# **BMJ Open** Multicentre point-prevalence survey of antibiotic use and healthcare-associated infections in Ethiopian hospitals

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## ABSTRACT

**Objective** Effective antimicrobial containment strategies such as Antimicrobial Stewardship Programs (ASPs) require comprehensive data on antibiotics use which are scarce in Ethiopia. This study sought to assess antibiotics use and healthcare-associated infections (HCAIs) in Ethiopian public hospitals.

**Design** We conducted a cross-sectional study using the WHO point-prevalence survey protocol for systemic antibiotics use and HCAIs for low/middle-income countries.

**Setting** The study was conducted among 10 public hospitals in 2021.

**Participants** All patients admitted to adult and paediatric inpatient and emergency wards before or at 08:00 on the survey date were enrolled.

**Outcome measure** The primary outcome measures were the prevalence of antibiotic use, HCAIs and the hospitals' readiness to implement ASP.

Results Data were collected from 1820 patient records. None of the surveyed hospitals had functional ASP. The common indication for antibiotics was for HCAIs (40.3%). Pneumonia was the most common bacterial infection (28.6%) followed by clinical sepsis (17.8%). Most treatments were empiric (96.7%) and the overall prevalence of antibiotic use was 63.8% with antibiotics prescription per patient ratio of 1.77. Ceftriaxone was the most commonly prescribed antibiotic (30.4%) followed by metronidazole (15.4%). Age, having HIV infection, ward type, type of hospital, catheterisation and intubation history had significant association with antibiotic use. Patients who were treated in paediatric surgical wards were about four times more likely to be on antibiotics compared with patients treated at an adult emergency ward. Patients on urinary catheter (adjusted OR (AOR)=2.74, 95% CI: 2.04 to 3.68) and intubation device (AOR=2.62, 95% CI: 1.02 to 6.76) were more likely to be on antibiotics than their nonintubated/non-catheterised counterparts. Patients treated at secondary-level hospitals had 0.34 times lower odds of being on antibiotics compared with those in tertiary hospitals.

**Conclusions** Antibiotic use across the surveyed hospitals was common and most were empiric which has both practical and policy implications for strengthening ASP and promoting rational antibiotics use.

# Strengths and limitations of this study

- The study enrolled large sample size and was conducted across institutions from diverse geographic regions yielding robust findings.
- The study used a standardized and validated World Health Organization's point prevalence survey tool developed for low- and middle-income countries.
- The findings may have limited generalisability given the study's focus on selected secondary and tertiary public hospitals of Ethiopia.
- Surgical prophylaxis may have been switched to empiric treatment without documentation, potentially inflating rate of prolonged prophylactic antibiotic use and underestimating rate of healthcare-associated infection.

# INTRODUCTION

Since their discovery, antimicrobials have saved millions of lives, substantially reduced disease burden, improved patients' quality of life and helped increase life expectancy.<sup>1</sup> However, antimicrobial resistance (AMR) is becoming a growing threat to the health of humans, animals and the environment.<sup>2</sup> Every year, more than 700000 deaths are attributable to AMR and, unless urgent measures are taken, AMR will lead to 10 million deaths and would cost the global economy up to US\$ 100 trillion by 2050. It is also predicted that AMR will disproportionately affect low/ middle-income countries (LMICs).<sup>3</sup> Hence, containing and controlling AMR demands multisectoral collaboration and coordinated efforts across diverse sectors.<sup>4</sup>

Although AMR is a complex problem with many inter-related contributors, the key drivers to the emergence of AMR are misuse and overuse of antimicrobials.<sup>5</sup> <sup>6</sup> There is a strong correlation between antibiotic consumption and the emergence of resistant microbes.<sup>7–10</sup> To address this issue, the WHO has developed a Global Action Plan (GAP),<sup>6</sup>

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which includes a standardised point-prevalence survey (PPS) methodology to guide optimisation of antimicrobial use and AMR containment.<sup>11</sup>

Prior studies from Ethiopia have shown widespread misuse and overuse of antibiotics<sup>12-14</sup> as well as emergence of microbes that are resistant against locally available antibiotics including carbapenems.<sup>15 16</sup> Responding to this global health priority, the government of Ethiopia adopted the GAP and implemented strategies, including Antimicrobial Stewardship Program (ASP), to prevent and contain AMR. However, a general picture of antibiotic use, prevalence of healthcare-associated infections (HCAIs) and quality of prescribing at a national level is lacking.<sup>11</sup> Hence, this multicentre PPS survey aimed to collect baseline information about antibiotic use, prevalence of HCAIs, distribution of these infections according to infection site and pathogen and quality of antibiotic prescribing among selected public hospitals in Ethiopia.

#### **METHODS**

#### Study design and setting

A multicentre cross-sectional study was conducted in Ethiopian public hospitals in January 2021. We adopted the WHO methodology for PPS of HCAIs and systemic antibiotic use for LMICs V.1.1.<sup>11</sup> Ethiopia has a three-tier public healthcare system that broadly classifies its facilities as primary, secondary and tertiary level service providers. At the time of this survey, the estimated number of hospitals in Ethiopia was about 464 at any level (378 public, 86 private) of which 25 were tertiary, 58 secondary and 381 primary hospitals. As part of its strategic initiatives, the Ethiopian Ministry of Health identified selected secondary and tertiary public hospitals to serve as the first cohort of facilities that will implement new ASP or strengthen existing programmes. In alignment with the Ministry's programmatic priorities, we have included five secondary and five tertiary care level hospitals. The hospitals were selected based on their readiness to implement the ASP, location and catchment area of service (online supplemental file).

#### **Eligibility criteria**

The inclusion criteria were first applied to the wards in 10 purposively selected hospitals, then to patients in the selected wards, and finally to the antibiotics prescribed and dispensed to those patients as per the WHO PPS methodology for LMICs V.1.1.<sup>11</sup> We included all hospitalised patients with a complete medical record admitted in the following acute care wards before or at 08:00 on the day of the survey regardless of antibiotic treatment status: adult and paediatric medical, emergency, gynaecology/obstetrics, surgery, intensive care unit (ICU) and oncology–haematology. Excluded patients included: those seen in outpatient departments, outpatient dialysis centres, patients who were discharged before 08:00 of the day of survey but remaining in wards while awaiting transportation, undergoing treatment or surgery and were

discharged or expected to be discharged on the same day, and patients receiving outpatient parenteral antibiotic therapy.

We included only oral and parenteral antibiotics when the patient was on active antibiotic therapy at 08:00 on the day of the survey. For instance, if a patient was on treatment with antibiotic-A at 08:00 on the day of the survey but the treatment was changed to antibiotic-B at 10:00, then only antibiotic-A was reported.

#### **Outcome variables**

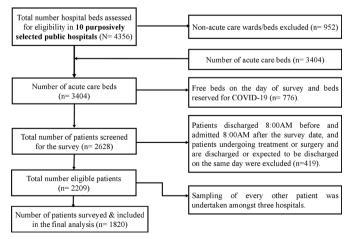
The outcome variables were prevalence of antibiotic use and HCAIs among hospitalised patients and existence of functional ASP. We considered functional ASP when hospitals had been providing either prospective audit and feedback or preauthorisation and/or formulary restriction. The independent variables were types of the hospital and ward, patients' sociodemographics, clinicalrelated and treatment-related characteristics.

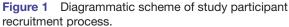
## Sample size determination and sampling technique

For hospitals with <500 bed capacity, all eligible participants were surveyed. For those with 500-800 bed capacity, every other patient was surveyed following an alphabetical listing of all eligible inpatients on the day of data collection. The next available record was included if a selected patient or medical record was not available. A consistent approach was employed across wards to ensure fidelity to predefined study procedures. Altogether, 2209 eligible patients were admitted during the survey period, and a total of 1820 patients were included in the survey and final data analyses. As per the WHO PPS methodology, 389 patients were excluded from the survey because they were either (1) undergoing treatment or surgery and were discharged or expected to be discharged on the same day, or (2) admitted to the ward after 08:00 or discharged before 08:00 of the survey date (figure 1).

#### **Data collection and management**

A total of 100 patients, 10 from each of the participating hospitals, were used to pilot test the survey instrument.





Trained data collectors fielded the survey instrument (one ASP chair/secretary and two clinical pharmacists per hospital). Data quality was assured through the implementation of a field manual guiding data collectors, regular supervision and daily checks on data completeness, accuracy and clarity. A validation workshop was also conducted to review findings with infectious disease specialists, data collectors and other key stakeholders from the surveyed hospitals and the Ethiopian Ministry of Health.

## Patient and public involvement

The survey was designed for public health surveillance purposes; it was non-experimental, did not involve any patient examination nor did it introduce interventions. There was no direct patient and public involvement in the design, recruitment and conduct of the study. Collected data were de-identified during data collection and it can therefore be considered to be a minimal risk study. All the data were extracted from the respective patient's medical records. Procedures for data collection, data management, analysis and interpretation were in accordance with the ethical and data safety regulations of the country.

## Data analysis and interpretation

Data were analysed using SPSS V.26. Descriptive analyses such as frequency and percentage were used to summarise the data. A multivariable logistic regression analysis was used to explore factors affecting antimicrobial use. During univariate analysis, all variables with p<0.25 and other clinically significant variables (eg, length of hospital stay and within 90 days hospitalisation history) were included for multivariable logistic regression model. A p value of <0.05 was considered statistically significant.

# RESULTS

## **Demographic characteristics of patients**

The mean age of patients was  $27.7\pm22.1$  years and the majority (690, 37.9%) of patients were in the age group of 18–39 years. There were about 90 preterm babies. Out of the 1820 patients included in the survey, a large proportion of them were from adult medical (340, 18.7%), adult surgical (330, 18.1%) and obstetrics/gynaecology (309, 17.0%) wards. Five hundred and one (27.5%) patients were found to be transferred from other hospitals and 562 (30.9%) had a previous history of hospitalisation in the last 90 days. Moreover, 194 (10.7%) of the patients had HIV infection, 76 (4.2%) had active tuberculosis and 277 (15.2%) of patients were malnourished. A peripheral vascular catheter was secured in a significant number of patients (1535, 84.3%) (table 1).

## Indications for antibiotics

From the 1820 enrolled patients, there were about 1191 (65.4%) antibiotic indications on the day of survey. The most common indication for antibiotics was HCAI (480, 40.3%). Eight hundred and eighty-seven patients had a

Table 1       Characteristics of patients	
Variable, n=1820	n (%)
Sex	
Male	848 (46.6)
Female	972 (53.4)
Age in years	
0–17	616 (33.8)
18–39	690 (37.9)
40–64	371 (20.4)
≥65	143 (7.9)
Type of preterm for pre-term babies, n=90	)
Late preterm	39 (43.3)
Moderate preterm	26 (28.9)
Very preterm	24 (26.7)
Extremely preterm	1 (0.1)
Ward/unit type	
Adult medical ward	340 (18.7)
Adult surgical ward	330 (18.1)
Obstetrics/gynaecology ward	309 (17.0)
Neonatal intensive care unit	184 (10.1)
Adult emergency ward	181 (9.9)
Paediatric medical ward	146 (8.0)
Paediatric emergency ward	120 (6.6)
Adult intensive care unit	57 (3.1)
Paediatric surgical ward	56 (3.1)
Paediatric high risk wards	42 (2.3)
Adult high risk wards	42 (2.3)
Paediatric intensive care unit	13 (0.7)
Current hospitalisation malarial status	
Yes	33 (1.8)
No	1439 (79.1)
Unknown	348 (19.1)
Previous malarial treatment history	
Yes	41 (2.3)
No	1517 (83.3)
Unknown	262 (14.4)
Active tuberculosis	
Yes	76 (4.2)
No	1499 (82.4)
Unknown	245 (13.4)
HIV infection status	
Positive	194 (10.7)
Negative	1421 (78.0)
Unknown	205 (11.3)
Patients having chronic obstructive pulmonary disease	28 (1.5)
Patients with malnutrition	277 (15.2)
·	Continued

Continued

Table 1 Continued					
Variable, n=1820 n (%)					
Referred from another hospital	501 (27.5)				
Patients having hospitalisation history within 90 days	562 (30.9)				
Patients with peripheral vascular catheter at 08:00 on the day of the survey	1535 (84.3)				
Patients with urinary catheter at 08:00 on the day of the survey	403 (22.1)				
Patients that were intubated at 08:00 on the day of the survey	71 (3.9)				

documented infection, the most common being pneumonia (254, 28.6%) followed by clinical sepsis (158, 17.8%) and central nervous system infections (118, 13.3%) (table 2).

Table 2 Indicatio	n for antibiotics and types of	infections
Variable		n (%)
Indication of antibiotics among	Healthcare-associated infections	480 (40.3)
1191 indications	Community-acquired infection	403 (33.8)
	Surgical prophylaxis	218 (18.3)
	Medical prophylaxis	86 (7.2)
	Unknown	4 (0.3)
Types of infection	Pneumonia	254 (28.6)
among the 887 patients	Clinical sepsis	158 (17.8)
oor patients	Central nervous system infection	118 (13.3)
	Cellulitis, wound, deep soft tissue infection; not related to surgery	61 (6.9)
	Symptomatic upper urinary tract infection	59 (6.7)
	Gastrointestinal infection	43 (4.8)
	Surgical site infection involving skin or soft tissue but not bone	39 (4.4)
	Intra-abdominal infection	35 (3.9)
	Gynaecological infection	30 (3.4)
	Cardiovascular infection	19 (2.1)
	Others*	71 (8.0)

\*Others: Febrile neutropenia, sexually transmitted infection, infection of ear, nose and throat, cystic fibrosis, symptomatic lower urinary tract infection, acute bronchitis and exacerbation of asthma, septic arthritis of surgical site, prostatitis, systemic inflammatory response with no clear anatomical site, completely undefined site.

# **Microbiological tests**

Microbiological diagnostics for patients treated for HCAIs and community-acquired infections were rarely ordered during the survey period (119, 13.6%). If ordered, most of them were blood samples alone (53, 44.5%), followed by urine culture (26, 21.9%). Moreover, a high proportion of the results were unknown or not reported/collected (52, 43.7%). Out of 41 isolated microorganisms, about two-thirds (28, 68.3%) were gram-negative bacteria. *Escherichia coli* (8, 19.5%) and *Klebsiella pneumoniae* (7, 17.1%) were the most commonly isolated microbes. About 21 resistant phenotypes were reported and most (13, 61.9%) were third-generation cephalosporin resistant Enterobacteriaceae followed by methicillin resistant *Staphylococcus aureus* (3,14.3%) and carbapenem resistant Enterobacteriaceae (3, 14.3%) (table 3).

## **Readiness to implement ASP**

All surveyed hospitals had functional infection prevention and control committee and only eight hospitals had functional Drugs and Therapeutics Committee. Although a defined organisational structure for ASP was present in all surveyed hospitals, a formal ASP team was only available in seven (70%) hospitals and none were functional during the survey period. None of the hospitals monitored antibiotic use per defined daily dose or days of therapy and hospital activity denominator. Microbiological services were available in eight of the surveyed hospitals, and the median number of blood cultures performed in the previous fiscal year 2019/2020 was 1707 (IQR: 680–2786). Different classes of broad-spectrum and narrow-spectrum antibiotics were stocked out during the survey period (online supplemental file).

## Antibiotics use prevalence and indication

Of the 1820 surveyed patients, 63.8% had at least one antibiotic prescription on the day of the survey. The prevalence of antibiotic use was higher in adult ICU patients (49, 86.0%) followed by paediatric emergency (112, 76.7%) and paediatric medical wards (94, 78.3%) (table 4).

On the day of the survey, 2059 antibiotics were prescribed for 1162 patients with antibiotics prescribing ratio of 1.77 per patient. More than half (585, 50.3%) patients were on two antibiotics. Most antibiotics were prescribed in their generic name (1998, 97.1%) and were administered parenterally (1858, 90.2%). The median duration of treatment from initiation to survey date was 5 days (IQR: 3–10 days). A significantly higher proportion of treatments were empiric (837, 96.7%). As per the WHO definition of guideline compliance,<sup>11</sup> only 637 (54.8%) of the treatments were compliant with the national guideline (online supplemental file).

As shown in table 4, the most widely prescribed antibiotics across all surveyed hospitals were ceftriaxone (626, 30.4%) followed by metronidazole (317, 15.4%), ampicillin (249, 12.1%) and vancomycin (217, 10.5%). Additionally, ceftriaxone (157, 54.7%) was the most widely

Table 3       Microbiological diagnostics and cultus         sensitivity results	ure and
Variable	n (%)
Sample collected for microbiological workup, n=870 patients*	
Yes	119 (13.6)
No	693 (79.7)
Unknown	58 (6.7)
Specimen type, n=119 patients	
Blood	53 (44.5)
Urine	26 (21.9)
Cerebrospinal fluid	13 (10.9)
Pus	11 (9.2)
Blood and urine	8 (6.7)
Blood and cerebrospinal fluid	6 (5.1)
Peritoneal fluid	2 (1.7)
Culture result, n=119 patients	
Positive	38 (31.9)
Negative	29 (24.4)
Unknown	52 (43.7)
Isolated microorganism, n=41	
Gram positive bacteria	13 (31.7)
Gram negative bacteria	28 (68.3)
Type of isolated bacteria, n=41†	
Escherichia coli	8 (19.5)
Klebsiella pneumoniae	7 (17.1)
Klebsiella oxytoca	4 (9.8)
Acinetobacter	4 (9.8)
Staphylococcus aureus	4 (9.8)
Enterobacter aerogenes	3 (7.3)
Enterococcus	3 (7.3)
Coagulase negative staphylococcus, contaminant	3 (7.3)
Others‡	5 (12.2)
Resistant phenotype, n=21	
Third-generation cephalosporin resistant Enterobacteriaceae	13 (61.9)
Methicillin resistant Staphylococcus aureus	3 (14.3)
Carbapenem resistant Enterobacteriaceae	3 (14.3)
Carbapenem resistant <i>Pseudomonas</i> aeruginosa	1 (4.8)
Carbapenem resistant Acinetobacter	1 (4.8)

\*Only for those whose indication type is for healthcare-associated infection and community-acquired infection.

†For one patient *Klebsiella oxytoca* from blood and *Klebsiella pneumoniae* from urine, from another patient *streptococcus* from blood and *Klebsiella pneumoniae* from blood and another one *Klebsiella pneumoniae* from urine and *Acinetobacter* from blood were isolated.

*‡Pseudomonas aeruginosa, Citrobacter,* Gram positive cocci, Group A *streptococcus*, Group D *streptococcus*. prescribed antibiotic for surgical prophylaxis followed by metronidazole (64, 22.3%). A significantly higher proportion of patients (180, 82.6%) were on prolonged duration of antibiotics for surgical prophylaxis (defined as >24 hours use).

# Factors associated with antibiotic use

From the multivariable logistic regression analysis, age, ward type, hospital type, history of being catheterised, history of being intubated and HIV infection status were significantly associated with being on antibiotics. Patients aged between 18-39 years (adjusted OR (AOR)=0.61, 95% CI: 0.38 to 0.86) and 40-64 years old (AOR=0.55, 95% CI: 0.39 to 0.93) had lower odds of being on antibiotics compared with 17 years old or younger patients. Moreover, patients treated in paediatric medical and emergency wards were about four times more likely to be on antibiotics compared with patients in an adult emergency ward. The study also found that being on urinary catheter and intubation device had a significant association with antibiotics use status, where they were nearly three times more likely to be on antibiotics compared with non-catheterised and non-intubated counterparts (table 5).

# DISCUSSION

AMR is becoming a global threat exacting a major toll on human, animal and environmental health.<sup>17–20</sup> Ethiopia, like many nations, has not been immune from the negative effects of AMR.<sup>16 21 22</sup> While there have been initiatives to address this pressing health challenge, the few national and institutional efforts have had limited success. Mounting an effective national response to combat AMR requires robust information on the scope of infections and antimicrobial agents being used in healthcare institutions. Using the WHO's standardised PPS methodology, this study assessed the burden of HCAIs and antibiotic use in selected public hospitals of Ethiopia.

Similar to studies done elsewhere,<sup>13</sup> <sup>23</sup> <sup>24</sup> the most common indication for antibiotics therapy was for HCAIs (40.3%), with pneumonia and clinical sepsis accounting for the lion share of indications. This high burden of infections might be a reason for misuse and overuse of antibiotics, potentially straining the already resource constrained hospitals, patients and family caregivers.<sup>13</sup> Hence, efforts to effectively treat, prevent and reduce HCAIs are needed. To achieve these, implementing interventions such as strengthening and integrating infection prevention and control practice, developing and enforcing the use of institution specific standard treatment guidelines and providing in-service trainings are needed. Strengthening microbiology laboratories to guide definitive treatment is also invaluable towards the achievement of this goal.

Empiric prescribing for broad-spectrum antibiotics (96.7%) was a common finding across the surveyed hospitals, something that has been reported in studies from Table 4

Proportion of patients on antibiotics and types of antibiotics prescriptions				
	Antibiotics	n (%)		
	Proportion of patients on antibiotics per surveyed wards, n=1162			
	Adult surgical ward	219 (66.4)		
	Adult medical ward	199 (58.5)		
	Obstetrics and gynaecology	157 (50.8)		
	Neonatal intensive care unit	140 (76.1)		
	Paediatric medical ward	112 (76.7)		
	Adult emergency ward	97 (53.6)		
	Paediatric emergency ward	94 (78.3)		
	Adult intensive care unit	49 (86)		
	Paediatric surgical ward	41 (73.2)		
	Paediatric high risk wards	32 (76.2)		
	Adult high risk ward	13 (31)		
	Paediatric intensive care unit	9 (69.2)		
	Types of antibiotics prescribed for therapeu	itic use, n=2059		
	Ceftriaxone	626 (30.4)		
	Metronidazole	317 (15.4)		
	Ampicillin	249 (12.1)		
	Vancomycin	217 (10.5)		
	Gentamycin	178 (8.6)		
	Ceftazidime	116 (5.6)		
	Trimethoprim/sulphamethoxazole	72 (3.5)		
	Cloxacillin	49 (2.4)		
	Ciprofloxacin	42 (2.0)		
	Cefepime	40 (1.9)		
	Meropenam	39 (1.9)		
	Azithromycin	25 (1.2)		
	Others*	89 (4.3)		
	Type of antibiotics prescribed for surgical prophylaxis, n=287			
	Ceftriaxone	157 (54.7)		
	Metronidazole	64 (22.3)		
	Ampicillin	55 (19.2)		
	Cephalexin	4 (1.4)		
	Amoxicillin	3 (1.0)		
	Others†	4 (1.4)		
	Dosage for surgical prophylaxis, n=218 pati			
	Single dose	7 (3.2)		
	Multiple doses over 24 hours only	31 (14.2)		
	Multiple doses for more than 24 hours	180 (82.6)		
	Ratio of antibiotics per surgical procedure (number of antibiotic used for surgery/total number of patients who were on SP)=1.3			
	*Amoxacillin=20; Cefotaxime=13; Amoxacillin-clavulanic acid=12; Cephalexin=9; Crystalline-penicillin=6; Erythromycin=6; Norfloxacin=5; Benzanthine penicillin=4; Clindamycin=4; Doxycycline=3; Chloramphenicol=2; Clarithromycin=1; Nitrofurantoin=1; Cefixime=1; Ampicillin-sulbactam=1. †Amoxicillin-clavulanate, Ciprofloxacin, Cloxacillin and Gentamycin.			

Gentamycin. ‡SP: Surgical prophylaxis

Table 5       Univariate and multi-variable binary logistic regression analysis of predictors of antibiotics use among the surveyed hospitals				
Patient on antibiotics				
Variables	Yes, n (%)	No, n (%)	COR, 95% <b>CI</b>	AOR, 95% <b>CI</b>

Variables	Yes, n (%)	No, n (%)	 COR, 95% <b>CI</b>	AOR, 95% <b>CI</b>
Age in years				
0–17	460 (39.6)	156 (23.7)	1.00	1.00
18–39	406 (34.9)	284 (43.6)	0.49 (0.38 to 0.61)**	0.61 (0.38 to 0.86)*
40–64	211 (18.2)	160 (24.3)	0.45 (0.34 to 0.59)*	0.55 (0.39 to 0.93)*
≥65	85 (7.3)	58 (8.8)	0.5 (0.34 to 0.73)**	1.45 (0.31 to 3.59)
Gender				
Female	584 (50.3)	388 (59.0)	1.00	1.00
Male	578 (49.7)	270 (41.0)	1.42 (1.17 to 1.73)*	1.18 (0.93 to 1.49)
Ward type				
Paediatric medical	112 (9.6)	34 (5.2)	2.85 (1.76 to 4.62)*	3.78 (1.81 to 7.9)**
Paediatric surgical	41 (3.5)	15 (2.3)	2.37 (1.22 to 4.58)	2.31 (0.96 to 5.51)
Paediatric high risk	32 (27.5)	10 (1.5)	2.77 (1.29 to 5.97)	4.15 (1.59 to 10.8)*
Paediatric ICU	9 (0.8)	4 (0.6)	1.95 (0.58 to 6.56)	1.57 (0.38 to 6.50)
Paediatric emergency	94 (8.1)	26 (4.0)	3.13 (1.86 to 5.28)*	4.22 (1.98 to 9.02)*
Neonatal ICU	140 (12.0)	44 (6.7)	2.76 (1.76 to 4.31)*	3.27 (1.59 to 6.67)*
Adult medical	199 (17.1)	141 (21.4)	1.22 (0.85 to 1.76)	1.31 (0.89 to 1.92)
Adult surgical	219 (18.8)	111 (16.9)	1.71 (1.18 to 2.48)	2.00 (1.36 to 2.95)*
Adult high risk	13 (1.1)	29 (4.4)	0.39 (0.19 to 0.79)	0.45 (0.22 to 0.96)*
Adult ICU	49 (4.2)	8 (1.2)	5.30 (2.38 to 11.83)*	2.68 (1.05 to 6.88)
Gynaecology/obstetrics	157 (13.5)	152 (23.1)	0.89 (0.62 to 1.29)	0.83 (0.54 to 1.27)
Adult emergency	97 (8.3)	84 (12.8)	1.00	1.00
Urinary catheterisation status				
No	846 (72.8)	571 (86.8)	1.00	1.00
Yes	316 (27.2)	87 (13.2)	2.45 (1.89 to 3.18)*	2.74 (2.04 to 3.68)*
Intubation status				
No	1098 (94.5)	650 (98.8)	1.00	1.00
Yes	63 (5.5)	8 (1.2)	4.66 (2.22 to 9.79)*	2.62 (1.02 to 6.76)*
HIV infection status				
Yes	161 (13.9)	33 (5.0)	1.00	1.00
No	867 (74.6)	554 (84.2)	0.32 (0.22 to 0.47)**	0.19 (0.13 to 0.30)*
Unknown	134 (11.5)	71 (10.8)	0.39 (0.24 to 0.62)**	0.24 (0.15 to 0.40)*
Within 90 days hospitalisation history				
Yes	366 (31.5)	196 (29.8)	1.00	1.00
No	796 (68.5)	462 (70.2)	0.93 (0.75 to 1.14)	0.85 (0.67 to 1.06)
Length of hospitalisation in days			0.998 (0.99 to 1.00)	1.00 (0.99 to 1.01)
Hospital type				
Secondary care	623 (53.6)	304 (46.2)	1.00	1.00
Tertiary care	539 (46.4)	354 (53.8)	0.74 (0.61 to 0.90)*	0.66 (0.53 to 0.81)*

\*p<0.05, \*\*statistically significant at p<0.0001.

AOR, adjusted OR; COR, crude OR; ICU, intensive care unit.

other LMICs.<sup>24–27</sup> This may have resulted from lack of and poor utilisation of microbiology services, as seen by the hospitals' limited use of culture and sensitivity tests.

This also parallels the overuse of antibiotics in general (63.8%), consistent with findings from countries with similar economic contexts (use ranging from 70.6% to

80.1%)<sup>24–27</sup> but contrasting with those from high-income countries (HICs) (27.1%–50.3%).<sup>23</sup> <sup>28–30</sup> An internetbased PPS done across 53 countries (LMICs and HICs) has also reported higher antimicrobial use in LMICs compared with HICs.<sup>31</sup>

A high prevalence of empiric antibiotics use in many LMICs compared with HICs<sup>13 26 31</sup> might be attributed to lack of a national and institutional antibiotic guideline and poor diagnostic infrastructure which can promote empiric but also high rates of irrational antibiotic use. Although most of the surveyed hospitals had microbiological services, only one hospital developed institutional guideline as per the antibiogram data. This suggests poor utilisation of microbiology services to guide empiric antibiotic use and highlights the missed opportunities in promoting rational antibiotic use.<sup>32</sup> Recently, a pharmacist-led ASP implemented in one of the tertiary care hospitals of Ethiopia was well received and shown to be beneficial.<sup>13</sup> Lessons from such programmes should be leveraged to promote widespread adoption of ASP to decrease antibiotic consumption, save costs and improve outcomes. Additionally, enhancing capacity of existing ASPs through leadership and governance support will be critical as these were identified to be deficient in the surveyed hospitals.

In this study, there was also a substantial difference in the prevalence of antibiotics use across different levels of surveyed hospitals where a statistically significant higher antibiotic use was reported in tertiary care hospitals compared with secondary hospitals. There were also disparities with respect to the type of prescribed antibiotics compared with other studies. Similar to studies done in Pakistan<sup>26</sup> and Ethiopia,<sup>12 13 25</sup> the most widely prescribed antibiotic was the third-generation cephalosporin, ceftriaxone (30.4%) which is included under the WHO watch category of Access, Watch and Reserve (AWaRe) classification of antibiotics.<sup>6</sup> In developed countries, however, the most commonly prescribed antibiotics were penicillins with β-lactamase inhibitors.<sup>23 31</sup> Nitroimidazoles were the most commonly prescribed antibiotics in Nigeria.<sup>8</sup>

Prolonged use of surgical prophylaxis (>24 hours) was high in this study (82.6%), similar to studies from other LMICs (73%-100%).<sup>24 26 27 33-35</sup> The recommended duration of surgical prophylaxis is 1 day<sup>36–38</sup> since prolonging duration potentially increases the rate of AMR, side effects and costs for both the patient and the hospital.<sup>39-41</sup> Furthermore, average number of antibiotics prescribed per patient for surgical prophylaxis was 1.32 despite several studies and guidelines demonstrating the costeffectiveness of single narrow-spectrum antibiotics, usually cefazolin.<sup>30 36 42-45</sup> However, in the current study, ceftriaxone (54.7%) was the widely prescribed antibiotic for surgical prophylaxis. The widespread use of broadspectrum third-generation cephalosporins in our survey might be due to unavailability of cefazolin in all of the surveyed hospitals. Hence, due to proven safety and efficacy of cefazolin, it is time for Ethiopia to include it

on the essential medicine list, ensure its availability and develop guidelines to promote use of cefazolin or other narrow-spectrum antibiotics for surgical prophylaxis.

Our study has some limitations. First, the study only included purposely selected tertiary and secondary hospitals. Hence, the findings may not be generalisable to all settings. Second, because this was a PPS (ie, crosssectional study), patients were not followed-up in time. As a result, it was not possible to measure outcomes that had a temporal element such as AMR, duration of antibiotic use and length of hospital stay. Third, some surgical prophylaxis orders might have been changed to empiric treatment for suspected infection without proper documentation reflecting such change. This would inflate the rate of prolonged surgical prophylaxis, but underestimate the true rate of HCAIs. Fourth, the reported microbiology finding may not be representative of the hospital population as a whole and could overestimate rate of AMR. This is because microbiologic investigations are mostly conducted for patients with severe diseases and those who failed first-line therapy.

Despite these limitations, the study is the first multicentre study in Ethiopia using a standardised PPS methodology from the WHO. The findings are based on large sample size and are robust enough to guide similar studies to be conducted in Ethiopia and other LMICs. Furthermore, the findings could aid policymakers and other concerned bodies in strengthening ASP, optimising antibiotics use and containing and preventing AMR.

#### CONCLUSIONS

Similar to studies from other LMICs, there was widespread use of antibiotics and a high burden of HCAIs. Moreover, prolonged use of broad-spectrum antibiotics was a common practice for surgical prophylaxis suggesting an important target for ASP intervention. Almost all treatments were empiric and hospitals should be further stimulated to regularly monitor antibiotic use and set local targets to optimise their use.

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