OPEN ACCESS Check for updates

Organocatalyzed ring-opening copolymerization of α -bromo- γ -butyrolactone with ϵ -caprolactone for the synthesis of functional aliphatic polyesters – pre-polymers for graft copolymerization

Chen Gao D^{a,b}, Chi-Hui Tsou^{a,b}, Chun-Yan Zeng^{a,b}, Li Yuan^{a,b}, Rui Peng^a and Xue-Mei Zhang D^{a,b}

^aCollege of Materials Science and Engineering, Sichuan University of Science and Engineering, Zigong, Sichuan Province, China; ^bMaterial Corrosion and Protection Key Laboratory of Sichuan Province, Zigong, Sichuan Province, China

ABSTRACT

Diphenyl phosphate (DPP) was exploited as an organocatalyst to synthesize copolymers by ringopening polymerization with α -bromo- γ -butyrolactone (α Br γ BL) and ϵ -caprolactone (ϵ CL) as monomers and polyethylene glycol (PEG) as initiator. The conversion rates of monomers and molecular weights of copolymers synthesized under different conditions were determined by ¹H-NMR. The ¹H-NMR results showed that the copolymers of α Br γ BL and ϵ CL initiated by PEG (PEGCB) were successfully synthesized and the conversions of ϵ CL were relatively high (>70%), while the conversions of α Br γ BL were relatively low (<26%). The highest molar ratio of α Br γ BL to ϵ CL units in these copolymers is 0.17, when the copolymerization was carried out at 100°C for 17h.

The bromine atoms hanged on the chain of the copolymers PEGCB provide a good opportunity to construct graft copolymers via atom transfer radical polymerization (ATRP). The subsequent grafting of 2-(dimethylamino)ethyl methacrylate (DMAEMA) was conducted by using PEGCB3 as macroinitiator, CuBr/N,N',N',N'', pentamethyldiethylenetriamine (PMDETA) as catalysts and toluene/anisole as solvents via ATRP. According to the analysis of ¹H-NMR, the grafting efficiency, grafting ratio and grafting frequency were 22.4%, 160.7% and 1133.8, respectively.

1. Introduction

In recent years, aliphatic polyesters have been widely investigated due to their inherent good degradability, flexibility and biocompatibility. Hence, aliphatic polyesters are widely used to prepare polyurethane [1,2], elastomers [3], drug delivery materials [4,5] and scaffold for tissue engineering [6,7]. Among these, poly(ϵ -caprolactone) is of great interest due to that ECL is commercially available, biocompatible and readily polymerizable. A commonly used technique to prepare aliphatic polyesters is controlled ring-opening polymerization (CROP) which can construct well-defined polymers. However, up to now, many CROPs of ECL are catalyzed by metal-containing catalysts, such as stannous octoate [Sn(Oct)₂] [8,9], zinc-containing catalyst [10], iron-containing catalyst [11], magnesium-containing catalyst [12] and aluminum-containing catalyst [13], to name a few. It is attractive that the recent development of metalfree organocatalysts for CROP brings a new horizon of preparing aliphatic polyesters. The organocatalyzed polymerization process could be more suitable to prepare biocompatible materials owing to its low toxicity. Organic Brønsted acids, e.g., sulfuric acid [14], carboxylic acid [15], trifluoromethanesulfonic acid (TfOH) [16,17], methanesulfonic acid (MSA) [18] and phosphoric acids [19] were found to be effective for the CROP of lactones. Although numerous investigations have been carried out, there still exist some shortcomings need to be overcome for the synthesis of aliphatic polyesters using organocatalysts. For instance, strong acidity is necessary to promote the ring-opening polymerization of lactones, however, the strong acidity of organocatalysts may lead to undesirable reactions, such as transesterification.

Recently, diphenyl phosphate (DPP), a weak acid organocatalyst, was found to be effective for the CROP of lactones, such as δ -valerolactone (δ VL) and ϵ -caprolactone (ϵ CL) [20,21]. It is exciting that with the use of DPP, the ringopening polymerization of lactones could proceed in a living fashion and the molecular weights of polymers were well-controlled. It is worth mentioning that the polymerization catalyzed by DPP can proceed in mild condition (r.t.), which can reduce undesirable side reactions.

Functional aliphatic polyesters provide a diverse range of properties which can be tailored by reactions of functional groups. The routes for the synthesis of functional

CONTACT Xue-Mei Zhang 🔯 798538268@zju.edu.cn

This article has been republished with minor changes. These changes do not impact the academic content of the article.

B Supplementary data for this article can be accessed here.

 $\ensuremath{\mathbb C}$ 2018 The Author(s). Published by Informa UK Limited, trading as Taylor & Francis Group.

This is an Open Access article distributed under the terms of the Creative Commons Attribution License (http://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

ARTICLE HISTORY

Received 5 August 2018 Accepted 28 October 2018

KEYWORDS

εCL; αBrγBL; DPP; ringopening polymerization; ATRP; functional aliphatic polyester aliphatic polyesters range from copolymerization with functional monomers and modification to functional initiators. Between these two methods, copolymerization with functional monomers provides a high degree of functionality, together with a high degree of control. Therefore, much effort has been conducted, as early as 1999, cCL with a halogenated motif at the y-position was synthesized [22]. Subsequently, α -, y- and ω -substituted εCL were synthesized and used as functional monomers for lipase-catalyzed ring-opening polymerization [23]. However, synthesis of functional monomers often involves multiple steps, which increase the cost and limit the application of aliphatic polyesters. We therefore turned our attention towards a straightforward and commercially available functional monomer, a-bromo-ybutyrolactone (aBryBL).

As early as 1932, ring-opening polymerization of ybutyrolactone (yBL) has been investigated, when Carothers et al. discovered that unsubstituted yBL was unable to polymerize [24]. Subsequently, some theoretical calculations reconfirmed this result [25,26]. The same behavior was also observed for aBryBL. High molecular weight homopolymer is unable to be obtained by ringopening polymerization of aBryBL. Not considering polymers synthesized under extremely high pressure [27] or low polymerization temperatures [28]. This is due to aBryBL's thermodynamic propensity to ring-close. However, this can be circumvented by copolymerization of aBryBL with monomers having high ceiling temperature (T_c) , i.e., thermodynamic favoring polymerization, such as ECL. Hence, copolymers of vBL and ECL with high number average molecular weight can be obtained at relative high temperature [29]. It is worth mentioning that aBryBL's inability to form a homopolymer should result in isolated units along the polymer chain during copolymerization. This provides a good opportunity to initiate graft polymerization by controlled radical polymerization, e.g., ATRP. The isolated initiating sites are favourable to reduce the grafting steric hindrance and increase the monomer conversion in graft polymerization.

Poly[(2-dimethylamino)ethyl methacrylate] (PDMAEMA) is a kind of pH- and temperature-responsive polymer [30,31] that can be synthesized by ATRP initiated by chlorine or bromine functional group. PDMAEMA is hydrophilic when pKa is lower than 7 due to its amine groups are protonated. In this condition, as a kind of cationic polymer, PDMAEMA can be used for combining and delivering genes [32]. At the same time, PDMAEMA is hydrophilic when temperature is lower than its lower critical solution temperature (LCST) and hydrophobic when temperature is higher than its LCST. As a hydrophobic polymer, PCL is one of the most promising biocompatible and biodegradable polymers with high drug permeability. As a hydrophilic polymer, PEG exhibits many unique characteristics, such as biocompatibility and flexibility, which enable it to be valuable in biomedical field, such as drug delivery systems and tissue engineering. Both PEG and PCL have been approved by the United States Food and Drug Administration (FDA) and widely used as biomedical materials [33].

Our aim is to produce amphiphilic functional copolymers based on aBryBL and ECL by ring-opening polymerization. The hypothesis is that DPP will provide a broard range of polymerization temperatures due to its high catalytic activity. It is anticipated that different conversions of aBryBL and ECL should be achieved at different temperatures and during different polymerization time. In this study, these factors of ring-opening copolymerization of aBryBL with ECL will be investigated. Subsequently, ATRP of DMAEMA by using this bromine-containing multifunctional aliphatic polyester as macroinitiator was attempted. If successful this would provide the possibility to produce a diverse range of graft copolymers with degradable backbones by convenient synthetic route. This abundance is ascribed to a great diversity of vinyl monomers with various functionality which are liable to ATRP [34-37].

CROP and ATRP are both effective methods for constructing polymers. However, how to combine the two methods to synthesize well-defined polymers conveniently is an important issue. aBryBL is a kind of cyclic monomer containing bromine functional group which can provide a platform to combine CROP and ATRP. Although aBryBL holds low activity in CROP due to its low T_{α} CROP of α BryBL catalyzed at mild temperature is still attractive. Recent advances in CROP catalyzed by organocatalysts have revealed high reactivity at ambient temperature. Diphenyl phosphate (DPP), a representative organocatalyst, can catalyze the CROP of lactones in mild condition to produce polyesters with well-defined structure. The copolymerization of ϵ CL with α BryBL catalyzed by DPP was mentioned by Albertsson et al., with the molar ratio of aBryBL to ECL repeating units in copolymer is 0.05 when the polymerization was conducted at 30 °C for 24 h [38]. However, as far as we are concerned, the influence of polymerization temperature and time on the copolymerization of α BryBL with εCL has not been investigated till now. In this study, it reveals that the CROP reactivities of aBryBL and ECL are affacted by temperature and time in different extent.

2. Experimental

2.1. Materials

 ϵ -Caprolactone (99%; Aladdin) was dehydrated by calcium hydride and filtrated by using microfilter (0.45 µm) before use. Poly(ethylene glycol) (M_n = 2 K; Aladdin) was dried by

azeotropic distillation in the presence of toluene. Diphenyl phosphate (97%; Energy Chemical), cuprous bromide (99%; Aladdin), N,N',N',N",N"- pentamethyldiethylenetriamine (PMDETA) (99%; Aladdin), 2-(dimethylamino)ethyl methacrylate (DMAEMA) (99%; Aladdin), a-bromo-ybutyrolactone (aBryBL) (98%; J&K Chemicals) were used without further purifications, toluene (AR; Chonggin Chuandong Chemical Group Co. Ltd.), ether (AR; Chongqing Chuandong Chemical Group Co. Ltd.), methylene dichloride (AR; Chengdu Jingshan Chemical Reagent Co. Ltd.), n-hexane (AR; Chengdu Kelong Chemical Reagent Factory) and basic alumina (100-200 mesh; Aladdin) were used as received.

2.2. Measurements

¹H-NMR spectra were recorded on a Bruker Avance DMX 500 spectrometer using CDCl₃ as solvent and tetramethylsilane as internal reference. The molecular weight and molecular weight distribution were determined by size exclusion chromatographic (SEC). The SEC system consisted of a Waters degasser, a Waters 1525 HPLC pump with 717 plus autosampler, Waters 2410 RI detector and columns: Styragel, HT 3; HT 4. The calibration was performed with commercial polystyrene standards. Tetrahydrofuran (THF) was used as the mobile phase at a flow rate of 1.0 mL/min at 40°C.

2.3. Sythesis of PEGCB by CROP

A typical procedure for the polymerization is as follows: PEG2k (500 mg, 0.25 mmol) and 10 ml toluene were mixed in a 100 ml Schlenk flask equipped with a magnetic stirring bar. Then the flask was put into an oil bath thermostated at 140 °C to dehydrate the PEG2k with toluene azeotropic method. α-bromo-γ-butyrolactone Then (2.2 ml, 23.9 mmol), ε-caprolactone (3.8 ml, 34.3 mmol) and diphenyl phosphate(378 mg, 1.5 mmol) were added into the flask under argon atmosphere. Then the flask was thermostated at 60 $^\circ C$ for 7.5 h. The crude product was dissolved in CH₂Cl₂ and poured into diethyl ether to precipitate the final product, which was dried in vacuum to constant weight. Yield: 3.50 g (41.9 %). ¹H-NMR (PEGCB3, CDCl₃): $\delta = 4.34$ ppm (-CHBrCH₂CH₂O-), 4.20 ppm (-CHBrCH₂CH₂O-), 4.06 ppm (-COCH₂CH₂CH₂CH₂CH₂O-), 3.65 ppm (-OCH₂CH₂O-), CH₂O-), 1.65 ppm (-COCH₂CH₂CH₂CH₂CH₂O-), 1.39 ppm $(-COCH_2CH_2CH_2CH_2CH_2O-).$

2.4. Sythesis of PEGCB-g-PDMAEMA by ATRP

A typical procedure for the synthesis of PEGCBg-PDMAEMA is as follows: a Schlenk flask placed a stirring bar was deoxygenated by three pump-fill cycles. Then CuBr (0.7 mmol, 100.8 mg), PMDETA (1.4 mmol, 0.292 mL) and toluene/anisole (v:v = 1:1, 4 ml) were added into the flask under argon atomosphere. After stiring for 15 min, DMAEMA (4.72 ml, 28 mmol) and PEGCB3 (0.5 g, 0.44 mmol Br) were added into the flask to be homogeneously mixed. The mixed solution was bubbled with argon for about 15 min to deoxygenate the solution. Then the flask was put into an oil bath thermostated at 70 $^\circ C$ for 30 h. The solution was diluted by adding 30 ml THF and passed through a basic alumina column to remove the copper salt. The graft copolymer was recovered by condensing the solution and precipitating with n-hexane and dried in vacuum. Yield: 1.82 g (37.1 %). ¹H-NMR (PEGCB3-g-PDMAEMA, CDCl₃): $\delta = 4.08$ ppm (-COCH₂CH₂ CH₂CH₂CH₂O- and - OCH₂CH₂N(CH₃)₂), $\delta = 3.83$ ppm $(-COCHRCH_2CH_2O-), \delta = 3.66 \text{ ppm} (-OCH_2CH_2O-), \delta = 2.59$ ppm [-OCH₂CH₂N(CH₃)₂], $\delta = 2.30$ ppm [-OCH₂CH₂N(CH₃)₂, -COCH₂CH₂CH₂CH₂CH₂CH₂O- and -COCHRCH₂CH₂O-], $\delta = 1.93$ ppm [-CHCH₂CR'(CH₃)COO-, atactic], $\delta = 1.83$ ppm [-CHCH₂ CR'(CH₃)COO-, syndiotactic and -COCHRCH₂CH₂O-], δ = 1.66 ppm (-COCH₂CH₂CH₂CH₂CH₂O-), δ = 1.40 ppm (- $COCH_2CH_2CH_2CH_2CH_2O$ -), $\delta = 1.07$ ppm [-CHCH_2CR'(CH_3)] COO-, atactic], $\delta = 0.92$ ppm [-CHCH₂CR'(CH₃)COO-, syndiotactic].

3. Results and discussion

3.1. Elucidating the copolymerization behavior of aBryBL with ϵ CL

In the 1930s, Carothers stated that 'the γ -lactones and other five-membered cyclic esters show no tendency to polymerize, and no corresponding polymers are known'. Later, this statement was proved by experiments. Hence, α Br γ BL, as a five-membered lactone, is also hard to homopolymerize. Albertsson has reported that no homopolymerization of α Br γ BL was observed after 20 h with Sn(Oct)₂ as catalyst at 110 °C [38]. However, polymerization of α Br γ BL can be circumvented by copolymerization with other cyclic monomer, i.e., ϵ CL.

In this study, copolymerizations of α BryBL with ϵ CL at different temperatures and for different time were conducted. The copolymerization process is depicted in Scheme 1. The ¹H-NMR spectrum of a representative copolymer PEGCB3 is shown in Figure 1.

It clearly shows that besides the initiator proton signals of PEG chains (H^d), there are aliphatic polyester proton signals of P ϵ CL($H^{a,b,c,e}$) and P α Br γ BL ($H^{f,g,h}$). This suggests that the copolymerization of α Br γ BL with ϵ CL was proceeded successfully. The integral ratio of peak areas of H^d and H^e (I^d/I^e) is 0.78, which is not far from the theoretical value ($I^d/I^e = 0.66$). It indicates the high conversion of ϵ CL



Scheme 1. Synthesis of PEGCB copolymers by ring-opening copolymerization of ϵ CL with α BryBL using PEG as initiator and DPP as organocatalyst.



Figure 1. ¹H-NMR spectra of the copolymer PEGCB3.

(84.8%). The integral ratio of peak areas of H^d and H^g (I^d/I^g) is 5.72, which is much higher than the theoretical value ($I^d/I^g = 0.94$). This reveals the conversion of α BryBL is relatively low (16.5%), thus confirming that α BryBL holds low activity, which has been reported in the literatures [24]. By calculating from the ¹H-NMR spectrum of PEGCB3, the molecular weight of PEGCB3 was about 17,800 and there were about 15.8 α BryBL repeating units on every copolymer chain. The compositions of PEGCB1-PEGCB8 copolymers determined by ¹H-NMR are shown in Table 1. The ¹H-NMR spectrums of polymers PEGCB1-PEGCB8 except PEGCB3 are shown in Supporting Information Figures S1–S7.

Table 1 summarizes the polymerization results. ¹H-NMR analysis revealed that the conversions of εCL

were relatively high (>70%) and the conversions of α BryBL were relatively low (<26%). The low conversions of α BryBL would be considered a drawback if it acted as a property-alternating monomer, but its main purpose is to act as an initiator for ATRP, the incorporated amount of α BryBL is enough. The low conversion of α BryBL provides a more possibility to form isolated units, thus decreasing the steric hindrance of sequential ATRP graft copolymerization.

The relationship of monomer conversions and polymerization time are shown in Figure 2. It shows that the monomer conversions of both ϵ CL and α BryBL are increased with the increasing of polymerization time (from 17 h to 29 h) at 25°C. However, the monomer conversions of both ϵ CL and α BryBL are decreased with

 Table 1. Compositions of the PEGCB copolymers under different polymerization conditions.

Polymer	Temp. (℃)	Time (h)	<i>M</i> t ^a	M _{NMR} b	Conv. (εCL)	Conv. (αBrγBL)	n _{BL} / n _{CL} c	n _{BL} / n _{CL} ^d
PEGCB1	25	17	33,400	18,300	93.9%	10.1%	0.69	0.07
PEGCB2	25	29	33,400	20,900	99.0%	21.4%	0.69	0.15
PEGCB3	60	7.5	33,400	17,800	84.8%	16.5%	0.69	0.14
PEGCB4	60	17	33,400	21,500	>99.0%	16.1%	0.69	0.10
PEGCB5	60	29	33,400	18,000	89.4%	13.0%	0.69	0.10
PEGCB6	100	6	33,400	16,200	84.8%	10.4%	0.69	0.09
PEGCB7	100	17	33,400	22,700	>99.0%	25.7%	0.69	0.17
PEGCB8	100	29	33,400	16,000	73.8%	15.3%	0.69	0.14

^a Theoretical number average molecular weight.

^b Number average molecular weight determined by ¹H-NMR.

^c Feed ratio of aBryBL and ECL

 d The ratio of $\alpha Br\gamma BL$ and ϵCL repeating units in copolymers determined by $^1\text{H-NMR}.$



Figure 2. (a) relationship of ϵCL monomer conversion [conv. (ϵCL)] and time. (b) relationship of $\alpha Br\gamma BL$ monomer conversion [conv.($\alpha Br\gamma BL$)] and time.

the increasing of polymerization time (from 17 h to 29 h) at higher polymerization temperatures (60° C or 100° C). This might be attributed to the severer degradation of

polyesters at higher temperatures. The molar ratio of α BryBL and ϵ CL repeating units in copolymers could attain 0.17 when polymerization was conducted at 100°C for 17 h (Table 1, entry7) and there were about 24.5 α BryBL repeating units on every copolymer chain of PEGCB7. This provides adequate active sites for the sequential grafting polymerization.

3.2. Elucidating the graft copolymerization of DMAEMA by ATRP

The graft copolymerization of DMAEMA is depicted in Scheme 2. Successful formation of graft copolymer PEGCB3-g-PDMAEMA was verified by ¹H-NMR (Figure 3). Resonances originating from both PEGCB3 and PDMAEMA segments were observed.

From the ¹H-NMR spectra shown in Figures 1 and 3, it is obvious that the signal of methylene protons of α BryBL units in copolymer [Figure 1 (H^h)] disappeared completely. Similarly, the signal of methylene protons of α BryBL units in copolymers [Figure 1 (H^{g})] also disappeared completely and a corresponding signal [Figure 3 $(H^{g'})$] appeared at 3.83 ppm. This is because the electron-withdrawing bromine atoms have migrated to the end of the PDMAEMA graft chains, thus rendering the signals of methylene protons move to the higher magnetic field. The notations a and s, added to j and i, designate whether the monomer sequence in the PDMAEMA graft chain is atactic or syndiotactic respectively [39]. There is no proton signals at 5.70ppm and 6.10ppm due to the methylene protons of DMAEMA monomer. This suggested that there is no residual DMAEMA monomer in the graft copolymer. The number of DMAEMA repeating units is about 813 by comparing the integrals of peaks k and a, which was ascribed to PDMAEMA and PEG, respectively. However, according to the ¹H-NMR of PEGCB3, the integral ratio of peak areas of a-proton originate from aBryBL monomer and a-proton originate from aBryBL repeating units in PEGCB3 copolymer is 3.48. The bromine atoms of the αBrγBL monomer (δ_{α -proton} = 4.55 ppm, Figure 1) may also initiate the ATRP of DMAEMA. Hence, there were only about 11.5 DMAEMA repeating units on every graft chain determined by ¹H-NMR. The number average molecular weight of graft copolymer PEGCB3g-PDMAEMA is about 46,400 (Table 2).

As shown in Figure 4, the SEC chromatogram of PEGCB3-g-PDMAEMA shows unimodal peak and narrow molecular weight distribution (d = 1.08), confirming the controlled nature of ATRP grafting. However, according to the results of ¹H-NMR, the final graft polymerization product contained a mixture of graft copolymer and the homopolymer of DMAEMA. This







Figure 3. ¹H-NMR spectra of the graft copolymer PEGCB3-g-PDMAEMA.

contradiction is arised from PDMAEMA homopolymers are known to be difficult to characterize by SEC due to the adsorption of the amine group onto the column [40]. Although the graft copolymers of DMAEMA also have amine group, homopolymers are more likely to be adsorbed by the column due to their higher amine group density. This can account for the unimodal character of the trace in SEC of PEGCB3-g-PDMAEMA. After CROP and ATRP, the SEC trace is shifted towards shorter elution time region, representing higher molecular weight, indicating the actual polymerization process. The number average molecular weight of PEGCBD3g-PDMAEMA determined by SEC is smaller than that determined by ¹H-NMR, as shown in Table 2. This is due to the fact that the hydrodynamic volume of graft polymer is smaller than that of linear polymer with the same molecular weight. Besides, the use of polystyrene

Table 2. Results of graft copolymerization of DMAEMA by ATRP.

Macroinitiator	n _{Br} a	N _{Br} b (mmol/g)	R _t ^c	R _{NMR}	M _{n,NMR} e	M _{n,SEC} ^f
PEGCB3	15.7	0.88	63.6	11.6	46,400	24,400

^a Number of bromine atoms in every PEGCB3 chain determined by ¹H-NMR. Calculated from $n_{Br} = (m_{aBrvBL}/m_{PEG})^*(M_{PEG}/M_{aBrvBL})^*Conv. a_{BrvBL}$.

^b Content of bromine atoms in PEGCB3 determined by ¹H-NMR. Calculated from $N_{\text{Br}} = (1/M_{\text{PEGCB3}})^* n_{\text{Br}}$.

^c Theoretical molar ratio of DMAEMA monomer to bromine atoms. Calculated from $R_t = n_{\text{DMAEMA}}/(m_{\text{PEGCB3}}*N_{\text{Br}})$.

^d Molar ratio of DMAEMA units to bromine atoms in graft copolymer determined by ¹H- NMR. Calculated from $R_{\rm NMR} = U_{\rm DMAEMA}*(n_g/(n_g + n_h))/n_{\rm Br}$. $U_{\rm DMAEMA}$ represents the number of DMAEMA units in both graft chains and homopolymer chains. n_g and n_h represent the number of initiation points in graft polymerization and homopolymerization, respectively.

^e Number average molecular weight of graft copolymer determined by ¹H-NMR. Calculated from $M_{n,NMR} = M_{PEGCB3} + n_{Br} * R_{NMR} * M_{DMAEMA}$.

^f Number average molecular weight determined by SEC



Figure 4. SEC traces of graft copolymer PEGCBD3-g-PDMAEMA and PEG2K.

as calibrating standards can also account for the large difference in molar masses.

Three different grafting parameters were calculated according to a method reported by Huang and Sundberg [41]. The grafting efficiency (GE) is defined as the weight percent of polymer that is grafted. The GE is calculated by the mass of the PDMAEMA that is grafted divided by the total mass of PDMAEMA produced, both grafted and homopolymer (Equation 1)

Grafting Efficiency(GE)
$$= \frac{m_g}{m_g + m_h} \times 100\%$$
 (1)

In Equation 1, m_g represents the mass PDMAEMA in graft copolymer, m_h represents the mass of PDMAEMA homopolymer.

The grafting ratio (GR) is the average amount of grafts per unit mass of backbone polymer. Equation 2

 Table 3. Grafting parameters of graft copolymerization of DMAEMA by ATRP.

Macroinitiator	Grafting Efficiency	Grafting Ratio	Grafting
	(%)	(%)	Frequency
PEGCB3	22.4	160.7	1133.8

shows that the GR equals the amount of grafted PDMAEMA divided by the mass of the PEGCB backbone.

Grafting Ratio
$$=\frac{m_g}{m_b} \times 100\%$$
 (2)

In Equation 2, m_g represents the mass PDMAEMA in graft copolymer, m_b represents the mass of PEGCB backbone.

The grafting frequency (GF) is defined as the number average molecular weight of backbone between graft points. Equation 3 shows that the GF equals the number average molecular weight of the backbone multiply the number of PEGCB backbone chains and divided by the number of grafted PDMAEMA chains.

Grafting Frequency(GF) =
$$\bar{M}_b \times \frac{N_b}{N_g}$$
 (3)

In Equation 3, \overline{M}_b represents the number average molecular weight of the PEGCB backbone. N_b and N_g represents the number of PEGCB backbone chains and the number of grafted PDMAEMA chains, respectively. All of the grafting parameters were calculated and

summarized in Table 3.

4. Conclusions

Organocatalyzed ring-opening copolymerizations of abromo-γ-butyrolactone (αBryBL) with ε-caprolactone (ECL) were conducted by using DPP as catalyst and PEG as macroinitiator. To visualize how the conversions of aBryBL and ECL are affected by polymerization temperature and time, several reactions under different conditions were conducted. The results showed that the conversions of ϵ CL were relatively high (>70%) and the conversions of α BryBL were relatively low (<26%). When ring-opening polymerizations were conducted at ambient temperature, higher conversions of both ECL and aBryBL were attained at longer time(29h). However, when polymerizations were conducted at higher temperatures (60 $^{\circ}$ C or 100 $^{\circ}$ C), the highest conversions of both ϵ CL and α BryBL were attained at a proper time (17 h). The The number of aBryBL repeating units on copolymer chain could reach 24.5 and the molar ratio of aBryBL and ECL repeating units could reach 0.17 when copolymerization was conducted at 100 $^\circ C$ for 17 h. The low tendency to form homopolymer of aBryBL provides

the opportunity to form isolated units of α BryBL on copolymer chains, which could reduce the steric hindrance of grafting copolymerization and improve the initiating efficiency of bromine groups. The subsequent grafting of DMAEMA via ATRP with PEGCB3 as macroinitiator was conducted. According to the analysis of ¹H-NMR, the number average molecular weight of PEGCB3-g-PDMAEMA graft copolymer is about 46,400, together with high initiation efficiency of the α BryBL repeating units. The grafting efficiency, grafting ratio and grafting frequency were 22.4%, 160.7% and 1133.8, respectively.

Acknowledgments

The authors gratefully acknowledge the Talent Introduction Project of Sichuan University of Science and Engineering (Grant agreement No. 2014RC31). And we are also grateful to the Opening Project of Material Corrosion and Protection Key Laboratory of Sichuan Province (Grant agreement No. 2016CL10 and No. 2018CL07) for their financial support for this work.

Disclosure statement

No potential conflict of interest was reported by the authors.

Funding

This work was supported by the the Opening Project of Material Corrosion and Protection Key Laboratory of Sichuan Province [2018CL07]; the Opening Project of Material Corrosion and Protection Key Laboratory of Sichuan Province [2016CL10]; the Talent Introduction Project of Sichuan University of Science and Engineering [2014RC31].

ORCID

Chen Gao (b) http://orcid.org/0000-0002-8530-3558 Xue-Mei Zhang (b) http://orcid.org/0000-0002-8320-904X

References

- [1] Saito T, Aizawa Y, Tajima K, et al. Organophosphatecatalyzed bulk ring-opening polymerization as an environmentally benign route leading to block copolyesters, end-functionalized polyesters, and polyester-based polyurethane. Polym Chem. 2015;6(24):4374–4384.
- [2] Yeganeh H, Jamshidi H, Jamshidi S. Synthesis and properties of novel biodegradable poly(epsilon-caprolactone)/ poly(ethylene glycol)-based polyurethane elastomers. Polym Int. 2007;56(1):41–49.
- [3] J O L, W L C, Z Q S, et al. Homo- and block copolymerizations of epsilon-decalactone with l-lactide catalyzed by

lanthanum compounds. Macromolecules. 2013;46(19): 7769–7776.

- [4] Xu B, Yuan JF, Ding T, et al. Amphiphilic biodegradable poly(epsilon-caprolactone)-poly(ethylene glycol)-poly (epsilon-caprolactone) triblock copolymers: synthesis, characterization and their use as drug carriers for folic acid. Polym Bull. 2010;64(6):537–551.
- [5] C Y H, Chen Z, S J W, et al. Micelle or polymersome formation by PCL-PEG-PCL copolymers as drug delivery systems. Chin Chem Lett. 2017;28(9):1905–1909.
- [6] Puppi D, Detta N, A M P, et al. Development of electrospun three-arm star poly(epsilon-caprolactone) meshes for tissue engineering applications. Macromol Biosci. 2010;10(8):887–897.
- [7] H H R, H Y Z, Cui Y, et al. Poly(1,8-octanediol citrate)/ bioactive glass composite with improved mechanical performance and bioactivity for bone regeneration. Chin Chem Lett. 2017;28(11):2116–2120.
- [8] Yurteri S, Cianga I, Degirmenci M, et al. Synthesis and characterization of poly(p-phenylene)-graft-poly(epsiloncaprolactone) copolymers by combined ring-opening polymerization and cross-coupling processes. Polym Int. 2004;53(9):1219–1225.
- [9] Uyar Z, Degirmenci M, Genli N, et al. Synthesis of well-defined bisbenzoin end-functionalized poly(epsilon-caprolactone) macrophotoinitiator by combination of ROP and click chemistry and its use in the synthesis of star copolymers by photoinduced free radical promoted cationic polymerization. Des Monomers Polym. 2017;20(1):42–53.
- [10] Y E T, C Y L, C H L, et al. Efficient catalysts for ringopening polymerization of epsilon-caprolactone and beta-butyrolactone: synthesis and characterization of zinc complexes based on benzotriazole phenoxide ligands. J Polym Sci Part A: Polym Chem. 2011;49(18):4027–4036.
- [11] X J S, W H Z, J P L. A unique cooperative catalytic system carrying metallic iron and 2-hydroxyethyl 2-bromoisobutyrate for the controlled/living ring-opening polymerization of epsilon-caprolactone. Rsc Adv. 2016;6 (14):11400–11406.
- [12] Wang Y, Liu B, Wang X, et al. Immortal ring-opening polymerization of epsilon-caprolactone by a neat magnesium catalyst system: an approach to obtain block and amphiphilic star polymers in situ. Polym Chem. 2014;5(15):4580–4588.
- [13] Liu Y, W S D, J Y L, et al. Living ring-opening homo- and copolymerisation of epsilon-caprolactone and L-lactide by cyclic beta-ketiminato aluminium complexes. Dalton Trans. 2014;43(5):2244–2251.
- [14] Stanley N, Bucataru G, Miao Y, et al. Brønsted acidcatalyzed polymerization of ε-caprolactone in water: A mild and straightforward route to poly(ε-caprolactone)graft-water-soluble polysaccharides. J Polym Sci A Polym Chem. 2014;52(15):2139–2145.
- [15] J X X, J J L, Z J L, et al. Three is company: dual intramolecular hydrogen-bond enabled carboxylic acid active in ring-opening polymerization. Polym Chem. 2016;7(5):1111–1120.
- [16] Gazeau-Bureau S, Delcroix D, Martin-Vaca B, et al. Organocatalyzed ROP of epsilon-caprolactone: methanesulfonic

acid competes with trifluoromethanesulfonic acid. Macromolecules. 2008;41(11):3782–3784.

- [17] Nakayama Y, Aihara K, Z G C, et al. Synthesis and biodegradation of poly(I-lactide-co-beta-propiolactone) . Int J Mol Sci. 2017;18(6):1312–1321.
- [18] Wang X, J Q L, S Q X, et al. Traceless switch organocatalysis enables multiblock ring-opening copolymerizations of lactones, carbonates, and lactides: by a one plus one approach in one pot. Polym Chem. 2016;7 (41):6297–6308.
- [19] E K M, M P S. Understanding the phosphoric acid catalysed ring opening polymerisation of beta-Butyrolactone and other cyclic esters. Eur Polym J. 2017;95(10):702–710.
- [20] Makiguchi K, Satoh T, Kakuchi T. Diphenyl phosphate as an efficient cationic organocatalyst for controlled/living ring-opening polymerization of delta-valerolactone and epsilon-caprolactone. Macromolecules. 2011;44 (7):1999–2005.
- [21] Makiguchi K, Ogasawara Y, Kikuchi S, et al. Diphenyl phosphate as an efficient acidic organocatalyst for controlled/living ring-opening polymerization of trimethylene carbonates leading to block, end-functionalized, and macrocyclic polycarbonates. Macromolecules. 2013;46(5):1772–1782.
- [22] Mecerreyes D, Atthoff B, K A B, et al. Unimolecular combination of an atom transfer radical polymerization initiator and a lactone monomer as a route to new graft copolymers. Macromolecules. 1999;32(16):5175–5182.
- [23] Kikuchi H, Uyama H, Kobayashi S. Lipase-catalyzed ring-opening polymerization of substituted lactones. Polym J. 2002;34(11):835–840.
- [24] Olsen P, Undin J, Odelius K, et al. Establishing alpha-bromogamma-butyrolactone as a platform for synthesis of functional aliphatic polyesters – bridging the gap between ROP and SET-LRP. Polym Chem. 2014;5(12):3847–3854.
- [25] Agarwal S, X L X. Sml2/Sm-based gamma-buyrolactoneepsilon-caprolactone copolymers: microstructural characterization using one- and two-dimensional NMR spectroscopy. Macromolecules. 2003;36(10):3545–3549.
- [26] K N H, Jabbari A, H K H, et al. Why delta-valerolactone polymerizes and gamma-butyrolactone does not. J Org Chem. 2008;73(7):2674–2678.
- [27] Yamashita K, Yamamoto K, Kadokawa J. Acid-catalyzed ring-opening polymerization of gamma-butyrolactone under high-pressure conditions. Chem Lett. 2014;43 (2):213–215.
- [28] Hong M, E Y X C. Completely recyclable biopolymers with linear and cyclic topologies via ring-opening polymerization of gamma-butyrolactone. Nat Chem. 2016;8(1):42–49.

- [29] Nakayama A, Kawasaki N, Aiba S, et al. Synthesis and biodegradability of novel copolyesters containing gamma-butyrolactone units. Polymer. 1998;39(5): 1213–1222.
- [30] Hu Y, C J Y, Zhang H, et al. pH-Triggered drug release of monodispersed P(St-co-DMAEMA) nanoparticles: effects of swelling, polymer chain flexibility and drug-polymer interactions. J Nanosci Nanotechnol. 2017;17(2):900–907.
- [31] Lauber L, Santarelli J, Boyron O, et al. pH- and thermoresponsive self-assembly of cationic triblock copolymers with controlled dynamics. Macromolecules. 2017;50(1):416–423.
- [32] Y L C, D L S, J K Y T, et al. Development of switchable polymers to address the dilemma of stability and cargo release in polycationic nucleic acid carriers. Biomaterial. 2017;127(16):89–96.
- [33] Li L, B B L, Q K F, et al. Synthesis and pH-responsive self-assembly behavior of a fluorescent amphiphilic triblock copolymer mPEG-b-PCL-b-PDMAEMA-g-PC for the controlled intracellular delivery of doxorubicin. RSC Adv. 2016;6(32):27102–27112.
- [34] L L W, J N S, Y J M, et al. Corn starch-based graft copolymers prepared via ATRP at the molecular level. Polym Chem. 2015;6(18):3480–3488.
- [35] Liu S, Li X, Guang N, et al. Novel amphiphilic temperature responsive graft copolymers PCL-g-P(MEO(2)MA-co -OEGMA) via a combination of ROP and ATRP: synthesis, characterization, and sol-gel transition. J Polym Res. 2016;23(7).
- [36] Conzatti G, Cavalie S, Combes C, et al. PNIPAM grafted surfaces through ATRP and RAFT polymerization: chemistry and bioadhesion. Colloids Surfaces B. 2017;151 (3):143–155.
- [37] G P L, R N J. Design and synthesis of polymeric dispersant for water-borne paint by atom transfer radical polymerization. Des Monomers Polym. 2016;19(3):256–270.
- [38] Undin J, Olsén P, Godfrey J, et al. Controlled copolymerization of the functional 5-membered lactone monomer, αbromo-γ-butyrolactone, via selective organocatalysis. Polymer. 2016;87(6):17–25.
- [39] Bruce C, Javakhishvili I, Fogelström L, et al. Well-defined ABA- and BAB-type block copolymers of PDMAEMA and PCL. RSC Adv. 2014;4(49):25809.
- [40] Motala-Timol S, Jhurry D. Synthesis of graft and block copolymers from 2-dimethylaminoethyl methacrylate and caprolactone. Polym Int. 2007;56(8):1053–1062.
- [41] N J H, D C S. A gel-permeation chromatography method to determine grafting efficiency during graftcopolymerization. Polymer. 1994;35(26):5693–5698.