# REVIEW Open Access



# Resources to aid ethical review of clinical studies: an exploratory scoping review identifying gaps and opportunities

Merle-Marie Pittelkow<sup>1\*</sup> and Daniel Strech<sup>1</sup>

## **Abstract**

**Background** Research Ethics Committees (RECs) review the ethical, legal, and methodological standards of clinical research. Complying with all requirements and professional expectations while maintaining the necessary scientific and ethical standards can be challenging for applicants and members of the REC alike. There is a need for accessible guidelines and resources to help medical researchers and REC members navigate the legal and ethical requirements and the process of their review.

**Methods** We employed an explorative search for resources on the websites of a purposively selected sample of relevant stakeholders, including 12 national umbrella organizations (six German-language and six English-language), three English-language international umbrella organizations, and 16 national RECs of major university hospitals (eight German- and eight English-language). We qualitatively mapped the identified resources onto the guiding principles of ethical clinical research and 35 related checkpoints. To describe the content of the resources, we conducted a thematic analysis.

**Results** We extracted a total of 233 resources, including templates (n = 134, 58.5%), guidelines/recommendations (n = 62, 26.6%), checklists (n = 23, 9.9%), tools (n = 5, 2.2%), flowcharts (n = 5, 2.2%), glossaries (n = 3, 1.3%), and one (0.4%) software program. We extracted 101 German and 132 English resources created between 2004 and 2023. The majority (n = 204; 87.6%) could be assigned to one checkpoint. The remaining 29 (12.5%) resources were considered unspecific (e.g., a checklist which documents to be submitted for a German drug trial). The specific resources are discussed per checkpoint.

**Conclusion** While much support is available for some aspects, such as participant information and informed consent forms, it is lacking in other areas, such as study design, analysis, and biometrics. More support should be provided in these areas to ensure that research projects are methodologically sound. A more detailed analysis of the quality of available resources could help identify other areas of need.

Keywords Research ethics, Clinical trials, Resources, Guidance

## **Background**

Human clinical research plays a central role in the development and validation of therapies (including drugs, biologics, and medical devices) and diagnostics [1]. To ensure that clinical trials involving human participants meet the highest standards of research ethics, they must be reviewed by an Institutional Review Board (IRB) or

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Research Ethics Committees (RECs).¹ Although there are some international differences in responsibilities [2], RECs generally evaluate clinical trials for ethical justification, including the risks and benefits to study participants, the informed consent documents, scientific validity, and methodological soundness including aspects of the study design and statistical analysis [3–6]. Consequently, RECs are of vital importance for the ethical, legal, and methodological standard of clinical research.

The quality of the ethical review hinges on the quality of the documents provided by the applicants and the ability of members of RECs to evaluate these. The quality of the documents in turn depends on the applicant's ability to navigate a complex landscape of ethical, legal, and methodological requirements. Members of RECs on the other hand must handle these aspects in a responsible and fair manner. Some aspects are governed by international law, others by federal or state law, and some refer to non-legal professional laws and guidelines such as the Declaration of Helsinki [4] or CIOMS guidelines [5]. Furthermore, ethical judgments on the appropriateness of consent documents or risk—benefit ratios require expert knowledge and, unavoidably, include interpretive judgments.

Complying with all legal requirements and further professional expectations while maintaining the necessary scientific and ethical standards can be challenging for applicants and members of the REC alike. Consequently, there is a need for accessible guidelines and resources to help medical researchers and REC members navigate the legal and ethical requirements and the process of their review. Ideally, such guidance and resources should be easily accessible and readily available, enabling applicants to incorporate them into early study planning and ethics application drafting. Assuming that the first point of contact for applicants prior to the ethics application is the websites of ethics committees and their umbrella organizations, their websites serve as the optimal platform for distributing resources to applicants before they submit their ethics applications.

Several types of resources could facilitate the application and review process, including checklists, templates, topic-specific guidelines, decision trees, or online tools.<sup>2</sup> For example, checklists could ensure that all required information is included in the application avoiding unnecessary effort, such as the need to resubmit application. Templates could streamline the application and

review process, for example ensuring that informed consent texts meet all legal requirements. REC members could also benefit from using REC-approved templates, for example by saving time and focusing only on the highlighted changes during the assessment.

Many umbrella organizations and RECs already offer online resources for applicants and REC members. For example, the German association of RECs (Arbeitskreis Medizinischer Ethik-Kommissionen, AKEK) or the World Health Organization (WHO) offer guidelines and templates online to aid the application process [7, 8]. However, these individual resources are spread across many websites, making it opaque what the existing resources offer. A scoping review in PubMed and Google on "Resources to Aid Ethical Review of Clinical Studies" did not reveal a systematically developed overview of available resources. It is therefore unclear to what extent the available resources cover aspects of clinical research ethics.

The aim of this project is to explore and qualitatively describe the pool of available resources to answer the question of which types of resources are already available and which topics they cover. Our search is not intended to identify all available resources, but to give a qualitative, thematically saturated overview of what types of resources are commonly available. Therefore, we focused our search on the websites of a purposively selected sample of relevant stakeholders including umbrella organizations of RECs and RECs of major university hospitals and limited ourselves to German- and English-language resources. We have selected these groups because we believe that they are the first point of contact for applicants and REC members when faced with issues regarding research ethics in clinical trials as well as the process of writing or reviewing an ethics application.

# **Methods**

## Protocol and registration

This dynamic, data-driven project, was not preregistered. Instead, we provide a project log and all relevant material on OSF (https://osf.io/e7dmt/).

### Search and selection of sources

We searched for online resources provided by national and international umbrella organizations for clinical research ethics as well as RECs of large university hospitals in Germany, the USA, and the UK (see Table 1). The project started in February 2023. We created a list of potential sources from personal experience and expertise. We then searched the websites of relevant umbrella organizations also applying backward searching, examining relevant stakeholders when they were mentioned on the websites of the umbrella organizations. Next, we

 $<sup>\</sup>overline{\ }$  The term IRB is more commonly used in America. In this article, we will use the term REC, which is more common in Europe.

Note that we have intentionally excluded textbooks and published papers from this list, as they are not as readily accessible and require a greater time commitment that is rarely achievable in application and review procedures for individual clinical studies.

**Table 1** Overview of the informational sources

Language	Name	Identified via	Nr. extracted resources
National umbre	ella organizations		
German	Arbeitskreis Medizinischer Ethik-Kommissionen (AKEK)	Author team	32
German	Swiss Human Research Ethics Committee (swissethics)	Author team	45
German	Forum Österreicher Ethikkommissionen	Author team	1
German	Technologie- und Methodenplattform für die vernetzte medizinische Forschung e.V	AKEK	1
German	Bundesinstitute für Arzneimittel und Medizinprodukte	Ethik-Kommission Westfalen-Lippe	1
German	Paul Ehrlich Institute	Ethik-Kommission Westfalen-Lippe	3
English	National Health Service (NHS) Health Research Authority	Author team	10
English	United Kingdom Research and Innovation (UKRI)	Author team, online search	4
English	Canadian Institutes of Health Research	Online search	1
English	SmartIRB	Online search	1
English	National Institute of Health (NIH)	Author team	0
English	Clinical Research Ethics Consultation Collaborative	Online search	1
International u	mbrella organizations		
English	World Health Organization (WHO)	Author team	13
English	European Network of Research Ethics Committees (EUREC)	Online search	1
English	Ethics and New Emerging Research Institutions (ENERI)	Online search	1
National RECs			
German	Ethik-Kommission Westfalen-Lippe	Author team	4
German	Ludwig Maximilian University of Munich (LMU)	Author team	12
German	Hannover Medical School (MHH)	Author team	4
German	Ärztekammer Hamburg	Author team	0
German	University of Cologne	Author team	7
German	Heidelberg University	Author team	5
German	Albert-Ludwigs-University Freiburg	Author team	4
German	University of Vienna	Author team	0
English	Stanford Center for Biomedical Ethics	Author team	31
English	Mayo Clinic	Author team	0
English	Johns Hopkins	Author team	21
English	University Hospital Bristol	Author team	23
English	Royal Stoke	Author team	0
English	Cambridge	Author team	0
English	Oxford	Author team	0
English	University Hospitals Birmingham	Author team	9

Note: As we avoided double extraction, the number of extracted resources is not indicative of the number of overall resources provided by the stakeholder

searched the websites of RECs of major university hospitals. On each individual website, we first opened all subtabs linked to from the starting website. We then went through each subpage successively and searched for resources relating to ethics. The search was stopped in August 2023 when we reached saturation defined as encountering the same kind of resources (e.g., templates for informed consent) without being able to add untapped resources to the collection. A detailed log of the search including considerations and justifications

for the inclusion and exclusion of stakeholders can be found on OSF (https://osf.io/usbt8). Due to the use of backwards searching the final selection of sources also included related umbrella organizations (e.g., Clinical Research Ethics Consultation Collaborative) and not only umbrella organizations or RECs.

## Information sources

We identified 31 information sources (Table 1) including 12 national umbrella organizations, three international umbrella organizations, and 16 national RECs.

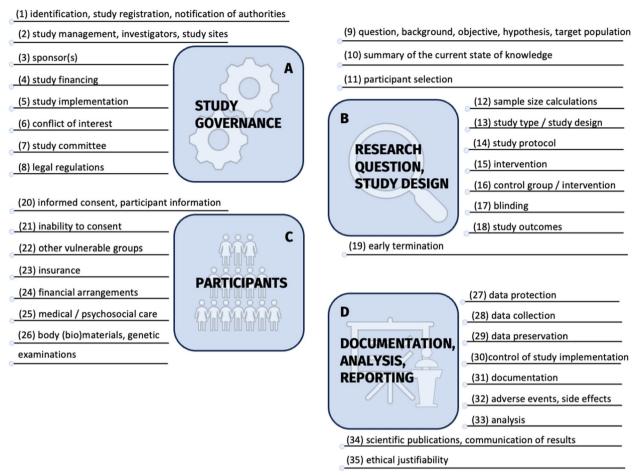


Fig. 1 The 35 checkpoints associated with the guiding principles of ethical clinical research

### **Eligibility criteria**

We limited ourselves to German- and English-language resources but employed liberal eligibility criteria. To be extracted, resources had to relate to the application for ethical approval for clinical studies. Clinical studies could be mono- or multi-site studies, trials testing medical products, drugs, or other medical interventions with human participants. Resources could be of several types (i.e., checklists, templates, flowcharts, or recommendations) and be addressed to the applicants (i.e., applied researchers), REC members, or both. We did not extract resources in the form of legal texts, tutorials for university-specific submission programs, or course syllabi. To avoid duplication, we did not extract resources if they were already extracted from a previous source.<sup>3</sup>

#### Data items

For each resource, we extracted: the stakeholder and their main website, country, year of publication, type of study if applicable, type of resource, the link to the resource, a description of the resource, and language. The extraction sheet was piloted with a selection of resources from the first stakeholder considered (i.e., AKEK) and adjusted accordingly.

### Data charting process and synthesis

To qualitatively describe the resources, we mapped them onto the guiding ethical principles of an internationally established framework for clinical research, namely, social value, scientific validity, favorable risk—benefit ratio, fair participant selection, independent review, informed consent, respect for participants, and collaborative partnership (see [9]) and 35 related checkpoints presented in Raspe et al. [10]. The 35 checkpoints (Fig. 1) provide a more detailed and praxis-oriented account of how the guiding principles are translated into the ethical review process.

<sup>&</sup>lt;sup>3</sup> Note that this limits the comparability of the number of resources between sources as we did not count the number of all resources available but the number of resources, not yet presented at previously extracted sources.

If possible, resources were assigned to one of the 35 checkpoints during extraction. If no clear assignment was possible, the resource was grouped under "other." During data analysis, we checked whether this initial assignment was congruent with the content of the resource. This resulted in 21 resources being relabeled (see the project log provided on OSF).

To describe the available resources, the first author (MMP) conducted a thematic analysis in Atlas.ti using thematic analysis as a realist method. Themes were identified at the semantic level from the content explicitly mentioned in the resources. We used a deductive approach by having the checkpoints guide our qualitative analysis and theme construction. First, documents were grouped according to the checkpoints assigned during data extraction. Next, the resources for the first ten checkpoints were coded to create a codebook. The overall structure of the codebook was continuously revised during this process. Following, the revised codebook structure (i.e., authors, target group, resource type, main topic, topic, language, study type, participant type, data type, and legal regulations) was used to code all resources. While additional subcodes were added during this stage, the overall theme structure remained.

### **Results**

We initially extracted 243 resources from the websites of 24 stakeholders<sup>4</sup> (see Table 1) reflecting umbrella organizations of RECs and RECs of major university hospitals. During data analysis, we excluded ten resources (nine due to eligibility criteria and one duplicate). This resulted in a total of 233 resources. The majority (n=204; 87.6%) could be assigned to one checkpoint. The remaining 29 (12.5%) resources were considered unspecific (e.g., a checklist which documents to be submitted for a German drug trial).

Resources included templates (n=134, 58.5%), guidelines/recommendations (n=62, 26.6%), checklists (n=23, 9.9%), tools (n=5, 2.2%), flowcharts (n=5, 2.2%), glossaries (n=3, 1.3%), and one (0.4%) software program. We extracted 101 German resources and 132 English resources. Resources were created between 2004 and 2023 with more resources being created or updated recently (see Fig. 2.)

## Qualitative description of resources

Below, we provide a brief overview of the available resources per checkpoint. A more detailed overview of the available resources per checkpoint and the themes they cover is presented in Table 2 and the full list of all available resources can be found in the supplement.

Following the structure proposed by Raspe and colleagues [10], we grouped checkpoints and resources under the headings of (1) study governance/management (n=24, 10.30%), (2) research question and study design (n=32, 13.73%), (3) study participants (n=99, 40.77%), and (4) documentation, analysis, and dissemination (n=49, 21.03%) (see Fig. 1). The remaining 29 (12.45%) resources were grouped under "other."

## Study governance/management

The topic "study governance/management" covers the broad set of activities of principal investigators (PIs), sponsors, or other staff involved in managing and governing a specific clinical study. Our search revealed supporting resources for most but not all the checkpoints grouped under this topic (5/8; 62.50%).

Support was offered regarding the qualifications of study management, investigators, and study sites (n=14), the implementation of multi-site studies (n=5) with a specific focus on ancillary review, the collaboration between study management and sponsors (n=2), the transparent calculation and tracking of study financing (n=2), and the reporting of conflict of interests (n=1).

Our search revealed no resources to support the creation of an appropriate study title, study registration, and notification of authorities, the identification of relevant legal regulations, or the composition or creation of study committees.

## Research question and study design

The topic "research question and study design" covers conceptual and methodological aspects of the study development and design. Our search revealed resources to support only the minority (4/11; 36.36%) of the checkpoints grouped under this topic.

Support was offered for the design and organization of study protocols (n=23) including resources offering universal support or targeting specific study designs or data collection methods, the selection and specifically the recruitment of participants (n=7), the risk assessment of an intervention in a clinical study involving therapies of medicinal products, and the reporting of trial termination to the responsible REC (n=1).

Our search revealed no resources to support the other checkpoints relating to study design. Namely, the research question, background, objective, hypothesis, target population, the summary of the current state of knowledge, sample size calculation, the study type and

 $<sup>^{\</sup>rm 4}$  For seven stakeholders, we did not find any additional resources to extract.

<sup>&</sup>lt;sup>5</sup> Our search suggests that some RECs such as for example the University Hospital Bristol and University Hospital Birmingham offer institution specific resources regarding sponsorship. These did not meet our inclusion criteria but are presented in the data file on OSF under "other resources.".

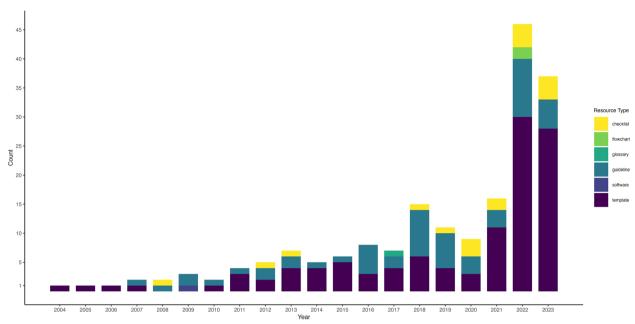


Fig. 2 Development of resources over time. Note that for 45 resources, we were unable to extract a year of creation

study design, the control group/intervention, blinding, and the study outcomes.

## Study participants

The topic "study participants" covers aspects related to the safety and compensation of study participants. Our search revealed resources to support the majority (6/7; 85.71%) of the checkpoints grouped under this topic.

Specifically, support was offered for the preparation of participant information and informed consent forms (n=76) including resources offering universal support or targeting specific study designs, participant types, or situations, the inclusion and treatment of members of vulnerable groups (n=8), aspects related to insurance (n=6), the development of informed consent strategies for participants that are incapable of providing consent (n=5), the collection and storage of biological or genetic material (n=3), and the financial compensation for participation (n=1).

Our search did not yield results for resources to support setting up medical care or psychosocial support for study participants.

### Documentation, analysis, reporting

The topic "documentation, analysis, reporting" covers aspects relating to the documentation, storage, and sharing or data, methods, and results. Our search revealed resources to support the majority (7/9; 77.78%) of the checkpoints grouped under this topic.

Specifically, support was offered for the documentation and reporting of adverse events and side effects (n=14), the collection (n=5) protection (n=10), and preservation of data (n=9), documentation purposes (n=9), and the analysis (n=1) and reporting (n=1) of study results.

Our search did not reveal any resources to control study implementation.

#### Other

We extracted an additional 29 resources ( $n_{\rm English} = 18$ ,  $n_{\rm German} = 11$ ) that could not be grouped under one of the checkpoints. This included 12 checklists, nine guidelines, five templates, two tools, and one flowchart. The checklists concerned the required documents to be submitted for several types of studies, evaluation of the application or study protocol, continuing review, human research determination, project closure, and the use of templates (from swissethics). Guidelines targeted the evaluation of applications, the obligation to report changes to the study protocol to the REC, human research determination, guidance on how to apply through the online portal ethikPool, or a compilation of international human

 $<sup>^6</sup>$  Please note that the collection of biological materials was addressed in 13 additional resources including informed consent templates, insurance templates, and templates for participant information, which are grouped under different checkpoints.

<sup>&</sup>lt;sup>7</sup> However, financial arrangements and compensation was also mentioned in two additional resources. Guideline R134 grouped under participant recruiting explores the option of financial compensation and template R217 grouped under study financing has a section for financial arrangements.

 Table 2 Extracted resources per checkpoint

Checkpoint	N	Available resources
Study governance/management	24	
1. Identification, study registration, and reporting	0	None
2. Study leadership/investigators, study/examination centers	14	<ul> <li>- 6 guidelines (examiner qualification, required qualifications)</li> <li>- 6 templates (site suitability, examiner suitability, and investigator CV)</li> <li>- 2 flowcharts (examiner suitability)</li> </ul>
3. Sponsor	2	- 2 templates (amendments to be evaluated by sponsor, clinical study agreement)
4. Study financing	2	<ul><li>1 tool (transparent cost calculation)</li><li>1 template (transparent cost calculation)</li></ul>
5. Study implementation	5	<ul><li>1 checklist (ancillary review, multi-site studies)</li><li>3 guidelines (ancillary review)</li><li>1 template (ancillary review, multi-site studies)</li></ul>
6. Conflict of interest	1	- 1 template (financial disclosure)
7. Study committees	0	None
8. Legal regulations	0	None
Research question, study design	32	
9. Question, background, objective, hypotheses, target population	0	None
10. Summary of the current state of knowledge	0	None
11. Participant selection	7	<ul> <li>- 3 guidelines (participant recruitment, appropriate language)</li> <li>- 3 templates (recruitment ads, telephone recruitment script)</li> <li>- 1 checklist (recruitment via advertisement)</li> </ul>
12. Sample size determination	0	none
13. Study type/study design	0	none
14. Study protocol/examination plan with timetable, work plan	23	<ul> <li>- 15 templates (study protocols for general clinical studies, prospective studies retrospective studies, qualitative studies, studies with medical devices, studies with in vitro diagnostics, dead body(parts), and humans)</li> <li>- 5 guidelines (study protocols for clinical studies in general, prospective studies, retrospective studies, and qualitative studies)</li> <li>- 3 checklists (general aspects, one with patient-reported outcomes)</li> </ul>
15. Intervention	1	- 1 template (risk assessment)
16. Control group/control intervention	0	none
17. Blinding	0	none
18. Study outcomes	0	none
19. Early termination	1	- 1 template (termination of the study including early termination)
Study participants	99	
20. Participant information and informed consent	76	- 51 templates (informed consent forms and study information for various types of research (minimal risk, magnetic resonance imaging, trials with medicinal products, drug trials, trials with biological or genetic materials, trials with radiation), types of participants (consenting adults, children, parents and legal guardians, pregnant people), and situations (expanded access, new information, continued participation, screening, ancillary review); verbal consent; general consent. This also included one template for general consent) - 14 guidelines (requirements participant information and informed consent forms, electronic consent, non-native speaker, appropriate language, research with children) - 6 checklists (informed consent) - 3 glossaries (laypeople language) - 2 tools (participant information, informed consent)
21. Inability to consent	5	- 3 guidelines (surrogate decision makers, post-mortem studies) - 2 templates (informed consent forms for surrogate decision makers)
22. Other vulnerable groups of study participants	8	<ul> <li>5 guidelines (research with minors, language for people with learning disability, emergency research)</li> <li>2 templates (pregnancy report forms)</li> <li>1 checklist (research with minors)</li> </ul>
23. Insurance	6	<ul><li>- 3 guidelines (participant insurance, general requirements)</li><li>- 3 templates (liability insurance, confirmation of insurance)</li></ul>
24. Financial arrangements	1	- 1 guideline (compensation)

Table 2 (continued)

Checkpoint	N	Available resources
25. Medical care/psychosocial support	0	
26. Body (bio)materials, genetic examination/analysis	3	- 2 guidelines (evaluation of biobanks) - 1 template (data repository genetic data)
Documentation, analysis, reporting		
27. Data protection	10	- 3 guidelines (GDPR, biobanks) - 6 templates (GDPR, data leaks, participant information, biological material) - 1 software (anonymization)
28. Data collection	5	- 4 templates (data management plan, data collection form) - 1 guideline (review data management plan)
29. Data preservation	9	<ul> <li>- 6 templates (data sharing, archiving)</li> <li>- 3 guidelines (data sharing, retention period, databases, and required approvals)</li> </ul>
30. Control of study implementation	0	
31. Documentation	9	<ul> <li>- 8 templates (handover plan, amendment log, training log, site screening log, adverse event log)</li> <li>- 1 guideline (essential research documentation)</li> </ul>
32. Adverse events, side effects		<ul><li>- 12 templates (reporting, follow-up)</li><li>- 2 guidelines (health-related findings, safety update report)</li></ul>
33. Analysis	1	- 1 flowchart (biometry)
34. Scientific publications, communication of results	1	- 1 template (publication and conference presentations)
35. Ethical justifiability	0	
Other	29	

research standards. The tools offered online training for clinical research ethics and the flowchart could be used to find answers to ethical questions that might arise during the research process.

## **Discussion**

### Resource landscape

At the searched websites of umbrella organizations and RECs of major university hospitals, we found a broad spectrum of resources supporting clinical researchers and members of RECs, most of which were templates, followed by guidelines and checklists.

Most resources were relatively recent, with the majority published in 2022 and 2023. This trend likely reflects the revision or development of materials following the implementation of the Medical Device Regulation (EU) 2017/745 in 2021 and the Clinical Trial Regulation (EU) No 536/2014 in 2022. Given that our search primarily focused on European countries, this regulatory shift may have influenced the distribution of findings.

Support varied between different checkpoints. Various types of resources are available for some aspects such as the preparation and assessment of participant information and consent form for different participant groups, subject areas (e.g., language and surrogate decision makers), and legal contexts, the preparation of the study protocols, the assessment of qualifications of investigators and study sites, the reporting and

documentation of adverse events, and data protection. Nonetheless, support is lacking in other areas (i.e., study design, analysis, and biometrics).

#### Gaps

In some instances, there are reasonable explanations for a lack of resources. For example, umbrella organizations and RECs offered limited support for trial registrations, instead providing links to registry websites possibly under the assumption that registries should provide resources for trial registration, as the DRKS (Deutsches Register Klinischer Studien) and ClinicalTrials.gov do [11, 12]. However, this dispersion of information may pose a risk, making it time-consuming for applicants to find the necessary support and potentially explains some of the reported shortcomings of trial registrations [13, 14].

Similarly, the searched websites did not provide resources to help researchers navigate the legal texts that govern many types of clinical trials. Instead, stakeholders frequently referred to the legal texts in full. As some legal requirements for clinical trials are reflected by checkpoints (e.g., qualification of the principal investigator), it is understandable that there were no resources specifically assigned to this checkpoint. Nonetheless, we believe that researchers could benefit from interpretive aids or checklists of the requirements arising from the legal regulatory framework.

This review also identified important gaps in the existing resources provided by the searched stakeholders in areas related to study design and biometrics. Few to no resources were found for these aspects. It is possible that this expertise resides outside of ethics. In contrast to other aspects, however, stakeholders did not refer to existing structures such as clinical study coordination systems or methodological and/ or statistical consultation offered by university hospitals, which might be helpful for researcher to become aware of these services and seek them out. However, even then, the psychological barrier of a personal consultation may be high, which raises the question of the extent to which these services would be used. The need for simple, understandable, and easy-to-use resources on the topic of study design and biometrics for clinical research in humans, for example, available for animal research (e.g., the Experimental Design Assistant [15]), remains.

Even for checkpoints with resources available, it remains open whether these indeed cover all relevant topic areas. For example, participant selection does not only concern the recruitment but also judgments regarding the representativeness of the participant pool, and appropriate in- and exclusion criteria. However, we did not find resources addressing these aspects of participant selection. Similarly, there is a scarcity of resources supporting the reporting of conflict of interest (CoI), despite tools developed by other stakeholders like journals and publishers to facilitate CoI statement creation (e.g., https://declarations.elsevier.com/).

## Opportunities

This project highlights opportunities for advancing and refining resources to support the clinical research ethics application process. The primary project output is a comprehensive overview of resources provided by key stakeholders. This overview can be used (1) for further tool and resource development and (2) as a database for future research evaluating their quality and alignment with the needs of applicants and REC members.

Ultimately, these resources aim to enhance the quality of the ethical review process for all stakeholders, including applicants, REC members, and research participants. Presently, there are no established measures to evaluate the quality and effectiveness of RECs [16]. This is likely because the process of research ethics applications and approvals is based on ethical and regulatory standards that are subjectively applied [17]. In the absence of effectiveness measures, tools, templates, checklists, and other resources can improve the clinical ethical review process.

At the very least, systematic use of resources enhances the efficiency of the review process and ensures compliance with regulatory and institutional policies, key quality indicators often mentioned by REC directors [17]. At best, the use of tools and resources for REC applications and decision-making procedures enables thoughtful engagement with the procedure of REC decision-making and promotes consistent and robust standards (see for example [18]).

### Limitations

Although this review has covered many prominent and relevant stakeholders, we recognize that our results do not cover all resources available to clinical researchers and REC members. Some information may be located on the websites of stakeholders that were not included, other information may be shared internally, through passwordprotected pages, and other resources are likely available on websites of other organization types not included in this review. Additionally, the scope of our review was determined by thematic saturation. However, increased redundancy in some topics does not mean that there are not some resources on other topics on websites that we did not look at. We therefore highlight that this was a first scoping review exploring what kind of resources are provided at RECs and related umbrella organizations and should not be viewed as a comprehensive assessment of all available resources.

We caution against viewing our resource collection as a recommendation. In our qualitative analysis, we noticed markable variation in the depth and quality of different resources, but accuracy and timeliness were not examined. The qualitative value of the available resources remains unclear. Further detailed analysis and user testing are needed, but beyond the scope of this paper.

Lastly, we did not differentiate between supporting templates and forms required by the REC or (national) law. While we label forms as such in the amendment, we did not treat them as a separate category in the analysis, as forms can be considered supportive as they enhance transparency and the systematic nature of the research ethics application, facilitating understanding and compliance. This distinction may be explored in future research.

## **Conclusion**

This project provided an initial overview of the resources available to support applicants and REC members. We hope that this project will stimulate greater engagement with available resources and the identified thematic gaps, both in consultation with relevant stakeholder groups.

#### Abbreviations

AKEK Arbeitskreis Medizinischer Ethikkommissionen

IRB Institutional Review Board REC Research Ethics Committee WHO World Health Organization

## **Supplementary Information**

The online version contains supplementary material available at https://doi.org/10.1186/s13063-025-08782-1.

Supplementary Material 1, PRISMA checklist,

Supplementary Material 2. Resource Collection.

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#### Authors' contributions

D.S.: conceptualization, funding acquisition, methodology, supervision, and writing—review and editing. M.-M.P.: conceptualization, data curation, formal analysis, investigation, methodology, project administration, software, visualization, and writing—original draft.

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### Data availability

The datasets generated and analyzed during the current study are available in the OSF repository, https://osf.io/e7dmt/, DOI https://doi.org/10.17605/OSF. IO/E7DMT.

#### **Declarations**

#### Ethics approval and consent to participate

Not applicable.

## Consent for publication

Not applicable.

#### Competing interests

The authors declare that they have no competing interests.

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