# Photocatalytic Reductive Radical-Polar Crossover for a Base-Free Corey-Seebach Reaction 

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#### Abstract

A metal-free generation of carbanion nucleophiles is of prime importance in organic synthesis. Herein we report a photocatalytic approach to the Corey-Seebach reaction. The presented method operates under mild redox-neutral and base-free conditions giving the desired product with high functional group tolerance. The reaction is enabled by the combination of photo- and hydrogen atom transfer (HAT) catalysis. This catalytic merger allows a C-H to carbanion activation by the abstraction of a hydrogen atom followed by radical reduction. The generated nucleophilic intermediate is then capable of adding to carbonyl electrophiles. The obtained dithiane can be easily converted to the valuable $\alpha$-hydroxy carbon$y l$ in a subsequent step. The proposed reaction mechanism is supported by emission quenching, radical-radical homocoupling and deuterium labeling studies as well as by calculated redox-potentials and bond strengths.


Ketones bearing a hydroxy group in alpha position are a common structural moiety in several natural products and potential drugs. ${ }^{[1]}$ A classical method to synthesize this class of compound is the well-known Corey-Seebach umpolung first reported in $1965 .{ }^{[2]}$ In their seminal and subsequent work, they describe the deprotonation of dithianes by a strong base, yielding an acyl anion equivalent. This intermediate is capable of reacting with various electrophiles, including carbonyl compounds opening easy access to $\alpha$-hydroxy ketones upon deprotection (Scheme 1 A). ${ }^{[3]}$
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A more novel approach to generate acyl anion equivalents
 zoin self-condensation is, in some cases, a severe side reaction, especially when a ketone is the targeted electrophile and so far, only specific activated ketones could be used in an intermolecular reaction using NHC catalysis. ${ }^{[5]}$ Thus, the Corey-Seebach umpolung is still widely applied for the synthesis of natural products ${ }^{[6]}$ as well as for the construction of smaller molecules today. ${ }^{[7]}$ Accordingly, over the last two decades, significant efforts have been made to advance the dithiane method introduced by Corey and Seebach. Among others, ${ }^{[8]}$ an anion relay strategy ${ }^{[9]}$ and the combination with metal- ${ }^{[10]}$ and organocatalysis ${ }^{[11]}$ (Scheme 1B) have been developed. Additionally, specific dithianes could be used as radical precursors in photocatalytic transformations for an addition to double bonds (Scheme 1C). ${ }^{[12]}$
(A) Corey-Seebach reaction (1965)

(B) Dithianes in metal- (Walsh et al. 2014) and organocatalysis (Terada et al. 2015)

(C) Dithianes in photocatalysis (Nishida, Akita, Leow and Cozzi et al. 1990-2017)

(D) This work: Dithianes in combination of photo- and HAT catalysis


Scheme 1. Corey-Seebach reaction and the use of dithianes as substrate in different catalytic systems.

Despite the advances mentioned above, the deprotonation of aliphatic dithianes still requires strong organometallic bases (e.g. nBuLi), as the masked acyl anion exhibits a pKa value of approx. 40 (1,3-dithiane in DMSO). ${ }^{[13]}$ This limitation prohibits the presence of base- and nucleophile-sensitive functional groups such as halides, esters and nitriles. Likewise, the stoichiometric use of an organometallic reagent generates undesired waste including metal salts.

In order to circumvent these issues, the dithiane would need to be activated by other means than direct deprotonation. With the $\mathrm{C}-\mathrm{H}$ bond being in alpha position to two sulfur atoms it should be susceptible to a facile hydrogen atom transfer (HAT) opening an alluring alternative activation pathway. ${ }^{[14]}$ This solution is especially attractive, as in recent years, the combination of photo- and HAT-catalysis enabled the development of several novel reactions and allowed the use of catalytic amounts of a hydrogen abstracting reagent (HAT-catalyst) instead of a stoichiometric quantity. ${ }^{[15]}$

Last year, we reported that radicals generated by the combination of photo- and HAT-catalysis can be reduced to render an anionic intermediate capable of reacting with electrophiles. ${ }^{[16]}$ So far, this method was limited to substrates stabilizing the radical and the anion intermediate by an aromatic group. We wondered if this concept is applicable to aliphatic dithianes for a base-free Corey-Seebach reaction, hence featuring a novel and improved approach for a classic and established strategy (Scheme 1D). Other photocatalytic methods to access carbon nucleophiles from $\mathrm{sp}^{3} \mathrm{C}-\mathrm{H}$ bonds are rare, and require a catalytic ${ }^{[17]}$ or stoichiometric ${ }^{[18]}$ amount of a chromium source. To the best of our knowledge, the here presented method is the first example for a photocatalytic Corey-Seebach reaction via a carbon nucleophile under metal- and basefree reaction conditions.
The investigation was started employing 2-methyl-1,3-dithaine (1a) and acetone (2a) as simple model substrates (Table 1). Gratifyingly, with 3DPA2FBN as photocatalyst and $i \mathrm{Pr}_{3} \mathrm{SiSH}$ as HAT-catalyst the product was obtained in $32 \%$ GCyield (entry 1 ) using DMF as solvent in the presence of $4 \AA$ molecular sieve at $25^{\circ} \mathrm{C}$. Increasing the concentration (entry 2 ) improved the reaction outcome slightly, while lowering the temperature to $0^{\circ} \mathrm{C}$ proved to be crucial (entry 3). The presence of bis(neopentyl glycolato)diboron $\left(\mathrm{B}_{2}\right.$ neop $\left._{2}\right)$ as mild Lewis acid was beneficial as well (entry 4). After completion of the reaction, degradation products of the HAT-catalyst were observed by GC-MS. Thus, the addition of a second catalyst loading was tested as well, resulting in a modest yield gain (entry 5). Overall, an almost full conversion with a good GC-yield of $73 \%$ translating into an isolated yield of $65 \%$ was achieved. Control experiments revealed the necessity of the combination of light, photo- and HAT-catalyst (entries 6-8). The full detailed optimization process is given in the Supporting Information.
With the optimized conditions, the substrate scope was established (Table 2). The reaction was successful with unsubstituted 1,3-dithiane ( $\mathbf{1} \mathbf{b}$ ) as the nucleophile, the alkyl chain could be prolonged ( 1 c ) and an additional heteroatom giving rise to other potentially cleavable C-H bonds did not hamper the reaction ( $\mathbf{1 d}$ ). Indeed, the reaction tolerates base or nucle-

|  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 0 | 3DPA2FBN (3 mol\%) ${ }^{2} \mathrm{Pr}_{3} \mathrm{SiSH}$ ( $10 \mathrm{~mol} \%$ ) Additive (15 mol\%) |  |  |
|  |  |  | Dry DMF, 455 nm LED $4 \AA$ mol. sieves, Temp. |  | RO |
|  | $\begin{gathered} \mathbf{1 a} \\ (1 \mathrm{eq} .) \end{gathered}$ | $\begin{gathered} 2 \mathrm{a} \\ (10 \mathrm{eq} .) \end{gathered}$ |  |  | 3aa |
| Entry | Additive | Conc. 1 a [mм] | Temp. <br> [ ${ }^{\circ} \mathrm{C}$ ] | $\begin{aligned} & \text { Yield }{ }^{[b]}[\%] \\ & 3 \text { aa } \end{aligned}$ | $\begin{aligned} & \text { Conv. }^{[b]}[\%] \\ & 1 \mathrm{a} \end{aligned}$ |
| 1 | - | 100 | 25 | 32 | 48 |
| 2 | - | 200 | 25 | 39 | 59 |
| 3 | - | 200 | 0 | 59 | 76 |
| 4 | $\mathrm{B}_{2} \mathrm{neop}_{2}$ | 200 | 0 | 65 | 79 |
| $5{ }^{[c]}$ | $\mathrm{B}_{3}$ neop $_{2}$ |  | 0 | 73 (65) | 87 |
| $6^{[d]}$ | $\mathrm{B}_{2} \mathrm{neop}_{2}$ |  | 0 | n.d. | 3 |
| $7{ }^{\text {[e] }}$ | $\mathrm{B}_{2}$ neop $_{2}$ |  | 0 | n.d. | 1 |
| $8^{[f]}$ | $\mathrm{B}_{2} \mathrm{neop}_{2}$ | 200 | 0 | n.d. | 1 |

[a] Reactions were performed with 1 a ( $200 \mu \mathrm{~mol}, 1$ equiv.) and 2 a ( $2.00 \mathrm{mmol}, 10$ equiv.) in degassed dry DMF ( $1-2 \mathrm{~mL}$ ) in the presence of $4 \AA$ molecular sieve ( 50 mg ) and a reaction time of 16 h . [b] Determined by GC-FID with $n$-decane as internal standard. [c] After 4 h 3DPA2FBN ( $2 \mathrm{~mol} \%$ ) and $i \mathrm{Pr}_{3} \mathrm{SiSH}(10 \mathrm{~mol} \%)$ were added, the reaction time was prolonged to 19 h . [d] Reaction stirred in the dark. [e] In absence of 3DPA2FBN. [f] In absence of $i \mathrm{Pr}_{3} \mathrm{SiSH}$.
ophile sensitive cyano- ( $\mathbf{1 e}$ ) and ester functionalities ( $\mathbf{1 g}$ ) as well as halogen substituted aromatic moieties ( $1 \mathrm{~h}-\mathrm{j}$ ) and an unsubstituted phenyl ring ( 1 f ).

The yield generally improves when increasing the equivalents of the electrophile. However, a maximum yield is reached at a specific concentration, which cannot be increased by raising the electrophile concentration any further. Thus, evaluating the electrophile scope, the optimal amount of reactant for every substrate was tested. Prolonging the alkyl chain was viable (2b), while an additional substituent in $\alpha$-position decreased the yield due to an increased steric hindrance ( $\mathbf{2 c}$ ). Cyclic Ketones ( $\mathbf{2 d - f}$ ) and the presence of a heteroatom ( $\mathbf{2} \mathbf{f}$ ) were both well accepted. A double bond within the electrophile $(\mathbf{2 g})$ gave the desired product despite a potential radical addition as side reaction and ketones bearing a phenyl ring with various substituents ( $\mathbf{2} \mathbf{h}-\mathbf{k}$ ) were all tolerated. An exception is the presence of a free - OH group ( $\mathbf{2 l}$ ), as the anionic dithiane intermediate is likely to be protonated by the fairly acidic alcohol ( $\mathrm{pKa}=18.0$ for phenol in DMSO) ${ }^{[19]}$ rather than adding to its ketone moiety. Accordingly, a protected alcohol yielded the wanted product ( $\mathbf{2 k}$ ). In terms of nitrogen containing functionalities, a Boc-protected amine ( $\mathbf{2 m}$ ), a tertiary amine ( $\mathbf{2} \mathbf{n}$ ) and an amide (20) were viable electrophiles. $\mathrm{ACF}_{3}$ substituted cyclohexane ( $\mathbf{2 p}$ ) gave rise to a diastereomeric product mixture separable by chromatography. An X-ray crystal structure could verify the structure of the syn-isomer (syn-3ap). With a substrate containing both, an electrophilic ketone and ester group ( $\mathbf{2 q}$ ) the nucleophilic addition proceeded exclusively at the more reactive ketone moiety, yielding the corresponding lactone product ( $\mathbf{3} \mathbf{a q}$ ) resulting from an attack of the formed alcohol to the ester. Aldehydes were suitable electrophiles as well ( $\mathbf{2 r} \mathbf{r} \mathbf{t}$ ). In this case, an excess of dithiane was
(
required, due to a competing hydrogen atom abstraction at the carbonyl group ${ }^{[20]}$ leading to side reactions (tested lowand non-yielding substrates are listed in section 5 of the Supporting Information).
The described transformation is sensitive to steric hindrance, especially regarding the nucleophile (Scheme 2). A sterically demanding dithiane bearing a cyclohexyl ring ( $\mathbf{1 k}$ ) could not be added to acetone giving the desired product $\mathbf{3 k a}$. The same was observed with a phenyl ring as substituent (1I). We reasoned that adding an electron donating methoxy substituent ( 1 m ) should increase the reactivity of the formed nucleophilic intermediate and indeed isolatable amounts of product 3 ma were formed in this case.
Consequently, we tested aldehydes as sterically more accessible and reactive electrophiles for $\mathbf{1 k} \mathbf{k} \mathbf{m}$, due to their ineffective addition to ketones. As expected, the desired products for all three nucleophile precursors ( $\mathbf{3 k r - 3} \mathbf{~ m r}$ ) could be isolated in moderate to good yields. With the reactive $\mathrm{C}-\mathrm{H}$ bond of 1 l and 1 m being in a benzylic position and alpha to both sulfur atoms, it is highly susceptible to HAT and the aldehyde could be added in slight excess. In contrast, an excess of dithiane $\mathbf{1 k}$ was required to arrive at a reasonable yield of $3 \mathbf{k r}$, however, most of the surplus starting material could be recovered after completion of the reaction (Scheme 2).
We decided to exploit these findings testing the selectivity of the reaction (Scheme 3). A substrate bearing both, a sterical-
ly demanding and an open dithiane site was selectively functionalized at the more accessible position (3 na). A substrate bearing a benzylic as well as an aliphatic dithiane moiety could be selectively functionalized at the benzylic position (3or), as the HAT activation step is likely to occur at the C-H bond with


Scheme 2. Sterically hindered dithianes as nucleophile precursors.


Scheme 3. Selective functionalization of dithianes with two reactive sites.
a lower BDE. However, an aldehyde is required as reaction partner in this case. No product could be isolated with acetone as electrophile.
Lastly, the dithiane deprotection to valuable $\alpha$-hydroxy ketones was investigated for selected examples. Among others, photocatalytic oxidations, ${ }^{[21]}$ as well as an elegant $\mathrm{Bi}\left(\mathrm{NO}_{3}\right)_{3} \cdot 5 \mathrm{H}_{2} \mathrm{O}$ catalyzed method ${ }^{[22]}$ have already been developed by other groups. After a preliminary optimization (see Supporting Information), both strategies afforded the desired product in good yields, with the $\mathrm{Bi}\left(\mathrm{NO}_{3}\right)_{3} \cdot 5 \mathrm{H}_{2} \mathrm{O}$ method being more efficient (Scheme 4). A one-pot procedure starting with the photocatalytic Corey-Seebach reaction followed by the deprotection as the second step is possible, yet low yielding (see Supporting Information Scheme S3).


Scheme 4. Dithiane deprotection.

In the proposed mechanism, the oxidation of the HAT-catalyst by the excited photocatalyst is the first step. This hypothesis was supported by emission quenching studies indicating an interaction between the excited 3DPA2FBN and $i \mathrm{Pr}_{3} \mathrm{SiSH}$ in the presence of $4 \AA$ molecular sieve (Figure 1 A , left).
The same effect was not observed using 1a (Figure 1A, right) or 2a (Figure S5). The activated HAT catalyst (S-H BDE: $\left.88.9 \mathrm{kcal} \mathrm{mol}^{-1}\right)^{[23]}$ should then abstract a hydrogen atom from the dithiane substrate. The corresponding radical-radical homocoupling side product 5 could be detected by HRMS for 1 m as a selected example after the reaction reached completion. A higher quantity could be detected by NMR when omitting the electrophile (Figure 1 B ).
Ultimately, the reduced photocatalyst ( $\mathrm{E}_{1 / 2}$ (3DPA2FBN/ 3 DPA2FBN ${ }^{-}$) $=-1.92 \mathrm{~V}$ vs. SCE$)^{[24]}$ should be capable to transfer an electron to the dithiane radical $\left(\mathrm{E}_{1 / 2}\left(1 \mathrm{a}^{\circ} / 1 \mathrm{a}^{-}\right)=-1.87 \mathrm{~V}\right.$ vs. SCE), ${ }^{[23]}$ giving rise to the carbanion nucleophile. Deuterium labeling studies could experimentally support this step. With deuterated tert-butanol (tertBuOD) as electrophile, the expect-



(c)


Figure 1. A: Emission quenching of 3DPA2FBN by addition of $i \mathrm{Pr}_{3} \mathrm{SiSH}$ (left) and 1 a (right). B: Radical-radical homocoupling of 1 m . The reaction was conducted in $200 \mu \mathrm{~mol}$ scale. C: Deuterium labeling experiments. Reactions run with 1 equiv. set to $200 \mu \mathrm{~mol}$.
ed deuterated dithiane ( $\boldsymbol{d} \mathbf{- 1} \mathbf{d}$ ) could be isolated under the standard reaction conditions with a deuterium incorporation of approx. $70 \%$ (Figure 1C). No deuterium incorporation was observed in absence of the photocatalyst. The dithiane radical (C-H BDE 1a: $\left.88.2 \mathrm{kcalmol}^{-1}\right)^{[23]}$ should not be reactive enough to abstract the deuterium from tertBuOD (O-H BDE: $106.3 \mathrm{kcal} \mathrm{mol}^{-1}$ ), ${ }^{[20 a, 25]}$ whereas an anionic intermediate ( pKa 1,3-dithiane approx. 40 in DMSO) ${ }^{[13]}$ is expected to do so ( pKa tert $\mathrm{BuOH}=32.2$ in $\mathrm{DMSO}^{[26]}$ ), clearly indicating its formation. The generation of an ionic species from a radical intermediate can be dubbed as radical-polar crossover and the application of this strategy in photocatalysis is a currently growing field in interest of several research groups. ${ }^{[27]}$ As shown in Scheme 2, the active nucleophilic species is highly sensitive to steric hindrance and thus less reactive than expected for a classical lithiated dithiane. Therefore, the exact nature of the anionic intermediate is so far unknown and subject of current investigations.

Based on the mechanistic studies and previous reports, following mechanism is proposed (Scheme 5): the excited 3DPA2FBN photocatalyst oxidizes the $\mathrm{Pr}_{3} \mathrm{SiSH}$ HAT catalyst giving rise to the radical anion of 3DPA2FBN and the $i \mathrm{Pr}_{3} \mathrm{SiS}^{\circ}$ radical after deprotonation. The thus activated HAT catalyst abstracts a hydrogen atom from the most labile $\mathrm{C}-\mathrm{H}$ bond, which is in alpha position to both sulfur atoms within the dithiane 1, regenerating the HAT-catalyst and forming the corresponding radical species 1 : The photocatalytic cycle is then closed by reduction of $\mathbf{1}^{\circ}$, yielding anionic key intermediate $\mathbf{1}^{-}$. This carbanion nucleophile is capable to attack non-activated


Scheme 5. Proposed mechanism.
ketones (2) to furnish the desired Corey-Seebach product 3 after protonation of the alcoholate.
In summary, we have developed a photocatalytic Corey-Seebach reaction operating under mild metal- and base-free conditions, employing solely catalytic additives. Base- and nucleophile sensitive functional groups are tolerated and ketones as well as aldehydes are viable electrophiles giving the desired product in moderate to good yields. The reaction is enabled by the combination of photo- and hydrogen atom transfer catalysis. This catalytic merger allows the activation of suitable $\mathrm{C}-\mathrm{H}$ bonds to carbanions capable of reacting with carbonyl electrophiles, the mechanism of which is supported experimentally as well as by calculated redox potentials and bond strengths. The anionic intermediate seems to be less reactive than the classical lithiated species, allowing regio- and chemoselective transformations. However, the exact nature of the carbanion species remains as of now unknown and is under current investigation by time resolved spectroscopy and in situ NMR studies.

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## Conflict of interest

The authors declare no conflict of interest.
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