

Combining CRISPR activation and interference capabilities using dCas9 and G-quadruplex structures

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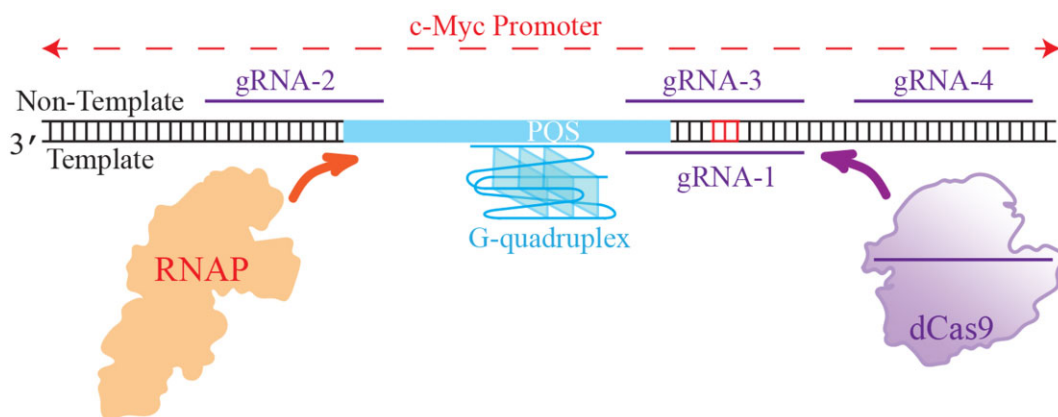
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Abstract

We demonstrate that both Clustered regularly interspaced short palindromic repeats (CRISPR) interference and CRISPR activation can be achieved at RNA and protein levels by targeting the vicinity of a putative G-quadruplex (GQ)-forming sequence (PQS) in the *c-Myc* promoter with nuclease-dead Cas9 (dCas9). The achieved suppression and activation in Burkitt's Lymphoma cell line and in *in vitro* studies are at or beyond those reported with alternative approaches. When the template strand (contains the PQS) was targeted with CRISPR–dCas9, the GQ was destabilized and *c-Myc* mRNA and protein levels increased by 2.1- and 1.6-fold, respectively, compared to controls in the absence of CRISPR–dCas9. Targeting individual sites in the nontemplate strand (NTS) with CRISPR–dCas9 reduced both the *c-Myc* mRNA and protein levels (by 1.8- and 2.5-fold, respectively), while targeting two sites simultaneously further suppressed both the mRNA (by 3.6-fold) and protein (by 9.8-fold) levels. These were consistent with cell viability assays when single or dual sites in the NTS were targeted (1.7- and 4.7-fold reduction in viability, respectively). We also report extensive *in vitro* biophysical studies which are in quantitative agreement with these cellular studies and provide important mechanistic details about how the transcription is modulated via the interactions of RNA polymerase, CRISPR–dCas9, and the GQ.

Graphical abstract



Introduction

Clustered regularly interspaced short palindromic repeats (CRISPR) and CRISPR-associated (Cas) proteins are found in bacteria and archaea, functioning as an RNA-driven adaptive immune system against invading bacteriophages [1, 2]. By using a modified version of Cas9, called nuclease-deficient Cas9 (dCas9), this system can be adapted to target genomic DNA without cutting it [3], as demonstrated by mutating the

Histidine-Asparagine-Histidine (HNH) and RuvC nuclease domains of the *Streptococcus pyogenes* Cas9 [3, 4]. The dCas9 and a guide RNA (gRNA) complex has been utilized to regulate transcription in a sequence specific manner. To activate or boost transcription, dCas9 has been fused to a transcriptional activator domain, which helps recruit RNA polymerase (RNAP) [5–8]. CRISPR–dCas9-mediated transcription activation (CRISPRa) is simple, highly specific, programmable, and

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more versatile compared to traditional gene expression modulation methods that rely on modifying genes and promoters [5, 9], as it primarily functions via recruitment of various effector proteins [10, 11]. In *Escherichia coli*, CRISPR interference (CRISPRi) has demonstrated dCas9's ability to repress genes by blocking transcription elongation or preventing transcription initiation by interfering with transcription factor binding [3, 4, 6, 12, 13]. In this study, we demonstrate transcription regulation via a synergistic use of CRISPR–dCas9 and G-quadruplex (GQ) structures to achieve both CRISPRa and CRISPRi within the same system [14].

Genome-wide computational studies and high-throughput sequencing have identified several hundred thousand intramolecular putative GQ-forming sequences (PQS) in the human genome [15]. Telomeres and promoters, especially the immediate vicinity of transcription start site (TSS), are rich in PQS, suggesting a role in transcription level gene expression regulation [16–18]. Approximately 50% of human genes contain a PQS within 1000 nucleotides (nts) upstream of TSS [19]. PQS are more prevalent in promoters of oncogenes and regulatory genes, such as transcription factors, compared to housekeeping genes [20] and have been demonstrated to be involved in transcription regulation [14–18]. Therefore, being able to regulate transcription by targeting the PQS with dCas9 promises to be a widely applicable and sequence specific method of transcription regulation, unlike alternative methods (such as small molecules or site-directed mutagenesis), which lack sequence specificity or transience. A prominent PQS located in the promoter region of *c-Myc* gene is used as a model system in this study.

The *c-Myc* is an oncoprotein and a transcription factor that plays an essential role in cell proliferation and induction of apoptosis [21–23]. Overexpression of *c-Myc* is associated with a significant number of human malignancies, including breast, colon, cervix, and small-cell lung cancers, osteosarcomas, glioblastomas, and myeloid leukemias [24, 25]. The *c-Myc* transcription is under the complex control of multiple promoters, P1 being the most prominent [26]. The nuclease hypersensitivity element III1 (NHE III1) in the proximal region of the *c-Myc* promoter is crucial, as it serves as a binding site for various regulatory proteins and transcription factors, including SP1, due to its relatively high accessibility (hence hypersensitivity to nucleases) [27–29]. While GGGCGG is the core sequence for SP1 binding, it can also bind to variants of this GC-rich sequence, such as GGGCG, which is present near the *c-Myc* PQS within NHE III1. This potential binding site for SP1, along with its relative position to the PQS and gRNA target sites, is indicated in Fig. 1A. The PQS, located from –142 to –115 base pairs upstream of the P1 promoter, can form a highly stable GQ structure that modulates transcription. Although quantifying this process is complex, the P1 promoter is reported to regulate 85%–90% of *c-Myc* expression, with both NHE III1 and the *c-Myc* GQ playing significant roles in this regulation [30]. Stabilizing this PQS with small molecules reduced transcription by approximately two-fold while destabilizing the GQ with site-specific sequence mutations enhanced transcription by approximately three-fold in a Burkitt's lymphoma cell line [31]. On the other hand, a recent study reported that transcription factors preferentially interact with the GQ structure in the *Myc* promoter, and the GQ serves as positive regulator of transcription [32], adding to the complexity of this promoter and the role of this GQ structure.

In the absence of a PQS, dCas9 blocks RNAP from *E. coli* and bacteriophages SP6, T3, and T7 to different extents [12]. Even though both dCas9 and GQs can independently block RNAP progression, dCas9 alone may also stabilize or destabilize the GQs (depending on whether the G-rich or C-rich strand is targeted) [33]; thus, consolidating the two effects and potentially providing a broader range for transcription regulation. When targeting the PQS or the complementary sequence, dCas9 may even promote RNAP progression by destabilizing the GQ or further suppress it by stabilizing the GQ, and itself act as an additional blockade. Judiciously tuning these effects by properly selecting the dCas9 target site within the vicinity of PQS may enable up or down regulation of transcription. We present an example of these capabilities in this study.

We demonstrate that targeting the vicinity of *c-Myc* PQS with CRISPR–dCas9 provides levels of regulation that match or exceed those enabled with small molecules and sequence mutations. Furthermore, we demonstrate that whether the target sequence of dCas9 is in the template strand (TS) or non-template strand (NTS) of transcription is critical not only because of the resulting modulation in GQ stability but also because of the different levels of blockade CRISPR–dCas9 presents for the RNAP in these cases. Finally, we demonstrate that targeting two sites simultaneously with CRISPR–dCas9 enhances the range of regulation and enables more prominent levels of transcription suppression for *c-Myc*.

Materials and methods

Oligonucleotide preparation

All RNA and DNA oligonucleotides sequence information are reported in [Supplementary Table S1](#) [30]. The sequence of the 27 nt long PQS of the *c-Myc* promoter is follows: TGGGGAGGGTGGGGAGGGTGGGGAAGG, with the underlined five G-tracts shown to form two different GQ structures [31].

We used separate strands for CRISPR RNA (crRNA) and transactivating CRISPR RNA (tracrRNA) in the CRISPR–dCas9 complex. Annealing these two strands resulted in the gRNA. The tracrRNA and all crRNA components used for the *c-Myc* system were *in vitro* transcribed in the lab. All crRNA molecules were tested for off-target sites using the Cas-OFFinder tool [34]. Except crRNA-2, which had two off-target sites, the other six crRNA molecules had a single target site with a perfect match. These target sites are listed in the Supplementary Data.

All DNA oligonucleotides (including those used as template for *in vitro* transcription) were purchased from either Integrated DNA Technologies (IDT) or Eurofins Genomics. The DNA and RNA products were purified via denaturing polyacrylamide gel electrophoresis (PAGE) with different percentages. Full-length products were visualized by UV shadowing and were excised from the gel. The DNA and RNA were harvested via the crush and soak method by tumbling the gel slice overnight at 4°C in a solution of 300 mM NaCl, 10 mM Tris–HCl, and 0.1 mM EDTA (pH 7.4). Salt was removed by ethanol precipitation of the oligonucleotides twice, with two cold 70% (v/v) ethanol washes in between each precipitation. The oligonucleotides were dissolved in nuclease-free water and stored at –20°C.

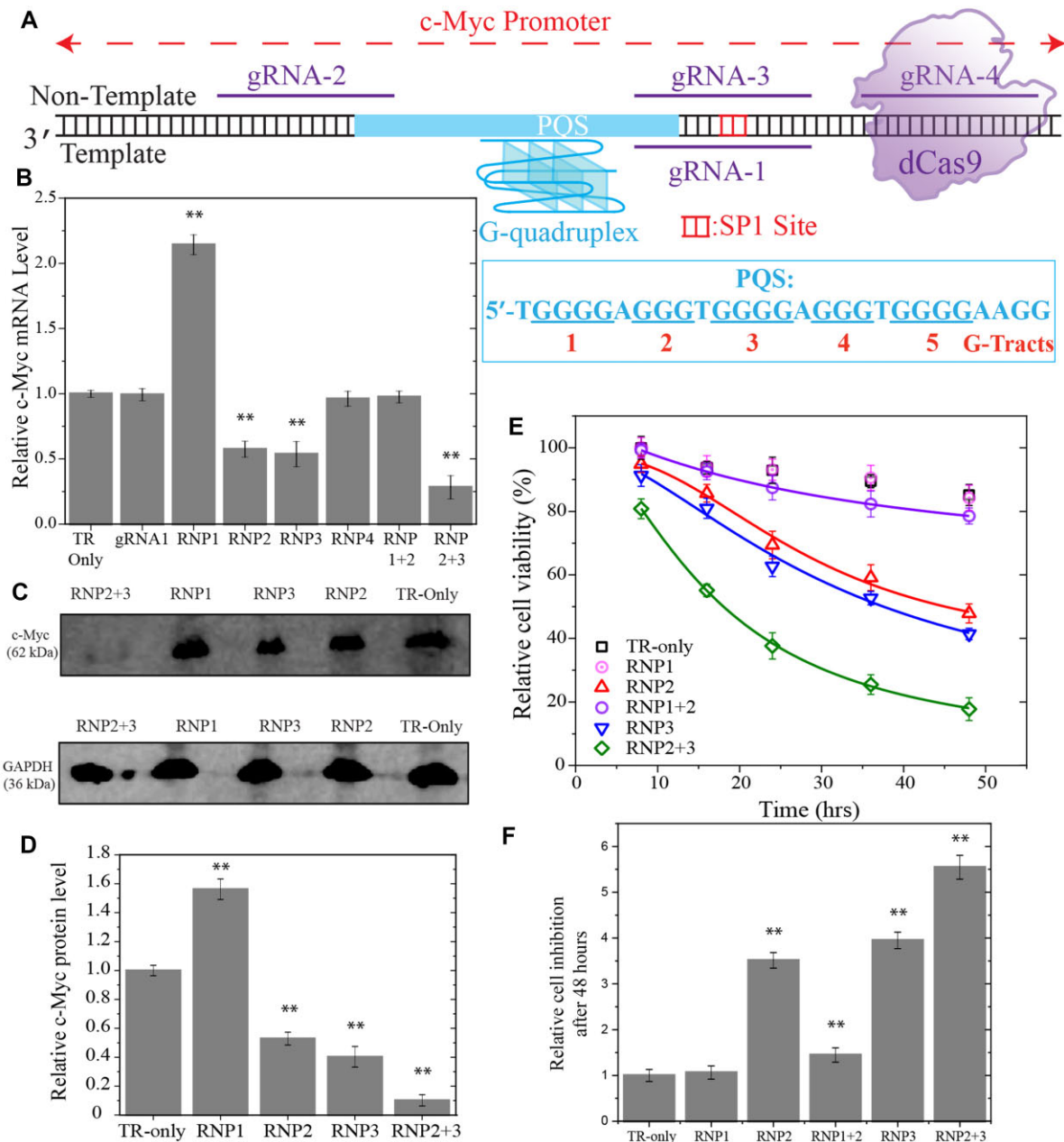


Figure 1. Experiments in which the vicinity of PQS was targeted with CRISPR-dCas9 in Burkitt's lymphoma cells (Ramos cells). **(A)** Schematic of the DNA construct and CRISPR-dCas9 target sites 1–4 on the template and NTSs. The PQS and the G-tracts are indicated in the schematic. The binding site for transcription factor SP1 is indicated by red bases. **(B)** RT-qPCR studies illustrating suppression and elevation of c-Myc RNA levels. RNP2 + 3 refers to targeting sites 2 and 3 simultaneously. **(C and D)** Image of western blot and quantitation of c-Myc protein levels. **(E and F)** Cell viability studies in cells targeted with RNP1, RNP2, RNP3, RNP1 + RNP2, or with RNP2 + RNP3. The symbols are data points and lines are Hill function fit to the respective data. Due to small level of inhibition in cell viability in the TR-only (in the absence CRISPR-dCas9 targeting) and RNP1 cases, the data could not be fitted reliably. **(F)** The relative cell inhibition is quantified with respect to the TR-only case after 48 h of introducing CRISPR-dCas9 to the cells. In (B, C, and F), the bars represent the average values of at least three measurements and the error bars are the standard errors associated with these measurements. The ** symbol in (B, D), and (F) indicates a statistically significant difference compared to TR-only case with $P < .01$.

In vitro transcription

TracrRNA and crRNA of the *c-Myc* system were *in vitro* transcribed from T7 promoter containing template DNA by using T7 RNAP. DNA template (3 μ M) was transcribed in a 100 μ l of reaction in the presence of 1 \times transcription buffer (40 mM Tris-HCl, 2 mM spermidine, 10 mM DTT and 6 mM $MgCl_2$), 20 mM $MgCl_2$, 2–6 mM NTPs (depending on the percentage of the individual nucleotides in the full-length transcribed RNA), and 10 μ g/ml T7 RNAP. The transcribed RNAs were purified by loading them into different percentages of denatur-

ing PAGE. UV shadowing was used to identify the full-length product band. The RNAs were extracted from the gel slice by soaking it overnight in elution buffer and then collecting the RNAs via ethanol precipitation, as previously described.

In vitro RNA polymerase assay

We used an *in vitro* T7 RNAP assay to investigate the effect of GQ and dCas9 on transcription. The DNA construct was prepared by annealing the TS and NTS strand in a

1:1 molar ratio (400 nM) at 95°C for 5–10 min, followed by either slow or fast cooling to room temperature. The annealing was performed at 100 mM KCl and 2 mM MgCl₂. The gRNA components crRNAs and tracrRNA were annealed separately (each at 1.2 μM) at 95°C for 5 min followed by slow cooling to room temperature. The resulting gRNA constructs are called gR-n accordingly. Ribonucleoprotein (RNP) complexes (dCas9–gRNA) were formed by mixing the annealed gRNA constructs with dCas9 protein (Sigma-Aldrich) in 2:1 molar ratio (600 nM) in the presence of 1×Cas9 buffer (20 mM Tris–HCl, 100 mM KCl, 5 mM MgCl₂, 1 mM DTT, and 5% glycerol).

The annealed DNA construct and the RNP complex were mixed and incubated at 37°C for 20 min. Following incubation, *in vitro* transcription was performed by adding all of the transcription components. During *in vitro* transcription, 2–3 aliquots were removed at different reaction times and the transcription was terminated with stop buffer [7 M urea, 10 mM Tris–HCl, and 0.1 mM EDTA (pH 7.5)]. The reaction products were separated using 8% denaturing PAGE. The gel was stained with Sybr Gold solution for 25 min followed by visualization on a Typhoon FLA 9500 fluorescence imager (GE Life Sciences) by selecting Cy3 scanning mode. ImageJ software was used to further process the gel image.

Transfection of CRISPR RNP

When the differentiated Ramos cells were ~70% confluent, they were transfected with the CRISPR–dCas9 (RNP) complexes using TransIT-X2 transfection reagents (Mirus Bio, USA). TransIT-X2 reagents were stored at room temperature to warm up and gently vortexed before use in order to prepare the TransIT-X2:RNP complex for transfection. For each of the four targeting sites, gRNA (final concentration of 25 nM per well) was prepared by combining crRNA and tracrRNA at a 1:1 molar ratio, then annealing for 10 min at room temperature. Subsequently, the annealed product was moved into a 100 μl of tube containing OptiMEM reduced serum media. Next, the precise amount of dCas9 (Sigma-Aldrich) protein stock was added to the RNP complexes, resulting in a final concentration of 12.5 nM per well and mixed gently by pipetting. For 10 min, the RNP mixture was incubated at room temperature. The RNP mixture was pipetted with 3 μl of TransIT-X2 transfection reagent to create the TransIT-X2:RNP complex. The TransIT-X2:RNP complexes were added dropwise to various regions of the well after a 15-min room temperature incubation period. The plate was then gently rotated to ensure that the complexes were distributed evenly. Lastly, cells treated with the TransIT-X2:RNP complex were cultured for 24 h at 37°C with 5% CO₂ in a humidified incubator.

Quantitative reverse-transcription polymerase chain reaction assay

To attain a more complete picture of dCas9-mediated regulation in *c-Myc* transcription, we performed a systematic cellular study in Burkitt's Lymphoma Ramos cell line. Ramos cells were maintained in Roswell Park Memorial Institute (RPMI) medium with high glucose supplemented with 20% fetal bovine serum (FBS) and 1% antibiotics (streptomycin and penicillin) at 37°C in 5% CO₂ in a humidified incubator. To measure *c-Myc* gene expression, Ramos cells were grown in 12-well plates at 37°C in 5% CO₂ in a humidified incu-

bator for 24 h. The Ramos cells were treated with different CRISPR–dCas9 (RNP) complexes using TransIT-X2 transfection reagents (Mirus Bio, USA) when cells were ~70% confluent. After the treatment, the cells were incubated for 24 h. The total RNA from transfected Ramos cells was extracted using the Trizol reagent following a previously optimized protocol. The complementary DNA (cDNA) was synthesized with 500 ng of total RNA using cDNA Super Mix (Quanta Biosystems, USA). The quantitative reverse-transcription polymerase chain reaction (RT-qPCR) assay was performed to quantify the endogenous *c-Myc* messenger RNA (mRNA) level using specific primers and SYBR Green PCR Master Mix kit (Quanta Biosystems) on an Eppendorf Mastercycler RealPlex2 Sequence Detection System. The relative fold change in *c-Myc* expression was determined using Livak method [35].

Western Blot

Total proteins were extracted from the Ramos cells with RIPA buffer (Santa Cruz) 24 h after transfection with different CRISPR–dCas9 (RNP) complexes, and 50 μg of protein lysate was separated by 15% SDS–PAGE then transferred to the polyvinylidene fluoride (PVDF) membrane. Successively, they were blocked in 5% of nonfat milk in PBS + 0.1% Tween-20. Then, the blotted membranes were incubated overnight at 4°C with primary antibodies of anti-*c-Myc* (1:500) (sc-40, Santa Cruz Biotechnology, Dallas, TX, USA), GAPDH was used as a loading control (G-9, sc-365062) antibody at 1:1000 dilution. Horseradish peroxidase-conjugated goat antimouse IgG (sc-2005) was used as secondary 86 antibody at 1:1000 dilutions. Proteins were visualized by Western Blotting Luminol Reagent (sc2048) in ChemiDoc-ItTS2 Imager.

Cell viability

The cell viability was determined by 3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfo-phenyl)-2H-tetrazolium (MTS) assay (CellTiter 96® Aqueous One Solution Cell Proliferation Assay, Promega, Madison, WI, USA). Ramos cells were seeded in 96-well plates at density of 10⁵ cells/well and incubated for 48 h prior to experimental treatments. After that, cells were treated with different CRISPR–dCas9 (RNP) complexes, 20 μl/well of MTS dye solution was added to culture medium, and cells were incubated for 8, 16, 24, 36, and 48 h at 37°C. The amount of formazan product is directly proportional to the number of living cells in culture, and it was detected by absorbance measurements at 490 nm wavelength utilizing the BioTek Synergy Neo2 Multi-detection microplate reader.

Molecular beacon assay

The molecular beacon assays were performed in a BioTek Synergy Neo2 Multi-Detection Microplate Reader. For each measurement, 1 nM DNA template and 1.25 U/μl T7 RNAP (New England Biolabs) were mixed in 100 μl of transcription buffer containing 40 mM Tris–HCl (pH 8.5), 50 mM KCl, 6 mM MgCl₂, 2 mM spermidine, 1 mM dithiothreitol, and 2 U inorganic pyrophosphatase. Each sample was preincubated with 500 nM molecular beacon probe (containing a fluorophore and quencher) and loaded onto a 96-well transparent plate (Thermo Fisher Scientific) at 37°C. The reaction was initiated by adding 1 mM NTP mix (New England Biolabs). Cy3 and Cy5 were excited with light at λ_{ex} = 540 ± 18 nm and λ_{ex} = 640 ± 20 nm, respectively. The fluorescence emission

signals of Cy3 and Cy5 were collected at $\lambda_{em} = 579 \pm 20$ nm and $\lambda_{em} = 681 \pm 20$ nm, respectively. The signal was collected every 30 s. The average of the last 10 measurements (last 5 min of the 120 min experiment) were used as the saturation levels in the analysis to determine Cy5/Cy3 signal ratio.

Results

Initially, we sought to investigate the intricate relationship among the CRISPR–dCas9, target sites, PQS, template, and NTSs of a gene in terms of controlling gene expression, which guided us to create several rationally designed constructs. Figure 1A shows a schematic of four different CRISPR–dCas9 target sites, indicated by the gRNA strands that satisfy the Protospacer Adjacent Motif (PAM) requirements of dCas9 (NGG in the NTS), in the vicinity of *c-Myc* PQS. The PQS is in the TS; therefore, targeting the sequences that overlap with the PQS in the TS is expected to destabilize the GQ. On the other hand, targeting the NTS in the vicinity of PQS might stabilize GQ as it diminishes the competition with Watson–Crick pairing with the complementary strand. Specifically, gRNA-1 targets the TS and is complementary to first and second G-repeats of the PQS while gRNA-2 and gRNA-3 target the NTS and overlap with sequences that are complementary to the G-repeats in the 5' and 3' sides, respectively. The gRNA-4 targets the NTS and does not overlap with the PQS, hence should not significantly impact the GQ stability.

Figure 1B and C shows results of experiments in a Burkitt's Lymphoma cell line (Ramos cells) in which we targeted sites 1–4 with CRISPR–dCas9 (designated with RNP1–4 to highlight the RNP complex). The levels of *c-Myc* mRNA and protein levels after CRISPR–dCas9 treatment were quantified via RT-qPCR (Fig. 1B) and western blot (Fig. 1C), respectively. The *c-Myc* mRNA levels dropped to 0.57 ± 0.06 - and 0.54 ± 0.09 -fold of the control experiment ("TR-Only" where CRISPR–dCas9 was not introduced to the cells) in case of RNP2 and RNP3, respectively, while they increased by 2.14 ± 0.07 -fold in case of RNP1. In the case of RNP4, the transcription levels were similar to those of the control (0.96 ± 0.05 -fold), suggesting CRISPR–dCas9 does not present a significant blockade for transcription when this site is targeted. Similar patterns were observed for protein level suppression in case of RNP2 and RNP3 (0.53 ± 0.04 -fold and 0.40 ± 0.07 -fold, respectively). Even though significantly higher than the control (1.56 ± 0.07 -fold), the enhancement at the protein level in case of RNP1 was lower compared to the increase at mRNA level (1.56 ± 0.07 -fold in protein level compared to 2.14 ± 0.07 at mRNA level). As suppression of *c-Myc* might be of significance to inhibit cell proliferation in malignancies, we tested whether more robust suppression is achievable by targeting sites 2 and 3 simultaneously with CRISPR–dCas9. In agreement with these expectations, we observed the *c-Myc* mRNA levels dropped to 0.28 ± 0.08 of control when both RNP2 and RNP3 were introduced to the cells (Fig. 1B), which is beyond the reported suppression achieved with GQ-stabilizing small molecules (~ 0.50 -fold in the presence of 100 μ M TMPyP4) [31]. Even higher levels of suppression were observed at the *c-Myc* protein level (0.10 ± 0.04 -fold of control) when both RNP2 and RNP3 were employed together (Fig. 1D). The alternative strategy of targeting an enhancer (RNP1) and a suppressor (RNP2) site simultaneously (RNP1 + 2 case) resulted in cancellation of the two effects at both the mRNA (Fig. 1B) and protein levels (Supplementary Fig. S1),

which were similar to those observed in the control case (TR-only). The statistical analyses of these data are reported in Supplementary Tables S2, S3, and S8.

We also investigated whether the suppression of *c-Myc* expression impacts cell viability. Figure 1E and F show these studies in which the number of viable cells in multi-well plates is quantified in the absence of CRISPR–dCas9 treatment and after being treated with RNP1, RNP2, RNP3, RNP1 + RNP2 ('RNP1 + 2' in figures), or RNP2 + RNP3 ('RNP2 + 3' in figures). In case of the control sample (not treated with CRISPR–dCas9), $(85 \pm 3)\%$ of all the cells remained viable after 48 h, while only $(48 \pm 3)\%$ and $(41 \pm 2)\%$ were viable in case of RNP2 and RNP3 treatment, respectively. The cells treated with RNP1, which elevates *c-Myc* expression, perform as well as the control, suggesting introduction of the CRISPR complex does not reduce cell viability. The fraction of viable cells was reduced to $(18 \pm 4)\%$ in case of simultaneous RNP2 + RNP3 treatment after 48 h. On the other hand, cell viability was maintained at $(79 \pm 3)\%$, which is slightly less than that of the control when RNP1 and RNP2 were introduced together. This suggests RNP1 (the enhancer) compensates for the suppression caused by RNP2. The statistical analyses of these data are reported in Supplementary Table S4. All cases are significantly different from the control ($P < .001$). As shown in Fig. 1E, the cell viability patterns for the different CRISPR constructs are consistent at both 24 h (the time point at which we measured mRNA and protein expression levels) and 48 h (the final time point for cell viability measurements, shown in Fig. 1F) following transfection with the relevant constructs.

Next, we investigated the mechanistic details of the suppression and activation achieved in these cellular studies using *in vitro* transcription assays. In general, RNAP transcribes RNA until elongation leads to a full-length product (Fig. 2A and B), while stalling of RNAP results in truncated RNA products (Fig. 2C and D). We designed DNA constructs in which the PQS and dCas9 target sites were placed downstream of the TSS in order to probe their propensity to stall T7 RNAP progression [36]. The DNA construct includes the T7 RNAP promoter, the 27-nt long *c-Myc* PQS (which contains five G-tracts, Fig. 1A), and the flanking sequences around the PQS to be able to use the same gRNA oligos as those used in cellular studies (Fig. 2A). GQ formation within such a construct was confirmed with circular dichroism measurements (Supplementary Fig. S2).

Using such constructs, we performed fluorescence-based beacon assay measurements where the products of *in vitro* transcription were quantified in real time based on the increase in fluorescence signal [37]. In this assay, two short oligos that are complementary to segments of the RNA transcript either before or after the PQS were utilized (Fig. 3A and B). The beacon oligos were labeled with a Cy3 or a Cy5 fluorophore on one end and a broadband quencher at the other. When free in solution, the fluorescence signal is quenched due to flexibility of ssDNA and proximity of the dye and quencher. Upon hybridization with the complementary strand, the single-strand is stretched causing separation of the fluorophore and quencher from each other, resulting in emission of a fluorescence signal. The binding site for Cy3-labeled beacon is before the PQS and the dCas9 target sites, so we expect an increase in Cy3 signal regardless of RNAP stalls due to dCas9 or GQ. The binding site for Cy5-labeled beacon is downstream of dCas9 binding sites and the PQS, so an

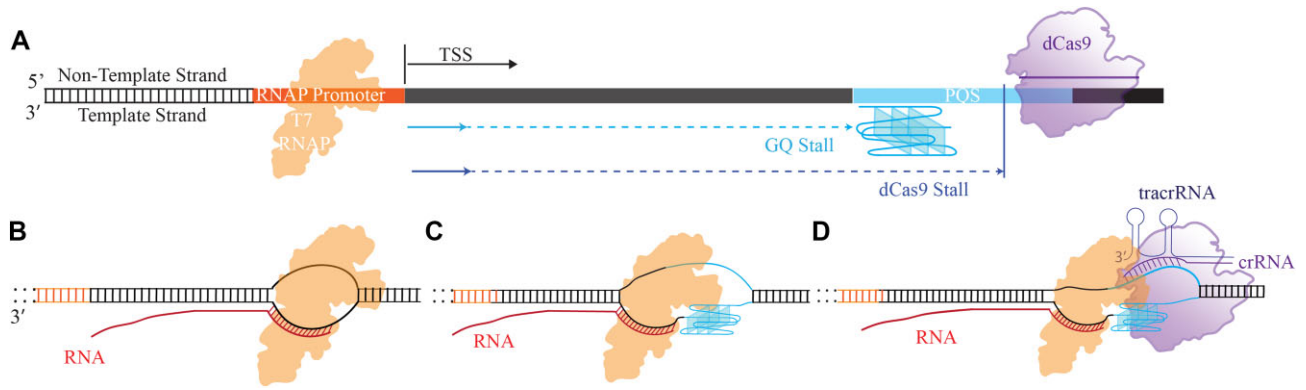


Figure 2. Schematic of *in vitro* transcription assay. (A) DNA construct indicating the relative positions of the RNAP promoter, the PDS, and one of the dCas9 target sites. (B) In the absence of any blockade, RNAP completes the transcription of DNA, resulting in a full-length RNA product. (C and D) GQ and CRISPR–dCas9 could stall RNAP progression, resulting in truncated RNA products.

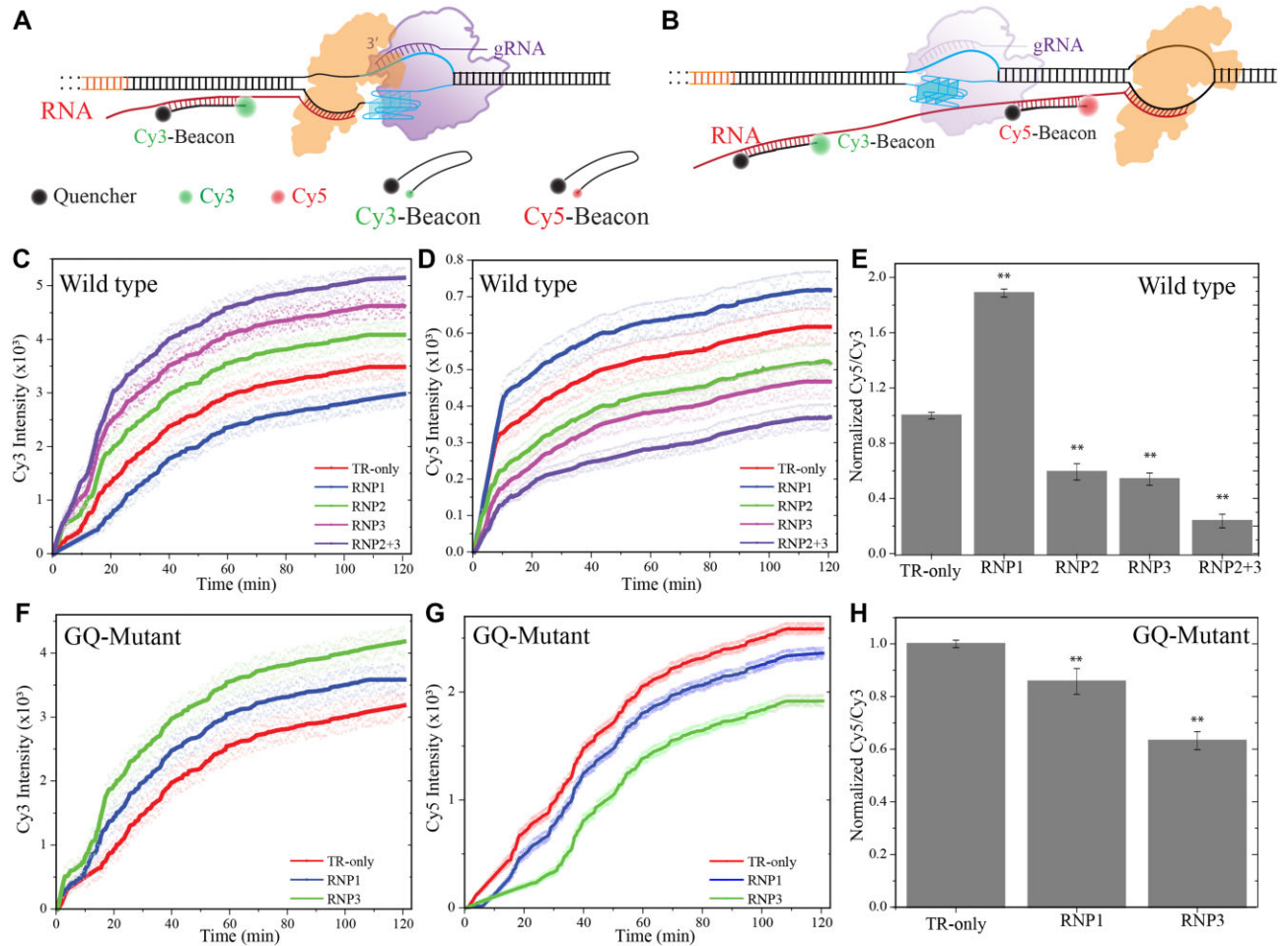


Figure 3. *In vitro* fluorescence beacon assays. (A and B) Schematic of the assay. The beacon strands contain a fluorophore (Cy3 or Cy5) at one end and a broadband quencher at the other. When free in solution, the fluorescence signal is quenched due to proximity of the fluorophore and quencher. The Cy3/Cy5 beacon strand is complementary to an RNA sequence upstream/downstream of PDS and the dCas9 target sites. The fluorophore and the quencher separate from each other when the beacon strands bind to the complementary RNA, which results in emission of fluorescence signal. (C, D, F, and G) Cy3 and Cy5 fluorescence emission intensities as a function of time for the WT (contains the PDS) and GQ-mutant (PDS is mutated) DNA construct, respectively. The circles around the lines are the data points obtained from five independent measurements and the lines are the average of these measurements. (E and H) The ratio of Cy5/Cy3 intensities at saturation (the average of last 10 points before 120 min) for the WT and GQ-mutant constructs, respectively. The bars are the average of five measurements and the error bars are the standard error. The ** symbol in (E) and (H) indicates a statistically significant difference compared to TR-only case with $P < .01$.

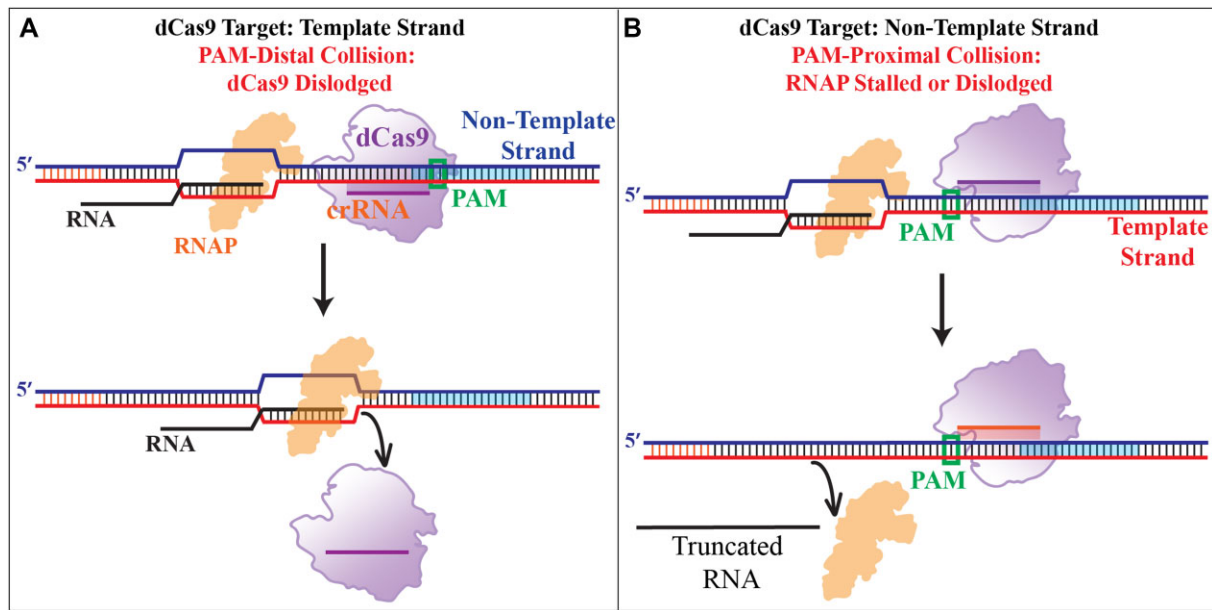


Figure 4. The schematic of a model representing different modes of collision between RNAP and dCas9. **(A)** When dCas9 targets the TS, RNAP and dCas9 collide in a PAM-distal mode in which RNAP is more likely to dislodge dCas9 and proceed with transcription. **(B)** When dCas9 targets the NTS, RNAP and dCas9 collide in a PAM-proximal mode in which RNAP is more likely to be stalled or dislodged from the DNA, both resulting in a truncated RNA transcript.

increase in Cy5 signal is expected only when RNAP overcomes these blockades. Therefore, the Cy3 signal correlates with the quantity of all transcription events, while the Cy5 signal correlates with the quantity of events that reach the end, meaning culmination in a full-length RNA product. The ratio of Cy5-to-Cy3 signal quantitates the fraction of transcription events that bypass the blockades, which we expect to be correlated with observed cellular transcription levels reported in Fig. 1B. In the absence of active transcription (absence of RNAP and NTP), the Cy3 and Cy5 signals due to nonspecific binding or binding to the nontemplate DNA strand was 1% (~30 versus ~3000 a.u.) and 5% (~30 versus ~600 a.u.) of the Cy3 and Cy5 signals, respectively, observed during active transcription, suggesting >95% of all hybridization events are due to binding to transcribed RNA (Supplementary Fig. S3). The gRNA strands used in cellular studies (gRNA-1, gRNA-2, and gRNA-3) were utilized in the beacon studies. We also designed a DNA construct (GQ-Mut) in which the GQ was significantly destabilized by mutating relevant guanines to other nucleotides. Circular dichroism measurements on the GQ-Mut construct show the absence of signature GQ motifs at 150 mM KCl (Supplementary Fig. S2). To distinguish the constructs, the unmodified construct will be referred to as the wild-type (WT) construct.

Figure 3C, D, E, and G show the time dependent variation of the Cy3 and Cy5 fluorescence signals over a 2-h period for the WT and GQ-Mut constructs, respectively. To quantify the relative transcription events that reach full length, we plotted the Cy5/Cy3 signal ratio at saturation in Fig. 3E and H for the WT and the GQ-Mut constructs, respectively. Targeting the GQ-Mut construct in the NTS by RNP3 reduces the Cy5/Cy3 ratio to 0.63 ± 0.03 -fold of the control (in the absence of CRISPR-dCas9) while targeting the TS with RNP1 reduces it to 0.86 ± 0.04 -fold of control. These results suggest, in the absence of a GQ structure, dCas9 presents a more prominent

blockade for RNAP when the NTS is targeted compared to targeting TS. Due to mutations in the DNA sequence, the PAM and target sequence for RNP2 were altered, preventing this construct from being used in the GQ-Mut case. RNP4 was not used in either the wild-type or GQ-Mut constructs, as it did not overlap with the PQS.

Figure 3C and E shows similar measurements on the WT construct where RNP2 and RNP3 reduce the Cy5/Cy3 ratio to 0.59 ± 0.05 - and 0.54 ± 0.04 -fold of the control, respectively, while RNP1 increases it to 1.89 ± 0.02 -fold of the control. Targeting the DNA by RNP2 and RNP3 simultaneously reduced the Cy5/Cy3 ratio to 0.24 ± 0.04 -fold of the control. The statistical analyses of these data are reported in Supplementary Table S5 for the WT and Supplementary Table S6 for the GQ-mutant construct. All cases are significantly different from the control ($P < .01$ for all cases). These results are in excellent quantitative agreement with the cellular assays presented in Fig. 1B, suggesting the Cy5/Cy3 ratio is an acceptable proxy for the observed levels of transcription regulation.

The results of these cellular and *in vitro* studies suggest suppression of *c-Myc* expression is primarily related to stalling of RNAP progression by collective action of GQ and CRISPR-dCas9 (resulting in truncated transcripts). On the other hand, enhanced transcription is primarily due to destabilization of the GQ structure (which otherwise blocks RNAP) by targeting the putative GQ forming sequence with CRISPR-dCas9. RNAP progression is more likely to be stalled by dCas9 when it is bound to the NTS compared to the TS, which has been attributed to whether the collision between RNAP and dCas9 takes place in a PAM-distal (when dCas9 is bound to TS) or PAM-proximal manner (when dCas9 is bound to NTS), as illustrated in Fig. 4 [38–40]. Our cellular and *in vitro* beacon assay results are consistent with this model, which we further tested using an orthogonal assay that relied on the

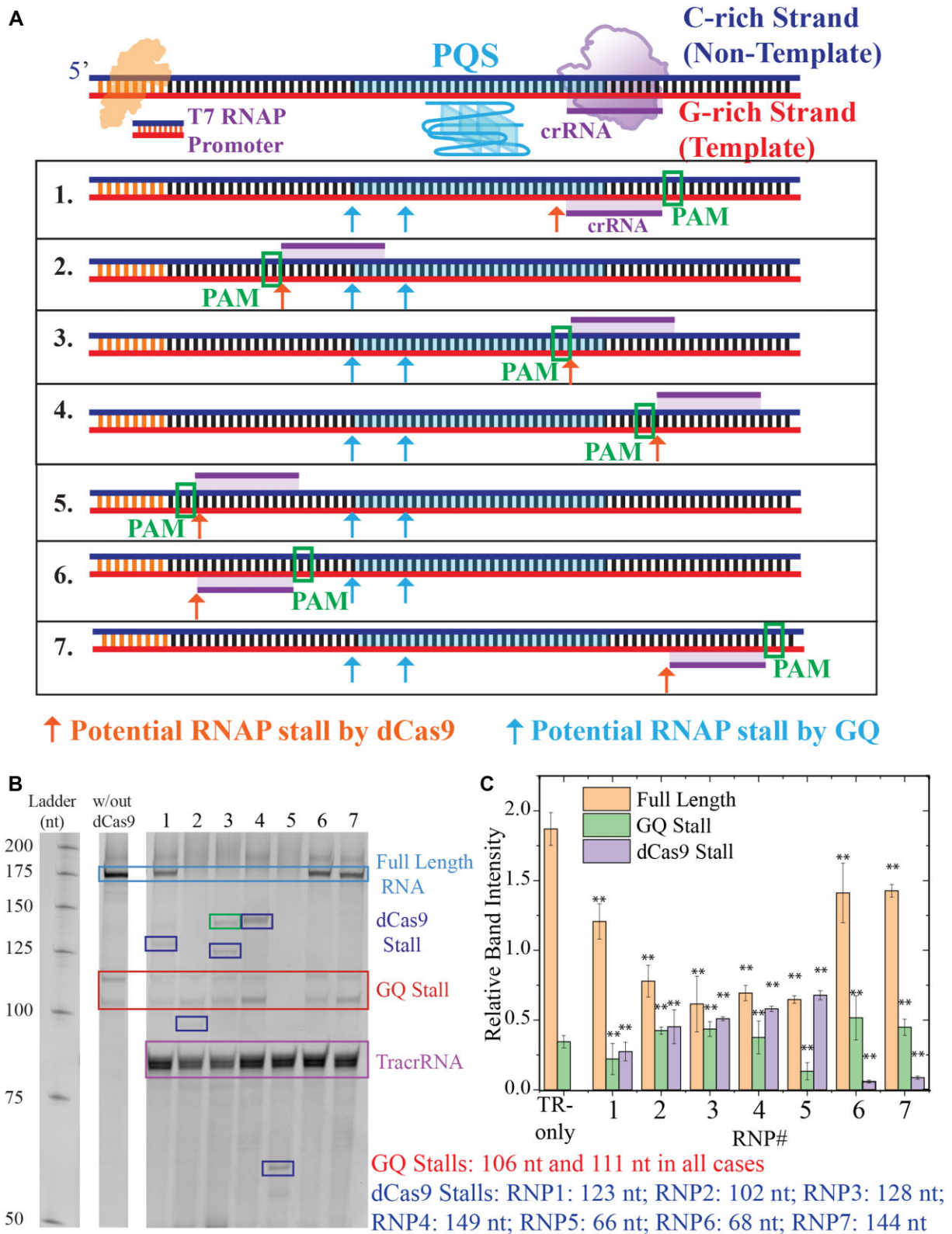


Figure 5. Gel electrophoresis measurements analyzing the products of an *in vitro* transcription assay (RNAP stop assay). **(A)** Schematic of the DNA construct that includes a T7 RNAP promoter, PQS, and dCas9 target sites. The PQS and dCas9 target sites are downstream of RNAP promoter; therefore, stalling of the RNAP at these sites results in truncated RNA transcripts. In the schematic below, the seven dCas9 target sites are indicated, in addition to GQ stall sites (cyan arrows) and dCas9 stall sites (red arrows). The expected RNA transcripts corresponding to these stalls are listed on the right (under C). **(B)** Gel image showing the products of the *in vitro* transcription assay. GQ stalls are indicated with a red rectangle and dCas9 stalls are indicated with dark blue rectangles. The green band in lane 3 could be due to an additional GQ stall or an off-target site for CRISPR-dCas9. **(C)** Quantitation of stall bands for the control sample (TR-only in which CRISPR-dCas9 was excluded from the assay) and the seven CRISPR-dCas9 target sites (RNP1-7). The bars represent averages of at least three measurements and the error bars are the standard error of these measurements. The ** symbol in (C) indicates a statistically significant difference compared to TR only case with $P < .01$.

analysis of the products of an *in vitro* RNAP stop assay by gel electrophoresis. In addition to sites 1–4 of Figs 1–3, we designed a DNA construct in which new sites (sites 5–7) that did not overlap with the PQS can be targeted in order to better identify the role of GQ in the observed transcription regulation.

Figure 5A shows a schematic of this DNA construct and the dCas9 target sites. Sites 1–4 are identical to those in cellular and *in vitro* beacon assays and use the same gRNA strands (gR1–4). Sites 5–7 are upstream or downstream of PQS (without overlap) and are in either the NTS (site 5) or TS (sites 6 and 7). Sites 4–7 do not overlap with the PQS; hence, targeting them with dCas9 should not significantly impact the GQ stability. The potential stall sites for RNP1–7 complexes and those for GQ have been marked in the schematic in Fig. 5A and on the gel electrophoresis image in Fig. 5B (the expected lengths of the truncated RNA products are given in Fig. 5). The five G-tracts in the PQS are adequate for formation of at least two different GQs which result in two stall bands for all cases (marked with one red rectangle as they are all of the same length), except RNP5. The stall products due to CRISPR–dCas9 complexes are marked with blue boxes. We observed dCas9 stalls in all four cases (RNP2–5) when dCas9 targeted the NTS. On the other hand, we observed dCas9 stall in only one of the three cases (RNP1 but not RNP6 or RNP7) when dCas9 targeted the TS. The band intensities for these stalls are quantified in Fig. 5C. An additional band, whose origin could not be definitively identified as a GQ-stall or dCas9-stall, is highlighted with a green rectangle in lane 3. This band may result from the formation of an alternative GQ, where two of the original G-tracts are replaced by those on the 5'-side of the PQS, potentially facilitated by dCas9 targeting the complementary C-rich sequence in this region. Another possible explanation is the transient binding of the CRISPR–dCas9 complex to an off-target site, due to the presence of additional PAM sequences nearby. This binding might be promoted by the reduced accessibility of the original PAM sequence, as it is incorporated within the GQ structure. The statistical analyses of these data are reported in [Supplementary Table S7](#). All cases are significantly different from the control ($P < .01$ for all cases).

These results also suggest dCas9 induced RNAP stalls are more prominent when the NTS is targeted. This prominent stalling of RNAP by dCas9 when NTS is targeted might explain why a GQ stall band is not observed in case of RNP5 where the RNAP is stalled by the dCas9 (bound to the NTS) before it reaches the PQS.

Discussion

We show the synergistic roles CRISPR–dCas9 and GQ structures can play in modulating the *c-Myc* expression at RNA and protein levels in Burkitt's lymphoma cells. We also show that cell viability is inhibited at a level correlated with the observed suppression in *c-Myc* levels. In addition, we report extensive measurements using *in vitro* transcription and polymerase stop assays and deduce mechanistic insights into the underlying processes. We observe elevated levels of truncated products when dCas9 targeted the NTS compared to targeting the TS. While this feature alone yielded varying levels of transcription suppression in the absence of PQS, we showed that it is possible to attain elevated transcription and a broader range

of suppression when the PQS is targeted. GQ structures, when in TS, as is the case in *c-Myc*, can block RNAP progression [41, 42], which serves as the second critical element of the observed modulation. Targeting the NTS with dCas9 stabilizes the GQ structure, which further strengthens the blockade effect and suppression of transcription. On the other hand, targeting the sequences in TS that at least partially overlap with the PQS, destabilizes the GQ. In this case, whether the blockade is stronger or weaker depends on whether the reduced stabilization of the GQ is as significant as the blockade presented by binding of CRISPR–dCas9 to TS. In the case of *c-Myc*, we illustrate an enhanced transcription when the vicinity of PQS in the TS is targeted, which suggests the reduced stability of the GQ is the dominant effect. Measurements in control constructs that lack the PQS show that CRISPR–dCas9 does not present a significant blockade for the RNAP when it targets the TS, which further supports the overall picture that emerges from these studies. As *c-Myc* is critical for cell proliferation and is upregulated in diverse cancers, effective suppression of its expression is desirable. We demonstrated that this can be achieved by targeting the NTS at two sites simultaneously, on the 3' and 5' sides of the complementary sequence to PQS. The level of suppression attained in this case at the RNA and protein levels is two- to five-fold greater than that reported with drug-like small molecules, which lack sequence specificity. This effective suppression is also reflected in cell viability assays in which we observe significantly higher inhibition when these two sites are simultaneously targeted with dCas9. We also observe a complementary effect when RNP1 (enhancer) and RNP2 (suppressor) are both introduced to the cells. In this case, RNP1-induced enhancement compensates for RNP2-induced suppression and cell viability is minimally impacted.

Our study illustrates the potential of using CRISPR–dCas9 to target PQS that are present in numerous regulatory sites for transcription regulation. The G-rich nature of GQ forming sequences and that of PAM sequence of dCas9 (5'-NGG in the non-target strand) provide many targetable sites in the NTS (for systems in which the PQS is in the TS as in *c-Myc*). This creates an ideal setting for not only transcription suppression, but also the feasibility of transcription activation (without the need for fusing dCas9 with activator proteins) when the TS is targeted, as we demonstrated for *c-Myc*. Similar mechanisms might be valid for other Cas proteins (such as Cas12 which has a T-rich PAM), which would broaden the range of potential target sites and enable higher levels of control on gene expression.

Determining whether the principles derived from our studies on the *c-Myc* system are broadly applicable to other genes containing a PQS requires further research on additional gene systems. The outcomes of such studies may depend on various factors, including the stability of the GQ, the physiological relevance of the PQS, its position within the promoter, its proximity to transcription factor binding sites, and the sequence composition of neighboring regions. Additionally, the location of the PQS within chromatin-poor or tightly packed regions of the genome is a critical consideration. Clearly, many genes, each with a unique combination of these variables, must be examined to achieve a more comprehensive understanding. We hope that the potential for this novel mechanism of gene expression regulation, as underscored by our findings, will inspire further investigations.

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Author contributions: Conceptualization: H.B., S.B., and M.L.K.—Designed the research study and developed the overarching research goals. Methodology: M.L.K., S.G.K., M.E.H., and S.S.—Developed the methods and protocols used in the study. Validation: M.L.K. and S.G.K.—Verified the reproducibility of results and methods. Formal Analysis: M.L.K., S.G.K., and S.S.—Performed statistical and data analysis. Investigation: M.L.K., S.G.K., and J.A.—Conducted experiments and gathered data.

Writing—Original Draft: H.B.—Wrote the initial manuscript draft. Writing—Review & Editing: All authors—Reviewed and revised the manuscript critically. Visualization: M.L.K., S.G.K., and H.B.—Created visual representations such as charts, graphs, and figures. Supervision: H.B. and S.B.—Oversaw the overall direction and planning of the project. Project Administration: H.B. and S.B.—Managed the project and ensured deadlines were met. Funding Acquisition: H.B. and S.B.—Secured funding and financial support for the project

Supplementary data

Supplementary data is available at NAR Molecular Medicine online.

Conflict of interest

None declared.

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Data availability

The data underlying this article will be shared on reasonable request to the corresponding author.

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