

## ORIGINAL ARTICLE

## Disruption of autophagy by the histone deacetylase inhibitor MGCD0103 and its therapeutic implication in B-cell chronic lymphocytic leukemia

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Evading apoptosis is a hallmark of B-cell chronic lymphocytic leukemia (CLL) cells and an obstacle to current chemotherapeutic approaches. Inhibiting histone deacetylase (HDAC) has emerged as a promising strategy to induce cell death in malignant cells. We have previously reported that the HDAC inhibitor MGCD0103 induces CLL cell death by activating the intrinsic pathway of apoptosis. Here, we show that MGCD0103 decreases the autophagic flux in primary CLL cells. Activation of the PI3K/AKT/mTOR pathway, together with the activation of caspases, and to a minor extent CAPN1, resulting in cleavage of autophagy components, were involved in MGCD0103-mediated inhibition of autophagy. In addition, MGCD0103 directly modulated the expression of critical autophagy genes at the transcriptional level that may contribute to autophagy impairment. Besides, we demonstrate that autophagy is a pro-survival mechanism in CLL whose disruption potentiates cell death induced by anticancer molecules including HDAC and cyclin-dependent kinase inhibitors. In particular, our data highlight the therapeutic potential of MGCD0103 as not only an inducer of apoptosis but also an autophagy suppressor in both combination regimens with molecules like flavopiridol, known to induce protective autophagy in CLL cells, or as an alternative to circumvent undesired immunomodulatory effects seen in the clinic with conventional autophagy inhibitors.

*Leukemia* (2014) 28, 1636–1646; doi:10.1038/leu.2014.19

**Keywords:** autophagy; B-cell chronic lymphocytic leukemia; histone deacetylase inhibitor; MGCD0103; caspases; calpains

## INTRODUCTION

B-cell chronic lymphocytic leukemia (CLL) results from a monoclonal expansion of CD5+/CD19+/CD23+ B lymphocytes that accumulate in lymphatic organs.<sup>1</sup> Circulating CLL cells are mainly arrested in G0/G1 and fail to undergo apoptosis. This pool of malignant cells is fed by a proportion of CLL cells, ranging from 0.1 to 1% per day, that proliferate in the bone marrow and lymph nodes.<sup>2</sup> Despite considerable improvements in CLL chemoimmunotherapy, conventional drugs fail to cure CLL.

Histone deacetylase (HDAC) inhibitors have the ability to reverse epigenetic alterations associated with cancer initiation/development and chemoresistance, and to induce tumor cell death.<sup>3,4</sup> Inhibitors of zinc-dependent HDACs (classes I, II, IV) have emerged as promising cytotoxic agents and are currently being evaluated in several clinical trials for the treatment of cancer.<sup>3</sup>

Besides their pro-apoptotic effect, HDAC inhibitors can also induce autophagy,<sup>5–7</sup> a 'self-eating' process whereby proteins and organelles are sequestered in autophagosomes, and delivered to lysosomes for digestion.<sup>8</sup> Whereas autophagy operates as a housekeeping process to promote cell survival, autophagy defects have been found in human cancers, suggesting its tumor-suppressive role.<sup>9</sup> Nevertheless, the role of autophagy in cancer remains controversial,<sup>6,10,11</sup> and little is known about autophagy in CLL.<sup>12,13</sup>

We have previously shown that MGCD0103, a selective inhibitor of HDACs 1, 2, 3 and 11, triggers in primary CLL cells a death amplification loop involving caspases and calpains.<sup>14</sup>

Here, we show that MGCD0103 inhibits autophagy in primary CLL cells while operating at three levels: activation of the phosphatidylinositol 3-kinase/AKT/mammalian target of rapamycin (PI3K/AKT/mTOR) pathway, caspase- and calpain-1-mediated cleavage of autophagy components and downregulation of autophagy gene transcription. Finally, we demonstrate that basal autophagy functions in CLL as a pro-survival mechanism whose disruption potentiates cell death.

## MATERIALS AND METHODS

## Patients, cell separation and culture conditions

Subjects were CLL patients ( $n = 34$ ) and healthy donors ( $n = 3$ ) seen at the 'Centre Hospitalier de Luxembourg'. The clinical features of patients are summarized in Supplementary Table 1. The experiments were approved by the 'Comité National d'Ethique de Recherche' and all subjects signed an informed consent according to the Declaration of Helsinki.

Peripheral blood mononuclear cells (PBMCs) were isolated using lymphocyte separation medium (MP Biomedicals, Eindhoven, The Netherlands). PBMCs and Mec-1 cells were cultured in Iscove's modified Dulbecco's medium (Lonza, Verviers, Belgium), MCF-7 cells, JVM-2 and JVM-3 cells in RPMI-1640 medium (Lonza) and HeLa cells in Dulbecco's

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Received 20 August 2013; revised 4 December 2013; accepted 31 December 2013; accepted article preview online 14 January 2014; advance online publication, 7 February 2014

modified Eagle's medium, all containing 10% fetal bovine serum. The cells were incubated at 37 °C in an atmosphere of 5% CO<sub>2</sub>.

### Reagents and antibodies

MGCD0103 was provided by MethylGene Inc. (Quebec, Canada). Valproic acid (VPA; Depakine IV, Sanofi Aventis) and bortezomib (Velcade IV, Millennium Pharmaceuticals, Inc.) were purchased from the hospital's pharmacy. Q-VD-OPh and Z-VEID-fmk were from R&D Systems (Abingdon, UK). PD151746 and calpeptin were purchased from Merck Chemicals Ltd (Overijse, Belgium). Chloroquine, 3-methyladenine (3-MA), bafilomycin A1, flavopiridol, TRAIL, Actinomycin D and anti-β-actin (ACTB) antibody were from Sigma-Aldrich (Diegem, Belgium). Anti-sequestosome-1 (SQSTM1/p62) antibody was from BD Biosciences (Erembodegem, Belgium). All other antibodies were from Cell Signaling Technology (Leiden, The Netherlands).

### Cytotoxicity assay

PBMCs were seeded in 96-well cell culture plates and assays were performed in triplicate. Cell viability was measured after 6 h (when flavopiridol was used) or 48 h of incubation using the Cell Counting Kit-8 (CCK-8) assay (Dojindo Molecular Technologies, Eke, Belgium). Combination experiments done with an autophagy inhibitor and an HDAC inhibitor were carried out at nonconstant ratios, keeping constant the concentration of the former while varying the doses of the latter.

MGCD0103 and VPA were used at concentrations that encompass the clinically relevant concentrations.<sup>14–16</sup> Chloroquine concentrations were fixed to 5 and 10 μmol/l, thus matching plasma concentrations.<sup>17</sup> The 3-MA is not administered in clinic and was used at concentrations that resulted in 70 and 55% of cell viability after a 48-h treatment (0.3 and 0.6 mmol/l, respectively).

When flavopiridol was used, human serum was added to the culture medium instead of bovine serum (there is a significant flavopiridol protein binding in human serum, contrarily to bovine serum), and a short treatment time was chosen (6 h) in order to better reproduce the clinical conditions.<sup>18</sup> A combination of flavopiridol and MGCD0103 was done with a constant ratio design.

Drug combination analysis was performed following the median-effect method<sup>19</sup> using CompuSyn software (Composyn, Inc., Paramus, NJ, USA). Combination index (CI) < 1, CI = 1 and CI > 1 indicate synergism, additive effect, and antagonism, respectively. For the 6-h exposure experiments, CI values could not be determined as the LC<sub>50</sub> (lethal concentration 50%) of the drugs was not reached. Therefore, the percent of cell viability was used to determine whether drug combination enhanced or decreased cell death, as recommended by Chou.<sup>19</sup>

### Immunoblotting analysis

Cells were lysed in RIPA buffer (Merck Chemicals Ltd) supplemented with protease (Roche, Vilvorde, Belgium) and phosphatase inhibitor (Sigma-Aldrich) cocktails. SDS–polyacrylamide gel electrophoresis and immunoblotting were done using standard procedures. ImageQuant TL 7.0 software (GE Healthcare, Zeist, The Netherlands) was used for protein quantification.

### Analysis of autophagy by flow cytometry

Autophagy was monitored with the Cyto-ID Autophagy Detection Kit (Enzo Life Sciences, Antwerpen, Belgium) using a 488-nm excitable fluorescent probe that selectively stains autophagic compartments. Data were acquired on a BD FACSCanto flow cytometer (BD Biosciences) and were analyzed using the BD FACSDiva software (BD Biosciences).

### Analysis of cell viability by flow cytometry

PBMC viability was analyzed according to changes in forward and side scatters, or using Annexin V-APC (BD Biosciences) and propidium iodide (Sigma-Aldrich) staining, as previously described.<sup>14</sup>

### Autophagy PCR array assay

The Human Autophagy RT<sup>2</sup> Profiler PCR Array (SABiosciences, Venlo, The Netherlands) was used for autophagy gene expression profiling in primary CLL cells. Detection was performed using a ViiA 7 Real-Time PCR System (Applied Biosystems, Halle, Belgium). The data were analyzed by the ΔΔCt method, using The PCR Array Data Analysis Web-based software (Qiagen, Venlo, The Netherlands).

### siRNA transfections

The 8 × 10<sup>6</sup> primary CLL cells were transfected with the Amaxa nucleofection system (Lonza) using either Cell Line Nucleofector Kit V and program U016 or Human B Cell Nucleofector Kit and program U015. Small interference RNAs (siRNAs) were from Qiagen. For single and double transfections, 350 and 250 pmol of each siRNA was used, respectively.

HeLa cells were transfected with 100 pmol of siRNA using Lipofectamine 2000 (Life Technologies, Gent, Belgium).

### Real-time reverse transcription-PCR

Total RNA was extracted using miRNeasy kit (Qiagen), and real-time PCR was performed using Reverse Transcriptase Core kit (Eurogentec, Seraing, Belgium) and Power SYBR Green PCR Master Mix (Applied Biosystems). The primers were purchased from Eurogentec. Detection was performed using a ViiA 7 Real-Time PCR System (Applied Biosystems).

### Statistical analysis

Statistical analysis was carried out using a two-sided *t*-test, with a *P*-value of < 0.05 considered statistically significant.

## RESULTS

### MGCD0103 decreases the levels of autophagy-related proteins in primary CLL cells

To investigate whether MGCD0103 modulates autophagy in CLL cells, we first analyzed its effect on the levels of SQSTM1 protein, a known autophagy substrate.<sup>20</sup> CLL cells from 14 patients were treated during 24 h with MGCD0103 (3 μmol/l) according to previously described considerations.<sup>14</sup>

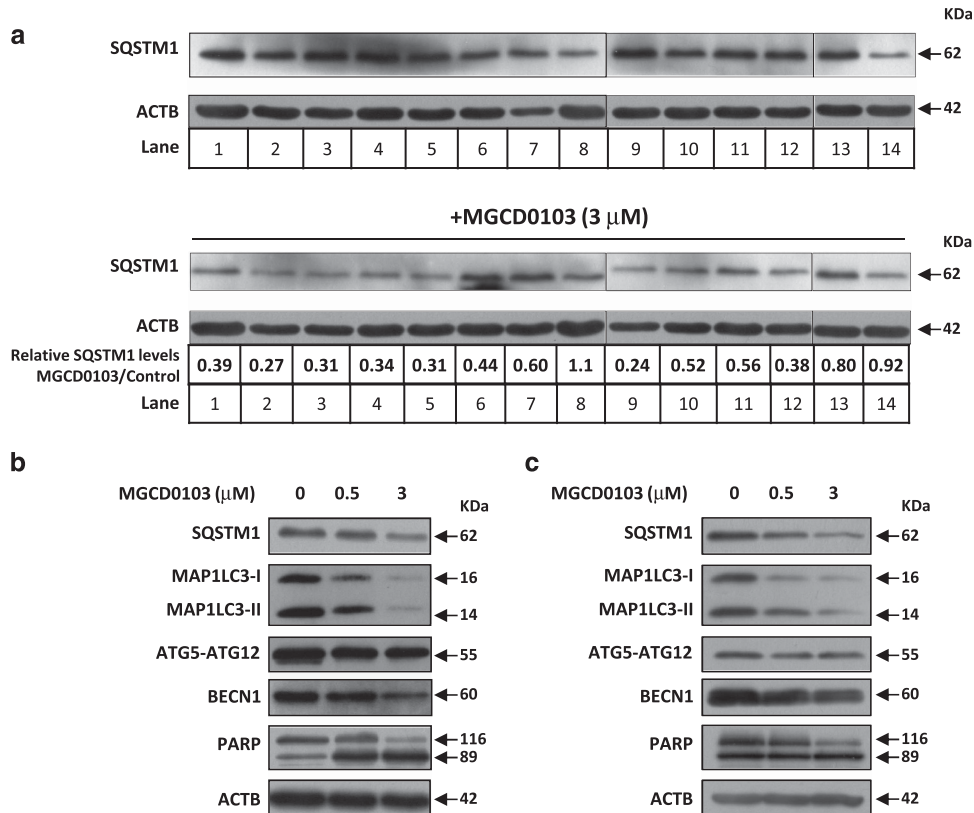
MGCD0103 considerably reduced SQSTM1 levels in 11 out of 14 CLL samples (Figure 1a). The differences observed were likely because of interindividual variability in patients, as CLL samples were randomly chosen from patients with diverse Rai stages and prognostic factors (Supplementary Table 1).

We also assessed the expression levels of the phosphatidylethanolamine-conjugated form of microtubule-associated protein 1 light chain (MAP1LC3-II), required for vesicle elongation and autophagosome formation, the autophagy-related regulator ATG5 (as ATG5-ATG12 conjugate) and beclin-1 (BECN1), required for early steps of autophagy. Similar to SQSTM1, MGCD0103 decreased the levels of above-mentioned proteins (Figures 1b and c). This effect was already apparent at the clinically relevant dose of 0.5 μmol/l and mirrored apoptosis levels (see poly (ADP-ribose) polymerase cleavage).

### MGCD0103 decreases the autophagic flux in primary CLL cells

Reduced levels of SQSTM1 and MAP1LC3-II may indicate either an induction or a decrease in the autophagic flux.

To assess the autophagic flux, time course analysis of MAP1LC3-II and SQSTM1 expression was performed (Figures 2a and b). When cells were exposed to MGCD0103 (3 μmol/l), the decrease in MAP1LC3-II levels could be observed at early time points (after 2–3 h). This decrease did not result from an increased MAP1LC3 turnover as no accumulation of MAP1LC3-II was detected in the presence of chloroquine that prevents autophagosome–lysosome fusion. At the time points analyzed, MAP1LC3-II levels were lower in MGCD0103-treated cells than in untreated cells, even in the presence of chloroquine. Interestingly, SQSTM1 levels significantly decreased only after 19–48 h, several hours after the drop in MAP1LC3-II, and they were not restored by chloroquine, suggesting that they were reduced by an autophagy-independent mechanism. Similar results were obtained with the autophagosome–lysosome fusion inhibitor, Bafilomycin A1 (Supplementary Figure 1a). These data suggest that MGCD0103 inhibits the autophagic flux in primary CLL cells, an effect that was also observed at the clinically relevant dose of 0.5 μmol/l (Supplementary Figure 1b).



**Figure 1.** MGCD0103 decreases the levels of autophagy-related proteins in primary CLL cells. Immunoblotting analysis of protein extracts from PBMCs incubated in the absence or presence of MGCD0103 (0.5 or 3  $\mu\text{mol/l}$ , as indicated) for 24 h. Autophagy-related proteins are indicated, as well as ACTB that was used as a loading control. **(a)** Shown are representative blots from the samples of 14 patients. The expression levels of SQSTM1 in MGCD0103-treated cells relative to the control and normalized to ACTB levels are indicated. **(b, c)** Shown are two representative blots from eight independent experiments.

Further confirmation of this finding was obtained by flow cytometry using Cyto-ID fluorescent marker of autophagic compartments (Figures 2c and d, and Supplementary Figures 2a and b). As expected, chloroquine generated an increase in fluorescence intensity because of autophagosome accumulation. Either alone or in the presence of chloroquine, MGCD0103 decreased the fluorescence intensity of the dye, suggesting that it reduced autophagosome formation in primary CLL cells. Thus, MGCD0103 decreased the amount of autophagic vacuoles while increasing the percentage of apoptotic cells.

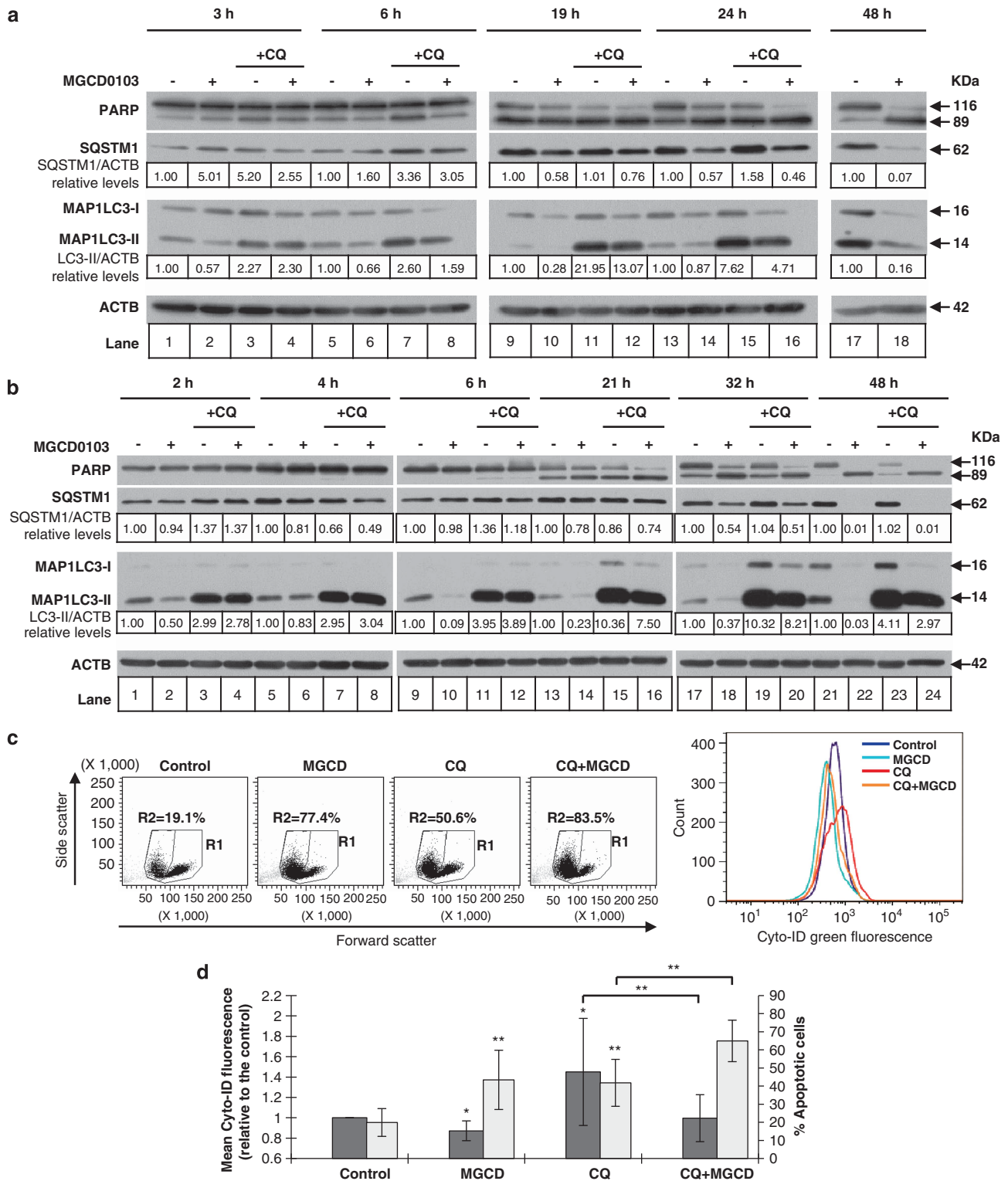
#### MGCD0103 inhibits autophagy through activation of the PI3K/AKT/mTOR pathway and caspases

To analyze the molecular mechanisms behind MGCD0103-mediated inhibition of autophagy in primary CLL cells, we first investigated the involvement of the PI3K/AKT/mTOR pathway, a major signaling cascade that regulates autophagy.<sup>21</sup> To this end, we examined the phosphorylation of AKT and mTOR, as well as that of the mTORC1 substrate, the eukaryotic translation initiation factor 4E binding protein 1 (EIF4EBP1). Accumulation of p-AKT (Ser473), p-mTOR and p-EIF4EBP1 (Thr37/46) was observed at early time points (between 2 and 6 h depending on the protein) following treatment with 3  $\mu\text{mol/l}$  MGCD0103 (Figure 3a, see also Supplementary Table 2 for blot quantification), thus consistent with MGCD0103 mediating the early activation of the PI3K/AKT/mTOR pathway. Loss of these phosphorylated forms, already observed after 6 h for p-AKT and 4 h for p-EIF4EBP1, is observed in all cases at later time points (21 and 32 h).

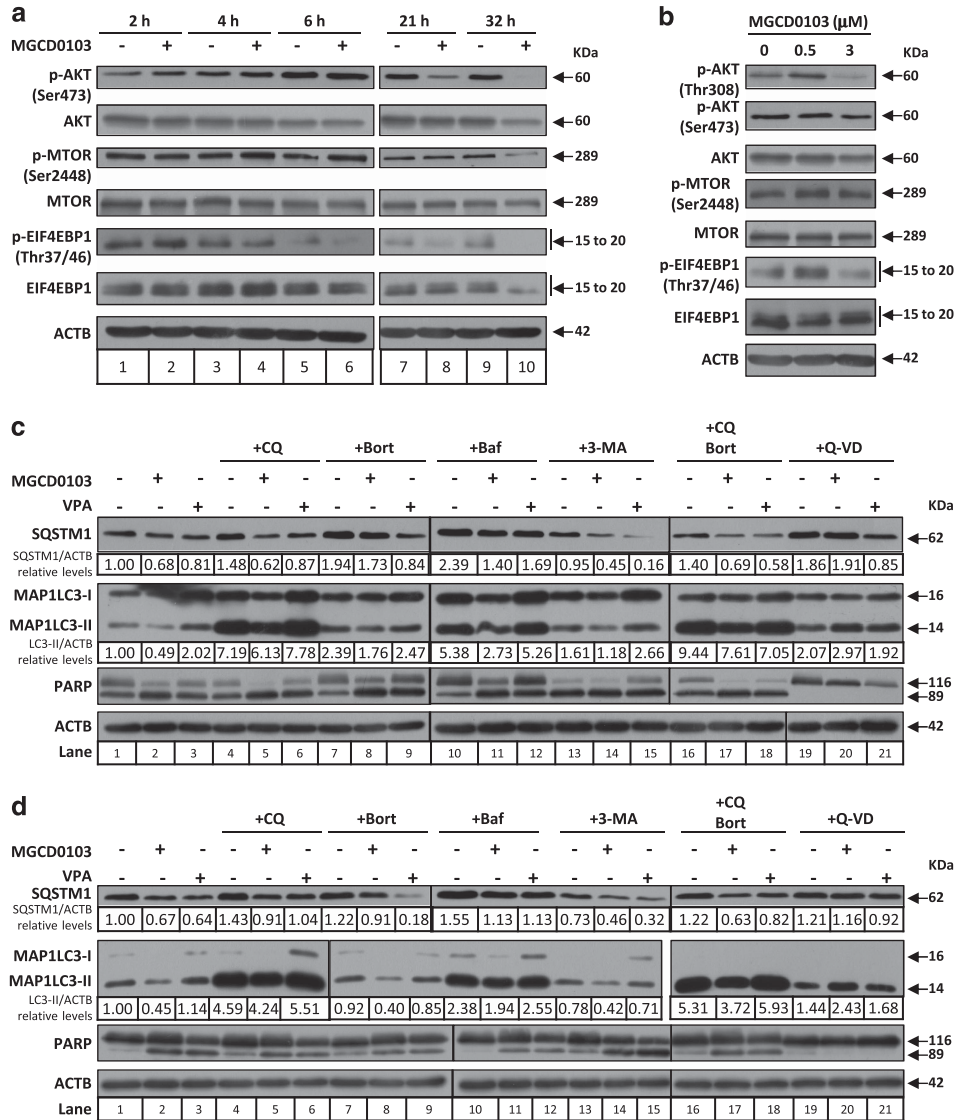
To gain more insight into the later-stage impact of MGCD0103 on the PI3K/AKT/mTOR pathway, we next investigated its

activation after a 24-h treatment using concentrations of 0.5 and 3  $\mu\text{mol/l}$ . Whereas increased phosphorylation of AKT (Thr308), mTOR, and EIF4EBP1 was still observed in cells treated with 0.5  $\mu\text{mol/l}$ , global dephosphorylation occurred with 3  $\mu\text{mol/l}$  of MGCD0103 (Figure 3b and Supplementary Table 2).

Altogether, these results demonstrate that MGCD0103 can induce, in a time and dose-dependent manner, the activation of the PI3K/AKT/mTOR cascade. The early activation of this pathway may explain the rapid MGCD0103-mediated inhibition of autophagy in primary CLL cells (Figures 2a and b). However, prolonged incubation with MGCD0103 (3  $\mu\text{mol/l}$ ) amplified autophagy inhibition (Figures 2a and b), whereas the PI3K/AKT/mTOR pathway was by then almost completely inhibited—an event that normally activates autophagy—suggesting that another mechanism impairs autophagy at late time points. We, therefore, analyzed the effects of autophagy blockade, as well as proteasome and caspase inhibition on the levels of SQSTM1 and MAP1LC3-II in primary CLL cells after a 24-h incubation with MGCD0103 (3  $\mu\text{mol/l}$ ; Figures 3c and d). As previously shown (Figure 2), MGCD0103 reduced the levels of both proteins even in the presence of chloroquine (compare lanes 1 and 2, and lanes 4 and 5). Besides, the loss of SQSTM1 and MAP1LC3-II bands was not prevented by the proteasome inhibitor, bortezomib. Failure of chloroquine to inhibit MGCD0103-induced decrease in SQSTM1 and MAP1LC3-II levels was also observed with two other autophagy inhibitors, bafilomycin A1 (compare lanes 10 and 11) and 3-MA (autophagy initiation inhibitor; lanes 13 and 14), ruling out a molecule-dependent effect of chloroquine. Similarly, simultaneous inhibition of autophagy and the proteasome did not prevent the loss of SQSTM1 and MAP1LC3-II in response to MGCD0103. In contrast, the pan-caspase inhibitor Q-VD-OPh completely prevented the



**Figure 2.** MGCD0103 decreases the autophagic flux in primary CLL cells. **(a, b)** The effect of MGCD0103 (3  $\mu$ mol/l) on autophagy was assessed by immunoblotting in the presence of chloroquine in time course experiments. ACTB was used as a loading control. Two representative blots **(a and b)** from four independent experiments are shown. The expression levels of proteins in treated cells relative to the corresponding untreated control and normalized to ACTB levels are indicated. **(c, d)** Autophagy was assessed by flow cytometry using the Cyto-ID green autophagy dye. For the left panel in **(c)**, single-cell lymphocyte population was gated in R1 (live and apoptotic cells), whereas apoptotic cells were gated in R2 on the basis of cell shrinkage (decreased in forward scatter (FSC)) and increase in granularity (increase in side scatter (SSC)). Shown on the right panel of **(c)** are the distributions of the dye green fluorescence (channel FL1) within each R1 population. Dot plots and Cyto-ID green fluorescence intensity from a representative experiment are shown in **(c)**. **(d)** Results are represented by histograms as mean Cyto-ID green fluorescence of treated cells relative to control cells (dark bars). The percentage of apoptotic cells represents the percentage of cells in R2 (bright bars). The mean values  $\pm$  s.d. ( $n=8$ ) are shown. Values are compared with the corresponding control value. \* $P<0.05$  and \*\* $P<0.01$ .



**Figure 3.** MGCD0103 inhibits autophagy in primary CLL cells through activation of the PI3K/AKT/mTOR pathway and caspases. **(a, b)** The effect of MGCD0103 on the PI3K/AKT/mTOR pathway was assessed in primary CLL cells by immunoblotting through the analysis of the phosphorylation status of key regulators. **(a)** Time course experiments were performed with 3 μmol/l of MGCD0103 and **(b)** the effect of the 24-h treatment was analyzed using two concentrations of MGCD0103 (0.5 and 3 μmol/l, as indicated). Relative protein quantification is available in Supplementary Table 2. Blots from three independent experiments are shown. **(c, d)** MGCD0103 decreases the autophagic flux in primary CLL cells in a caspase-dependent manner. Cells were incubated for 24 h alone or in the presence of MGCD0103 (3 μmol/l) or VPA (4 mmol/l), with or without chloroquine (CQ; 20 μmol/l), bafilomycin A1 (Baf; 20 nmol/l), 3-MA (1 mmol/l), bortezomib (Bort; 2 nmol/l) or Q-VD-OPh (Q-VD; 10 μmol/l). Caspase and proteasome inhibitors were added to PBMCs 1 h before and autophagy inhibitors 1 h after MGCD0103 or VPA. Two representative blots **(c and d)** from five independent experiments are shown. The levels of indicated proteins were analyzed by immunoblotting, using ACTB as a loading control. The expression levels of proteins in treated cells relative to the untreated control and normalized to ACTB levels are indicated.

decrease in protein levels elicited by MGCD0103 (compare lanes 19 and 20). Altogether, these results suggest that MGCD0103-mediated autophagy inhibition results from the activation of the PI3K/AKT/mTOR pathway followed by caspase-mediated degradation of autophagy-related proteins. This effect is not a common property of HDAC inhibitors as VPA, an HDAC I and IIa inhibitor, did not decrease the autophagic flux in primary CLL cells, as assessed by the levels of MAP1LC3-II in the presence of chloroquine and bafilomycin A1 (Figures 3c and d).

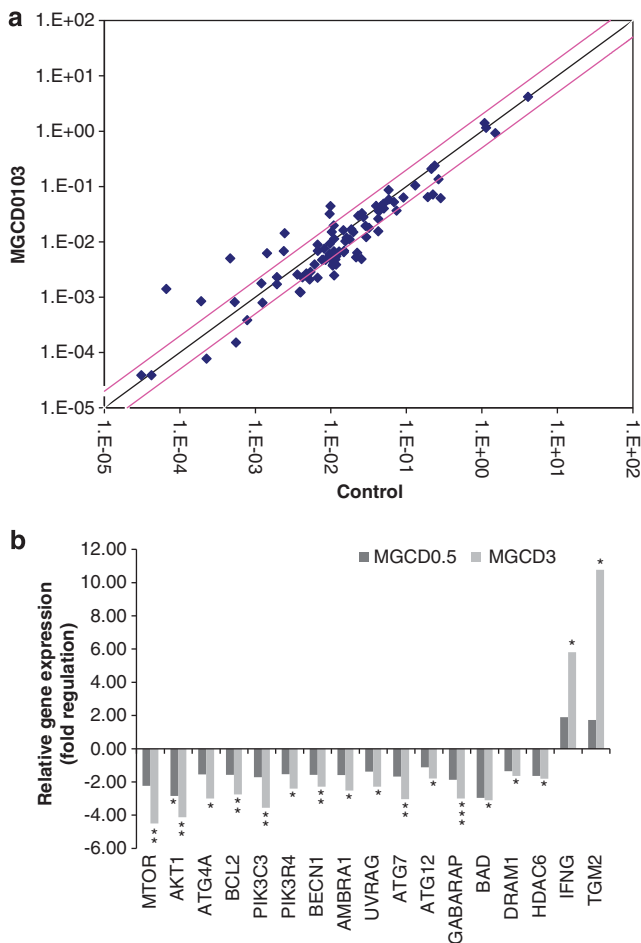
Contrarily to other autophagy-related proteins, MAP1LC3 has been proposed to be neither a caspase nor a calpain-1 substrate *in vitro*.<sup>22</sup> Our data show that MAP1LC3-I and MAP1LC3-II levels decrease in MGCD0103-treated primary CLL cells (Figures 1b and c). One mechanism that may contribute to the drop in MAP1LC3-I

is the observed reduction in ATG4B amounts, the enzyme that generates MAP1LC3-I (Supplementary Figures 3a and b). The decrease in ATG4B levels was incompletely inhibited by Q-VD-OPh, suggesting that it is partly caspase dependent. The reduced levels of MAP1LC3-II were likely because of the drop in ATG5 levels observed in the presence of MGCD0103 (Figures 1b and 5b).

MGCD0103 modulates the expression of key autophagy regulators at the transcriptional level

To investigate whether modulation of autophagy-related genes could account for the effects of MGCD0103 on autophagy in primary CLL cells, a screening for gene expression was performed using an autophagy PCR array. A 24-h treatment with MGCD0103

(3  $\mu\text{mol/l}$ ) reduced the expression of multiple genes involved in autophagy (Figure 4a). In particular, *PIK3C3*, *PIK3R4*, *BECN1*, *AMBRA1* and *UVRAG*, key components of the BECN1–VPS34 complex,<sup>21</sup> were significantly downregulated, as were *ATG7*, *ATG12*, *GABARAP*, *BAD*, *DRAM1* and *HDAC6*, the positive regulators of autophagy (Figure 4b). Expression levels of *mTOR* and *AKT1* also decreased. MGCD0103 increased the expression of *IFNG* (interferon- $\gamma$ ), an activator of ‘defense’ autophagy,<sup>23</sup> and *TGM2* (transglutaminase type 2), previously associated with either autophagy inhibition<sup>24</sup> or completion.<sup>25</sup> Similar trends were observed with MGCD0103 at 0.5  $\mu\text{mol/l}$  (Figure 4b). The decreased mRNA levels did not result from a global RNA degradation, as demonstrated by experiments using the RNA synthesis inhibitor Actinomycin D (Supplementary Figure 4). Altogether, these data demonstrate that MGCD0103 may also operate at the transcriptional level to inhibit autophagy in primary CLL cells.



**Figure 4.** MGCD0103 modulates autophagy gene expression at the transcriptional level in primary CLL cells. Screening for autophagy gene expression in PBMCs incubated with or without MGCD0103 for 24 h was performed using an autophagy PCR array. Results represent the mean values of four independent experiments. (a) The scatter plot graphs the expression level ( $2^{-\Delta\Delta C_t}$ ) of each gene in the control sample versus the MGCD0103 (3  $\mu\text{mol/l}$ ) sample. The black line indicates fold changes ( $2^{-\Delta\Delta C_t}$ ) of 1. The pink lines indicate a fold change of 2 in gene expression. (b) Histograms represent the fold up- or downregulation of relative gene expression in primary CLL cells incubated with MGCD0103 (0.5 and 3  $\mu\text{mol/l}$ ) for 24 h. When fold change values are >1, the fold regulation is equal to the fold change. When fold change values are <1, the fold regulation is the negative inverse of the fold change. \* $P < 0.05$ , \*\* $P < 0.01$  and \*\*\* $P < 0.001$ .

MGCD0103-induced inhibition of autophagy is specific to primary CLL cells

We next tested the selectivity of MGCD0103 toward primary CLL cells by comparing its ability to inhibit autophagy in PBMCs from healthy donors, and in the CLL-derived cell lines Mec-1 and JVM-3, the mantle cell lymphoma cell line JVM-2 and the breast cancer cell line MCF-7.

In normal PBMCs, a reduction in the levels of MAP1LC3-II upon MGCD0103 treatment was observed that was alleviated by the presence of chloroquine (Figure 5a), suggesting that MGCD0103 did not modulate the autophagic flux.

In the cell lines, and in contrast to primary CLL cells, MGCD0103 increased the levels of MAP1LC3-II and failed to decrease ATG5-ATG12 levels (Figure 5b). A slight decrease in SQSTM1 levels was observed in Mec-1 and MCF-7 cells, and MGCD0103 treatment decreased BECN1 expression in all cell lines. However, the impact of MGCD0103 in these cells persisted in the presence of Q-VD-OPh, indicating that it was caspase independent (Figure 5b). Moreover, when treated with MGCD0103 + chloroquine, the cell lines showed increased levels of MAP1LC3-II and restoration of SQSTM1 amounts (in JVM-3 and MCF-7; Figure 5c). These results demonstrate that, contrarily to primary CLL cells, MGCD0103 induces autophagy in cell lines.

Activation of CAPN1 is involved in the decreased autophagic flux in MGCD0103-treated cells from some CLL samples

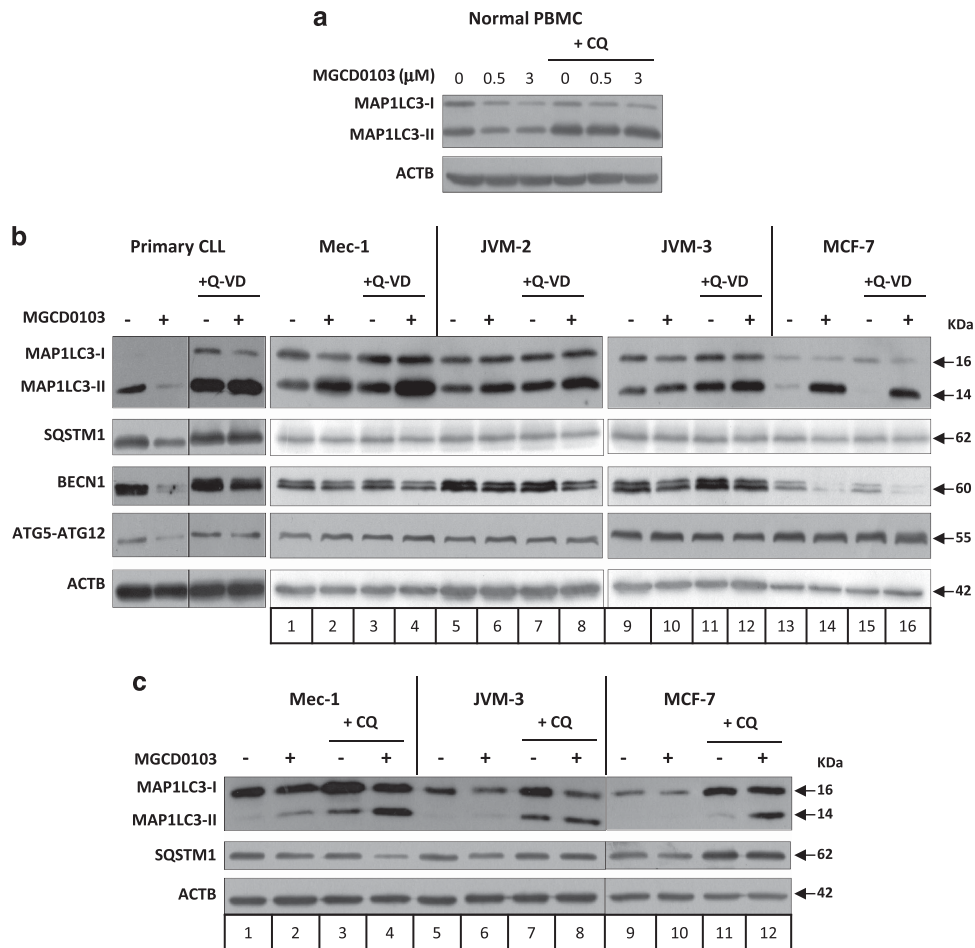
We previously demonstrated that MGCD0103 induces the caspase-mediated activation of calpains in primary CLL cells.<sup>14</sup> Calpains can cleave ATG5, thus provoking a switch from autophagy to apoptotic cell death.<sup>26,27</sup> To understand the role of calpains in MGCD0103-induced inhibition of autophagy, we used two inhibitors of the major ubiquitous calpains, calpain-1 (CAPN1) and calpain-2 (CAPN2): PD151746 (PD), which specifically inhibits CAPN1, and calpeptin that inhibits both CAPN1 and CAPN2. The effects of these inhibitors on MGCD0103-mediated decrease in autophagy proteins levels varied from one CLL sample to another (Supplementary Figure 5). However, in at least some cases, CAPN1 was involved in the cleavage of autophagy-related proteins in MGCD0103-treated CLL cells (Supplementary Figure 5b). Variations in the levels of *CAPN1* and *CAPN2* mRNA, as assessed by real-time reverse transcription-PCR (data not shown), could not explain the differences observed between patient samples.

We then investigated in more detail the protease-mediated cleavage of SQSTM1, as its degradation has been widely used as a hallmark of autophagy activation. In MGCD0103-treated CLL cells, the pattern of SQSTM1 cleavage products included bands of ca. 30 and 37 KDa (Supplementary Figures 6a and b). Presence of the 30-KDa band was insensitive to PD151746 (Supplementary Figure 6a, lane 3 and Supplementary Figure 6b, lanes 3 and 4), whereas it was considerably blocked by caspase-6 (CASP6) inhibitor Z-VEID-fmk (Supplementary Figure 6b, lanes 5 and 6), consistent with the previously reported role of CASP6 in SQSTM1 cleavage.<sup>22</sup> Besides, Q-VD-OPh significantly reduced the levels of the 30- and the 37-KDa fragments (Supplementary Figures 6a and b), indicating that SQSTM1 cleavage in CLL cells involves not only CAPN1 and CASP6, but also other caspases. Evidence that the observed bands are specific SQSTM1 cleavage products is given in Supplementary Figures 6c and d.

A model for MGCD0103-mediated inhibition of autophagy in primary CLL cells is illustrated in Supplementary Figure 7.

#### Inhibition of autophagy decreases CLL cell viability

Our data suggest that autophagy inhibition might decrease CLL cell survival. To test this hypothesis, we first treated CLL cells with late- or early-stage inhibitors of autophagy (chloroquine and 3-MA, respectively). Both drugs decreased CLL cell viability in a



**Figure 5.** MGCD0103-induced inhibition of autophagy is specific to primary CLL cells. **(a)** PBMCs from healthy donors ( $n = 3$ ) were incubated with or without MGCD0103 (0.5 or 3  $\mu\text{mol/l}$ ) and/or chloroquine (CQ; 20  $\mu\text{mol/l}$ ) for 24 h. **(b, c)** The effect of MGCD0103 on autophagy was assessed in cell lines. Cells were incubated with or without MGCD0103 (3  $\mu\text{mol/l}$ ) and/or Q-VD-Oph (10  $\mu\text{mol/l}$  for CLL patient PBMCs and 20  $\mu\text{mol/l}$  for cell lines) for 24 h (PBMC, JVM-2 and JVM-3) or 48 h (Mec-1 and MCF-7), and/or chloroquine (CQ; 40  $\mu\text{mol/l}$ ) for 24 h. The levels of indicated proteins were analyzed by immunoblotting using ACTB as a loading control. Representative blots from at least three independent experiments are shown in each panel.

dose-dependent manner (Figure 6a), suggesting that basal autophagy is a survival mechanism in primary CLL cells. To confirm this finding, siRNA-mediated knockdown of key autophagy genes was performed. In agreement with previous reports,<sup>28,29</sup> primary CLL cells were highly refractory to transfections, probably owing to their quiescent nature. Nevertheless, in three out of seven CLL samples analyzed, introduction of either *ATG5*, *MAP1LC3B*, *BECN1* or *ATG5/BECN1* siRNAs resulted in decreased target gene expression (ranging from 22 to 40% when compared with cells treated with scrambled siRNAs), together with decreased cell viability (Figures 6b–d). These results confirm the pro-survival effect of basal autophagy in primary CLL cells.

The combination of autophagy and HDAC inhibitors potentiates CLL cell death

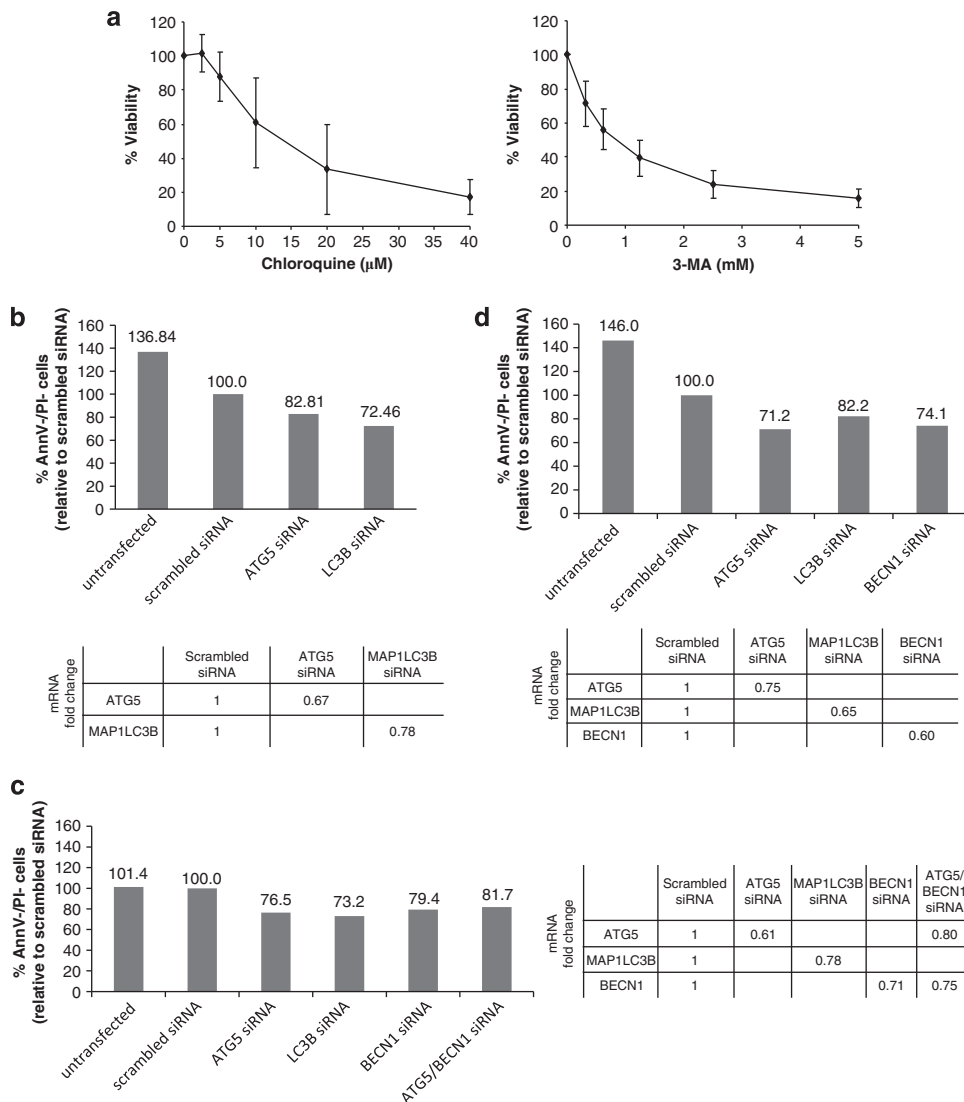
In order to evaluate the therapeutic potential of regimens combining autophagy and HDAC inhibitors in the treatment of CLL, we tested the cytotoxicity of either MGCD0103 or VPA in combination with chloroquine or 3-MA. Hence, we followed the median-effect method<sup>19</sup> using two concentrations of each of the autophagy inhibitors and various concentrations of the HDAC inhibitors, chosen based on the considerations detailed under Materials and Methods.

When MGCD0103 was used at the clinically relevant concentration of 0.4  $\mu\text{mol/l}$ , its association with chloroquine displayed nearly additive effect ( $0.9 < CI < 1.1$ ) on the inhibition of cell viability (arrows in Figure 7a), whereas its combination with 3-MA led to a clear synergism ( $0.3 < CI < 0.7$ ) (big arrows in Figure 7b). Importantly, this synergism was also observed at lower concentrations of MGCD0103 (0.1 and 0.2  $\mu\text{mol/l}$ ) (small arrows). Finally, combinations of VPA (at the clinically relevant concentration of 1 mmol/l) with 0.3 and 0.6 mmol/l of 3-MA displayed a slightly synergistic to nearly additive effect ( $0.85 < CI < 0.9$ ) respectively (arrows in Figure 7d), whereas its association with chloroquine was at best nearly additive (arrows in Figure 7c). Supplementary Table 3 recapitulates the CI values of the drug combinations discussed above and their biological description.

Collectively, these results demonstrate that inhibition of autophagy may synergize or act additively with HDAC inhibitors at clinically achievable concentrations to kill CLL cells.

The combination of MGCD0103 with flavopiridol considerably enhances CLL cell death

Our data suggest that MGCD0103 could enhance the efficiency of therapeutic molecules, such as the cyclin-dependent kinase (CDK) inhibitor flavopiridol, that induce a protective autophagic response in primary CLL cells.<sup>30</sup>



**Figure 6.** Inhibition of autophagy decreases primary CLL cell viability. **(a)** PBMCs from CLL patients ( $n = 5$ ) were incubated with varying concentrations of chloroquine or 3-MA for 48 h. Viability was determined by a CCK-8 colorimetric assay. Each sample was run in triplicate and was normalized to cells incubated without drug. Data represent the mean values  $\pm$  s.d. **(b–d)** PBMCs from CLL patients were transfected with autophagy gene-specific or nontargeting scrambled siRNA as indicated. Viability of CLL cells was assessed by flow cytometry following Annexin V-APC/PI staining. Results obtained with three different patient samples at **(b)** 24 h, **(c)** 48 h and **(d)** 72 h after transfection are shown. Viable cells (Annexin V-APC neg/PI neg cells) are represented and expressed relative to scrambled siRNA control, set at 100% viability. Expression levels of targeted genes were analyzed by real-time reverse transcription (RT)-PCR and were normalized to the 28S ribosomal RNA level of the same sample. RT-PCR values are expressed as relative fold change to the scrambled siRNA condition.

To test this hypothesis, we treated CLL cells with combinations of MGCD0103 and flavopiridol during 6 h—a time period close to the clinically effective schedule of administration of flavopiridol in CLL patients.<sup>18</sup> Although neither drug had a significant effect on cell survival when used at clinically relevant concentrations (0.4  $\mu$ mol/l (MGCD0103) and 1.5  $\mu$ mol/l (flavopiridol)), under these conditions, their combination clearly decreased cell viability (Table 1,  $P$ (flavopiridol versus flavopiridol + MGCD0103) = 0.03;  $P$ (MGCD0103 versus flavopiridol + MGCD0103) = 0.01).

These data demonstrate that the association of MGCD0103 with the autophagy inducer flavopiridol potentiates CLL cell death.

## DISCUSSION

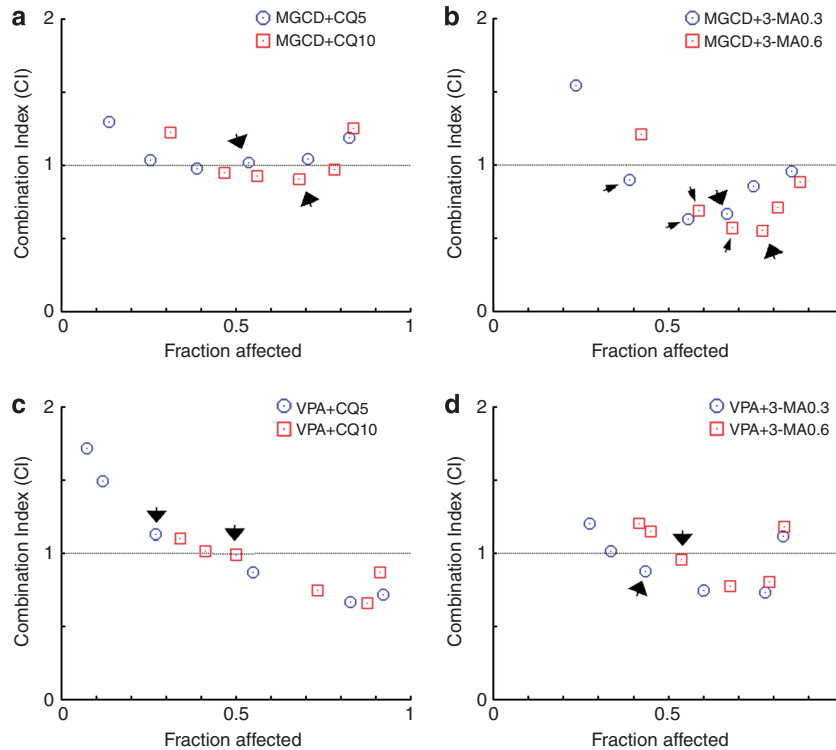
Autophagy may promote either cell survival or cell death; however, its role in CLL remains unclear. In this study, we found that MGCD0103 decreases the autophagic flux in primary CLL cells.

In addition, we provided evidence that basal autophagy functions in CLL as a pro-survival mechanism whose disruption potentiates cell death induced by HDAC and CDK inhibitors.

We previously reported that MGCD0103 triggers a cell death amplification loop involving caspases and calpains in primary CLL cells.<sup>14</sup> The present report shows that MGCD0103 inhibits autophagy through the activation of the PI3K/AKT/mTOR pathway followed by activation of caspases and CAPN1, leading to the degradation of autophagy-related proteins in primary CLL cells. Besides, our data suggest that MGCD0103-induced autophagy blockade may also result from transcriptional downregulation of key regulators.

The existence of an interplay between apoptosis and autophagy has been well documented.<sup>22,27,31–33</sup> Indeed, the caspase-mediated cleavage of BECN1 inhibited BECN1-induced autophagy and generated pro-apoptotic BECN1 fragments that triggered cytochrome *c* release from mitochondria.<sup>22,33</sup> Similarly, release of





**Figure 7.** The combination of HDAC inhibitors and autophagy inhibitors synergistically/additively kills CLL cells at clinically relevant concentrations. Combination analyses were performed following the median-effect method.<sup>19</sup> PBMCs from CLL patients ( $n = 5$ ) were exposed to an HDAC inhibitor (MGCD0103 (MGCD) or VPA) and an autophagy inhibitor (chloroquine (CQ) or 3-MA) simultaneously for 48 h. Various concentrations of HDAC inhibitors (shown as blue dots or red squares, and increasing from left to right along the x axis) were combined with fixed doses of CQ (5 and 10  $\mu\text{mol/l}$ ) or 3-MA (0.3 and 0.6  $\text{mmol/l}$ ). (**a, b**) The concentrations of MGCD0103 used for these experiments were (in  $\mu\text{mol/l}$ ) 0.05, 0.1, 0.2, 0.4, 0.8 and 1.6, and (**c, d**) those of VPA were (in  $\text{mmol/l}$ ) 0.25, 0.5, 1, 2, 4 and 8. CIs for different levels of cell death (fraction affected) were calculated using the CompuSyn software.  $\text{CI} < 1$ ,  $\text{CI} = 1$  and  $\text{CI} > 1$  indicate synergism, additive effect and antagonism, respectively.

**Table 1.** Viability (%) of chronic lymphocytic leukemia (CLL) cells after a 6-h treatment with MGCD0103 and flavopiridol alone or in combination

		MGCD0103 ( $\mu\text{M}$ )					
		0	0.05	0.1	0.2	0.4	0.8
Flavopiridol ( $\mu\text{M}$ )	0	100	104.12 $\pm$ 6.51	96.91 $\pm$ 8.16	101.15 $\pm$ 10.50	97.33 $\pm$ 9.30	86.74 $\pm$ 14.42
	0.1875	92.90 $\pm$ 14.70	78.16 $\pm$ 19.59				
	0.375	96.22 $\pm$ 14.51		80.59 $\pm$ 20.37			
	0.75	89.32 $\pm$ 16.90			79.88 $\pm$ 23.77		
	1.5	92.89 $\pm$ 11.64				70.68 $\pm$ 14.71	
	3	83.42 $\pm$ 9.21					68.70 $\pm$ 16.50

Peripheral blood mononuclear cells (PBMCs) from CLL patients ( $n = 5$ ) were incubated with various concentrations of MGCD0103 and flavopiridol, either alone or simultaneously, for 6 h. Combination experiments were done using a constant ratio design. Viability was determined by a CCK-8 colorimetric assay. Each sample was run in triplicate and was normalized to cells incubated without drug. Data represent the mean values  $\pm$  s.d.

cytochrome *c* was induced following calpain-mediated generation of an ATG5 fragment.<sup>27</sup> In line with these observations, MGCD0103-induced cleavage of BECN1 and ATG5 observed in the present study may be part of the death amplification loop activated in primary CLL cells.

The ATG5 gene product is a crucial proteolytic target for mechanisms aiming to disrupt/modulate autophagy. Using a cell-free system, Yousefi *et al.*<sup>27</sup> have shown that ATG5 can be cleaved by calpains, but not caspases 3, 6, 7, 8 and 9. However, our results obtained *ex vivo* suggest that caspases are the major proteases responsible for MGCD0103-induced ATG5 cleavage in primary cells, either directly or, as observed in some CLL patients, through

activation of CAPN1. These findings suggest that a caspase different from the aforementioned caspases could cleave ATG5 in a calpain-independent manner.

The role of autophagy in CLL has remained controversial. Thus, resistance to dasatinib has been correlated to autophagy induction,<sup>34</sup> and cell death was induced in experimental systems where autophagy was inhibited either by chloroquine or expression of miR-130a.<sup>12</sup> On the other hand, treatment of CLL cells with dexamethasone induced autophagic cell death.<sup>13</sup> More recently, it was shown that many stimuli can induce autophagy in CLL cells; however, only endoplasmic reticulum stress-inducing agents induced protective autophagy.<sup>30</sup> Consistent with previous

reports,<sup>12</sup> we found that pharmacological inhibitors of autophagy decreased CLL cell viability in a dose-dependent manner. In addition, the loss of viability observed in cells where partial knockdown of *ATG5*, *BECN1* and *MAP1LC3B* was achieved by siRNA confirmed the survival-promoting effect of basal autophagy in primary CLL cells. Moreover, we demonstrated that inhibition of autophagy potentiates HDAC inhibitor-induced cell death in CLL cells at clinically relevant concentrations. Although chloroquine and 3-MA may have off-target effects, our data suggest that targeting autophagy may enhance the therapeutic effects of HDAC inhibitors in CLL, consistent with previous findings in other cancer models.<sup>10,35</sup>

Autophagy plays a central role in the immune response to cancer,<sup>11,36,37</sup> and the immunomodulatory effects of autophagy inhibitors (chloroquine and hydroxychloroquine) has been exploited in the treatment of autoimmune disorders.<sup>38,39</sup> Unfortunately, the immunomodulatory properties of these inhibitors have an adverse effect on antitumor immunity.<sup>11,40</sup> Thus, clinically promising chemotherapeutic strategies based on combinations of autophagy inhibitors with conventional anticancer drugs currently face the limitation that autophagy inhibition is not restricted to cancer cells but also affects immune cells.

Chloroquine has previously been shown to neutralize *ex vivo* the protective autophagy elicited in CLL cells by flavopiridol,<sup>30</sup> a CDK inhibitor that induces high response rates in heavily pretreated CLL patients, including high-risk patients.<sup>41,42</sup> Unlike chloroquine, we found that the autophagy-inhibiting properties of MGCD0103 specifically target CLL cells but not the other leukemic and nonleukemic cells, including normal PBMCs. Importantly, MGCD0103 counteracted autophagy, a mechanism of resistance to cell death elicited by the CDK inhibitor, and potentiated the cytotoxic effect of flavopiridol. This finding opens new horizons to circumvent the immunomodulatory effects of chloroquine and hydroxychloroquine.

Recently, Tenovin-6, an inhibitor of the NAD(+)-dependent class III HDACs, has been shown to inhibit the late stages of autophagy in CLL cells.<sup>43</sup> Suppression of autophagy by inhibitors of zinc-dependent HDACs had hitherto been reported only in cardiomyocytes<sup>44</sup> and, more recently, in myeloid leukemia where the pro-survival role of autophagy was also documented.<sup>45</sup> Instead, HDAC inhibitors have been described to induce autophagy by a variety of mechanisms including transcriptional induction of *MAP1LC3*<sup>5</sup> and *BECN1*,<sup>46</sup> inactivation of mTOR signaling,<sup>5,7</sup> induction of endoplasmic reticulum stress response<sup>7</sup> and production of reactive oxygen species.<sup>47</sup> Although MGCD0103 induced autophagy in cancer cell lines, it decreased the autophagic flux in primary CLL cells. As observed with other anticancer drugs such as metformin,<sup>48,49</sup> autophagy modulation by HDAC inhibitors appears to be cell type dependent. Moreover, our data underline the well-documented notion that cell lines do not recapitulate all the features of the original primary tumors,<sup>50–52</sup> a caveat that must be borne in mind when studying the pathology of CLL.

Autophagy regulation by HDAC inhibitors can occur at the epigenetic level through modulation of autophagy gene expression, as we and others showed.<sup>5,53</sup> However, targets of HDACs include nonhistone proteins.<sup>54</sup> In this context, additional mechanisms whereby HDACs may contribute to autophagy include HDAC6-mediated deacetylation of cortactin, enhancing autophagosome-lysosome fusion,<sup>55</sup> or the regulation, by HDAC6, of the transport of aggregates and autophagic machinery components to the aggresome.<sup>56</sup> MGCD0103 is not an HDAC6 inhibitor; however, we cannot rule out that MGCD0103-mediated downregulation of HDAC6 expression (Figure 4b) may contribute to the regulation of autophagy in primary CLL cells.

Because SQSTM1 protein is incorporated into autophagosomes and degraded by autophagy,<sup>20,57,58</sup> its degradation has been widely used as a hallmark of autophagy activation.<sup>20,57,59</sup> Here, we

showed that SQSTM1 can be degraded by caspases and CAPN1 independently of autophagy, in agreement with the results of Norman *et al.*<sup>22</sup> and Pham *et al.*<sup>60</sup> These findings are in line with the myriad of interacting partners and cellular functions of SQSTM1 beyond its role in autophagy<sup>61</sup> and should prompt researchers to caution in drawing conclusions when interpreting SQSTM1 levels.

The contribution of autophagy to microenvironmental signals and CLL progression in the proliferation niches remains to be explored. Similarly, further investigation will be necessary to evaluate whether the role of autophagy in CLL is dependent on the stage of the disease, the genetic aberration profile or the expression of some prognostic markers. Addressing these questions will help understanding how CLL patients may best benefit from a therapeutic strategy involving alteration of autophagy.

## CONFLICT OF INTEREST

The authors declare no conflict of interest.

## ACKNOWLEDGEMENTS

This work was supported by Télévie (grant no. 7.4527.09). We thank MethylGene Inc. (Quebec, Canada) for supplying MGCD0103, Professor Stephen Senn, Dr Fred Fack, Dr Emilia Mahoney, Tony Kaoma and Marwan Sleiman.

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