

Targeting cancer stem cells with ALDH1A1-based immunotherapy

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Keywords: ALDH1A1, CTL, adoptive therapy, cancer stem cells, xenograft

ALDH^{bright} cells in human tumor cells lines, xenografts and lesions have been shown to have characteristics of cancer stem cells (CSC). We have shown that these cells are recognized by ALDH1A1-specific CD8⁺ T cells in vitro and in vivo. The results support the potential of ALDH1A1-based immunotherapy to target CSC.

Cancer Stem Cells and ALDH1A1

Not without controversy, cancer stem cells (CSC) are considered capable of symmetrical or asymmetric self-renewal, resistance to standard chemo- or radio-therapies, less differentiated, and tumorigenic, the latter evident by ability in low numbers to establish tumors in immunodeficient mice.^{1,2} As the failure of current therapies to control cancer can be attributed to their inability to eliminate CSC, there is a critical need to develop strategies that eliminate these “stem cell-like” tumor cells.

Employing the methods of flow cytometry-based studies of hematopoietic and leukemic stem cells, highly enriched populations of tumor cells with “stem cell-like” properties have been isolated from many types of human carcinomas. Most recently, it is based on elevated expression of aldehyde dehydrogenase (ALDH) activity. In combination with DEAB, an inhibitor of the ALDH1A1, -A2, -A3 and ALDH3A1 isoforms, the flow reagent ALDEFLUOR identifies ALDH⁺ cells.³ Expression of ALDH1A1, which metabolizes genotoxic aldehydes, is critical to the chemoradio-resistance of normal stem cells and tumor cells. However, while it is not absolute that all CSC are ALDH⁺ cells, isolated populations of ALDH⁺ tumor cells certainly have characteristics distinct from the remaining tumor cell population.

Identification of ALDH1A1 as a Shared Tumor Antigen (TA)

In a collaborative study with Dr. Theresa Whiteside, my laboratory identified ALDH1A1_{88–96} peptide as a shared CTL-defined human tumor antigen (TA) in squamous cell carcinoma of the head and neck (SCCHN).⁴ Given that only one or two exchanges distinguish the peptides encoded by codons 88–96 of the ALDH1/3 isoforms, particular attention was paid to ensure that the HLA-A2-restricted CTL were specific for the ALDH1A1_{88–96} peptide. Furthermore, ALDH1A1 expression at the protein level correlated with the severity of oral mucosal dysplasia and less differentiated and more aggressive SCCHN lesions. These findings suggested that it might be an early marker for development of SCCHN and an attractive target for immunoprevention as well as therapy of this disease.

Somewhat unappreciated at first, as one became more aware of the roles of ALDH1A1 in retinoic acid metabolism and detoxification of genotoxic aldehydes, its identification as a TA gained our attention. In line with the work of Mackenzie and colleagues dealing with SCCHN CSC,⁵ ALDH1A1⁺ cells isolated from several of our SCCHN cell lines used in our studies were CD44⁺, tended to have a primitive morphology and clonogenic, whereas ALDH1A1^{neg} cells were not. These

findings combined with the seminal identification of ALDH⁺ breast cancer cells as CSC⁶ prompted focusing our attention on targeting CSC in human cancers with ALDH1A1-specific CTL.⁷

Targeting ALDH^{bright} Cells with ALDH1A1-Specific CTL

In ensure the purity of the ALDH⁺ cells being analyzed in our studies, ALDH^{bright} cells, which have a mean fluorescence intensity (MFI) twice that of the bulk ALDH⁺ cell population in a sample, were isolated. Re-analysis showed that sorted ALDH^{bright} cells were ~95% ALDH⁺, whereas sorted “bulk” ALDH⁺ cells consisted of only 65% ALDH⁺ cells. Critically, the ALDH^{bright} cells sorted from HLA-A2⁺ breast MDA-MB-231, SCCHN PCI-13 and pancreas MIA PaCa-2 cell lines were tumorigenic. Furthermore, qRT/PCR analysis of sorted ALDH^{bright} cells from these cell lines indicated the dominant expression of ALDH1A1 mRNA relative to other ALDH1/3 isoform mRNA.

Most importantly, whereas recognition of SCCHN cell lines by ALDH1A1-specific CTL in ELISPOT IFN γ assays requires pretreatment with IFN γ (to upregulate antigen processing and presentation), recognition of sorted ALDH^{bright} cells does not. One presumes this is due to elevated ALDH1A1 expression in these

cells and sufficient HLA class I/ALDH1A1 peptide complexes for CTL recognition and detection in this assay. The research subsequently was facilitated by (1) using artificial antigen presenting cells to induce

ALDH1A1-specific CTL from normal donor and patient-derived lymphocytes⁸; T-cell effector yields were 10 to 30× that obtained previously, and (2) direct detection of the elimination by

ALDH1A1-specific CTL of ALDH⁺/ALDH^{bright} cells in cell lines and disaggregated xenografts and surgically removed patient lesions samples in a flow-based ALDEFLUOR assay (Fig. 1).

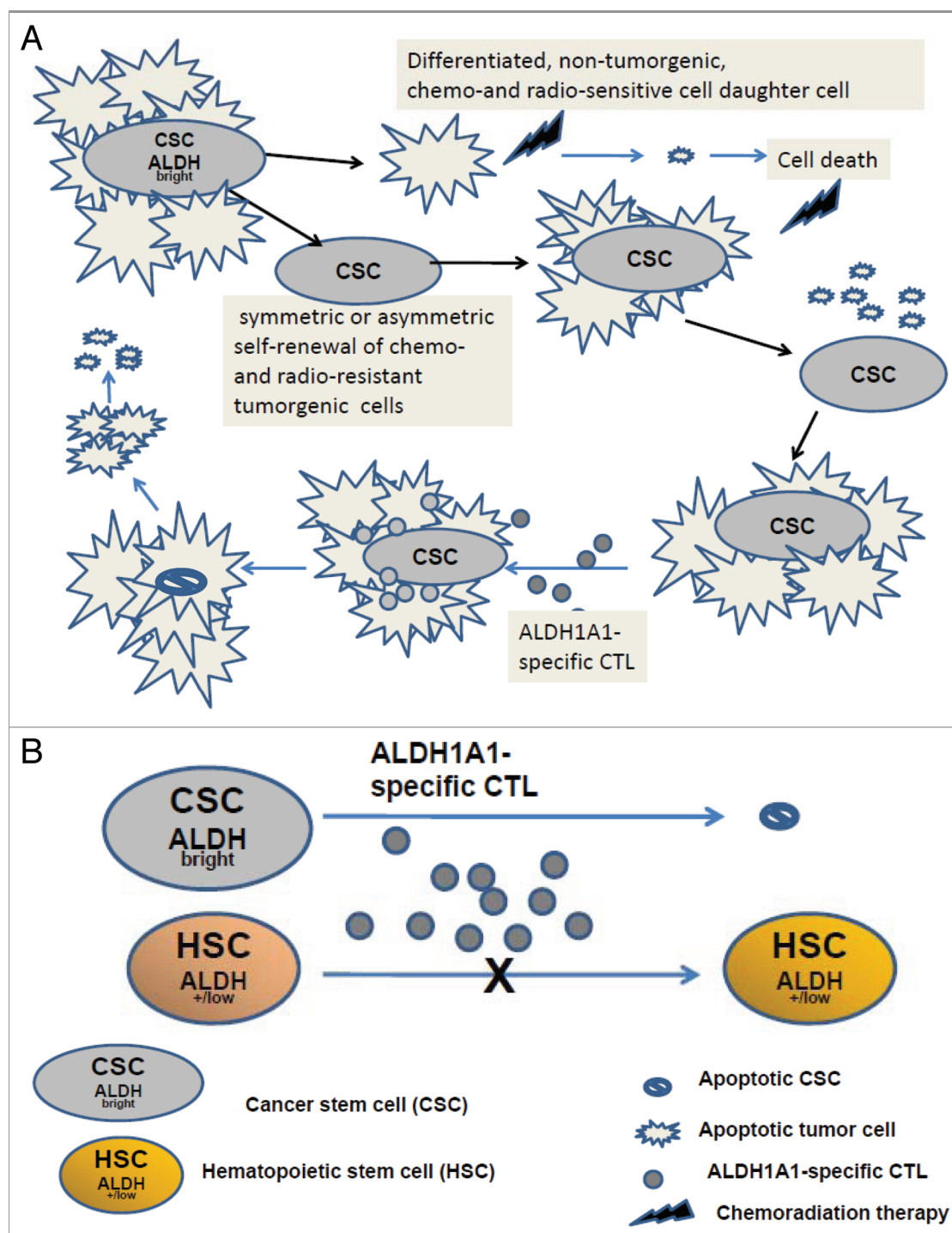


Figure 1. ALDH^{bright} CSC but not normal ALDH^{+/low} cells are recognized by HLA-A2 restricted, ALDH1A1-specific CTL. (A) Unlike bulk population of tumor cells, ALDH^{bright} CSC are resistant to chemoradiation therapy but sensitive to ALDH1A1-specific CTL resulting in control of tumor growth. (B) Relative to levels of ALDH1A1 expressed by ALDH^{bright} CSC, normal CD34⁺ HSC are ALDH^{+/low} and not recognized by ALDH1A1-specific CTL.

Of the *in vivo*-based assays performed to show the efficacy of ALDH1A1-specific CTL-based therapy performed in collaboration with Dr. Hui Wang's laboratory, particularly important was adoptive transfer of CTL to mice following surgical removal of primary MDA-MB-231-derived orthotopic xenografts. These mice generally succumb to metastases within two months. Following their surgery, however, treatment of mice with ALDH1A1-specific CTL until all untreated control mice died increased their survival past seven months.

Given that CD34⁺ hematopoietic stem cells (HSC) express ALDH1A1,³ starting

from our initial study, it has been critical to show that HSC are not recognized by ALDH1A1-specific CTL. To date, there is no indication that HSC, even pretreated with IFN γ , are recognized *in vitro* by ALDH1A1-specific CTL; most likely, because the ALDH1A1 level is lower than in tumor cells. In ancillary mouse experiments, immunization of mice with dendritic cells transfected with *aldh1a1* cDNA (mouse ALDH1A1 homolog) induced *aldh1a1*-reactive T cells and had no overall effect on hematopoiesis using absolute numbers and CD4⁺/CD8⁺ T cell ratios as surrogate markers.

Future Directions

Future research efforts require the use of primary tumors arising in genetically modified mouse models of human cancer to demonstrate efficacy of ALDH1A1-based-active and passive immunotherapy in eliminating CSC and controlling tumor growth and metastases. However, any type of therapy promotes tumor escape. To minimize this, we are investigating the efficacy of combinatorial strategies to target CSC by combining ALDH1A1-specific CTL with stem cell-signaling pathway inhibitors⁹ and antibody-based therapies.

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