Fracture in clinical studies of tofacitinib in rheumatoid arthritis

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Abstract

Background: Preclinical data suggest that tofacitinib would protect bone health in patients with rheumatoid arthritis (RA).

Objective: To assess fracture risk in tofacitinib RA clinical trials. **Design:** Post hoc analysis.

Methods: We analyzed pooled data of phase I/II/III and long-term extension studies (*'P123LTE* cohort'), pooled data of placebo-controlled portions of phase III studies (*phase III placebo-controlled* cohort), and data from ORAL Surveillance [phase IIIb/IV randomized, open-label trial evaluating tofacitinib 5/10 mg twice daily (BID) vs tumor necrosis factor inhibitor (TNFi) in patients \geq 50 years with \geq 1 additional cardiovascular risk factor].

Results: In the *phase III placebo-controlled* cohort, incidence rates (IRs) [95% confidence interval (CI)] of fracture were 2.11 (1.09–3.68), 2.56 (1.23–4.71), and 4.43 (1.78–9.12) per 100 patient-years (PYs) for tofacitinib 5 mg BID, tofacitinib 10 mg BID, and placebo, respectively [tofacitinib 5 mg BID vs placebo: hazard ratio (HR) (95% CI)=0.55(0.18-1.65); tofacitinib 10 mg BID vs placebo: HR (95% CI)=0.72 (0.26-2.01)]. In P123LTE, IRs (95% CI) were 2.62 (2.29-2.99) and 2.26 (2.02-2.52) per 100 PY for average tofacitinib 5 and 10 mg BID, respectively. In ORAL Surveillance, IRs (95% CI) were 2.79 (2.34–3.30), 2.87 (2.40–3.40), and 2.27 (1.87–2.74) per 100 PY for tofacitinib 5 mg BID, tofacitinib 10 mg BID, and TNFi, respectively. In ORAL Surveillance, the risk of fracture was numerically higher than TNFi for tofacitinib 5 mg BID [HR (95% CI) = 1.23 [0.96–1.58]] and tofacitinib 10 mg BID [HR (95% CI) = 1.26 (0.97–1.62)]. In ORAL Surveillance, independent predictors of all and osteoporotic fractures with tofacitinib or TNFi included age \geq 65, female sex, history of fracture/osteoporosis, and baseline oral corticosteroid use. **Conclusion:** This post hoc analysis showed numerically lower fracture risk with tofacitinib versus placebo and numerically greater risk versus TNFi. We did not identify any tofacitinibspecific predictors of fractures, and predictors of fracture were generally aligned with prior literature in the general population and patients with RA. Patients with fracture risk factors should be adequately monitored and treated.

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Introduction

The inflammatory state associated with rheumatoid arthritis (RA) contributes to an elevated risk of osteoporosis and osteoporotic fractures.^{1–5} Patients with RA have approximately double the risk of osteoporosis^{2,3} and two- to three-fold

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higher rates of fracture^{1,4} compared with the general population; this increase in risk is most pronounced in patients with longer RA disease duration, chronically persistent inflammation, seropositive disease, use of corticosteroids, and low physical activity.^{6,7} Other known risk factors for fracture include older age, smoking, lower body mass index (BMI), low bone mineral density (BMD), female sex, history of prior fracture, and the use of opioids, proton pump inhibitors, and antidepressants.^{1,8–14}

Some studies in patients with RA have suggested that treatment with biologic disease-modifying antirheumatic drugs (DMARDs) and targeted synthetic DMARDs can reduce the loss of BMD and/or fracture risk,^{10,15,16} potentially by controlling systemic inflammation and/or reducing use of glucocorticoid therapy. Other studies have found that patients with RA continue to have an elevated risk of fracture compared with the general population, despite recent improvements in the RA therapeutic landscape.^{7,17}

Tofacitinib is an oral Janus kinase (JAK) inhibitor for the treatment of RA.18,19 Published preclinical data in animal models of RA suggest that tofacitinib may reduce osteoclastogenesis, stimulate osteoblast function and have a protective effect on BMD in the pro-inflammatory state of RA.²⁰⁻²² There are several theoretical pathways by which JAK inhibition can potentially impact bone loss. One of these is via interleukin-7 (IL-7), a cytokine that signals through JAK1 and JAK3, leading to T-cell development and maturation.23 T-cell activation induces expression of receptor activator of nuclear factor κ B (RANK)-ligand, a member of the tumor necrosis factor (TNF) cytokine family, which is a crucial regulator of osteoclastogenesis.²⁴ Other cytokines (such as IL-20), which sigand/or JAK2, also nal via JAK1 drive osteoclastogenesis and bone loss in the context of both chronic inflammation and osteoporosis.25-28 Therefore, by inhibiting JAK pathway-mediated signaling cytokines, tofacitinib would be expected to inhibit bone loss and stimulate osteoblast function. In fact, animal models of disease do support a protective effect of tofacitinib on bone, and no tofacitinib-related detrimental effects on bone growth and development have been noted in animal toxicity studies.20-22,29

In a pharmacovigilance database search, osteoporosis was identified as a potential risk for patients with RA on tofacitinib.³⁰ While the preclinical data regarding tofacitinib and bone health did not raise safety concerns, here, we report post hoc analyses of the tofacitinib clinical trial program to assess the impact of tofacitinib on fracture risk in patients with RA.

Methods

Clinical trial data

We assessed the risk of fracture in the tofacitinib RA clinical trial program across two different pooled cohorts, and separately in the ORAL Surveillance trial (NCT02092467). Based on differences in the patient populations compared and the inclusion of tumor necrosis factor inhibitor (TNFi) as an active control in the long-term ORAL Surveillance study, separate analyses were conducted for this data set.

The *P123LTE* cohort included integrated data from all phases I, II, III, and long-term extension (LTE) studies (full details in the Supplementary Appendix). The *P123LTE* cohort focused on patients who received ≥ 1 dose of tofacitinib. Potential dose-related effects were assessed in two analysis groups based on the patient's overall tofacitinib exposure: average tofacitinib 5 mg twice daily (BID; average total daily dose < 15 mg) and average tofacitinib 10 mg BID (average total daily dose ≥ 15 mg).

To allow for comparisons between tofacitinib and placebo, the *phase III placebo-controlled* cohort included integrated data from the placebocontrolled portions of phase III randomized controlled trials (RCTs) (full details in the Supplementary Appendix). Data were analyzed for the randomized treatment groups of tofacitinib 5 mg BID, tofacitinib 10 mg BID, and placebo. For most of the placebo-controlled studies, patients continued treatment with methotrexate or a non-biologic DMARD regardless of treatment group (including placebo).

ORAL Surveillance (NCT02092467) was a phase IIIb/IV randomized, parallel-arm, open-label, safety endpoint study evaluating the safety of tofacitinib at two doses (5mg BID and 10mg BID) *versus* TNFi [adalimumab 40 mg every other week (North America), or etanercept 50 mg once weekly (rest of the world)].³¹ In contrast to other tofacitinib RA clinical studies, all patients enrolled in ORAL Surveillance were \geq 50 years of age with \geq 1 additional cardiovascular (CV) risk factor.

All studies were conducted in accordance with the Declaration of Helsinki, International Conference on Harmonization Guidelines for Good Clinical Practice, and local country regulations, and were approved by the Institutional Review Board and Independent Ethics Committee at each center. Patients provided written informed consent. No further ethical approval was required for these post hoc analyses in accordance with the policies of our institutions.

Study outcomes

Fracture events were identified *via* MedDRA preferred terms. Separate analyses were conducted for all fractures and for osteoporotic fractures only.³² Falls were also captured as adverse events to contextualize the fracture data. Full details are available in the Supplementary Appendix.

Statistical analyses

Outcomes were presented as incidence rates [IRs; number of patients with events per 100 patient-years (PYs)] with hazard ratios (HRs) and 95% confidence intervals (CI) to compare treatment groups.

Potential baseline predictors of fractures in the P123LTE and ORAL Surveillance cohorts were analyzed using hierarchical regressions. First, potential baseline predictors were selected based on the existing literature^{1,6-14,33-40} and screened via simple Cox analyses which included treatment group and a single candidate baseline predictor in each model. Any baseline predictor with p < 0.10 entered the multivariable model. Next, a multivariable Cox proportional hazard model using a backward selection algorithm with selection criterion p < 0.05 was used to obtain the final set of baseline covariates. Treatment group was retained regardless of the p value. In addition, an interaction term between the treatment group and a predictor (whether it is a dichotomous or polytomous variable) was added to the simple Cox model to evaluate whether the treatment had a differential impact on that variable's relationship with fracture risk. In ORAL Surveillance, subgroup IRs and HRs (vs TNFi) and corresponding 95% CIs were reported for the predictors retained in the final multivariable model. The risk of fracture between tofacitinib 5 or 10 mg BID *versus* TNFi within a level of predictor was then modeled using simple Cox models, with treatment as the only covariate.

All analyses were post hoc. Across these exploratory analyses, no multiplicity adjustments were applied; p values are provided as a descriptive measure only.

Results

Patients

The demographic and clinical characteristics of patients in the *P123LTE* cohort (tofacitinib treatment groups) and ORAL Surveillance are listed in Table 1.

Incidence of fracture in patients with RA (pooled tofacitinib clinical trial data and ORAL Surveillance)

Figure 1(a) shows that the incidence of all fractures was numerically higher with placebo than with tofacitinib 5 mg BID or 10 mg BID in the *phase III placebo-controlled* cohort. The HR (95% CI) for tofacitinib 5 mg BID *versus* placebo was 0.55 (0.18, 1.65), and that for tofacitinib 10 mg BID *versus* placebo was 0.72 (0.26, 2.01). Similar results were observed for osteoporotic fractures.

In the *P123LTE* cohort, IRs for all fractures and osteoporotic fractures were numerically higher in the average tofacitinib 5 mg BID group relative to the average tofacitinib 10 mg BID group [Figure 1(b)].

IRs in the tofacitinib groups in ORAL Surveillance were similar to the rates reported in the *P123LTE* cohort. The HRs (95% CIs) for all fractures with tofacitinib 5 or 10 mg BID *versus* TNFi were 1.23 (0.96, 1.58) and 1.26 (0.97, 1.62), respectively [Figure 1(c)]. When limiting the analysis to osteoporotic fractures, the HRs (95% CI) for tofacitinib 5 or 10 mg BID *versus* TNFi were 1.35 (0.91, 2.01) and 1.60 (1.09, 2.36), respectively. The comparison of osteoporotic fractures between tofacitinib 10 mg BID and TNFi was the only one for which the 95% CI of the HR excluded 1 [Figure 1(c)].

Details of the number and location of all fractures in *P123LTE* and ORAL Surveillance are presented in Table S1.

Predictors of fracture in patients with RA

In the *P123LTE* cohort, the following were identified as risk factors for both all fractures and

Table 1. Patient demographics and baseline characteristics.

	P123LTE				ORAL Surveillance					
	Average tofacitir BID (<i>N</i> =	e nib 5 mg : 3969)	Average tofacitin BID (<i>N</i> =	ib 10 mg 3995)	Tofacit 5 mg B (<i>N</i> = 14	inib ID 55)	Tofacit 10 mg (<i>N</i> = 14	inib BID 56)	TNFi (<i>I</i>	V=1451)
Age, mean (SD), years	53.3	(12.4)	52.0	(11.6)	60.8	(6.8)	61.4	(7.1)	61.3	(7.5)
< 65, n (%)ª	3247	(81.8)	3447	(86.3)	1042	(71.6)	978	(67.2)	989	(68.2)
≥ 65, n (%)	722	(18.2)	548	(13.7)	413	(28.4)	478	(32.8)	462	(31.8)
Female sex, n (%)	3236	(81.5)	3286	(82.3)	1169	(80.3)	1124	(77.2)	1117	(77.0)
BMI, <i>n</i> (%), kg/m²										
< 18	122	(3.1)	108	(2.7)	7	(0.5)	8	(0.5)	21	(1.4)
\ge 18 to \le 25	1678	(42.3)	1524	(38.1)	330	(22.7)	320	(22.0)	299	(20.6)
> 25 to < 30	1179	(29.7)	1205	(30.2)	505	(34.7)	531	(36.5)	507	(34.9)
≥ 30	982	(24.7)	1156	(28.9)	606	(41.6)	594	(40.8)	617	(42.5)
Missing data	8	[< 1.0]	2	(< 1.0)	7	(0.5)	3	(0.2)	7	(0.5)
Smoking status, <i>n</i> (%)										
Current smoker	648	(1.6)	718	(18.0)	411	(28.2)	402	(27.6)	353	(24.3)
Past smoker	689	(17.4)	699	(17.5)	309	(21.2)	302	(20.7)	326	(22.5)
Never smoked	2522	(63.5)	2474	(61.9)	735	(50.5)	752	(51.6)	772	(53.2)
Missing data	110	(2.8)	104	(2.6)	0		0		0	
RF positive, <i>n</i> (%)	2559	(64.5)	2587	(64.8)	1243	(85.4)	1261	(86.6)	1264	(87.1)
Missing data	327	(8.2)	395	(9.9)	0		0		0	
History of fractures, n (%)	247	[6.2]	308	(7.7)	125	(8.6)	107	(7.3)	108	(7.4)
History of chronic lung disease (COPD or ILD), <i>n</i> (%)	351	(8.8)	380	(9.5)	178	(12.2)	173	(11.9)	172	(11.9)
History of vitamin D deficiency, <i>n</i> (%)	92	(2.3)	58	(1.5)	65	(4.5)	68	(4.7)	82	(5.7)
History of osteoporosis, ^b n (%)	495	(12.5)	449	(11.2)	222	(15.3)	217	(14.9)	236	(16.3)
History of osteopenia, <i>n</i> (%)	176	(4.4)	231	(5.8)	101	(6.9)	112	(7.7)	104	(7.2)
Baseline use of osteoporosis treatments, <i>n</i> (%)	327	(8.2)	339	(8.5)	127	(8.7)	129	(8.9)	150	(10.3)
Baseline use of corticosteroids, ^c n (%)	2070	(52.2)	2184	(54.7)	836	(57.5)	829	(56.9)	830	(57.2)
Baseline use of proton pump inhibitors, <i>n</i> (%)	1194	(30.1)	1211	(30.3)	643	(44.2)	608	(41.8)	592	(40.8)

BID, twice daily; BMI, body mass index; COPD, chronic obstructive pulmonary disease; ILD, interstitial lung disease; RF, rheumatoid factor; SD, standard deviation; TNFi, tumor necrosis factor inhibitor.

 $a \ge 50$ to < 65 in ORAL Surveillance.

^bIncludes post-menopausal osteoporosis.

cIncludes corticosteroids administered through any route of administration. In ORAL Surveillance, baseline use of oral corticosteroids was recorded in 776 (53.3 %) patients who received tofacitinib 5 mg BID, 773 (53.1%) patients who received tofacitinib 10 mg BID, and 774 (53.3%) patients who received TNFi. Route of administration for corticosteroids was not captured in the *P123LTE* cohort.



Figure 1. IRs (patients with events/100 PY) for all fractures and osteoporotic fractures in (a) *phase III placebo-controlled* cohort^{a,b}. (b) *P123LTE* cohort^b. (c) ORAL Surveillance (\geq 50 years of age with \geq 1 additional CV risk factor at baseline)^c.

Exact Poisson (adjusted for PY) 95% CIs are provided for the crude IR. Arrow in Panel A indicates that the 95% CI extends beyond the axis.

BID, twice daily; CI, confidence interval; CV, cardiovascular; HR, hazard ratio; IR, incidence rate; *n*, number of patients with first event within the risk period; *N*, number of patients within treatment group; PY, patient-year; TNFi, tumor necrosis factor inhibitor. ^aHRs and associated 95% CI were estimated from a Cox regression model including fixed effects of treatment (only the treatment groups involved for the comparison) and study.

^bPY defined as total follow-up time (risk period) calculated up to the day of the first event, subject to an additional period of up to 28 days beyond the last dose.

^cHR (95% CI) based on a simple Cox proportional hazard model with treatment as covariate. PY defined as total follow-up time calculated up to the day of the first event, subject to the defined risk period. The risk period was minimum of (last contact date, last study treatment dose date + 28 days). First events were counted within the risk period. If a patient did not have an event or had an event but outside the risk period, the patient was censored at the end of risk period.

(a)

osteoporotic fractures using the multivariable Cox regression analysis via backward selection: age \geq 65 years; history of osteoporosis, history of chronic lung disease [i.e. chronic obstructive pulmonary disease (COPD) or interstitial lung disease (ILD)], and baseline use of corticosteroids (Figure 2). In addition to these, Health Assessment Ouestionnaire-Disability Index (HAO-DI), rheumatoid factor (RF) negativity, and baseline use of antidepressants, osteoporotic treatments, and opioids were identified as risk factors for all fractures. Moreover, history of fractures, anti-cyclic citrullinated peptide (anti-CCP) negativity, BMI > 25 to $< 30 \text{ kg/m}^2$ (ref.: ≥ 18 to $\le 25 \text{ kg/m}^2$), and baseline use of statins were also identified as a risk factor for osteoporotic fractures.

In the ORAL Surveillance cohort, the following were identified as risk factors for both all fractures and osteoporotic fractures using the multivariable Cox regression analysis via backward selection: age ≥ 65 years, female sex; history of fractures, history of osteoporosis, and baseline use of oral corticosteroids (Figure 2). In addition to these, history of chronic lung disease, chronic renal disease, being a past or current smoker, and baseline use of proton pump inhibitors were identified as risk factors for all fractures. Moreover, history of osteopenia and anti-CCP positivity was also identified as risk factors for osteoporotic fractures. Univariate analyses of baseline predictors for all fractures and osteoporotic fractures are shown in Tables S2-S5.

Figure 3 shows subgroup analysis data for patients receiving either dose of tofacitinib or TNFi in ORAL Surveillance. The variables represented are those with a p value < 0.05 in the multivariable analysis. However, the p values for the interaction between the treatment groups and the retained risk factor - that is, whether the treatment effects differed by subgroup - were ≥ 0.1009 , which do not lend statistical support for differential effects.



Figure 2. (Continued)



Figure 2. Predictors for all fractures and osteoporotic fractures from multivariable Cox models *via* backward selection in (a) *P123LTE* cohort and (b) ORAL Surveillance.

BMI, body mass index; anti-CCP, anti-cyclic citrullinated peptide; CI: confidence interval; COPD, chronic obstructive pulmonary disease; HAQ-DI, Health Assessment Questionnaire–Disability Index; HR, hazard ratio; ILD, interstitial lung disease.

*Predictors with *p* value < 0.05. HRs are shown on a logarithmic scale. Events were counted up to 28 days beyond the last dose. For both cohorts, a backward model selection algorithm was used on a multivariable Cox model which included effects for treatment groups and a set of potential predictors as a starting point, selected based on clinical knowledge and screened *via* simple Cox analyses (see Tables S2–S5; the *p* value threshold was < 0.1 for a potential predictor to be included in the multivariable model). The *p* value cut-off for a predictor to stay in the multivariable model was 0.05. Treatment group was retained regardless of the *p* value.

Discussion

Preclinical data from *in vitro* and animal studies suggest that tofacitinib would have a positive impact on bone health in patients with RA.^{20–22,29} To assess whether clinical data support these findings, we performed post hoc analyses of the tofacitinib clinical trial program. We assessed the relationship between use of tofacitinib and fracture risk in patients with RA in comparison with placebo and TNFi.

In line with preclinical findings, our analysis suggests that tofacitinib numerically reduced the rate of all fractures and osteoporotic fractures compared with placebo in patients with RA. As ethical considerations prevented prolonged treatment with placebo, it should be noted that the observation period for this group was relatively short and exposure was limited (158 PY for all fractures). Of note, the rates of fractures in the P123LTE cohort (for which the follow-up period was longer for patients on tofacitinib) were similar to those in the phase III placebo-controlled cohort. The observed differences between tofacitinib and placebo may be due to a rapid reduction in pain or changes in patients' mobility, a reduced need for use of analgesic medications or opioids, or a reduced risk of falls.^{10,41,42} Tofacitinib may also reduce the risk of fracture relative to placebo due to reduced need for oral steroids with better RA disease control.43,44 Our findings align with the results of a small randomized clinical trial of 30 patients with RA which found that 12 months of tofacitinib treatment stabilized BMD, increased

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Osteoporotic fractures		IR (95% CI)	HR (95% CI), tofacitinib vs TNFi
Age (years) ≥65		2.03 (1.34, 2.96) 2.10 (1.41, 3.01) 1.54 (0.98, 2.32)	1.31 (0.75, 2.29) 1.35 (0.78, 2.33) Ref.
<65		0.83 (0.57, 1.18) 1.07 (0.75, 1.48) 0.55 (0.33, 0.86)	1.52 (0.86, 2.68) 1.94 (1.11, 3.37) Ref.
Sex Female		1.35 (1.02, 1.76) 1.58 (1.20, 2.04) 0.94 (0.66, 1.30)	1.44 (0.95, 2.20) 1.68 (1.11, 2.54) Ref.
Male		0.31 (0.06, 0.89) 0.65 (0.26, 1.34) 0.54 (0.20, 1.18)	0.56 (0.14, 2.26) 1.18 (0.40, 3.51) Ref.
History of fractures Yes		2.14 (0.98, 4.07) 1.96 (0.72, 4.27) 2.77 (1.33, 5.09)	0.78 (0.32, 1.91) 0.68 (0.25, 1.87) Ref.
No		1.06 (0.78, 1.40) 1.33 (1.01, 1.71) 0.70 (0.48, 0.99)	1.52 (0.97, 2.37) 1.89 (1.23, 2.91) Ref.
History of osteoporosis Yes		1.82 (0.99, 3.05) 3.12 (1.90, 4.81) 1.94 (1.08, 3.19)	0.94 (0.45, 1.95) 1.59 (0.82, 3.11) Ref.
No		1.03 (0.75, 1.38) 1.10 (0.80, 1.47) 0.65 (0.43, 0.94)	1.59 (0.98, 2.56) 1.68 (1.04, 2.71) Ref.
History of osteopenia Yes		2.61 (1.20, 4.96) 2.69 (1.29, 4.95) 1.17 (0.32, 2.99)	2.22 (0.68, 7.20) 2.29 (0.72, 7.30) Ref.
No		1.04 (0.77, 1.38) 1.26 (0.95, 1.64) 0.83 (0.58, 1.13)	1.26 (0.83, 1.93) 1.51 (1.00, 2.29) Ref.
Anti-CCP positivity Yes		1.28 (0.95, 1.69) 1.47 (1.11, 1.92) 0.94 (0.66, 1.30)	1.37 (0.89, 2.10) 1.56 (1.03, 2.38) Ref.
No		0.75 (0.34, 1.43) 1.02 (0.49, 1.87) 0.55 (0.20, 1.19)	1.37 (0.49, 3.86) 1.82 (0.66, 5.01) Ref.
Baseline use of oral corticosteroids Yes		1.73 (1.26, 2.30) 1.72 (1.24, 2.32) 1.07 (0.71, 1.55)	1.61 (1.01, 2.58) 1.59 (0.98, 2.56) Ref.
No		0.50 (0.26, 0.88) 1.00 (0.63, 1.50) 0.60 (0.33, 1.01)	0.83 (0.38, 1.80) 1.66 (0.85, 3.22) Ref.
(IIIIIII D 1 2 3 4 5 6 IR (95% Cl)		

● Tofacitinib 5 mg BID ● Tofacitinib 10 mg BID ▲ TNFi

Figure 3. IRs (patients with events/100 PY) for osteoporotic fractures in ORAL Surveillance stratified by independent predictors of fractures.

PY defined as total follow-up time calculated up to the day of the first event, subject to the risk period. Within each level of a predictor, HR (95% CI) based on simple Cox proportional hazard model with treatment as covariate. The risk period was minimum of (last contact date, last study treatment dose date + 28 days). First events were counted within the risk period. If a patient did not have an event or had an event but outside the risk period, the patient was censored at the end of risk period.

BID, twice daily; anti-CCP, anti-cyclic citrullinated peptide; CI, confidence interval; HR, hazard ratio; IR, incidence rate; PY, patient-year; Ref, reference group; TNFi, tumor necrosis factor inhibitor.

markers of bone formation and decreased markers of bone resorption. $^{\rm 15}$

Data from ORAL Surveillance allowed for comparison of fracture risks associated with tofacitinib *versus* TNFi in an older population with ≥ 1 additional CV risk factors. Although the current post hoc analysis was not designed to evaluate fracture as a primary or secondary endpoint, it showed numerically higher risk for fractures with tofacitinib versus TNFi in this particular population. This safety study of tofacitinib included TNFi as an active comparator and no placebo arm; therefore, the difference seen in the risk for fractures may imply that TNFi reduce fracture risk in patients with RA to a greater extent than tofacitinib, rather than reflecting an increase in risk with tofacitinib. In the subgroup analysis of these data, patients who were 50-65 years old had a numerically higher risk of fractures in the tofacitinibtreated arms compared with the TNFi arm (a difference that was not observed for all fractures in patients >65 years old). Likewise, in ORAL Surveillance, female patients who received tofacitinib had a numerically higher risk of fracture than those who received TNFi. However, the p values for the interaction between age group (≥ 65 or <65 years) and treatment group (tofacitinib 5 or 10 mg BID, or TNFi), and that for the interaction between sex and treatment group, were both ≥ 0.1 , which does not support differential effects. Previous studies have suggested that the decline in ovarian function with menopause is associated with an increase in pro-inflammatory cytokines (IL-1, IL-6, and TNF- α),⁴⁵ but our clinical trials did not collect data on onset of menopause.

Overall, these analyses did not provide evidence of clear dose effects with tofacitinib 5 BID versus 10 mg BID. The multivariable Cox regression analysis adjusting for baseline predictors showed no difference in fracture risk between the two doses of tofacitinib in the P123LTE cohort. Similarly, in ORAL Surveillance, no clear differences in fractures were noted between the two doses. The recommended dosage of tofacitinib for RA is 5 mg BID for the immediate-release formulation or 11 mg once daily for the extendedrelease formulation,18,19 but the latter was not evaluated separately in the analyses reported here (some patients received the extended-release formulation in *P123LTE* and were included in the average tofacitinib 5 and 10 mg BID groups based on their average daily dose).

Based on the results of multivariable regression analysis in the P123LTE cohort and in ORAL Surveillance, risk factors for all fracture risk with treatment (tofacitinib in P123LTE, and tofacitinib or TNFi in ORAL Surveillance) included female sex (ORAL Surveillance only), older age $(\geq 65 \text{ years})$, and use of corticosteroids at baseline. These are well-known risk factors for fractures in the general population.⁴⁶ In addition, history of chronic lung disease (COPD or ILD) and history of osteoporosis were risk factors for all fractures in both cohorts. The increased risk observed with history of chronic lung disease may be reflective of cumulative exposure to smoking or COPD-related steroid treatment,47,48 both of which were also identified as risk factors in this analysis. Other known risk factors for fracture in women include time since menopause and age at menopause;49 however, detailed history of menopause was not collected in any of the clinical data sources used and, therefore, this variable could not be included in the analysis. In the P123LTE cohort, history of fractures, baseline HAO-DI, BMI > 25 to < 30 kg/m², osteoporotic treatment, and use of antidepressants and opioids at baseline were also noted as independent risk factors for all fractures and/or osteoporotic fractures, which is consistent with previous studies in RA.8-11 However, RF and anti-CCP positivity were independent protective factors for all fractures and/or osteoporotic fractures in P123LTE, whereas both were associated with osteoporosis and BMD loss in previous studies;9,50 these findings also contrast with those for ORAL Surveillance, where RF and anti-CCP positivity appeared to be risk factors (either in simple or multivariable Cox models). Furthermore, statin use was identified as a risk factor for osteoporotic fractures in P123LTE, whereas several previous studies identified it as a protective factor.^{10,51} However, one retrospective analysis of medical claims data found that lowdose statin use protected against osteoporosis, whereas high-dose statin use increased the risk of osteoporosis.52 In ORAL Surveillance, history of fractures, chronic renal disease, current/past smoking and proton pump inhibitor use at baseline were additionally identified as risk factors of all and/or osteoporotic fractures. Overall, the lack of interaction between treatment groups (tofacitinib and TNFi) and risk factors in ORAL Surveillance suggests the risk factors for fractures are common to both tofacitinib and TNFi; these risk factors were already known for the general population and patients with RA.

Some limitations should be noted for the analyses presented here. To begin, none of the tofacitinib trials were designed to assess risk of fractures. As a result, clinical fractures and falls were captured as adverse events, data on BMD were unavailable, and silent compression fractures could not be detected. Furthermore, this study was subject to the general limitations of post hoc analyses, although potential confounders were well balanced among the treatment groups, reflecting the large sample size and randomization. Moreover, although ORAL Surveillance was a safety endpoint study, it was specifically powered and enriched to evaluate major adverse CV events and malignancies (excluding non-melanoma skin cancer) in patients receiving tofacitinib versus TNFi.31 In addition, p values were not corrected for multiple comparisons. Finally, the analyses presented here were exploratory in nature, and the baseline predictors identified do not imply causation.

Conclusion

In this post hoc analysis, pooled clinical trial data in patients with RA showed numerically lower fracture rates with tofacitinib *versus* placebo (in the *phase III placebo-controlled* cohort) and numerically greater risk *versus* TNFi (in ORAL Surveillance), without a clear dose effect. We did not identify any tofacitinib-specific risk factors for fractures in these analyses, and predictors of fracture risk were generally aligned with prior literature on the general population and patients with RA. Patients with fracture risk factors should be monitored and treated per established standards of care.

Declarations

Ethics approval and consent to participate

All clinical studies were conducted in accordance with the Declaration of Helsinki, International Conference on Harmonization Guidelines for Good Clinical Practice, and local country regulations, and were approved by the Institutional Review Board and Independent Ethics Committee at each center. All patients provided written informed consent for participation in these studies.

No further ethical approval was required for these post-hoc analyses in accordance with the policies of our institutions. *Consent for publication* Not applicable.

Author contributions

Karen E. Hansen: Conceptualization; Writing – review & editing.

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Competing interests

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Availability of data and materials

Upon request, and subject to review, Pfizer will provide the data that support the findings of the clinical trial analyses in this study. Subject to certain criteria, conditions, and exceptions, Pfizer may also provide access to the related individual de-identified participant data. See https://www. pfizer.com/science/clinical-trials/trial-data-andresults for more information. No additional data are available from the authors.

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Supplemental material

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