

Synthesis and structural characterization of β -cyclodextrin butenate

Yong-Fu Li*, Zhu-Xi Tian*, Bin Shi*, Yang-Bo He* and Ming-Xiu Long*

Integrated Rural Development Center, Guizhou Academy of Agricultural Science, Guiyang, Guizhou, China

ABSTRACT

β -cyclodextrin butenate was synthesized by using N, N'-Carbonyldiimidazole (CDI) activating reagent and 4-Dimethylaminopyridine (DMAP) as catalyst. The best preparation condition of β -CD butenate was described as below: reaction temperature was 25°C, concentration of 2-butenic acid was 450 mmol/L, concentration of DMAP was 12.5 mmol/L and reaction time was 20 minutes and at this condition the yield of β -CD butenate was 0.83 mmol/g. According to the results of FT-IR spectrum, NMR spectroscopy and HPLC-QToF-mass spectrum of β -CD butenate, there were four types β -CD butenate synthesized, which were β -CD-2-butenic acid monoester, β -CD-2-butenic acid diester, β -CD-2-butenic acid triester and β -CD-2-butenic acid tetraester, respectively.

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1. Introduction

β -cyclodextrin (β -CD) is a cyclic oligosaccharide and it is produced from starch by using glucosyltransferase of *Bacillus* [1,2]. There are many active hydroxyl groups on the ringlike surface of β -cyclodextrin [3,4], which can react with a variety of chemical reagents and introduce new chemical groups at the hydroxyl position to form a series of chemical derivatives of β -cyclodextrin [5]. β -cyclodextrin derivatives containing vinyl groups are one important precondition for the preparation of β -cyclodextrin polymers [6,7,8]. For example, β -cyclodextrin maleate was used to prepare β -cyclodextrin-acrylamide (CDM-AM) copolymer by using $K_2S_2O_8$ as initiator and irradiation method, respectively [9,10]. In our former research, we found out that phosphates, phosphites and polyphosphates could be used as catalyst for the esterification of binary unsaturated organic acids, such as maleic acid and itaconic acid, with β -cyclodextrin under semi-dry conditions [11]. However, this reaction needs high temperature and pressure condition. So, finding another esterification method at room temperature is very important to the β -cyclodextrin derivatives with unsaturated double bonds.

N, N'-Carbonyldiimidazole (CDI) is a green activating reagent, which has a much lower toxicity in contrast to other activating reagent, such as paratoluensulfonyl chloride and thionyl chloride, and only carbon dioxide and imidazole are released as removable by-products [11]. CDI is widely used in the synthesis of esters and amide


compounds. β -cyclodextrin butyric ester was synthesized by using CDI as activating reagent [12]. Esterification at O-2 of β -cyclodextrin was developed by using the combination of CDI and carbonate buffer in 1,4-dioxane [13]. CDI is also used in the esterification of polysaccharide, such as starch. Corn starch ferulates was synthesized by the activation of ferulic acid mediated by CDI [14]. 4-dimethylaminopyridine (DMAP) is a common catalyst used in the synthesis of ester derivatives [15]. In this research, CDI was used as activating reagent of 2-butenic acid, and DMAP was used as catalyst of esterification.

β -cyclodextrin has seven glucose residues and each primary hydroxyl of glucose residues had the potential to react with organic acids. In this research, the effects of reaction time, acid dosage, catalyst dosage and reaction temperature on the synthesis of β -cyclodextrin butenate were investigated, and its structure was characterized by IR spectra and NMR spectra. At the same time, TOF mass spectrometry was used to identify the different type of β -cyclodextrin butenate. This study provided a new method for the synthesis of β -cyclodextrin derivatives containing vinyl group.

2. Materials and methods

2.1. Materials

β -cyclodextrin was purchased from Aladdin Co. Ltd. (Shanghai, China). 2-butenic acid (99%, HPLC grade),

CONTACT Yong-Fu Li  liyongfu1985@163.com  Integrated Rural Development Center, Guizhou Academy of Agricultural Science, Guiyang, Guizhou, China
*These authors contributed equally to this work.

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dimethylformamide (DMF, 99%, HPLC grade), N, N'-Carbonyldiimidazole (CDI, AR grade) and 4-Dimethylaminopyridine (DMAP, AR grade) were from Sinopharm Co. Ltd (Shanghai, China). Methyl butenate was from Sigma (St. Louis, MO, U.S.A). All other reagents were of analytical grade and used as received.

2.2. Synthesis and purification of β -cyclodextrin butenate

β -cyclodextrin was dehydrated in oven at 100°C for 24 hours before use. Calcium hydride was added to dehydrate N, N-dimethylformamide (DMF) before use to ensure that the reaction system was waterless. Four single factors including catalyst concentration, 2-butenic acid concentration, reaction time and reaction temperature were investigated for sample preparation. The final concentration of β -cyclodextrin in the sample preparation was constant at 50 mmol/L. Cyclodextrin (5 mmol), a certain amount of 2-butenic acid (5–45 mmol) and 4-dimethylaminopyridine (DMAP) were weighed in a triangle flask, and 50 mL DMF was added to dissolve the mixture until used. A certain amount of N, N-carbonyl diimidazole (CDI, 5–45 mmol) was weighed and dissolved by adding 50 mL of DMF. The solution of CDI was added into the aforementioned triangular flask and stirred by magnetic force at a certain reaction temperature (20–60°C) for a period of time (2–120 min). Total volume of DMF was 100 mL. After the reaction, 30 mL ultrapure water was added to stop the reaction. Each single-factor experiment was repeated 3 times. After the reaction, the mixed solution was rotated and evaporated at 60°C under the pressure of 5 mbar. Then 100 mL anhydrous ethanol was added to the rotary steaming bottle to precipitate the crude product. Crude product was added into the mixing cup and stirred thoroughly with 50 mL anhydrous ethanol; after stirring, the precipitates were collected by suction filtration, and washing operation was repeated 5 times. The above washed precipitates were transferred to empty SPE columns for vacuum filtration, and 100 mL anhydrous ethanol was added into the SPE columns. Prepared β -CD butenate sample were dried at 55°C.

2.3 Unsaturated ester bond amount of β -CD butenate

Methyl butenate was used as a reference, and its methanol solutions with concentrations of 0.1, 0.3, 0.5, 0.7, 1.0

and 3.0 mmol/L were prepared, respectively. The peak area of methyl butenate was measured by HPLC at 210 nm wavelength. The standard curve was taken the peak area as the ordinate and the molar concentration as the abscissa, and standard calibration curve was $y = 3204.1x$ ($R^2 = 0.9999$). 0.5 g of purified β -CD butenate was dissolved in 25 mL of 30% acetonitrile aqueous solution, and then the solution was further diluted 10 times for test on HPLC (Agilent Technologies Inc., US, 1260). Chromatographic column was used Kromasil 5 μ C18 (100 A, 250 \times 4.6 mm); Mobile phase A was acetonitrile (55%) and mobile phase B was 0.1% formic acid aqueous solution (45%); flow rate was 1.0 mL/min, injection volume was 10 μ L and measure wavelength was 210 nm. The unsaturated ester bond amount of β -CD butenate was calculated by Equation (1).

$$A_u \text{ (mmol/g)} = \frac{A_s * V_s * D_r}{3204.1 * W_s} \quad (1)$$

Where A_u was the Unsaturated ester bond amount of β -CD butenate; A_s was the total peak area of β -cyclodextrin butenate, W_s was the weight of purified β -cyclodextrin butenate, V_s was solution volume of the sample, D_r was dilution ratio of sample.

2.4 Characteristic of β -cyclodextrin butenate

2.4.1 FT-IR Spectra

ATR-FTIR spectra were obtained using an infrared spectrometer Spectrum 400 (Perkin Elmer Co. United States) for testing. ATR accessories contain diamond crystal internal reflection components, infrared beam incidence angle was 45°. The spectral resolution was 4 cm^{-1} , and the spectrum performed a total of 16 background and sample scans [16,17]. Each sample was approximately 10 mg and scanned immediately after addition. Make sure there are no air bubbles on the sample surface and place a small piece of aluminum foil over the sample. At the end of sampling, ATR accessory crystals were washed with 75% (v/v) alcohol, and the background scan was re-performed before the next sample profile was collected. Each sample was repeated three times, and its mean value was taken for mapping using Origin 2018 data processing software.

2.4.2 ^1H and ^{13}C NMR spectra

The NMR spectra of β -CD butenate and 2-butenic acid were determined using an NMR spectrometer (AVANCE III 500 MHz, Bruker, Switzerland). All samples were dissolved in heavy water (D_2O) at an experimental temperature of 25°C.

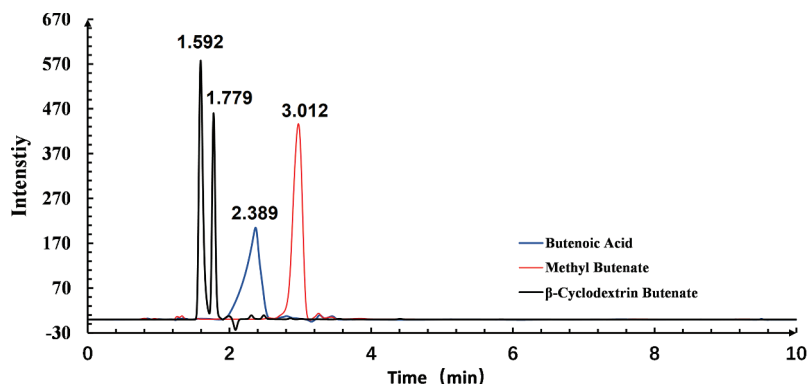


Figure 1. The HPLC spectrum of β -CD butenate, 2-butenic acid and methyl butenate.

2.4.3 HPLC-QToF- mass spectrometry

The mass spectrometric information for β -CD butenate was determined by using a HPLC-QToF mass spectrometer (Xevo G2-S, Waters Inc., U.S.A) and High-energy ion fragment information of different

type of β -CD butenate was also detected. 2, 5-dihydroxybenzoic acid (DHB) was used as substrate. Mobile phase A was acetonitrile (55%) and mobile phase B was 0.1% formic acid aqueous solution (45%), and flow rate was 0.45 mL/min.

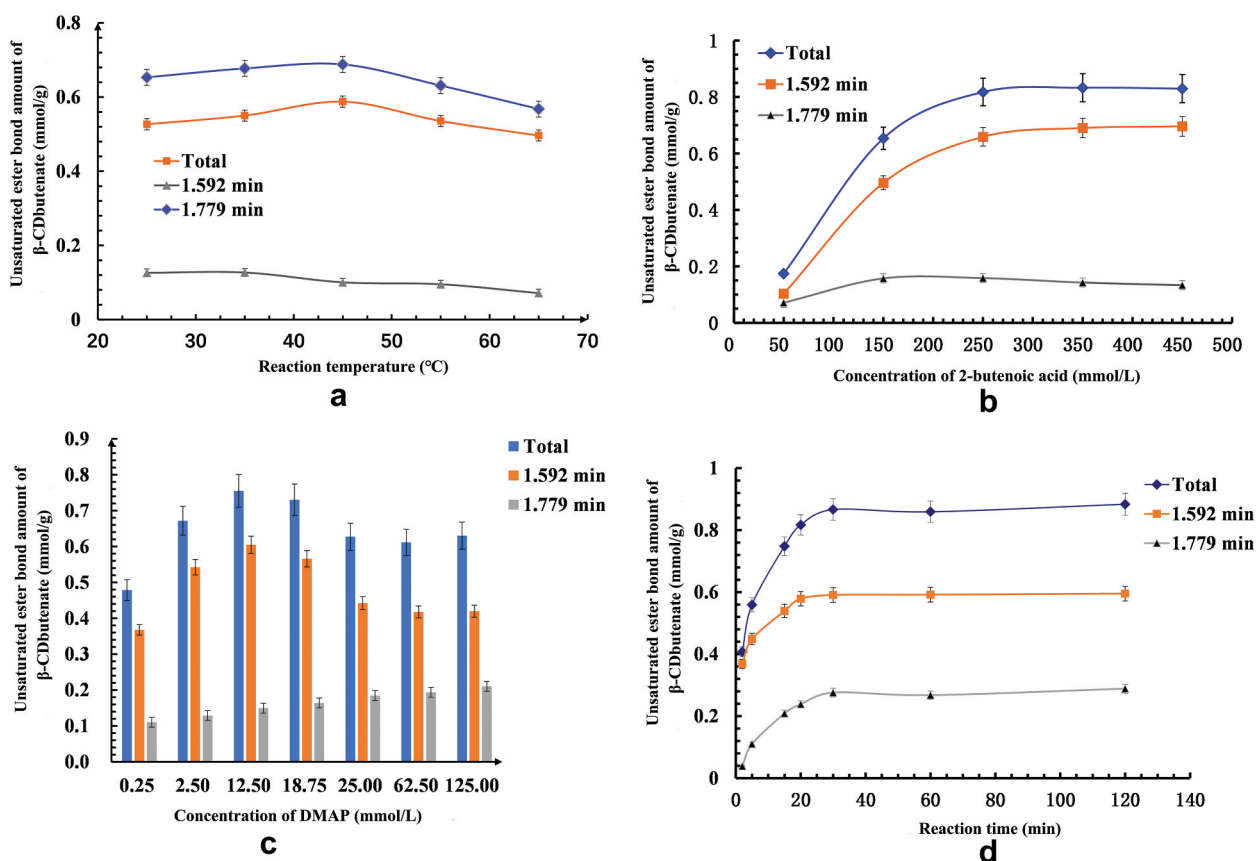


Figure 2. The effect factors of the synthesis of β -CD butenate. a: Reaction temperature (β -CD, 15 mmol; 2-butenic acid, 25 mmol; CDI, 25 mmol; DMAP 1.25 mmol; temperature 25, 35, 45, 55 and 65°C; reaction time 60 min); b: concentration of butenic acid (β -CD, 5 mmol; 2-butenic acid, 5, 15, 25, 35 and 45 mmol; CDI, 5, 15, 25, 35 and 45 mmol; DMAP, 1.25 mmol; temperature, 25°C; reaction time 60 min); c: concentration of DMAP (β -CD, 5 mmol; 2-butenic acid, 25 mmol; CDI, 25 mmol; DMAP 0.025, 0.25, 1.25, 1.875, 2.5, 6.25 and 12.5 mmol; temperature, 25°C; reaction time 60 min); d: Reaction time (β -CD, 5 mmol; 2-butenic acid, 45 mmol; CDI, 45 mmol; DMAP, 1.25 mmol; temperature, 25°C; reaction time, 2–120 min).

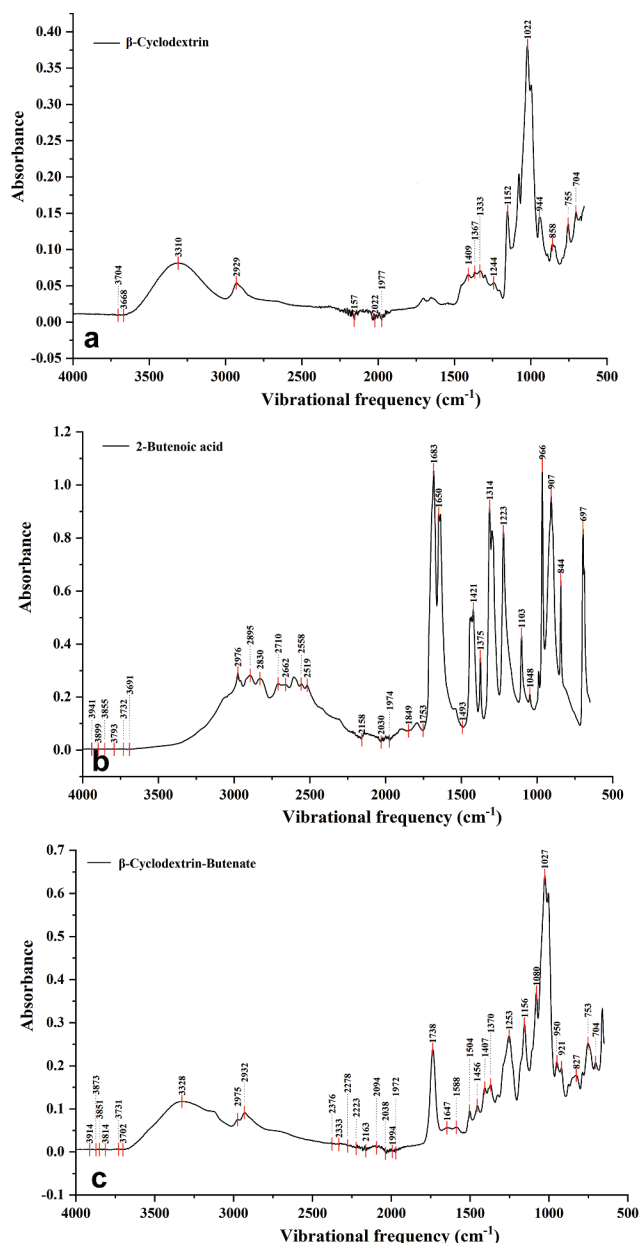


Figure 3. Infrared spectra of β -cyclodextrin, 2-butenoic acid and β -cyclodextrin butenate.

3. Results and discussion

3.1 The effect factors of the synthesis of β -CD butenate

Through the HPLC spectrum, at least two kinds of β -CD butenate were synthesized, which retention time was 1.592 min and 1.779 min as shown in Figure 1, respectively, and according to the HPLC-QToF- mass spectrometry results, there were four types of β -CD butenate, which were β -CD-2-butenoic acid monoester, β -CD-2-butenoic acid diester, β -CD-2-butenoic acid triester and β -CD-2-butenoic acid tetraester. However, at the same chromatographic conditions, the retention time of

butanoic acid and methyl butenate was 2.389 min and 3.012 min, respectively. This was also a provention of the synthesis of β -CD butenate, because only butanoic acids and butenates containing vinyl group had ultraviolet absorption at 210 nm wave length. Meanwhile, 2-butenoic acid peak (2.389 min) was not found in the HPLC spectrum of β -CD butenate, which indicated that the purification method was reasonable.

Through the single factor experiment, concentration of butenic acid, concentration of DMAP and reaction time were the major factors influenced the synthesis of β -CD butenate (Figure 2). The reaction temperature was seemed has no significant influence on the esterification

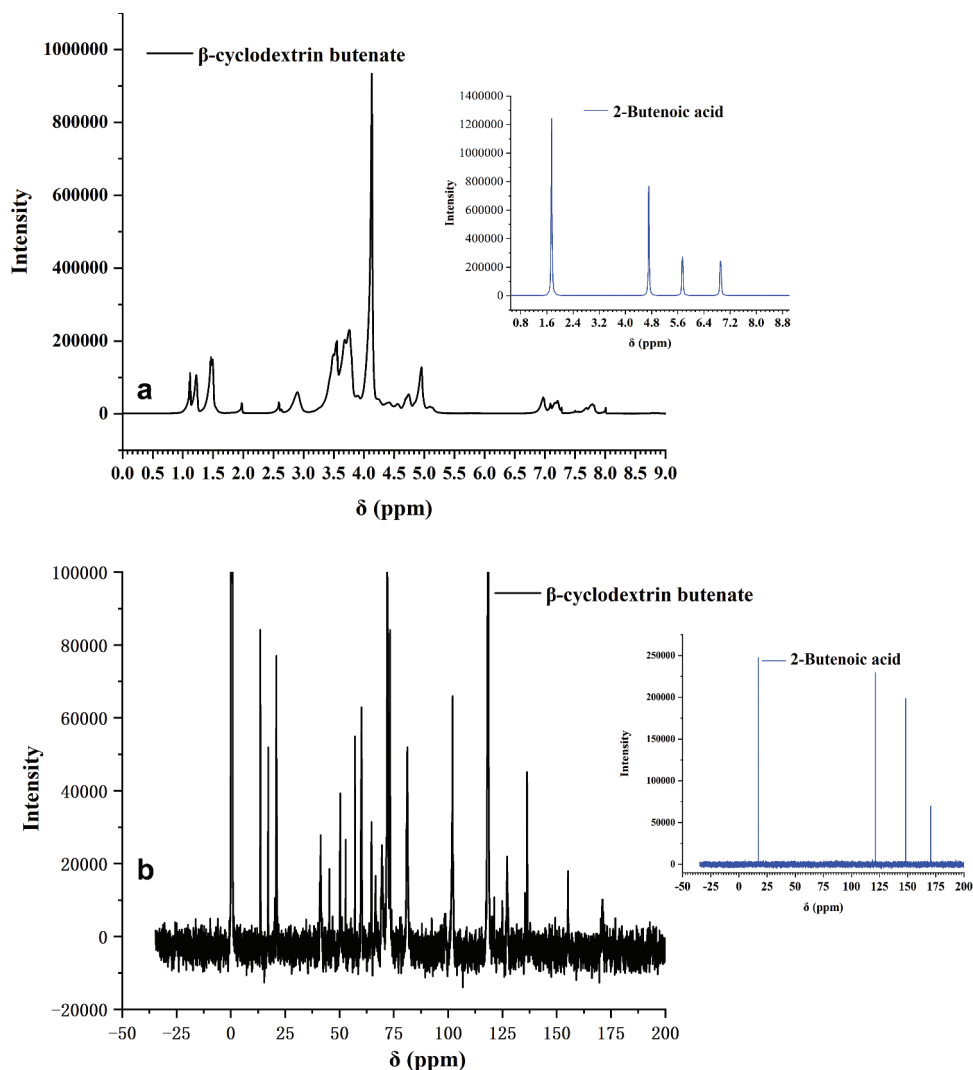


Figure 4. ^1H NMR (a) and ^{13}C NMR (b) Spectroscopy of β -CD butenate and 2-butenic acid dissolved in D_2O at 25°C .

of β -CD, although the unsaturated ester bond amount of β -CD butenate was slightly decreased when the reaction temperature was over 45°C . The esterification was finished after 20 minutes, and unsaturated ester bond amount of β -CD butenate was the highest, which were 0.83 mmol/g , when amount of catalyst (DMAP) was 12.5 mmol/L and concentration of 2-butenic acid was 450 mmol/L .

3.2 Characteristic information of β -CD butenate

3.2.1 FT-IR spectrum

In the infrared spectrum of β -cyclodextrin (Figure 3a), the stretching vibration of hydroxyl group ($-\text{OH}$) in cyclodextrin was observed at 3310 cm^{-1} , the variable angle vibration frequency of methylene group ($-\text{CH}_2$) were appeared at 1409 cm^{-1} , and the variable angle vibration frequency of methine group ($-\text{CH}$) were

appeared at 1333 cm^{-1} . The stretching vibration of C-OH group and C-O-C group in cyclodextrin was observed at $1150\text{--}1000\text{ cm}^{-1}$, and the symmetric stretching vibration of C-O-C group was observed at 944 cm^{-1} . The above characteristic absorption was also observed in β -cyclodextrin butenate, which indicated that the intact ring structure of β -cyclodextrin was preserved in the process of esterification. In the infrared spectrum of 2-butenic acid (Figure 3b), C=O stretching vibration appeared at 1683 cm^{-1} , C=C stretching vibration appeared at 1650 cm^{-1} , in-plane bending vibration of COH group was appeared at 1421 cm^{-1} , and its stretching vibration was appeared at 1314 cm^{-1} and 1223 cm^{-1} . In β -cyclodextrin butenate (Figure 3c), the characteristic frequency of 2-butenic acid were not found, and the stretching vibration of C=O in unsaturated carbonyl ester were found at 1738 cm^{-1} [18,19]. This is because when the double bond was connected

with carbonyl ester group, the conjugation effect makes the carbonyl vibration frequency move to low frequency. At the same time, the stretching vibration of unsaturated double bond C=C (1650 cm^{-1}) was retained in β -cyclodextrin butenate, but its intensity was significantly reduced. At the same time, the stretching vibration frequency of C-O in the ester bond was observed at 1253 cm^{-1} [20]. The synthesis of β -cyclodextrin butenate could be proved by comparing the infrared spectra of β -cyclodextrin, 2-butenic acid and β -cyclodextrin butenate.

3.2.2 ^1H and ^{13}C NMR Spectroscopy of β -CD butenate

In ^1H NMR spectrum of β -CD butenate, the chemical shift of hydrogen in vinyl group (-C=C-) was found at 4.95 and 5.10 ppm [21]; however, in ^1H NMR spectrum of butenic acid, the chemical shift of hydrogen in vinyl group (-C=C-) were at 4.71 and 5.75 ppm, and ^1H signals for β -CD were found at 4.75 to 5.22 ppm [22], as shown in Figure 4a. In ^{13}C NMR spectrum of β -CD butenate, the chemical shift of ^{13}C in vinyl group (-C=C-) was at 128.35

and 156.09 ppm [23] and chemical shift of ^{13}C in unsaturated ester group was found at 136.90 ppm; however, in ^{13}C NMR of butenic acid, the chemical shift of ^{13}C in vinyl group (-C=C-) were at 121.29 and 150.48 ppm. Meanwhile, the chemical shifts of ^{13}C in β -CD group were found at 60.30, 71.56, 72.09, 73.95, 82.65 and 101.34 ppm, as shown in Figure 4b. Those results were also approved the synthesis of β -CD butenate.

3.3.3 Mass spectrum resolution of β -CD butenate

Four types of β -CD butenate were synthesized, which were β -CD-2-butenic acid monoester, β -CD-2-butenic acid diester, β -CD-2-butenic acid triester and β -CD-2-butenic acid tetraester. At most four butenic acids can be esterified with the primary hydroxyl group of β -cyclodextrin under the synthesis conditions described in this paper. Mass spectrometric analysis and high energy ion fragmentation of β -CD-2-butenic acid diester was shown in Figure 5, and mass spectrometric analysis and high energy ion fragmentation of β -CD, β -CD-2-butenic

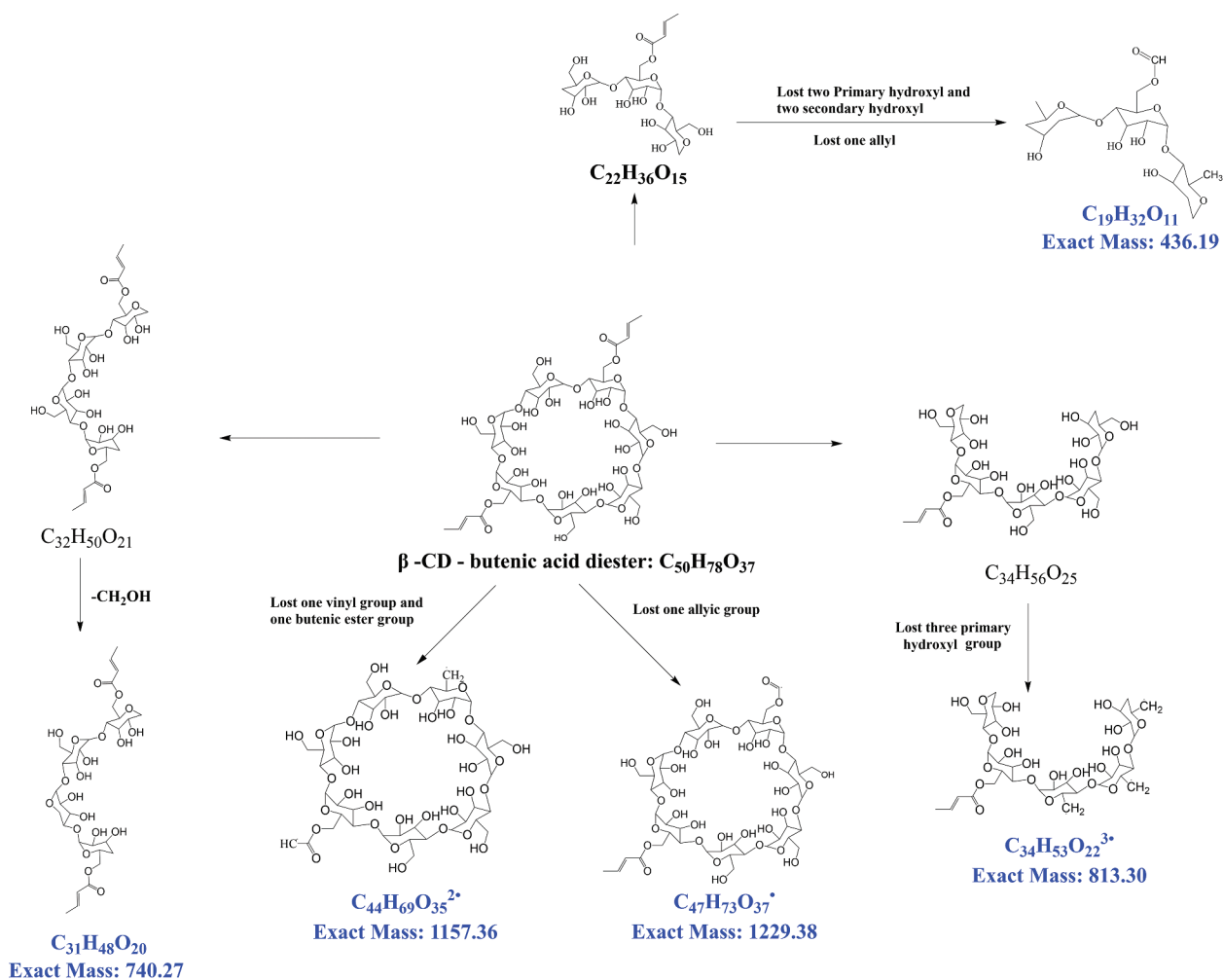


Figure 5. Mass spectrometric analysis of β -CD-2-butenic acid diester.

Table 1. High energy ion fragmentation of β -CD-2-butenic acid diester.

Expected m/z	Status	Observed m/z	Mass error (ppm)	Mass error (mDa)	Detector counts	Formula
1157.36139	Theoretical	1157.36264	1.08	1.3	14023	C ₄₄ H ₆₉ O ₃₅
1229.38252	Theoretical	1229.37859	-3.20	-3.9	5021	C ₄₇ H ₇₃ O ₃₇
1225.35122	Theoretical	1225.35730	4.96	6.1	1211	C ₄₇ H ₆₉ O ₃₇
813.29447	Theoretical	812.29760	3.84	3.0	501	C ₃₄ H ₅₃ O ₂₂
740.27335	Theoretical	740.27920	7.91	5.9	418	C ₃₁ H ₄₈ O ₂₀
437.20174	Theoretical	437.19346	-18.94	-8.3	5440	C ₁₉ H ₃₃ O ₁₁
1019.36608	Theoretical	1019.35889	-7.05	-7.2	1143	C ₃₈ H ₆₇ O ₃₁
857.31326	Theoretical	857.31591	3.10	2.7	321	C ₃₂ H ₅₇ O ₂₆
812.29447	Theoretical	812.29145	-3.73	-3.0	685	C ₃₄ H ₅₂ O ₂₂
610.17397	Theoretical	610.17686	4.74	2.9	401	C ₂₄ H ₃₄ O ₁₈
467.10315	Theoretical	467.10282	-0.69	-0.3	392	C ₁₇ H ₂₃ O ₁₅
337.07654	Theoretical	337.07452	-5.99	-2.0	1875	C ₁₂ H ₁₇ O ₁₁

acid monoester, β -CD-2-butenic acid triester and β -CD-2-butenic acid tetraester were shown in supplementary data. There were 6 specific fragments were found in the mass spectrum of β -CD, which were C₁₂H₁₇O₁₁, C₁₇H₂₃O₁₅, C₂₄H₃₄O₁₈, C₃₂H₅₇O₂₆, C₃₄H₅₂O₂₂ and C₃₈H₆₇O₃₁ as shown in Table 1.

Besides 6 fragments existed in β -CD, there were 6 specific ion fragments were found in the mass spectrum of β -CD-2-butenic acid diester, which were C₄₇H₇₃O₃₇, C₄₇H₆₉O₃₇, C₁₉H₃₃O₁₁, C₃₁H₄₈O₂₀, C₄₄H₆₉O₃₅ and C₃₄H₅₃O₂₂. The formation of C₄₇H₇₃O₃₇ fragments could prove the synthesis of β -CD-2-butenic acid diester, which is formed by losing one allyic group from β -CD-2-butenic acid diester (C₅₀H₇₈O₃₇), and the generation pathway of other 5 ion fragments was shown in Figure 5. The detection of fragments existed in β -CD were also indicated that the ring structure of β -cyclodextrin were preserved after esterification. For β -CD-2-butenic acid monoester, there were 3 specific ion fragments were detected, which were C₄₄H₆₉O₃₅, C₃₁H₄₇O₂₁ and C₁₉H₃₃O₁₁, and other 7 fragments were existed in β -CD. For β -CD-2-butenic acid triester, there were 7 specific ion fragments were found, which were C₅₄H₇₉O₃₄, C₅₁H₇₅O₃₄, C₅₁H₆₉O₃₄, C₄₇H₆₉O₃₅, C₄₄H₆₉O₃₅, C₃₁H₄₈O₂₀ and C₁₉H₃₃O₁₁. For β -CD-2-butenic acid tetraester, there were also 7 specific ion fragments were found, which were C₅₇H₈₃O₃₆, C₅₄H₇₇O₃₆, C₅₁H₆₉O₃₄, C₄₇H₇₃O₃₇, C₃₄H₄₈O₂₀, C₃₁H₄₈O₂₀ and C₁₉H₃₃O₁₁, and one fragments existed in β -CD was found, which were C₁₂H₁₇O₁₁. C₁₂H₁₇O₁₁ fragment was found in all kinds β -CD butenate.

4. Conclusion

β -cyclodextrin (β -CD) derivatives containing vinyl were the foundation of preparing β -cyclodextrin polymer. In this research, N, N'-Carbonyldiimidazole (CDI) was used as carboxylic acid activator and 4-dimethylaminopyridine (DMAP) was used as catalyst for the synthesis of β -CD butenate. The preparation condition of β -CD butenate was described as below: reaction temperature was

25°C, concentration of 2-butenic acid was 450 mmol/L, concentration of DMAP was 12.5 mmol/L and reaction time were 20 minutes; at this condition the yield of β -CD butenate was 0.83 mmol/g. The esterification rate of this method was higher than it using phosphates, phosphites and polyphosphates as catalyst. The FI-IR spectrum and NMR spectrum of β -CD butenate were proved the esterification of β -CD with butenic acid. According to the mass spectrum resolution of β -CD butenate, there were four types β -CD butenate synthesized, which were β -CD-2-butenic acid monoester, β -CD-2-butenic acid diester, β -CD-2-butenic acid triester and β -CD-2-butenic acid tetraester, respectively.

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Disclosure statement

There are no conflicts of interest with other people or organizations within 3 years of beginning the work submitted that could inappropriately influence the work submitted.

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