GLOBAL SPINE JOURNAL

THIEMI

Catastrophic Cervical Spine Injuries in Contact Sports

Michael James Hutton¹ Robert A. McGuire² Robert Dunn³ Richard Williams⁴ Peter Robertson⁵ Bruce Twaddle⁶ Patrick Kiely⁷ Andrew Clarke⁸ Keyvan Mazda⁹ Paul Davies¹⁰ Krystle T. Pagarigan¹¹ Joseph R. Dettori¹¹

¹ Department of Spine Surgery, Princess Elisabeth Orthopaedic Centre, Royal Devon and Exeter Hospital, Exeter, Devon, United Kingdom

- ²Department of Orthopedics, University of Mississippi Medical Center, Jackson, Mississippi, United States
- ³Department of Orthopaedic Surgery, University of Cape Town, Cape Town, South Africa
- ⁴Department of Orthopaedics, Queensland University, Brisbane, Australia
- ⁵Department of Orthopaedics, Auckland City Hospital, Grafton, Auckland, New Zealand
- ⁶Department of Orthopaedic Trauma, Auckland City Hospital, Grafton, Auckland, New Zealand
- ⁷Sports Surgery Clinic, Dublin, Ireland
- ⁸Department of Orthopaedics, Middlemore Hospital, Auckland, New Zealand
- ⁹Pediatric Orthopaedic Department, Robert Debré Hospital, Paris, France
- ¹⁰Department of Trauma and Orthopaedics, University Hospital of Wales, Cardiff, United Kingdom
- ¹¹Spectrum Research, Inc., Tacoma, Washington, United States

Global Spine J 2016;6:721–734.

Address for correspondence Michael James Hutton, FRCS (Trauma & Orth.), Department of Spine Surgery, Princess Elisabeth Orthopaedic Centre, Royal Devon and Exeter Hospital, Barrack Road, Exeter, Devon, United Kingdom (e-mail: mike.hutton@nhs.net).

Abstract

- Keywords
- ► rugby
- American football
- hurling
- cervical spinal cord injury
- quadriplegia
- contact sport

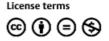
Study Design Systematic review.

Objectives To determine the incidence of catastrophic cervical spine injuries (CCSIs) among elite athletes participating in contact team sports and whether the incidence varies depending on the use of protective gear or by player position.

Methods Electronic databases and reference lists of key articles published from January 1, 2000, to January 29, 2016, were searched.

Results Fourteen studies were included that reported CCSI in rugby (n = 10), American football (n = 3), and Irish hurling (n = 1). Among Rugby Union players, incidence of CCSI was 4.1 per 100,000 player-hours. Among National Football League players, the CCSI rate was 0.6 per 100,000 player-exposures. At the collegiate level, the CCSI rate ranged from 1.1 to 4.7 per 100,000 player-years. Mixed populations of elite and recreational rugby players in four studies report a CCSI rate of 1.4 to 7.2 per 100,000 player-years. In this same population, the scrum accounted for 30 to 51% of total reported CCSIs in Rugby Union versus 0 to 4% in Rugby League. The tackle accounted for 29 to 39% of injuries in Rugby Union and 78 to 100% of injuries in Rugby League. Making a tackle was responsible for 29 to 80% of injuries in American football. **Conclusion** CCSIs are infrequent among elite athletes. There is insufficient evidence to determine the effect of protective gear (e.g., helmets, padding) on CCSI incidence. Scrum and tackle in rugby and tackling in American football account for the majority of CCSIs in each respective sport.

received April 4, 2016 accepted after revision June 21, 2016 published online September 1, 2016 DOI http://dx.doi.org/ 10.1055/s-0036-1586744. ISSN 2192-5682. $\ensuremath{\mathbb{C}}$ 2016 Georg Thieme Verlag KG Stuttgart \cdot New York



Introduction

A catastrophe creates serious and usually sudden damage or suffering. In the context of the cervical spine in elite contact sports, a catastrophe equates to the risk of significant neurologic injury. The consequences of such an injury are vast, with great personal and financial cost.

Elite contact sports represent a significant business. Players strive to defeat their opponents by skill, strength, and sometimes skullduggery. Within this gladiatorial arena, there comes risk. The training of the players by the coaches, the setting of the rules under inclusion of protective gear by the governing bodies, and the conduct during the game controlled by the referees all serve to reduce injury risk. However, risk cannot be totally eliminated. Natural concerns around the occurrence of such injuries in contact sport have led to calls for the safety of such sports to be improved. In Rugby Union, the Sports Collision Injury Collective—an international group of academics—has recently called for rugby to become a noncontact sport in the under-18 age group.¹

In general, contact sporting events are the fourth most common cause of spinal cord injury in the United States (behind motor vehicle accidents, violence, and falls) and account for ~9% of the total injuries in 2014.² Around the world, sporting accidents account for 3 to 28% of spinal cord injuries.³ The popularity and type of contact sports played around the world varies enormously.

Hence, to better understand the magnitude of catastrophic cervical injury among elite athletes participating in contact sport, we sought to answer the following questions:

- 1. What is the incidence of catastrophic cervical spine injuries (CCSIs) among elite athletes participating in contact team sports?
- 2. Does the incidence vary depending on whether the elite athlete is protected (shoulder pads and or helmet) or unprotected?
- 3. Within a sport, does the incidence vary by positional activity?

Materials and Methods

Study design: Systematic review.

Information sources: PubMed and Embase were searched for studies published from January 1, 2000 to January 29, 2016, and the bibliographies of the included articles were searched. The search strategy can be found in the online **– supplementary material**.

Inclusion criteria: (1) elite-level athletes aged 17 years or older in a contact team sport (rugby, American style football, Gaelic football, hurling, ice hockey, soccer, Australian rules football, lacrosse); (2) prospective or retrospective longitudinal design that captures injury event and exposure (number of people and or time at risk); (3) studies providing recent estimates (at least some data reported during or after the year 2000).

Exclusion criteria: (1) athletes participating in skiing, wrestling, gymnastics, baseball, swimming, diving, or track and field; (2) noncervical injuries or nonserious or transient cervical injuries; (3) cross-sectional design or study only providing counts; (4) no estimates of incidence during or after the year **Outcomes:** Catastrophic outcomes of cervical spine injury included (1) fatality due to traumatic cervical spinal injury; (2) severe nonfatal traumatic cervical spinal injury causing permanent severe functional disability.

Data collection process and items: Data was extracted by a single individual and verified independently by a second using a preestablished data abstraction form. Authors of publications were contacted in cases where data needed confirmation or clarification. The following data items were sought: study design, sport, country, years of data collection, definition of catastrophic injury, data source of injury, data source identifying population at risk, incidence of catastrophic injury, and activity within the sport causing the injury.

Risk of bias evaluation: Each study was evaluated for risk of bias using criteria to judge articles on prognosis. These ratings can be found in the online **- supplementary material**.

Analysis and synthesis of results: The incidence rates were recorded as the number of CCSIs per 100,000 population at risk per year, or per 100,000 player-hours. In some publications, nonpermanent cervical spine injuries were reported alongside permanent injuries. When possible, only permanent injuries were included. However, in some cases it was not always clear whether an injury resulted in permanent neurologic deficit. When this result was unknown, the cases were included and identified as such in the appropriate results table and text. We calculated 95% confidence intervals (CIs) when appropriate (Mid-P method) using the programmable calculator by Rothman et al.⁴

Overall strength of evidence: We used the GRADE System to grade the quality of evidence. Details about this system can be found in the online **- supplementary material**.

Results

Study Characteristics

• We identified 14 studies among 16 publications meeting the inclusion criteria (**-Fig. 1**). A list of excluded articles can be found in the online **-supplementary material**. Among the 14 studies identified, data was presented on three sports: rugby (n = 10),^{1,5-13} American football (n = 3),¹⁴⁻¹⁶ and Irish hurling (n = 1)¹⁷ (**-Table 1**). In four rugby studies, data was presented for elite and recreational players together.^{1,6,9,13} One rugby study was in professional women rugby players.¹² We were unable to find studies on CCSIs in Gaelic football, ice hockey, soccer, or lacrosse.

Incidence Rate of Catastrophic Cervical Spine Injury

 Five studies evaluated catastrophic spine injuries based on time at risk for injury during match competition in male elite Rugby Union players during World Cup or season match play (**-Table 2**).^{5,7,8,10,11,18} There was only one cervical dislocation reported in 24,372 player-hours. There was no indication as to whether the cervical dislocation

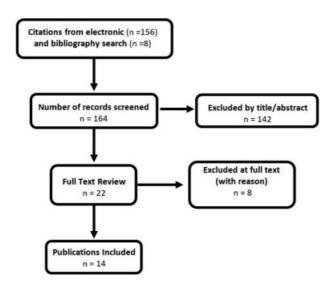


Fig. 1 Flow diagram showing results of literature search.

resulted in permanent spine injury. Assuming the case resulted in a CCSI, the incidence rate would be 4.1 per 100,000 player-hours (95% CI: 0.4 to 19.1). If no permanent spine injury resulted from the dislocation, the 95% CI would be 0.0 to 10.1 per 100,000 player-hours. One additional study reported no CCSI among elite female rugby players at a World Cup event (injury rate 0.0; 95% CI: 0 to 128 per 100,000 player-hours).¹²

- Three studies evaluated CCSI in American football, one at the professional level (National Football League [NFL])¹⁵ and two at the collegiate level.^{14,16} The incidence of CCSI in the NFL was reported as 0.6 per 100,000 player-exposures (95% CI: 0.4 to 0.9) where a player-exposure represented a session at the preseason training camp or a regular or postseason game. At the collegiate level, the CCSI rate ranged from 1.1 to 4.7 per 100,000 players per year.
- Four studies used annual counts in the denominator from mixed populations of elite and recreational rugby players from Australia,^{6,13} France,¹ and South Africa⁹ (**-Fig. 2**). The incidence varied between 1.4 and 7.2 per 100,000 player-years. Berry et al compared the injury rate between Australian Union and League players and found a fourfold increase in the rate of tetraplegia comparing Union with League players (schoolboy and adult elite and recreational players combined), 6.8 versus 1.7 per 100,000 player-years (rate ratio, 4.0; 95% CI: 1.8 to 9.2).⁶ The difference in CCSI rates between Union and League players in a second study of adult elite and recreational rugby players was smaller, 7.2 versus 5.0 per 100,000 player-years (rate ratio, 1.44; 95% CI not reported).
- There were no CCSIs in one small study in hurling.¹⁷

Game Activity Associated with Injury

 Two studies in Rugby Union^{1,9} and two studies looking at both Rugby Union and Rugby League^{6,13} identified the game activity in which each injury occurred (►Table 3). Both studies included elite and recreational athletes. In Rugby Union, scrum accounted for 35 to 51% of total reported CCSIs among three studies, which is in sharp contrast to Rugby League, where only 1 CCSI occurred during the scrum across two studies.^{6,13} Scrum was followed by tackle, which accounted for 29 to 32% of injuries in Rugby Union and 78 to 100% of injuries in Rugby League; then by maul or ruck, which accounted for 9 to 16% of injuries in Rugby Union; then collision or fall, which caused 5 to 7% of injuries in Rugby Union and up to 13% of injuries in Rugby League.

 One study in professional football¹⁵ and two studies in American high school or college football^{14,16,19-22} reported the proportions of CCSI by activity (**-Table 4**). Making a tackle was responsible for 67 to 80% of injuries, followed by being tackled (10%), blocking (5 to 6%), and being blocked (1%). Other activities accounted for 4 to 6% of injuries, and 12% of injuries were indeterminate in origin.

Influence of Protection on Incidence of Catastrophic Cervical Spine Injury

• No studies were identified evaluating the influence of protective gear (e.g., shoulder pads, helmets) on the incidence of CCSI.

Evidence Summary

CCSIs are infrequent among athletes participating in elitelevel contact team sports (**-Table 5**). There is moderate evidence that the rate among elite Rugby Union players is 4.1 per 100,000 player-hours (95% CI: 0.4 to 19.1); among professional American football players (NFL), 0.6 per 100,000 player-hours (95% CI: 0.4 to 0.9); and among collegiate American football players, from 1.1 to 4.7 per 100,000 player-years. Among a mixed population of elite and recreational rugby players, there is low evidence that the rate ranges from 1.4 to 7.2 per 100,000 player-years among Rugby Union and 1.7 to 5.0 per 100,000 player-years for Rugby League. There are no studies directly evaluating the influence of protective gear on the incidence rate of catastrophic cervical injury. Scrum and tackle account for most of the CCSIs in Rugby Union play, tackle in Rugby League play, and making a tackle in collegiate American football. However, the evidence in determining the rate estimates by activity is low.

Illustrative Case

American Football

A 19-year-old Division II collegiate defensive back was injured during a practice tackling drill. He had immediate onset of C6 quadriplegia. His injury radiograph revealed a fracture dislocation of the C5–C6 level (**-Fig. 3**). The lateral computed tomography scan at the time of injury showed significant compromise of the spinal canal (**-Fig. 4**). The immediate postoperative lateral radiograph revealed good realignment and fixation of the C5–C6 fracture dislocation (**-Fig. 5**). However, postoperative T1-weighted magnetic resonance imaging (MRI) revealed significant cord injury at the level of the fracture dislocation, and the T2-weighted MRI image revealed injury to the cord extending from C4 to C7 (**-Fig. 6** and **7**). The

First author (year), study design	Sport (years), country	Injury definition; data source	Population at risk; data source	Results	Results by activity
Elite adult rugby players only	X				
Bathgate (2002), ⁵ prospective	Rugby Union (1994– 2000), Australia	Catastrophic cervical SCI resulting in neurologic deficit (n = 0) ^a ; detailed medical records kept by medical staff	Player-hours from 1994 to 2000; Australian Rugby Union team players (91 matches; 1,820.3 match hours)	Incidence (per 100,000 player-hours) of any catastrophic injury: 0.0 (95% CI: 0.0–135.4)	NR
Best (2005), ⁷ prospective	Rugby Union (2003), Australia	Catastrophic cervical SCI resulting in cervical dislocation ($n = 1$); direct medical collection by team medical officers	Player-hours during 48 matches of the 2003 RWC; 2003 RWC participants (no. players NR, 1,930 match hours)	Incidence (per 100,000 player-hours) of cervi- cal dislocation ^b : 51.8 (95% Cl: 4.7–241.6)	By activity, 2003: RWC, Rugby Union, scrum 1 (100%)
Brooks (2005), ^{8,18} prospective	Rugby Union (2002– 2004), United Kingdom	Catastrophic cervical SCI resulting in neurologic deficit ($n = 0$) ^c , direct data collection by medical personnel for each English Premiership Rugby Union club	Player-hours over two seasons; English Premiership Rugby Union players playing two seasons (546 players, 16,782 match hours and 196,409 training hours)	lncidence (per 100,000 player-hours) of any catastrophic injury: match: 0.0 (95% CI: 0.0- 14.7); training: 0.0 (95% CI: 0.0-1.3)	NK
Fuller (2008), ¹⁰ prospective	Rugby Union (2007), France	Catastrophic cervical SCI = resulting in per- manent neurologic deficit $(n = 0)^{c}$; direct data collection by team physicians or physiotherapists	Player-hours during the 2007 IRB World Cup: 2007 IRB World Cup participants (626 players, 1,920 match hours)	Incidence (per 100,000 player-hours) of any catastrophic injury: 0.0 (95% Cl: 0.0–128.3)	NR
Fuller (2013), ¹¹ prospective	Rugby Union (2011), New Zealand	Catastrophic cervical SCI resulting in neurologic deficit ($n = 0$) ^c , direct data collection by team physicians or physiotherapists	Player-hours during the 2011 IRB World Cup: 2011 IRB World Cup participants (615 players, 1,920 match hours)	Incidence (per 100,000 player-hours) of any catastrophic injury: 0.0 (95% CI: 0.0–128.3)	NR
Schick (2008), ¹² prospective	Rugby Union (2006), Canada	Catastrophic cervical SCI resulting in quadriplegia ($n = 0$) ^c , direct data collection by medical staff	Player-hours during the 2006 Women's Rugby World Cup; 2006 Women's Rugby World Cup participants (339 players, 5,551 match hours)	Incidence (per 100,000 player-hours) of any catastrophic injury: 0.0 (95% CI: 0.0–128.3)	NR
All elite and recreational rugby players	iby players				
Berry (2006), ⁶ registry	Rugby Union or League (1986–2003), Australia	Catastrophic cervical SCI resulting in complete or incomplete tetraplegia ($n = 54$); ASCIR ^d	No. of players/y: ARU reports (annual no. players ranged from 22,020 to 35,917 over years of study)	Annual incidence (per 100,000 player-years) of tetraplegia: 1986–1991: Rugby Union: 9.2 (95% CI: 9-15.7), Rugby League: 2.3 (95% CI: 1.1–4.1); 1995–2003: Rugby Union: 6.8 (95% CI: 4.9–10.7), Rugby League: 1.7 (95% CI: 0.9– 2.9)	By activity, 1986–2003°: Rugby Union, scrum 11 (35%), tackle 9 (29%), maul/ruck 5 (16%), collision/fall 2 (7%), unspecified 4 (13%); Rugby League, scrum 1 (4%), tackle 18 (78%), colli- League, scrum 1 (4%), unspecified 1 (4%)
Bohu (2009), ¹ retrospective	Rugby Union (1997– 2006), France	Catastrophic cervical SCI resulting in ASIA A-D ($n = 37$; ≥ 2.000 , $n = 15$; < 2.000 , $n = 22$) (ASIA A = 73%, B = 11%, C = 14%, D = 3%); insurance company records ^f	No. of players/y; French Rugby Union (no. players ranged from 189,628 to 241,173 over years of study)	Annual incidence ⁹ (per 100,000 player-years) of any catastrophic injury resulting in ASIA A- D: 1997: 2.1; 2002: 0.9; 1998: 3.3; 2003: 1.9; 1999: 2.6; 2004: 1.5; 2000: 1.3; 2005: 1.0; 2001: 1.7; 2006: 1.4 (95% CI: 0.4–3.8)	By activity, 1997–2006: Rugby Union, scrum 19 (51%), ruck, maul, or tackle: 18 (49%)
Brown (2013), ⁹ prospective	Rugby Union (2008– 2011), South Africa	Catastrophic cervical SCI resulting in any per- manent catastrophic injury, including death, quadriplegia, or neurologic deficit (some defi- cit remains) (n = 22); direct data collection through the BokSmart program and the Chris Burger/Petro Jackson Player's Fund ⁿ	No. of participants in the senior age group (older than under-19)/y; estimate from World Rugby Organization (121,663 annual participants)	Annual incidence (per 100,000 player-years) of any catastrophic injury: 4.5 (95% CI: 0.7–8.3); death: 0.6 (95% CI: 0.0–2.0); quadriplegia: 1.9 (95% CI: 0.0–4.3); neurologic deficit: 2.1 (95% CI: 0.0–4.6)	By activity, 2008–2011': Rugby Union, any catastrophic injury: scrum 11 (50%), tackle 7 (32%), collision 1 (5%), ruck 2 (9%), unclear 1 (5%)
Carmody (2005) ¹³	Adult Rugby Union or League (1997–2002), Australia	Catastrophic cervical ASCIR resulting Frankel grade $A-E$ ($n = NR$ for adults); hospital records from six specialized spinal cord injury units	No. of registered players/y: Australian Rugby Union and League governing bodies	Annual incidence ⁱ (per 100,000 playeryears): 1997–2002: Rugby Union: 7.2 (95% CI: NR); Rugby League: 5.0 (95% CI: NR)	By activity, 1997–2002: Rugby Union, scrum 7 (30%), tackle 9 (39%), maul/ruck 6 (26%), unspecified: 1 (4%); Rugby League, scrum 0 (0%), tackle 10 (100%)
Elite American football players	SIE				
Mueller (2012) ¹⁶ and others, ^k registry	Football (College) (2000– 2012), USA	Catastrophic cervical SCI resulting in quadriplegia or quadriparesis (2000–2012: $n = 11$); NCCSIR ^m	No. of players/y; data source estimate not stated (75,000 annual participants used)	Annual incidence ⁿ (per 100.000 player-years) of quadriparesis(quadriplegia: 1977–2012: 1.4; 1980–1989: 1.7; 1990–1999: 1.2; 2000– 2012: 1.1 (95% CI: 0.05–5.8)	By activity, 2000–2012°: football, making tackle NNR (67.0%), being tackled NNR (10.1%), blocking NNR (4.6%), being blocked NNR (1.2%), other NNR (5.5%), unknown NNR (11.6%)

tinued)	
1 (Coni	
Table	

First author (year), study Sport (years), design country	Sport (years), country	Injury definition; data source	Population at risk; data source	Results	Results by activity
Boden (2006), ¹⁴ registry	Football (College) (1989– 2002), USA	Catastrophic cervical SCI resulting in any cat- astrophic injury or quadriplegia (any cata- strophic: $n = 46$; quadriplegia: $n = 8$) ^p ; National Center for Catastrophic Sports Injury Research	No. of players/ly: National Collegiate Athletic Association, the National Junior College Athletic Association, the National Association of Inter- collegiate Athletics, and the Christian Colleges (75,000 annual participants used)	Annual incidence (per 100.000 player:vears) of By activity. 1989–2012°. football, quadriple- any catastrophic injury: 4.7 (95% CI: 3.4–6.1); gia: making tackle NNR (79.7%), being tackled nNR (10.1%), blocking NNR (5.8%), other NNR (4.2%)	By activity, 1989–2012°: football, quadriple- gia: making tackle NNR (79.7%), being tackled NNR (10.1%), blocking NNR (5.8%), other NNR (4.2%)
Mall (2012), ¹⁵ retrospective	Football (NFL) (2000– 2010), USA	Spinal cord injury ($n = 14$); NFL Sports Injury Monitoring System	Total game (386,688) and practice (1,947,750) exposures, 2000–2010°; NFL Sports Injury Monitoring System	Annual incidence per 100,000 athlete expo- sures of any catastrophic injury: 0.60 (95% CI: 0.38-0.91)	By activity, 2000–2010: football, making tackle 4 (28.6%), being tackled 3 (21.4%), blocking 2 (14.3%), being blocked 2 (14.3%), other collision 2 (14.3%), unknown 1 (7.1%)
Elite hurling players					
Murphy (2012), ¹⁷ prospective	Hurling (2007), Ireland	Catastrophic cervical SCI resulting in permanent to the neurologic deficit ($n = 0$); direct data collection by chartered physiotherapist or medical doctor	Player-hours during 2007 Irish hurling season; National Hurling League players (127 players, 17,254 match and training hours)	Incidence (per 100,000 player-hours) of any catastrophic injury: 0.0 (95% Cl: 0.0–14.3)	NR

Abbreviations: ARU, Australian Rugby Union; ASCR, Australian Spinal Injury Register; ASIA, American Spinal Injury Association; CI, confidence interval; IRB, International Rugby League; N/A, not applicable; NCCSIR, National Center for Catastrophic Sport Injury Research; NFL, National Football League (USA); NNR, number not reported; NR, not reported; RWC, Rugby World Cup; SCI, spinal cord injury.

^aNo permanent injuries were reported; only two cases of nonserious, temporary cervical cord neuropraxia and brachial neuropraxia

^bNo mention of spinal cord injury. The overall incidence if this dislocation did not result in permanent spinal cord injury would be 0.0 (95% CI: 0.0 to 127.7) per 100,000 player-hours. ^cverified by personal correspondence with corresponding authors on January 25, 2016,¹² February 1, 2016,^{8,10,11,18} and February 5, 2016.¹⁷

^dThe ASCIR was established in 1995 by the National Injury Surveillance Unit of the Australian Institute of Health and Welfare. It collects data from the six Australian spinal units on acute spinal cord injury from all causes in which patient consent is obtained. The ASCIR is audited quarterly by comparison with spinal unit records, and missing data is followed up. Due to lack of funding, some cases were missed from 1992 to 1994. Validated against data with two similar studies in Australia in the 1990s based on a review of insurance claims records and a review of medical records.

eYears 1992 to 1994 omitted due to likely incompleteness.

⁽Validated against Rugby-Espoir-Solidarité, an association involving rugby players suffering from a disabling injury in rugby.

⁹Population includes junior players as young as 12 years old. ¹⁰ other initiative between the South African Puchy Initia (htt

Joint initiative between the South African Rugby Union (http://www.sarugby.co.za) and the Chris Burger/Petro Jackson Player's Fund.

Estimated from graph. Two sninal cord initries were thoracolumbar in origin which i

Two spinal cord injuries were thoracolumbar in origin, which are included in these incidence rates.

^{**}Others" includes the following studies: Cantu and Mueller (1990)¹⁹; Cantu and Mueller (2000)²⁰; Cantu and Mueller (2003)²¹; Mueller and Cantu (1991).²²

Deaths not included; yearly follow-up not done, thus neurologic status refers to when the athlete is entered into registry.

^mData complied with the assistance of college coaches, athletic directors, school administrators, physicians, athletic trainers, executive officers of state and national athletic organizations, sporting goods dealers and manufacturers' representatives, online search engines, and professional associates of the researchers.

nincidence by decade calculated from the numbers in the annual report, Tables 1 and 2.

^oProportions of injury by activity given by study for high school and college combined.

Any catastrophe defined by author as spinal column disruption, neve root avulsion, neurologic deficit, or transient neurologic symptoms in at least two extremities.

⁴Game and practice exposures included the following: preseason training camp, four preseason games, games played during the regular 17-week season, and the postseason playoffs. Injuries occurring during individual, off-season training, and extra preseason practices are not included in these exposure counts.

Study (year)	Duration	Country	Design	Setting	Catastrophic injuries	Hours at risk	Incidence (95% CI) per 100,000 player-hours
Bathgate (2002) ⁵	1994–2000	Australia	Prospective	91 matches	0	1,820	0.0 (0.0–135.8)
Brooks (2005) ^{8,18}	2002-2004	United Kingdom	Prospective	2 seasons	0	16,782	0.0 (0.0–14.7)
Best (2005) ⁷	2003	Australia	Prospective	World Cup	1ª	1,930	51.8 (4.7–241.6)
Fuller (2008) ¹⁰	2007	France	Prospective	World Cup	0	1,920	0.0 (0.0–128.3)
Fuller (2013) ¹¹	2011	New Zealand	Prospective	World Cup	0	1,920	0.0 (0.0–128.3)
Overall					1ª	24,372	4.1 (0.4–19.1)

Table 2 Incidence rate of catastrophic cervical spine injury among elite Rugby Union players during match play

Abbreviation: CI, confidence interval.

^aDefined as a cervical dislocation, but no mention of spinal cord injury. The overall incidence if this dislocation did not result in permanent spinal cord injury would be 0.0 (95% CI: 0.0 to 10.1) per 100,000 player-hours.

lateral cervical radiograph 1 year postoperatively revealed good healing. At that time, he was functioning as a C7-level quadriplegic (**Fig. 8**).

Rugby

A 20-year-old professional premiership rugby player was bending down at ruck to pick up the ball at breakdown when the opposition forward came through and kneed him accidentally in the head while the head was in the flexed position. On examination, the patient was found to have a Glasgow Coma Scale rating of 15 with an American Spinal Injury Association Grade B status with a motor score of 8, but some lower extremity sensation. Imaging confirmed a bilateral C5–C6 facet dislocation (**- Figs. 9–12**). After completion of the imaging tests and hemodynamic resuscitation, surgical reduction was planned for the next morning. The patient became increasingly obtunded during the night. Cranial MRI obtained overnight before the planned surgery showed infarcts in the cerebellum and posterior cortex of the right hemisphere (**Fig. 13**). Clinically the patient fell into a deep coma and despite critical care interventions never recovered brain function. He was declared brain-dead several days later without ever having received spine care.

Discussion

Recently, there has been an increased awareness for acute and cumulative head trauma relative to impact sports. The ill effects of repetitive concussive trauma on brain health and its potential association with disorders such as chronic traumatic encephalopathy are being investigated in an unprecedented manner for sports like American football and various forms of rugby, as well as soccer.

Despite occasional high-profile cervical spinal cord injuries in these particular sports, there has been no major systematic effort to explore the association of high-impact contact, elite-level sports with CCSIs considering variables such as sports type, position, or age group, nor a more

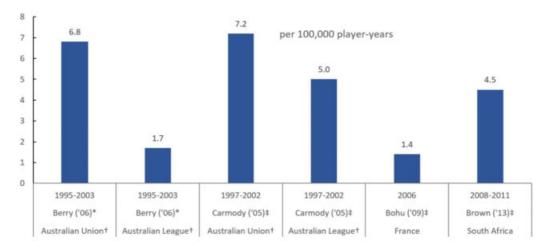


Fig. 2 Incidence risk of catastrophic cervical spine injury in a mixed population of elite and recreational rugby players.

Study (year)	Scrum	Tackle	Maul or ruck	Collision/fall	Unspecified		
Rugby Union							
Berry (2006) ^{6,a}	35% (11/31)	29% (9/31)	16% (5/31)	7% (2/31)	13% ^a (4/31)		
Brown (2013) ⁹	50% (11/22)	32% (7/22)	9% (2/22)	5% (1/22)	5% ^b (1/22)		
Bohu (2009) ¹	51% (19/37)	49% ^c (18	/37)	-	-		
Carmody (2005) ^{13,a}	30% (7/23)	39% (9/23)	26% (6/23)	-	4% (1/23)		
Rugby League							
Berry (2006) ^{6,a}	4% (1/23)	78% (18/23)	N/A	13% (3/23)	4% ^a (1/23)		
Carmody (2005) ^{13,a}	0% (0/10)	100% (10/10)	0% (0/10)	-	-		

Table 3 Proportion of catastrophic cervical spine injuries in a mixed population of elite and recreational rugby players by rugby activity

Abbreviation: N/A, not available.

^aLikely overlap in data between Berry (2006)⁶ and Carmody (2005).¹³

^bEvent responsible for injury was "unclear."

^cComprised of maul, ruck, or tackle.

detailed consideration of the effect of protective gear and rule changes on prevention or causation of serious cervical spine injuries. Given the proximity of the head and the cervical spine, experts have also started questioning the effect of head trauma on the cervical spine.

As a foundational study, an international panel of sports medicine and spine surgeon experts who deal with highimpact, elite-level contact sports from eight countries assessed the recent incidence of CCSI in these sports. In addition, the panel attempted to answer whether protective gear had an effect in either preventing or increasing catastrophic cervical injuries. Gear innovations can result in significant decreases in catastrophic injuries when used properly as exemplified by the mandated wear of the head and neck support (HANS) device for all drivers who participate in elite levels of motorsports such as the National Association for Stock Car Auto Racing (NASCAR) and Formula One. This HANS device locks the head to the body during impact. In the time HANS use has been mandated, there have been no deaths from skull base fractures. Similar results have also been seen with the use of omnidirectional suspension used in motorcycle and bicycle helmets to reduce risk of concussion. The NFL is interested in this technology for use in football helmets.^{23,24} It is well known that protective gear may induce players to take more risky actions than they would without such equipment. Moreover, those wearing protective gear may use the defensively intended game wear as an offensive impact weapon. The assessment of player position could also allow for some inferences in this regard. In particular, the contrast of American football, with an ever-increasing emphasis on protective gear, and rugby (both Union and League), where protective gear is *de minimis* by intent, also invites a comparison to this end.

In American football, the antispearing rule was implemented in 1976, which outlawed making a tackle or block by leading with the head or face, essentially using the helmet as a weapon. The antispearing rule reduced the incidence of spine injuries from 20 per year during 1971 through 1975 to 7.2 per year after 1976, and it did not affect the play of the game.²¹ Further attempts at inducing a culture change in American Football are currently underway with the Heads up Football campaign,²⁵ but these changes are too recent to allow for meaningful comparisons. Additional insight may be provided by comparing the very different rules governing Rugby League, with its more fluid game and absence of contested scrums (a lockup involving line players of either side during a restart of play from the field), to the more popular Rugby Union, with its strong emphasis on scrums and different rules regarding mauls (pushing the ball forward within a pile of players) and tackles.

This systematic review revealed that in elite contact team sports, the available body of evidence relating to catastrophic cervical spine literature is mostly limited to rugby and American football. Rugby was further subdivided into its two main rules formats, Rugby Union and Rugby League;

Table 4 Proportion of catastrophic cervical spine injuries among American football players by activity

Study (year)	Making tackle (%)	Being tackled (%)	Blocking (%)	Being blocked (%)	Other (%)
National Football League (NFL)	29	21	14	14	21
Collegiate football					
Mueller (2012) ¹⁶	67	10	5	1	17
Boden (2006) ¹⁴	80	10	6	-	4

Outcome	Studies (n)	Serious risk of bias	Serious inconsistency	Serious indirectness	Serious imprecision	Incidence rate (95% CI)	Quality
Key question 1, incidence rate							
Elite athletes only							
Rugby Union (per 100,000 player-hours)	5	No	No	No	Yes (-1)	4.1 (0.4–19.1) ^a	Moderate
American NFL football (per 100,000 player-exposures ^b)	1	No	Unknown	No	Yes (-1)	0.6 (0.4–0.9)	High
American Collegiate football (per 100,000 player-years)	2	Yes (-1)	No	No	No	Range: 1.1 (0.05–5.8) to 4.7 (3.4–6.1)	Moderate
Elite and recreational athletes	,		•				
Rugby Union (per 100,000 player-years)	4	Yes (-1)	Yes (-1)	Yes (-1)	No	Range: 1.4 (0.4–3.8) to 7.2 (NR)	Very low
Rugby League (per 100,000 player-years)	2	Yes (-1)	Yes (-1)	Yes (-1)	No	Range 1.7 (0.9–2.9) to 5.0 (NR)	Very low
Key question 2, protected versus unp	rotected						
No comparisons available							No data
Key question 3, sporting activity associated with CCSI ^c							
Rugby Union, scrum	4	Yes (-1)	Yes (-1)	No	Yes (-1)	Range: 30–51%	Low
Rugby Union, tackle	3	Yes (-1)	No	No	Yes (-1) ^d	Range: 29–39%	Low
Rugby Union, maul or ruck	3	Yes (-1)	No	No	Yes (-1) ^d	Range: 9–26%	Low
Rugby League, scrum	2	Yes (-1)	No	No	Yes (-1) ^d	Range: 0–4%	Low
Rugby League, tackle	2	Yes (-1)	No	No	Yes (-1) ^d	Range: 78–100%	Low
American football, making tackle	3	Yes (-1)	Yes (-1)	No	Unknown	Range: 28.6–79.7%	Low
American football, being tackled	3	Yes (-1)	Yes (-1)	No	Unknown	Range: 10.1% (NNR) to 21.4% (3/14)	Low
American football, blocking	3	Yes (-1)	Yes (-1)	No	Unknown	Range: 4.6–14.3%	Low
American football, being blocked	2	Yes (-1)	Yes (-1)	No	Unknown	Range: 1.2–14.3%	Low

Table 5 Quality of evidence evaluating catastrophic cervical spine injuries

Abbreviations: CCSI, catastrophic cervical spine injury; CI, confidence interval; NFL, National Football League; NNR, number not reported; NR, not reported. ^aThis total rate assumes that the single reported cervical dislocation resulted in a permanent spinal cord injury. If none occurred, the incidence rate would be 0.0 (95% CI: 0.0 to 10.1) per 100,000 player-hours.

^bA player-exposure was a game or a practice session.

^cFor rugby, all data from studies that included elite and recreational athletes; for football, all data included collegiate level players.

^dDowngraded for small sample size.

for American football, only collegiate leagues organized by the National Collegiate Athletic Association (NCAA) offered prospectively gathered data. From the NFL, retrospective data is available only through the tightly controlled NFL Sports Injury Monitoring System.

Overall, one challenge of any attempt at studying CCSIs is the sporadic occurrence of these injuries in these sports. Although CCSI is devastating for the individuals and their families, rare occurrences like these pose formidable challenges to statistical assessment as they require strict adherence to data gathering and data interpretation.

We reported the rates of CCSI in a standardized format based on the data either as injuries per 100,000 players/y or injuries per 100,000 player-hours, when possible. In general, we found that collegiate-level American football had a similar CCSI rate (1.1 to 4.7 per 100,000 player-years) compared with a mixed population of elite and recreational athletes playing Rugby League (1.7 per 100,000 player-years). However, a mixed population of elite and recreational athletes playing Rugby Union sustained the highest injury rate (4.5 to 6.8 injuries per 100,000 player-years). We postulate that this result may be related to the contested scrum seen in Rugby Union, which has not been seen in Rugby League since 1997.⁶ Rule changes have been implemented in Rugby Union as recently as the 2013-2014 season in an attempt to prevent this result,²⁶ but it remains to be seen if such laws have changed the incidence of catastrophic cervical spinal cord injury. It must be noted that comparing rates across sports needs to be done cautiously, as the exposure time for injury varies between sports. In general, a player-year represents a season, and rugby has more than twice as many games in a season than American football, and each player in rugby at an elite level is likely to see more on-field action than in American football with its alternating three specialized subunits.

Rugby has to date provided a far more detailed breakdown of specific activities that lead to CCSI compared with



Fig. 3 Radiograph revealed a fracture dislocation of the C5-C6 level.

American football. Again, the impact of a game-specific element—here exemplified by the scrum in Rugby Union persistently leads to a higher CCSI rate than in Rugby League. In contrast, Rugby League has a disproportionately higher rate of CCSI related to tackling than Rugby Union. This result again could be interpreted as an effect of the speed with which players in a more free-flowing game can impact and thus injure one another. This observation is underscored by the available retrospective NFL data showing that the act of



Fig. 5 Immediate postoperative lateral radiograph reveals good realignment and fixation of the C5-C6 fracture dislocation.

tackling amounts to 50% of CCSI occurrences. Interestingly, a study with solid data gathering in another speed contact sport— the prehistoric Irish national sport of hurling (which is played with a cupped stick and ball)—has not reported any CCSI, likely due to the absence of open field tackling. The same unpublished observation was made in collegiate-level lacrosse.

In general, the data available for CCSI in contact sports allows for several observations:

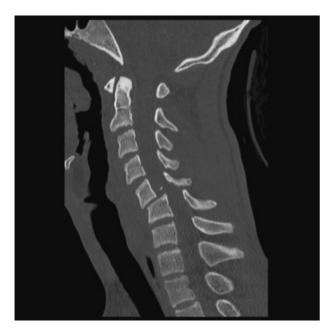


Fig. 4 Lateral computed tomography scan at the time of injury showed significant compromise of the spinal canal.

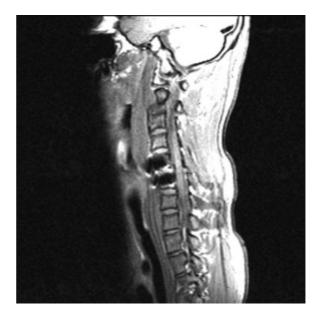


Fig. 6 T1-weighted magnetic resonance image (MRI) postoperatively reveals significant cord injury at the level of the fracture dislocation.

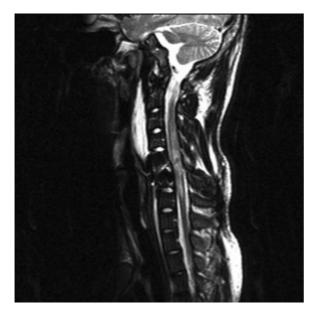


Fig. 7 T2-weighted MRI reveals injury to the cord extending from C4 to C7.

- With the current limited data available, the two preeminent contact team sports at risk for CCSI are American football and rugby (both Union and League formats).
- Protective gear, such as that worn in American football, does not appear to be a preeminent factor in the occurrence of CCSI. However, a more detailed study needs to be done that includes the NFL.



Fig. 9 Lateral cervical spine radiograph confirming bilateral C5–C6 facet dislocation.



Fig. 8 Lateral cervical radiograph one year postoperatively reveals good healing.

- Game-specific actions—such as the contested scrum and the ruck and maul in Rugby Union—persistently seem to be associated with a higher CCSI rate compared with other sports, including American football. Rule changes may lead to a desirable decrease in CCSI, but will alter the character of the game and may affect the public's interest.
- Tackling in conjunction with open field speed maneuvers remains a leading threat for CCSI in all of these sports.
- Despite the life-changing nature for those affected, CCSI remains surprisingly poorly studied by collegiate and professional American football in contrast to organized rugby, where transparent statistical data collection from high school, university, and national and international databases are the norm. Although relatively rare, the serious impact of CCSI on athletes would seemingly prompt systematic independent reporting of spine injuries associated with organized American football at all levels, including youth-level play, to become mandatory, analogous to currently surging efforts to combat traumatic brain injury. This requirement would finally also allow for a better understanding of the effects of the far more common, more subacute, and less severe neck injuries such as sprains, stingers, burners, minor fractures, cervical spondylosis-related disorders such as disk herniations and stenosis, as well as cord-related diseases such as myelopathy and other neurodegenerative spinal cord ailments.

Conclusions

• Current evidence, consisting largely of low-quality studies, indicates CCSIs are infrequent among elite athletes in

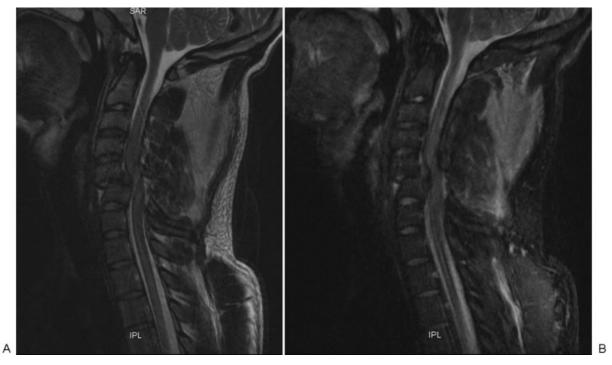


Fig. 10 (A, B) A prereduction T2-weighted and short tau inversion recovery sequence magnetic resonance imaging with persistent C5–C6 dislocation leading to high-grade cord impingement with cord signal changes and extensive posterior ligamentous injury.

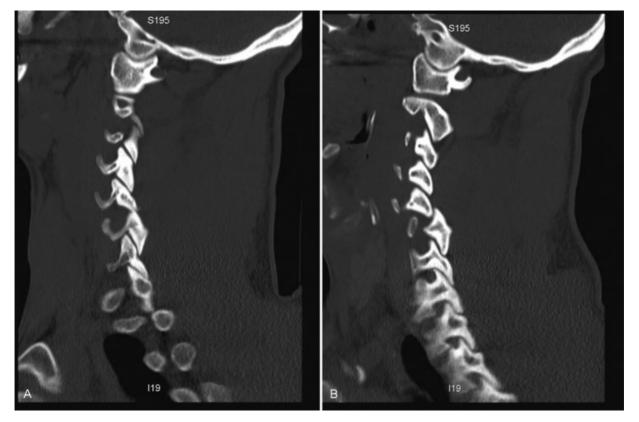


Fig. 11 (A, B) Left- and right-sided parasagittal reformatted computed tomography scans confirm bilateral facet dislocation with a left-sided perched facet and a complete dislocation contralaterally.



Fig. 12 (A, B) Axial computed tomography and an angiogram show an occluded right-sided vertebral artery from the C5 level rostrally.

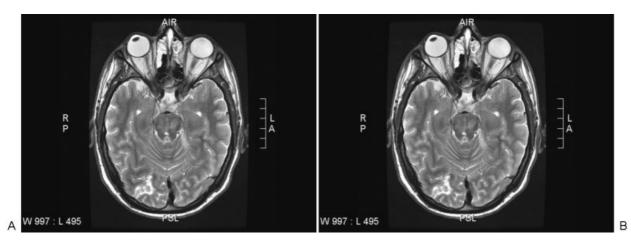


Fig. 13 (A, B) Cranial magnetic resonance imaging shows infarcts in cerebellum and posterior cortex of the right hemisphere.

rugby and American football. The majority of the existing literature evaluates CCSI incidence in rugby athletes; more consistent, transparent, and independent data gathering and analysis are needed in other contact sports such as American football, ice hockey, soccer, and lacrosse.

- There is insufficient evidence to determine the effect of protective gear (e.g., helmets, padding) on CCSI incidence.
- Scrum and tackle in rugby and tackling in American football account for the majority of CCSIs in each respective sport.
- More high-quality research, with standardization of injury definitions and reporting methods, is needed to accurately evaluate and compare CCSI incidence within and between sports.

Disclosures

Michael James Hutton: Personal fees (DePuy/Synthes); Nonfinancial support (Lindare Medical, NuVasive) Robert A. McGuire: Other (President AO North America) Robert Dunn: Fellowship/speaker stipend (Medtronic, DePuy/Synthes, AOSpine)

Richard Williams: none

Peter Robertson: Consulting (Medtronic, NuVasive) Bruce Twaddle: none Patrick Kiely: Nonfinancial support (DePuy/Synthes) Andrew Clarke: none Keyvan Mazda: none Paul Davies: none Krystle T. Pagarigan: none Joseph R. Dettori: none

Acknowledgments

Analytic support for this work was provided by Spectrum Research, Inc. with funding from AOSpine.

References

- 1 Bohu Y, Julia M, Bagate C, et al. Declining incidence of catastrophic cervical spine injuries in French rugby: 1996-2006. Am J Sports Med 2009;37(2):319–323
- 2 Spinal Cord Injury (SCI): Facts and Figures at a Glance. Spinal Cord Injury Model System 2014. Available at: https://www.

nscisc.uab.edu/PublicDocuments/fact_figures_docs/Facts% 202014.pdf. Accessed March 14, 2016

- 3 Lee BB, Cripps RA, Fitzharris M, Wing PC. The global map for traumatic spinal cord injury epidemiology: update 2011, global incidence rate. Spinal Cord 2014;52(2):110–116
- 4 Rothman KJ, Boice JD, Austin H. Epidemiologic Analysis with a Programmable Calculator: New Edition with an Appendix for the HP-41CV by Harland Austin. Boston, MA: Epidemiology Resources, Inc.; 1982
- 5 Bathgate A, Best JP, Craig G, Jamieson M. A prospective study of injuries to elite Australian rugby union players. Br J Sports Med 2002;36(4):265–269, discussion 269
- 6 Berry JG, Harrison JE, Yeo JD, Cripps RA, Stephenson SC. Cervical spinal cord injury in rugby union and rugby league: are incidence rates declining in NSW? Aust N Z J Public Health 2006;30(3):268–274
- 7 Best JP, McIntosh AS, Savage TN. Rugby World Cup 2003 injury surveillance project. Br J Sports Med 2005;39(11):812–817
- 8 Brooks JH, Fuller CW, Kemp SP, Reddin DB. Epidemiology of injuries in English professional rugby union: part 1 match injuries. Br J Sports Med 2005;39(10):757–766
- 9 Brown JC, Lambert MI, Verhagen E, Readhead C, van Mechelen W, Viljoen W. The incidence of rugby-related catastrophic injuries (including cardiac events) in South Africa from 2008 to 2011: a cohort study. BMJ Open 2013;3(2):3
- 10 Fuller CW, Laborde F, Leather RJ, Molloy MG. International Rugby Board Rugby World Cup 2007 injury surveillance study. Br J Sports Med 2008;42(6):452–459
- 11 Fuller CW, Sheerin K, Targett S. Rugby World Cup 2011: International Rugby Board injury surveillance study. Br J Sports Med 2013;47(18):1184–1191
- 12 Schick DM, Molloy MG, Wiley JP. Injuries during the 2006 Women's Rugby World Cup. Br J Sports Med 2008;42(6):447-451
- 13 Carmody DJ, Taylor TK, Parker DA, Coolican MR, Cumming RG. Spinal cord injuries in Australian footballers 1997-2002. Med J Aust 2005;182(11):561–564
- 14 Boden BP, Tacchetti RL, Cantu RC, Knowles SB, Mueller FO. Catastrophic cervical spine injuries in high school and

college football players. Am J Sports Med 2006;34(8): 1223-1232

- 15 Mall NA, Buchowski J, Zebala L, Brophy RH, Wright RW, Matava MJ. Spine and axial skeleton injuries in the National Football League. Am J Sports Med 2012;40(8):1755–1761
- 16 Mueller FO, Cantu RC. Annual Survey of Catastrophic Football Injuries, 1977–2012. National Center for Catastrophic Sport Injury Research 2012. Available at: http://nccsir.unc.edu/reports/. Accessed August 13, 2016
- 17 Murphy JC, Gissane C, Blake C. Injury in elite county-level hurling: a prospective study. Br J Sports Med 2012;46(2): 138–142
- 18 Brooks JH, Fuller CW, Kemp SP, Reddin DB. Epidemiology of injuries in English professional rugby union: part 2 training Injuries. Br J Sports Med 2005;39(10):767–775
- 19 Cantu RC, Mueller FO. Catastrophic spine injuries in football (1977-1989). J Spinal Disord 1990;3(3):227–231
- 20 Cantu RC, Mueller FO. Catastrophic football injuries: 1977-1998. Neurosurgery 2000;47(3):673–675, discussion 675–677
- 21 Cantu RC, Mueller FO. Catastrophic spine injuries in American football, 1977-2001. Neurosurgery 2003;53(2):358–362, discussion 362–363
- 22 Mueller FO, Cantu RC. The annual survey of catastrophic football injuries: 1977-1988. Exerc Sport Sci Rev 1991;19:261–312
- 23 Jans C, Mahieu G, Van Riet R. Bipartite atlas mimicking traumatic atlantoaxial instability following a rugby tackle. BMJ Case Rep 2009;2009(09):18–24
- 24 Gruley B, Robison P. This football helmet crumples—and that's good. Bloomberg Business 2016. ; January 11, 2016. Available at: http://www.bloomberg.com/features/2016-vicis-football-helmet/. Accessed March 22, 2016
- 25 USA Football. Heads up football. Available at: http://usafootball. com/headsup. Accessed March 22, 2016
- 26 Loder RT. The demographics of equestrian-related injuries in the United States: injury patterns, orthopedic specific injuries, and avenues for injury prevention. J Trauma 2008;65(2): 447–460

Editorial Perspective

In the context of the recent highly publicized focus on head injuries in contact sports, the Evidence-Based Spine-Care Journal (EBSJ) section of Global Spine Journal (GSJ) welcomes this contribution by Hutton et al regarding impact sports and severe cervical spine injuries as a timely contribution. Following a number of suggestions, our reviewers enthusiastically endorsed publication of this study as an unprecedented comprehensive comparison study of catastrophic cervical spine injuries in major contact team sports. EBSJ/GSJ shares the surprise of the authors that relatively scant attention has been placed in the peerreviewed spine literature on cervical spine injuries despite sports being one of the leading injury mechanisms for cord injuries.¹ In this preceding article, an international body of recognized sports/spine surgeon experts led by M. Hutton from the United Kingdom performed a thorough review of the available world literature following a formal systematic review approach and

condensed findings about catastrophic spine injuries into a common numeric denominator—either a population of 100,000/y or individual player exposure per 100,000 player-hours. This approach also allowed for some unprecedented cross-sports comparisons and further allowed interesting extrapolations regarding the influence of equipment and rules changes on major cervical injury prevention.

Although at first glance the absolute numbers of catastrophic cervical spine injuries seems to be reassuringly low, the individual adverse impact on players and their families cannot be overstated, as shown in the graphic case examples. The lowappearing incidence of serious spine injuries in the past has likely led to an underestimation of this injury entity and certainly has prevented a more structured approach to injury prevention and acute management in the athletic domain. Because organized sports tend to get more competitive and players tend to get

bigger and faster, our understanding of trend changes and intervention strategies created early on need to keep in step with this increasing professionalization of sports at all levels, regardless of gender and age of players. An emerging additional concern arising from this study is the lack of recorded injury statistics relative to practices and moreover formally recorded spine injury rates for organized youth and recreational contact sports leagues. In the big picture, it appears that rugby at all levels and its various codes have recently arrived at a far more comprehensive and transparent system of data collection for all age groups, something that North American organized football and other sports disciplines hopefully will take on as a necessary future foundational aspect of conducting business. Other sports like soccer, ice hockey, and Australian rules football may also be underreporting their actual injury rates in absence of effective sportswide registries. One can only hope that commercial interests do not override the safety and well-being of players, especially when injury prevention measures can be effectively instituted with rule changes.

A final crucial question arises out of the analogy to the head injury model: if repetitive traumatic brain injuries can be exacerbated by cumulative effects, could the same hold true for the cervical spine? What are the rates of symptomatic cervical spondylosis (i.e., myelopathy, radiculopathy, syrinx formation, demyelination) and is the cervical spinal cord itself susceptible to recurrent concussive trauma in certain at-risk patients? An epidemiologic study of former professional players and their risk exposure to neck surgery, myelopathy, and even diseases like amyotrophic lateral sclerosis might be a worthwhile next step for investigation on the potential ill effects of high impact contact sports on player health.

In the meanwhile, GSJ and AOSpine congratulate the authors on their data collection from around the world and for their work and hope that the response to their inaugural efforts will lead them to further investigative work in the underexplored realm of sports and spine disorders.

Reference

1 Dvorak MF, Itshayek E, Fehlings MG, et al. International spinal cord injury: spinal interventions and surgical procedures basic data set. Spinal Cord 2015;53(2):155–165