



Article

# Cytoplasmic Colocalization of RXR $\alpha$ and PPAR $\gamma$ as an Independent Negative Prognosticator for Breast Cancer Patients

Wanting Shao <sup>1,2,†</sup>, Melitta B. Köpke <sup>3,†</sup>, Theresa Vilsmaier <sup>1</sup>, Alaleh Zati Zehni <sup>1</sup>, Mirjana Kessler <sup>1</sup>, Sophie Sixou <sup>4</sup>, Mariella Schneider <sup>3</sup>, Nina Ditsch <sup>3</sup>, Vincent Cavaillès <sup>5,\*,‡</sup> and Udo Jeschke <sup>1,3,\*,‡</sup>

- Department of Obstetrics and Gynecology, University Hospital, LMU Munich, Marchioninistr. 15, 81377 Munich, Germany; schaowt111@126.com (W.S.); theresa.vilsmaier@med.uni-muenchen.de (T.V.); alaleh.zati@med.uni-muenchen.de (A.Z.Z.); mirjana.kessler@med.uni-muenchen.de (M.K.)
- Department of Breast Center, School of Medicine, The First Affiliated Hospital, Zhejiang University, Hangzhou 310003, China
- Department of Gynecology and Obstetrics, University Hospital Augsburg, Stenglinstr. 2, 86156 Augsburg, Germany; melitta.koepke@uk-augsburg.de (M.B.K.); mariella.schneider@uk-augsburg.de (M.S.); nina.ditsch@uk-augsburg.de (N.D.)
- Faculté des Sciences Pharmaceutiques, Université Toulouse III—Paul Sabatier, F-31062 Toulouse, France; sophie.sixou@inserm.fr
- IRCM-Institut de Recherche en Cancérologie de Montpellier, INSERM U1194, Université Montpellier, Parc Euromédecine, 208 rue des Apothicaires, F-34298 Montpellier, France
- Correspondence: vincent.cavailles@inserm.fr (V.C.); udo.jeschke@med.uni-augsburg.de (U.J.);
  Tel.: +33-4-11-28-31-72 (V.C.); +49-89-4400-55240 (U.J.)
- † These authors should be considered as first co-authors.
- ‡ These authors contributed equally to this work.

Abstract: Retinoid X receptor  $\alpha$  (RXR $\alpha$ ) is a nuclear receptor (NR) which functions as the primary heterodimeric partner of other NRs including the peroxisome proliferator-activated receptor  $\gamma$  (PPAR $\gamma$ ). We previously reported that, in breast cancers (BC), the subcellular localization of these two receptors was strongly associated with patient prognosis. In the present work, we investigated the prognosis value of the combined cytoplasmic expression of RXR $\alpha$  and PPAR $\gamma$  using a retrospective cohort of 250 BC samples. Patients with tumors expressing both NRs in tumor cell cytoplasm exhibited a significant shorter overall (OS) and disease-free survival (DFS). This was also observed for patients with stage 1 tumors. Cox univariate analysis indicated that patients with tumors coexpressing RXR $\alpha$  and PPAR $\gamma$  in the cytoplasm of tumor cells have a decreased 5 y OS rate. Cytoplasmic co-expression of the two NRs significantly correlated with HER2 positivity and with NCAD and CD133, two markers of tumor aggressiveness. Finally, in Cox multivariate analysis, the co-expression of RXR $\alpha$  and PPAR $\gamma$  in the cytoplasm appeared as an independent OS prognosticator. Altogether, this study demonstrates that the cytoplasmic co-expression of RXR $\alpha$  and PPAR $\gamma$  could be of relevance for clinicians by identifying high-risk BC patients, especially amongst those with early and node-negative disease.

**Keywords:** breast cancer; nuclear receptor; RXR $\alpha$ ; PPAR $\gamma$ ; cytoplasmic expression; survival analyses



Citation: Shao, W.; Köpke, M.B.; Vilsmaier, T.; Zati Zehni, A.; Kessler, M.; Sixou, S.; Schneider, M.; Ditsch, N.; Cavaillès, V.; Jeschke, U. Cytoplasmic Colocalization of RXR $\alpha$  and PPAR $\gamma$  as an Independent Negative Prognosticator for Breast Cancer Patients. *Cells* **2022**, *11*, 1244. https://doi.org/10.3390/cells11071244

Academic Editors: Michael Schubert and Pierre Germain

Received: 25 February 2022 Accepted: 29 March 2022 Published: 6 April 2022

**Publisher's Note:** MDPI stays neutral with regard to jurisdictional claims in published maps and institutional affil-...



Copyright: © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/licenses/by/4.0/).

## 1. Introduction

Breast cancer (BC) is the most common malignant tumor in women. One of the greatest challenges for clinicians remains to control BC invasiveness and dissemination in order to improve patient survival [1,2]. Indeed, although the treatment options of BC with surgery, chemotherapy, aromatase inhibitors, hormone receptor modulators, and anti-Human Epidermal Growth Factor Receptor 2 (HER2)-Therapy [3–5] have developed tremendously in recent years, consistently high mortality rates due to tumor metastasis remain [6,7].

Therapies targeting nuclear receptors (NRs) such as the estrogen receptor (ER) and the progesterone receptor (PR) are highly successful personalized BC therapies used both for prevention and treatment [8]. NRs are activated by binding lipophilic hormones and

Cells 2022. 11, 1244 2 of 12

function mainly as transcription factors in the nucleus [9,10]. In addition to the well-established ER and PR, other NRs, including retinoid X receptor (RXR $\alpha$ ), peroxisome proliferator-activated receptor (PPAR), vitamin D receptor (VDR), and others, play notable roles in the pathophysiology of BC and other cancer entities [11–13].

In recent studies, we were able to show that cytoplasmic RXR $\alpha$  expression was significantly correlated with a poor outcome in terms of both overall survival (OS) and disease-free survival (DFS) [14]. In addition, another study by our group described that PPAR $\gamma$  was expressed in almost 60% of our breast cancer panel samples with a predominant cytoplasmic location and that high cytoplasmic PPAR $\gamma$  expression was correlated with short OS in breast cancer patients [15].

Because the interplay between these two NRs was not known concerning the prediction of survival for BC patient, the aim of this study was to reanalyze the combined cytoplasmic expression of RXR $\alpha$  and PPAR $\gamma$  in a retrospective cohort of 250 BC samples and describe its association with various clinical parameters including patient survival.

#### 2. Materials and Methods

#### 2.1. Patients

The data of all included cases (n = 310, 5 cases from patients with bilateral BC) in this retrospective analysis were obtained from the Department of Obstetrics and Gynecology of the Ludwig-Maximilians-University (LMU) Munich, Germany, between 2000 and 2002. No preselection regarding patient or clinical characteristics was made; therefore, it is a representative collective of treated cases in this facility and informed consent to participate was given by all patients. This research was approved by the Ethical Committee of the Medical Faculty, LMU Munich, Germany (approval number 048-08; 18 March 2008). Clinical information was retrieved from the Munich Cancer Registry and samples were encoded during experiments and statistical analysis. All tumors were evaluated according to Union for International Cancer Control (UICC) TNM classification, including pathological tumor size (pT), lymph node involvement (pN), and distant metastasis (M). Grading of the tumor was assessed according to a modification of Elston and Ellis grading proposed by Bloom and Richardson. ER, PR, HER2, and Ki67 were determined at first diagnosis using immunohistochemistry [16].

## 2.2. Immunohistochemical Stainings

Immunohistochemical staining for RXR $\alpha$  and PPAR $\gamma$  was previously described [14,15]. Briefly, sections were first cut and prepared from paraffin-embedded BC samples using standard protocols, followed by incubation in blocking solution (ZytoChem Plus HRP Polymer System Kit, ZYTOMED Systems GmbH, Berlin, Germany). Hereafter incubation with primary antibodies against RXR $\alpha$  [14] (PP-K8508-00, Perseus Proteomics Inc., Tokyo, Japan) or PPAR $\gamma$  [15] (ab59256, Abcam, Cambridge, UK) with a 1:100 dilution for 16 h at 4 °C was performed. Following incubation with a biotinylated secondary anti-rabbit IgG antibody and the associated avidin-biotin-peroxidase-complex (both Vectastain Elite ABC Kit; Vector Laboratories, Burlingame, CA, USA), visualization was performed with 3,3-diamino-benzidine (DAB; Dako, Glostrup, Denmark). Counterstaining of sections with acidic hematoxylin and immediately mounting with Eukitt (Merck, Darmstadt, Germany) was followed by manual analysis with a Diaplan light microscope (Leitz, Wetzlar, Germany) with 25× magnification (see Supplementary Table S1 for precise protocols). A digital CCD camera system (JVC, Tokyo, Japan) was used for obtaining pictures.

#### 2.3. Immunoreactive Score (IRS)

All slides were analyzed in total as large area tissue cuts by two independent blinded observers. The scoring between the two observers differed in 4 cases (n = 1.6%). These cases were re-evaluated by both observers together. After the re-evaluation, both observers came to the same result. The concordance before the re-evaluation was 98.4%. The cytoplasmic staining of RXR $\alpha$  and PPAR $\gamma$  were assessed according to a semiquantitative

Cells 2022. 11, 1244 3 of 12

immunoreactive score (IRS), determined by multiplication of the positive cell proportion score (0 = 0%, 1 = 1-10%, 2 = 11-50%, 3 = 51-80%, and 4 = 81-100% stained cells) and the score of staining intensity (0 = negative, 1 = weak, 2 = moderate, and 3 = strong). For all other markers, staining and IRS were determined without differentiation of nuclear and cytoplasmic staining. A total of 100 cells (3 spots with around thirty cells each) were analyzed for each sample and the IRS corresponded to the mean of the IRS determined on the three spots by the two independent blinded observers.

#### 2.4. Statistical and Survival Analysis

To divide the group into cytoplasmic RXR $\alpha$  negative and positive tumors, a cutoff IRS value of 0.5 was used. Receiver operating characteristic (ROC) curve analyses were performed to calculate the optimal cut-off values between low and high PPAR $\gamma$ expression, based upon the maximum differences of sensitivity and specificity as previously described (3.5 was determined as the cut-off for cytoplasmic PPAR $\gamma$ ). Correlation analyses were performed by calculating the Spearman's-Rho correlation coefficient (p values of Spearman's-Rho test presented).

Kaplan–Meier analyses were used to compare survival times. The observation period was up to 10 years. DFS and OS differences were tested for significance by using the chi-square statistics of the log rank test. Statistical analyses above were performed using SPSS 25 (IBM SPSS Statistics, IBM Corp., Armonk, NY, USA). For all analyses, p values below 0.05 (\*), 0.01 (\*\*), or 0.001 (\*\*\*) were considered statistically significant. The p value and the number of patients analyzed in each group are given for each chart. All risk tables for the different Kaplan-Meier analyses are given in Supplementary Tables S2–S7.

#### 3. Results

#### 3.1. Co-Expression of RXR $\alpha$ and PPAR $\gamma$ in BC Tissues

The expression of RXR $\alpha$  and PPAR $\gamma$  was analyzed by immunohistochemistry (IHC) staining in a cohort of 250 BC samples. The detailed clinical characteristics of this cohort are presented in Table 1.

Median age at initial diagnosis was 57.76 years (range from 26.66 to 94.62 years) and the final follow-up time was cut off at 10 years. During this period, 41 cases experienced local recurrences (13.22%) and 57 patients developed distant metastases (18.39%). Finally, 84 cases died (27.09%) and 14 lost follow-up details (4.52%).

As illustrated in Figure 1, both markers were present in the cytoplasm of tumor cells at low or high levels. The expression of both markers in normal breast tissue is shown in Supplementary Figure S1. As described in the Materials and Method section, for cytoplasmic RXR $\alpha$ , we created two subgroups with negative and positive expression according to the IRS cut-off of 0.5. Using this cut-off, cytoplasmic RXR $\alpha$  staining was observed in 62% (n = 155) of all BC samples. In addition, IRS cut-off of cytoplasmic PPAR $\gamma$  was defined by performing a ROC-curve analysis for 10-year OS (3.5 was determined as the cut-off for cytoplasmic PPAR $\gamma$ ). This cut-off divided the whole cohort in PPAR $\gamma$  low expressing group with 119 cases (47.6%) and high expressing group with 131 cases (52.4%).

When we combined the two markers, we were able to define 4 subgroups, as follows: (1) RXR $\alpha$  negative/PPAR $\gamma$  low expression (n = 49); (2) RXR $\alpha$  negative/PPAR $\gamma$  high expression (n = 46); (3) RXR $\alpha$  positive/PPAR $\gamma$  low expression (n = 70); (4) RXR $\alpha$  positive/PPAR $\gamma$  high expression (n = 85). The later (and most relevant subgroup for the present study) with RXR $\alpha$  positive/PPAR $\gamma$  high expression represented 34% of all BC cases.

Cells **2022**, 11, 1244 4 of 12

**Table 1.** Clinical and pathological characteristics of all patients.

Clinical and Pathological Characteristics <sup>a</sup>	$n = 250^{b}$	
Age, median (years)	57.76	
Molecular subtype (IHC)		
Luminal A ( $Ki67 \le 14\%$ )	135	
Luminal B (Ki67 > 14%)	56	
HER2 positive	16	
HER2 non luminal	9	
Triple negative	33	
Ûnknown	1	
Grade		
I	12	
II	90	
III	41	
Unknown	107	
Tumor size		
pT1	165	
pT2	71	
pT3	4	
pT4	10	
Lymph node metastasis		
Yes	105	
No	140	
Unknown	5	
ER status		
Positive	199	
Negative	51	
PR status		
Positive	145	
Negative	105	
Cytoplasmic PPARγ expression		
Low (IRS < 3.5)	119	
High (IRS > 3.5)	131	
Cytoplasmic RXRα expression		
Negative	95	
Positive	155	

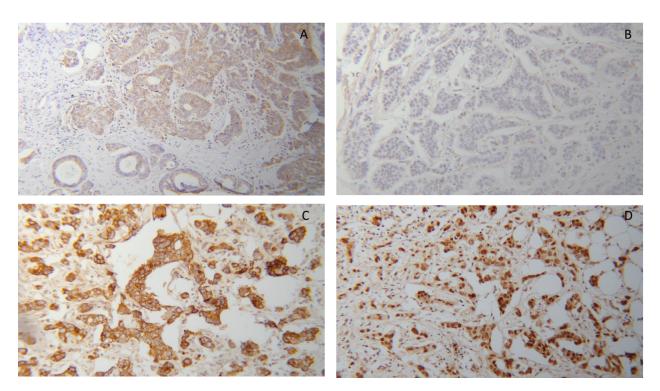
 $<sup>^{</sup>a}$  All information given refer to the primary tumor;  $^{b}$  3 of 247 patients are bilateral primary BC, so we deal with the tumor as individual one (n = 250).

## 3.2. Cytoplasmic RXR $\alpha$ and PPAR $\gamma$ Expression Correlated with Patient 10-Year OS

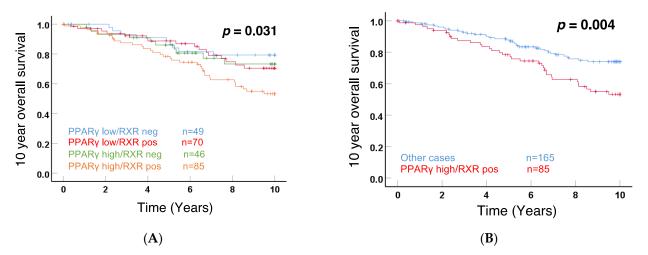
Interestingly, as shown in Figure 2A, Kaplan–Meier analyses demonstrated a significant difference (p=0.031) for patient 10-year OS among these 4 subgroups. The curves illustrated that RXR $\alpha$  positive/PPAR $\gamma$  high subgroup specifically correlated with a poor outcome. The comparison between the RXR $\alpha$  positive/PPAR $\gamma$  high subgroup and the other 3 subgroups is visualized in Figure 2B, showing that the 85 cases with both RXR $\alpha$  and PPAR $\gamma$  cytoplasmic expression were significantly associated with shorter 10-year OS (p=0.004). Another way to present the results is shown in Supplementary Figure S2. When compared to the whole RXR $\alpha$  positive BC subgroup, PPAR $\gamma$  low expression improved the prognosis of these patients with RXR $\alpha$  positive tumors, while PPAR $\gamma$  high expression exhibited an inverse function. In addition, we performed Kaplan–Meier analyses for nuclear staining of RXR $\alpha$ , PPAR $\gamma$ , or combined RXR $\alpha$ /PPAR $\gamma$ , shown in Supplementary Figure S2A–C. Although there was a trend for a correlation of RXR $\alpha$  nuclear staining with good prognosis, significance was not reached.

Altogether, these data demonstrated that the relative expression of cytoplasmic RXR $\alpha$  and PPAR $\gamma$  impacted patient survival in BC, with positive RXR $\alpha$ /high PPAR $\gamma$  being a factor for poor outcome.

Cells 2022, 11, 1244 5 of 12



**Figure 1.** Immunohistochemical detection of PPAR $\gamma$  (**A**,**C**) and RXR $\alpha$  (**B**,**D**) in breast cancer tissues from two patients showing weak (**A**,**B**) or strong (**C**,**D**) expression of PPAR $\gamma$  and RXR $\alpha$  in the same tumor, respectively; all pictures  $10 \times$  lens.



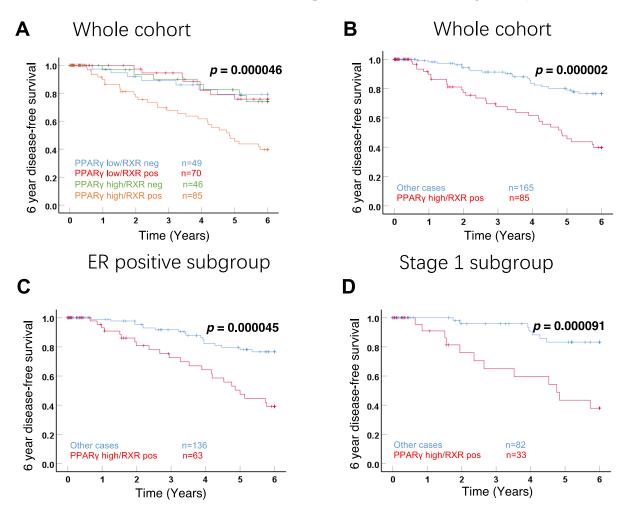
**Figure 2.** The ten-year OS rates for the 250 breast cancer cases with combined RXR $\alpha$ /PPAR $\gamma$  expression divided into 4 subgroups (**A**) and summarized RXR $\alpha$  positive/PPAR $\gamma$  high expression compared to all other cases (**B**) with indication of the respective p-value.

## 3.3. Correlation with DFS in the Whole Cohort and Subgroups

Kaplan–Meier analyses were also performed with the DFS of patients. Focusing on 4 subgroups with different RXR $\alpha$  and PPAR $\gamma$  expression, the positive RXR $\alpha$ /high expression PPAR $\gamma$  subgroup revealed a strong and significant negative impact on 6-year DFS in the whole cohort (Figure 3A, p=0.000046). The similar impaired outcome of positive RXR $\alpha$ /high expression PPAR $\gamma$  was also observed in survival analysis with other 3 subgroups together (Figure 3B, p=0.000002). Moreover, to further analyze this correlation with survival, the whole cohort was stratified according to ER status. The negative influence of positive RXR $\alpha$ /high expression PPAR $\gamma$  on 6-year DFS was also observed for patients with ER-positive tumors (Figure 3C, p=0.000045). Most importantly, we also observed

Cells 2022, 11, 1244 6 of 12

a highly significant correlation with the DFS of patients with stage 1A disease, i.e., with small tumors which had not spread outside the breast (Figure 3D, p = 0.000091).



**Figure 3.** Kaplan–Meier analyses of 6-year disease-free survival for the 4 subgroups with different RXR $\alpha$  and PPAR $\gamma$  expression (**A**), positive RXR $\alpha$ /high expression PPAR $\gamma$  compared to all other cases (**B**) and ER-positive (**C**) or stage 1A (**D**) BCs.

### 3.4. Correlation of Cytoplasmic Positive RXR $\alpha$ /High Expression PPAR $\gamma$ with Clinical Parameters

Tested by Spearman's–Rho analysis, we analyzed the correlation between positive RXR $\alpha$ /high expressionPPAR $\gamma$  and various relevant clinicopathological characteristics, consist of age, tumor size (pT), lymph node status (pN), tumor grade, ER, PR, Her2, Ki-67, focality status. We also took into account the expression of two aggressiveness markers, CD133, a widely used marker for isolating cancer stem cell (CSC), and N-Cadherin (NCAD), a well-known marker for epithelial-to-mesenchymal transition (EMT) [17]. By analyzing the whole cohort (Table 2) as well as the stage 1 subgroup (Supplementary Table S1), positive RXR $\alpha$ /high expression PPAR $\gamma$  was positively correlated with aggressive factors, such as Her2, CD133, and NCAD. No significant associations were observed between positive RXR $\alpha$ /high expression PPAR $\gamma$  and all other parameters.

Cells 2022. 11, 1244 7 of 12

Table 2. Correlations of double cytoplasmic staining (RXR $\alpha$ positive/PPAR $\gamma$ high) with clinical	l
parameters in the whole cohort of 250 BC patients.	

	Correlation Coefficient	р	n
Age	0.002	0.755	250
рT	0.106	0.094	250
pΝ	0.05	0.433	245
Grade	-0.029	0.733	143
ER	-0.0099	0.109	261
PR	-0.034	0.580	261
Her2	0.160 **	0.010	261
Triple-negative	0.074	0.231	262
Ki-67	0.020	0.773	203
Focality	0.035	0.572	262
CD133	0.172 **	0.007	244
NCAD	0.262 ***	0.000036	243

Significant *p*-values are shown by \*\* (*p*-value < 0.01) and \*\*\* (*p*-value < 0.001).

#### 3.5. Cytoplasmic Expression of RXR $\alpha$ /PPAR $\gamma$ as an Independent Prognostic Factor

We first used univariate Cox regression to confirm the trend of poorer survival in patients with positive RXR $\alpha$ /high expression PPAR $\gamma$  (p = 0.005, HR: 1.976, 95% CI: 1.232–3.168) with 5- or 10-year OS rate of 69.41% and 31.76%, respectively (Table 3). In contrast, negative RXR $\alpha$ /low PPAR $\gamma$  in the whole cohort (p = 0.086, HR: 0.541, 95% CI: 0.268–1.090) was related to a favorable prognosis with 5- or 10-year OS rate of 79.59% and 51.02%, respectively.

**Table 3.** Cox univariate survival analyses for hazard ratio (HR) determination and p values in the whole cohort of BC patients.

Group	р	HR	95% CI	5-Year OS Rate	10-Year OS Rate
RXRα neg/PPARγ low	0.086	0.54	0.26 - 1.09	79.59%	51.02%
RXRα neg/PPARγ high	0.507	0.79	0.40 - 1.37	71.74%	32.61%
RXRα pos/PPARγ low	0.394	0.78	0.44 - 1.37	71.43%	35.71%
RXRα pos/PPARγ high	0.005 **	1.97	1.23-3.16	69.41%	31.76%

Significant *p*-values are shown by \*\* (*p*-value < 0.01).

Multivariate analysis was also performed for the whole cohort, using a Cox regression model with cytoplasmic positive RXR $\alpha$ /high expression PPAR $\gamma$  and 6 relevant clinicopathological parameters, namely histology, pT, pN, Grading, ER, and PR (Table 4). Among clinical features, only pN and ER were independent prognostic markers of 10-year OS. Of note, combinational RXR $\alpha$  positive/PPAR $\gamma$  high expression was also regarded as an independent prognostic marker, with a hazard ratio of 2.331 (95% CI: 1.147–4.736) and a strong significance (p = 0.019).

Interestingly, as shown in Table 5, when multivariate analysis was performed in the stage 1A subcohort (using a Cox regression model with the same 7 parameters except pN), cytoplasmic coexpression of RXR $\alpha$  and PPAR $\gamma$  was again an independent prognostic marker of 10-year OS, with a very high significance (p = 0.000079).

Cells 2022, 11, 1244 8 of 12

	р	HR	95% CI
Histology	0.225	1.018	0.989-1.047
pΤ	0.066	1.252	0.985-1.590
pΝ	0.016 *	1.269	1.046-1.541
Grading	0.414	1.363	0.648-2.865
ER	0.242	0.495	0.153-1.607
PR	0.810	1.117	0.453-2.750
Her2	0.167	2.197	0.720-6.708
Triple-negative	0.627	1.489	0.299-7.416
PPARγ high/RXR pos	0.035 *	2.175	1.054-4.487

**Table 4.** Cox multivariate analysis for positive RXR $\alpha$ /high expression PPAR $\gamma$  in the whole cohort.

Significant *p*-values are shown by \* (*p*-value < 0.05).

**Table 5.** Cox multivariate analysis for positive RXR $\alpha$ /high expression PPAR $\gamma$  high expression in the stage 1A cohort.

	p	HR	95% CI
Histology	0.233	0.833	0.618-1.124
pT	0.269	4.441	0.315-62.589
pΝ	-	-	-
Grading	0.332	0.454	0.092-2.238
ER	0.521	0.364	0.017-8.004
PR	0.657	1.810	0.132-24.726
Her2	0.185	7.835	0.374-163.958
Triple-negative	0.487	4.336	0.069-272.193
PPARγ high/RXR pos	0.013 *	10.065	1.644-61.617

Significant p-values are shown by \* (p-value < 0.05).

### 4. Discussion

Within this study, we analyzed the prognosis value of the combined cytoplasmic expression of RXR $\alpha$  and PPAR $\gamma$  in a retrospective cohort of BC patients. Patients with tumors expressing both NRs in tumor cell cytoplasm exhibited a significant shorter overall and disease-free survival and this was also observed for patients with small and localized tumors. Cox univariate analysis indicated that patients with tumors expressing cytoplasmic RXR $\alpha$  and PPAR $\gamma$  had a decreased 5 year-OS rate. Cytoplasmic co-expression of the two NRs significantly correlated with HER2 positivity and with NCAD and CD133, two markers of tumor aggressiveness. Finally, in Cox multivariate analysis, the co-expression of RXR $\alpha$  and PPAR $\gamma$  in the cytoplasm appeared as an independent negative OS prognosticator.

Retinoid X receptors and especially RXR $\alpha$  preferentially form heterodimers with PPAR $\gamma$  bound in the nucleus at specific response elements (proliferator-activated receptor response elements or PPREs) present in target gene promoters [18]. Following activation by its ligands 15d-PGJ2, PPAR $\gamma$  heterodimerizes with RXR $\alpha$  and exerts antigrowth effects in cancer cells [19–22]. The RXR $\alpha$ /PPAR $\gamma$  heterodimer has also been shown to bind directly to nuclear estrogen response elements (ERE) independently of estrogen receptor (ER) activity [23]. The authors propose to evaluate, in postmenopausal women after primary surgery, the effect of combining an RXR-selective retinoid with either troglitazone or a long-chain n-3 polyunsaturated fatty acid (PUFA) on possible tumor progression. The present study indicates that cytoplasmic localization of PPAR $\gamma$  is needed to observe a significant correlation of cytoplasmic RXR $\alpha$  with poor prognosis (see Figure 2A). One can speculate that the RXR $\alpha$ /PPAR $\gamma$  heterodimer exerts specific functions in the cytoplasm, as it has been suggested for PPARs [24].

In a recent study published by our group, investigations on RXR $\alpha$  as a single survival factor revealed a trend association of its nuclear expression with improved OS [14]. Cytoplasmic RXR $\alpha$ , on the other hand, was significantly correlated with a worse prognosis [14]. Interestingly, the different BC sub cohorts in our patient collective showed a significant decrease in OS for triple-negative and HER-2 neu-negative BC tissue that stained positive

Cells **2022**. 11, 1244 9 of 12

for cytoplasmic RXR $\alpha$ . The hypothesis that the shuttling of RXR $\alpha$  out of the nucleus, or RXR $\alpha$  knock-out, may lead to a deterioration of survival is supported by these results [14]. We also reported a correlation between high cytoplasmic PPAR $\gamma$  expression and short OS in general. However, the bad prognostic impact of cytoplasmic PPAR $\gamma$  depends on Cox-1 expression because cytoplasmic PPAR $\gamma$  expression was an independent prognostic parameter of OS only in N-cadherin low and Cox-1 negative tumors [15].

In another study, we were able to show that RXR $\alpha$  and PPAR $\gamma$  are overexpressed in BRCA1 mutated breast cancer cases and predict prognosis [25]. In BRCA1 mutated cases, RXR $\alpha$  was expressed with a prominent nuclear pattern, while PPAR $\gamma$  staining was mainly located in the cytoplasm [25]. Via sumoylation and ubiquitinilation, the BRCA1 protein participates in the degradation of RXR and PPAR $\gamma$  and other nuclear receptors [26–28] and this may explain the overexpression of these receptors in BRCA1 mutated genetic backgrounds [25].

It should be stated that combined analyses of both receptors on tumor development and prognosis are rare. In an early study, Desreumaux et al. showed a protective effect against colon inflammation through activation of the RXR $\alpha$ /PPAR $\gamma$  heterodimer and suggested that, based on their synergistic actions, the combination of RXR $\alpha$  and PPAR $\gamma$ ligands could be a promising therapeutic approach [29]. Another study showed that human multiple myeloma cells expressed PPARγ and underwent apoptosis upon exposure to PPAR $\gamma$  ligands. Interestingly, the RXR $\alpha$  ligand 9-cis retinoic acid (9-cis RA) in combination with PPARγ ligands greatly enhanced multiple myeloma cell killing [30]. Giaginis et al. investigated the association between RXR $\alpha$  and PPAR $\gamma$  expression with clinicopathological parameters, tumor proliferative capacity and patients' survival in pancreatic ductal adenocarcinoma [31]. PPARγ staining intensity was associated with shorter overall survival in univariate analysis and proved to be an independent prognostic factor in multivariate analysis, whereas RXR $\alpha$  failed to predict patient survival [31]. Another study on osteosarcomas showed that activation of RXR $\alpha$  and PPAR $\gamma$  may synergistically inhibit tumor growth and cell proliferation, at least partially by inducing osteoblastic differentiation of osteosarcoma cells [32]. More recently, Chen et al. showed that a combination of RXR $\alpha$  and PPAR $\gamma$ agonists induced sodium/iodide symporter expression and inhibited cell growth of human thyroid cancer cells [33].

In human breast cancer cells, it has been reported that the association of the PPAR $\gamma$  ligand Rosiglitazone and 9-cis retinoic acid as a RXR ligand induces apoptosis [34]. A more recent study showed that RXR $\alpha$  and PPAR $\gamma$  ligands disrupt the inflammatory cross-talk in the hypoxic breast CSC niche [35]. Our own findings on RXR $\alpha$ /PPAR $\gamma$  cytoplasmic coexpression showed that it was significantly correlated with CD133, a widely used marker for cancer stem cell (CSC). Therefore, one can imagine that the relocalization of the two NRs from the cytoplasm into the nucleus upon ligand activation might interfere with the CSC stem cell properties of the cancer cells by reducing their differentiation grade and explain why the nuclear expression of this marker combination can be considered as a positive factor for survival. Future work will be needed to specifically investigate the role of RXR $\alpha$  and PPAR $\gamma$  in the breast CSC biology.

One drawback of the present study is that it is based on a single dataset which may not encompass the full diversity of breast cancer entities. Another limitation is that patient treatments were not taken into account in the clinical parameters as they changed noticeably within the time of this retrospective study. Consequently, further studies using other cohorts will be required to validate and extend our results.

#### 5. Conclusions

This study demonstrates that the cytoplasmic co-expression of RXR $\alpha$  and PPAR $\gamma$  is an independent negative prognosticator for BC patients. These results could be of relevance for clinicians by identifying high-risk patients, especially amongst those with early and node-negative disease.

Cells 2022. 11, 1244 10 of 12

**Supplementary Materials:** The following supporting information can be downloaded at: https://www.mdpi.com/article/10.3390/cells11071244/s1, Figure S1: Expression of RXR $\alpha$  (A) and PPARg (B) in normal breast tissue, Figure S2: (A) Nuclear RXR $\alpha$  expression. (B) Nuclear PPAR $\gamma$  expression. (C) Combined Nuclear expression RXR and PPAR $\gamma$ , Table S1: Staining protocol including positive control staining pictures (placenta) as well as negative control figures (placenta), Tables S2–S7: Number of cases in each group investigated by Kaplan–Meier analyses and estimated survival times for each group.

**Author Contributions:** Conceptualization, U.J. and V.C.; methodology, T.V., A.Z.Z., and M.B.K.; software, S.S.; validation, M.B.K., M.S., and T.V.; formal analysis, N.D.; investigation, M.B.K.; resources, M.K. and N.D.; data curation, W.S.; writing—original draft preparation, W.S., M.B.K., and V.C.; writing—review and editing, M.K., U.J. and N.D.; visualization, V.C.; supervision, U.J.; project administration, N.D.; funding acquisition, N.D. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by a research grant of the Medical Faculty of the University Augsburg ("Projektförderung—intramurale Forschungsförderung Medizinische Fakultät") for N.D.

**Institutional Review Board Statement:** This research was approved by the Ethical Committee of the Medical Faculty, Ludwig Maximilian-University, Munich, Germany (approval number 048-08; 18 March 2008) and informed consent was collected from all patients.

Informed Consent Statement: Informed consent was obtained from all subjects involved in the study.

**Data Availability Statement:** Data are available upon request from the corresponding author due to ethical reasons.

Acknowledgments: We thank C. Kuhn for technical assistance.

Conflicts of Interest: N.D. reports funding from MSD, Novartis, Pfizer, Roche, AstraZeneca, TEVA, Mentor, and MCI Healthcare. All other authors report no conflict of interests. The funders had no role in the design of the study; in the collection, analysis, or interpretation of data; in the writing of the manuscript, or in the decision to publish the results.

## References

- 1. Valerio, M.R.; Bajardi, A.E.; Arcara, C.C.; Borsellino, N.; Lo Mauro, M.; Cipolla, C.; Santarpia, M.; Firenze, A.; Motta, G.; Vigneri, P.; et al. Eribulin Mesylate for the Treatment of Metastatic Hormone-refractory and Triple-negative Breast Cancer: A Multi-institutional Real-world Report on Efficacy and Safety. *Am. J. Clin. Oncol.* 2021, 44, 105–108. [CrossRef] [PubMed]
- 2. Walbaum, B.; Acevedo, F.; Medina, L.; Bravo, M.L.; Merino, T.; Camus, M.; Dominguez, F.; Mondaca, S.; Galindo, H.; Nervi, B.; et al. Pathological complete response to neoadjuvant chemotherapy, but not the addition of carboplatin, is associated with improved survival in Chilean triple negative breast cancer patients: A report of real world data. *Ecancermedicalscience* **2021**, *15*, 1178. [CrossRef]
- 3. Fisher, B.; Costantino, J.P.; Wickerham, D.L.; Cecchini, R.S.; Cronin, W.M.; Robidoux, A.; Bevers, T.B.; Kavanah, M.T.; Atkins, J.N.; Margolese, R.G.; et al. Tamoxifen for the prevention of breast cancer: Current status of the National Surgical Adjuvant Breast and Bowel Project P-1 study. *J. Natl. Cancer Inst.* 2005, 97, 1652–1662. [CrossRef] [PubMed]
- 4. Cauley, J.A.; Norton, L.; Lippman, M.E.; Eckert, S.; Krueger, K.A.; Purdie, D.W.; Farrerons, J.; Karasik, A.; Mellstrom, D.; Ng, K.W.; et al. Continued breast cancer risk reduction in postmenopausal women treated with raloxifene: 4-year results from the MORE trial. Multiple outcomes of raloxifene evaluation. *Breast Cancer Res. Treat.* **2001**, *65*, 125–134. [CrossRef] [PubMed]
- 5. Goss, P.E.; Ingle, J.N.; Ales-Martinez, J.E.; Cheung, A.M.; Chlebowski, R.T.; Wactawski-Wende, J.; McTiernan, A.; Robbins, J.; Johnson, K.C.; Martin, L.W.; et al. Exemestane for breast-cancer prevention in postmenopausal women. *N. Engl. J. Med.* **2011**, *364*, 2381–2391. [CrossRef]
- Khazal, K.F.; Hill, D.L. Withania somnifera extract reduces the invasiveness of MDA-MB-231 breast cancer and inhibits cytokines associated with metastasis. J. Cancer Metastasis Treat. 2015, 1, 94–100. [CrossRef]
- 7. Fisher, B.; Costantino, J.P.; Wickerham, D.L.; Redmond, C.K.; Kavanah, M.; Cronin, W.M.; Vogel, V.; Robidoux, A.; Dimitrov, N.; Atkins, J.; et al. Tamoxifen for prevention of breast cancer: Report of the National Surgical Adjuvant Breast and Bowel Project P-1 Study. J. Natl. Cancer Inst. 1998, 90, 1371–1388. [CrossRef] [PubMed]
- 8. Muscat, G.E.; Eriksson, N.A.; Byth, K.; Loi, S.; Graham, D.; Jindal, S.; Davis, M.J.; Clyne, C.; Funder, J.W.; Simpson, E.R.; et al. Research resource: Nuclear receptors as transcriptome: Discriminant and prognostic value in breast cancer. *Mol. Endocrinol.* **2013**, 27, 350–365. [CrossRef] [PubMed]
- 9. Escriva, H.; Bertrand, S.; Laudet, V. The evolution of the nuclear receptor superfamily. *Essays Biochem.* **2004**, *40*, 11–26. [CrossRef] [PubMed]
- 10. Dawson, M.I.; Xia, Z. The retinoid X receptors and their ligands. Biochim. Biophys. Acta 2012, 1821, 21–56. [CrossRef]

Cells 2022, 11, 1244 11 of 12

11. Hua, S.; Kittler, R.; White, K.P. Genomic antagonism between retinoic acid and estrogen signaling in breast cancer. *Cell* **2009**, 137, 1259–1271. [CrossRef]

- 12. Ditsch, N.; Heublein, S.; Jeschke, U.; Sattler, C.; Kuhn, C.; Hester, A.; Czogalla, B.; Trillsch, F.; Mahner, S.; Engel, J.; et al. Cytoplasmic versus nuclear THR alpha expression determines survival of ovarian cancer patients. *J. Cancer Res. Clin. Oncol.* 2020, 146, 1923–1932. [CrossRef]
- 13. Zehni, Z.A.; Jacob, S.N.; Mumm, J.N.; Heidegger, H.H.; Ditsch, N.; Mahner, S.; Jeschke, U.; Vilsmaier, T. Hormone Receptor Expression in Multicentric/Multifocal versus Unifocal Breast Cancer: Especially the VDR Determines the Outcome Related to Focality. *Int. J. Mol. Sci.* 2019, 20, 5740. [CrossRef]
- 14. Zehni, Z.A.; Batz, F.; Cavailles, V.; Sixou, S.; Kaltofen, T.; Keckstein, S.; Heidegger, H.H.; Ditsch, N.; Mahner, S.; Jeschke, U.; et al. Cytoplasmic Localization of RXRalpha Determines Outcome in Breast Cancer. *Cancers* **2021**, *13*, 3756. [CrossRef]
- 15. Shao, W.; Kuhn, C.; Mayr, D.; Ditsch, N.; Kailuwait, M.; Wolf, V.; Harbeck, N.; Mahner, S.; Jeschke, U.; Cavailles, V.; et al. Cytoplasmic PPARgamma is a marker of poor prognosis in patients with Cox-1 negative primary breast cancers. *J. Transl. Med.* **2020**, *18*, 94. [CrossRef] [PubMed]
- 16. Ditsch, N.; Vrekoussis, T.; Lenhard, M.; Ruhl, I.; Gallwas, J.; Weissenbacher, T.; Friese, K.; Mayr, D.; Makrigiannakis, A.; Jeschke, U. Retinoid X receptor alpha (RXRalpha) and peroxisome proliferator-activated receptor gamma (PPARgamma) expression in breast cancer: An immunohistochemical study. *In Vivo* **2012**, *26*, 87–92.
- 17. Nagi, C.; Guttman, M.; Jaffer, S.; Qiao, R.; Keren, R.; Triana, A.; Li, M.; Godbold, J.; Bleiweiss, I.J.; Hazan, R.B. N-cadherin expression in breast cancer: Correlation with an aggressive histologic variant–invasive micropapillary carcinoma. *Breast Cancer Res. Treat.* 2005, 94, 225–235. [CrossRef] [PubMed]
- 18. Papadaki, I.; Mylona, E.; Giannopoulou, I.; Markaki, S.; Keramopoulos, A.; Nakopoulou, L. PPARgamma expression in breast cancer: Clinical value and correlation with ERbeta. *Histopathology* **2005**, *46*, 37–42. [CrossRef]
- 19. Michalik, L.; Desvergne, B.; Wahli, W. Peroxisome-proliferator-activated receptors and cancers: Complex stories. *Nat. Rev. Cancer* **2004**, *4*, 61–70. [CrossRef]
- Yang, Y.; Burke, R.V.; Jeon, C.Y.; Chang, S.C.; Chang, P.Y.; Morgenstern, H.; Tashkin, D.P.; Mao, J.; Cozen, W.; Mack, T.M.; et al. Polymorphisms of peroxisome proliferator-activated receptors and survival of lung cancer and upper aero-digestive tract cancers. *Lung Cancer* 2014, 85, 449–456. [CrossRef]
- 21. Grommes, C.; Landreth, G.E.; Heneka, M.T. Antineoplastic effects of peroxisome proliferator-activated receptor gamma agonists. *Lancet Oncol.* **2004**, *5*, 419–429. [CrossRef]
- 22. Sertznig, P.; Seifert, M.; Tilgen, W.; Reichrath, J. Present concepts and future outlook: Function of peroxisome proliferator-activated receptors (PPARs) for pathogenesis, progression, and therapy of cancer. *J. Cell. Physiol.* **2007**, 212, 1–12. [CrossRef]
- 23. Stoll, B.A. Linkage between retinoid and fatty acid receptors: Implications for breast cancer prevention. *Eur. J. Cancer Prev.* **2002**, 11, 319–325. [CrossRef] [PubMed]
- 24. von Knethen, A.; Tzieply, N.; Jennewein, C.; Brune, B. Casein-kinase-II-dependent phosphorylation of PPARgamma provokes CRM1-mediated shuttling of PPARgamma from the nucleus to the cytosol. *J. Cell Sci.* **2010**, *123*, 192–201. [CrossRef]
- 25. Heublein, S.; Mayr, D.; Meindl, A.; Kircher, A.; Jeschke, U.; Ditsch, N. Vitamin D receptor, Retinoid X receptor and peroxisome proliferator-activated receptor gamma are overexpressed in BRCA1 mutated breast cancer and predict prognosis. *J. Exp. Clin. Cancer Res.* **2017**, *36*, 57. [CrossRef]
- 26. Calvo, V.; Beato, M. BRCA1 counteracts progesterone action by ubiquitination leading to progesterone receptor degradation and epigenetic silencing of target promoters. *Cancer Res.* **2011**, *71*, 3422–3431. [CrossRef]
- 27. Tsao, W.C.; Wu, H.M.; Chi, K.H.; Chang, Y.H.; Lin, W.W. Proteasome inhibitors induce peroxisome proliferator-activated receptor transactivation through RXR accumulation and a protein kinase C-dependent pathway. *Exp. Cell Res.* **2005**, 304, 234–243. [CrossRef]
- 28. Lattuada, D.; Vigano, P.; Mangioni, S.; Sassone, J.; Di Francesco, S.; Vignali, M.; Di Blasio, A.M. Accumulation of retinoid X receptor-alpha in uterine leiomyomas is associated with a delayed ligand-dependent proteasome-mediated degradation and an alteration of its transcriptional activity. *Mol. Endocrinol.* **2007**, *21*, 602–612. [CrossRef]
- Desreumaux, P.; Dubuquoy, L.; Nutten, S.; Peuchmaur, M.; Englaro, W.; Schoonjans, K.; Derijard, B.; Desvergne, B.; Wahli, W.; Chambon, P.; et al. Attenuation of colon inflammation through activators of the retinoid X receptor (RXR)/peroxisome proliferator-activated receptor gamma (PPARgamma) heterodimer. A basis for new therapeutic strategies. J. Exp. Med. 2001, 193, 827–838. [CrossRef]
- 30. Ray, D.M.; Bernstein, S.H.; Phipps, R.P. Human multiple myeloma cells express peroxisome proliferator-activated receptor gamma and undergo apoptosis upon exposure to PPARgamma ligands. *Clin. Immunol.* **2004**, *113*, 203–213. [CrossRef]
- 31. Giaginis, C.; Katsamangou, E.; Tsourouflis, G.; Zizi-Serbetzoglou, D.; Kouraklis, G.; Theocharis, S. Peroxisome proliferator-activated receptor-gamma and retinoid X receptor-alpha expression in pancreatic ductal adenocarcinoma: Association with clinicopathological parameters, tumor proliferative capacity, and patients' survival. *Med. Sci. Monit.* **2009**, *15*, BR148–BR156. [PubMed]
- 32. He, B.C.; Chen, L.; Zuo, G.W.; Zhang, W.; Bi, Y.; Huang, J.; Wang, Y.; Jiang, W.; Luo, Q.; Shi, Q.; et al. Synergistic antitumor effect of the activated PPARgamma and retinoid receptors on human osteosarcoma. *Clin. Cancer Res.* **2010**, *16*, 2235–2245. [CrossRef]
- 33. Chen, J.Y.; Wang, J.J.; Lee, H.C.; Chi, C.W.; Lee, C.H.; Hsu, Y.C. Combination of peroxisome proliferator-activated receptor gamma and retinoid X receptor agonists induces sodium/iodide symporter expression and inhibits cell growth of human thyroid cancer cells. *J. Chin. Med. Assoc.* 2020, 83, 923–930. [CrossRef] [PubMed]

Cells **2022**, 11, 1244 12 of 12

34. Bonofiglio, D.; Cione, E.; Vizza, D.; Perri, M.; Pingitore, A.; Qi, H.; Catalano, S.; Rovito, D.; Genchi, G.; Ando, S. Bid as a potential target of apoptotic effects exerted by low doses of PPARgamma and RXR ligands in breast cancer cells. *Cell Cycle* **2011**, *10*, 2344–2354. [CrossRef] [PubMed]

35. Papi, A.; De Carolis, S.; Bertoni, S.; Storci, G.; Sceberras, V.; Santini, D.; Ceccarelli, C.; Taffurelli, M.; Orlandi, M.; Bonafe, M. PPARgamma and RXR ligands disrupt the inflammatory cross-talk in the hypoxic breast cancer stem cells niche. *J. Cell. Physiol.* **2014**, 229, 1595–1606. [CrossRef] [PubMed]