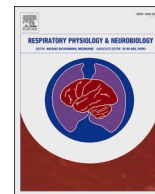




Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.



## Letter to the Editor

## Lung mechanics during recovery of a non-invasively ventilated patient with severe COVID-19 pneumonia



## ARTICLE INFO

## Keywords

Pressure-volume curve  
Compliance  
Breathing  
CT image

To the Editor,

An unvaccinated woman in her mid-60s was admitted to the COVID unit of Semmelweis University, Budapest, due to respiratory failure secondary to bilateral pneumonia. The patient had been suffering from weakness, coughing, nausea, fever, and progressive dyspnea consistent with general symptoms of COVID-19 for three weeks prior to hospitalization (Grant et al., 2020). A rapid test at admission confirmed SARS-CoV-2 infection. The initial CT image, taken on the 2nd day of hospitalization, demonstrated considerable lung involvement (50–75% on the right and 25–50% on the left) with wide-spread consolidation, occasional ground-glass opacity and airway dilation (Fig. 1A) similar to previous findings (Parekh et al., 2020), and, in particular, to the rapid onset post-infection bronchiectasis reported recently (Gilmartin et al., 2022). Despite supportive treatment, the patient continued to show signs of progressive dyspnea weeks after hospitalization and was admitted to the intensive care unit (ICU) on day 25 with an estimated alveolar-arterial (A-a) gradient of 530 mmHg and the repeat CT scan showing nearly 100% involvement of the lung (Fig. 1B), consistent with severe COVID-19. The patient was given remdesivir therapy combined with dexamethasone and placed on pressure controlled non-invasive ventilation (NIV) with an initial fractional inspired oxygen ( $F_{iO_2}$ ) of 100% tapered to 65%, a positive end-expiratory pressure (PEEP) of 8 cmH<sub>2</sub>O, and an inspiratory peak airway pressure (IPAP) of 24 cmH<sub>2</sub>O. Despite initial substantial dyspnea and O<sub>2</sub> desaturation upon physical activity, dexmedetomidine sedation allowed good tolerance of NIV therapy with relatively stable vitals.

On day 35, the patient was moved from the ICU to the Long-Term Ventilation and Weaning Unit, where NIV was continued with an  $F_{iO_2}$  of 50%, a PEEP of 6 cmH<sub>2</sub>O and an IPAP range of 24–30 cmH<sub>2</sub>O. Persisting dependence on NIV and particularly high driving pressures to counteract increased work of breathing (WOB) prompted advanced respiratory monitoring by staff, which included measurements of airway pressure and flow, as well as gastric and esophageal pressures. Transpulmonary pressure ( $P_{tp}$ ) was calculated as the difference between airway and esophageal pressures, and volume (V) was obtained by integration of flow. Despite considerable ventilator support, the patient produced remarkable respiratory efforts ( $P_{tp} > 40$  cmH<sub>2</sub>O), explaining

her severe dyspnea and fatigue. Raising IPAP to 35 cmH<sub>2</sub>O matched the patient-initiated tidal volumes, lowering maximum inspiratory effort, and WOB. This setting allowed stability in the coming weeks, during which minor improvements in lung mechanics were observed, steroid dose was gradually reduced and weaning from NIV and rehabilitation could be started. The patient was discharged on day 95.

Fig. 1E shows a series of maximal spontaneous  $P_{tp}$ -V loops obtained between the 36th and 301st days. During maximum efforts, changes in  $P_{tp}$  ranged between 70 and 80 cmH<sub>2</sub>O. Although the patient was no longer in the acute phase, on day 36, the maximum effort resulted in an inhaled volume of only 0.66 L and the  $P_{tp}$ -V loop showed negligible hysteresis. This highly abnormal mechanical behavior was accompanied by an A-a gradient of 143.4 mmHg. No evidence of noticeable recruitment of collapsed regions is seen on the  $P_{tp}$ -V loop (massive alveolar opening would result in dynamic instabilities which would generate a sudden large increase in inhaled volume in a narrow range of pressure) (Alencar et al., 2002). Additionally, the spontaneous tidal volume of the patient (~0.5 L) was barely smaller than that during maximum effort, indicating minimal reserve. Such stiff lung behavior is consistent with pulmonary fibrosing alveolitis (Thompson and Colebatch, 1989) driven by profibrotic macrophage-induced collagen deposition in the alveoli (Wendisch et al., 2021). By day 67, the maximum lung volume gradually increased, reaching a value of 1.03 L. The corresponding CT on day 69 (Fig. 1C) demonstrates substantial improvements with most ground glass opacities cleared up, although some dilated airways can still be seen. By day 148 the maximum inhaled volume was 1.66 L with an A-a gradient of 60.1 mmHg. At a recent follow-up visit on day 301, the  $P_{tp}$ -V curve displayed a very significant change: a maximum effort of  $P_{tp} < 50$  cmH<sub>2</sub>O produced a V of 2.11 L. This near healthy lung mechanical behavior was supported by a near normal CT image (Fig. 1D).

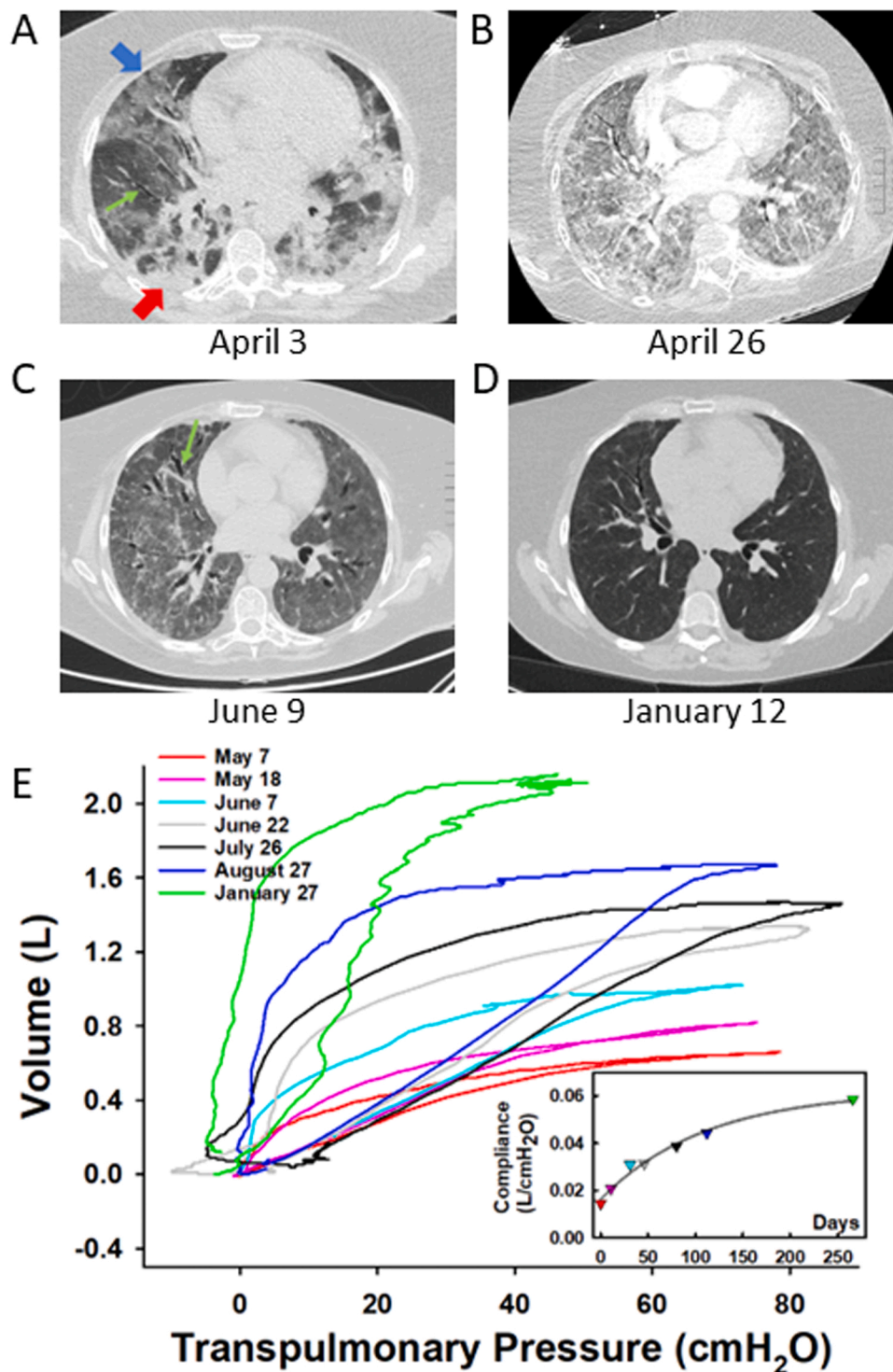
The  $P_{tp}$ -V loops during spontaneous tidal breathing were also recorded (not shown), which were helpful in setting the NIV parameters. First, the lack of recruitment and reserve capacity between day 36 and 67 suggested no advantage of applying a high PEEP, which would have only stiffened the lung without improving gas exchange. Second, by examining the compliance of the lung, defined as the local slope of the  $P_{tp}$ -V relation, the conventional low tidal volume approach would not

<https://doi.org/10.1016/j.resp.2022.103960>

Received 18 July 2022; Accepted 31 July 2022

Available online 5 August 2022

1569-9048/© 2022 Elsevier B.V. All rights reserved.



**Fig. 1.** CT images and lung mechanics of a female patient with COVID-19. (A) Image taken at the time of hospital admission (Day 1). Red, blue and green arrows mark regions of consolidation, ground-glass opacity, and airway dilation, respectively. (B) The patient was moved to the ICU (Day 25). The corresponding CT image (using contrast) shows massive bi-lateral ground-glass opacity throughout the lung. (C) The image on Day 69 shows substantial improvement in ground-glass opacity compared to the image on Day 25. (D) Nearly normal CT image 7 months later (Day 301). (E) Transpulmonary pressure-lung volume curves during the recovery of the patient post COVID-19 infection. Different colors correspond to measurements taken on different dates. Inset: Dynamic lung compliance during spontaneous breathing (triangles) and a nonlinear regression (solid line) as a function of the number of days from hospital admission.

have provided acceptable ventilation and oxygenation and would have increased pathological patient effort, possibly promoting P-SILI (Brochard et al., 2017). The dynamic lung compliance ( $C_{dyn}$ ) was obtained during spontaneous breathing as the ratio of the volume inhaled and the pressure swing between end-inspiration and end-expiration. The time course of  $C_{dyn}$  (inset, Fig. 1E) reveals a substantial increase (3.6-fold between day 36 and 301). The improvements in lung elastic behavior were also accompanied by a gradual but substantial increase in the hysteresis loop of the  $P_{tp}$ -V curve, suggesting a stronger contribution of active surface tension to lung mechanics (Smith and Stamenovic, 1986). These results uniquely demonstrate how lung structure on CT images

and lung function from the  $P_{tp}$ -V curves evolve during the ten-month long recovery of this patient.

In conclusion, the conventional protective ventilation protocol in acute respiratory distress syndrome is to apply high PEEP and low tidal volume to maintain an open lung without overstretching the tissues (Amato et al., 1998, Girard and Bernard, 2007). The effects of high PEEP on lung physiology are complex (Cronin et al., 2021) and may not be beneficial in COVID-19 patients (Bhatt et al., 2021). The case reported here demonstrates that application of higher PEEP or invasive ventilation enforcing lung protective parameters would not have been feasible in the recovery phase because even the small tidal volumes require large

$P_{tp}$  changes. The tailored NIV application guided by lung mechanics helped optimize patient WOB and minimize further lung injury avoiding possible complications due to long-term invasive treatment. This in turn resulted in a well-tolerated long-term NIV treatment allowing lung healing while stimulating spontaneous breathing during the recovery of the patient from an extreme case of severe COVID-19 pneumonia.

### Funding

This study was supported partially by NIH Grant U01 HL-139466.

### Competing interests

The authors have no competing interests to disclose.

### Consent for publication

Written informed consent for publication of the clinical details and images was obtained from the patient.

### Author contributions

AL designed and carried out measurements and wrote the manuscript; SB, EP, LV and JG interpreted data and took part in writing the manuscript; BS analyzed data and wrote the manuscript. All authors approved the final version of the manuscript.

### References

- Alencar, A.M., Arold, S.P., Buldyrev, S.V., Majumdar, A., Stamenovic, D., Stanley, H.E., Suki, B., 2002. Physiology: dynamic instabilities in the inflating lung. *Nature* 417 (6891), 809–811.
- Amato, M.B., Barbas, C.S., Medeiros, D.M., Magaldi, R.B., Schettino, G.P., Lorenzi-Filho, G., Kairalla, R.A., Deheinzelin, D., Munoz, C., Oliveira, R., Takagaki, T.Y., Carvalho, C.R., 1998. Effect of a protective-ventilation strategy on mortality in the acute respiratory distress syndrome. *N. Engl. J. Med.* 338 (6), 347–354.
- Bhatt, A., Deshwal, H., Luoma, K., Fenianos, M., Hena, K., Chitkara, N., Zhong, H., Mukherjee, V., 2021. Respiratory mechanics and association with inflammation in COVID-19-related ARDS. *Respir. Care* 66 (11), 1673–1683.

- Brochard, L., Slutsky, A., Pesenti, A., 2017. Mechanical ventilation to minimize progression of lung injury in acute respiratory failure. *Am. J. Respir. Crit. Care Med.* 195 (4), 438–442.
- Cronin, J.N., Camporota, L., Formenti, F., 2021. Mechanical ventilation in COVID-19: a physiological perspective. *Exp. Physiol.*
- Gilmartin, M., Basirat, A., Barry, C., Rahman, H., Doolan, A., Halpenny, D., Hogan, B., Kooblall, M., Lane, S.J., 2022. Rapid onset cystic bronchiectasis in a mechanically ventilated COVID-19 patient. *Am. J. Respir. Crit. Care Med.*
- Girard, T.D., Bernard, G.R., 2007. Mechanical ventilation in ARDS: a state-of-the-art review. *Chest* 131 (3), 921–929.
- Grant, M.C., Geoghegan, L., Arbyn, M., Mohammed, Z., McGuinness, L., Clarke, E.L., Wade, R.G., 2020. The prevalence of symptoms in 24,410 adults infected by the novel coronavirus (SARS-CoV-2; COVID-19): a systematic review and meta-analysis of 148 studies from 9 countries. *PLoS One* 15 (6), e0234765.
- Parekh, M., Donuru, A., Balasubramanya, R., Kapur, S., 2020. Review of the chest CT differential diagnosis of ground-glass opacities in the COVID era. *Radiology* 297 (3), E289–E302.
- Smith, J.C., Stamenovic, D., 1986. Surface forces in lungs. I. Alveolar surface tension-lung volume relationships. *J. Appl. Physiol.* 60 (4), 1341–1350.
- Thompson, M.J., Colebatch, H.J., 1989. Decreased pulmonary distensibility in fibrosing alveolitis and its relation to decreased lung volume. *Thorax* 44 (9), 725–731.
- Wendisch, D., Dietrich, O., Mari, T., von Stillfried, S., Ibarra, I.L., Mittermaier, M., Mache, C., Chua, R.L., Knoll, R., Timm, S., Brumhard, S., Krammer, T., Zauber, H., Hiller, A.L., Pascual-Reguant, A., Mothes, R., Bulow, R.D., Schulze, J., Leipold, A.M., Djudjaj, S., Erhard, F., Geffers, R., Pott, F., Kazmierski, J., Radke, J., Pergantis, P., Bassler, K., Conrad, C., Aschenbrenner, A.C., Sawitzki, B., Landthaler, M., Wylter, E., Horst, D., Deutsche, C.-O.I., Hippenstiel, S., Hocke, A., Heppner, F.L., Uhrig, A., Garcia, C., Machleidt, F., Herold, S., Elez Kurtaj, S., Thibeault, C., Witzernath, M., Cochain, C., Suttrop, N., Drost, C., Goffinet, C., Kurth, F., Schultze, J.L., Radbruch, H., Ochs, M., Eils, R., Muller-Redetzky, H., Hauser, A.E., Luecken, M.D., Theis, F.J., Conrad, C., Wolff, T., Boor, P., Selbach, M., Saliba, A.E., Sander, L.E., 2021. SARS-CoV-2 infection triggers profibrotic macrophage responses and lung fibrosis. *Cell* 184 (26), 6243–6261 e6227.

András Lorx<sup>a,\*</sup>, Szabolcs Baglyas<sup>a</sup>, Eszter Podmaniczky<sup>a</sup>, Luca Valkó<sup>a</sup>,  
János Gál<sup>a</sup>, Béla Suki<sup>b</sup>

<sup>a</sup> Department of Anesthesiology and Intensive Therapy, Semmelweis University, Budapest, Hungary

<sup>b</sup> Department of Biomedical Engineering, Boston University, Boston, MA, USA

\* Correspondence to: Üllői út 78, 1083 Budapest, Hungary.  
E-mail address: lorx.andras@med.semmelweis-univ.hu (A. Lorx).