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#### 1 **Comparative ACE2 variation and primate COVID-19 risk** 2 Amanda D. Melin<sup>1,2,3\*+</sup>, Mareike C. Janiak<sup>1,3\*</sup>, Frank Marrone III<sup>4</sup>, Paramjit S. Arora<sup>4</sup>, & James P. 3 Higham<sup>5,6+</sup> 4 5 6 1 Department of Anthropology and Archaeology, University of Calgary, CA 7 2 Department of Medical Genetics, University of Calgary, CA 8 3 Alberta Children's Hospital Research Institute, University of Calgary, CA 9 4 Department of Chemistry, New York University, US 5 Department of Anthropology, New York University, US 10 11 6 New York Consortium in Evolutionary Primatology, New York, US 12 13 \* These authors contributed equally to this work 14 15 +Authors for correspondence: 16 17 Dr Amanda Melin, Department of Anthropology and Archaeology, University of Calgary, 2500 18 University Drive, Calgary, Alberta T2N 1N4, CA 19 20 Dr James Higham, Department of Anthropology, New York University, 25 Waverly Place, New 21 York, NY 10003, US 22 23 Abstract 24 The emergence of the novel coronavirus SARS-CoV-2, which in humans is highly infectious and 25 leads to the potentially fatal disease COVID-19, has caused hundreds of thousands of deaths and 26 huge global disruption. The viral infection may also represent an existential threat to our closest 27 living relatives, the nonhuman primates, many of which are endangered and often reduced to

small populations. The virus engages the host cell receptor, angiotensin-converting enzyme-2 (ACE2), through the receptor binding domain (RBD) on the spike protein. The contact surface of ACE2 displays amino acid residues that are critical for virus recognition, and variations at these critical residues are likely to modulate infection susceptibility across species. While infection studies are emerging and have shown that some primates, such as rhesus macaques and vervet monkeys, develop COVID-19-like symptoms when exposed to the virus, the susceptibility of many other nonhuman primates is unknown. Here, we show that all apes, including chimpanzees,

35 bonobos, gorillas, and orangutans, and all African and Asian monkeys (catarrhines), exhibit the 36 same set of twelve key amino acid residues as human ACE2. Monkeys in the Americas, and 37 some tarsiers, lemurs and lorisoids, differ at significant contact residues, and protein modeling 38 predicts that these differences should greatly reduce the binding affinity of the ACE2 for the virus, 39 hence moderating their susceptibility for infection. Other lemurs are predicted to be closer to 40 catarrhines in their susceptibility. Our study suggests that apes and African and Asian monkeys, 41 as well as some lemurs are all likely to be highly susceptible to SARS-CoV-2, representing a 42 critical threat to their survival. Urgent actions have been undertaken to limit the exposure of Great 43 Apes to humans, and similar efforts may be necessary for many other primate species.

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# 46 Introduction

47 In late 2019 a novel coronavirus SARS-CoV-2 emerged in China. In humans, this virus can lead to the respiratory disease COVID-19, which can be fatal<sup>1,2</sup>. Since then, SARS-CoV-2 has spread 48 49 around the world, causing widespread mortality, and with major impacts on societies and 50 economies. While the virus and its resulting disease represent a major humanitarian disaster, 51 they also represent a potential existential risk to our closest living relatives, the nonhuman 52 primates. Transmission incidences of bacteria and viruses - including another coronavirus (H-53 CoV-OC43) - from humans to wild populations of nonhuman primates have previously caused 54 outbreaks of Ebola, yellow fever, and fatal respiratory diseases, leading in some cases to mass mortality<sup>3–9</sup>. Such past events raise considerable concerns among the global conservation 55 56 community with respect to the impact of the current pandemic<sup>10</sup>.

57 Infection studies of rhesus monkeys, longtailed macagues, and vervets as biomedical 58 models have made it clear that at least some nonhuman primate species are permissive to SARS-59 CoV-2 infection and develop symptoms in response to infection that resemble those of humans following the development of COVID-19, including similar age-related effects<sup>11-16</sup>. Recognizing 60 61 the potential danger of COVID-19 to nonhuman primates, the International Union for the 62 Conservation of Nature (IUCN), together with the Great Apes section of the Primate Specialist 63 Group, released a joint statement on precautions that should be taken for researchers and caretakers when interacting with great apes<sup>17</sup>. However, the risk for many primate taxa remains 64 unknown. Here we begin to assess the potential likelihood that our closest living relatives are 65 susceptible to SARS-CoV-2 infection. 66

67 While the biology underlying susceptibility to SARS-CoV-2 infection remains to be fully 68 elucidated, the viral target is well established. The SARS-CoV-2 virus binds to the cellular receptor

69 protein angiotensin-converting enzyme-2 (ACE2), which is expressed on the extracellular surface 70 of endothelial cells of diverse bodily tissues, including the lungs, kidneys, small intestine and renal 71 tubes<sup>18</sup>. ACE2 is a carboxypeptidase whose activities include regulation of blood pressure and 72 inflammatory response through its role in cleaving the vasoconstrictor angiotensin II to produce 73 angiotensin 1-7 and triggering varied downstream responses<sup>19-22</sup>. ACE2 is made up of a signal 74 sequence at the N-terminus (residues 1-17), a transmembrane sequence at the C-terminus 75 (residues 741-762), and an extracellular region, which contains a zinc metallopeptidase domain 76 (residues 19–611) and a collectrin homolog (residues 612-740)<sup>23,24</sup>.

77 Characterizations of the infection dynamics of SARS-CoV-2 have demonstrated that the 78 binding affinity for the human ACE2 receptor is high, which is a key factor in determining the 79 susceptibility and transmission dynamics. When compared to SARS-CoV, which caused a serious global outbreak of disease in 2002-2003<sup>25,26</sup>, the binding affinity between SARS-CoV2 and ACE2 80 is estimated to be between 4-fold<sup>27-30</sup> and 10- to 20-fold greater<sup>31</sup>. Recent reports describing 81 82 structural characterization of ACE2 in complex with the SARS-CoV2 spike protein receptor binding domain (RBD)<sup>27-30</sup> allow identification of the key binding residues that enable the host-83 84 pathogen protein-protein recognition. Following initial binding of the virus to the ACE2 receptor, 85 humans experience a great deal of variation in response to infection, with some individuals 86 experiencing relatively mild symptoms, while others experience major breathing problems and 87 organ failures, which can lead to death. Some of this response is known to be linked to variation 88 in how the immune system responds to infection, with some individuals experiencing a 89 hyperinflammatory 'cytokine storm', which in turn aggravates respiratory failures and increases mortality risk<sup>32,33</sup>. There may also be some variation among humans in initial susceptibility to 90 infection, such that approaches examining variation in ACE2 tissue expression and gene 91 sequences can offer insight into variation in human susceptibility to COVID-19<sup>34–37</sup>. Similarly, we 92 93 can use such an approach to compare sequence variation across species, and hence try to predict 94 the likely interspecific variation in susceptibility to initial infection. Previous analysis of comparative 95 variation at these sites enabled estimates of the affinity of the ACE2 receptor for SARS-CoV in 96 nonhuman species (bats)<sup>38</sup>.

97 Here, we undertake such an analysis for SARS-CoV-2 across the primate radiation. Our 98 aim is to investigate the likelihood of initial susceptibility to infection for different major radiations 99 and species, while recognizing that down-stream processes such as immune responses are likely 100 to determine the extent to which species and individuals develop symptoms and pathologies in 101 response to infection. We compiled *ACE2* gene sequence data from 29 primate species for which 102 genomes are publicly available, covering primate taxonomic breadth. For comparison, we

assessed 4 species of other mammals that have been tested directly for SARS-CoV2 103 susceptibility in laboratory infection studies<sup>39</sup>. We also included in our analysis the amino acid 104 105 sequence variation at these sites for horseshoe bats, thought to be the original vector of the virus, 106 and pangolins, a potential intermediate host, where viral recombination may have led to the novel 107 viral form SARS-CoV-2<sup>40</sup>. We assessed the variation at amino acid residues identified as critical 108 for ACE2 recognition by CoV RBD, and undertook analysis of positive selection and protein 109 modeling to gauge the potential for adaptive differences and the likely effects of protein variation. 110 Our aim was to develop predictions about the susceptibility of our closest living relatives to SARS-111 CoV-2 as a resource for stakeholders, including researchers, caretakers, practitioners, 112 conservationists, and governmental and nongovernmental agencies.

113

### 114 Methods

### 115 Variation in ACE2 sequences

116 We compiled ACE2 gene sequences for 16 catarrhine primates: 4 species from all 3 genera of 117 great ape (Gorilla, Pan, Pongo), 2 genera of gibbons (Hylobates, Nomascus), and 10 species of 118 African and Asian monkeys in 7 genera (Cercocebus, Chlorocebus, Macaca, Mandrillus, Papio, 119 Rhinopithecus, Piliocolobus, Theropithecus); 6 genera of platyrrhines (monkeys from the 120 Americas: Alouatta, Aotus, Callithrix, Cebus, Saimiri, Sapajus); 1 species of tarsier (Carlito 121 syrichta): and 5 genera of strepsirrhines (lemurs and lorisoids: Eulemur. Daubentonia. 122 Microcebus, Propithecus, Otolemur) (Suppl. Table S1). We also included 4 species of mammals 123 that have been tested clinically for susceptibility to SARS-CoV-2 infection<sup>39</sup>, including the 124 domestic cat (Felis catus), dog (Canis lupus familiaris), pig (Sus scrofa), and ferret (Mustela 125 putorius furo). Finally, we included the pangolin (Manis javanica) and several bat species, 126 including horseshoe bats (Rhinolophus spp., Hipposideros pratti, Myotis daubentonii). Sequences 127 were retrieved from NCBI, either from annotations of published genomes or from GenBank entries<sup>38</sup>. We manually checked annotations by performing tblastn searches of the human ACE2 128 129 protein sequence against each genome. We identified one misannotation for exon 15 in 130 Microcebus murinus, which we manually corrected. The ACE2 nucleotide sequence for Alouatta 131 palliata was obtained from an unpublished draft genome, via tblastn searches using the Cebus 132 capucinus ACE2 protein sequence as a query and default search settings. Accession numbers for sequences retrieved from NCBI and GenBank are provided in Supplemental Table S1 and the 133 134 Alouatta palliata sequence is available in the supplemental materials.

135 Coding sequences were translated using Geneious Version 9.1.8 and we aligned both 136 nucleotide and amino acid sequences with MAFFT<sup>41</sup>. Amino acids were aligned with the BLOSUM62 scoring matrix, while the 200 PAM scoring matrix was used for nucleotides. A 1.53
gap open penalty and an offset value of 0.123 were used for both. We manually inspected and
corrected any misalignments, and verified the absence of indels and premature stop codons.

140 To visualize patterns of gene conservation across taxa and identify the congruence of the 141 ACE2 gene tree with currently accepted phylogenetic relationships among species, we 142 reconstructed trees using both Bayesian (MrBayes 3.2.642) and Maximum Likelihood (RAxML 143 8.2.11<sup>43</sup>) methods with 200,000 MCMC cycles and 1,000 bootstrap replicates, respectively (code 144 available on GitHub<sup>44</sup>). Gene trees were compared to a current species phylogeny assembled using TimeTree<sup>45</sup>, which is also used to illustrate the evolutionary relationships between study 145 146 species in Figure 1. Parsimony-informative sites along the ACE2 sequence were identified with the pis function in the R package ips v. 0.0.11<sup>46,47</sup>. 147

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# 149 Identification of critical binding residues and species-specific ACE2–RBD interactions

150 Critical ACE2 protein contact sites for the viral spike protein receptor binding domain (RBD) have been identified using cryo EM and X-ray crystallography structural analysis methods<sup>27–30</sup>. The 151 152 ACE2-RBD complex is characteristic of protein-protein interactions (PPIs) that feature extended 153 interfaces spanning a multitude of binding residues. Experimental and computational analyses of PPIs have shown that a handful of contact residues can dominate the binding energy landscape<sup>48</sup>. 154 155 Alanine scanning mutagenesis provides an assessment of the contribution of each residue to 156 complex formation<sup>49-51</sup>. Critical binding residues can be computationally identified by assessing 157 the change in binding free energy of complex formation upon mutation of the particular residue to 158 alanine, which is the smallest residue that may be incorporated without significantly impacting the 159 protein backbone conformation<sup>52</sup>. Our computational modeling utilizes the human SARS 160 RBD/ACE2 high resolution structures, and we make the implicit assumption that the overall 161 conformation of ACE2 is conserved among different species. This assumption, which is rooted in 162 the high sequence similarity between ACE2 sequences, allows us to use the structure of the 163 complex to predict the impact of mutations at the protein-protein interface.

We defined critical residues as those that upon mutation to alanine decrease the binding energy by a threshold value  $\Delta\Delta G_{bind} \ge 1.0$  kcal/mol. Nine of the 21 residues identified by alanine scanning as involved in the ACE2-RBD complex met this criterion (Suppl. Table S2). There was large congruence in the sites identified with those highlighted by other methods. Each of the eight sites implicated by cryo EM<sup>27</sup>, were also detected by alanine modelling; five residues were  $\ge 1.0$ kcal/mol threshold and 3 were below this threshold. To be cautious, in addition to the the 9 critical ACE2 sites we identified through alanine scanning, we also examined residue variation at the 3 sites that fell below the  $\geq 1.0$  kcal/mol threshold but that were identified as important by structural analyses<sup>27–30</sup> for a total of 12 critical sites. All computational alanine scanning mutagenesis analyses were performed using Rosetta software<sup>52</sup>. The alanine mutagenesis approach has been extensively evaluated and used to analyze PPIs and design their inhibitors, including by members of the present authorship<sup>53,54</sup>.

We utilized the SSIPe program<sup>55</sup> to predict how ACE2 amino acid differences in each 176 177 species would affect the relative binding energy of the ACE2/SARS-Cov-2 interaction. Using 178 human ACE2 bound to the SARS-Cov-2 RBD as a benchmark (PDB 6M0J), the program mutates 179 selected residues and compares the binding energy to that of the original. Using this algorithm, 180 we studied interactions of all primates across the full suite of amino acid changes occurring at 181 critical binding sites for each species. To more thoroughly assess the impact of each amino acid 182 substitution, we also examined the predicted effect of individual amino acid changes (in isolation) 183 on protein-binding affinity.

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# 185 Adaptive evolution of ACE2 sequences

186 We further investigated ACE2 and how selective pressures in different clades might be shaping variation at the binding sites, using codeml clade C and branch-site models in PAML<sup>56</sup>. We first 187 188 tested if selection acting on ACE2 is divergent between the major clades in our sample 189 (platyrrhine, catarrhine, and strepsirrhine primates, non-primate mammals) with the codeml clade model C, which was compared to the null model (M2a rel) with a likelihood ratio test<sup>57</sup>. This test 190 191 shows whether there is divergent selection (dN/dS ratio =  $\omega$ ) across all clades, but not which 192 clades are experiencing positive selection. We, therefore, followed the clade model with a series 193 of branch-site models, which allow one clade at a time to be designated as a set of "foreground" 194 branches and test whether this clade has experienced episodes of positive selection compared 195 to the remaining sets of "background" branches ( $\omega_{\text{foreground}} > \omega_{\text{background}}$ ). Branch-site models are 196 compared to a null model that fixes  $\omega$  at 1 with a likelihood ratio test. In the case of the alternative 197 model having a significantly better fit than the null model, indicating positive selection, potential sites under positive selection are identified with a Bayes Empirical Bayes (BEB) approach<sup>58</sup>. We 198 199 completed branch-site models for each primate clade (platyrrhine, strepsirrhine, and catarrhine), 200 as well as bats, because previous research has identified ACE2 to be under positive selection in this clade, potentially in response to coronaviruses<sup>59</sup>. We had to exclude *Hipposideros pratti* and 201 202 Myotis daubentonii from PAML analyses, because only a partial ACE2 sequence was available

for these two species. Input files and control files for PAML codeml analyses are available in the
 GitHub repository<sup>44</sup>.

- 205
- 206 Results

# 207 Variation in ACE2 sequences

The *ACE2* gene (2418 bp) and translated protein (805 amino acids) sequences are strongly conserved across primates. The average pairwise identity across 29 primate species is 93.6% for

the ACE2 nucleotide sequence and 90.8% for the protein sequence, with a pairwise similarity

211 (BLOSUM62 ≥ 1) of 95.3% (Suppl. Tables S3-5). Out of 2418 bp, 1631 bp (67.5%) are identical,

212 while 401 bp (16.58%) are phylogenetically informative sites for primates, and gene trees we 213 generated (Suppl. Fig. S1a,b) closely recapitulate the currently accepted phylogeny of primates 214 (Figure 1). In particular, the twelve sites in the ACE2 protein that are critical for binding of the 215 SARS-CoV-2 virus are invariant across the Catarrhini, which includes great apes, gibbons, and 216 monkeys of Africa and Asia (Figure 1). Furthermore, catarrhines do not vary at any of the 21 sites 217 identified by alanine scanning (Suppl. Table S2, Suppl. Fig. S2). The other major radiation of 218 monkeys, those found in the Americas (Platyrrhini), have ACE2 sequences that are less similar 219 to humans across the length of the protein (91.68-92.55% identical to H. sapiens, Suppl. Table 220 S4) but conserved within their clade (average pairwise identity 97.2%, Suppl. Table S4). They 221 share nine of twelve critical amino acid residues with catarrhine primates; the three sites that vary 222 from catarrhines, H41, E42 and T82, are conserved within the platyrrhines. Strepsirrhine primates 223 and tarsiers, were more variable in the binding sites and less similar to the human protein across 224 the length of the sequence (81.86-86.93% pairwise identity, Suppl. Table S4). Like platyrrhines, 225 the tarsier (Carlito syrichta), mouse lemur (Microcebus murinus), and galago (Otolemur garnettii) 226 have an H41 residue, while the sifaka (Propithecus coquereli), aye-aye (Daubentonia 227 madagascariensis), and the blue-eyed black lemur (Eulemur flavifrons) have the same allele as 228 humans and other catarrhines, Y41.

In non-primate mammals, a higher number of amino acid substitutions are evident (77.37-85.22% pairwise identity to *H. sapiens*, Suppl. Table S4), including at critical binding sites. All species possess a different residue to primates at site 24. Bats are exceptionally variable within the binding sites, with the genus *Rhinolophus* alone encompassing all of the variation seen in the rest of the non-primate mammals. Where primates have glutamine (Q24), bats have glutamate (E24), lysine (K24), leucine (L24), or arginine (R24) (Figure 1). All fasta alignments of ACE2 gene and protein sequences are available in the supplemental materials, a full-length protein alignmentis shown in Suppl. Figure S2, and distance matrices are provided in Suppl. Table S3-5.

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# 238 Analysis of species-specific residues on ACE2–RBD interactions

239 The ACE2 receptors of all catarrhines have identical residues to humans at the RBD/ACE2 240 binding interface across all 12 critical sites and are predicted to have similar binding affinity for 241 SARS-CoV-2. Platyrrhines diverge from catarrhines at three of the twelve critical amino acid 242 residues. Compared to catarrhine ACE2, the platyrrhines' ACE2 is predicted to bind SARS-CoV2 243 RBD with roughly 400-fold reduced affinity ( $\Delta\Delta G_{bind}$ =3.5 kcal/mol) (Table 1a). In particular, the 244 change at site 41 from Y to H found in monkeys in the Americas has the largest impact of any 245 residue change examined (Table 1b), which alone is predicted to lead to a 25-fold decrease in 246 the binding affinity to SARS-CoV-2 (Figure 2). This single mutation combined with additional 247 substitutions, especially Q42E, found in platyrrhines is predicted to significantly reduce the 248 likelihood of successful viral binding (Table 1b). Of the other primates modeled, two of the three 249 strepsirhines, and tarsiers, also have the H41 residue and furthermore have additional protein 250 sequence differences leading to further decreases in predicted binding affinity. The predicted 251 binding affinity of tarsier ACE2 is the most dissimilar to humans and this primate might be the 252 least susceptible of the species we examine. In contrast, Coquerel's sifaka (Propithecus 253 coquereli), the ave-ave (Daubentonia madagascariensis), and blue-eved black lemur (Eulemur 254 flavifrons) share the same residue as humans and other catarrhines at site 41 and have projected 255 affinities that are near to humans (Table 1b). Other mammals included in our study - ferrets, cats, 256 dogs, pigs, pangolin and two of the seven bat species (R. pusillus and R. macrotis) - show the 257 same residue as humans (Y) at site 41, with accompanying strong affinities for SARS-CoV-2. The 258 remaining five sister species of bats possess H41 and lower binding affinities (Table 1b).

259

# 260 Adaptive evolution of ACE2 sequences

We find evidence that the selective pressures acting on *ACE2* are not equivalent across the major clades in our analysis. The codeml clade model C provided a better fit than the null model (LRT = 26.726, p < 0.001; Table 2, Suppl. Table S6). Branch-site models indicate that the catarrhine primate clade (LRT = 14.546, p < 0.001) and bat clade (LRT = 42.649, p < 0.001) are both under positive selection, while platyrrhines (LRT = 0.633, p = 0.427) and strepsirrhines (LRT = 0.833, p = 0.361) are not. The six positively selected sites in the bat clade include the binding site 24 and two others adjacent to known binding sites (Table 2). In catarrhines, the three positively selected sites identified by BEB calculations are not near the binding sites for SARS-Cov-2 (residues 249,
653, and 658; Table 2).

270

#### 271 Discussion

272 Our results strongly suggest that catarrhines - all apes, and all monkeys of Africa and Asia, are 273 likely to be susceptible to infection by SARS-CoV-2. There is high conservancy in the protein 274 sequence of the target receptor, ACE2, including uniformity at all identified and tested major 275 binding sites. Indeed, even among the 21 residues identified in our full list of potential binding 276 points, catarrhines are invariant (Suppl. Table 2, Suppl. Fig. S2). Consistent with our results, 277 infection studies show that rhesus monkeys (Macaca mulatta), longtailed macagues (M. 278 fascicularis) and vervets (Chlorocebus sabaeus) are permissive to infection by SARS-CoV-2, and ao on to develop COVID-19 like symptoms<sup>11–14,16</sup>. Our results based on protein modeling offer 279 280 potentially better news for monkeys in the Americas (platyrrhines). There are three differences in 281 amino acid residues between platyrrhines and catarrhines, and two of these, H41Y and E42Q 282 show strong evidence of being impactful changes. These amino acid changes are modeled to 283 reduce the binding affinity between SARS-CoV-2 and ACE2 by ca. 400-fold. Recent clinical 284 analysis of viral shedding, viremia, and histopathology in catarrhine (macague) versus platyrrhine 285 (marmoset, Callithrix jacchus) responses to inoculation with SARS-CoV-2, show much more 286 severe presentation of disease symptoms in the former, strongly supporting our results<sup>13</sup>. Similar 287 reduced susceptibility is predicted for tarsiers, and two of the five lemurs and lorisoids 288 (strepsirrhines). What is concerning is three of the analyzed lemurs spanning divergent lineages 289 - the Coquerel's sifaka, the ave-ave, and the blue-eyed black lemur - are more similar to 290 catarrhines at important binding sites, including possessing the high risk residue variant at site 291 41, and as such are also predicted to be susceptible. Nonetheless, these are only predicted 292 results based on amino acid residues, and protein-protein interaction models. We urge extreme 293 caution in using our analyses as the basis for relaxing policies regarding the protection of 294 platyrrhines, tarsiers or any strepsirrhines. Experimental assessment of synthetic protein interactions can now occur in the laboratory e.g.<sup>60</sup>, and confirmation of our model predictions 295 296 should be sought before any firm conclusions are reached.

Emerging evidence in experimental mammalian models appears to support our results; dogs, ferrets, pigs, and cats have all shown some susceptibility to SARS-CoV-2 but have demonstrated variation in disease severity and presentation, including across studies<sup>39,61</sup>. Substitutions at binding sites might be at least partially protective against COVID-19 in these mammals. For example, the limited experimental evidence to date suggests that while cats -

302 which have the same residue as humans at site 34 - are not strongly symptomatic, they present lung lesions, while dogs - which have a substitution at this site - do not<sup>39</sup>. The amino acid residue 303 304 at site 24 differs from primates in all other mammalian species examined. However, our models 305 suggest that the variant residues may confer relatively minor reductions in binding affinity. Other 306 sources of variation may affect ACE2 protein stability<sup>35</sup>. Our results are also consistent with 307 previous reports that ACE2 genetic diversity is greater among bats than that observed among 308 mammals susceptible to SARS-CoV-type viruses. This variation has been suggested to indicate 309 that bat species may act as a reservoir of SARS-CoV viruses or their progenitors<sup>38</sup>. Intriguingly, 310 all but 2 bat species we examined have the putatively protective variant, H41. Additionally, results 311 of our codeml branch-site analysis support previous findings of ACE2 in bats being under positive selection, including sites within the binding domain of SARS-CoV and SARS-CoV-2<sup>59</sup>, which may 312 be evidence of host-virus coevolution. Sites showing evidence of positive selection within 313 314 catarrhine ACE2 sequences were not in or near known CoV binding sites (Table 2, Figure 1). Two 315 (residues 653, 658) fall within the cleavage site (residues 652-659) utilized by the sheddase ADAM17, known to interact with ACE2<sup>62</sup>. However, neither of the residues under selection are 316 the amino acids targeted by ADAM17<sup>63</sup> leaving the functional significance of evolution at these 317 318 sites uncertain. Further clinical and laboratory study is needed to fully understand infection 319 dynamics.

320 There are a number of important caveats to our study. Firstly, all of our predictions are 321 based on interpretations of gene and resultant amino acid sequences, rather than based on direct 322 assessment of individual responses to induced infection. Nonetheless, the overall pattern of our 323 results is being borne out by infection studies on a few species that are used as biomedical 324 models. So far, all catarrhine species tested by infection studies, including rhesus macaques, 325 longtailed macagues, and vervet monkeys<sup>13,14,64</sup> have exhibited COVID-19-like symptoms in response to infection, including large lung and other organ lesions<sup>13</sup> and cytokine storms<sup>14</sup>. In 326 contrast, marmosets did not exhibit major symptoms in response to infection<sup>13</sup>. While these results 327 328 support and validate our findings based on ACE2 sequence interpretation, the number of primate 329 species that can and will be tested directly by infection studies will be restricted to just a handful. 330 Our study enhances this picture, by allowing inferences to be made across the primate radiation, 331 backed up by the published infection studies on a few target model species.

332 Some of our results, such as the uniform conservation of *ACE2* among catarrhines, 333 backed up by the demonstrated high susceptibility of humans and other catarrhines to SARS-334 CoV-2, should give a good degree of confidence of high levels of risk.

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336 Given the identical residues of humans to other apes and monkeys in Asia and Africa at 337 the target site, it seems unlikely that the ACE2 receptor and the SARS-CoV-2 proteins would not 338 readily bind. Our results for other taxa are dependent on modeling, hence should be treated more 339 cautiously. This includes all interpretations of the susceptibility of platyrrhines and strepsirrhines, 340 where the effects of residue differences on binding affinities have been estimated based on 341 protein-protein interaction modeling. Another caveat is that we have modeled only interactions at 342 binding sites, and not predictions based on full residue sequence variation. Residues that are not 343 in direct contact may still affect binding allosterically. Other factors, including proteases necessary 344 for viral entry, and other viral targets, may also impact disease susceptibility and responses<sup>35</sup>. 345 More generally, if adhering to the precautionary principle, then our results highlighting higher risks 346 to some species should be taken with greater gravity than our results that predict potential lower 347 risks to others. Another limitation of our study is that we have looked at only 29 primate species, 348 albeit with broad taxonomic scope. Analysis of additional species is important, especially among 349 strepsirrhine species, where our coverage is relatively scant. In particular, the residue overlap at 350 important binding sites in the sequences of Coquerel's sifaka, the aye-aye, and blue-eyed black 351 lemur with those of catarrhines suggests many lemurs may be highly vulnerable and we 352 underscore the need to assess a wider diversity of lemur species. Furthermore, we examine only 353 one individual per species, and intraspecific variation across populations should be considered; 354 however, studies on intraspecific ACE2 variation with humans and vervet monkeys suggest ACE2 355 variants are low in frequency<sup>65–67</sup>. Finally, it is also important to remember that our study assesses 356 only the potential for initial binding of the virus to the target site. Downstream consequences of 357 infection may differ drastically based on species-specific proteases, genomic variants, metabolism, and immune system responses<sup>68,69</sup>. In humans, the development of COVID-19 can 358 359 lead to a pro-inflammatory cytokine storm of hyperinflammation, which may lead to some of the 360 more severe impacts of infection<sup>32,70</sup>. Nonetheless, it is evidence from the hundreds of thousands 361 of deaths and global lockdown that humans are highly susceptible to SARS-CoV-2 infection, and 362 our results suggest that all apes and monkeys in Africa and Asia are similarly susceptible.

Many endangered primate species are now only found in very small population sizes<sup>71</sup>. For example, there are believed to be only around 1000 mountain gorillas left in their entire range<sup>72</sup>. With such small populations, the introduction of a new highly infectious disease is a potential extinction-level event. Re-opening access to habituated great ape groups for tourism purposes, which may be critical to local economies<sup>73</sup>, may be fraught with issues. IUCN best practices recommend that tourists stay at least 7 metres away from great apes<sup>74</sup>, but in practice, almost all tourists get far closer than this - for example, the average distance that tourists get from

mountain gorillas at the Bwindi Impenetrable National Park in Uganda is just 2.76 metres<sup>75</sup>. 370 371 Concerted effort may be required by all stakeholders to try to avoid the introduction of SARS-CoV-2 into wild primate populations<sup>10</sup>. Recent measures suggested by the IUCN for researchers 372 373 and caretakers of great ape populations include: ensuring that all individuals wear clean clothing 374 and disinfected footwear; providing hand-washing facilities; requiring that a surgical face mask be 375 worn by anyone coming within 10 meters of great apes; ensuring that individuals needing to cough 376 or sneeze ideally leave the area, or at least cough/sneeze into the crux of their elbows; imposing 377 a 14-day guarantine for all people arriving into great ape areas who will come into frequent close 378 proximity with them<sup>17</sup>. The IUCN's 'Best Practice Guidelines for Health Monitoring and Disease 379 Control in Great Ape Populations' should also be followed<sup>76</sup>.

380 Our results suggest that dozens of nonhuman primate species, including all of our closest relatives, are likely to be highly susceptible to SARS-CoV-2 infection, and vulnerable to its effects. 381 382 Major actions may be needed to limit the exposure of many wild primate populations to humans. 383 This is likely to require coordinated input from all stakeholders, including local communities, 384 international and national governmental agencies, nongovernmental conservation and 385 development organizations, and academics and researchers. While the focus of many at this time 386 is rightly on mitigating the humanitarian devastation of COVID-19, we also have a duty to ensure 387 that our closest living relatives do not suffer extinctions, or massive population declines, in 388 response to yet another human-induced catastrophe.

# 389 Data Availability Statement

390 Nucleotide and protein sequences used in this study are available from NCBI and are also 391 available as fasta files and alignments in the supplemental material and on Github 392 (<u>https://github.com/MareikeJaniak/ACE2</u>). All code used in this project is available in the same 393 repository.

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### 400 Author Contributions

401 ADM, JPH, and MCJ designed the study. ADM and JPH wrote the paper with input and edits from

402 MCJ and PSA. MCJ conducted genetic analyses with input from ADM. FM ran the protein

- 403 substitution models with input from PSA. All authors have approved the final submission for
- 404 publication.

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**Table 1.** Results of computational protein-protein interaction experiments predicting impact of amino acid changes, relative to human ACE2 residues, at critical binding sites with SARS-CoV-2 receptor binding domain. Impacts of changes across the full complement of critical binding sites are presented in (A), single residue replacements are presented in (B).

- 585
- 586

A)

Species	Mutations	∆∆G (kcal/mol)ª
Carlito syrichta	H34Q, Y41H, M82S, K353N	5.506
Microcebus murinus	D30E, H34N, Y41H, M82T	4.001
Propithecus coquereli	M82T	0.938
Otolemur garnettii	H34R, D38E, Y41H, M82T	3.815
Monkeys (Americas)	Y41H, Q42E, M42T	3.506

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B)

Mutation	ΔΔG (kcal/mol)ª
Y41H	1.929
Q42E	0.954
M82T	0.938
D38E	0.651
Q24L	-0.753
H34L	-0.566
H34Y	-0.139
D30E	0.692

- <sup>a</sup>Mutations were analyzed with SSIPe server (https://zhanglab.ccmb.med.umich.edu/SSIPe/)
- and PDB file 6M0J.

591

Model	Foreground Branch	W	proportion of sites	LRT	p	positively selected sites <sup>a,b</sup>
clade C	n/a	$\omega_0 = 0.059,$ $\omega_1 = 1.000,$ $\omega_2 = 0.081,$ $\omega_3 = 1.123,$ $\omega_4 = 0.236,$ $\omega_5 = 1.346$	$p_0 = 0.581,$ $p_1 = 0.331,$ $p_{2-5} = 0.089$	26.726	<0.001	n/a
branch- site	platyrrhines	background: $\omega_0 = 0.076,$ $\omega_1 = 1.000,$ $\omega_{2a} = 0.076,$ $\omega_{2b} = 1.000;$ foreground: $\omega_0 = 0.076,$ $\omega_1 = 1.000,$ $\omega_{2a} = 6.218,$ $\omega_{2b} = 6.218$	$p_0 = 0.638,$ $p_1 = 0.359,$ $p_{2a} = 0.002,$ $p_{2b} = 0.001$	0.633	0.427	none
	catarrhines	background: $\omega_0 = 0.075$ , $\omega_1 = 1.000$ , $\omega_{2a} = 0.075$ , $\omega_{2b} = 1.000$ ; foreground: $\omega_0 = 0.075$ , $\omega_1 = 1.000$ , $\omega_{2a} = 8.988$ , $\omega_{2b} = 8.988$	$p_0 = 0.631,$ $p_1 = 0.356,$ $p_{2a} = 0.009,$ $p_{2b} = 0.005$	14.546	0.0001	249M (0.962*), 653A (0.958*), 658V (0.957*)
	strepsirrhines	background: $\omega_0 = 0.072,$ $\omega_1 = 1.000,$ $\omega_{2a} = 0.072,$ $\omega_{2b} = 1.000;$ foreground: $\omega_0 = 0.072,$ $\omega_1 = 1.000,$ $\omega_{2a} = 1.384,$ $\omega_{2b} = 1.384$	$p_0 = 0.607,$ $p_1 = 0.316,$ $p_{2a} = 0.051,$ $p_{2b} = 0.027$	0.833	0.361	none
	bats	background: $\omega_0 = 0.075$ , $\omega_1 = 1.000$ , $\omega_{2a} = 0.075$ , $\omega_{2b} = 1.000$ ; foreground: $\omega_0 = 0.075$ , $\omega_1 = 1.000$ , $\omega_{2a} = 10.535$ , $\omega_{2b} = 10.535$	$p_0 = 0.626,$ $p_1 = 0.338,$ $p_{2a} = 0.024,$ $p_{2b} = 0.013$	42.649	<0.001	24Q (0.998**), 31E (0.959*), 35E (0.974*), 298V (0.959*), 568L (0.998**), 575G (0.965*)

592	Table 2. Results of codemI anal	vses of adaptive evolution	across ACE2 gene sequences
JJZ		yous of adaptive evolution	deress neer gene sequences.

<sup>a</sup>Sites with posterior probability >0.95 are shown. <sup>b</sup>The amino acid shown reflects the residue

594 present at the site in the first sequence of the alignment (*Alouatta palliata*).



**Figure 1.** ACE2 protein sequence alignment and evolutionary relationships of study species. Branch lengths represent evolutionary distance (time, in millions of years) estimated from TimeTree<sup>45</sup>. We outline amino acid residues at critical binding sites for the SARS-CoV-2 spike receptor binding domain. Solid outlines highlight sites predicted to have the most substantial impact on viral binding affinity. Notably, protein sequences of catarrhine primates are highly conserved, including uniformity among amino acids at all binding sites. Primate species that are able to be successfully infected with COVID-19 are indicated in red. Predicted susceptibility to COVID-19 for other primates is additionally coded by terminal branch colors.



**Figure 2.** Model of human ACE2 in complex with SARS-CoV-2 RBD. Key ACE2 interfacial residues are highlighted. (A). Interactions at critical binding sites 41 and 42 are shown for the residues found in all catarrhines (apes and monkeys in Africa and Asia); (B), and for the residues found in all platyrrhines (monkeys in the Americas) (C). The dashed lines indicate predicted hydrogen bonding interactions. Y41 participates in extensive van der Waals and hydrogen bonding interactions with RBD; these interactions are abrogated with histidine. Q42 side chain amide serves as a hydrogen acceptor and donor to contact RBD; change to glutamic acid diminishes the hydrogen bonding interactions.