



# Re-Programming Autoreactive T Cells Into T-Regulatory Type 1 Cells for the Treatment of Autoimmunity

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Systemic delivery of peptide-major histocompatibility complex (pMHC) class II-based nanomedicines can re-program cognate autoantigen-experienced CD4+ T cells into disease-suppressing T-regulatory type 1 (TR1)-like cells. In turn, these TR1-like cells trigger the formation of complex regulatory cell networks that can effectively suppress organ-specific autoimmunity without impairing normal immunity. In this review, we summarize our current understanding of the transcriptional, phenotypic and functional make up of TR1-like cells as described in the literature. The true identity and direct precursors of these cells remain unclear, in particular whether TR1-like cells comprise a single terminally-differentiated lymphocyte population with distinct transcriptional and epigenetic features, or a collection of phenotypically different subsets sharing key regulatory properties. We propose that detailed transcriptional and epigenetic characterization of homogeneous pools of TR1-like cells will unravel this conundrum.

Keywords: T-regulatory type 1 (TR1) cells, peptide-MHC class II-coated nanoparticles, T-cell reprogramming, interleukin 10 (IL10), autoimmune disease, therapy

# INTRODUCTION

Interleukin 10 (IL-10)-producing regulatory T cells (Tregs) are key to immune homeostasis and play opposing roles in autoimmunity versus cancer. While the FoxP3+ Treg cell subset has been thoroughly described, FoxP3 and CD25 double-negative T cells producing IL-10 in the context of low IL-4 secretion are generally known as T-regulatory type 1 (TR1) cells (1). Given the lack of specificity of these phenotypic descriptors, the literature has considered as TR1-like cells what appears to be a rather heterogeneous collection of cell types (1), thus clouding our understanding of the true lineage identity of this regulatory T-cell subset. Production of IL-10, coupled to the expression of Latency-Associated Peptide (LAP), Lymphocyte Activation Gene 3 (LAG-3) or CCR5 and Programmed cell death protein 1 (PD-1) in the absence of CD25, or CD4+ cells lacking IL-7R expression, as well as cells induced by vitamin D3 or CD46-stimulation are some of the examples of cell types identified as TR1 (1, 2). Recently, co-expression of CD49b and LAG-3, accompanied by the expression of ICOS and PD-1, has been associated, in both humans and mice, with TR1-ness (3, 4), but these markers are not sufficiently specific or sensitive. Other surface markers have been

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Solé P and Santamaria P (2021) Re-Programming Autoreactive T Cells Into T-Regulatory Type 1 Cells for the Treatment of Autoimmunity. Front. Immunol. 12:684240. doi: 10.3389/fimmu.2021.684240 found to be variably upregulated by IL-10-producing T-cell subsets (5–8), including Cytotoxic T-Lymphocyte antigen 4 (CTLA-4), T-cell immunoglobulin and mucin-domain containing-3 (TIM-3) or TIGIT, as well as transcription factors (TFs) like T-bet, Aryl hydrocarbon receptor (AhR) or Nuclear Factor Interleukin 3-regulated (Nfil3).

Because of the lack of specific markers, it remains unclear whether the various IL-10 producing 'TR1-like' subsets correspond to multiple different cell types, or to cells at different stages of differentiation. Many studies implicating a role for Treg/TR1 cells in the therapeutic activity of various immunotherapies have often done so solely based on an increase in IL-10 expression by splenic CD4+ T cells. It is entirely possible that the various phenotypes associated to IL-10-producing FoxP3-negative CD4+ T-cell subsets correspond to cells at different stages of TR1 cell differentiation, or to distinct subsets of terminally differentiated cells with distinct phenotypic and/or functional properties. Unfortunately, the transcriptional and epigenetic profiles associated with true TR1-ness remain incompletely defined, a fact compounded by our incomplete knowledge on the developmental biology of the TR1 subset(s).

We have shown that treatment of various mouse models of autoimmune disease with nanoparticles (NPs) coated with disease-relevant peptide-major histocompatibility complex class II (pMHCII) molecules (9) suppresses organ inflammation and disease progression without impairing systemic immunity (10-12). This approach has shown clear therapeutic efficacy in animal models of type 1 diabetes (T1D), experimental autoimmune encephalomyelitis (EAE), collagen-induced arthritis (11), as well as primary biliary cholangitis (PBC), primary sclerosing cholangitis (PSC) and autoimmune hepatitis (AIH) (12, 13). pMHCII-NP therapy triggers the formation and expansion of TR1-like CD4+ T cells from autoantigen-experienced CD4+ Tcell precursors of as yet undefined identity. pMHCII-NPs bind directly to TCRs on cognate T cells, resulting in prolonged pMHCII-TCR interactions, the assembly of large TCR microclusters on such T cells, and rapid, robust and prolonged TCR signaling. In turn, this results in the acquisition of immunoregulatory properties, including the upregulation of the cytokines IL-10, IL-21 and Transforming Growth Factor  $\beta$ (TGF- $\beta$ ) (but neither IL-2 nor IL-4), the co-inhibitory receptors LAG-3, CTLA-4 and PD-1, the Inducible T-cell Costimulator (ICOS) and the transcription factors T-bet and c-Maf, among others, in the absence of FoxP3 expression (Figure 1).

Here, we review our current understanding of the phenotype, function and development of TR1-like cells in different experimental settings, including pMHCII-NP-treated mice. We identify knowledge gaps and propose that detailed transcriptional and epigenetic characterization of homogeneous pools of TR1-like cells will help define both, a true state of





TR1-ness as well as the identity of the TR1-poised cell precursors that give rise to TR1-like cells.

## A BRIEF HISTORICAL PERSPECTIVE

The TR1 cell subset was first described in 1997 by Groux et al. (14). Previously, others (15, 16) had described a suppressor T-cell population that secreted IL-10 and protected patients against graft-versus-host disease (GvHD). This population displayed a cytokine profile that was distinct from those of common T-helper cell subsets, and involved the expression of IL-10, IL-5, TGF- $\beta$ , and IFN- $\gamma$  in the absence of IL-4 or IL-2 secretion (17). In 1997, TR1 cells were generated *in vitro* and their suppressive activity was documented both *in vitro* and *in vivo*, in a model of colitis.

Currently, all the regulatory CD4+ T cells that are FoxP3negative and secrete IL-10 and low levels or no IL-4 are considered to be 'TR1'. Unfortunately, this characterization lacks specificity and likely includes phenotypically, functionally and developmentally heterogeneous T cells. This is compounded by the variety of protocols that can trigger the formation of IL-10-producing cells with regulatory properties. In some cases, TR1-like cells were generated from naive CD4+ T cells. For example, in vitro TCR stimulation of human naive CD4+/ CD45RA+ T cells in the context of IL-10 secreted by dendritic cells (DCs) triggered their conversion into anergic, IL-10- and TGF-β-expressing T cells capable of suppressing effector T cells (14, 18). Likewise, in vitro culture of murine CD4+/CD44-/ CD62L+ T cells with IL-10 or IL-27 can induce their differentiation into IL-10 producing TR1-like cells [reviewed in (19)]. Other lines of experimentation have suggested that IL-10producing TR1-like cells can also be generated from memory CD4+ T cells, in the absence of polarizing cytokines in the culture (20, 21). In mice, induction of transplantation tolerance via anti-CD45RB mAb therapy is associated with the presence of antigenspecific IL-10-producing CD4+ T cells in the memory T-cell compartment (22, 23). There are also data supporting the view that TR1-like cells can develop from differentiated T-helper cell subsets. For example, Gagliani et al. provided evidence suggesting that a fraction of the regulatory T cells that are found in the gut arise from Th17 cells and display a TR1-like phenotype, including the production of IL-10 and some IFN-y, and the expression of CD49b and LAG-3, while lacking expression of IL-4 and CCR6 (24). Moreover, there is also evidence that culture of Th17 cells in the presence of IL-27 and TGF- $\beta$  can trigger the formation of IL-10-producing TR1-like cells in vitro (24, 25). Likewise, stimulation of Th1 cells with CXCL12 in vitro (26), or in the context of malaria infection (27), can promote their differentiation into CD4+/CD25-/FoxP3-/IL-10+ T cells. Human allergen-specific Th2 cells can also differentiate into IL-10-producing CD49b+/LAG3+ cells with regulatory properties (28, 29).

Unfortunately, these various 'TR1-like' cell types of different developmental origin were not thoroughly characterized at the phenotypic, transcriptional or functional levels. Accordingly, whether the various TR1-like cells that were generated in these studies correspond to one or several different cell types, or to cells at different stages of differentiation, remains unclear.

#### **DISTINCT PHENOTYPES**

Several surface phenotypes have been attributed to TR1-like cells (**Table 1**). Whether all these subsets correspond to one single, incompletely characterized population, or comprise a collection of phenotypically and/or functionally distinct subsets remains to be determined.

## LAP+/CD25-/CD4+ T Cells

CD4+ CD25+ Treg cells express TGF-β on their surface and one of their mechanisms of suppression involves TGF-β recognition by target cells upon cell-to-cell contact (47). Weiner et al. reported a population of regulatory T cells that suppressed murine colitis in a TGF-β-dependent manner, but where CD25-negative and LAP-positive (30). LAP is the aminoterminal domain of the TGF-β precursor peptide that contains the TGF-β peptide within its latent complex (48). CD4+/CD25-/ LAP+ cells are positive for thrombospondin, which can convert latent TGF-β to its active form. CD4+/CD25-/LAP+ cells represent ~3-5% of murine splenocytes and express high levels of TGF-β and IL-10, as well as IL-2, IL-4 and IFN- $\gamma$ . A similar population was generated after oral anti-CD3 treatment and had a suppressive effect against autoimmune encephalomyelitis (31).

#### NKG2D+/CD25-/CD4+ T Cells

A small population of human CD4+ T cells that produce IL-10 and TGF- $\beta$  express the natural killer receptor NKG2D. These cells are FoxP3-, CD103- and LAG-3-negative. They also express Fas ligand (FasL), which appears to be a main contributor of suppression by inhibiting the growth of bystander T cells (32). Although these T cells can be found in the peripheral blood of healthy individuals (~1-3%), they appear to increase substantially in cancer patients (to ~6-70%). They have also been described in patients with rheumatoid arthritis (33). One ligand of the NKG2D receptor is the MHC class I-related chain A (MICA), which is upregulated in tissues undergoing inflammation or in epithelial tumors. The role of NKG2D with regards to the immunoregulatory properties of these cells remains unclear.

# CD127<sup>low</sup>/CD25–/CD4+ T Cells

The IL-7 receptor (IL-7R)  $\alpha$ -chain (CD127) is important for the survival of conventional CD4+ T cells (49) but is expressed at low levels in CD4+CD25+ T cells (50). Häringer et al. found a population of adaptive Treg cells that were CD25-, FoxP3- and IL-7R-negative. These cells comprised ~1% of the total CD4+ population from human peripheral blood. They expressed low levels of Bcl-2 and high levels of Ki-67 and ICOS, suggesting that they had been recently activated, and had a suppressive function mediated primarily by the secretion of IL-10 in response to potent T-cell receptor stimuli (34). However, only 10% of this T-cell pool produced IL-10 upon stimulation, compatible with the

#### TABLE 1 | Summary of phenotypes ascribed to TR1-like cells.

Markers	Where	Phenotype	Species	Reference
LAP+/CD25-/CD4+	~3-5% of murine splenocytes	High levels of TGF- $\beta$ and IL-10, IL-2, IL-4 and IFN- $\gamma$	Mouse	(30)
NKG2D+/CD25-/CD4+	After oral anti-CD3 treatment In peripheral blood of healthy individuals (~1-3%), Increased in cancer (~6-70%)	Suppressive effect in autoimmune encephalomyelitis	Mouse Human	(31) (32)
	In patients with rheumatoid arthritis		Human	(33)
CD127 <sup>low</sup> /CD25-/CD4+	~1% of CD4+ of human PBMCs	Low levels of Bcl-2 and high levels of Ki-67 and ICOS Secretion of IL-10 upon TCR engagement	Human	(34)
CD49b+/CD25-/CD4+	In mice	Secretion of IL-10 TGF- $\beta$ and IFN- $\gamma$ . Anti-diabetogenic and anti-arthritogenic	Mouse	(35–38)
LAG-3+/CD25-/CD4+	In the spleen (2%), lymph nodes (1%) and Peyer's patches (PP) (8%)	Anergic upon TCR ligation, secrete IL-10 and IFN-γ, and low amounts of IL-2 and IL-4. Expression of Egr-2 and Blimp-1	Human	(39)
CD49b+/LAG-3+/ CD25-/CD4+	Peripheral blood	IL-10 producing suppressive cells	Human/ mouse	(3)
CCR5+/PD-1+/ CD25-/CD4+	Lamina propria	Secretion of IL-10- and IFN-γ. Expression of LAG-3 upon stimulation	Human	(2, 40)
CD44 <sup>hi</sup> /CD62L <sup>Io</sup> /IL-7R-/ LAG-3+/CD49b+/ LAP+ <b>Other markers</b>	Spleen and draining lymph nodes of pMHCII-NP-treated mice	Secretion of IL-10, IL-21, TGF- $\beta$ and IFN- $\gamma$ , but no IL-2, IL-4 or IL-17. Expression of c-Maf, T-bet and Blimp-1.	Mouse	(11)
TIGIT			Mouse	(5)
IIM-3			Mouse	(8)
CD226			Human/	(3, 41)
BOG			Mouse	(42)
Egr-2			Mouse	(43)
c-Maf and AhR	IL-27-induced TR1-like cells		Mouse	(44, 45)
IRF4	Activin-A stimulated human TR1-like cells		Human	(46)
LXR			Human	(7)
Bhlhe40			Human	(7)

presence of a small subset of TR1-like cells within the CD25-/ FoxP3-/IL-7R- pool.

#### CD49b+/CD25-/CD4+ T Cells

Several studies have identified a population of CD4+ T cells with regulatory activity that express CD49b. These cells had antidiabetogenic (35) and anti-arthritogenic properties in mice (36), were both FoxP3- and CD25- and secreted the regulatory cytokines IL-10 and TGF- $\beta$ , as well as IFN- $\gamma$ . In later studies, it was shown that these T cells suppressed CD8+ T-cell responses and IFN- $\gamma$  production by CD4+ T cells, presumably *via* IL-10 (37, 38).

#### LAG-3+/CD25-/CD4+ T Cells

LAG-3 is known to suppress T-cell proliferation (51). Despite being required for the maximal regulatory activity of conventional CD4+CD25+ Treg cells, LAG-3 protein can hardly be detected on the surface of CD4+CD25+ T cells. In contrast, LAG-3 was found to be expressed by a subset of CD4+ CD25- T cells (39) found at low frequencies in the spleen (2%) and lymph nodes (1%) but at higher frequencies in Peyer's patches (PP) (8%). These T cells are anergic upon TCR ligation, but they secrete high quantities of IL-10, moderate amounts of IFN- $\gamma$  and low amounts of IL-2 and IL-4. These cells do not express FoxP3 and, unlike CD4+/CD25-/LAP+ cells, express low levels of CD103 and LAP. They are further characterized by expression of the Early response gene 2 (Egr-2), a transcription factor that is a negative regulator of T-cell activation, inducing an anergic state (52). These CD4+/CD25-/ LAG-3+ cells were also found to express the *Prdm1* gene, encoding the B lymphocyte-induced maturation protein (Blimp)-1.

## CD49b+/LAG-3+/CD25-/CD4+ T Cells

In 2013, Gagliani et al. provided evidence indicating that coexpression of LAG-3 and CD49b can be used to enumerate human and mouse TR1-like cells (3). CD49b had been previously described as a marker for regulatory CD25- T cells, but cannot be used in isolation to identify this T-cell subset, because it can also be expressed by Th17 cells and certain memory CD4+ T-cell subsets (53). Likewise, LAG-3 is associated with T-cell activation and IL-10 production, but its expression is not unique to any particular T-cell subset; it can be upregulated by conventional T cells upon activation and is also expressed by FoxP3+ Tregs (51).

#### CCR5+/PD-1+/CD25-/CD4+ T Cells

Geginat and coworkers used the C-C chemokine receptor type 5 (CCR5) and PD-1 as markers to purify TR1-like IL-10- and IFN- $\gamma$ -producing cells from the human intestine (2, 40). They demonstrated that the majority of IL-10+/CD4+/CD25-/IL-7R-T cells found in the lamina propria co-expressed CCR5+ and PD-1+ (2). Despite expressing *Lag3* mRNA, only a small percentage of cells displayed LAG-3 protein in the steady state. *In vitro* stimulation triggered the upregulation of surface LAG-3 protein expression (2).

#### **Other Markers**

TR1-like cells express several other surface molecules and transcription factors, albeit none of them specifically. For example, both murine and human IL-10 producing TR1-like cells can express the immune checkpoint molecules TIGIT (5) and TIM-3 (8), but conventional FoxP3+ Treg cells and T-follicular regulatory (Tfr) cells (54, 55) can also express these markers. CD226, presumably involved in the cytotoxic activity of at least some TR1-like cells, is another example of such lack of specificity (3, 41).

With regards to transcription factors, ROG (the repressor of GATA-3), a regulator of Th differentiation and cytokine production upon activation (56), has also been described in TR1-like cells (42). Since expression of Egr-2 in CD4+ T cells induces IL-10 production by binding to the Blimp-1 promoter (57), Okamura et al. proposed that this transcription factor might be involved in the acquisition of a suppressor phenotype by CD4+/CD25-/LAG-3+ T cells (43). However, purified TR1like cells from the gut of anti-CD3 mAb-treated mice, as well as those induced in vitro, express levels of Egr-2 that are no different than those seen in effector T cells (3). Likewise, the transcription factors c-Maf and AhR, which are expressed by IL-27-induced TR1 cells and bind to the *Il10* promoter in TR1 cells (44, 45), are also expressed by non-TR1 cell types, including human and murine Th17 subsets (58, 59). The interferon regulatory factor 4 (IRF4) is yet another non-TR1 cell-specific transcription factor that presumably plays a role in the developmental biology of TR1-like cells, as a downstream effector of the inducible tyrosine kinase (ITK) (60). Since IRF4 regulates Blimp-1, it is probably involved in the regulation of IL-10 expression in these cells, along with other transcription factors. Activin A-induced IRF4 activation has been suggested to promote human TR1-like cell formation in vitro (46). The liver X receptor (LXR) and Bhlhe40 are other transcription factors found to be expressed in at least some TR1-like cells (7).

#### pMHCII-NP-Induced TR1-Like Cells

When compared to other TR1-like subsets, the IL-10-producing  $CD44^{hi}/CD62L^{lo}/IL-7R-/CD25-/FoxP3-$  TR1-like cells that arise *in vivo* in response to pMHCII-NP therapy co-express several of the markers previously identified in different TR1-like cell subsets, including LAG-3, CD49b, ICOS, LAP, c-Maf, T-bet, and Blimp-1. These cells produce the cytokines IL-10, IL-21 and, to a lesser extent, IFN- $\gamma$ , but no or very low levels of IL-2, IL-4 or IL-17 (11). Thus, these cells appear to embody the phenotypic properties of most other TR1-like cells, begging the question of whether different IL-10-expressing CD4+CD25- TR1-like cell subsets, as described in the literature, correspond to one single cell subset rather than to a phenotypically heterogenous collection of distinct cell types.

## **MECHANISMS OF ACTION**

In order to affect regulatory activity, TR1 cells need to be activated by antigen recognition. Upon activation, they target effector T cells and/or professional APCs *via* cytokines, direct cell

contact, metabolic disruption and/or cytolysis (**Figure 2**). Although TCR activation is antigen-specific, TR1-mediated suppression of APCs or neighboring T cells is antigen-agnostic (bystander immunoregulation).

#### **Interleukin 10**

Upon activation, TR1 cells secrete the immunoregulatory cytokines IL-10 and TGF- $\beta$  (Figure 2). IL-10 has effects on different cell populations. Although IL-10 expression is a hallmark of TR1-like cells, this cytokine can also be produced by other CD4+ T-cell subsets, as well as CD8+ T cells, macrophages, DCs and B cells (61). IL-10 suppresses T-cell responses by inhibiting T-cell proliferation (62) and cytokine production by effector T cells, including IL-2, IFN-y, IL-4, IL-5 and TNF-a. Moreover, IL-10 can downregulate MHC class II and costimulatory molecule expression in APCs, and reduce the production of proinflammatory cytokines (IL-1a and -B, IL-6, IL-12, IL-18, and TNF-α) and chemokines (CCL2, CCL5, CCL12, CXCL2, CXCL10, and IL-8) by these APCs (61). In humans, IL-10 can elicit the generation of tolerogenic DCs by upregulating immunoglobulinlike transcripts 3 and 4 (ILT3, ILT4) and the non-classical HLA-G molecule (63). On B-cells, IL-10 promotes proliferation, MHC II expression and isotype switching to IgG4 (64). IL-10 also amplifies regulatory T-cell formation. IL-10 stimulation of CD4+ T cells can induce the expression of IL-10, T-cell anergy or TR1-like cell differentiation in a STAT3-dependent manner. STAT3 promotes IL-10 expression and represses pro-inflammatory cytokine expression (65). It is unclear whether the phenotype of fullfledged (i.e. fully differentiated) TR1-like cells is stable. However, pMHCII-NP-induced, antigen-specific TR1-like cells can persist for several months post-treatment withdrawal without any obvious loss of key phenotypic properties or acquisition of pathogenic activity (11).

## Transforming Growth Factor $\beta$

Like IL-10, TGF- $\beta$  inhibits APC function and T-cell proliferation, differentiation and cytokine production (**Figure 2**). TGF- $\beta$  suppresses T-cell proliferation by inhibiting IL-2 production and downregulating cyclins while upregulating cyclin-dependent kinase (CDK) inhibitors. It also inhibits the differentiation of both CD4+ and CD8+ T cells into effectors, by inhibiting master transcriptional regulators of each phenotype (GATA-3, T-bet, IL-12R $\beta$ 2). The main effect of TGF- $\beta$  on APCs involves inhibition of their maturation, in part by upregulating indoleamine 2,3-dioxygenase (IDO) expression and by inhibiting MyD88-mediated TLR signaling (66). As shown in (11, 12), the therapeutic effects of pMHCII-NP-induced TR1-like cells are dependent on IL-10 and TGF-B. The blockade of these cytokines with monoclonal antibodies abrogates the suppression of autoantigen crosspresentation by pMHCII-NP-expanded TR1like cells and thus the therapeutic properties of pMHCII-NP treatment in several models, including T1D, EAE and liver autoimmunity.

#### **Costimulatory and Co-Inhibitory Molecules**

TR1-like cells can also inhibit APCs in a cell contact-dependent manner upon engagement of co-inhibitory receptors such as



FIGURE 2 | Mechanisms of action. TR1-like cells can suppress effector T cells directly or indirectly, by modulating the phenotype and function of APCs *via* IL-10 and TGF-β. IL-10 also induces a regulatory phenotype in APCs, by triggering the upregulation of tolerogenic molecules (i.e. ILT3, ILT4 and HLA-G) and the production of IL-10, further amplifying TR1-like cell formation. TR1-like cells can also make direct cell-to-cell contacts with APCs *via* cell surface CTLA-4 and PD-1, inhibiting APC-induced effector T-cell activation. TR1-like cells can kill myeloid APCs *via* granzyme-B (GZMB) and perforin (PRF). In addition, they can suppress T-cell activation *via* metabolic disruption.

CTLA-4, PD-1, LAG-3 or TIGIT and the costimulatory molecule ICOS (**Figure 2**).

Like other members of the CD28 family, CTLA-4 can bind CD80/86, but it does so with higher affinity than the costimulatory molecule CD28. In the presence of CTLA-4, CD80/86 engagement by CD28 on T cells is inhibited. In addition, CTLA-4 can signal into T cells through Src homology region 2-contatining protein tyrosine phosphatase 2 (SHP-2), dephosphorylating TCR and CD28 signaling intermediates and promoting T-cell inactivation (67). However, engagement of CTLA-4 on T cells by its ligands on APCs can also have inhibitory effects on the latter, such as by triggering the downregulation of CD80 and CD86 (68–70), or by upregulating IDO expression by APCs (71). LAG-3 is another negative regulator of T-cell activation. This molecule is structurally similar to CD4 and binds MHC class II molecules with higher affinity than CD4 (39). Okazaki's work has recently shown that LAG-3 does not universally bind to all MHC class II molecules, but rather recognizes stable pMHC class II complexes (72). LAG-3 signals intracellularly, transducing inhibitory signals that hinder T-cell activation (72). Inhibitory signals through the LAG-3 intracytoplasmic region are mediated by a *FXXL* motif in the membrane-proximal region and the EX repeat in the C-terminal region (73). In addition, the LAG-3-pMHCII interaction inhibits DC activation (74).

PD-1 is a co-inhibitory receptor that belongs to the Ig superfamily containing ITIM and ITSM motifs and signals after interacting with its ligands PD-L1 or PD-L2. PD-L1 is

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expressed on leukocytes, non-hematopoietic cells and nonlymphoid tissues, and can be induced in parenchymal cells by inflammatory cytokines (e.g. IFN- $\gamma$ ) or tumorigenic signaling pathways. PD-L1 expression is also found on different tumor types and is associated with an increased number of tumorinfiltrating lymphocytes (TILs) and poor prognosis. PD-L2 is primarily expressed on professional APCs (DCs and monocytes) but can be induced in other immune and non-immune cell types. PD-1 has a higher binding affinity for PD-L2 than for PD-L1, a difference that might be responsible for the differential contributions of these two ligands to immune responses. It has an inhibitory function similar to that of CTLA-4, by recruiting SHP-1 and SHP-2 phosphatases, reducing T-cell activation and inducing Treg differentiation (75). There is also emerging evidence for 'reverse signaling' through PD-L into DCs. PD-1 binding to PD-L2 decreases the expression of DC maturation markers, such as CD40, CD80 and CD86, and increases IL-10 production by DCs, resulting in a suppressive DC phenotype (76).

TIGIT is another immune checkpoint inhibitor that interferes with the activation of T and NK cells. It has an extracellular IgV domain and an intracellular ITT domain that recruits SHIP-1 to mediate T-cell inactivation (77). TIGIT competes with the immunoactivator receptor CD226 (DNAM-1) for the same ligands: CD155 (poliovirus receptor, PVR) and CD112 (Nectin-2 or PVRL2), expressed on APCs, T cells and some non-hematopoietic cell types like tumor cells (78). TIGIT binding to its ligands on APCs has an effect on DC cytokine production, inducing IL-10 expression and inhibiting the expression of IL-12, reducing T-cell activation (79).

ICOS is a costimulatory molecule with structural homology to CD28 and CTLA-4 that binds to ICOS-L on DCs, B cells, and macrophages. ICOS-ICOS-L engagement regulates antigen presentation and secretion of regulatory cytokines such as IL-10 by APCs (80–82).

#### **Metabolic Disruption**

TR1-like cells can also inhibit effector T cells *via* metabolic disruption mechanisms, similar to those used by FoxP3+ Tregs. In TR1-like cells, the main proteins involved in this process are the ectoenzymes ectonucleoside triphosphate diphosphohydrolase 1 (CD39) and ecto-5'-nucleotidase (CD73). These enzymes hydrolyze extracellular 5'-adenosine triphosphate (ATP) to adenosine, disrupting the metabolic state of T cells. ATP released during T-cell activation (83) has an effect on T-cell and APC activation (**Figure 2**). First, CD39 degrades ATP and ADP into AMP (84), which is then further degraded to adenosine by CD73 (85). Adenosine can bind to  $A_{2A}$  receptors, inhibiting T-cell proliferation and cytokine production of effector T cells (86). Binding of adenosine to these receptors on APCs inhibits their maturation and the secretion of IL-10 (87).

## Killing

Another mechanism *via* which TR1-like cells can inhibit T-cell responses is by killing APCs, particularly APCs of myeloid origin. TR1-like cells can express both granzyme A and B

proteins, which, together with perforin, mediate cell-mediated cytotoxicity (88) (**Figure 2**). In humans, granzyme expression has been shown to be induced by IL-10 signaling (89). Unlike NK-mediated killing, which takes place when target cells lack or downregulate MHC class I, TR1-mediated cytolysis is antigendependent and only takes place when there is TCR engagement with cognate pMHC on the APC (it also requires recognition of other surface molecules expressed by the APC, including CD54 (ICAM-1), CD58, CD155 and CD112) (41). In addition to direct effects on the activation of antigen-specific CD4+ T-cell responses, APC killing indirectly impairs the activation of bystander T cells. Although pMHCII-NP-induced TR1-like cells can express granzymes, they lack cytolytic activity against peptide-pulsed B-cells or DC cells (11).

# **DRIVERS OF TR1-LIKE CELL FORMATION**

#### **TCR Signaling**

TCR stimulation is essential, but not sufficient for the generation of TR1-like cells. pMHC multimers (90-92) or superantigens (93, 94) have been found to induce IL-10-production in some T-cell populations, although it is not clear whether the resulting cells were bona fide TR1-like cells. Several studies have suggested that the strength of the TCR interaction plays an important role; high avidity interactions favor IL-10 production (95), in particular the number of IL-10-producing cells and the cells' suppressive properties (96). The dose of antigen appears to play a lesser role, as high doses of ligands were not enough to induce IL-10 unless they were administered simultaneously with IL-12 (97, 98). Nevertheless, repeated high-dose stimulation was indeed sufficient to induce IL-10. One study pointed to Nfil3 as a transcription factor involved in the upregulation of IL-10 production in response to repeated antigenic stimulation (99). However, as noted above, it is unclear whether these cells were true TR1-like cells or simply Th1 cells that have acquired the ability to produce IL-10. Singha et al. have shown that the ability of pMHCII-NP to elicit TR1 cell formation is dependent on high pMHCII densities onto the NPs. High densities promote sustained pMHC-NP-TCR interactions and formation of TCR microclusters, amplifying the duration and magnitude of TCR signaling, which is associated with their pro-TR1-like celldifferentiation properties (9).

#### **Interleukin 10**

IL-10 has been associated with the induction and maintenance of TR1-like cells (14, 100), although some studies have suggested that it is dispensable (101). In the absence of IL-10 (in *Il10* gene knockout mice) pMHCII-based nanomedicines could readily trigger the expansion of cognate T cells, but these cells upregulated IL-4, suggesting a role for IL-10 in the acquisition of the full-fledged TR1-like cell phenotype (11). Tolerogenic DCs are the main source of IL-10 *in vivo* and they may play a role in the induction of TR1-like cells under physiological conditions (102, 103). Indeed, human IL-10-producing DCs have the ability to induce TR1-like cell differentiation *in vitro* in an IL-10-dependent manner (63, 104).

## **Interleukin 27**

IL-27, largely produced by activated APCs (105), can support the generation of IL-10-producing TR1-like cells and CD8+ T cells (106, 107). It is a member of the IL-12 family and is a heterodimer composed by the Epstein-Barr virus-induced gene 3 (Ebi3)-encoded IL-12-related p40 and the IL-27 p28 (or IL-27 $\alpha$ ) chains. IL-27 binds to the IL-27 receptor (IL-27R) on DCs, monocytes, macrophages, T and B lymphocytes, NK cells, mast cells, and endothelial cells. This receptor is a heterodimer composed by the orphan cytokine receptor WSX-1 (also known as T-cell cytokine receptor (TCCR)) and a signal-transducing chain, the glycoprotein 130 (gp130).

IL-27 has inhibitory effects on Th1, Th2 and Th17 subsets as well as on APCs (108–110). Several studies have shown that it is capable of inducing both murine (111, 112) and human (106, 113) IL-10-producing T cells. Signaling through the IL-27R primarily induces STAT1 and STAT3 activation, promoting the expression of AhR and c-Maf transcription factors, which in turn control IL-10 and IL-21 production, hallmarks of the TR1-like cell phenotype (44). STAT3 further upregulates Egr-2, which as noted above contributes to IL-10 production by promoting Blimp-1 expression (57) (**Figure 3**).

Notwithstanding the positive role of IL-27-IL-27R signaling in TR1-like cell differentiation *in vitro*, pMHCII-NP-induced TR1-like cell formation *in vivo* is IL-27R-independent (11).

#### **Interleukin 21**

IL-21 is a type I cytokine that is produced by antigen-stimulated CD4+ T cells as well as NKT cells, and it has pleiotropic effects targeting T, B, NK, and myeloid cells (114). IL-21 binds to a heterodimeric receptor that is composed by the IL-21Ra chain (115) and the common cytokine receptor  $\gamma_c$  chain and signals through STAT3 and, to a lesser extent, STAT1 and STAT5. IL-21 plays a critical role in the regulation of Ig production and in the differentiation of B-cells into antibody-producing plasma cells (116, 117), in part by inducing T-follicular helper (TFH) cells (118), and has been implicated in the promotion of CD8+ T-cell and NK cell responses (119). IL-21 can also have negative effects on immune responses, such as by inducing Bcell apoptosis (120) and inhibiting DC maturation and function (121). c-Maf, expressed by TR1-like cells, contributes to IL-21 expression (59), and IL-27 promotes IL-21 expression in TR1like cells by upregulating c-Maf (44). Furthermore, IL-21 functions as an autocrine growth factor that facilitates the expansion and homeostasis of IL-27-derived TR1-like cells (44), in part by promoting the upregulation of IL-10 (122) and, in turn, c-Maf expression. Like their IL-27-induced counterparts, pMHCII-NP-induced TR1-like cells express and secrete high levels of IL-21 upon recognition of cognate pMHCII on professional APCs (11, 123), which then plays a critical role in the TR1-like cell-induced differentiation of conventional B-cells into IL-10/IL-35-producing Breg cells and in the recruitment/reprogramming of neutrophils into myeloid-derived suppressor-like cells, as downstream effectors of pMHCII-NP-induced immunoregulation (11, 123).

#### **Inducible Costimulator**

The ICOS molecule, a member of the B7 superfamily, is a glycosylated disulfide-linked homodimer that is expressed by certain T-cell subsets, including TFH- and TR1-like cells, upon productive TCR ligation. The ICOS-L is expressed on a wide range of lymphoid and non-lymphoid cells types, including APCs (124). ICOS signaling has been implicated in IL-10 production (80), as well as in IL-6-induced TFH cell specification (125, 126), although it can also stimulate the production of Th1 and Th2 cytokines *in vivo*. There is also evidence that c-Maf is a downstream target of ICOS engagement (59, 127), suggesting that ICOS engagement on TR1-like cells plays a role in the stabilization of the TR1-like cell phenotype, in part by sustaining IL-21 and IL-10 expression.

#### **Interleukin 6**

IL-6 is a pleotropic cytokine with both pro- and anti-inflammatory effects. It has been associated with the development/progression of certain autoimmune diseases, such as EAE, rheumatoid arthritis and psoriasis (128-130), in part by promoting Th17, TFH and Bcell responses (131). However, it has suppressive effects on the development of T1D, dextran sodium sulfate (DSS)-induced colitis and inflammatory bone destruction (132-134). IL-6, together with TGF-B, was found to induce expression of IL-10 in Th17 cells without suppressing IL-17 production (112, 135). It has also been shown that IL-6 can upregulate IL-21 production and, together with IL-2, can induce IL-10 expression and thus promote TR1-like cell generation (136), even in the absence of IL-27 or TGF-β. It is worth noting that IL-6 shares certain structural homology with IL-27 and that, like IL-27, binds to the gp130 receptor. Both cytokines signal through STAT1 and STAT3. IL-6 can upregulate the TR1-like transcription factors c-Maf, AhR and IRF4 which, as noted above, play a role in IL-10 and IL-21 production (136).

## **Type I Interferons**

The type I interferons IFN- $\alpha$  and - $\beta$ , constitute the first barrier against viral infections by inducing an 'antiviral state' in target cells which seeks to blunt protein synthesis, degrade mRNA and promote cell death in order to prevent viral replication. Type I interferons also induce upregulation of MHC I and adhesion molecules to enhance cytotoxic T lymphocyte (CTL)-mediated killing of virus-infected cells. However, IFN- $\alpha$  also has antiinflammatory properties, such as the suppression of IL-8 and IL-1 production or the upregulation of the IL-1R antagonist (IL-1RA). By signaling *via* STAT1, STAT2 and STAT3 (137, 138), type I IFNs can promote the expression of IL-10 by CD4+ T cells (139–142), including memory T cells. When administered with anti-CD3 and IL-10, IFN- $\alpha$  promoted the development of TR1-like cells (100).

#### Interleukin 2 and Interleukin 15

IL-2 and IL-15 function as T-cell growth factors (143, 144). IL-15 was initially shown to play a critical role in the preservation of the memory repertoire, by preventing T-cell apoptosis (145) and promoting the survival of resting memory T cells (146, 147).



can activate IL-10 expression. Many cytokines, including IL-10, IL-21, IL-6, type-I interferons and IL-27 signal via STAT1 and/or STAT3 proteins, activating several transcription factors that regulate IL-10 and IL-21 expression. ICOS signaling is also a direct regulator of IL-21 expression, while IL-2 or IL-15 cytokines can induce IL-10 directly via STAT5 binding to *II10* or via STAT5-mediated activation of Blimp-1.

Some years later, Bacchetta et al. described IL-15 as a growth factor capable of inducing and supporting TR1-like cell proliferation in the absence of TCR ligation (148). Culture of TR1-like cell clones with IL-15 supported their *in vitro* proliferation. A recent report has suggested that IL-15 may inhibit the production of IL-10 by DCs, thus preventing the generation of IL-10-producing CD4+ T cells (149).

The IL-15R shares its  $\beta$  and  $\gamma$  chains with the IL-2R (150, 151). Although similar, IL-2 and IL-15 have non-overlapping functions. While IL-2 is mainly produced by T cells and plays a major role in the homeostasis of IL-2R $\alpha$  (CD25)-expressing T cells, like activated T cells or nTregs (143, 152), IL-15 is produced during the innate immune response by cell types other than T cells (151). Stimulation with IL-2 can reverse clonal anergy

(153). IL-2 and other  $\gamma$ -chain cytokines, such as IL-15 or IL-21, signal through STAT5. The presence of a STAT5-responsive intronic enhancer in the *Il10* locus suggests that these cytokines might also contribute to IL-10 expression by CD4+ T cells (154, 155).

#### Role of Antigenic Experience and TR1-Relevant Cytokines in pMHCII-NP-Induced TR1 Cell Formation

The pMHCII-NP-induced TR1 population specifically develops from autoantigen-experienced precursors (11). For example, whereas diabetic NOD. $G6pc2^{-/-}$  mice (which lack IGRP) responded to BDC2.5mi/IA<sup>g7</sup>-NPs like wild-type NOD mice, they did not respond to IGRP<sub>4-22</sub>/IA<sup>g7</sup>-NPs. *In vitro*, BDC2.5 TCR-transgenic anti-CD3/anti-CD28 mAb-activated but not naïve T cells upregulate both CD49b, LAG-3 and IL-10 in response to BDC2.5mi/IA<sup>g7</sup>-NPs, indicating that ligation of cognate TCRs by NP-bound pMHCII complexes can trigger these events only in antigen-experienced cells.

Studies using diabetic NOD.*Ifng*<sup>-/-</sup> and NOD.*II10*<sup>-/-</sup> mice revealed that development of the TR1 precursors and/or TR1like cells that expand in response to this therapy requires IFN- $\gamma$ in addition to IL-10 (11). The memory-like phenotype and the upregulation of T-bet mRNA in the expanded TR1-like cells, coupled with the inability of pMHC-NPs to trigger expansion of cognate TR1-like cells in non-diseased mice or NOD.*Ifng*<sup>-/-</sup> mice suggested that the TR1 precursors might be autoantigenexperienced effector/memory T cells of an as yet unknown identity.

As noted above, although IL-27 plays a role in the induction of TR1-like cells from naive T-cell precursors, where it triggers expression of the transcription factor c-Maf, IL-21 and ICOS (44), IL-27 is dispensable for pMHCII-NP-induction of TR1-like cells (11). Since, unlike IL-27, pMHC class II-NPs can only trigger TR1-like cell formation from antigen-experienced but not naive T cells (11), these observations are compatible with the possibility that pMHCII-NPs operate downstream of IL-27.

The specific roles of ICOS, IL-2, IL-6, IL-15 and type I IFNs in the development of pMHCII-NP-induced TR1-like cells remains to be determined.

## TRANSCRIPTIONAL REGULATION

Transcription factors translate different TR1-like cell-promoting stimuli into transcriptional regulation of key TR1-like cell genes, thus playing a critical role in TR1-like cell specification (**Figure 3**).

#### **IRF4**

The IL-2 inducible T-cell kinase (ITK) plays an essential role in T-cell activation, differentiation and function in response to TCR ligation (156). Although the lack of ITK impairs the development of IL-27-induced TR1-like cells, constitutive expression of the IRF4 (a downstream target of ITK signaling) overcomes this effect (60). Of note, IRF4 expression has been linked to the expression of IL-4 and IL-10 in Th2 cells (157), IL-21, Blimp-1 and Bcl-6 in TFH cells (158) and IL-10 expression in Treg cells (159) or Th1 cells (157).

#### c-Maf and AhR

c-Maf has context-dependent effects on IL-4, IL-10 and IL-21 expression. c-Maf positively regulates IL-4 production in both TFH and Th2 cells (160, 161), induces IL-21 expression in both TFH and Th17 cells (59) and contributes to the expression of CXCR5 (162). c-Maf is expressed early on during IL-27-induced TR1-like cell induction, and its expression progressively increases with time (44). IL-27 stimulation also upregulates the expression of AhR, implicated in FoxP3+ Treg and Th17 differentiation (44). c-Maf and AhR have been shown to transactivate both *Il10* and *Il21* gene expression in TR1-like cells (45).

#### Egr-2 and Blimp-1

Egr-2 is a transcription factor that plays a role in T-cell anergy (163) and has been associated with the acquisition of regulatory activity by CD4+ T cells (52). Egr-2 expression can be induced by TCR ligation in the absence of costimulation, as well as by IL-27 stimulation (via STAT3). In turn, Egr-2 promotes IL-10 and LAG-3 expression *via* Blimp-1 (57).

The Blimp-1 protein, encoded by the Prdm1 gene, is a zinc finger-containing transcriptional regulator of plasma cell differentiation (164), but has also been implicated in IL-10 production by CD4+ T cells (165), including both TR1-like (25, 57, 166) and FoxP3+ Treg cells (159).

#### **IRF1 and BATF**

Whereas IL-27R signaling promotes TR1-like cell formation, in part *via* the transcription factors c-Maf, AhR, Egr-2 and Blimp-1, access of these transcription factors to their binding sites on target genes, such as *ll10* or *ll21*, is enabled by pioneering transcription factors, such as BATF and IRF1 (167). BATF had been previously defined as a pioneer factor for Th2, Th17 and effector CD8+ T-cell differentiation, by modifying the chromatin landscape of precursor cells (168–172). BATF also plays a role in TFH differentiation, by regulating Bcl-6 and c-Maf expression (173).

## **Other Transcription Factors**

Other transcription factors, such as Eomes (174, 175) and Rora (176), have also been proposed to transactivate the Il10 gene in CD4+ T cells in a context-dependent manner. For example, Eomes requires co-expression of T-bet, the key Th1 transcription factor.

**Figure 3** summarizes the main stimuli leading to TR1-like cell induction, integrating transcriptional regulation of the key TR1-associated genes, *Il10* and *Il21*.

Although pMHCII-NP-induced, antigen-specific TR1-like cells can persist for several months post-treatment withdrawal without any obvious loss of key phenotypic properties or acquisition of pathogenic activity, the cues responsible for their homeostatic survival remain unclear. Cytokines produced by the TR1-like cells themselves or by downstream regulatory cell types (e.g. Breg cells), including IL-10, IL-21 and IL-35, may play a role. Studies employing cell-specific cytokine receptor knock-out mice should help address this knowledge gap.

# IL-10 UPREGULATION VERSUS TR1-NESS

To date, the TR1-ness of specific T-cell types has generally been ascribed to IL-10 expression. However, IL-10 can be expressed by differentiated Th subsets without the need to invoke a true TR1/ regulatory phenotype.

For instance, whereas the *Il10* locus lies in a closed conformation in naive CD4+ T cells (177), all differentiated T-helper subsets expose accessible regions along the locus (178), together with deposition of H3K4me3 in the absence of H3K27me3 marks (171, 179), promoting a transcriptionally-competent state. The chromatin remodeling processes that lead to a poised or active *Il10* transcription state in Th subsets is mediated by pioneering transcription factors.

In TR1-like cells, BATF and IRF1 are thought to function as pioneering factors responsible for eliciting some of the chromatin accessibility changes that are required for TR1-like cell differentiation. Only after certain loci, such as Il10, become accessible, other TR1-like cell-associated transcription factors, such as AhR and c-Maf, can then bind the *Il10* promoter (167). In Th17 cells, BATF, in association with IRF4, induces Il10 transcription (180). IRF4 is also involved in eliciting Il10 expression in Th2 (157, 181) and Treg cells (159). STAT proteins also contribute to Il10 expression in various Th cell subsets, such as by priming the locus with H3K4me1. STAT4, and STAT6 and GATA-3, induce IL-10 production in Th1 and Th2 cells, respectively (98, 182). GATA-3 induces H3 and H4 acetylation and an increase in chromatin accessibility in the Il10 locus (183). In Tregs, FoxP3 regulates IL-10 expression, but this process is independent of DNA binding (184). Rather, FoxP3 recruits HAT1 complexes to the locus where they induce the acetylation of H4K5 and H5K12 at the Il10 promoter, making it more permissive for STAT3 binding (185). Nfil3 is another transcription factor linked to IL-10 production in Th1, Th2, Treg and NK cells, by promoting acetylation of H3 in the Il10 locus (99). In contrast, in both Th1 and Th2 cells, Ets1 suppresses IL-10 production, by recruiting the de-acetylase HDAC1 to the *Il10* promoter and enhancer regions (186, 187). Importantly, transcription factors involved in T-helper subset specification, such as T-bet, GATA-3 or RORyt can enhance IL-10 expression.

Thus, IL-10 expression *per se* is not a cell subset- but rather a functional state-defining property and IL-10 expression can co-exist with an effector cell program within a given T-cell subset.

#### A ROLE FOR EPIGENETIC REMODELING OF THE CHROMATIN IN TR1-LIKE CELL FORMATION?

Transcriptional features alone do not invariably define a final differentiated cell state. Like in other T-cell developmental or differentiation steps, cell fate decisions require both transcriptional changes and epigenetic remodeling of the chromatin.

For example, during development, the epigenome of the parental gametes progressively evolves to acquire the specific

epigenome of the zygote (188). The chromatin of the zygote further undergoes additional waves of epigenetic changes, including DNA demethylation and methylation, modifications in histones, and changes in chromatin accessibility (189, 190). Epigenetic reprogramming is also essential for differentiation of embryonic stem cells (ESCs) into distinct cell populations (190-192). Pluripotent cells display an open chromatin configuration that is progressively restricted during development (193), accompanied by an increase in DNA methylation and the redistribution of histone marks. The gene expression changes that are associated with such chromatin remodeling processes are not unique to the germline and also take place in somatic cells in response to stimuli. For example, cytokine stimulation induces chromatin changes in APCs, such as DCs or macrophages (194). This phenomenon has also been reported for cytokinechallenged pancreatic  $\beta$ -cells (195), where cytokine stimulation triggers the appearance of new regulatory elements (neo-IREs).

It is becoming increasingly clear that susceptibility of the chromatin to undergo certain epigenetic modifications is affected by the underlying nucleotide sequence. A significant number of disease-associated single nucleotide polymorphisms (SNPs) lie in fact in non-coding, regulatory regions (196). For example, T1D-associated variants appear to be enriched in T- and B-cell enhancers (196, 197), in some cases promoting a three dimensional chromatin architecture that facilitates changes in gene expression in immune cells that might be able to promote the autoimmune pathology (198). Type 2 diabetes (T2D) is another example of a disease whose genetic susceptibility is commonly associated with non-coding variants (199, 200). In this case, many risk variants locate in enhancers or super-enhancers of genes involved in islet cell function and differentiation (201–204).

T cells are known to undergo extensive epigenome remodeling in response to activation/differentiation cues, enabling the acquisition of phenotypic and functional stability (Figure 4). The first epigenetic decision takes place when the Tcell fate is defined in developing thymocytes (205). T-cell activation (206) and T-helper cell polarization also involve epigenetic modifications along with changes in transcription factor expression. For example, Th1 development involves the upregulation of STAT1 in response to IFN- $\gamma$  and IL-27, leading to the expression of T-bet, which upregulates the expression of IFN-y, H2.0-like homeobox (HLX) transcription factors and Runt-related transcription factor 3 (Runx3), and suppresses the expression of GATA-3 (207-209). In turn, T-bet and Runx3 repress the Il4 gene to prevent Th2 differentiation. The Ifng gene harbors multiple regulatory elements around the locus, including enhancers at conserved non-coding sequences and an insulator. This locus is found in a poised, de-methylated state marked by bivalent histone modifications (poised for either expression or silencing) in naive CD4+ T cells, which produce low levels of this cytokine. Th1 differentiation involves H3K4me2, H3 and H4 acetylation and the creation of accessible chromatin at regulatory elements within the Ifng locus, together with loss of H3K27me3 throughout the locus, followed by DNA demethylation (210-213). T-bet transactivates expression of Ifng by binding to its promoter as well as several enhancers and by recruiting histone



acetyltransferases (HATs) (214) and histone demethylases (HDMs) (215).

In contrast, activation of the Th2 program results in the loss of permissive histone modifications and H3K27 trimethylation along the Ifng locus, coupled to DNA methylation (210, 216, 217). The Th2 program is induced by IL-4-mediated activation of STAT6, which in turn activates GATA-3 (218). GATA-3 induces the expression of c-Maf, regulating IL-4 expression, and together with STAT6 enhances the transcription of Il4, Il5 and Il13 (218). In mice, Il4, Il5 and Il13 (encoding Th2 cytokines), together with the Rad50 gene, co-localize near a Locus Control Region (LCR). Expression of the Il4 gene is regulated by enhancers (overlapping with DNAse I hypersensitive sites) that bind NFAT and Th2-promoting transcription factors. In naive T cells, there are few accessibility and histone modifications at these DNAse I hypersensitive sites, and the cytokine gene promoters and enhancers are hypermethylated (219). Upon Th2-polarizing stimulation, the loci acquire permissive histone modifications and lose H3K27me3 (220, 221). In Th1 cells, the Th2-cytokine locus is all covered with H3K27me3 (222). GATA-3 induces most of these epigenetic modifications, as it can recruit HATs and histone H3K4 methyltransferases (218, 223), inhibit histone deacetylases (HDACs) (224) and DNA (cytosine-5)-methyltransferase 1 (DNMT1) (219, 225), and recruit chromatin-remodeling factors (226). In addition, the Ifng locus in Th2 cells is silenced by H3K27me3 deposition (217).

TGF- $\beta$  induction of Th17 and Treg lineage formation represents another example. This cytokine induces both the expression of FoxP3 and retinoic-acid-receptor-related orphan receptor- $\gamma$ t (ROR $\gamma$ t) (227–230). The context determines if the Treg or the Th17 program is induced: in the absence of IL-6, FoxP3 inhibits ROR $\gamma$ t and leads to Treg formation. If IL-6 is present, STAT3 is activated, inhibiting the expression of FoxP3 and enhancing Th17 formation. IL-17A and IL-17F are both coexpressed by Th17 cells and the genes encoding these cytokines co-localize and may be regulated by shared regulatory elements. The *Il*17 locus contains eight different gene regulatory elements (231). When naïve CD4+ T cells are cultured under Th17polarizing conditions, STAT3 (227) and ROR $\gamma$ t (232) induce the appearance of permissive H3 acetylation changes in the *Il*17*a* and *Il*17*f* gene regulatory elements (231), enabling their expression.

The fate of TFH and non-TFH (Th1, Th2, Th17) effector cells is regulated by Bcl-6 and Blimp-1, which are reciprocal regulators of each other (233). Bcl-6 binds promoters and enhancers regulating genes involved in T-cell migration (Ebi2, CCR6, CCR7, S1pr1, Klf-2, PSGL-1, CXCR5, CXCR4, PD-1 and SAP) (126, 234). Ascl-2 also controls TFH differentiation by upregulating CXCR5 and CXCR4, while downregulating CCR7 and PSGL-1 expression. The *Bcl6* locus in TFH cells displays positive histone modifications, but it also contains permissive marks in Th1, Th2 and Th17 cells (235). Other TFH-related genes, such as c-Maf, BATF and IRF4, are also associated with H3K4me3 in all subsets. In contrast, the *Ascl2* locus is uniquely marked with the active chromatin mark H3K4me3 in TFH cells. *Prdm1* (encoding BLIMP-1), which is downregulated in TFH cells, displays bivalent modifications, allowing re-programming between TFH and other Th effector subsets (236).

Acquisition of Treg-cell-specific epigenetic marks during thymocyte development (237, 238), along with FoxP3 expression, determines the regulatory phenotype of nTregs (239). Once in the periphery, Treg cells can be divided into subpopulations that locate in different tissues, and each acquires an additional level of epigenetic modification that defines a tissue-specific epigenetic footprint (240). The expression of some Treg-function associated molecules, such as CTLA-4 or CD25, is clearly associated with DNA de-methylation and can occur in the absence of FoxP3. In contrast, expression of *Il2, Ifng* or *Zap70* is lost if FoxP3 is not present. Several regulatory elements control *Foxp3* gene expression (237). The *Foxp3* promoter is de-methylated upon TCR signaling, facilitating the binding of FoxP3-inducing transcription factors (241).

Moreover, there is increasing evidence that Th subsets are plastic. To name a few examples, T-cell populations have been described that stably express both T-bet and GATA-3 and produce both IFN-γ and IL-4 (242), produce both Th1 and Th17 cytokines (243, 244), or have a Th1/Th17 phenotype but can switch to Th2 during helminth infections (245). This observed plasticity can be regulated by different mechanisms. First, certain environmental stimuli may be able to modify epigenetic marks responsible for maintaining lineage stability; for example, prolonged activation of Treg cells in vitro can lead to demethylation of the Rorc locus in FoxP3+ Tregs and allow IL-17 production (246). Second, Th subsets display an intrinsic plasticity potential. Although the various lineage-specific cytokines present active histone marks in the corresponding cell lineages and repressive marks in the others, some transcription factors are not so strictly marked. For example, in Th1 cells, Tbx21, encoding T-bet, bears activating H3K4me3 marks in the promoter. In other Th cell subsets, on the other hand, the Tbx21 promoter bears bivalent modifications. Likewise, the Gata3 promoter carries H3K4me3 marks in Th2 cells, but bivalent marks in other Th cell subsets. The same is true for Rorc or Bcl6 genes in non-Th17 or non-TFH cells, respectively (235, 247). Third, polarized T-cell types might represent stable lineages yet comprise a continuum of different epigenotypes with differential susceptibility for lineage conversion in response to external signals.

To date, the study of TR1-like cell specification is largely based on phenotypic and transcriptional studies. Based on the data summarized above, it is reasonable to suspect that the cues responsible for TR1-like cell formation operate on a precursor cell type that either has a TR1-poised epigenome or responds to TR1-inducing signals by undergoing further epigenetic modifications enabling the acquisition of a stable TR1-like cell phenotype, including DNA hypomethylation (248–250). Thus, detailed characterization of TR1-like cells at the transcriptional and epigenetic levels, including analysis of their chromatin status, three-dimensional structure and interactions, as well as DNA methylation status (**Table 2**), should provide unique clues about the true identity of this cell lineage and the identity of their cellular precursors.

#### CONCLUDING REMARKS

Currently, TR1-like cells are defined as a regulatory CD4+ T-cell subset that lacks FoxP3 expression (unlike conventional FoxP3+ Treg cells) and secretes IL-10 and low levels or no IL-4. However, this Treg cell subset lacks cell-specific markers and their developmental origin remains a mystery. Moreover, the signaling, genetic and epigenetic mechanisms that are responsible for the acquisition of the TR1-like cell phenotype *in vivo* remain unclear.

We posit that detailed transcriptional and epigenetic studies will enable a better understanding of the role of this T-cell subset in immunity, autoimmunity and cancer, the identification of biomarkers capable of accurately track its development *in vivo*, as well as a detailed understanding of gene regulatory mechanisms responsible for TR1-like cell specification. In turn, this will help pinpoint specific areas of the genome that might be impacted by genetic polymorphisms associated with susceptibility and/or resistance to specific immune-mediated diseases, and the development and testing of compounds capable of triggering the formation of antigen-specific TR1-like cells *in vivo*, for therapeutic purposes.

TR1 cell formation in response to pMHCII-NPs afford a unique opportunity to address the above knowledge gaps. These compounds trigger the formation of relatively large numbers of mono-specific TR1-like cells, thus enabling this type of studies with unprecedented resolution. Transcriptional studies at the

TABLE 2	Summary	of epigenetic	modifications	and their	effects on	aene	expression
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Epigenetic modification	Found in	Relation to gene transcription	Study methods
Chromatin accessibility	Promoters and GREs	Activating	ATAC-seq DNAse-seq MNase-seq
H3K27ac H3K4me3	Active enhancers and TSS TSS	Activating Activating	
H3K4me1	Gene body Primed enhancers	Activating	ChIP-seq Cut&Run
H3K27me3 H3K9me3	Bivalent/inactive enhancers, promoters and intergenic regions Constitutive heterochromatin	Repressing Repressing	Cut&Tag
DNA methylation	Enhancers, promoters, gene body (CpG rich regions)	Repressing	Bisulfite sequencing

bulk and single cell levels should help determine the homogeneity or heterogeneity of the resulting cognate T-cell pools, and pinpoint developmentally-related cell subsets with poised TR1-like cell transcriptional programs. Epigenetic studies shall include screening for histone modifications, chromatin accessibility and 3D chromatin maps, to enumerate the genome-wide distribution of active promoters and enhancers, define the epigenomic architecture underpinning the TR1-like cell state, describe the various steps underlying TR1-like cell reprogramming, identify key TR1-like cell epigenetic signatures, and potentially expose new targets for therapeutic intervention.

Collectively, these studies should provide a comprehensive set of functional, phenotypic, transcriptional and epigenetic markers capable of specifically identifying TR1 cells. These markers would likely play a pivotal role in guiding the clinical translation of compounds capable of promoting TR1 cell formation *in vivo* for the treatment of autoimmunity, including pMHCII-based nanomedicines. They should also prove useful to enumerate the contribution of this cell type to tumor progression in the context of cancer.

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# **AUTHOR CONTRIBUTIONS**

PSo and PSa co-wrote the manuscript. All authors contributed to the article and approved the submitted version.

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**Conflict of Interest:** PSa is scientific founder of Parvus Therapeutics Inc. and has a financial interest in the company.

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