<sup>3</sup> The control arm consisted of daunorubicin 60 mg/m<sup>2</sup>(D1-3) combined with seven days of continuous infusion cytarabine 200 mg/m<sup>2</sup> (D1-7) while the treatment arms added either cladribine (5 mg/m<sup>2</sup>, D1-5) or fludarabine (25 mg/m<sup>2</sup>, D1-5) to the '7 + 3' backbone. Treatment with cladribine resulted in higher rate of complete remission (62% versus 51%) and improved 3-year survival (45% versus 33%) compared to patients treated within the 7 + 3 control arm.<sup>3</sup>

In the randomized, phase 3 UK-MRC trial comparing daunorubicin + cytarabine (+/- etoposide) compared to fludarabine, cytarabine, granulocyte stimulating factor, and idarubicin (FLAG-IDA), similar remission rates (86% versus 85%) were observed; however, the FLAG-IDA group experienced increased relapse-free survival (45% versus 34%) and decreased relapse rates (34% versus 55%).<sup>6</sup> These results supported further analysis of the addition of fludarabine to traditional induction chemotherapy regimens to improve CR and OS rates.

While these regimens represent progress compared to historical IC for AML, novel approaches to reduce relapse risk and improve long-term survival remain paramount. Minimal residual disease (MRD, measured via multiparameter flow cytometry or polymerase chain reaction) has emerged as an important marker for the assessment of treatment efficacy in AML. MRDnegative remissions correlate with lower relapse rates, improved relapse-free survival, and OS7,8 in patients receiving IC9 or lower-intensity regimens.<sup>10</sup> MRD also retains its prognostic importance following consolidative allogeneic hematopoietic stem cell transplantation (HSCT).

Attainment of MRD-negative remissions is a critical objective of induction therapy; thus, the development of induction regimens capable of achieving high rates of MRD clearance remains paramount to improving long-term outcomes for patients.<sup>8</sup> In addition, regimens capable of facilitating transition to potentially curative consolidative HSCT in remission remain central to the treatment paradigm of patients with intermediate or adverse risk de novo AML,<sup>11</sup> secondary or therapy-related AML,<sup>12,13</sup> or relapsed/refractory (R/R) AML.<sup>14,15</sup>

Venetoclax (VEN) a potent inhibitor of the antiapoptotic B-cell lymphoma-2 (BCL-2) protein combined with the hypomethylating agents (HMA, azacitidine or decitabine) has emerged as an effective treatment modality in older (age > 75) or unfit patients presenting with newly diagnosed (ND) or R/R-AML.<sup>10</sup> Given the therapeutic potential observed with VEN in combination with HMA's and preclinical evidence of synergy with VEN and various intensive chemotherapeutics, VEN in combination with IC for the treatment of younger, fit patients with AML represents an area of active investigation. Herein, we review current pre-clinical and clinical experience of venetoclax with IC (VEN + IC) for fit patients and highlight molecular subgroups associated with efficacy and resistance to VEN + IC combinations.

# Venetoclax, BCL-2, and the intrinsic apoptotic pathway

### Mechanism of action of venetoclax

The use of VEN has evolved since early studies identified the role of BCL-2 dependence for leukemic cell survival and has quickly led to a paradigm shift in the treatment of AML.<sup>16–18</sup> BCL-2 is a member of the antiapoptotic protein family (BCL-2, BCL-X<sub>L</sub>, BCL-W, BCL2-A1, and MCL-1) expressing BCL-2 like homology domains 1-4 (BH1-BH4), which when overexpressed inhibit apoptosis. These antiapoptotic proteins in conjunction with the pro-apoptotic activator (BID, BIM, and PUMA), effector (BAK and BAX), and sensitizer (NOXA) proteins comprise the intrinsic apoptotic pathway.<sup>19–21</sup>

Activation or inhibition of these effectors and activator proteins is governed by BH3 domain interactions between antiapoptotic or proapoptotic BCL-2 family members. BH3 is expressed by all members of the BCL-2 family. All four BH domains are expressed by the suppressor and pore forming proteins (BAK, BAX). However, the activator and sensitizer proapoptotic proteins *only* contain the BH3 domain. BH3 domain interactions between the sensitizer and antiapoptotic

BCL2 family members facilitate apoptosis by enabling activator proteins (now unbound from antiapoptotic BCL2 family proteins) to interact with BAX/BAK on the outer mitochondrial membrane, resulting in pore formation, mitochondrial outer membrane permeabilization, cytochrome C release, caspase activation, and apoptosis (Figure 1).<sup>21</sup>

Several BH3 mimetic drugs, ABT-737, venetoclax (ABT-199), and navitoclax (ABT-263), have been developed to bind selectively to the BH3 domain on antiapoptotic proteins thereby displacing proapoptotic proteins and resulting in cell death.<sup>21</sup> ABT-737 selectively binds to BCL-2, BCL-X<sub>L</sub>, and BCL-W and induces apoptosis in leukemic blast cells through activation of BAX/ BAK. Lower platelet counts are observed in the ABT-737-treated subjects,<sup>22</sup> a process thought to be mediated by BCL-X<sub>L</sub> which plays a role in platelet survival.<sup>23</sup> This was apparent in a phase 1 dose-escalation study of navitoclax (which has a high affinity to both BCL-2 and BCL- $X_L$ ) in patients with relapsed/refractory lymphoid malignancies, where navitoclax therapy resulted in increased rates of grade 3/4 thrombocytopenia.<sup>20</sup> Unlike navitoclax, VEN is a selective BH3 mimetic preferentially binding BCL-2 with significantly lower affinity for BCL- $X_L$  leading to less associated thrombocytopenia when utilized for the treatment of myeloid malignancies.<sup>24,25</sup>

#### Mechanisms of resistance to BCL-2 inhibition

Resistance to VEN when used with lower-intensity therapies has been well characterized and often occurs secondary to increased expression of alternative antiapoptotic BCL2 family members (i.e., MCL-1 and BCL- $X_I$ ), or mutations in genes



Figure 1. Intrinsic apoptotic pathway and BCL-2 family biology.

associated with active signaling or tumor suppressors.<sup>26,27</sup> In MCL-1-dependent AML, MCL-1 sequesters the proapoptotic activator BIM, thereby preventing induction of apoptosis. Overexpression of MCL-1 also inhibits the proapoptotic effectors (i.e., BAK and BAX).26,27 Functional studies identified monocytic AML to be particularly associated with increased MCL-1 expression, decreased BCL-2 expression, and resistance to VEN-based therapy.<sup>28</sup> In addition to MCL-1, overexpression of BCL2A1, an antiapoptotic BCL-2 homolog, was significantly expressed in monocytes rendering acute monocytic leukemias (AML-M5) more resistant to VEN treatment.<sup>29-31</sup> Investigations of therapies targeting MCL-1 directly, by the addition of cytotoxic chemotherapy to downregulate MCL-1, or through targeting of alternative myeloid transcription programs in AML with monocytic differentiation using VEN in combination with bromodomain and extra-terminal domain inhibitors may prove to be effective strategies to mitigate resistance and relapse to VEN.<sup>30,32,33</sup> Several phase I investigations of MCL-1 inhibitors as monotherapy and in combination with venetoclax or other cytotoxic agents are currently ongoing (NCT03218683, NCT05107856, NCT03218683, and NCT03218683).

VEN resistance has also been observed in patients with gene mutations involved in active signaling pathways including FLT3-ITD, RAS, PTPN11. Transduced cell lines overexpressing FLT3-ITD simultaneously increased expression of BCL-X<sub>1</sub> and MCL-1 conferring resistance to VEN.34 However, VEN in combination with the FLT3targeted tyrosine kinase inhibitors midostaurin or gilteritinib resulted in enhanced cell death suggesting FLT3 inhibition may result in downregulation of alternative antiapoptotic BCL2 family proteins, thereby overcoming VEN resistance within this genomic subgroup.34 Similar resistance mechanisms have been described in KRAS- or PTPN11-mutated AML correlating with VEN resistance. In KRAS-mutated AML, reduced expression of BCL2 and simultaneously increased expression of MCL-1 and BCL2A1 levels were observed. Elimination of KRAS-mutated clones following therapy resulted in restored sensitivity to VEN. PTPN11-mutated samples demonstrated sustained MCL-1 and BCL-X<sub>1</sub> expression, suggesting combined treatment with MCL-1 or BCL-X<sub>L</sub> inhibitors may overcome VEN resistance in these cohorts.31

TP53 mutations also impart resistance to VEN. In patients with TP53-mutated AML treated with VEN in combination with low-dose cytarabine or HMAs, enrichment of TP53-mutated clones at the time of relapse was observed.<sup>31,34</sup> Cell viability assays treated with VEN or VEN in combination with low-dose cytarabine or azacitidine similarly confirmed TP53 mutations were associated with resistance to VEN.<sup>34</sup>

# Venetoclax in combination with intensive chemotherapy in ND-AML

### Translational studies of VEN in combination with intensive chemotherapy

Several impactful preclinical studies demonstrating the synergy of VEN in combination with lower-intensity therapies as well as clinical trials identifying the clinical efficacy of VEN combined with low-intensity chemotherapy including HMA's or low-dose cytarabine (LDAC) have been recently reported.<sup>28,29</sup> While limited, preclinical data also support the use of VEN in combination with cytotoxic chemotherapeutics traditionally used in IC regimens (Figure 2).<sup>26</sup>

Synergistic leukemic cell death was observed in both primary AML cell lines and patient samples when treated with VEN in combination with either cytarabine or daunorubicin.<sup>28</sup> Daunorubicin exposure resulted in induced DNA damage and resultant downregulation of MCL-1, in turn increasing intracellular concentrations of the apoptotic activator BIM, and increased apoptosis. Similar findings were demonstrated when cytarabine was combined with VEN.26,35 This preclinical data suggest the synergistic combination of VEN with cytotoxic chemotherapy may result in increased leukemic cell death, resulting in enhanced clinical efficacy. Indeed, early reports of VEN combined with intensive induction and consolidation chemotherapy have preliminarily demonstrated these combinations to be safe and effective in the treatment of patients with ND and R/R-AML based upon preliminary reports of ongoing early-phase trials<sup>36–38</sup> (Tables 1 and 2).

Attenuated cytarabine and idarubicin (i.e., 5+2')+ venetoclax (CAVEAT Trial). A phase Ib, open-label, dose escalation and preliminary efficacy study assessing the benefit of venetoclax in combination with an attenuated '7 + 3' regimen consisting of 5 days of cytarabine and 2 days of idarubicin

### Venetoclax with intensive induction in AML

duction	Consolidation
FLAG-IDA+VEN Fludarabine: 30 mg/m <sup>2</sup> D2-6 Cytarabine: 1.5 gm/m <sup>2</sup> D2-6 Idarubicin: 8 mg/m <sup>2</sup> (ND); 6 mg/m <sup>2</sup> (R/R) D4-5 Filgrastim: 5 mcg/kg D1-7*	FLAG-IDA+VEN (up to 5 cycles) Fludarabine: 30 mg/m <sup>2</sup> D2-4 Cytarabine: 1.5 gm/m <sup>2</sup> D2-4 Idarubicin: 8 mg/m <sup>2</sup> (ND); 6 mg/m <sup>2</sup> (R/R) D3-4 Filgrastim: 5 mcg/kg D1-5*
Venetoclax 400 mg D1-14	Venetoclax 400 mg D1-7
CLIA+VEN	CLIA+VEN (up to 5 cycles)
Cladribine: 5 mg/m² D1-5 Cytarabine: 1.5 gm/m² (age <60); 1 mg/m2 (age 60+) D1-5 Idarubicin: 10mg/m² D1-3	Cladribine: 5 mg/m² D1-2 Cytarabine: 1 gm/m² (age <60); 0.75 mg/m2 (age 60+) D1-2 Idarubicin: 8 mg/m²D1-2
Venetoclax 400 mg D2-8	Venetoclax 400 mg D2-8
"5+2"+VEN	"5+2"+VEN (up to 4 cycles)
Venetoclax pre-phase (D -6-0)	Venetoclax pre-phase (D -6-0)
Cytarabine: 100 mg/m²/day (continuous infusion) D1-5 Idarubicin: 12mg/m² D2-3	Cytarabine: 100 mg/m²/day (bolus infusion) D1-2 Idarubicin: 12mg/m²D1
Venetoclax D1-7 <sup>y</sup>	Venetoclax D1-7 <sup>y</sup>
CPX-351+VEN	CPX-351+VEN (up to 1 cycle)
Cytarabine: 100 mg/m² D1,3,5 Idarubicin: 44mg/m² D1,3,5 Administered as fixed-dose, liposomal formulation	Cytarabine: 65mg/m² D1,3 Idarubicin: 29mg/m² D1,3 Administered as fixed-dose, liposomal formulation
Venetoclay 300 mg D2-8	Venetoclay 300 mg D2-8

**Figure 2.** Intensive chemotherapy-based induction and consolidation regimens incorporating venetoclax for the treatment of AML. \*peg-filgrastim permitted to replace filgrastim on D5 (induction) or D3 (consolidation).

venetoclax administered at varying dosage by cohort (A: 50 mg, B: 100 mg, C: 200 mg, D: 400 mg, E: 600 mg).

('5 + 2') was conducted in older (median age 72) adults fit for IC with de novo or secondary AML (sAML). Fifty-one patients with predominately European LeukemiaNet (ELN)<sup>39</sup> intermediate and adverse risk disease were enrolled. Twenty-eight (55%) and twenty-three (45%) patients had de novo or sAML, respectively. Patients were enrolled at escalating dose levels of VEN (50 mg, 100 mg, 200 mg, 400 mg, and 600 mg) in combination with 5 + 2 followed by four consolidation cycles (2 + 1 + VEN) (Figure 2).

The overall response rate (ORR: CR + CR with incomplete hematologic recovery [CRi]) among both de novo and sAML patients was 72%, with 41% of patients achieving a true CR. A superior ORR was observed in patients with de novo AML (ORR: 97%) which compared favorably to standard IC utilizing the '7 + 3' regimen (ORR: 60–80%). Though only a minority of patients with *NPM1*-mutated AML underwent MRD assessment, 83%

**Table 1.** Ongoing clinical investigations incorporating venetoclax with intensive chemotherapy.

Clinical Investigation	Phase	NCT Number
"7 + 3"+Venetoclax	1	NCT03709758
"7 + 3"+Venetoclax	3	NCT04628026
FLAG-IDA + Venetoclax	1b/2	NCT03214562
CLAG-M + Venetoclax	1	NCT04797767
CLIA + Venetoclax	2	NCT02115295
FLAVIDA	2	NCT03455504
CPX-351 + Venetoclax	2	NCT03629171
CPX-351 + Venetoclax (V-FAST)	lb	NCT04075747

attained MRD-negative remissions. Patients with sAML demonstrated an ORR of 42% which despite using a reduced schedule of 7 + 3 induction, was

Table 2. Outcomes of contempor	rary published	prospective tr	ials of intensive	chemotherapy inductior	ו regimens with or without	the incorpora	tion of venetoclax.
Trial	Design	AML type	Age, median (range)	Risk Group	Response	Survival	Early mortality
FIA versus CIA				ELN 2010		2-year	
$FIA\left[N=76\right]$	Phase 2	ND-AML	49 [18–66]	int-2/adverse: 58%	0RR: 83%; CR/CRp: 82%; MRD-neg: 65%	57%	60 days: 1%
CIA [N = 106]	Phase 2	ND-AML	53 (20-66)	int-2/adverse: 57%	0RR: 83%; CR/CRp: 80%; MRD-neg: 80%	51%	60 days: 4%
FLAG-IDA versus ADE				Cytogenetic risk		8-year	
FLAG-IDA [N = 635]	Phase 3	ND-AML	48 (0-71)	Int: 69%, Adv: 13%	ORR: 86%, CR: 84%	%77	Induction: 7%
ADE ( $N = 633$ )	Phase 3	ND-AML	48 [0-67]	Int: 72%, Adv: 13%	ORR: 85%, CR: 81%	37%	Induction: 7%
DA versus DAC. versus DAF				SWOG criteria		3-year	
DAC $(N = 222)$	Phase 3	ND-AML	48 [18–60]	Int: 52%, Adv: 16%	ORR: 68%, CR: 62%	45%	Induction: 11%
DAF [ $N = 219$ ]	Phase 3	ND-AML	47 [17-60]	Int: 51%, Adv: 18%	ORR: 59%, CR: 55%	35%	Induction: 9%
DA [ $N = 211$ ]	Phase 3	ND-AML	47 [18–60]	Int: 50%, Adv: 18%	ORR: 56%, CR: 51%	33%	Induction: 10%
CPX-351 versus "7 + 3"			Mean (SD)	NCCN criteria		5-year	
CPX-351 (N = 153)	Phase 3	sAML	67.8 (4.2)	Int: 45%, Adv: 50%	CR/CRi: 48%, CR: 37%	18%	60 days: 13.7%
"7 + 3" [ <i>N</i> = 156]	Phase 3	sAML	67.7 (4.1)	Int: 40%, Adv: 57%	CR/CRi: 36%, CR: 30	8%	60 days: 21.2%
FLAG-IDA + VEN				ELN 2017		1-year	
ND-AML ( $N = 29$ )	Phase 2	ND-AML	45 [20-65]	Int: 45%, Adv: 38%	0RR: 97%, CRc:90%, MRD-neg: 96%	64%	30 days: 0%
R/R-AML ( $N = 16$ )	Phase 1b	R/R-AML	51 (20–73)	Int: 13%, Adv: 50%	0RR: 75%, CRc: 75%, MRD-neg: 58%	38%	30 days: 0%
R/R-AML [N = 23]	Phase 2	R/R-AML	47 [22-66]	Int: 13%, Adv: 61%	0RR: 70%, CRc: 61%, MRD-neg: 79%	68%	30 days: 0%
CLIA + VEN				ELN 2017		1-year	
	Phase 1b/2	ND-AML	48 [37–56]	Int: 30%, Adv: 35%	0RR: 94%, CRc: 94%, MRD-neg: 71%	85%	60 days: 2%
"5 + 2"+VEN				ELN 2017		median	
	Phase 1b	ND-AML	72 (63–80)	Int: 31%, Adv: 49%	ORR: 72%, CR: 41%	11.2 mo.	30 days: 6%

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similar to current standard of care therapies used in this patient population including CPX-351 (ORR: 48%) and 7 + 3 (ORR: 33%). After a median follow-up of approximately 2 years, median OS for the entire study population was 11.2 months. Significantly longer OS was noted in patients with de novo AML compared with sAML (31.3 *versus* 6.1 months, p-value < 0.001). Similarly, patients who achieved a CR had a longer median OS compared to patients with a CRi (29.5 *versus* 6.9 months), albeit this result was not statistically significant (p-value: 0.12).

Common non-hematologic adverse events occurring in  $\ge 10\%$  of study subjects were predominantly infectious, including grade 3 or greater febrile neutropenia (55%, N = 28), sepsis (35%, N = 18), or localized infections (10%, N = 5). The primary hematologic toxicity was thrombocytopenia, particularly during consolidation cycles in patients receiving higher doses of VEN with median time to platelet recovery (i.e., platelet count  $\geq 50 \times 10^{9}$ /L) of 39–47 days. Increased hematologic toxicity (including one DLT) was observed in the 600 mg VEN group resulting in a protocol amendment utilizing a lower dose of venetoclax (400 mg on Days 1-14) during consolidation. Despite this adjustment, only one patient had platelet count recovery within 42 days of receiving consolidation therapy. None of the patients treated within the 400-600 mg cohorts who started consolidation were able to complete therapy. Twenty-seven percent of patients transitioned VEN maintenance; however, only six (16%) patients completed all seven planned maintenance cycles (VEN D1-14). Thirty-day all-cause mortality was 6% with all deaths related to sepsis.

These results demonstrated therapy with VEN in combination with IC is feasible in an older, difficult to treat AML population <sup>36</sup> and confirmed the efficacy of combination therapy; In addition, they highlight the potent on-target hematologic toxicities associated with VEN combinations.

Cytarabine and daunorubicin (i.e., '7 + 3') + venetoclax. A phase I dose-escalation trial assessing VEN in combination with standard '7 + 3' induction is currently underway with limited preliminary results reported to date.<sup>3</sup> Patients with de novo AML received daunorubicin 60 mg/m<sup>2</sup>(Days 2–4) + cytarabine 200 mg/m<sup>2</sup> (Days 1–7) induction with VEN administered on Days 1–11 stratified by cohorts receiving escalating doses of VEN (200 mg, 400 mg, and 600 mg). Following two dose-limiting toxicities (DLTs)—one in a 58-year-old patient who developed DIC and the other a 73-year-old patient who died secondary to sepsis, enrollment was restricted to patients aged  $\leq 60$  without *FLT3* mutations or core-binding factor AML.

Within this younger population, no DLTs were noted in the 200 mg dosing cohort. Three patients were subsequently enrolled in the 400 mg dosing cohort without any additional observed toxicity. A single DLT occurred in the VEN 600 mg doseescalation cohort (death secondary to septic shock); thus, 400 mg (the current FDA-approved VEN dose for AML in combination with HMAs) was determined to be maximal tolerated dose in combination with '7 + 3' induction. Median time to count recovery (defined as an ANC  $\ge 0.5 \text{ x}$  $10^9/\text{L}$  and a platelet count  $\ge 50 \text{ x} 10^9/\text{L}$ ) following '7 + 3'+VEN induction was 36 days.<sup>40</sup>

The overall response rate to '7 + 3' induction with VEN was 100% (n = 10), with 75% (n = 6/8) of patients achieving MRD-negative remissions assessed using multiparameter flow cytometry. Within the initial VEN 200 mg cohort, all seven patients attained a composite CR [CRc; CR: 6, CRi (complete response with incomplete count recovery): 1]; four were MRD-negative. In the VEN 400 mg dose-escalation cohort, 100% achieved a CRc; two patients achieved a MRDnegative CR. Investigations of '7 + 3' + VEN 400 mg induction followed by consolidation using high-dose cytarabine in combination with VEN at 200 mg, 400 mg, or 600 mg dose levels are currently underway.<sup>41</sup>

Fludarabine, cytarabine, idarubicin, and G-CSF (FLAG-IDA)+venetoclax. VEN combined with the multiagent induction and consolidation regimen consisting of fludarabine, cytarabine, idarubicin, and granulocyte-colony stimulating factor (FLAG-IDA + VEN) is under evaluation in a phase 1b/2 trial composed of ND (phase 2) and R/R-AML (phase 1b/2) patients.<sup>38</sup> The ND-AML cohort (N = 45) was composed predominantly of patients with European LeukemiaNet (ELN) intermediate (40%) or adverse risk (42%) disease,42 including twelve (28%) patients with secondary (sAML), treated-secondary (ts-AML), or therapy-related AML (tAML).38

The ORR to FLAG-IDA + VEN was 98% in this patient cohort, with a CRc (CR, complete

response with partial hematologic recovery (CRh) and CRi) rate of 89%. Importantly, 93% of these patients attained an MRD-negative response as measured by multiparameter flow cytometry (MFC), with no significant difference observed between patients with de novo *versus* sAML/tsAML/taML (de novo: 93%, sAML/tsAML/taML: 90%).<sup>42</sup> Eighty-nine percent of patients with ELN adverse risk AML attained a CRc with FLAG-IDA + VEN. After a median study follow-up of 12 months, median event-free (EFS) and overall survival (OS) were not reached. The corresponding 12-month EFS and OS were 77% and 94%,<sup>42</sup> with 69% of patients successfully transitioning to allogeneic HSCT.<sup>38</sup>

Cladribine, cytarabine, and idarubicin (CLIA)+ venetoclax. VEN in combination with the intensive induction and consolidation regimen comprised of cladribine, idarubicin, and cytarabine (CLIA + VEN) for the treatment of ND acute leukemia (N = 46) or high-risk (defined by the presence of  $\ge 10\%$  blasts or a revised international prognostic scoring system score of  $\geq 2$ ) myelodysplastic syndrome (MDS; N = 4) also demonstrated promising results in a phase 2 study.37 The patient population predominantly included patients with ELN intermediate (30%) or adverse risk (35%) AML, including a subset of patients (N = 15) with *FLT3*-ITD and/or TKDmutated AML who, additionally, received an FDA-approved FLT3 inhibitor (gilteritinib<sup>43</sup> or midostaurin<sup>44</sup>). Congruent with the results observed with FLAG-IDA + VEN, CLIA + VEN induction resulted in an impressive CR/CRi rate of 94%. Eighty-two percent of patients achieving CR or CRi attained MRD negativity as assessed by MFC.37

After a median follow-up of approximately 14 months, median EFS and OS were not reached; 12-month EFS and OS were estimated at 68% and 85%, respectively. In the subgroup of patients with ELN adverse risk AML, CLIA + VEN was associated with a 12-month OS of 81%.<sup>37</sup> Sixty-two percent of patients responding to CLIA + VEN received a consolidative HSCT.

Adverse events to FLAG-IDA or CLIA with VEN were consistent with those observed in previous trials of intensive induction therapy for AML<sup>3,45</sup> with infectious complications predominating. Among patients with ND-AML treated with FLAG-IDA + VEN or CLIA + VEN, febrile

neutropenia was reported in 39% and 84%, respectively. Grade 3 or greater infectious complications occurred in 12% of patients treated with CLIA + VEN, while bacteremia and pneumonia occurred in 19% and 24% of patients treated with FLAG-IDA + VEN.<sup>37,38</sup> Despite these infectious events, early mortality in patients treated with FLAG-IDA + VEN (30- and 60-day mortality: 0%) or CLIA + VEN (30- and 60-day mortality: 2%) was uncommon.<sup>37,38</sup>

The addition of VEN into multiagent induction therapy resulted in similar myelosuppression compared to other intensive regimens utilizing an anthracycline and cytarabine backbone with or without a purine analog.<sup>3,6,45</sup> Similar to the observed myelosuppression with FLAG-IDA, hematologic recovery (defined as an ANC  $\ge 0.5 \text{ x}$  $10^{9}/L$  and a platelet count  $\geq 50 \ge 10^{9}/L$ ) was prolonged following the second cycle of FLAG-IDA + VEN. Median cycle lengths were 31 and 41 days following induction and consolidation, respectively.42 Similar results were observed with CLIA + VEN for induction, with a median time to hematologic recovery (defined as an ANC  $\ge 1 \text{ x}$  $10^{9}/L$  and a platelet count  $\geq 50 \times 10^{9}/L$ ) of 27 days following induction; only 6% (N = 3) patients had cycle lengths exceeding 45 days.<sup>2</sup>

The myelosuppression and infectious complications reported with FLAG-IDA + VEN or CLIA + VEN, similar to other intensive chemotherapy regimens for AML, underscores the importance of access to rigorous supportive care measures necessary for the implementation of these treatment regimens. Treatment should occur at a facility capable of instituting standard antimicrobial prophylaxis (including mold-active antifungal azoles with appropriate FDA-approved VEN dose adjustments), frequent clinical and laboratory assessments, blood product transfusion support, and prompt admission with initiation of broad-spectrum antibiotics at the earliest sign of infection to mitigate these complications and associated mortality.

Thus, the addition of VEN with IC for the treatment of patients with ND-AML is encouraging. Early results of ongoing studies are notable for high response rates ranging from 72% to 97%, with impressive composite CR rates (~90%) and survival reported to date. Importantly, VENbased IC regimens appear particularly effective in irradicating MRD and facilitating transition to consolidative HSCT in fit patients with adverse risk AML. With proper management, these pivotal early trials demonstrate the feasibility, safety, and promise of IC regimens incorporating VEN.

### Venetoclax in combination with intensive chemotherapy in R/R-AML

#### FLAG-IDA + venetoclax

FLAG-IDA + VEN has also been utilized in the high-risk R/R-AML setting with promising early results in the initial 39 patients reported. Thirty-six percent of patients received a prior allogeneic HSCT, 56% had ELN adverse risk AML, and 41% had adverse risk or complex cytogenetics; 30% were in salvage #2 or greater.<sup>38</sup>

The initial VEN duration (Days 1–21) and cytarabine dosing (2 g/m<sup>2</sup>) during induction resulted in prolonged myelosuppression and subsequent infectious related complications within the P1b cohort, prompting a reduction in the duration of VEN (Days 1–14) and dose of cytarabine to (1.5 g/m<sup>2</sup>). Following dose optimization, the overall response rate was 75% for P1b patients (N = 16) and 70% for patients with R/R-AML treated at the recommended phase 2 dose,<sup>17</sup> with respective CRc (CR + CRh + CRi) rates of 75% and 61%. Seventy-six percent of patients in salvage 1 or 2 attained a CRc. Impressively, 69% of R/R-AML patients in CRc attained MRD negativity.

After a median study follow-up of 12 months, patients treated at the phase 2 dose had a median duration of response and OS that was not reached; median EFS was 11 months. Estimated 12-month EFS and OS rates within this population were 41% and 68%, respectively,38 representing an improvement compared to historical outcomes in R/R-AML. FLAG-IDA + VEN was effective in patients in salvage 1 or 2 (median OS: 14 months), and within the small, but particularly high-risk subgroup of R/R-AML patients who had received a prior HSCT (median OS: 13 months). Fortysix percent of patients with R/R-AML successfully transitioned to consolidative allogeneic HSCT, including six patients who had relapsed following prior transplantation and received a second allogeneic HSCT at response.38

Adverse events within the R/R-AML cohorts were consistent with those observed in the ND-AML cohort treated with FLAG-IDA + VEN, with infectious complications predominating. Febrile neutropenia and bacteremia occurred in 51% and 46% of R/R-AML patients, with slightly higher rates of bacteremia observed in the phase 1b cohort compared to the phase 2 cohort (50% *versus* 43%).<sup>38</sup> While delayed count recovery following cycle 1 or 2 of FLAG-IDA + VEN was more common in patients with R/R-AML or sAML/ tAML/ts-AML, median cycle lengths for patients with R/R-AML within the phase 2 cohort were 35, 37, and 39 days for cycles 1, 2, and 3, respectively. Thirty-day mortality and 60-day mortality within this high-risk patient cohort were 0% and 4.4%, respectively.

### Fludarabine, cytarabine, and idarubicin (FLAVIDA)+venetoclax

A seven-day course of VEN in combination with fludarabine, cytarabine, and idarubicin (FLAVIDA) also appears to be effective in R/R-AML.<sup>46</sup> FLAVIDA was investigated in thirteen patients with R/R-AML that were predominantly younger (median age 49 years), in first salvage (range: 1–5), and had ELN intermediate and adverse risk (84.6%) AML. Treatment with FLAVIDA resulted in an ORR following induction of 69%, with a median duration of CR/CRi of 7.3 months. Two of these patients achieved MRD negativity. After a median follow-up of 9.3 months, estimated 6-month EFS and OS were 52% and 76%, respectively.46 Nine patients successfully transitioned to allogeneic HSCT, including two patients who had received prior HSCT.

Common adverse events were similar to those observed with FLAG-IDA + VEN in the R/R setting—77% of patients developed neutropenic fever; 23% developed bacteremia.<sup>46</sup> The median time to neutrophil and platelet recovery (defined as an ANC  $\ge 0.5 \times 10^9$ /L and a platelet count  $\ge 50 \times 10^9$ /L) with a seven-day course of FLAVIDA was 33 and 36 days, similar to that observed in a historical cohort of patients treated with FLA-IDA (ANC  $\ge 0.5 \times 10^9$ /L: 32 days;  $\ge 50 \times 10^9$ /L: 39 days).

These findings within a R/R-AML population suggest that similar to ND-AML, VEN can be safely incorporated with intensive salvage chemotherapy to improve efficacy in high-risk, relapsed patient populations compared to standard salvage chemotherapy regimens. Importantly, VEN in conjunction with IC enabled the successful transition to allogeneic HSCT in a significant portion of patients with R/R-AML without compromising safety or significantly increasing rates of myelosuppression.

# Liposomal daunorubicin and cytarabine (i.e., CPX-351)+venetoclax

VEN has also been investigated with the dual drug liposomal formulation of cytarabine and daunorubicin administered at a fixed 5:1 molar ratio (CPX-351)<sup>45,47</sup> in a heavily pretreated (median of 2 prior therapies) R/R-AML population. Fifty percent (N = 9) of enrolled patients harbored a complex karyotype, and six (33%) had TP53-mutated AML. Due to cytopenias (notably on-target neutropenia and thrombocytopenia), an attenuated schedule of VEN (Days 2-8 rather than 2-21 of a 28-day cycle) in combination with CPX-351 is now under evaluation.<sup>47</sup> In the initial 18 patients reported to date (17 of whom had R/R-AML), the ORR was 44%; 37% (N = 6) attained a CR/CRi. Notably, the cohort included six patients with R/R-AML who had received prior VEN-based treatment. Within this small subgroup, the ORR (17%) was more modest. The sole patient reported to date with ND-AML attained an MRD-negative CR following induction. In the entire study cohort, the median OS was 6.4 months, while median OS and relapsefree survival were not reached among responding patients at the time of analysis. Thirty-day mortality and 60-day mortality were 11% and 22%, respectively.

The observed efficacy and toxicity profile in this adverse risk patient population are notable and warrant follow-up of ongoing dose-expansion cohorts in both ND and R/R-AML in order to define which patients may derive the most benefit from this potent combination.<sup>47</sup>

### Molecular determinates of response to venetoclax based induction regimens in AML

Intensive chemotherapy incorporating VEN evokes high initial response rates across all genomic subgroups in AML. In patients with ND-AML, FLAG-IDA + VEN resulted in CRc (CR + CRi + CRh) rates of 88%, 89%, and 89% in patients with ELN favorable, intermediate, or adverse risk AML.<sup>42</sup> Similarly, patients treated with CLIA + VEN with ELN favorable, intermediate, or adverse risk AML demonstrated 12-month survival rates of 78%, 93%, and 81%, respectively.<sup>37</sup>

Analysis of molecular correlates of blast reduction within the CAVEAT trial identified marked reductions in bone marrow blasts in patients with ND-AML and mutations in NPM1 (56% reduction), IDH2 (55% reduction), or SRSF2 (47% reduction) following a 7-day VEN pre-phase prior to combining the '5 + 2' schedule of idarubicin and cytarabine.<sup>36</sup> Consequently, patients with NPM1-, IDH2-, or SRSF2-mutated AML demonstrated favorable median OS (NPM1: 13.2 months; IDH2: not reached; and SRSF2: 31.3 months).<sup>36</sup> Similar to patients with ND-AML, patients with R/R-AML harboring mutations in NPM1, IDH1, or IDH2 demonstrated favorable outcomes to FLAG-IDA + VEN treatment.<sup>38</sup> Within this subgroup, the CRc rate was 100% and 12-month survival was 83%, with 71% of patients able to transition to HSCT with curative intent.10,34,38,48

Patients with ND-AML with mutations in signaling pathway genes (FLT3-ITD/TKD, RAS, PTPN11) or TP53 demonstrated more modest reductions in bone marrow blasts during the VEN pre-phase (17% and 7%, respectively) of the CAVEAT trial, correlating with inferior survival in patients with FLT3-ITD (median OS: 3.6 months) or TP53-mutated AML (median OS: 5.5 months), respectively.14 Whereas mutations in signaling pathway genes (K/NRAS, PTPN11, FLT3, CBL, and KIT) were not prognostic in the frontline setting with FLAG-IDA + VEN, inferior survival was observed in R/R patients with such mutations compared to patients with wildtype signaling genes (median OS: 6 versus 16 months). A similar phenomenon was observed in patients with R/R-AML where mutations in tumor suppressor genes (TP53, WT1, FBXW7, or PHF6) were associated with significantly lower CRc rates (38%) and inferior survival (median OS: 7 months) with FLAG-IDA + VEN treatment in the R/R-AML setting.17

*TP53* mutations in particular appear to correlate with VEN resistance,<sup>29,31</sup> with translational studies demonstrating intact p53 function integral to sustained VEN efficacy.<sup>49</sup> Single-cell sequencing analysis of four non-responding patients treated on the CAVEAT trial revealed outgrowth of resistant *TP53*-mutated subclones (some with cooperative signaling mutations) during the 7-day VEN pre-phase, believed in part due to an increased threshold for activation of the apoptotic effectors BAX and BAK.<sup>49</sup> BCL-2 inhibition combined with cytotoxic chemotherapy (cytarabine or decitabine) did not reduce outgrowth of *TP53*-mutated clones. Conversely, co-targeting of BCL-2 and the alternative antiapoptotic BH3-family protein MCL-1 resulted in reduced *TP53*-mutated clone size and improved survival in murine models,<sup>49</sup> further suggesting targeted combinations may prove more effective in *TP53*-mutated AML than VEN combined with cyto-toxic induction chemotherapy.

Clinical experience supports the preclinical data. Ten patients (ND-AML:3; R/R-AML: 7) with *TP53*-mutated AML were included in the initial FLAG-IDA + VEN report; 40% were refractory to induction therapy. Median OS was 9 and 3.2 months in patients with ND and R/R-AML and *TP53* mutations, respectively.<sup>38</sup> Persistent *TP53* mutations were detected in 100% of patients who attained an MRD-negative CRc.<sup>38</sup> Of the two non-responding patients treated with CLIA + VEN, one harbored a *TP53* mutation.<sup>37</sup>

Other molecular aberrations necessitate more follow-up to determine their predictive impact with VEN-based treatment. Intriguingly, one patient with ELN favorable risk AML refractory to CLIA + VEN harbored a RUNX1-RUNXT1 t(8;21)(q22; q22) translocation consistent with core-binding factor AML, which may impart resistance to VEN based on preclinical data.<sup>29,31</sup> In R/R-AML patients treated with FLAG-IDA + VEN, favorable risk (i.e., core-binding factor) cytogenetics also associated with an unexpectedly low median EFS (4 versus 7 months) and OS (7.6 versus 11 months) in comparison with patients with adverse or complex cytogenetics. However, a similar finding was not observed in pediatric patients with R/R core-binding factor AML (N = 6) treated with '7 + 3'+VEN where 83% (*n* = 5) attained a CR/CRi.<sup>50</sup> Of interest, the sole non-responding patient demonstrated mixed BCL-2 and BCL-XL dependence on BH3 profiling, suggesting variation in antiapoptotic protein dependency may exist in this leukemic subtype.

Conversely, patients with *KMT2A*-rearranged AML (traditionally considered an adverse cytogenetic

feature)<sup>51</sup> also appear to respond favorably to VEN in combination with intensive chemotherapy. In patients treated with FLAG-IDA + VEN, 100% (N = 7; R/R-AML: 4, ND-AML: 3) of patients with *KMT2A*-rearranged AML attained a CRc, with 80% attaining a MRD-negative CRc based on reverse transcriptase-polymerase chain reaction;<sup>38</sup> 12-month OS in this molecular subgroup was an impressive 80%.

As VEN-based therapies continue to evolve in the treatment of both young and older patients with AML, a deeper understanding of the effects imparted by VEN in combination with various therapy backbones at the molecular and cellular level is warranted, necessitating larger correlative analyses of patients treated with VEN-based induction regimens to help confirm and expand these preliminary findings.

### Future directions

The efficacy signals emanating from the early results of VEN-containing IC regimens are promising. Future work will further clarify clinical and molecular patient subgroups that benefit most from these treatments.

Patients with sAML have favorable responses with FLAG-IDA + VEN and CLIA + VEN, suggesting both may serve a role as frontline induction regimens given the notable activity within this high-risk patient subgroup, akin to the efficacy observed with CPX-351.45 Frontline VEN-based regimens do not appear to have increased activity in patients with TP53-mutated AML; however, marked activity was noted in patients with intermediate- or adverse-risk AML, including subgroups of patients with other adverse-risk features (i.e., ASXL1, RUNX1, KMT2A-rearranged, adverse/complex cytogenetics without TP53 mutations). It seems plausible that within these patient populations, the use of VEN-containing regimens could further improve outcomes compared to historical standard of care regimens and should be considered for use. An ongoing phase 3 trial (NCT04628026) of 7 + 3 versus 7 + 3 + VEN will help validate these findings.

In the salvage setting, the high rate of MRDnegative remissions and successful transition to HSCT in patients with R/R-AML treated with FLAG-IDA + VEN provides evidence about the efficacy of the regimen in this difficult to treat patient population, where its use should be considered alongside other commonly used conventional cytotoxic chemotherapy-based salvage regimens (i.e., FLAG-IDA and MEC). Prospective randomized investigations establishing the efficacy of VEN with IC in the salvage setting would be a welcome addition to confirm the early efficacy signals reported in phase 2 investigations of R/R-AML.

An additional ensuing question of interest is whether HSCT may be omitted in certain patients who attain MRD-negative remissions, such as patients with ELN intermediate-risk AML, where exactly which patients benefit from consolidative HSCT remains undefined. Data presented at the 2021 Society of Hematologic Oncology found no significant difference in EFS or OS with the use of HSCT in patients treated with FLAG-IDA + VEN.<sup>52</sup> Whether these potent induction regimens incorporating VEN induce deeper responses and can effectively cure select patients and spare the use of consolidative HSCT remains a much-anticipated question.

### Conclusions

Venetoclax is now an established standard of care in older, unfit patients with AML, and emerging data suggest a promising role when utilized in combination with induction and consolidation therapy in younger, fit patients. Early results from ongoing phase 1/2 studies indicate high rates of response and eradication of measurable residual disease in patients treated with VEN combinations. When indicated these potent regimens enable the successful transition to curative HSCT in both ND and R/R-AML and appear to improve upon historical standard of care therapies in several traditionally adverse-risk patient subgroups, suggesting VEN combinations may partially abrogate the negative prognostic impact of these adverse-risk defining molecular and cytogenetic characteristics.

Clinical investigations currently underway will aid in determining the optimal dosing and duration of IC and VEN combinations, thereby minimizing untoward toxicities including observed myelosuppression and infectious complications while maintaining therapeutic efficacy. Ongoing translational studies will undoubtedly continue to unravel the complex cellular and molecular mechanisms underpinning resistance to IC in combination with VEN, enabling more precise and individualized therapy. Expanded results of these ongoing early-phase trials in addition to trials implementing additional targeted therapies in combination with VEN will provide a pivotal path forward to guide therapy and further improve outcomes in patients with AML.

### **Author contributions**

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**Himachandana Atluri:** Formal analysis; Writing – original draft; Writing – review & editing.

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