SCIENTIFIC **Reports**

Received: 28 May 2015 Accepted: 21 December 2015 Published: 28 January 2016

OPEN Effects of strain relaxation in Pr_{0.67}Sr_{0.33}MnO₃ films probed by polarization dependent X-ray absorption near edge structure

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The Mn K edge X-ray absorption near edge structure (XANES) of Pr_{0.67}Sr_{0.33}MnO₃ films with different thicknesses on (001) LaAlO₃ substrate was measured, and the effects of strain relaxation on film properties were investigated. The films showed in-plane compressive and out-of-plane tensile strains. Strain relaxation occurred with increasing film thickness, affecting both lattice constant and MnO₆ octahedral rotation. In polarization dependent XANES measurements using in-plane (parallel) and outof-plane (perpendicular) geometries, the different values of absorption resonance energy E, confirmed the film anisotropy. The values of E, along these two directions shifted towards each other with increasing film thickness. Correlating with X-ray diffraction (XRD) results it is suggested that the strain relaxation decreased the local anisotropy and corresponding probability of electronic charge transfer between Mn 3d and O 2p orbitals along the in-plane and out-of-plane directions. The XANES results were used to explain the film-thickness dependent magnetic and transport properties.

The perovskite manganites ($\text{Re}_{1-x}A_x\text{MnO}_3$), where *Re* is a trivalent rare earth, and *A* is a divalent metal exhibit a range of interesting properties¹⁻² such as high spin polarization and colossal magnetoresistance (CMR). Manganites are potential candidates for resistance random access memories (RRAM) in the next generation of non-volatile memories³⁻⁵. The electronic structure plays an important role⁴ in resistance switching of RRAM⁶⁻⁸. The electronic structure of manganite is correlated with the local environment⁹ that affects material properties¹⁰. For example, the intersite hopping of Mn 3d electrons through the bridging oxygen 2p orbital affects the double-exchange coupling strength^{Π} and Curie temperature T_c . Crystal structure and electronic structure are correlated through strong electron-phonon coupling in manganites¹. Thin films grown on single crystal substrates are normally strained due to lattice-mismatch between the film and substrate. The crystal structure and octahedral rotation of thin films may therefore differ from that of bulk¹². Strain relaxation occurs gradually and continuously with increasing film thickness, leading to film-thickness dependent $T_c^{1,9,12}$. Polarization dependent X-ray absorption structure (XAS) may be used to probe the film-thickness dependent electronic structure. Correlating the electronic structure with the crystal structure, material properties such as magnetoresistance may be better understood.

X-ray absorption near edge structure (XANES) provides insight into the electronic structure of the absorbing atom¹³. For manganites, the absorbing ion of interest is Mn in the MnO_6 octahedron. The Mn XAS may be collected either by total electron yield (TEY) mode or total fluorescence yield (TFY) mode¹⁴. Due to the low fluorescence signal intensity in the soft X-ray region¹⁵, the TFY mode cannot be used. Instead the total electron yield (TEY) mode may be employed to collect the Mn L edge (~640 eV) XAS, however, the signal sampling depth is limited to only a few nanometers near the surface^{10,14}. The surface-sensitive information from TEY may not

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Figure 1. (a) (00l) scan of PSMO films with different thicknesses on LAO substrate; reciprocal spacing mapping around (-103) for (b) 12-nm and (c) 100-nm film; (d) illustration of strain relaxation in tetragonal ratio of MnO₆ octahedron.

necessarily be representative for thick films. Additional information and discussion of Mn *L* edge data are found in Supplementary Figure S1 online.

In the hard X-ray region, the quality of the TFY signal is enhanced, and therefore may be used to collect the Mn K edge (~6.5 keV) signal¹⁶. The TFY signal is bulk sensitive¹⁷ and is suitable for investigating the effects of strain relaxation in films of the various thicknesses (12 to 100-nm) as used in our current study. The main absorption structure in the Mn K edge XANES is due to the excitation of a Mn core 1s electron to empty 4p orbitals¹⁸, which is sensitive to the local environment around the absorbing atom. For example, due to hybridization between Mn 3d orbitals and O 2p orbitals, there exists a mixture of O 2p orbitals with Mn 3d orbitals. The electronic structure with *n* electrons in Mn 3d orbitals may be described as a mixture of two configurations¹⁹⁻²²: 3d ⁿ L and 3d ⁿ⁺¹L. L refers to the O ligand surrounding the Mn ion, and L indicates O ligand with one electron hole resulting from the electron transfer from O 2p to empty Mn 3d orbitals. After X-ray absorption, two electronic configurations exist for the final states: $3d \, ^{n+1}L4p^1$ and $3d \, ^{n+1}L4p^1$. These final states may be revealed in the fine structure of XANES. The effects of strain relaxation on film properties may be investigated using the sensitivity of XANES to the crystal structure.

In this work we report the Mn *K* edge X-ray absorption near edge structure (XANES) of $Pr_{0.67}Sr_{0.33}MnO_3$ (PSMO) films of different thicknesses on (001) LaAlO₃ (LAO) substrates. The narrow bandwidth manganite has high strain sensitivity²³. Bulk PSMO has a smaller bandwidth than that of the La_{1-x}Sr_xMnO₃ system¹. Thus, bulk PSMO may find potential application due to its high transition temperature, i.e. its ferromagnetic metal (FM)-to-paramagnetic insulator (PI) phase transition at ~ 300 K^{24,25}. Our results show that the PSMO films deposited on LAO substrate experienced in-plane compressive strain and out-of-plane tensile strain. In polarization dependent measurements, the in-plane (parallel) and out-of-plane (perpendicular) XANES showed anisotropic properties with different absorption energies E_r (peak point). With increasing film thickness, the difference of E_r between these two polarization weakened the local anisotropy and the corresponding probability of charge transfer between Mn 3*d* and O 2*p* along in-plane and out-of-plane directions. This is responsible for the change of E_r with increasing film thickness. The transport and magnetic properties also changed with strain relaxation, mediated by the change of electronic structure.

Results

The XRD results of PSMO films are shown in Fig. 1(a–c). For the 12-nm film, the in-plane lattice constant (3.790 Å) was the same as that of the LAO substrate (as shown in Fig. 1(b)), indicating that the PSMO film was fully strained by the LAO substrate. The calculated²⁶ out-of-plane lattice constant (3.958 Å) from the (002) peak

					T _c /T _{c, 30 nm}	
Thickness (nm)	a (Å)	c (Å)	c/a	MnO ₆ Tilt	Exp.	Cal.
12	3.790	3.957	1.044	$a^0a^0c^-$	—	—
30	3.790	3.959	1.045	$a^0a^0c^-$	1	1
50	3.790	3.958	1.044	a^a^c^	1.013	1.006
80	3.792 (L)	3.956 (L)	1.043 (L)	a^a^c^	1.221	1.040
	3.796 (R)	3.947(R)	1.040 (R)			
100	3.792 (L)	3.954(L)	1.043 (L)	a^a^c^	1.275	1.102
	3.807 (R)	3.924(R)	1.031 (R)			

Table 1. Summary of averaged lattice constant, tetragonal ratio, measured (Exp.) and calculated (Cal.) Curie temperature T_c of PSMO films with different thicknesses. T_c was normalized to that of the 30-nm film. For the lattice constants of the 80-nm and 100-nm films, the in-plane lattice constant was calculated based on volume-conservation. Refer to the text for more details.

was larger than the bulk value (3.860 Å). The in-plane compressive strain (-1.8%) between the PSMO film and LAO substrate elongated the out-of-plane lattice²⁷. In the 80-nm and the 100-nm films, the (00*l*) curves (Fig. 1a) indicate more than one peak. The results were fitted into two components (P1 and P2) as shown in Supplementary Figure S2 online. The reciprocal space mapping around the (-103) peak and L scan indicate that the in-plane and out-of-plane lattice constants are not uniform for the 100-nm film, as a result of strain relaxation in this thicker film (Fig. 1b,c). However, due to the volume-averaged XRD signal and the overlapping peaks, the differentiation of the value of H for these two parts are difficult. To resolve this challenge, volume conservation was assumed. The in-plane lattice constants of P1 and P2 components were calculated based on the volume-conservation assumption²⁸, as shown in Table 1. Previous work revealed that moving from the LAO substrate into the PSMO film, the c value of the PSMO film changed gradually from 3.790 Å of substrate to that of PSMO (>3.950 Å) in ~15 unit cells²⁷. This could cause a peak shift and broadening. As indicated in Table 1, the lower *c* value in the 12-nm film compared to that in 30 nm film could be attributed to this non-uniform region. The effects of this region on film properties will be discussed later. With the increase of film thickness from 30 nm, the strain relaxed partially and the out-of-plane lattice parameter c decreased gradually. Due to strain relaxation, the tetragonal ratio c/a (Table 1) of the PSMO film changed, and the corresponding change of the MnO_6 octahedron is illustrated in Fig. 1(d). Previous work of epitaxial films on different substrates²⁹⁻³² showed that the tetragonal c/a ratio had a direct influence on electronic structure and anisotropy in the electronic hopping integral.

The strain affects not only the lattice constant, but also the MnO₆ rotation in the PSMO films. In Fig. 2(a-c), half-integer diffraction was measured to determine the octahedral rotation. According to Glazer's notation^{33–36}, the MnO₆ rotation pattern in the 12-nm film is $a^0a^0c^-$. With increasing film thickness, one additional peak (0.5 0.5 1.5) gradually appeared and became obvious in the 50-nm film, as shown in Fig. 2(c). Note that the intensity of this peak (indicated by the purple arrow in Fig. 2(c) for films ≥ 50 nm) is weak. This suggests that the MnO₆ rotation in the thick films (≥ 50 nm) became $a^-a^-c^-$. The effects of strain relaxation on the MnO₆ rotation is illustrated in Fig. 2(d). The measured rotation of AlO₆ in the LAO substrate was $a^-a^-a^-$ in our study, consistent with the reported results²¹. The in-plane compressive strain suppressed the octahedron tilt around the two in-plane (x and y) axes. The rotation around the two in-plane axes gradually occurred with partial relaxation of in-plane compressive strain in the thick films. This phenomenon is consistent with previous work²¹ that demonstrated the existence of in-plane rotation (a^-/b^-) in strain-free and in-plane tensile strain cases. Based on the integer and half-integer diffraction results, the crystal structure of PSMO films with different thicknesses changed drastically, affecting the corresponding electronic structure and other film properties.

The properties of manganites are strongly coupled with the electronic structure. XANES is able to detect the local environment of the absorbing atom and electronic structure. Polarization dependent XANES was used to study the effects of strain on the electronic structure. The polarization dependent XANES is defined as follows: in parallel measurement (||), the polarization vector (*E* vector) of the X-rays was in the film plane; in perpendicular case (\perp), the polarization vector was perpendicular to the film plane. The polarization-dependent Mn *K* edge XANES of the 12-nm PSMO film is shown in Fig. 3. In this study, we only focused on the main absorption edge at ~ 6555 eV, which is due to the electronic excitation from the Mn core 1s orbital to empty 4*p* orbitals¹⁸. The absorption intensity revealed the unoccupied states of the Mn 4*p* orbitals.

As shown in Fig. 3, the resonance energy E_r (peak point) was different between the two polarization dependent measurements (|| and \perp). The PSMO film experienced in-plane compressive and out-of-plane tensile strains as shown from the XRD measurements. In the parallel measurement, the polarization direction was along the in-plane compressive strain direction giving a higher E_r value (6555.8 eV). In the perpendicular measurement, the polarization direction was along the out-of-plane tensile strain direction with a lower E_r (6554.9 eV). The derivative curves of Mn *K* edge XANES are shown in Fig. 3(b). In the parallel measurement, the Mn *K* edge XANES revealed two sub-peaks at 6552.4 eV (B1) and 6553.7 eV (B2), respectively. In the perpendicular case, the intensity of the lower energy peak (B1) increased, whereas the higher energy peak (B2) disappeared. There are several possible reasons for the origin of these two sub-peaks. (1) The coexistence of the Mn³⁺ and Mn⁴⁺ ions in the film^{37,38}. The ratio of B1/B2 should be similar in both parallel and perpendicular measurements if the ions with different chemical valences are the main factor, whereas our experimental results show different B1/B2 ratios for films with different thicknesses. (2) The energy splitting of Mn empty $4p_x$, $4p_y$, $4p_z$ levels due to the anisotropy of the local environment³⁹. The strain relaxation in thick film changed its crystal structure, and the position of B1



Figure 2. Half-integer diffraction peak of (a) 100-nm and (b) 12-nm PSMO films; (c) the (0.5 0.5 *l*) peak of PSMO film with different thicknesses. The curve was normalized to the LAO (002) peak; (d) illustration of the effect of strain relaxation on MnO_6 octahedral rotation pattern.



Figure 3. (a) Polarized Mn K edge XANES and (b) corresponding derivative curves for the 12-nm PSMO film.





and B2 should change accordingly if the strain-induced splitting of Mn 4p energy level was responsible. However, the positions of B1 and B2 peaks from the derivative curves did not change with different thicknesses. (3) The electronic charge transfer between the Mn 3d and O 2p orbitals⁴⁰ (Fig. 4(a)). The anisotropic strain along in-plane and out-of-plane directions induces large anisotropy in the local environment in the two directions. The hybridization between Mn 3d orbitals and O 2p orbitals then becomes anisotropic due to the difference of orbital overlap along these two different directions⁴¹. During the X-ray absorption process (from Mn core 1s to Mn 4p), the charge transfer (from O 2p to Mn 3d) existed simultaneously. The final state may be viewed as a mixture of two electronic configurations¹⁹⁻²¹: $3d^{n}L4p^{1}$, and $3d^{n+1}L4p^{1}$. The *L* refers to the O ligands, and *L* indicates one hole in the O ligands, resulting from the electron transfer from O 2p to empty Mn 3d orbitals. In the $3d^{n+1}L4p^1$ configuration, the Coulomb interaction between the Mn 1s core hole and the extra 3d electron lowers the total energy from electron transfer¹⁵. Hence, the lower energy B1 peak corresponded to the photon absorption leading to the final configuration $3d^{n+1}L4p^1$ as shown in Fig. 4(b), and the higher energy B2 peak corresponds to the photon absorption leading to the final configuration $3d \,{}^{n}L4p^{1}$. This assignment was further supported by the density of states (DOS) calculated using the FEFF8.4 code. The 118 atomic cluster was built based on XRD results and the simulation details were expressed elsewhere^{42,43}. Fig. 4(c) shows the *p*, *d*-projected density of states (DOS) on the Mn site for a 12-nm PSMO film with the Fermi level set to zero. The peak A in Mn 3d orbitals is assigned to the pre-edge of Mn K edge XANES, while the peak B1 and B2 in p orbitals is assigned to the main K edge absorption¹⁹. The splitting of the DOS around B1 and B2 causes the multiple peaks in the X-ray absorption curve.

Taking the perpendicular measurement as an example, the excited Mn 1s core electron will fill the empty $4p_z$ orbital. In this situation, the dominant charge transfer is the in-plane process (Fig. 4(a)), filling the Mn $3d_{x^2-y^2}$ orbital through O $2p_x$, $2p_y$ orbitals. Although the Mn 3d orbitals hybridized with 6 oxygen atoms in all x, y, z directions, the possibility of electron transfer from oxygen 2p to fill the Mn $3d_{3z^2-r^2}$ is limited due to the strong Coulomb interaction between the excited 1s core electron at $4p_z$ and the electron at the $3d_{3z^2-r^2}$ orbital (in the event that the transferred electron occupied the $3d_{3z^2-r^2}$ orbital). In this case, the in-plane compressive strain enhances the hybridization between Mn 3d and O 2p orbitals, resulting in strong absorption corresponding to the $3d^{n+1}L4p^1$ (B1 peak) configuration. Similarly, in the parallel measurement, the dominant charge transfer was the out-of-plane process, filling the Mn $3d_{3z^2-r^2}$ orbital through O $2p_z$ orbitals. The out-of-plane tensile strain weakens the hybridization between Mn and O, limiting the absorption corresponding to the $3d^{n+1}L4p^1$ (B1 peak) configuration.

The two B1 and B2 sub-peaks in the derivative curve (Fig. 3d) indicate that the shape of the Mn *K* edge XANES has two contributions, and the relative ratio of the B1/ B2 peak intensity affects the maximum position of XANES (E_r). Both the crystal structure and the charge transfer were affected by the strain relaxation, which is revealed by E_r . The Mn *K* edge XANES of PSMO films of different thicknesses is shown in Fig. 5(a). The difference of E_r of two polarization dependent measurements, summarized in Fig. 5(b), showed a decrease with increasing film thickness. This phenomenon may be understood as follows: with the existence of strain relaxation, the anisotropy of the local environment along the in-plane and out-of-plane directions decreased as demonstrated in Fig. 1(d). Thus there is a decrease in the difference of probability of electronic charge transfer along the two directions (parallel direction from O $2p_{xy}$ to Mn $3d_{x^2-y^2}^2$; and perpendicular direction from O $2p_z$ to Mn $3d_{3z^2-r^2}$).



Figure 5. (a) Polarized Mn K edge XANES for PSMO films with different thicknesses; (b) summary of E_r from the parallel and perpendicular measurements with varying film thickness. The dashed lines are viewing guides.

The difference of relative ratio of B1/B2 peak in XANES is thus decreased, and the resonance energy E_r along the two directions shifts towards each other with increasing film thickness.

Discussion

The polarization dependent XANES is sensitive to the electronic structure due to the hybridization between Mn 3*d* and O 2*p* orbitals. In the 12-nm film, the in-plane charge transfer predominated over that in the out-of-plane direction. With increasing film thickness, both the in-plane and out-of-plane charge transfer became important. Figure 6(a-c) shows the magnetoresistance (MR = $(\rho_H - \rho_0)/\rho_0$) curves for the PSMO films. Large MR values existed near *T*c in all films. At low temperature the MR value increased with decreasing film thickness. It has been identified that with decreasing film thickness the quantum interference effects (QIE) from electron-electron interactions and weak localization^{44,45} become more important at low temperature. The dimensionality of the system, i.e. 3-dimensional (3D) and 2-dimensional (2D), influences the temperature dependent resistance. In the 2D system, the QIE has a logarithmic dependence (ln *T*) as follows^{44,45}:

$$\rho(T) = \frac{1}{\sigma_0 + B \ln T} + AT^n \tag{1}$$

In the 3D system, the QIE changes as $T^{p/2}$. The fitting parameter p = 1 has been used to determine the change from 3D to $2D^{43}$, as shown below:

$$\rho(T) = \frac{1}{\sigma_0 + CT^{1/2}} + AT^n$$
(2)

where σ_0 , *A*, *B*, *C*, and *n* are free fitting parameters. In our work, the low temperature (<60 K) transport data were fitted according to the two above equations. The normalized $\chi^2 = \left(\frac{1}{N}\right)\sum_{N=1}^{t=1} \left[\frac{\left(\rho_{raw}^t - \rho_{fit}^t\right)^2}{\left(\rho_{fit}^t\right)^2}\right]$ characterizes how

well the experimental data is fitted; a smaller value indicates a better fit. In this equation, N is the number of data points, ρ_{raw} and ρ_{fit} are measured and fitted resistivities, respectively. The fitting details are found in Supplementary Figure S3 online. It was observed that the ln *T* fitting was better with a smaller χ^2 for the 12-nm film; for thicker films (80 nm), the $T^{1/2}$ showed a better fit. For the 100-nm film, the absolute difference between the two χ^2 values was very small, probably indicating the decreasing role played by the QIE correction terms in equations (1) and (2)⁴⁴. In other words, the two equations using the QIE correction terms may no longer be applicable in thick films. The fitting data suggest that in the 12-nm film, the 2D QIE seemed to emerge. Figure 6(c)



Figure 6. (a) the magnetization-temperature (MT) curves of PSMO films with different thicknesses, measured with a field of 100 Oe; (b) resistance-temperature (RT) curves at 0T and (c) Magnetoresistance (MR) of PSMO film with a magnetic field of 4T; (d) The change of χ^2 with film thickness. The red dot refers to equation (1) and the blue star refers to equation (2). Refer to the text for more information.

shows an increase of MR value with decreasing film thickness at low temperature (10-100 K). Weak electronic localization in thin film may produce large negative MR effects⁴⁶ at low temperature. Details of the 3D-to-2D cross over as a function of film thickness warrant further study, which is beyond the current scope of investigation.

The double-exchange model suggests that the exchange interaction intensity is proportional to the averaged electron hopping possibility^{47–51} between the two neighboring Mn sites (t_{d-d}) and T_c . In the case of weak strain, strain relaxation affects the crystal structure and T_c of manganite films may be calculated according to the following equation⁴⁸:

$$T_c(\varepsilon_b, \varepsilon_{JT}) = T_{c0}(1 - a\varepsilon_b - 1/2b\varepsilon_{JT}^2)$$
(3)

where $\varepsilon_b = (2\varepsilon_{100} + \varepsilon_{001})$ is the bulk strain, $\varepsilon_{JT} = \sqrt{2/3} (\varepsilon_{100} - \varepsilon_{001})$ is the Jahn-Teller strain, $a = \left(\frac{1}{T_c}\right) \left(\frac{dT_c}{d\varepsilon_b}\right) \sim 10$ and $b = \left(\frac{1}{T_c}\right) \left(\frac{d^2T_c}{d\varepsilon_T^2}\right) \sim 500^{25}$.

Calculated values of T_c using equation (3) increased with increasing film thickness, consistent with the experimental results as shown in Fig. 6(a) and in Table 1. Note that for calculations involving the 80-nm and 100-nm films, the averaged lattice constant was used (from the area ratio of volume-averaged XRD results, see Supplementary Figure S2 online). The discrepancy between experimental and calculated values may arise from ignoring the effects of inhomogeneous crystal structure through film thickness and orbital reconstruction²⁹⁻³². In the 100-nm film, strain relaxation weakened the anisotropy in the local environment and enhanced the electronic hopping probability. For the 12-nm film with the lowest T_c , the effects of interface may be important and warrant further investigation.

In summary, the effects of thickness-dependent strain relaxation on $Pr_{0.67}Sr_{0.33}MnO_3$ film properties were investigated. The films showed in-plane compressive strain and out-of-plane tensile strain. Strain relaxation occurred with increasing film thickness, affecting both the lattice constant and MnO_6 octahedral rotation. These in turn influenced the corresponding electronic structures. In polarization dependent measurements, the in-plane and out-of-plane XANES were anisotropic with different absorption energy E_r . With increasing film thickness, the difference of E_r between the two polarization dependent measurements decreased. Based on the XRD results, the strain relaxation weakened the local anisotropy and probability of charge transfer (between Mn 3*d* and O 2*p* orbitals) along the in-plane and out-of-plane directions, giving rise to the change in E_r . The magnetoresistance effect and Curie temperature of PSMO films also showed variation with strain relaxation.

Methods

Film fabrication. $Pr_{0.67}Sr_{0.33}MnO_3$ (PSMO) films with different thicknesses (12, 30, 50, 80 and 100 nm) were grown on (001) LaAlO₃ (LAO) single crystal substrates using a 248 nm KrF pulsed laser at a substrate temperature of 780 °C and a pure oxygen pressure of 26 Pa. The energy of the laser beam was 90 mJ and the pulse frequency was 5 Hz. After deposition, the films were cooled to room temperature at 20 K/min.

Characterization. X-ray diffraction (XRD) was measured using four-circle diffractometer at the Singapore Synchrotron Light Source (SSLS) with $CuK_{\alpha 1}$ radiation equivalent. The magnetic properties were measured by a superconducting quantum interference device (SQUID), and the transport properties were measured by Physical Property Measurement System (PPMS). The polarization dependent XANES measurements were performed using linear polarized X-rays at beamline 20-ID-B of the Advanced Photon Source (APS), Argonne National Laboratory, USA. Fluorescent X-rays were detected using a multi-element germanium detector, and the XANES normalization was done using Athena. Details on the beamline optics and instruments may be found elsewhere^{25,26}. A Mn metal foil was placed to intercept a scattered beam for monochromator energy calibration.

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Acknowledgements

Work at the National University of Singapore is supported by the Singapore National Research Foundation under CRP Award No. NRF-CRP10-2012-02. PNC/XSD facilities at the Advanced Photon Source, and research at these facilities, are supported by the US Department of Energy - Basic Energy Sciences, the Canadian Light Source and its funding partners, the University of Washington, and the Advanced Photon Source. Use of the Advanced Photon Source, an Office of Science User Facility operated for the U.S. Department of Energy (DOE) Office of Science by Argonne National Laboratory, was supported by the U.S. DOE under Contract No. DE-AC02-06CH11357. PY is supported by SSLS via NUS Core Support C-380-003-001.

Author Contributions

B.M.Z., C.J.S. and P.Y. conducted the experiments; B.M.Z., C.J.S., G.M.C. analyzed the data; B.M.Z., J.S.C., C.J.S. and G.M.C. wrote the manuscript; B.M.Z., J.S.C., P.Y., X.C., W.N.L., T.V., C.J.S., S.M.H. and G.M.C. reviewed the manuscript.

Additional Information

Supplementary information accompanies this paper at http://www.nature.com/srep

Competing financial interests: The authors declare no competing financial interests.

How to cite this article: Zhang, B. *et al.* Effects of strain relaxation in $Pr_{0.67}Sr_{0.33}MnO_3$ films probed by polarization dependent X-ray absorption near edge structure. *Sci. Rep.* **6**, 19886; doi: 10.1038/srep19886 (2016).

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