

Predictive Nomogram for the Prediction of Early Recurrence of Colorectal Cancer

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Aim: The prognosis of colorectal cancer (CRC) individuals after curative resection is not satisfactory due to the early recurrence. We sought to identify the affecting features of early recurrence in CRC patients.

Methods: A total of 3500 CRC patients underwent curative resection were retrospectively incorporated into our study. Among them, 246 patients exhibited tumor recurrence: 121 had early recurrence (≤ 1 year after operation) and 125 had late recurrence (> 1 year after operation). A total of 246 CRC patients with recurrence were randomly assigned into the training group (N=177) or validation group (N=69) based on the ratio of 7:3. LASSO COX regression and support vector machine (SVM) were utilized to screen for the significant clinical indexes associated with the presence of early recurrence. Recurrent nomogram was created based on the above informative parameters to predict the probability of early recurrence.

Results: Proportion of advanced TNM stage, platelet count, systemic immune-inflammation index (SII), mean corpuscular hemoglobin concentration (MCHC), CA-199, CA-125, lactate dehydrogenase, total bile acid (TBA), urea nitrogen were significantly higher in early recurrence group compared with that in late recurrence group. Results from LASSO COX regression and support vector machine (SVM) revealed that TNM stage, CA-199, CA125, SII and TBA were strong predictors for the presence of early recurrence among postoperative CRC patients in the training group. The recurrent nomogram based on the five predictors exhibited good predictive performance as calculated by C-index (0.846, 95% CI 0.789–0.902 in the training group and 0.799, 95% CI 0.697–0.902 in the validation group) for the prediction of early recurrence. Moreover, the recurrent nomogram exhibited not only encouraging calibration ability, but also great clinical utility both in the training group and validation group.

Conclusion: TNM stage, CA-199, CA125, SII and TBA were closely correlated with the presence of early recurrence of CRC patients. The recurrent nomogram held well predictive ability for the identification of CRC patients with early recurrence.

Keywords: colorectal cancer, early recurrence, recurrent nomogram, predictive model

Introduction

Colorectal cancer (CRC) continues to be the third most common cancer with regard to incidence, and constitutes the second leading cause of cancer-associated death in adults.^{1,2} Although multimodality treatment with surgical resection or chemoradiation has improved substantially, there is still a significant cancer-associated mortality due to the early recurrence.^{3–6} Nowadays, CRC is a major health problem with increasing prevalence worldwide, early recurrence and unfavorable prognosis. Therefore, precise identification of early recurrence is critical for the optimal management of individuals with CRC.

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The period from the surgical treatment to the presence of recurrence has been demonstrated to be strongly correlated with survival of CRC people, particularly among patients within one year of their curative surgery.⁷ Quite a few efforts have been attempted to search for reliable biomarkers for the early recurrence of CRC,^{8,9} but no nomogram has been specifically developed for predicting the early recurrence of CRC. Hence, the present study aimed to search for the most related indexes with the early recurrence of CRC based on the LASSO COX regression and support vector machine (SVM). Then, we created the recurrent nomogram for the prediction of early recurrence based on the above clinical features in the training group. Finally, we also verified the predictive efficiency of the recurrent nomogram and its calibration ability in the validation group.

Patients and Methods

Study Population

We retrospectively collected data of 3500 CRC patients from Wuhan Union Hospital cohort during 2013 to 2017. The clinical study was executed in line with the Helsinki Declaration. Patients with CRC all gave their informed consent to our clinical research, and our study plan was approved by the clinical research ethics committee of Wuhan Union Hospital (No. 2018-S377). The inclusion criteria were: (1) CRC as the only cancer diagnosis; (2) individuals with CRC who accepted the curative intestinal resection; (3) CRC individuals with tumor recurrence. On the contrary, the exclusion criteria were: (1) CRC patients who are younger than 18 years old or over 80 years old; (2) CRC individuals accompanied by infectious or hematological diseases before surgery; (3) CRC patients who received anti-inflammatory agents before curative surgery; (4) CRC patients lacking significant clinical data or follow-up information. Finally, we recurred 246 cases of postoperative CRC patients with tumor recurrence into our analysis according to the strict standard of nanofiltration. Early recurrence and late recurrence of CRC were defined as recurrence at ≤ 1 and > 1 year,¹⁰ respectively, after curative intestinal resection. This study included 121 cases of CRC with early recurrence and 125 cases of CRC with late recurrence. Then, these participants were randomly assigned into the training cohort (N=177) or validation cohort (N=69) based on the ratio of 7:3.

Data Collection

The demographic data, tumor features and laboratory examination indexes were retrospectively collected, including gender, onset age, current smoker, primary site of tumor, family history of CRC, histological grade, tumor size, vascular invasion, TNM stage, radiotherapy, chemotherapy, time of recurrence, blood routine, tumor biomarkers, liver function, renal function and inflammatory indexes. The optimal grouping cut-off values of continuous features were measured by X-tile software to evaluate the true effect of clinical features on survival outcomes in CRC patients. The 8th edition of American Joint Committee on Cancer's TNM staging system¹¹ was applied for CRC staging.

Construction of the Recurrent Nomogram

The recurrent nomogram was built using a three-step approach. First, we employed LASSO Cox regression¹² to identify the potentially informative differential indexes which were closely correlated to early recurrence of CRC in the training group. Then, we also adopted SVM regression model¹³ to rank the informative differential features on the basis of their permutation importance in the training group. In order to avoid the bias caused by single regression Cox model, we only selected the overlapping features to develop the recurrent nomogram which could provide the oncologists with an intuitive and quantitative prediction tool to identify the early recurrent CRC patients. Finally, we internally verified the predictive efficiency and calibration ability of the recurrent nomogram in the validation cohort.

Statistical Analysis

Collected data were analyzed using SPSS (version 21.0) in combination with R software (<https://www.r-project.org>). Measurement data conforming to normal distribution were represented with mean \pm standard deviation and assessed by independent sample *t*-test, while enumeration data were shown as number with percentage and evaluated by Pearson chi-square or Fisher exact test. X-tile software¹⁴ (version 3.6.1) was utilized to determine the optimal cut-off value of the selected variables. Kaplan-Meier curves in combination with Log rank tests were exploited to compare the differences in survival time between the early recurrent and late recurrent groups.

Results

Patient Characteristics

Among 3500 patients with CRC from Wuhan Union Hospital, we finally selected 246 postoperative individuals with tumor recurrence. The detailed selection process is vividly listed in Figure 1. In order to identify the clinical parameters associated with early recurrence, we divided the included individuals into early recurrence and late recurrence groups based on the cut-off value of 1 year. The student *t* test and chi-square test were utilized to compare the difference of clinical variables between

the early recurrence and late recurrence groups. As exhibited in Table 1, the proportions of TNM stage and adjuvant chemotherapy were statistically different between the early recurrence and late recurrence groups. In addition, platelet count, systemic immune-inflammation index (SII: platelets count \times neutrophil count/lymphocyte), mean corpuscular hemoglobin concentration (MCHC), CA-199, CA-125, lactate dehydrogenase, total bile acid (TBA), blood urea nitrogen (BUN) were significantly higher in early recurrence group compared with that in late recurrence group. We noticed that CRC patients with early

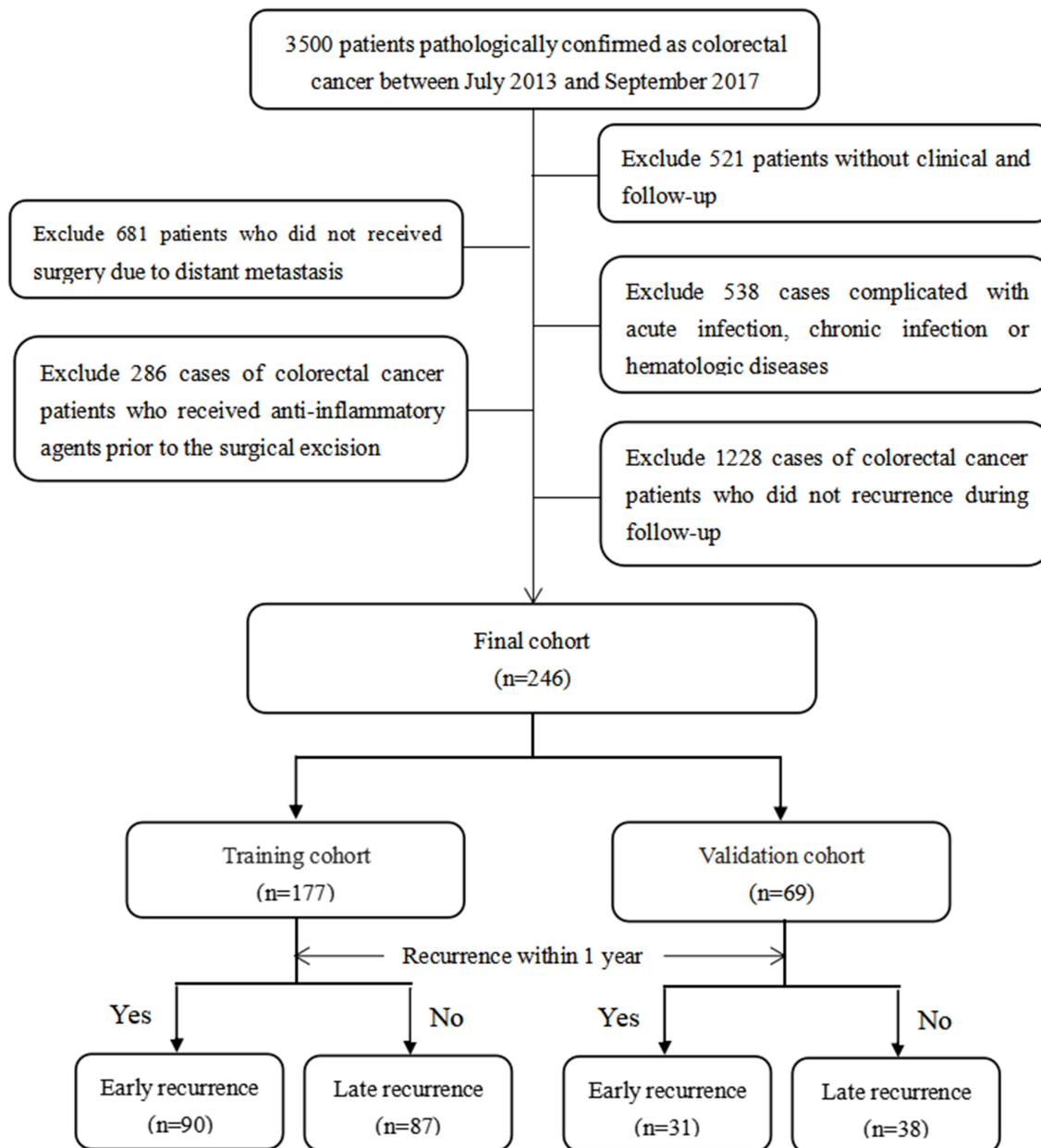


Figure 1 Detailed flow path of CRC patient selection based on the standard of nanofiltration.

Table I Clinicopathological Characteristics of 246 Recurrent Patients with CRC

Characteristics	Early Recurrence (n=121)	Late Recurrence (n=125)	P value
Age (years), n (%)			0.089
≥60	46 (38.0)	61 (48.8)	
<60	75 (62.0)	64 (51.2)	
Sex, male, n (%)	65 (53.7)	76 (60.8)	0.263
Primary site, n (%)			0.360
Left colon	47 (38.8)	45 (36.0)	
Right colon	28 (23.1)	23 (18.4)	
Rectum	46 (38.0)	57 (45.6)	
Family history of cancer, n (%)	11 (9.1)	10 (8.0)	0.761
Current smoker, n (%)	10 (8.3)	16 (12.8)	
Histological grade, n (%)			0.249
Well differentiated	17 (14.0)	18 (14.4)	
Moderately differentiated	98 (81.0)	97 (77.6)	
Poorly differentiated	6 (5.0)	10 (8.0)	
Tumor size, n (%)			0.534
<2cm	9 (7.4)	6 (4.8)	
2–5cm	67 (55.4)	70 (56.0)	
≥5cm	45 (37.2)	49 (39.2)	
Vascular invasion, n (%)			0.777
Yes	22 (18.2)	21 (16.8)	
No	99 (81.8)	104 (83.2)	
T stage, n (%)			0.950
T1	16 (13.2)	17 (13.6)	
T2	27 (22.3)	20 (16.0)	
T3	52 (43.0)	69 (55.2)	
T4	26 (21.5)	19 (15.2)	
N stage, n (%)			0.580
N1	73 (60.3)	67 (53.6)	
N2	27 (22.3)	38 (30.4)	
N3	21 (17.4)	20 (16.0)	
TNM stage, n (%)			<0.001
Stage I	0 (0.0)	7 (5.6)	
Stage II	9 (7.4)	28 (22.4)	
Stage III	39 (32.2)	46 (36.8)	
Stage IV	73 (60.4)	44 (35.2)	
Adjuvant chemotherapy, n (%)			0.003
Yes	70 (57.9)	49 (39.2)	
No	51 (42.1)	76 (60.8)	
Radiotherapy, n (%)			0.315
Yes	12 (9.9)	8 (6.4)	
No	109 (90.1)	117 (93.6)	
Laboratory results			
Leukocyte, ($\times 10^9/L$)	6.9 \pm 2.8	7.1 \pm 3.0	0.724
Neutrophil, ($\times 10^9/L$)	6.1 \pm 2.8	4.6 \pm 3.0	0.101

(Continued)

Table 1 (Continued).

Characteristics	Early Recurrence (n=121)	Late Recurrence (n=125)	P value
Lymphocyte, ($\times 10^9/L$)	1.1 \pm 0.5	1.6 \pm 0.6	0.170
Monocyte, ($\times 10^9/L$)	0.5 \pm 0.2	0.5 \pm 0.2	0.967
Basophil, ($\times 10^9/L$)	0.03 \pm 0.02	0.04 \pm 0.02	0.178
Hemoglobin, g/L	116.4 \pm 22.9	117.4 \pm 23.1	0.734
Red blood count, ($\times 10^{12}/L$)	4.1 \pm 0.6	4.2 \pm 0.6	0.027
Haematocrit, %	35.5 \pm 5.9	36.2 \pm 6.4	0.365
Platelet, ($\times 10^9/L$)	258.2 \pm 82.6	231.9 \pm 85.4	0.037
Neutrophil-lymphocyte ratio	10.5 \pm 7.5	14.7 \pm 7.4	0.103
Platelet-lymphocyte ratio	226.9 \pm 78.3	200.9 \pm 73.6	0.084
Monocyte-lymphocyte ratio	0.4 \pm 0.2	0.4 \pm 0.3	0.904
Systemic immune-inflammation index	1111.6 \pm 209.3	408.6 \pm 149.0	<0.001
Mean platelet volume, fL	9.7 \pm 1.4	9.7 \pm 1.4	0.927
Platelet distribution width, %	13.7 \pm 2.1	13.5 \pm 2.1	0.444
Mean corpuscular volume, fL	87.3 \pm 8.5	85.8 \pm 8.8	0.162
Mean corpuscular hemoglobin, pg	28.7 \pm 3.6	27.9 \pm 3.6	0.062
Mean corpuscular hemoglobin concentration, g/L	328.1 \pm 13.8	324.1 \pm 13.6	0.020
Carcinoembryonic antigen, ng/mL	222.4 \pm 86.3	161.0 \pm 46.8	0.725
Carbohydrate antigen 199, U/mL	520.8 \pm 172.8	177.5 \pm 17.9	0.001
Carbohydrate antigen 724, U/mL	16.0 \pm 4.2	9.0 \pm 3.4	0.080
Carbohydrate antigen 125, U/mL	99.7 \pm 39.4	25.0 \pm 10.0	<0.001
Aspartate aminotransferase, U/L	25.7 \pm 13.1	22.6 \pm 10.8	0.092
Alanine aminotransferase, U/L	21.0 \pm 10.1	25.7 \pm 16.2	0.640
Aspartate aminotransferase-lymphocyte ratio	21.5 \pm 10.6	19.0 \pm 8.7	0.300
Total bilirubin, umol/L	13.2 \pm 6.9	12.3 \pm 5.3	0.369
Direct Bilirubin, umol/L	5.0 \pm 2.1	5.1 \pm 2.5	0.809
Total protein, g/L	65.1 \pm 8.0	65.5 \pm 6.8	0.638
Albumin, g/L	39.1 \pm 5.8	39.3 \pm 4.6	0.800
Globulin, g/L	26.0 \pm 4.4	26.0 \pm 5.3	0.964
Alkaline phosphatase, U/L	100.6 \pm 33.2	85.6 \pm 34.8	0.052
Prealbumin, mg/L	0.18 \pm 0.04	0.19 \pm 0.05	0.535
Lactate dehydrogenase, U/L	267.8 \pm 53.0	197.41 \pm 50.4	0.003
γ -glutamyl transpeptidase, U/L	51.2 \pm 15.9	40.5 \pm 15.1	0.335
Total bile acid, umol/L	9.3 \pm 2.6	3.8 \pm 1.0	<0.001
Creatinine, umol/L	70.8 \pm 19.7	72.9 \pm 22.5	0.432
Urea nitrogen, mmol/L	6.9 \pm 2.2	4.5 \pm 2.0	0.024
Urea acid, umol/L	286.5 \pm 87.2	301.7 \pm 109.0	0.229
Total cholesterol, mmol/L	4.2 \pm 0.9	4.2 \pm 0.8	0.479
Triglyceride, mmol/L	1.0 \pm 0.4	1.2 \pm 0.6	0.053
High density lipoprotein, mmol/L	1.1 \pm 0.3	1.1 \pm 0.3	0.681
Low density lipoprotein, mmol/L	2.6 \pm 0.8	2.6 \pm 0.7	0.942
Over survival months	6.0 (2.6, 8.2)	20.1 (15.6, 37.3)	<0.001

recurrence experienced shorter overall survival time than those with late recurrence ($P < 0.0001$). For the sake of building a recurrent nomogram and verifying its predictive efficiency and calibration ability, we randomly assigned these CRC patients to the training group ($N=177$) or validation group ($N=69$) according to the ratio of 7:3.

Identification of Significant Features

LASSO Cox regression was performed to identify factors that were significantly correlated with early recurrence of CRC in the training group. As vividly shown in Figure 2A, basophil, monocytes to lymphocytes ratio (MLR), triglyceride, MCHC, alanine aminotransferase to lymphocyte ratio index (ALRI), CA-724, GGT, BUN, TNM stage,

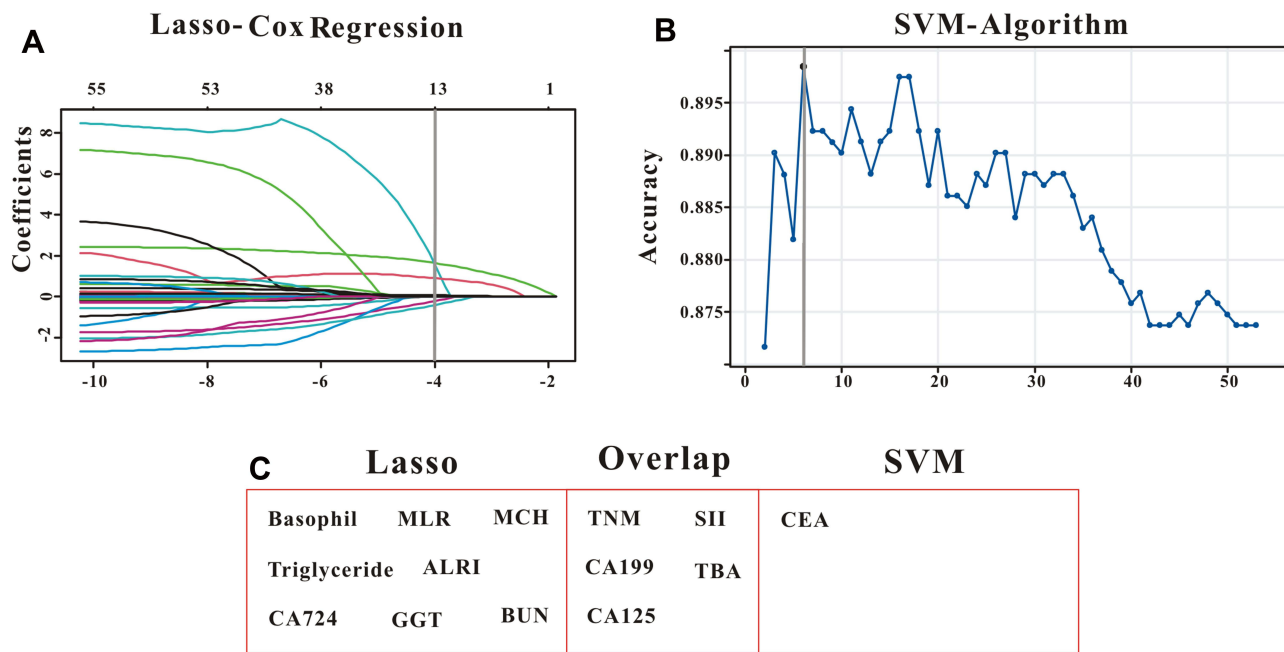


Figure 2 Selection of significant indexes associated with early recurrence of CRC patients. (A) LASSO Cox regression model. (B) Support vector machine model. (C) The overlapping features identified by the two models.

CA-199, CA125, SII and TBA were all influencing factors of early recurrence. In order to construct an easy-to-use predictive model with relatively high accuracy, we also applied the SVM model to screen for the significant indexes associated with early recurrence of CRC. Results from SVM algorithm showed that six clinical parameters were screened out by this regression model, including CEA, TNM stage, CA-199, CA125, SII and TBA (Figure 2B).

Construction and Validation of Recurrent Nomogram

We only included the overlapping features (TNM stage, CA-199, CA125, SII and TBA) selected by LASSO Cox regression model and SVM algorithm into the formation of recurrent nomogram (Figure 2C). As displayed in Figure 3, all the five features exhibited great prognostic significance among CRC patients with recurrent tumor. Based on the five informative indexes, we created a recurrent nomogram, which could intuitively predict the probability of early recurrence (Figure 4). The predictive performance of the recurrent nomogram as measured by C-index was 0.846 (95% CI 0.789–0.902) in the training group and 0.799 (95% CI 0.697–0.902) in the validation group for the prediction of early recurrence. Calibration curve for the recurrent nomogram exhibited a high correlation between the actual probability and predicted probability of early recurrence both in the training group

(Figure 5A) and validation group (Figure 5B). Finally, we exploited decision curve analysis (DCA) to determine the clinical utilities of the recurrent nomogram. The DCA indicated that if the threshold probability is 0.5, CRC patients would benefit more from using this recurrent nomogram than the treating none or treating all scenarios both in the training group (Figure 6A) and validation group (Figure 6B). In a word, the recurrent nomogram exhibited not only excellent predictive performance, but also great clinical utility.

Discussion

Nomogram, an easy-to-use predictive model, is widely applied in the diagnosis of cancer and prediction of survival.¹⁵ However, most of them focused on overall survival,^{16–19} and no nomogram is specifically created for the prediction of early recurrence in patients with CRC. As far as we know, this is the first clinical study to explore the affecting features of early recurrence among CRC patients. For the first time, we utilized LASSO Cox and SVM models to build a recurrent nomogram based on TNM stage, CA-199, CA125, SII and TBA. This nomogram possessed well predictive ability for the identification of CRC patients with early recurrence.

Although TNM stage, CA-199, CA125 and SII were well-recognized prognostic factors in CRC patients, we also identified that total bile acid was a strong prognostic factor for patients with CRC. Tilman et al²⁰ reported that

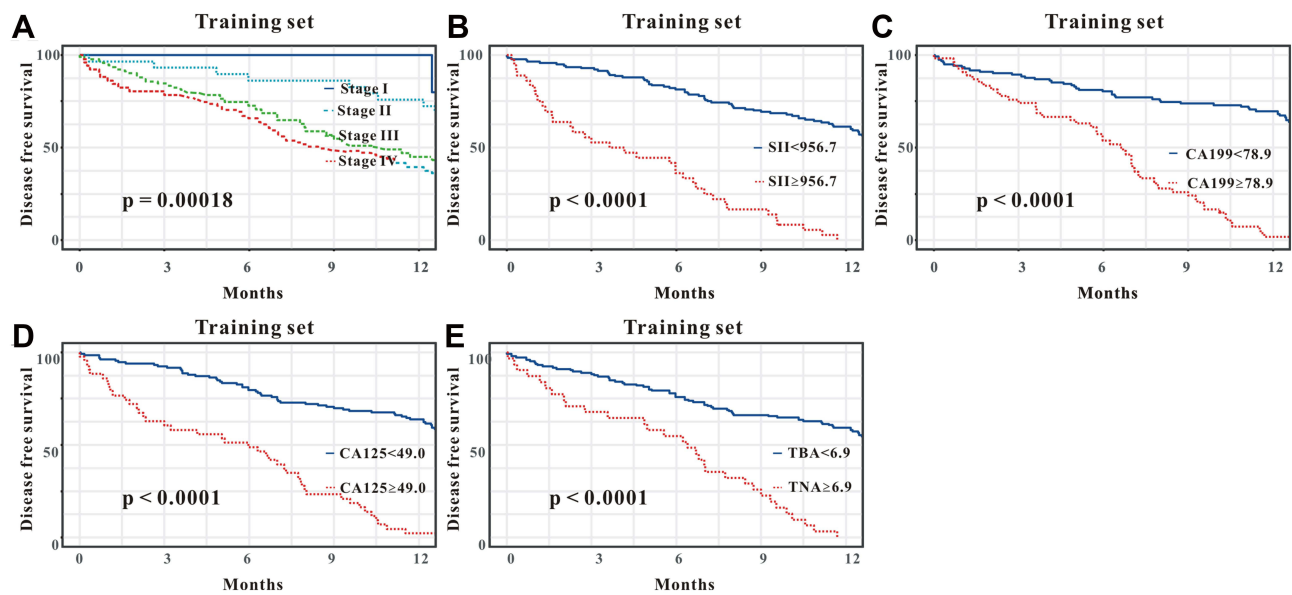


Figure 3 Kaplan–Meier curves of the five significant indexes in the training group. (A) TNM stage; (B) systemic immune-inflammation index; (C) CA-199; (D) CA-125; (E) total bile acid.

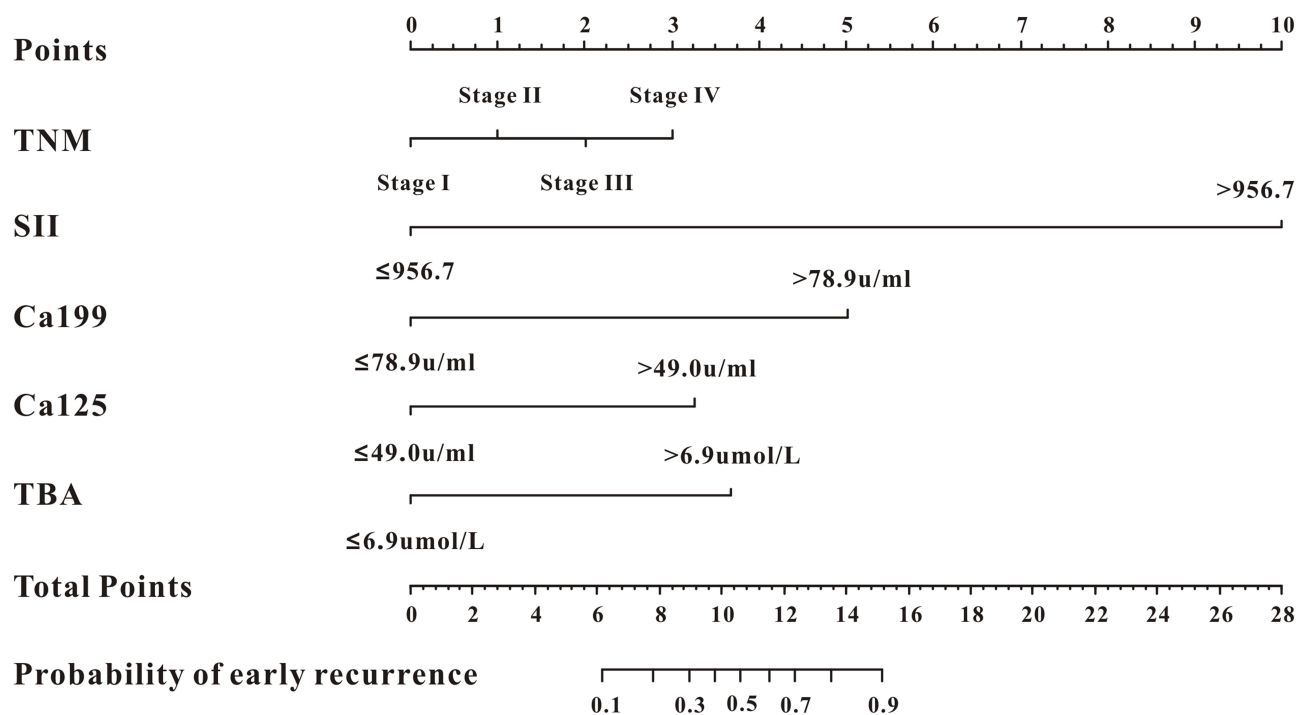


Figure 4 The recurrent nomogram was established on the basis of TNM stage, systemic immune-inflammation index, CA-199, CA-125 and total bile acid.

levels of certain conjugated primary and secondary bile acids were positively associated with risk of CRC. Jia et al²¹ demonstrated that bile acids were involved in the pathogenesis of CRC. Liu et al²² summarized the critical role of bile acids in the occurrence and progression of CRC, and also highlighted the rationale of multiple

interventions for the management of CRC patients by regulating bile acids-microbiota axis. In our study, we emphasized the prognostic role of bile acid in patients with CRC for the first time.

Early recurrence after surgical resection is common and negatively correlated with the survival among patients

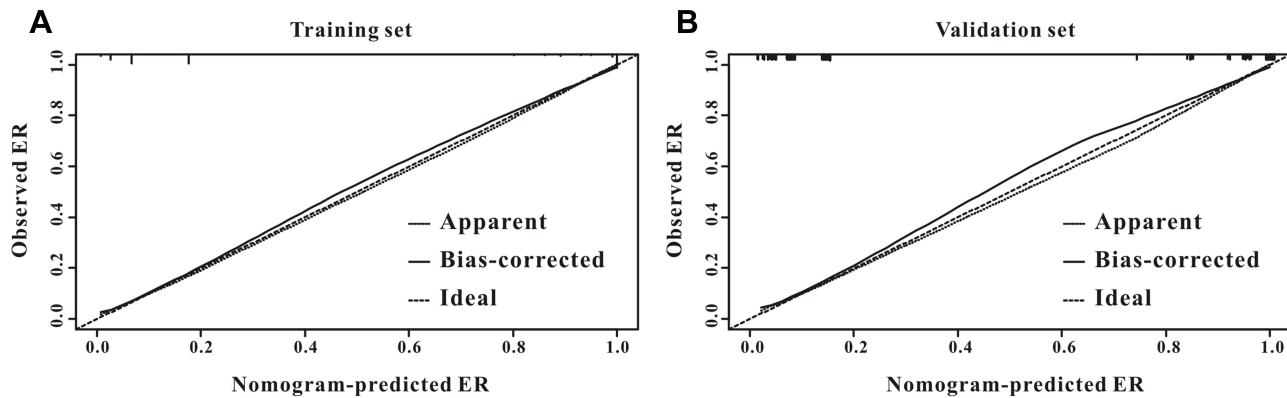


Figure 5 Calibration ability of the recurrent nomogram. The recurrent nomogram exhibited a high correlation between the actual probability and predicted probability of early recurrence both in the training group (A) and validation group (B).

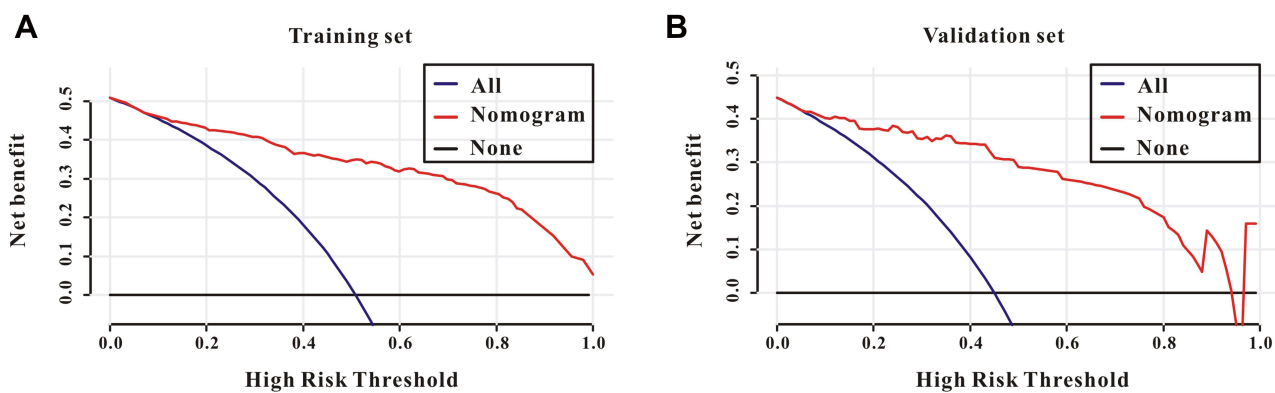


Figure 6 Clinical utility of the recurrent nomogram. Decision curve analysis revealed that if the threshold probability is 0.5, CRC patients would benefit more from using this recurrent nomogram than the treating none or treating all scenarios both in the training group (A) and validation group (B).

with CRC.^{23,24} Early recurrence of CRC may result from inadequate surgical resection, aggressive tumor biology, and failure of systemic chemotherapy or radiotherapy.²⁵ Early recurrence of CRC may also be an indicator of suboptimal preoperative staging. Colonoscopy is the endoscopic modality for postoperative monitoring, especially for tumor recurrence.^{26,27} However, except for the relatively high cost of colonoscopy, quite a few CRC patients underwent curative surgery cannot bear colonoscopy. Hence, searching for the noninvasive indexes for the precise prediction of early recurrence for postoperative individuals with CRC is quite significant.

Considerable number of clinical studies have investigated the independently affecting features of early recurrence in CRC patients with colorectal liver metastases (CLM). A recent French study with 6025 cases of participants had shown that advanced T stage, limited resection margins and synchronous CLM increase the risk of early recurrence²⁸. Additionally, Malik and its coworkers²³

indicated that 20% patients with CLM developed early recurrence after hepatic resection, and the presence of eight or more metastases was a strong prognostic factor of early recurrence via multivariate regression analysis. Bhogal et al²⁴ reported that 11% patients with CLM developed early recurrence after hepatic resection, and tumor number (>2) and tumor size (≥ 3.6 cm) were significantly correlated with early recurrence of CLM. Lan et al²⁹ concluded that CRC patients with early recurrence possessed advanced N stage, more liver metastases and higher mutation rate of APC mutation than those with late recurrence. Bozkurt et al¹⁰ investigated the clinical characteristics in CRC patients with recurrence within 1 year and over 1 year after surgery, and they reported that inadequate lymph node dissection and K-ras mutation was significantly correlated with the presence of early recurrence. To our knowledge, no study has systemically depicted the prognostic variables of early recurrence in postoperative patients with CRC. Through LASSO Cox and SVM

models, we found that TNM stage, CA-199, CA125, SII and TBA were strong prognostic variables of early recurrence in postoperative patients with CRC.

Although CRC patients with early recurrence usually signify unfavorable survival,¹⁰ it is not easy to accurately identify those patients with high risk of early recurrence. Quite a few features could affect the presence of early recurrence in patients with CRC, and construction of the recurrence predictive model incorporating highly correlated factors is of great clinical significance. On the one hand, use of the recurrent nomogram is expected to improve the survival of postoperative CRC patients who might benefit from systematic chemotherapy or radiotherapy.^{23,28} On the other hand, clinicians should shorten the follow-up period for the early recurrent patients with CRC, as these individuals might progress more rapidly than those with late recurrence.

Inevitably, several limitations still existed in our study. First, the clinical information is from a retrospective cohort rather than a prospective cohort, so the inherent bias of selection might limit our study. Second, the clinical data were from a single cohort (Wuhan Union Hospital), no data from other hospitals were available for the external validation. Finally, although some immunohistochemical indexes, such as Ki-67, P53, HER-2, VEGF, might be correlated with the recurrence of CRC. Unfortunately, they were unavailable in our study. Hence, prospective clinical trials from multicenter are needed to verify the recurrent nomogram in the near future.

Conclusion

TNM stage, CA-199, CA125, SII and TBA were closely correlated with the presence of early recurrence of CRC patients. The recurrent nomogram held encouraging predictive ability for the identification of CRC patients with early recurrence. The recurrent nomogram might provide the oncologists significant reference for appropriate postoperative treatment plans for CRC patients.

Disclosure

The authors declared no conflicts of interest in this work.

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