



## ORIGINAL ARTICLE

# Parkin protein expression and its impact on survival of patients with advanced colorectal cancer

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### ABSTRACT

**Objective:** Features of colorectal cancer such as natural history, molecular, chromosomal, and epigenetic alterations have been well described. However, there is still a lack of accurate prognostic markers, which is evident by the lower overall survival rates of patients with advanced cancer. Although alterations in parkin protein expression have been described in colorectal cancer, the functional significance of this protein remains unknown. The present study aimed to investigate the involvement of parkin expression in colorectal adenocarcinoma development and progression by evaluating the association between its expression, clinicopathological parameters, and expression of known proteins involved in colorectal cancer.

**Methods:** Tissue microarrays consisting of 73 tumor and 64 normal tissue samples were generated to examine parkin expression and localization by immunohistochemistry.

**Results:** A positive correlation of parkin and APC expression was observed in the superficial, intermediate, and profound regions of all cases ( $\rho = 0.37$ ;  $P = 0.001$ ). Parkin expression was also significantly associated with tumors in men ( $P = 0.049$ ), those of the mucinous subtype ( $P = 0.028$ ), and of advanced stage (III + IV,  $P = 0.041$ ). In addition, increased parkin expression was observed in the invasive front tumor region ( $P = 0.013$ ). More importantly, a positive correlation was found between parkin expression and the overall survival of patients with advanced colorectal cancer ( $P = 0.019$ ). Multivariate analysis showed that parkin expression was independent of any of the clinicopathological parameters evaluated in relation to patient survival.

**Conclusions:** These results suggest that parkin expression status can be used as a potential independent prognostic marker of survival in advanced colorectal cancer.

### KEYWORDS

Parkin; PARK2; advanced colorectal cancer; survival

## Introduction

Colorectal cancer comprises neoplasms that affect the colon and rectum<sup>1</sup>. It is the third most prevalent cancer and the fourth leading cause of cancer-related deaths worldwide<sup>1,2</sup>. Although the natural history of this cancer is well understood, the 5-year survival rate after diagnosis is < 10%<sup>3</sup>. Patient prognosis is primarily based on TNM staging, which however, can be highly inaccurate<sup>4</sup>. Thus, there is a critical need for development of novel and more specific prognostic tools that can be used to improve both prognostic prediction and treatment. Understanding of the pathophysiological

mechanisms that underlie this disease constitutes an important step toward this goal.

The Parkin RBR E3 ubiquitin protein ligase (*PARK2*) gene encodes the parkin protein, an E3 ubiquitin ligase<sup>5</sup> that induces the mono- and polyubiquitination of unfolded and damaged proteins to regulate their proteasomal degradation<sup>6-8</sup>, localization, or intracellular trafficking<sup>9-14</sup>. Parkin is also a key regulator of mitochondrial homeostasis<sup>15</sup>, with known roles in biogenesis, fusion/fission, mitochondrial DNA repair, and mitophagy<sup>16</sup>. It can also regulate cell cycle progression via the proteasomal degradation of cyclins D and E to repress G1/M phase transition<sup>17,18</sup>. Furthermore, parkin is capable of downregulating VEGFR2 (vascular endothelial growth factor receptor 2, encoded by *KDR*), thereby suppressing angiogenesis<sup>18</sup>.

Molecular changes affecting this gene have been described mostly in association with autosomal recessive Parkinsonism<sup>19</sup>; however, recent studies have shown its involve-

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ment in the tumorigenic process. Low *PARK2* expression is often associated with positive lymph node metastasis and poor overall survival<sup>20</sup>, whereas *PARK2* overexpression attenuates cell proliferation and primary tumor growth<sup>21</sup>, contributing to a prolonged survival<sup>7,8,17,18</sup>. In colorectal cancer specifically, *PARK2* is generally responsible for cyclin E1 degradation<sup>22</sup>, and *PARK2* haploinsufficiency cooperates with adenomatous polyposis coli (APC) gene mutations to accelerate adenoma progression and increased polyp multiplicity in mutant mice<sup>7</sup>.

In the present study, we investigate the involvement of *PARK2* in the pathogenesis of colorectal adenocarcinoma by examining its protein expression status and association with clinicopathological parameters and expression of known proteins involved in colorectal cancer (MLH1, MSH2, MSH6, PMS2, APC, cyclin D1, cyclin E1, TP53, and Ki67), with the goal of identifying a prognostic biomarker that may be used in the development of improved therapeutic strategies.

## Materials and methods

### Study population and sample collection

This study was reviewed and approved by the Ethics Research Committee of the Hospital de Clínicas da Universidade Federal do Paraná (HC-UFPR) (Registration No. 820.432, informed consent was waived). Seventy-three colon adenocarcinoma samples from patients undergoing elective or emergency surgery from 2007 to 2011 were obtained from the Pathology Department of HC-UFPR. The patient population included both men and women ( $n = 34$  and  $39$ , respectively), aged  $>18$  years. Patients with a history of familial adenomatous polyposis or individuals previously treated with radiotherapy or chemotherapy prior to surgical resection were excluded from our analyses. In addition, 55 matched adjacent normal tissue samples (1.7–56.0 cm away from the tumor site) and 9 non-neoplastic colorectal tissue samples collected from patients undergoing colectomy (for other reasons, including diverticular disease and endometriosis, but excluding inflammatory bowel disease) were also examined in this study.

Histopathological and clinical variables were obtained from patient files stored in the hospital database. The following clinicopathological variables were considered according to World Health Organization criteria<sup>23</sup>: sex, age ( $\leq 45$  and  $>45$ , per Bethesda classification), tumor location (colon/rectum), tumor side (right colon/left colon or rectum), histological type (mucinous/not mucinous)<sup>24</sup>,

degree of differentiation (less/moderately/well differentiated), angiolymphatic and/or perineural invasion, lymph node status, tumor staging (I–IV), tumor size (T1–T4), type of surgery (elective or emergency), follow-up (from diagnosis to last outcome consultation or death), and overall survival.

Included samples were also examined for protein expression (including parkin, APC, cyclin D1, cyclin E1, TP53, and Ki67) and markers of microsatellite instability (MSI) status (MLH1, MSH2, MSH6, and PMS2) for sample characterization and protein expression.

### Tissue microarray (TMA) and immunohistochemistry (IHC)

TMA and IHC analyses were conducted at the Laboratory of Experimental Pathology of the Pontificia Universidade Católica do Paraná (PUCPR). Hematoxylin and eosin slides were prepared from original paraffin blocks of samples from the patients with colorectal cancer to select areas for TMA construction. The areas selected included superficial (S) areas of the tumor, tumor bulk or intermediate (I) areas, and invasive front or profound (P) tumor areas. The selected tumor areas were isolated using a semi-automated method, and samples were spotted along with normal matched control tissues on the TMAs.

Fifteen TMAs were constructed: 3 samples (S, I, and P) from each of 73 patients were spotted on one of 11 TMAs, 55 samples of matched adjacent normal tissue were spotted on one of 3 TMAs and 9 samples of non-neoplastic colorectal tissue were spotted on one TMA.

For IHC, the TMA slides were subjected to antigen retrieval with Target Retrieval Solution (Dako, Glostrup, Denmark) and then incubated with the following monoclonal antibodies: anti-parkin antibody (mouse, 1:100; Abcam, Cambridge, UK), anti-MLH1 antibody (rabbit, prediluted; Abcam), anti-MSH2 antibody (rabbit, prediluted; Abcam), anti-APC antibody (mouse, 1:200; Abcam), anti-cyclin D1 antibody (rabbit, 1:100; Abcam), anti-cyclin E1 antibody (rabbit, 1:200; Abcam), anti-protein P53 anti-human (mouse, 1:200; Spring Bioscience Corp., Pleasanton, CA, USA), anti-MSH6 antibody (mouse, prediluted; Spring Bioscience Corp.), anti-PMS2 (mouse, prediluted; Spring Bioscience Corp.), and anti-Ki67 antibody (mouse, 1:150; Dako). Subsequently, disclosure polymer (Spring Bioscience Corp.) was used as a secondary antibody. Slides were incubated with diaminobenzidine complex and substrate and then counterstained with Harris hematoxylin. Positive and negative controls were included in the IHC analysis for each antibody.

## Morphological analysis of protein expression

Parkin expression morphology was examined using an Olympus microscope CH30. Expression of parkin in tumor and normal colorectal tissues was classified by cell location (nuclear, basal cytoplasm, or apical cytoplasm) and by the percentage of immunoreactivity; MLH1, MSH2, MSH6, PMS2, and TP53 protein expression were evaluated visually and classified as positive or negative based on nuclear immunostaining, with any detectable staining scored as positive (using the Allred scoring system for breast cancer; Allred score > 1 was considered as positive) or negative based on nuclear immunostaining<sup>25</sup>.

For the morphometric analysis, representative images of parkin and APC immunostaining for each of the three tumor regions and the matched normal colorectal tissue were captured with a BX40<sup>®</sup> Olympus microscope equipped with a 40× objective and a Dino Eye<sup>®</sup> camera using DinoCapture 2.0 software (**Figure 1**). Images were optimized in Adobe Photoshop CS6 v 13.0 software by removing the stroma, mucin lakes, and white areas. The remaining regions of interest were then analyzed in Image Pro Plus<sup>®</sup> software with the color morphometric tool (**Figure 1**) by high-power field (HPF). Cyclin D1, cyclin E1, and Ki67 expression was determined by counting 100 cells in hot spot areas and calculating percentage (following breast cancer guidelines). Positive staining was defined as nuclear immunoreactivity, regardless of the intensity<sup>25</sup>.

## Statistical analysis

Statistical analysis was performed using the SPSS Statistics v.20 software. To compare clinicopathological and quantitative variables, Student's *t*-test, analysis of variance (ANOVA), and the nonparametric Kruskal-Wallis test were used to identify significant differences between two or more groups, respectively. The degree of association between two variables was evaluated by Pearson correlation coefficients. Median survival was determined by Kaplan-Meier analysis with log-rank testing. Cox regression and Wald testing were used for the multivariate analysis. Data represent the mean ± standard deviation (SD).  $P < 0.05$  was considered statistically significant.

## Results

The descriptive statistics for the patient population and clinicopathological parameters are shown in **Tables 1–3**. Patients had a median age of 61 years (range, 18–90).

IHC analysis of MSI markers (MLH1, MSH2, MSH6, PMS2, and P53) showed positive expression in the majority of cases (**Table S1**). Parkin and APC immunoreactivity in the different tumor regions showed higher expression of both in the intermediate areas, and higher expression of parkin but lower expression of APC in non-neoplastic mucosa (**Table 2**).

No correlation was observed between parkin subcellular localization and any of the clinicopathological variables evaluated; however, higher levels of parkin protein were found in the cytoplasm of tumor samples, whereas higher levels were localized in the nuclei in normal cells. Moreover, increased parkin expression was significantly associated with tumors in men ( $P = 0.019, 0.049$ ), tumors of the mucinous type ( $P = 0.028$ ), and tumors at higher stages (III + IV) ( $P = 0.041$ ) (**Table 3**).

No correlation was found between parkin immunoreactivity and other clinicopathological variables, such as age, tumor location, tumor side, degree of differentiation, angiolymphatic and/or perineural invasion, lymph node status, tumor size, or type of surgery.

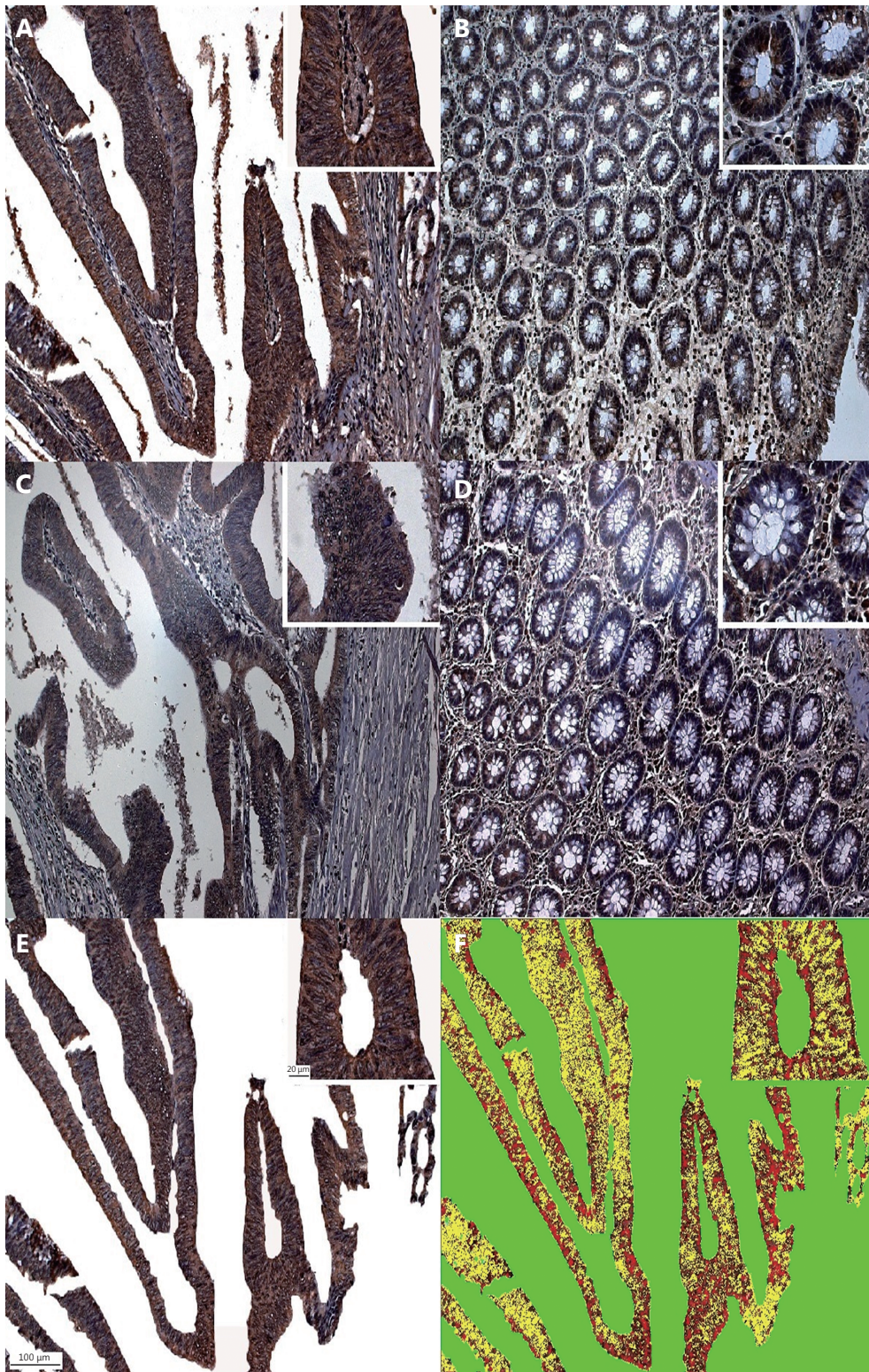
Parkin expression was also not associated with MLH1, MSH2, MSH6, PMS2, cyclin D1, cyclin E1, or Ki67 immunoreactivity (data not shown). However, a significant association was observed between parkin and APC expression; a positive correlation between the expression of these proteins was observed in the superficial, intermediate, and profound regions of all colorectal tumors ( $\rho = 0.37, P = 0.001$ ) and in non-neoplastic mucosa ( $\rho = 0.30, P = 0.032$ ).

To determine whether any of the clinicopathological variables had a significant effect on patient overall survival, patients were classified into two groups based on a cutoff survival time of 5 years. Eleven patients with censored survival who were followed for < 5 years were excluded from the analysis; therefore, our sample population consisted of 20 and 42 patients with survival times of < 5 years and  $\geq 5$  years, respectively.

Independent variable analysis revealed no correlation between overall patient survival and any of the clinicopathological variables evaluated.

In relation to parkin localization, there was no correlation between parkin subcellular localization and patient survival. However, there was a significant correlation between survival and parkin expression in the isolated tumor regions. Notably, patients with survival of  $\geq 5$  years exhibited high levels of parkin expression (22.4%, HPF) in the profound tumor region when compared to those with survival of < 5 years (12.2%, HPF;  $P = 0.019$ ).

In addition, hazard ratio (HR) analysis of the association between parkin expression in the profound tumor region and



**Figure 1** Parkin and APC expression (IHC staining, 40 x). (A) Parkin expression in colorectal adenocarcinoma. (B) Parkin expression in non-neoplastic mucosal samples. (C) APC immunoreactivity in colorectal adenocarcinoma. (D) APC immunoreactivity in non-neoplastic mucosal samples. (E) Adobe Photoshop CS6 software v 13.0®-optimized image. (F) Image submitted to the mask for morphometric analysis.

**Table 1** Clinicopathological characterization of colorectal cancer patients ( $n = 73$ )

Variables	<i>n</i>	%
Gender		
Male	34	46.6
Female	39	53.4
Age, years		
≤45	13	17.8
>45	60	82.2
Tumor location		
Colon	37	50.5
Rectum	36	49.5
Tumor side		
Right	18	24.7
Left	55	75.3
Histological type*		
Mucinous	11	15.3
Non-mucinous	61	84.7
Degree of differentiation*		
Less/moderately differentiated	62	86.1
Well-differentiated	10	13.9
Angiolymphatic and/or perineural invasion*		
Absent	28	38.9
Present	44	61.1
Lymph node status*		
Positive	28	38.9
Negative	44	61.1
Tumor staging*		
I	13	18.1
II	24	33.4
III + IV	35	48.5
Size of the tumor, cm		
1	2	2.7
2	14	19.2
3	42	57.5
4	15	20.5
Type of surgery*		
Elective	59	81.9
Emergency	13	18.1

\*Variables with 72 cases instead of 73 because medical record of a patient did not have complete data.

**Table 2** Parkin expression in colorectal cancer ( $n = 73$ ) and normal samples ( $n = 55$ )

Variables	Parkin		APC	
	<i>n</i>	Mean (±SD)	<i>n</i>	Mean (±SD)
Superficial region	70	14.2 (±9.4)	62	30.4 (±15.3)
Intermediate region	58	20.0 (±12.7)	57	34.3 (±16.3)
Profound region	44	18.2 (±12.5)	51	32.5 (±16.8)
Total tumor	73	16.5 (±8.6)	55	32.5 (±14.1)
Matched normal mucosa	55	23.1 (±8.1)	52	27.5 (±5.8)
Normal mucosa from patients without malignancy	9	27.5 (±8.1)	9	18.9 (±11.5)

survival was performed using a 10% cutoff to define the area of parkin expression (**Figure 2**). Results of this analysis indicated a significant difference in survival for patients with > 10% or without (≤ 10%) parkin expression [ $P = 0.025$ ; HR, 4.98; 95% confidence interval (CI), 1.48–16.68]. Multivariate analysis factoring parkin expression in the profound tumor region and the clinicopathological variables showed no association (**Table 4**), indicating the independent prognostic value of parkin expression in these tumor areas.

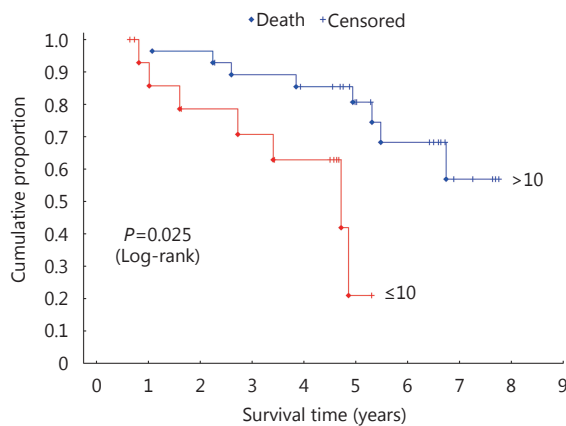
## Discussion

In the present study, we demonstrated lower parkin protein expression levels in localized colon tumors compared to adjacent normal tissue. These levels appeared to increase in advanced colorectal adenocarcinomas and to be an independent predictor of increased survival. As *PARK2* is a putative tumor suppressor gene<sup>17</sup>, one would expect its expression to be lower in advanced cases; however, our data demonstrated that higher parkin protein levels in the deep tumor region correlated with prolonged survival, suggesting that parkin may play a protective or inhibitory role in tumorigenesis<sup>7,8,18,22,26-35</sup> and may have prognostic value in patients with advanced disease in cases when the tumor has reached the deep region.

In fact, multivariate Cox regression analysis indicated that parkin expression in the deep region is an independent predictor of patient survival. Consistent with our data, Yeo et al.<sup>18</sup> demonstrated that parkin pathway activation could be a favorable predictor of prognosis, a finding that is further

**Table 3** Association of parkin expression with gender, histological type, and tumor staging

Item	Profound region			Total tumor		
	<i>n</i>	Mean ( $\pm$ SD)	<i>P</i>	<i>n</i>	Mean ( $\pm$ SD)	<i>P</i>
Gender			0.019			0.049
Male	20	23.0 ( $\pm$ 13.0)		34	18.6 ( $\pm$ 9.2)	
Female	24	14.3 ( $\pm$ 10.8)		39	14.6 ( $\pm$ 7.8)	
Histological type			0.267			0.028
Mucinous	7	24.3 ( $\pm$ 17.1)		11	21.8 ( $\pm$ 8.3)	
Non-mucinous	37	17.1 ( $\pm$ 11.4)		61	15.7 ( $\pm$ 8.3)	
Tumor stage			0.125			0.041
I	0	-		13	14.4 ( $\pm$ 8.1)	
II	14	14.0 ( $\pm$ 10.3)		24	13.9 ( $\pm$ 6.8)	
III+IV	30	20.2 ( $\pm$ 13.1)		35	19.2 ( $\pm$ 9.4)	

**Figure 2** Kaplan-Meier survival curves for parkin expression in the profound tumor region.

supported by its proposed inhibitory actions on cell cycle progression and angiogenesis through regulation of cyclin D and VEGFR2 expression, respectively<sup>22</sup>. Although no association was found between parkin and cyclin D1/cyclin E1 expression in the present study, this mechanism of action may facilitate the formation of aberrant polyps, or contribute to other processes that occur in later stages of the disease. We also found a correlation between higher expression of both APC and parkin proteins in tumor and normal tissue. Previous data showed that APC mutation and parkin deletion cooperate to accelerate progression of colorectal adenocarcinoma<sup>7</sup>. It has been suggested that initial APC suppression can influence a subsequent change in parkin expression; this may be another mechanism by which the protein could be involved in cancer pathophysiology. However, while the results found in the present study

**Table 4** Cox regression model of parkin mean expression in the profound ( $\bar{P}$ ) tumor region and its association with clinicopathological variables

Variables	<i>P</i>	Hazard ratio (HR)	95% CI
$\bar{P}$	0.032	0.94	0.89–0.99
Gender	0.601	0.75	0.26–2.20
$\bar{P}$	0.035	0.94	0.89–0.99
Histologic type	0.798	1.22	0.27–5.53
$\bar{P}$	0.029	0.94	0.89–0.99
Lymph node status	0.387	1.60	0.55–4.68
$\bar{P}$	0.024	0.94	0.89–0.99
Tumor staging	0.301	1.88	0.57–6.18
$\bar{P}$	0.046	0.95	0.90–0.99
APC P	0.660	0.99	0.96–1.03
$\bar{P}$	0.019	0.92	0.85–0.99
Parkin in non-neoplastic mucosa	0.409	1.04	0.94–1.15

confirm the correlation between APC and parkin proposed in the previous study, we observed high expression of the proteins, and we cannot confirm the occurrence or lack of mutation or the mechanism of the correlation.

Our analysis of parkin subcellular localization revealed more pronounced nuclear and cytoplasmic expression in normal tissue and adenocarcinomas, respectively, suggesting that the protein may play a functional role in the cytoplasm specifically in colorectal cancer, such as by acting as a regulator of mitochondrial homeostasis and/or mitophagy. Mitochondria function to produce cellular energy and are

thus required for cell proliferation. Tumor cells are often subject to changes in mitochondrial DNA and metabolism to support their accelerated proliferation<sup>36</sup>. As such, these cells exhibit increased DNA damage, oxidative stress, and chromosomal instability owing to the accumulation of dysfunctional mitochondria and deficiencies in mitophagy, which usually is associated with poor prognosis in patients with colorectal cancer<sup>4</sup>. Similarly, mitophagy also plays an important role in the induction of cellular senescence<sup>37</sup>. Moreover, parkin plays a major role in controlling mitophagy, in which mitochondrial alterations can lead to membrane depolarization and PINK1 accumulation to facilitate the mitochondrial recruitment of parkin<sup>15,38,39</sup>. Gong et al.<sup>40</sup> demonstrated that parkin has an important role in regulating apoptosis mechanisms through the control of BCL-XL protein stability, ubiquitinating this protein for degradation via the proteasome. Thus, when PINK1 recruits parkin, it regulates mitochondrial outer membrane permeability and apoptosis by controlling the stability of BCL-XL protein. As parkin can elicit mitophagy<sup>15,38,39</sup>, PINK1, parkin, and BCL-XL may act cooperatively to repair slightly damaged mitochondria or promote the synthesis of new mitochondria when necessary<sup>39,41</sup>, and consequently, parkin can promote apoptotic activity. The degradation of damaged mitochondria contributes to improved survival in colorectal cancer cells<sup>42</sup>; thus, based on the literature, parkin could function similarly by inducing mitophagy and thereby controlling cell proliferation and apoptosis. This may be the explanation for which patients with higher parkin levels have a longer survival than others who are also in the more advanced stages of colorectal cancer; it may be that parkin interacting with BCL-XL is increasing the apoptosis of tumor cells and consequently the survival of patients.

A multiple-level validation identifies that *PARK2* has anti-inflammatory functions, and loss of *PARK2* maintains higher expression of cytokines for inflammation. *PARK2* suppresses NF- $\kappa$ B activation through ROS/Akt regulation in both E3 ligase-dependent and -independent manners<sup>43</sup>. Tran et al.<sup>44</sup> demonstrated that parkin protein and mRNA are detectable in peripheral macrophages. Other E3 ubiquitin ligases are described as having a role in the immune response, such as natural killer lytic-associated molecule (NKLAM), which is expressed in macrophages and natural killer (NK) cells. Macrophage NKLAM expression is driven by proinflammatory cytokines; therefore, considering that parkin is an E3 ubiquitin ligase, it may also have a similar function to NKLAM. Moreover, parkin can be regulated by lipopolysaccharide, a cell membrane component in gram-negative bacteria<sup>45</sup>, and *PARK2* polymorphisms are associated with an

increased susceptibility to infection<sup>46</sup>. For instance, mice and flies with disruptions in parkin function are sensitive to intracellular bacterial infections, suggesting that parkin plays a protective role in cellular immunity<sup>47</sup>. The recognition of tumor antigens involves multiple immune cell types and molecules, and activated macrophages, NK cells, and CD4+ and CD8+ T cells, as well as tumor-specific peptides and immunoglobulins<sup>29</sup>. It is known that patients with tumors that contain cellular infiltrates showing these molecules present a better prognosis<sup>48</sup>. Thus, the protective function of parkin could be attributed to its involvement in immune cell recruitment; however, based on the current literature, parkin is more closely linked to other mechanisms, such as cyclin degradation.

In summary, our results suggest that parkin expression analysis could be an independent prognostic marker of survival, used after surgery in combination with classic prognostic factors such as TNM staging to improve the accuracy of survival prediction in patients with advanced colorectal cancer. A prospective study including a larger patient population with a diverse genetic background, with well annotated clinical data and long-term follow-up, would be critical to confirm the prognostic value of parkin protein expression across distinct cohorts of patients with colorectal cancer. In any event, our data provide the groundwork and a promising foundation for increased accuracy of clinical prognosis and for the continued development of cancer therapies and personalized treatment strategies for this disease.

## Conflict of interest statement

No potential conflicts of interest are disclosed.

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**Table S1** Immunohistochemical analysis of microsatellite instability markers expression in colorectal cancer patients

Protein	Positive/negative	<i>n</i>	%*
MLH1	P	68	93.1
	N	5	6.9
MSH2	P	72	98.6
	N	1	3.4
MLH6	P	69	94.5
	N	4	5.5
PMS2	P	66	90.4
	N	7	9.6
P53	P	70	95.8
	N	3	4.2
MLH1/PMS2	P	64	92.2
	N	5	7.8
MSH2/MLH6	P	69	98.55
	N	1	1.45