



Upper Endoscopy up to 3 Years Prior to a Diagnosis of Gastric Cancer Is Associated With Lower Stage of Disease in a USA Multiethnic Urban Population, a Retrospective Study

Shailja C. Shah^{1,2}, Chiaki Nakata³, Alexandros D. Polydorides^{1,4}, Richard M. Peek Jr², Steven H. Itzkowitz¹

¹The Dr. Henry D. Janowitz Division of Gastroenterology, Icahn School of Medicine at Mount Sinai, New York, NY, USA; ²Division of Gastroenterology, Hepatology, and Nutrition, Vanderbilt University Medical Center, Nashville, TN, USA; ³Icahn School of Medicine at Mount Sinai, New York, NY, USA; ⁴Department of Pathology, Icahn School of Medicine at Mount Sinai, New York, NY, USA

Objectives: In the USA, certain races and ethnicities have a disproportionately higher gastric cancer burden. Selective screening might allow for earlier detection and curative resection. Among a USA-based multiracial and ethnic cohort diagnosed with non-cardia gastric cancer (NCGC), we aimed to identify factors associated with curable stage disease at diagnosis.

Methods: We retrospectively identified endoscopically diagnosed and histologically confirmed cases of NCGC at Mount Sinai Hospital in New York City. Demographic, clinical, endoscopic and histologic factors, as well as grade/stage of NCGC at diagnosis were documented. The primary outcome was the frequency of curable-stage NCGC (stage 0-1a) at diagnosis in patients with versus without an endoscopy negative for malignancy prior to their index exam diagnosing NCGC. Additional factors associated with curable-stage disease at diagnosis were determined.

Results: A total of 103 racially and ethnically diverse patients were included. Nearly 38% of NCGC were stage 0-Ia, 34% stage Ib-III, and 20.3% stage IV at diagnosis. A significantly higher frequency of NCGC was diagnosed in curable stages among patients who had undergone an endoscopy that was negative for malignancy prior to their index endoscopy that diagnosed NCGC, compared to patients without a negative endoscopy prior to their index exam (69.6% vs. 28.6%, $p=0.003$). A prior negative endoscopy was associated with 94.0% higher likelihood of diagnosing curable-stage NCGC ($p=0.003$). No other factors analyzed were associated with curable-stage NCGC at diagnosis.

Conclusions: Endoscopic screening and surveillance in select high-risk populations might increase diagnoses of curable-stage NCGC. These findings warrant confirmation in larger, prospective studies.

Key words: Early diagnosis, Gastrointestinal neoplasm, *Helicobacter pylori*, Intestinal metaplasia, Atrophic gastritis, Race factors

Received: November 14, 2018 Accepted: April 24, 2019

Corresponding author: Shailja C. Shah, MD, MPH
Division of Gastroenterology, Hepatology, and Nutrition, Vanderbilt
University Medical Center, 2215 Garland Avenue, Nashville,
TN 37203, USA

E-mail: shailja.c.shah@vumc.org

This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (<http://creativecommons.org/licenses/by-nc/4.0/>) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited.

INTRODUCTION

Gastric cancer (GC) is the 5th most common cancer and the 3rd leading cause of cancer mortality worldwide [1-4], with non-cardia gastric cancer (NCGC) representing over 80% of all GC. There are clear global variations with respect to burden of disease, with areas of high incidence (e.g., East Asia, South/Central America) and comparatively lower incidence (e.g., USA) [1]. There is growing concern that the incidence and

mortality rates are increasing among certain groups in the USA, including minorities [5-7] and women below age 50 years [7]. However, because screening does not routinely occur in the USA even among high risk groups, NCGC is most often diagnosed in the advanced stages when curative resection is no longer an option. Prognosis is poor (<30% 5-year survival), since effective therapy for unresectable GC currently does not exist. By contrast, if NCGC is diagnosed at a resectable stage, 5-year overall survival is significantly higher and approaches 95-99% for early gastric cancer (EGC)—defined as NCGC that invades no deeper than the submucosa [8,9].

NCGC is believed to arise from the stepwise progression of chronic gastritis to atrophic gastritis (AG), intestinal metaplasia (IM), and dysplasia before malignant transformation to gastric adenocarcinoma [10,11]. Infection with *Helicobacter pylori* (*H. pylori*) is thought to be the primary trigger for these mucosal changes. Analogous to colorectal carcinogenesis, the detectable stepwise progression in gastric mucosa that takes place over many years, coupled with established safe endoscopic interventions for early stage disease, provides opportunity for screening, surveillance, and potentially curative therapeutic management and mortality benefit. Due to the high burden of disease and this understanding of the natural disease course, years ago Japan and South Korea (hereafter Korea) implemented national screening protocols, which have now corresponded to a reduction in overall GC-related mortality by at least 50-60%, attributed to earlier detection and curative resection [12-15]. Other countries do not routinely screen for NCGC. While the reasons for this are many, they include issues such as insufficient economic and healthcare infrastructure, lack of awareness of high-risk groups on the part of healthcare providers [16], and patient-related factors. We previously reported that providers working with multiethnic populations in New York City (NYC) often did not recognize the higher burden of NCGC among certain racial and ethnic groups, including Asian-Americans, Blacks, Hispanics, and immigrant groups from endemic areas like Russia and Eastern Europe [2,16-18]. This occurred despite the fact that NCGC incidence rates in these groups are estimated to be at least two to three times higher than the USA-born White population [18-21]. Notably, the incidence of NCGC in Korean-Americans is similar to colorectal cancer in the USA population—a cancer routinely screened for—and is estimated to be over 5 times higher than the incidence of NCGC among USA-born Whites [18]. In fact, it has already been shown that offering selected GC screening

for high-risk races and ethnicities is a highly cost-effective intervention in the USA [22]. Cost-effectiveness, however, does not necessarily equate to clinical effectiveness. While it stands to reason that the cost benefit for selected screening and surveillance is related to the identification of resectable NCGC, this has not been previously demonstrated in an at-risk USA population.

The primary aim of our study, therefore, was to determine whether having an upper endoscopy that was negative for malignancy (analogous to a screening endoscopy) prior to the index upper endoscopy that diagnosed NCGC was associated with a higher likelihood of curable stage NCGC at diagnosis compared to individuals who did not have an upper endoscopy prior to their index diagnosis. We secondarily aimed to identify other risk factors associated with curable stage NCGC at diagnosis.

METHODS

Study Population

This retrospective cross-sectional study was approved by the Institutional Review Board at Mount Sinai Hospital. Patients were identified via a query of a comprehensive pathology database, which includes endoscopic biopsies and resected specimens for cases of GC diagnosed by endoscopy and reviewed by the institution's expert gastrointestinal (GI) pathologists between January 2008 and May 2016. Each medical record was reviewed to confirm the histologic diagnosis of primary gastric adenocarcinoma and to ensure the availability of the endoscopic and histologic findings. Inclusion criteria were confirmed histologic diagnosis of gastric adenocarcinoma, confirmed location of cancer distal to the gastric cardia (i.e., at least 5 cm distal to the gastroesophageal junction), no prior documented history of GC of any type, and documentation of at least one endoscopy, which could have been the endoscopy during which NCGC was diagnosed (hereafter index endoscopy) or an endoscopy prior to their index endoscopy during which no malignancy was diagnosed (hereafter negative endoscopy). Patients were excluded if they had a diagnosis of primary adenocarcinoma of the gastric cardia or gastroesophageal junction, if they had a prior diagnosis of any GC, if they had any prior gastric surgeries, or if there was not documentation of at least one endoscopy.

Data Collection

Patient demographics and baseline information including age, sex, race/ethnicity, smoking status, alcohol use, and family history of GC were recorded. We recorded the clinical presentation and indication for the index endoscopy during which NCGC was diagnosed. We documented any history of a negative endoscopy prior to the index endoscopy, as well as the respective time interval between the negative endoscopy and the index endoscopy diagnosing NCGC. Full endoscopic reports from endoscopies performed at outside institutions

were included. All pathology obtained from an outside institution was re-reviewed by one of our institution's expert GI pathologist and served as the final pathologic diagnosis. Background histologic findings at the time of the index NCGC diagnosis were documented as to the presence of AG, IM, and dysplasia (low-grade [LGD] and/or high-grade [HGD]). Pre-neoplasia was categorized as "multifocal" if documented in both the antrum and corpus. The presence or absence of active *H. pylori* infection was determined based on immunostain. For patients who were not actively infected with *H. pylori* based

Table 1. Demographics and clinical characteristics

Characteristics	Total population (n = 103)	Characteristics	Total population (n = 103)
Age (median and range, y)	71 (41-92)	Early satiety	5 (4.9)
Hispanic/Latino	75 (41-87)	Gastric outlet obstruction	9 (8.7)
European White	72 (50-88)	Weight loss	16 (15.5)
Black	71 (41-89)	Anemia (no overt GI bleed)	16 (15.5)
Asian	61 (50-73)	Surveillance/screening (asymptomatic)	3 (2.9)
Non-European White	80 (69-90)	Stage of NCGC	
Unknown	72 (45-92)	0	12 (11.7)
Sex		Ia	27 (26.2)
Male	68 (0.7)	Ib	11 (10.7)
Female	35 (0.3)	II	12 (11.7)
Ethnicity		III	12 (11.7)
Hispanic/Latino	26 (25.2)	IV	21 (20.3)
European White	19 (18.4)	Not specified	8 (7.8)
Black	18 (17.4)	Grade of NCGC	
Asian	18 (17.4)	Well-differentiated	2 (1.9)
Non-European White	5 (4.9)	Moderately-differentiated	29 (28.2)
Unknown	17 (16.5)	Poorly-differentiated	58 (56.3)
Family history of GC		Not specified	14 (13.5)
Yes	11 (10.6)	Location of NCGC	
No	69 (67.0)	Antrum	48 (46.6)
Unknown	23 (22.3)	Incisura	16 (15.5)
Smoking status		Body/fundus	30 (29.1)
Non-smoker	33 (32.0)	Pylorus	4 (3.9)
Current/former smoker	46 (42.7)	Not specified	5 (4.9)
Unknown	24 (23.3)	Negative EGD prior to index EGD (time from diagnosis)	23 (22.3)
Alcohol status		< 3 mo	3 (2.9)
Non-drinker	48 (46.6)	3-6 mo	4 (3.9)
Current/former drinker	28 (27.1)	6-12 mo	5 (4.9)
Unknown	27 (26.2)	1-2 y	3 (2.9)
Indication for endoscopy ¹		2-3 y	6 (5.8)
GI bleeding (overt)	26 (25.2)	> 3 y	2 (1.9)
Abdominal pain/dyspepsia	50 (48.5)		

Values are presented as number (%).

GC, gastric cancer; NCGC, non-cardia gastric cancer; GI, gastrointestinal; EGD, esophagogastroduodenoscopy.

¹Indication for the index endoscopy on which NCGC was diagnosed was known in 91.2% of patients (n=94/103). There was often more than one indication for endoscopy.

on histologic immunostain, the chart was reviewed for evidence of prior positive serology or evidence of prior treatment. Cancer grade and stage at time of diagnosis were documented. Anatomic/prognostic stages were determined according to the American Joint Committee on Cancer, 7th edition [23]. Stage 0 and Ia tumors were classified as “EGCs” (curable stage disease) for this study. We did not include stage Ib in this definition since stage Ib includes both T1N1 and T2N0 and the latter are not amenable to modern endoscopic resection techniques due to invasion deeper than the submucosa.

Outcomes

The primary outcome was the frequency of curable stage NCGC at diagnosis in patients with an endoscopy negative for malignancy prior to their index endoscopy that diagnosed NCGC, compared to patients without a negative endoscopy prior to their index endoscopy. Secondary analyses included determination of factors associated with curable stage NCGC at diagnosis.

Statistical Analysis

Descriptive, univariate, and multivariate analyses were carried out using Stata version 11.0 (StataCorp., College Station, TX, USA). Logistic regression was used to identify factors associated with curable stage NCGC at diagnosis. In addition to clinically relevant covariates determined *a priori*, parameters with p -value < 0.30 on univariate analyses were included in the multivariate models. Statistical significance was determined at the p -value < 0.05 level.

RESULTS

Demographics and Clinical Characteristics

A total of 103 patients (70% male) met criteria for inclusion (Table 1). The median age at the time of NCGC diagnosis was 71 (range, 41-92) years. Of the overall cohort, 25.2% were Hispanic/Latino, 18.4% European White, 17.4% Asian, and 17.4% Black, while 4.9% of the cohort was non-European White. Ap-

Table 2. Demographic and clinical characteristics based on EGD performed prior to index NCGC diagnosis¹

Characteristic	Prior EGD (n=23)	No prior EGD (n=70)	p-value	Characteristic	Prior EGD (n=23)	No prior EGD (n=70)	p-value
Age (median and range, y)	70 (51-92)	71 (41-90)	0.89	Abdominal pain/dyspepsia	11 (47.8)	36 (51.4)	0.71
Sex			0.22	Early satiety	2 (8.7)	3 (4.3)	0.30
Male	14 (60.9)	52 (74.3)		Gastric outlet obstruction	1 (4.3)	8 (11.4)	0.68
Female	9 (39.1)	18 (25.7)		Weight loss	3 (13.0)	13 (18.6)	0.74
Ethnicity			0.31	Anemia (no overt GI bleed)	4 (17.4)	11 (15.7)	0.73
Hispanic/Latino	6 (26.1)	21 (30.0)	0.58	NCGC stage			0.001
European White	8 (34.8)	11 (15.7)	0.06	0	8 (34.8)	3 (4.3)	
Black	3 (13.0)	17 (24.2)	0.29	Ia	8 (34.8)	17 (24.3)	
Asian	6 (26.1)	15 (21.4)	0.41	Ib	1 (4.3)	8 (11.4)	
Non-European White	0 (0.0)	6 (8.6)	0.57	II	2 (8.7)	7 (10.0)	
Family history of GC			0.44	III	0 (0.0)	12 (17.1)	
Yes	4 (17.4)	7 (10.0)		IV	3 (13.0)	18 (25.7)	
No	12 (52.2)	44 (62.9)		Not specified	1 (4.3)	5 (7.1)	
Not specified	7 (30.4)	19 (27.1)		NCGC grade			0.27
Smoking status			0.12	Well-differentiated	1 (4.3)	1 (1.5)	
Never	11 (47.8)	19 (27.1)		Moderately-differentiated	3 (13.0)	23 (35.4)	
Current/former	9 (39.1)	35 (50.0)		Poorly-differentiated	10 (43.4)	41 (63.8)	
Not specified	3 (13.0)	16 (22.9)		Not specified	9 (39.1)	5 (7.1)	
Alcohol status			0.18	NCGC location			0.89
Never	15 (65.2)	30 (42.9)		Antrum	11 (47.8)	32 (45.7)	
Current/former	5 (21.7)	23 (32.9)		Incisura	3 (13.0)	12 (17.1)	
Not specified	3 (13.0)	17 (24.3)		Body/fundus	7 (30.4)	22 (31.4)	
Indication for endoscopy				Pylorus	1 (4.4)	3 (4.3)	
GI bleeding (overt)	4 (17.4)	20 (28.6)	0.57	Not specified	1 (4.4)	1 (1.4)	

Values are presented as number (%).

EGD, esophagogastroduodenoscopy; NCGC, non-cardia gastric cancer; GC, gastric cancer; GI, gastrointestinal.

¹Detailed information about prior EGD was unavailable for 10 patients (excluded from prior analysis).

proximately 43% were current or former smokers, and 27.1% were current or former alcohol drinkers. The indication for the index endoscopy on which the NCGC was diagnosed was available in 94 patients (91.2%). Since endoscopic screening of asymptomatic individuals is not routine in the USA, the majority of patients were symptomatic at the time of NCGC diagnosis, with less than 3% of patients (n=3) having “surveillance” as their documented indication for endoscopy. Most patients had one or more of the following symptoms at presentation: abdominal pain/dyspepsia, GI bleed (overt or suspected occult), weight loss, gastric outlet obstruction, or early satiety (Table 1).

Practically all (98%) patients had histological evidence of background pre-neoplasia at the time of NCGC diagnosis (data not shown). One-third had AG (34%), while all 101 patients with pre-neoplasia had some form of IM. Only 10% of patients had active *H. pylori* infection by immunostain. No additional patients were identified as formerly positive based on available *H. pylori* serology or based on documentation of prior anti-*H. pylori* therapy.

Over half of patients had poorly-differentiated adenocarcinoma (56.3%), with only 1.9% of the cohort having well-differentiated histology. Antral location was most common (46.6%). At diagnosis, 37.9% of patients had curable stage NCGC (11.7%

stage 0, 26.2% stage Ia), while over 20% had metastatic disease. Among patients with stage III or IV NCGC at presentation, 90.9% had not had an endoscopy prior to their diagnosis.

Primary Outcome

Over 22% (n=23) had at least one negative endoscopy prior to their index endoscopy that diagnosed NCGC, while 68% (n=70) had no endoscopy prior to their index endoscopy diagnosing NCGC (Table 2). There was no difference in age, sex, race/ethnicity, family history of GC, smoking status, alcohol status, or indication for endoscopy between those who had an endoscopy and those who did not have an endoscopy prior to their index endoscopy diagnosing NCGC. There was also no difference in the location or histologic grade of NCGC. Notably, however, nearly 69.6% of the NCGCs diagnosed in patients with a prior negative endoscopy were stage 0 or Ia (curable stages) compared to only 28.6% in patients who did not have a prior endoscopy ($p=0.001$). Similarly, only 13.0% of NCGCs diagnosed in the patients who had had a prior negative endoscopy were stage III or IV, compared to nearly 42.8% among patients who had not had a prior endoscopy ($p=0.001$) (Table 2). The median time from prior negative endoscopy to the endoscopy with the index NCGC diagnosis was 343 days.

Table 3. Univariate and multivariate analysis of associations with NCGC stage >1a at diagnosis¹

Variable	Univariate analysis		Multivariate analysis ²	
	OR (95% CI)	p-value	OR (95% CI)	p-value
Age ≥ 65 y	1.65 (0.60, 4.53)	0.28	0.99 (0.92, 1.06)	0.68
Male sex	1.71 (0.65, 4.49)	0.23	1.62 (0.32, 8.18)	0.56
Ethnicity/race				
Black	3.35 (0.89, 15.5)	0.07	2.07 (0.27, 16.2)	0.49
Hispanic/Latino	2.59 (0.92, 7.59)	0.05	0.97 (0.13, 7.00)	0.98
Asian	0.36 (0.10, 1.23)	0.07	0.48 (0.05, 4.53)	0.52
European White	0.57 (0.16, 1.97)	0.31	-	-
Non-European White	0.25 (0.00, 3.41)	0.32	-	-
Smoking status (current/former)	1.57 (0.56, 4.44)	0.34	-	-
Alcohol use (current/former)	1.27 (0.44, 3.76)	0.62	-	-
Atrophic gastritis	1.22 (0.47, 3.27)	0.66	-	-
Dysplasia (LGD/HGD)	0.40 (0.05, 3.61)	0.36	-	-
Multifocal pre-neoplasia	0.58 (0.19, 1.73)	0.27	0.51 (0.09, 2.88)	0.45
Active <i>H. pylori</i> infection ³	-	-	-	-
Family history	0.35 (0.07, 1.61)	0.18	0.33 (0.05, 2.33)	0.27
Prior EGD	0.17 (0.05, 0.54)	<0.001	0.06 (0.01, 0.38)	0.003

NCGC, non-cardia gastric cancer; OR, odds ratio; CI, confidence interval; LGD, low-grade dysplasia; HGD, high-grade dysplasia; EGD, esophagogastroduodenoscopy.

¹Univariate and multivariate analysis for intestinal metaplasia could not be performed given its nearly universal presence in the cohort.

²Only factors with $p<0.3$ in the univariate analysis were included in multivariable analysis.

³No patients with stage 0 or 1a NCGC had active *H. pylori* infection, precluding univariate and multivariate analyses.

Factors Associated With Non-cardia Gastric Cancer Stage >1a at Diagnosis

On univariate analysis, having an upper endoscopy in the three years prior to the index endoscopy (compared to having no prior endoscopy) was strongly protective against NCGC stage >1a at diagnosis ($p < 0.001$). Being Asian was associated with a trend toward higher likelihood of being diagnosed with EGC compared to non-Asian groups ($p = 0.07$). By contrast, being Black or Hispanic/Latino was associated with greater odds of having NCGC stage >1a at diagnosis, although only the latter reached statistical significance ($p = 0.07$ and $p = 0.05$, respectively). No other factors were significantly associated with worse stage of NCGC at diagnosis. Having a negative endoscopy prior to the index diagnosis remained significantly protective on multivariable analysis and was associated with a 94% higher likelihood of having curable stage 0 or 1a NCGC at diagnosis ($p = 0.003$) (Table 3).

DISCUSSION

In this study of over 100 people diagnosed with NCGC at an urban tertiary referral center in NYC, we report a marked racial and ethnic minority predominance, with over 95% of those diagnosed with NCGC being Black, Hispanic/Latino, Asian, or Eastern European/Russian, and therefore constituting a high-risk group based on race and ethnicity alone [2,17,18]. Our findings support current literature that, while under-recognized, certain racial and ethnic groups bear a significantly higher burden of NCGC in the USA compared to USA-born Whites. Acknowledging the retrospective nature of our study, having an endoscopy within three years prior to the index NCGC diagnosis was the only factor significantly associated with lower stage NCGC at diagnosis (specifically EGC) in our cohort, which was notably high-risk nearly universally based on race and ethnicity alone. Our findings warrant confirmation with larger studies that specifically include a lower-risk cohort diagnosed with NCGC as comparison.

Indeed, 70% of patients who had a negative endoscopy prior to their index endoscopy had their cancers diagnosed at a stage that is historically associated with 95-99% 5-year survival when adequately resected, either endoscopically or surgically [24-28]. Our findings are in line with studies from high-risk populations, namely East Asia, showing that screening or surveillance endoscopy is associated with lower stage disease at diagnosis [29,30]. While a randomized controlled trial of en-

doscopic screening compared to no screening would be the most robust approach to assess the impact of a screening and surveillance protocol, this would require a large population-based cohort and is logistically not feasible in the USA given the overall low prevalence of NCGC. Moreover, the duration of follow-up needed to show mortality benefit would be quite long. Thus, there are no randomized controlled trials assessing the impact of NCGC screening even in high-prevalence countries such as Japan and Korea, which have established national screening protocols. Arguably, such trials would now be unethical since screening with upper endoscopy has been associated with at least a 30-65% reduction in GC-related mortality in Japan [12,14,31], and nearly 80% reduction in Japanese male age 40-75 [31], which is attributed to a marked increase in the percentage of NCGC diagnosed as EGCs. EGCs now represent almost 60% of the diagnosed NCGCs in Japan, compared to 15% prior to implementation of GC screening [4,32], which mirrors our data that nearly 70% of NCGC stage 1a or lower in our cohort were diagnosed in patients who had a negative endoscopy prior to their index endoscopy diagnosing NCGC. A prospective study from the UK, another overall low prevalence area, showed that patients with pre-neoplasia who were enrolled in an endoscopic GC surveillance program—i.e., a high-risk group in an otherwise relatively low prevalence area—had earlier stage GC (defined as stage I or II) at diagnosis ($p < 0.05$) [33]. To our knowledge, ours is the first study suggesting a possible clinical benefit of endoscopy for NCGC screening for high-risk subgroups based on racial and ethnic background in a country with otherwise low NCGC prevalence. Reflective of this, a guideline statement by the Standards of Practice Committee of the American Society for Gastrointestinal Endoscopy (ASGE) recommends considering screening new USA immigrants above the age of 40 from high-risk endemic regions (Japan, Korea, China, Russia, and South America) for GC with upper endoscopy, particularly in those with first-degree relatives with a history of GC [34]. Notably, no recommendations are offered for other high-risk groups in the USA, including later-generation immigrants from endemic areas, Hispanic/Latinos and Black Americans, despite an acknowledgement by the ASGE that these groups also have a significantly higher incidence of GC compared to USA-born Whites. While we acknowledge that our population is technically not a screening population because the majority had symptoms listed as the endoscopic indication, publications from the cancer surveillance programs in high preva-

lence countries like Korea also acknowledge their population is likely not a “true” screening population, since it is not possible to exclude symptomatic individuals [30].

The observation that NCGC risk is higher among certain racial/ethnic groups in the USA more likely reflects cultural, dietary, socioeconomic, and other social determinants of health, rather than an intrinsic predisposition to GC [35]. This is supported by migration data, which suggest that after approximately 2-3 generations, immigrants from areas endemic for NCGC display the prevalence of their host country, a phenomenon that is attributed to dietary acculturation, better sanitation, and possibly lower *H. pylori* prevalence [36]. Our finding of nearly universal background pre-neoplasia, specifically IM, suggests the step-wise progression of preneoplasia prior to final malignant transformation occurs irrespective of race/ethnicity. Despite *H. pylori*'s purported role as the primary trigger for the pre-neoplastic cascade, only a small percentage of our cohort had active infection; this suggests not only a limited role for *H. pylori* testing in identifying individuals who may benefit from screening and surveillance to detect early stage NCGC, but it also suggests that while *H. pylori* likely underlies the initial carcinogenesis cascade, other factors contribute to the progression of preneoplasia to neoplasia.

Strengths of our study include a highly diverse patient population with access to detailed medical records and accurate staging of NCGC, as well as expert pathology review of all biopsy specimens. Important limitations of our study include the cross-sectional and retrospective nature, as well as the relatively small cohort size limiting our power to detect differences, particularly among racial and ethnic groups. Our study is from a single, tertiary center and may limit generalizability. While we included endoscopies performed at outside facilities if performed prior to the index endoscopy diagnosing NCGC, outside endoscopies performed but not documented in the medical record would be missed. However, this would be considered non-differential misclassification and tend to bias towards the null. Given the magnitude of our effect estimate, it is unlikely that these minimal missing data would be sufficient to nullify the protective effect afforded by a prior negative endoscopy reported here. As previously noted, although our cohort is possibly representative of the population in the USA who would benefit from GC screening and surveillance based on published prevalence and cost-effectiveness data [20,22,37-40], our ability to draw strong conclusions regarding factors associated with early stage GC at diagnosis is limited by

the fact that our cohort is almost universally high-risk. Although only 5% of patients in our cohort were non-European Whites and limited parallel analysis of a theoretically lower-risk group for NCGC, this critically highlights the disparity of disease incidence in the USA and the need for increased attention to the differential disease burden in these groups.

In conclusion, in a racially and ethnically diverse USA population, we found that having an upper endoscopy that was negative for malignancy prior to the index endoscopy diagnosing NCGC was associated with a significantly higher likelihood of having curable stage disease at presentation compared to patients who did not have an endoscopy prior to their index diagnosis. Targeted endoscopic screening and surveillance for select groups deemed higher-risk might positively impact GC-related outcomes by detecting disease at an earlier, potentially curable, stage. We hope that the findings of the present study will both stimulate and inform future studies validating risk-stratification models for NCGC screening and surveillance in the USA.

CONFLICT OF INTEREST

The authors have no conflicts of interest associated with the material presented in this paper.

ACKNOWLEDGEMENTS

This study was partly funded by a grant from the AHRQ awarded to SCS (K12 HS026395-01).

ORCID

Shailja C. Shah <https://orcid.org/0000-0002-2049-9959>
Alexandros D. Polydorides <https://orcid.org/0000-0001-8604-0756>

REFERENCES

1. Bray F, Ren JS, Masuyer E, Ferlay J. Global estimates of cancer prevalence for 27 sites in the adult population in 2008. *Int J Cancer* 2013;132(5):1133-1145.
2. Bertuccio P, Chatenoud L, Levi F, Praud D, Ferlay J, Negri E, et al. Recent patterns in gastric cancer: a global overview. *Int J Cancer* 2009;125(3):666-673.
3. Sigon R, Canzonieri V, Rossi C. Early gastric cancer: a single-

- institution experience on 60 cases. *Suppl Tumori* 2003;2(5): S23-S26.
4. Shimizu S, Tada M, Kawai K. Early gastric cancer: its surveillance and natural course. *Endoscopy* 1995;27(1):27-31.
 5. Siegel RL, Fedewa SA, Miller KD, Goding-Sauer A, Pinheiro PS, Martinez-Tyson D, et al. Cancer statistics for Hispanics/Latinos, 2015. *CA Cancer J Clin* 2015;65(6):457-480.
 6. Merchant SJ, Kim J, Choi AH, Sun V, Chao J, Nelson R. A rising trend in the incidence of advanced gastric cancer in young Hispanic men. *Gastric Cancer* 2017;20(2):226-234.
 7. Anderson WF, Rabkin CS, Turner N, Fraumeni JF Jr, Rosenberg PS, Camargo MC. The changing face of noncardia gastric cancer incidence among US non-Hispanic whites. *J Natl Cancer Inst* 2018;110(6):608-615.
 8. Alfaro EE, Lauwers GY. Early gastric neoplasia: diagnosis and implications. *Adv Anat Pathol* 2011;18(4):268-280.
 9. Gotoda T. Endoscopic resection of early gastric cancer: the Japanese perspective. *Curr Opin Gastroenterol* 2006;22(5): 561-569.
 10. Sipponen P, Kimura K. Intestinal metaplasia, atrophic gastritis and stomach cancer: trends over time. *Eur J Gastroenterol Hepatol* 1994;6 Suppl 1:S79-S83.
 11. Kuipers EJ, Uytendaele AM, Peña AS, Roosendaal R, Pals G, Nelis GF, et al. Long-term sequelae of *Helicobacter pylori* gastritis. *Lancet* 1995;345(8964):1525-1528.
 12. Hamashima C. Current issues and future perspectives of gastric cancer screening. *World J Gastroenterol* 2014;20(38):13767-13774.
 13. Hamashima C, Shibuya D, Yamazaki H, Inoue K, Fukao A, Saito H, et al. The Japanese guidelines for gastric cancer screening. *Jpn J Clin Oncol* 2008;38(4):259-267.
 14. Hamashima C, Ogoshi K, Narisawa R, Kishi T, Kato T, Fujita K, et al. Impact of endoscopic screening on mortality reduction from gastric cancer. *World J Gastroenterol* 2015;21(8):2460-2466.
 15. Matsumoto S, Yoshida Y. Efficacy of endoscopic screening in an isolated island: a case-control study. *Indian J Gastroenterol* 2014;33(1):46-49.
 16. Shah SC, Itzkowitz SH, Jandorf L. Knowledge gaps among physicians caring for multiethnic populations at increased gastric cancer risk. *Gut Liver* 2018;12(1):38-45.
 17. Espey DK, Wu XC, Swan J, Wiggins C, Jim MA, Ward E, et al. Annual report to the nation on the status of cancer, 1975-2004, featuring cancer in American Indians and Alaska Natives. *Cancer* 2007;110(10):2119-2152.
 18. Kim Y, Park J, Nam BH, Ki M. Stomach cancer incidence rates among Americans, Asian Americans and Native Asians from 1988 to 2011. *Epidemiol Health* 2015;37:e2015006.
 19. Fennerty MB, Emerson JC, Sampliner RE, McGee DL, Hixson LJ, Garewal HS. Gastric intestinal metaplasia in ethnic groups in the southwestern United States. *Cancer Epidemiol Biomarkers Prev* 1992;1(4):293-296.
 20. Miller BA, Chu KC, Hankey BF, Ries LA. Cancer incidence and mortality patterns among specific Asian and Pacific Islander populations in the U.S. *Cancer Causes Control* 2008;19(3):227-256.
 21. Wu X, Chen VW, Andrews PA, Ruiz B, Correa P. Incidence of esophageal and gastric cancers among Hispanics, non-Hispanic whites and non-Hispanic blacks in the United States: subsite and histology differences. *Cancer Causes Control* 2007;18(6): 585-593.
 22. Saumoy M, Schneider Y, Shen N, Kahaleh M, Sharaiha RZ, Shah SC. Cost effectiveness of gastric cancer screening according to race and ethnicity. *Gastroenterology* 2018;155(3):648-660.
 23. Washington K. 7th edition of the AJCC cancer staging manual: stomach. *Ann Surg Oncol* 2010;17(12):3077-3079.
 24. Okada K, Fujisaki J, Yoshida T, Ishikawa H, Suganuma T, Kasuga A, et al. Long-term outcomes of endoscopic submucosal dissection for undifferentiated-type early gastric cancer. *Endoscopy* 2012;44(2):122-127.
 25. Yasuda K, Shiraiishi N, Suematsu T, Yamaguchi K, Adachi Y, Kitano S. Rate of detection of lymph node metastasis is correlated with the depth of submucosal invasion in early stage gastric carcinoma. *Cancer* 1999;85(10):2119-2123.
 26. Wang S, Zhang Z, Liu M, Li S, Jiang C. Endoscopic resection compared with gastrectomy to treat early gastric cancer: a systematic review and meta-analysis. *PLoS One* 2015;10(12): e0144774.
 27. Choi IJ, Lee JH, Kim YI, Kim CG, Cho SJ, Lee JY, et al. Long-term outcome comparison of endoscopic resection and surgery in early gastric cancer meeting the absolute indication for endoscopic resection. *Gastrointest Endosc* 2015;81(2):333-341.
 28. Pyo JH, Lee H, Min BH, Lee JH, Choi MG, Lee JH, et al. Long-term outcome of endoscopic resection vs. surgery for early gastric cancer: a non-inferiority-matched cohort study. *Am J Gastroenterol* 2016;111(2):240-249.
 29. Khanderia E, Markar SR, Acharya A, Kim Y, Kim YW, Hanna GB. The influence of gastric cancer screening on the stage at diagnosis and survival: a meta-analysis of comparative studies in the Far East. *J Clin Gastroenterol* 2016;50(3):190-197.

30. Choi KS, Jun JK, Suh M, Park B, Noh DK, Song SH, et al. Effect of endoscopy screening on stage at gastric cancer diagnosis: results of the National Cancer Screening Programme in Korea. *Br J Cancer* 2015;112(3):608-612.
31. Hosokawa O, Miyanaga T, Kaizaki Y, Hattori M, Dohden K, Ohta K, et al. Decreased death from gastric cancer by endoscopic screening: association with a population-based cancer registry. *Scand J Gastroenterol* 2008;43(9):1112-1115.
32. Noguchi Y, Yoshikawa T, Tsuburaya A, Motohashi H, Karpeh MS, Brennan MF. Is gastric carcinoma different between Japan and the United States? *Cancer* 2000;89(11):2237-2246.
33. Whiting JL, Sigurdsson A, Rowlands DC, Hallissey MT, Fielding JW. The long term results of endoscopic surveillance of pre-malignant gastric lesions. *Gut* 2002;50(3):378-381.
34. Cash BD, Banerjee S, Anderson MA, Ben-Menachem T, Decker GA, Fanelli RD, et al. Ethnic issues in endoscopy. *Gastrointest Endosc* 2010;71(7):1108-1112.
35. Karimi P, Islami F, Anandasabapathy S, Freedman ND, Kaman-gar F. Gastric cancer: descriptive epidemiology, risk factors, screening, and prevention. *Cancer Epidemiol Biomarkers Prev* 2014;23(5):700-713.
36. Maskarinec G, Noh JJ. The effect of migration on cancer incidence among Japanese in Hawaii. *Ethn Dis* 2004;14(3):431-439.
37. New York State. Stomach cancer incidence and mortality by age group, New York State Excl New York City, 2009-2013 [cited 2018 Mar 12]. Available from: <https://www.health.ny.gov/statistics/cancer/registry/table6/tb6stomachupstate.htm>.
38. Lui FH, Tuan B, Swenson SL, Wong RJ. Ethnic disparities in gastric cancer incidence and survival in the USA: an updated analysis of 1992-2009 SEER data. *Dig Dis Sci* 2014;59(12):3027-3034.
39. Lee J, Demissie K, Lu SE, Rhoads GG. Cancer incidence among Korean-American immigrants in the United States and native Koreans in South Korea. *Cancer Control* 2007;14(1):78-85.
40. National Cancer Institute. Surveillance, Epidemiology, and End Results (SEER) program [cited 2018 Mar 13]. Available from: <http://seer.cancer.gov>.