

Clinical relevance of different WHO grade 3 pancreatic neuroendocrine neoplasms based on morphology

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*(X Han, X Xu and H Ma contributed equally to this work)

Abstract

Purpose: Emerging evidence suggests G3 pancreatic neuroendocrine neoplasms (pNENs) present heterogeneous morphology and biology. The 2017 WHO classification has introduced a new category of well-differentiated pancreatic neuroendocrine tumors (WD-pNETs) G3, compared with poorly differentiated pancreatic neuroendocrine carcinomas (PD-pNECs) G3. We aim to analysis the demographics and outcomes of patients with resectable 2017 WHO G3 pNENs to facilitate the distinction between two entities.

Methods: The multi-institutional retrospective cohort involving 57 surgically treated patients affected by 2017 WHO G3 pNENs were morphologically identified and clinically analyzed. Patients having WD-pNETs G3 and those having PD-pNECs G3 were compared.

Results: Thirty patients had WD-pNETs and 27 patients had PD-pNECs. The distributions of Ki-67 and mitotic count in patients with PD-pNECs or WD-pNETs showed remarkable disparities. ROC indicated cut-off value of Ki-67 was 45. PD-pNECs were more common in patients with elevated Ki-67 and mitotic count, advanced AJCC TNM stage, vascular invasion, regional lymph-node metastases, elevated NSE and decreased CgA levels compared with WD-pNETs (P < 0.05). The association between 2017 WHO G3 grade and TTR was statistically significant (P<0.05). Univariate analysis indicated OS rates were associated with morphologic differentiation (WD-pNETs vs PD-pNECs),

Ki-67, TNM staging, synchronous distant metastases, initial treatments, vascular invasion, regional lymph nodes metastases, mitotic count and age (P < 0.05). Multivariate analyses illustrated Ki-67, differentiation, TNM staging and vascular invasion were independent predictors (P<0.05).

Conclusions: PD-pNECs G3 presented malignant biological behavior and dismal outcome compared with WD-pNETs G3. These findings challenge 2010 WHO classification and suggest the categorization can be improved by refined tumor grading.

Key Words

- pancreatic neuroendocrine neoplasms
- grade 3
- well-differentiated pancreatic neuroendocrine tumors
- poorly differentiated pancreatic neuroendocrine carcinomas
- prognosis

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Introduction

Endocrine

ONNECTIONS

Pancreatic neuroendocrine neoplasms (pNENs) are rare and heterogeneous neoplasms of the pancreas, which make up 1-5% of all pancreatic neoplasms (1, 2). The incidence and prevalence of pNENs have been increasing significantly over the last decades (3, 4). The pNENs present in diverse tumor biology and manifest a wide spectrum of clinical behavior with varying degrees of malignancy.

The 2010 World Health Organization (WHO) classification utilized tumor proliferative ability (both Ki-67 proliferation index and mitotic rate) to divide pNENs into 3 pathological grades: low grade (G1), intermediate grade (G2) and high-grade (G3). According to 2010 WHO classification scheme, high-grade pNENs are defined as a tumor with Ki-67 proliferation index greater than 20% or mitotic rate greater than 20 per 10 high power fields (5). Traditionally, G3 pNENs have been recognized as poorly differentiated pancreatic neuroendocrine carcinomas (PD-pNECs), which further subdivided into small cell and large cell subtype, and were associated with highly aggressive behavior and dismal prognosis (6). However, recent literature indicates that some G3 pNENs containing noticeably different genetic mutational profile have well-differentiated morphology and a distinctly favorable outcome (7, 8). These G3 pNENs were also known as highly proliferative group of WD-pNETs (9). The clinical outcome and therapeutic strategy of WD-pNETs are also notably different from those of PD-pNECs (10).

It is believed that tumor grade may reflect inherent tumor aggressiveness. However, emerging evidence suggests that the 2010 WHO G3 category may not sufficiently distinguish highly proliferative WD-pNETs from PD-pNECs due to the lack of well-defined histologic criteria (11). The well-differentiated and poorly differentiated groups of pNENs have genetically distinct etiologies (12). Besides, current National Comprehensive Cancer Network (NCCN) guidelines recommend platinumbased chemotherapy as the primary treatment for both G3 highly proliferative WD-pNETs and PD-pNECs; however, evolving studies suggested that highly proliferative WD-pNETs with intermediate Ki-67 index may not respond as well to platinum-based chemotherapy as patients with PD-pNECs or extremely high Ki-67 index (10), reflected the existence of a grade-discordant group of high-grade pNENs with unique clinical characteristics and the correlation between the Ki-67 index and effect of platinum-based chemotherapy (13). Furthermore, the role

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As suggested earlier, pNETs and pNECs may overlap in their proliferation index, making the distinction between them difficult and leading to therapeutic uncertainties. Recently, the 2017 WHO classification of pNENs has introduced a new category of WD-pNETs G3, defined by a Ki67 index greater than 20%, to the existing pNEN categories, which was helpful for the distinction of WD-pNETs G3 from PD-pNECs (15, 16). The 2017 WHO classification depicts the main differences in the morphological appearances of the pNENs and their variable proliferative activity. However, due to the rarity of G3 pNENs and tumor heterogeneity, there are few data available from large multicenter for Chinese population. Consequently, it is important to clarify the clinicopathological characteristics of G3 pNENs patients and provide much-needed validation of effectiveness of the 2017 WHO classification. The purpose of this study was to analyze the clinical features and outcomes of patients with resectable heterogeneous G3 pNENs in Chinese population from two large centers' long-term updated experience to facilitate the distinction between two fundamentally different groups of pNENs. This study includes only primary G3 pNENs and excludes MiNEN to maintain a homogeneous population.

Materials and methods

Patient selection

The study protocol was approved by the medical ethical committees of Zhongshan and Changhai hospital who waived the need for patient consent for this study when individual patient consents were not identified. All 57 patients with G3 pNENs were enrolled from two independent institutions. We recruited consecutive 32 patients with G3 pNENs underwent surgery from Jan 2008 to Dec 2016 in Zhongshan Hospital, Fudan University and 25 patients with G3 pNENs underwent surgery in Changhai hospital, Second Military Medical University from Jan 2006 to Dec 2014. Clinical and demographic data were collected by medical record





review in a standardized manner using predefined definitions. The eligibility criteria were as follows: all patients were potentially resectable at baseline; patients had an exact morphological diagnosis of G3 pNENs with specific Ki-67 proliferation index and mitotic rate in primary and/or recurrent/metastatic lesions, as well as well-defined histologic differentiation. Patients were excluded if any of following conditions were present: mixed neuroendocrine–non-neuroendocrine neoplasm (MiNEN); incomplete medical records.

In our series, pathological diagnostic criteria were mainly based on morphology and immunohistochemical assessment, through surgical specimen and intraoperative biopsy by institutional expert pathologists. The Ki-67 proliferative index was expressed as the percentage of Ki-67positive cells in 2000 tumor cells within areas of highest immunostaining using the MIB1 antibody (Dako). Mitotic count was based on counting 50 HPF and in the area of highest mitotic activity and reported as number of mitoses per 10 HPF. In particular, morphologic differentiation refers to the extent of resemblance to the normal cellular counterpart. The diagnosis of nonfunctional tumors refers exclusively to tumors without clinical symptoms of excess specific hormones. In the case of multifocality, the largest lesion size was recorded. Measurement of serum CgA was performed using an enzyme-linked immunosorbent assay kit (CisBio Bioassays, Codolet, France), and serum NSE was measured using an electrochemiluminescence immunoassay automatic analyzer (Roche).

Follow-up

The up-to-date follow-ups were usually repeated within 6 months or at appropriate shorter intervals on the basis of clinical conditions or when tumor relapse or metastasis was suspected. The duration of overall survival (OS) was calculated from the date of operation until tumor-specific death or the patient's last follow-up. The time-to-relapse (TTR) duration was computed from date of remission of R0 resection to recurrence or metastases. The median follow-up time was 2.21 years (range, 0.20–9.12 years).

Statistical analysis

The statistical analyses were performed using the SPSS statistical package version (16.0; SPSS). Student *t* test and Mann–Whitney *U* test were used to compare means when appropriate, whereas Pearson χ^2 test, Fisher exact test and Pearson correlation test were used to compare proportions when appropriate. Data of Ki-67 and mitotic count were

used to construct the receiver operating characteristic (ROC) curve. The area under the ROC curve indicates the capability of indicators to discriminate between WD-pNETs and PD-pNECs. Survival was estimated according to the Kaplan–Meier product limit method and Life Tables method. The log-rank test was used to compare survival curves between different groups. Multivariate analyses using the Cox proportional hazards model were carried out to identify factors independently associated with prognosis. Risk factors were expressed as the hazard ratio (HR, 95% confidence interval (CI)). Statistical significance was defined as P < 0.05.

Results

General data

Among 57 pNENs patients with 2017 WHO G3 grade, 28 patients were men and 29 were women. The median age at diagnosis was 54 years (range, 21–82 years), and the mean (s.D.) age was 52.8 (13.3) years. The median size of the tumor (in the case of multifocality, the largest lesion was recorded) was 3.6 cm (mean (s.D.) size, 4.8 (3.0) cm; range, 1.8–17 cm). In 3 cases, the tumor was multifocal. The majority of G3 pNENs were located in the distal part of the pancreas, followed by the head. Regarding to morphologic differentiation, thirty patients were WD-pNETs G3, whereas 27 patients were PD-pNECs G3. All synchronous distant metastases were hepatic oligometastases have only a few metastatic spot. The median number of metastatic lymph-node was 1 (mean (s.D.) number, 1.2 (1.3); range, 0–7).

Comparison of clinicopathologic characteristics between WD-pNETs G3 and PD-pNECs G3

A comparison of clinicopathological characteristics between patients with WD-pNETs G3 and PD-pNECs G3 is summarized in Table 1. Thirty patients had WD-pNETs G3 and 27 patients had PD-pNECs G3. Regarding the 30 WD-pNETs G3, there were 2 functional tumors (1 insulinoma and 1 VIPoma); the numbers of stage I, stage II, stage III and stage IV were 9, 13, 0 and 8, respectively; the median Ki-67 and mitotic count were 25 and 16.5, respectively (range 1–50 and 2–60). Regarding to the 27 PD-pNECs G3, eight were small cell NECs, and 19 were large cell NECs; the numbers of stage I, stage III and stage IV were 2, 9, 9 and 7, respectively; the median Ki-67 and mitotic count were 50 and 26, respectively (range 5–95 and 10–79).



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Table 1 The clinicopathological characteristics of patients with well differentiated pancreatic neuroendocrine tumors(WD-pNETs) G3 and poorly differentiated pancreatic neuroendocrine carcinomas (PD-pNECs) G3.

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	Median, (range), ug/l	13 8 (11 5–30)	27 6 (15 9–67 9)	0.002

⁺Continuous variant; [#]Fisher's Exact Test.

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Clinical relevance of different G3 pNENs



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Figure 1

Diagnostic values of Ki-67 and mitotic count for different G3 pNENs. (A) The distributions of Ki-67 and mitotic count in patients with PD-pNECs G3 or WD-pNETs G3 showed remarkable disparitie. (B) Diagnostic value of Ki-67 and mitotic count for different G3 pNENs, a ROC graph was obtained to discriminate PD-pNECs from G3 pNENs; for Ki-67 and mitotic count, the area under the curve were 0.87 and 0.71, and cut-off value were 45 and 35, respectively.

The distributions of Ki-67 and mitotic count in patients with PD-pNECs or WD-pNETs showed remarkable disparities (Fig. 1A). To discriminate PD-pNECs from G3 pNENs, our ROC indicated that the cut-off values of Ki-67 index and mitotic count were 45 and 35, and the areas under the curve were 0.87 and 0.71, respectively (Fig. 1B). Considering clinical features, PD-pNECs were more common in patients with elevated Ki-67 index, elevated mitotic count, advanced AJCC TNM stage, vascular invasion and regional lymph-node metastases compared with WD-pNETs (P < 0.05). Interestingly, PD-pNECs were more likely to have elevated serum NSE levels and decreased serum CgA levels (P<0.05). Finally, patients with perineural invasion or nonischemic tumor necrosis were associated with an increased frequency in PD-pNECs, although no statistically significant difference was found (*P*>0.05).

Therapeutic interventions

А

Time to relapse

1.0

0.8

Among the entire G3 cohort, fifty-one patients initially underwent potentially curative resection of primary pNENs with lymph nodes dissection. Initial surgical treatments in combination with other therapies were as follows: eight patients had received hepatectomy, fourteen patients had

WD-pNETs G3

PD-pNECs G3

received hepatic arterial chemoembolization (HACE), four patients had received radiofrequency ablation (RFA), five patients had received Octreotide LAR, three patients had received sunitinib, one patient had received everolimus, seven patients had received chemotherapy and four patients had received radiotherapy. In another subgroup of patients with initial chemotherapy, six biopsyproven patients initially underwent chemotherapy (which included cisplatinum/etoposide or platinum/ oxaliplatin-based regimens). Initial chemotherapies in combination with other therapies were as follows: one patient had received HACE, one patient had received RFA, one patient had received everolimus and one patient had received radiotherapy.

Survival analysis and prognostic factors of G3 pNENs

Among those with complete tumor remission obtained, the relapse rate was 32/49 and the median TTR duration for the total population was 1.37 years (mean relapse time 1.54 ± 1.48 years, range 0.13-7.3 years). Regarding to TTR, The association between 2017 WHO G3 grade and TTR duration was statistically significant, PD-pNECs could reduce TTR compared with WD-pNETs (P=0.029, median





Figure 2

Survival analysis of different G3 pNENs. (A) The comparison of TTR between PD-pNECs G3 and WD-pNETs G3 by Kaplan–Meier analysis. (B) The comparison of OS between PD-pNECs G3 and WD-pNETs G3 by Kaplan–Meier analysis.

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10.0

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Endocrine

At the last follow-up, twenty-nine patients died from tumor recurrence or recurrence-related complications. The 1-, 3- and 5-year OS rates were respectively 84, 60 and 46%. In univariate analysis, OS rates were associated with morphologic differentiation (WD-pNETs vs PD-pNECs), Ki-67 index, AJCC TNM staging, synchronous distant metastases, initial treatments, vascular invasion, regional lymph nodes metastases, mitotic count and age (P < 0.05, Table 2). The 5-year OS of patients with PD-pNECs and WD-pNETs were 6% and 86%, and the median OS were 2.07 and 6.34 years (95% CI: (0.80–3.34) and (4.83–7.85)), respectively (Fig. 2B). In a subgroup analysis, small and large cell morphology is not a prognostic factor for PD-pNECs (P > 0.05). In addition, the median OS of those patients underwent initial surgical treatments and those underwent initial chemotherapy were 5.10 and 0.63 years (95% CI: (3.91-6.30) and (0.55-0.70)), respectively. Multivariate analyses illustrated that Ki-67 index, differentiation, AJCC TNM staging and vascular invasion were independent risk factors for OS (P<0.05, Table 2).

Discussion

The pNENs with high-grade (WHO G3) are generally heterogeneous malignant neoplasms showing a complex pattern of phenotypes. It presents a wide spectrum of clinical features that varies from individuals. WD-pNETs, although mostly presenting low to intermediate grade, could uncommonly contain regions with high proliferation that place them in the WHO G3 category (17). The etiology of WD-pNETs is genetically different from that of PD-pNECs. Several studies have reported genetic alterations in TP53 and RB1 of PD-pNECs (7). In contrast, the bulk of the evidence suggests that the ATRX and DAXX, and MEN-1genes are significantly mutated in most patients with highly proliferative G3 WD-pNETs, as are genes encoding key molecules of the mTOR signaling pathway (18, 19), and these genetic alterations are similar to those observed in the less malignant G1 and G2 WD-pNETs. It seems unlikely that PD-pNECs arise via progression from WD-pNETs with any frequency.

In our study, PD-pNECs were more prone to have elevated Ki-67 and mitotic count, advanced AJCC TNM stage, vascular invasion, regional lymph-node metastases compared with WD-pNETs, implying that PD-pNECs G3 present malignant biological behaviors from various aspects. Also, the distributions of Ki-67 and mitotic

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The majority of patients were nonfunctional G3 pNENs at presentation, and more importantly, most commonly presented with relatively high regional lymph-node metastases rate. Thus, we recommended initial radical surgery with lymph-node dissection to achieve primary R0/R1 resection for potentially resectable G3 pNENs. In survival analysis, our cohort demonstrated initial surgical treatments seemed to achieve a better OS than initial chemotherapy (P < 0.05, HR=0.2, 95% CI: 0.1–1.4). Similar results were found in nonmetastatic NETG3, surgery appeared to be the first option, and chemotherapy regimen should be in line with that implemented for neuroendocrine carcinoma when Ki-67 is above 55% (23). Surgical resection of localized nonmetastatic high-grade pNECs has also been associated with a relatively high 5-year survival of 43% (24). Clinically, the introduction of 2017 WHO G3 WD-pNET category is important because these usually show a poor response to first-line platinum-



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 Table 2
 The clinicopathological characteristics in patients with G3 pNENs: univariate and multivariate survival analyses.

Variable		5-year OS (%)	Univariate <i>P</i> value	Multivariate <i>P</i> value	Multivariate HR for OS	
	N				(95% CI)	
Sex			0.176			
Female	29	61				
Male	28	30				
Functional pNENs			0.967			
Yes	2	NA				
No	55	46				
Differentiation			<0.001	0.006	4.0 (1.2–18.3)	
WD-pNETs	30	86				
PD-pNECs	27	6				
Location			0.174			
Head of the pancreas	23	60				
Distal pancreas	30	38				
Diffusion/retroperitoneum	4	25				
AJCC TNM stage			<0.001	0.022	1.4 (0.6–3.5)	
I	11	100				
II	22	57				
III	9	NA				
IV	15	21				
Regional lymph nodes metastasis			0.001	NS	2.0 (0.8–5.7)	
Yes	31	21				
No	26	70				
Synchronous distant metastases			0.001	NS	1.5 (0.6–8.3)	
Yes	16	19				
No	41	58				
Initial treatments			0.001	NS	0.2 (0.1–1.4)	
Initial surgical resection	51	53				
Initial chemotherapy	6	NA				
Perineural invasion			0.509			
Yes	27	39				
No	29	61				
Vascular invasion			<0.001	0.008	4.3 (1.5–12.8)	
Yes	22	11				
No	35	65				
Nonischemic tumor necrosis			0.385			
Yes	17	35				
No	40	59				
Adjacent organs invasion			0.842			
Yes	24	42				
No	33	49				
Primary multifocal tumors			0.654			
Yes	3	67				
No	54	45				
Ki-67 [†]			<0.001	0.002	1.1 (1.0–1.1)	
Median	30					
Range	1–95					
Mitotic count [†]			0.001	NS	1.0 (0.9–1.1)	
Median	23					
Range	2–79					
Tumor size (cm) [†]			0.272			
Median	3.6					
Range	1.8–17					
Age [†]			0.010	NS	1.1 (1.0–1.1)	
Median	54				· ·	
Range	21-82					

[†]Continuous variant.

NA, not applicable; NS, not significant.

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based chemotherapy which pNECs receive, while they respond favorably to surgery for resectable disease and to somatostatin analogs, everolimus and sunitinib in metastatic disease. Hence, surgical procedure seems to be the first option for potentially resectable G3 pNENs.

In the current study, the 5-year OS rate was 46% from initial baseline, which indicates that potentially resectable G3 pNENs have relatively ominous prognosis even long-term outcome could be reached in some cases when R0 resection were achieved. The relapse rates were 65.3% and the median TTR was 1.37 years, and PD-pNECs could significantly reduce TTR duration compared with WD-pNETs (P < 0.05). These evidences suggest postoperative G3 pNENs patients, especially the PD-pNECs, are at high risk for relapse and metachronous metastases after resection of the primary tumor. We examine dozens of clinicopathologic characteristics in greater detail and confirmed that morphologic differentiation (WD-pNETs vs PD-pNECs), Ki-67 index, AJCC TNM staging, synchronous distant metastases, initial treatments, vascular invasion, regional lymph nodes metastases, mitotic count and age emerged as independent predictors of OS. With respect to morphologic differentiation, well-differentiated lesions have characteristic organoid arrangements of the tumor cells, which are relatively uniform and produce abundant neurosecretory granules. Poorly differentiated lesions resemble less closely non-neoplastic neuroendocrine cells and have a more diffuse architecture and irregular nuclei and less cytoplasmic granularity (25). Thus, it must be acknowledged that, without differentiation, classification of G3 pNENs based on proliferative activity alone, may fail to unmask the underlying pathologic basis of different neoplastic entities, highlighting the necessity of 2017 WHO classification (15, 16).

Whether the small and large cell subtypes of PD-pNECs represent different subtypes or proliferative activities, is unclear so far. Our data showed survival was not different between these two subtypes. Evidence suggests that the presence of regional lymph-node metastases is independently correlated with decreased diseasespecific survival in patients with high-grade pNECs (26). Regarding to synchronous distant metastases, current guidelines do not recommend surgery or debulking surgery for distant metastasis of high-grade pNECs, which can significantly reduce the prospects for long-term survival. However, some literature reported that surgery for metastatic high-grade pNENs may improve survival, with a 3-year survival of 69% among 12 patients (27). Another study demonstrated that aggressive locoregional treatment improves the outcome of liver metastases from

http://www.endocrineconnections.org https://doi.org/10.1530/EC-17-0388 © 2018 The authors Published by Bioscientifica Ltd G3 pNENs (28). Our data showed that comprehensive treatments of liver metastases including hepatectomy, hepatic arterial chemoembolization, RFA, octreotide, sunitinib, everolimus, chemotherapy, radiotherapy would improve clinical outcome with a 5-year OS of 46%. Notably, the vascular invasion has been observed to be an important factor that affected outcome. Similar results were found that vascular invasion is an independent predictor in pNETs and pNECs (29, 30). This may be explained by the tumor biology that G3 pNENs was a highly angiogenic neoplasm, dependent upon activated VEGFR2 signaling pathway (31).

As stated above, our results indicated that WD-pNETs can achieve a proliferative rate within G3 grade complicates the prognostic stratification of heterogeneous pNENs and suggested that introduction of 2017 WHO classification would be necessary. The morphologic differentiation seems to have been fully as important as proliferative activity. More detailed genetic inheritances are needed to unmask subtle differences between PD-pNECs G3 and WD-pNETs G3 in the future.

Declaration of interest

The authors declare that there is no conflict of interest that could be perceived as prejudicing the impartiality of the research reported.

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