

# The Changes in Cervical Biomechanics After CTDR and Its Association With Heterotopic Ossification: A Systematic Review and Meta-analysis

Global Spine Journal 2021, Vol. 11(4) 565-574 © The Author(s) 2020 Article reuse guidelines: sagepub.com/journals-permissions DOI: 10.1177/2192568220922949 journals.sagepub.com/home/gsj



Nicholas Hui, BSc (Med)<sup>1,2</sup>, Kevin Phan, MD, MPhil<sup>1,2</sup>, Mei-Yi Lee, BSc<sup>1,3</sup>, Jack Kerferd, BSc<sup>1,2</sup>, Telvinderjit Singh<sup>1,2</sup>, and Ralph J. Mobbs, MBBS, MS<sup>1,2,4</sup>

## Abstract

Study Design: A systematic review and meta-analysis.

**Objectives:** Cervical total disc replacement (CTDR) can preserve range of motion (ROM) of the operated spinal segment in cadaver studies. Evidence is less clear in clinical trials. The present study aims to investigate the differences in cervical biomechanics before and after CTDR and its association with heterotopic ossification (HO) development.

**Method:** Articles that reported the rate of HO and  $\geq I$  difference in cervical biomechanics were included in quantitative analyses. We pooled the mean difference (MD) of cervical biomechanics before and after CTDR. Subgroup analyses and metaregression analyses were conducted to identify potential contributors to heterogeneity.

**Results:** Of the 599 studies screened, 35 studies were included in the final analysis. In comparison with preoperative values, ROM of the spinal segment inferior (MD: 0.38; 95% CI: 0.02 to 0.74) and superior (MD: 0.43; 95% CI: 0.12 to 0.75) to the surgical spinal segment, functional spinal unit (FSU) angle (MD: 2.23; 95% CI: 1.11 to 3.35), and C2/C7 Cobb angle (MD: 3.49; 95% CI: 1.73 to 5.25) significantly increased after CTDR. In contrast, FSU and cervical ROM at baseline were no different from follow-up. On multivariable meta-regression analyses, HO and ROM-limiting HO were not associated with changes in cervical biomechanics. Single-level CTDR and duration of follow-up were associated with changes in cervical biomechanics.

**Conclusion:** Our study reported the pooled mean of biomechanics at baseline and final follow-up and their differences. The changes in biomechanics were not associated with the rates of HO and ROM-limiting HO.

# Keywords

cervical spine, arthroplasty, biomechanics, heterotopic ossification

# Introduction

Anterior cervical discectomy and fusion (ACDF) is the gold standard treatment for refractory cervical degenerative disc disease. Although ACDF is effective in alleviating signs and symptoms of patients and has a low rate of complications,<sup>1</sup> symptomatic adjacent segment disease (ASDisease) can develop in the long run.<sup>2</sup> The pathogenesis of ASDisease is unknown. The most widely accepted theory is that fusion of spinal segments significantly reduces motion of the operated spinal segment and, thus, increases intradiscal pressure at the adjacent segments and accelerates degeneration at these levels.<sup>3,4</sup>

Cervical total disc replacement (CTDR) has been developed as an alternative to ACDF. The theoretical advantage of CTDR

#### Corresponding Author:

Ralph J. Mobbs, NeuroSpineClinic, Suite 7, Level 7, Prince of Wales Private Hospital, Randwick, New South Wales 2031, Australia. Email: ralphmobbs@hotmail.com



Creative Commons Non Commercial No Derivs CC BY-NC-ND: This article is distributed under the terms of the Creative Commons Attribution-Non Commercial-NoDerivs 4.0 License (https://creativecommons.org/licenses/by-nc-nd/4.0/) which permits non-commercial use, reproduction and distribution of the work as published without adaptation or alteration, without further permission provided the original work is attributed as specified on the SAGE and Open Access pages (https://us.sagepub.com/en-us/nam/open-access-at-sage).

<sup>&</sup>lt;sup>1</sup> NeuroSpine Surgery Research Group, Sydney, Australia

<sup>&</sup>lt;sup>2</sup> University of New South Wales, Sydney, Australia

<sup>&</sup>lt;sup>3</sup> Hong Kong Polytechnic University, Hong Kong

<sup>&</sup>lt;sup>4</sup> NeuroSpineClinic, Sydney, Australia

over ACDF is the preservation of segmental motion at the operated level; it may, therefore, reduce the risk of adjacent segment degeneration and ASDisease.<sup>5,6</sup> Although the motion preservation benefit of CTDR has been confirmed by previous in vitro studies,<sup>7,8</sup> a consensus on whether CTDR can preserve cervical biomechanics cannot be reached in clinical trials.9-12 Moreover, the development of heterotopic ossification (HO), a known complication of CTDR,<sup>13</sup> may reduce range of motion (ROM) of the operative spinal segment, especially in severe forms (grade III and IV).<sup>14</sup> However, no review, to our best knowledge, has yet examined whether biomechanical parameters are preserved after CTDR and its association with HO formation. It has been postulated that HO is induced by the altered cervical biomechanics after CTDR.<sup>15,16</sup> The primary aim of our study is to investigate if the changes in biomechanical measures before and after CTDR, if any, are attributable to the formation of HO.

# Methods

# Study Selection

This systematic review and meta-analysis was conducted in accordance with the Preferred Reporting Items for Systematic Review and Meta-Analysis protocols (PRISMA-P) guideline and the guidelines for academic neurosurgeons.<sup>17-19</sup> Medical subject headings (MeSH) and search terms that were related to CTDR and HO were used in data searches, including *heterotopic ossification*, *heterotopic bone*, *cervical*, *arthroplasty*, *total disc/disk replacement*, *artificial disc/disk replacement*, and *disc/disk prosthesis*.

Published articles in MEDLINE (OVID interface, 1948 onward), EMBASE (OVID interface, 1980 onward), the Cochrane Central Register of Controlled Trials (Wiley interface, current issue), and PubMed databases were searched up to April 2018. The reference lists of publications retrieved in the initial literature search were screened for potential studies. We further supplemented the searches by contacting the corresponding authors if there were incomplete data or the rate of HO was expressed in number of patients but not in number of spinal segments.

After the removal of duplicated articles and screening of titles and abstracts, 2 reviewers assessed the eligibility criteria of the full-text articles. Any discrepancy in the data collection process was first resolved by discussion between the 2 reviewers, then by consulting the senior author if a consensus could not be reached.

The inclusion criteria were as follows:

- Reported ≥1 difference in biomechanical factor before and after CTDR
- Reported the rate of overall HO
- Patients ≥18 years of age who underwent TDR in the cervical spine
- HO was graded by McAfee<sup>14</sup> or Mehren classification<sup>20</sup>

- There was no restriction on the number of radiologists/ spine surgeons who graded and diagnosed HO, or the type of prosthesis used in CTDR
- Articles published in English

The exclusion criteria were as follows:

- Nonclinical studies such as letter to the editor, review articles, case reports, or cadaver tests or editorials
- Rates of overall HO expressed in number of patients
- Duplicated publications
- Radiological follow-up shorter than 1 year

# Data Extraction

The primary outcomes measures were the differences in biomechanics of the cervical spine before and after CTDR: functional spinal unit (FSU) height (in millimeters), C2/C7 Cobb angle, FSU angle and ROM of the operative segment and its superior and inferior spinal segment, disc/shell angle, and cervical ROM. To ensure consistency, the rate of HO was expressed in the number of spinal segments, but not in the number of patients. If a data set involved the same study location and study population was published more than once, only the more recent article with the more comprehensive data would be included.

# Assessment of Methodological Quality

The methodological quality of the included randomized controlled trials (RCTs) was appraised in accordance with the guideline published by the Cochrane Back and Neck Group (CBNG) in the *Cochrane Handbook for Systematic Review of Interventions.*<sup>21</sup> Item 11 of the CBNG guideline, which assesses compliance of intervention, was not applicable because CTDR is a single-session intervention. The methodological quality of the included non-RCTs was appraised in accordance with the Methodological Index for Nonrandomised Studies (MINORS).<sup>22</sup>

## Statistical Analysis

Statistical analysis was conducted using OpenMetaAnalyst and R software. Heterogeneity between included studies was calculated using Q tests and the  $I^2$  statistic. A significant heterogeneity was defined as  $I^2 \ge 50\%$  or P value <.10, in which a random-effect model was used.<sup>23</sup> Otherwise, the fixed-effects model was used.

We pooled the differences in biomechanics of the cervical spine using mean difference (MD) and 95% CIs. Subgroup analyses were conducted stratified by the type of prosthesis and the length of follow-up. Meta-regression analyses were run using the Restricted Maximum Likelihood model to identify possible associations of the differences in biomechanics of the cervical spine after CTDR with a combination of variables, including HO, ROM-limiting HO, length of follow-up, and the



Figure 1. PRISMA 2009 flow diagram.

proportion of single-level CTDR. Multivariable metaregression analyses were performed if the number of studies was larger than 10.

# Results

## Characteristics of Included Studies

A total of 599 articles were initially identified in searching the databases, of which 35 met the inclusion criteria and were included in the present meta-analysis (Figure 1). Table 1 summarizes the characteristics of the included studies.<sup>9,11,24-56</sup> Two were RCTs, and 33 were nonrandomized trials, with a mean follow-up of 3.68 years (ranging from 1 year<sup>52</sup> to 15.5 years<sup>25</sup>).

Among a total of 1674 patients who underwent CTDR, mean age was 45.6, 55% were male, 76.9% underwent single-level CTDR, 17.3% were operated at the C4/C5 level, 52.3% were operated at the C5/C6 level, and 21.4% were operated at the C6/C7 level.

Only 2 RCTs were included in the review. According to the CBNG guideline, both studies did not adopt intent-totreat analysis and did not have information on the use of random sequence generation and allocation concealment (Figure 2). Otherwise, most of the other criteria were met. The average MINORS score for comparative studies was 17.6 (out of 24, ranging from 16 to 19), whereas the MIN-ORS score for noncomparative studies was 9.4 (out of 16, ranging from 6 to 12).

Study	Follow-up Duration (year)	Study Design	Prosthesis	HO Prevalence (%)	Mean Age (years)	Male (%)	Single-Level CTDR (%)	C4/C5 (%)	C5/C6 (%)	C6/C7 (%)
Gao et al, <sup>24</sup> 2018	5.35	Observational study	Prestige LP	16.7	45.4	62.5	0	1	50.0	15.4
<sup>2</sup> ointillart et al, <sup>25</sup> 2018	15.5	Observational study	Bryan	54.5	46.2	55.6	77.8	9.1	50.0	40.9
Zeng et al, <sup>9</sup> 2018	6.78	Observational study	Prestige LP	46.7	43.8	42.2	001	6.7	51.1	40.0
Wu et al, <sup>26</sup> 2017	2.68	Observational study	Prestige LP	4.0	44.8	48.0	0	-	36.0	12.0
Chang et al, <sup>27</sup> 2017	2.31	Observational study	Prestige LP	87.5	45.6	42.0	001	14.0	72.0	4.0
Wu et al, <sup>28</sup> 2017	2.51	Observational study	Prestige LP, Zero-P	7.4	48.I	59.3	0	-	-	-
Heo et al, <sup>29</sup> 2017	2.69	Observational study	Baguera-C	29.2	50.7	37.5	001	12.5	68.8	16.7
Tian et al, <sup>30</sup> 2017	6.71	Observational study	Bryan	2.7	45.0	67.9	71.4	21.6	59.5	13.5
-ei et al, <sup>31</sup> 2016	8.75	Observational study	Bryan	51.4	42.6	48.4	87.I	16.1	32.3	35.5
Shichang et al, <sup>32</sup> 2016	3.84	Observational study	Prestige LP	28.2	46.3	43.5	001	38.8	44.7	7.1
Zhao et al, <sup>33</sup> 2016	10.04	Observational study	Bryan	69.0	44.8	57.6	75.8	16.7	61.9	14.3
Qizhi et al, <sup>34</sup> 2016	2.7	RCT	Discover	0	46.8	64.3	0	21.4	28.6	21.4
<sup>=</sup> ransen et al, <sup>35</sup> 2016	2	Observational study	Baguera-C	53.2	-	1	9.09	15.4	43.1	38.2
Shi et al, <sup>36</sup> 2016	2	Observational study	Discover	13.3	46.5	40.0	001	33.3	41.7	16.7
<im al,<sup="" et="">37 2015</im>	5.01	Observational study	Bryan	18.9	45.4	64.9	001	13.5	64.9	16.2
_ee et al, <sup>38</sup> 2015	3.61	Observational study	Mobi-C	78.6	47.I	85.7	001	21.4	35.7	42.9
Zhang et al, <sup>39</sup> 2015	2	Observational study	Bryan, ProDisc-C	34.0	42.7	50.9	001	1	-	-
Ding et al, <sup>40</sup> 2014	2.81	Observational study	Mobi-C	7.7	50.6	61.5	0	-	_	-
Qi et al, <sup>41</sup> 2014	2.2	Observational study	Discover	27.9	43.1	54.4	76.8	27.2	33.6	7.2
Zhao et al, <sup>42</sup> 2013	5.25	Observational study	ProDisc-C	65.4	44.0	61.5	001	15.4	61.5	11.5
Li et al, <sup>43</sup> 2013	2	Observational study	Discover	18.2	46.4	49. I	001	20.0	61.8	10.9
Chen et al, <sup>44</sup> 2013	2	Observational study	Prestige LP	16.1	45.0	58.1	001	6.5	90.3	0
Chung et al, <sup>45</sup> 2012	I.5	Observational study	Bryan	68.4	50.1	63.2	001	5.3	47.4	47.4
Guérin et al, <sup>46</sup> 2012	1.75	Observational study	Mobi-C	27.7	41.2	45. I	77.5	-	-	-
3arrey et al, <sup>47</sup> 2012	3.13	Observational study	Discover	18.8	42.3	43.8	001	З.І	75.0	21.9
JS FDA IDE trial	2	RCT	Secure-C	74.2	42.7	53.3	001	6.3	51.3	39.2
(P10003) <sup>48</sup> 2012										
Wang et al, <sup>49</sup> 2011	2	Observational study	Bryan	0	46.5	65.0	85.0	30.4	52.2	0
Du et al, <sup>50</sup> 2011	1.275	Observational study	Discover	0	47.9	56.0	96.0	-	-	-
Cardoso et al, <sup>51</sup> 2011	I.5	Observational study	Prestige ST	0	50.0	58.	0	23.3	60.0	6.7
<owalczyk al,<sup="" et="">52 2011</owalczyk>	_	Observational study	Bryan, ProDisc-C,	3.3	44.4	50.0	001	8.3	55.0	33.3
			Prestige LP							
Walraevens et al, <sup>53</sup> 2010	8	Observational study	Bryan	38.5	42.8	42.7	001	1		1
_ee et al, <sup>  </sup> 2010	1.17	Observational study	Bryan, Prestige	27.1	44.0	56.3	001	12.5	56.3	29.2
Ryu et al, <sup>54</sup> 2010	2.275	Observational study	Bryan, ProDisc-C	52.8	46.6	58.3	001	19.4	47.2	30.6
Yang et al <u>, <sup>55</sup></u> 2009	2.46	Observational study	Bryan	0	45.4	66.7	001	31.3	37.5	12.5
Kim <sup>e</sup> t al, <sup>56</sup> 2008	2	Observational study	Bryan	0	1	63.8	83.0	16.4	47.3	36.4

Abbreviations: HO, Heterotopic Ossification; CTDR, Cervical Total Disc Replacement; RCT, Randomized Controlled Trial. <sup>a</sup>/ = data not available.

Table I. Study Characteristics.<sup>a</sup>



**Figure 2.** <sup>a</sup>Risk of bias summary table.

<sup>a</sup>? = unclear risk of bias; + = low risk of bias; - = high risk of bias.

Table 2. The Biomechanics of the Cervical Spine Before and After CTDR and Their Differences.

		Baseline		Final FU	Difference		
	Number of Studies (I <sup>2</sup> )	Biomechanical Value (95% CI)	Number of Studies (I <sup>2</sup> )	Biomechanical Value (95% CI)	Number of Studies (I <sup>2</sup> )	Biomechanical Value (95% CI)	
ROM lower	13 (98.2%)	6.33 (4.56 to 8.10)	12 (94.6%)	9.45 (8.36 to 10.54)	12 (11.8%)	0.38 (0.02 to 0.74) <sup>a</sup>	
ROM upper	15 (90.3%)	9.80 (8.95 to 10.64)	13 (93.5%)	10.15 (9.17 to 11.14)	13 (0%)	$0.43 (0.12 \text{ to } 0.75)^{a}$	
FSU ROM	34 (93.2%)	9.61 (8.98 to 10.23)	34 (96.9%)	9.33 (8.51 to 10.14)	31 (81.1%)	-0.46 (-1.07 to 0.16)	
Cervical ROM	14 (92.5%)	48.77 (45.20 to 52.34)	14 (97.2%)	47.54 (42.64 to 52.43)	13 (82.2%)	-1.41 (-4.66 to 1.84)	
FSU angle	12 (95.8%)	1.82 (0.74 to 2.90)	10 (96.4%)	4.06 (2.64 to 5.48)	10 (87.7%)	2.23 (1.11 to 3.35) <sup>a</sup>	
Cobb angle	14 (88.6%)	11.31 (9.43 to 13.20)	14 (95.6%)	14.67 (11.93 to 17.40)	13 (70.4%)	3.49 (1.73 to 5.25) <sup>a</sup>	

Abbreviations: FU, follow-up;  $l^2$ , heterogeneity; ROM, range of motion; FSU, functional spinal unit. <sup>a</sup> P < .05.

# **Biomechanical Measures**

Table 2 summarizes the pooled mean of biomechanical measures before and after CTDR and their differences. Compared with the baseline values, ROM of the cervical segment inferior (MD: 0.38; 95% CI: 0.02 to 0.74) and superior (MD: 0.43; 95% CI: 0.12 to 0.75) to the surgical spinal segment, FSU angle (MD: 2.23; 95% CI: 1.11 to 3.35), and Cobb angle (MD: 3.49; 95% CI: 1.73 to 5.25) significantly increased at final follow-up. In contrast, FSU and cervical ROM were comparable between baseline and follow-up. Forest plots of the results in Table 2 are available in Supplementary File.

Subgroup Analyses. In light of the significant heterogeneity, we stratified the biomechanical measures based on the

length of follow-up to identify potential effect modifiers (Table 2). As shown in Table 3, subgroup analyses revealed that the decrease in FSU ROM was significantly higher in studies with >6 years of follow-up (MD: -2.15; 95% CI: -2.97 to -1.33) compared with that in studies with 1 to 2 years of follow-up (MD: -0.03; 95% CI: -0.90 to 0.84). The difference in Cobb angle before and after CTDR was comparable between studies with 1 to 2 years and 2 to 3 years of follow-up. When stratified based on the type of prosthesis, the difference in FSU ROM was comparable between different types of prostheses. The limited number of studies in other biomechanical factors did not permit subgroup analyses. Forest plots of the results in Table 3 are available in Supplementary File.

	I-2 Years			2-3 Years	-				>6 Years
	Number of	Biomechanical	Number of	Biomechanical	3-4	4-5	5-6	Number of	Biomechanical
	Studies (I <sup>2</sup> )	Value (95% CI)	Studies (I <sup>2</sup> )	Value (95% CI)	Years	Years	Years	Studies (l <sup>2</sup> )	Value (95% CI)
FSU ROM	12 (72.1%)	-0.03 (-0.90 to 0.84)	7 (73.7%)	0.87 (-0.03 to 1.78)		/	/	6 (0%)	-2.15 (-2.97 to -1.33) <sup>b</sup>
Cobb angle	5 (0%)	1.97 (0.91 to 3.04)	6 (72.0%)	5.30 (2.30 to 8.29)		/	/	/	/

Table 3. Subgroup Analysis of the Difference in Biomechanics Stratified by the Length of Follow-up.<sup>a</sup>

Abbreviations: 1<sup>2</sup>, heterogeneity; ROM, range of motion; FSU, functional spinal unit.

<sup>a</sup> Only the cells with number of studies  $\geq$ 5 are displayed.

<sup>b</sup>There is no overlap with the CI of studies with 1 to 2 years of follow-up.

Table 4. Multivariable Metaregression	of the Effects of Various V	√ariables on the Change	es in Cervical Biomechanics
---------------------------------------	-----------------------------	-------------------------	-----------------------------

	Number of Studies	l <sup>2</sup>	C5/C6	C6/C7	но	Single-Level CTDR	Length of FU	ROM-Limiting HO
ROM lower segment	12	60.4%	1	1	0.61	<i>P</i> value: .046; effect size: -2.08 (95% Cl: -4.11 to -0.04)	1	1
ROM lower segment	12	10.1%	/	1	0.71	· /	0.29	/
ROM lower segment	11	100%	/	1	/	P value: .039; effect size: -2.12 (95% Cl: -4.13 to -0.10)	1	0.26
ROM lower segment	9	NA	0.61	1	1	· /	/	0.30
ROM lower segment	9	NA	/	0.88	1	/	/	0.59
ROM upper segment	13	0	/	1	0.30	/	0.16	/
ROM upper segment	13	0	/	1	0.65	0.66	/	/
ROM upper segment	12	0	/	1	1	0.63	/	0.90
ROM upper segment	10	NA	0.62	1	1	/	/	0.52
ROM upper segment	10	NA	0.37	1	1	/	/	0.27
FSU ROM	31	30.1%	/	/	0.43	0.22	<i>P</i> value: .016; effect size: -0.27 (95% CI: -0.49 to -0.05)	1
FSU ROM	26	30.6%	1	1	1	0.35	0.076	0.73
FSU ROM	23	9.4%	0.058	0.17	1	/	/	0.75
Cervical ROM	13	35.3%	/	1	0.96	P value: .023; effect size: 6.90 (95% Cl: 0.96 to 12.85)	1	1
Cervical ROM	13	0	/	1	0.64	1	0.95	/
Cervical ROM	13	42.6%	/	/	1	P value: .009; effect size: 7.35 (95% Cl: 1.80 to 12.90)	1	0.51
Cervical ROM	10	0	0.21	1	/	· / ·	/	0.66
Cervical ROM	10	0	1	0.68	1	/	/	0.85
FSU angle	10	17.9%	1	1	0.11	0.12	/	/
FSU angle	10	0	/	1	0.37	/	0.84	/
Cobb angle	13	6.1%	1	/	0.14	/	0.86	/
Cobb angle	13	2.2%	1	/	0.19	0.97	/	/
Cobb angle	13	0	/	/	/	0.93	1	0.25

Abbreviations:  $I^2$ , heterogeneity; HO, heterotopic ossification; CTDR, cervical total disc replacement; FU, follow-up; ROM, range of motion; FSU, functional spinal unit.

Metaregression. Metaregression analyses were conducted to identify the association between various baseline and outcome variables with differences in biomechanics (Table 4). On multivariable regression analyses, HO was not associated with any differences in biomechanical factors. Because grade III and IV HOs are classified as ROM-limiting HOs,<sup>20</sup> we replace HO with ROM-limiting HO in the analyses. Similarly, ROM-limiting HO, and CTDR at C5/C6 and C6/C7 level was also not associated with any differences in biomechanical factors. On the other hand, single-level CTDR was inversely associated with the difference in ROM of the inferior segment but

positively associated with the difference in cervical ROM. Moreover, the length of follow-up was associated with changes in FSU ROM.

# Discussion

The pathogenesis of HO formation after CTDR remains elusive. A recent meta-analysis of 82 studies estimated that the prevalence of HO after CTDR was 32.5%, which is comparable to the prevalence of 29.1% reported in our study.<sup>13</sup> A number of risk factors for HO have been proposed. For example, a multivariable Cox regression analyses demonstrated that male gender and obesity are positively correlated with a higher incidence of HO.<sup>57</sup> Other potential risk factors include operation level number<sup>41,58</sup> and older age.<sup>59</sup> However, the evidence is inconclusive in some observational studies.<sup>45,46,60</sup> Many theories have been proposed in an attempt to describe the pathophysiology of HO, the most noticeable of which is that HO is a self-defense physiological reaction in response to the nonphysiological biomechanics of the spine after CTDR.<sup>15,16</sup> Finiteelement analyses demonstrated that shear and compressive forces on the cervical spine each induced a unique pattern of ectopic bone formation.<sup>61,62</sup> Although the cervical biomechanics significantly changed after CTDR, our study is the first to report that HO and ROM-limiting HO formation were not associated with changes in biomechanics of the cervical spine, which may seem to contradict the results in preclinical studies. One of the explanations is that the spine is a dynamic structure, and other spinal segments and muscular structures may adapt to the changes in cervical biomechanics after CTDR, minimizing biomechanical insult on the cervical bone. In fact, our study showed that some of the biomechanics of the spinal segments adjacent to the operative segment changed significantly at final follow-up. Because only some biomechanical factors were examined in our study, whether other biomechanical factors such as center of rotation have an association with HO development is worth future investigation.

The aim of CTDR, in theory, is to preserve ROM of the surgical spinal segment and minimize the risk of ASDisease.<sup>6</sup> Even though cadaver studies support the preservation of cervical biomechanics after CTDR<sup>63,64</sup>; results from clinical trials are conflicting.<sup>9-12</sup> Although various prostheses have their unique design and biomechanical properties, our study found no significant differences in the changes in FSU ROM between different types of prostheses. Also, we found that FSU ROM could be preserved up to 3 years after CTDR. Reasons for the modest, yet significant, decrease in FSU ROM in studies with follow-up longer than 6 years could be multifactorial, such as degeneration and age.<sup>65</sup> Whether the preservation of FSU ROM in the intermediate-term can transform into the benefit of preventing ASDisease in the long run remains unknown.

Our study, to our knowledge, is also the first to meta-analyze biomechanics of the cervical spine before and after CTDR. In comparison with our pre-operative results, healthy individuals have higher segmental and cervical ROM<sup>66,67</sup> but similar Cobb angles.<sup>68</sup> Moreover, the FSU ROM in healthy individuals with degenerative spine changes and spinal cord compression is two times higher than the pooled pre-operative mean of our study.<sup>69</sup> These results reflect the fact that the spine biomechanics of patients who were about to undergo CTDR is significantly dysfunctional compared with that of healthy individuals. Although CTDR, based on our results, successfully preserved FSU ROM for 5 years, the preoperative biomechanics is impaired in relation to that in healthy individuals. Hence, it raises the question of whether the aim of CTDR is to preserve preoperative biomechanics of the spine or reverse it to the values in healthy individuals.

A number of limitations are worth considering when interpreting the results of our study. First, the included studies are heterogeneous with a mixture of different lengths of follow-up, prostheses implanted, and operated spinal levels. We tried to account for the heterogeneity by conducting subgroup analyses. Second, even though the overall number of included studies is moderate, the number of studies in each subgroup is small and did not permit the analyses of some contributing factors. Third, there is observer bias in diagnosing and grading HO in the included studies. Although most studies used experienced radiologists and neurosurgeons to diagnose HO, blinding of observers was lacking. Fourth, there were a number of studies that had data on biomechanical factors but expressed the rate of HO in number of patients. Inclusion of these studies could potentially provide a more accurate estimate, with narrower CIs than in the current data. Finally, most of our included studies are observational studies. A synthesis of RCTs will provide a more unbiased estimate.

## Conclusion

In conclusion, this meta-analysis provides information on various biomechanical factors of the cervical spine before and after CTDR. Patients who opt for CTDR clearly have significantly different cervical biomechanics from healthy individuals or even from those with cervical degeneration. However, there is no association of HO or ROM-limiting HO with the changes in cervical biomechanics. This gives insight into the pathophysiology of HO formation after CTDR.

#### **Declaration of Conflicting Interests**

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

#### Funding

The author(s) received no financial support for the research, authorship, and/or publication of this article.

#### Supplemental Material

Supplemental material is available online with this article.

## **ORCID** iD

Nicholas Hui D https://orcid.org/0000-0001-5625-4307

#### References

- McClelland III S, Oren JH, Protopsaltis TS, Passias PG. Outpatient anterior cervical discectomy and fusion: a meta-analysis. *J Clin Neurosci.* 2016;34:166-168.
- Carrier CS, Bono CM, Lebl DR. Evidence-based analysis of adjacent segment degeneration and disease after ACDF: a systematic review. *Spine J.* 2013;13:1370-1378.
- 3. Dmitriev AE, Kuklo TR, Lehman RA Jr, Rosner MK. Stabilizing potential of anterior, posterior, and circumferential fixation for multilevel cervical arthrodesis: an in vitro human cadaveric study of the operative and adjacent segment kinematics. *Spine (Phila Pa 1976)*. 2007;32:E188-E196.

- Cunningham BW, Hu N, Zorn CM, McAfee PC. Biomechanical comparison of single-and two-level cervical arthroplasty versus arthrodesis: effect on adjacent-level spinal kinematics. *Spine J*. 2010;10:341-349.
- Chang UK, Kim DH, Lee MC, Willenberg R, Kim SH, Lim J. Range of motion change after cervical arthroplasty with ProDisc-C and prestige artificial discs compared with anterior cervical discectomy and fusion. *J Neurosurg Spine*. 2007;7: 40-46.
- Heller JG, Sasso RC, Papadopoulos SM, et al. Comparison of BRYAN cervical disc arthroplasty with anterior cervical decompression and fusion: clinical and radiographic results of a randomized, controlled, clinical trial. *Spine (Phila Pa 1976)*. 2009;34: 101-107.
- DiAngelo DJ, Roberston JT, Metcalf NH, McVay BJ, Davis RC. Biomechanical testing of an artificial cervical joint and an anterior cervical plate. *Spine (Phila Pa 1976)*. 2003;28: 314-323.
- Dmitriev AE, Cunningham BW, Hu N, Sell G, Vigna F, McAfee PC. Adjacent level intradiscal pressure and segmental kinematics following a cervical total disc arthroplasty: an in vitro human cadaveric model. *Spine (Phila Pa 1976)*. 2005;30:1165-1172.
- Zeng J, Liu H, Wang B, et al. Clinical and radiographic comparison of cervical disc arthroplasty with Prestige-LP Disc and anterior cervical fusion: a minimum 6-year follow-up study. *Clin Neurol Neurosurg.* 2018;164:97-102.
- Meisel HJ, Jurák L, Antinheimo J, et al. Four-year results of a prospective single-arm study on 200 semi-constrained total cervical disc prostheses: clinical and radiographic outcome. *J Neurosurg Spine*. 2016;25:556-565.
- Lee JH, Jung TG, Kim HS, Jang JS, Lee SH. Analysis of the incidence and clinical effect of the heterotopic ossification in a single-level cervical artificial disc replacement. *Spine J.* 2010;10: 676-682.
- Radcliff K, Coric D, Albert T. Five-year clinical results of cervical total disc replacement compared with anterior discectomy and fusion for treatment of 2-level symptomatic degenerative disc disease: a prospective, randomized, controlled, multicenter investigational device exemption clinical trial. *J Neurosurg Spine*. 2016;25:213-224.
- Hui N, Phan K, Kerferd J, Lee M, Mobbs RJ. Prevalence of and risk factors for heterotopic ossification after cervical total disc replacement: A systematic review and meta-analysis. *Global Spine J.* 2019:2192568219881163. https://doi.org/10.1177/ 2192568219881163.
- McAfee PC, Cunningham BW, Devine J, Williams E, Yu-Yahiro J. Classification of heterotopic ossification (HO) in artificial disk replacement. *J Spinal Disord Tech*. 2003;16:384-389.
- 15. Cho YH, Kim KS, Kwon YM. Heterotopic ossification after cervical arthroplasty with ProDisc-C: time course radiographic follow-up over 3 years. *Korean J Spine*. 2013;10:19-24.
- Cho HJ, Shin MH, Huh JW, Ryu KS, Park CK. Heterotopic ossification following cervical total disc replacement: iatrogenic or constitutional? *Korean J Spine*. 2012;9:209-214.

- Phan K, Tian DH, Cao C, Black D, Yan TD. Systematic review and meta-analysis: techniques and a guide for the academic surgeon. *Ann Cardiothorac Surg.* 2015;4:112-122.
- Phan K, Mobbs RJ. Systematic reviews and meta-analyses in spine surgery, neurosurgery and orthopedics: guidelines for the surgeon scientist. *J Spine Surg.* 2015;1:19-27.
- Moher D, Shamseer L, Clarke M, et al. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015 statement. *Syst Rev.* 2015;4:1.
- Mehren C, Suchomel P, Grochulla F, et al. Heterotopic ossification in total cervical artificial disc replacement. *Spine (Phila Pa* 1976). 2006;31:2802-2806.
- Furlan AD, Malmivaara A, Chou R, et al. 2015 Updated method guideline for systematic reviews in the Cochrane Back and Neck Group. *Spine (Phila Pa 1976)*. 2015;40:1660-1673.
- Slim K, Nini E, Forestier D, Kwiatkowski F, Panis Y, Chipponi J. Methodological index for non-randomized studies (MINORS): development and validation of a new instrument. *ANZ J Surg.* 2003;73:712-716.
- 23. Higgins JP, Thompson SG. Quantifying heterogeneity in a metaanalysis. *Stat Med.* 2002;21:1539-1558.
- Gao X, Yang Y, Liu H, et al. Cervical disc arthroplasty with Prestige-LP for the treatment of contiguous 2-level cervical degenerative disc disease: 5-year follow-up results. *Medicine* (*Baltimore*). 2018;97:e9671.
- Pointillart V, Castelain JE, Coudert P, Cawley DT, Gille O, Vital JM. Outcomes of the Bryan cervical disc replacement: fifteen year follow-up. *Int Orthop.* 2018;42:851-857.
- Wu T, Wang B, Ding C, et al. Artificial cervical disc replacement with the Prestige-LP prosthesis for the treatment of noncontiguous 2-level cervical degenerative disc disease: a minimum 24-month follow-up. *Clin Neurol Neurosurg*. 2017;152:57-62.
- 27. Chang HK, Chang CC, Tu TH, et al. Can segmental mobility be increased by cervical arthroplasty? *Neurosurg Focus*. 2017;42:E3.
- Wu TK, Wang BY, Cheng D, et al. Clinical and radiographic features of hybrid surgery for the treatment of skip-level cervical degenerative disc disease: a minimum 24-month follow-up. *J Clin Neurosci.* 2017;40:102-108.
- 29. Heo DH, Lee DC, Oh JY, Park CK. Bone loss of vertebral bodies at the operative segment after cervical arthroplasty: a potential complication? *Neurosurg Focus*. 2017;42:E7.
- Tian W, Yan K, Han X, Yu J, Jin P, Han X. Comparison of the clinical and radiographic results between cervical artificial disk replacement and anterior cervical fusion: a 6-year prospective nonrandomized comparative study. *Clin Spine Surg.* 2017;30: E578-E586.
- Lei T, Liu Y, Wang H, et al. Clinical and radiological analysis of Bryan cervical disc arthroplasty: eight-year follow-up results compared with anterior cervical discectomy and fusion. *Int Orthop.* 2016;40:1197-1203.
- Shichang L, Yueming S, Limin L, et al. Clinical and radiologic comparison of dynamic cervical implant arthroplasty and cervical total disc replacement for single-level cervical degenerative disc disease. J Clin Neurosci. 2016;27:102-109.

- Zhao Y, Zhang Y, Sun Y, Pan S, Zhou F, Liu Z. Application of cervical arthroplasty with Bryan cervical disc: 10-year follow-up results in China. *Spine (Phila Pa 1976)*. 2016;41:111-115.
- Qizhi S, Lei S, Peijia L, et al. A comparison of zero-profile devices and artificial cervical disks in patients with 2 noncontiguous levels of cervical spondylosis. *Clin Spine Surg.* 2016;29:E61-E66.
- 35. Fransen P, Hansen-Algenstaedt N, Chatzisotiriou A, et al. Radiographic outcome and adjacent segment evaluation two years after cervical disc replacement with the Baguera<sup>®</sup> C prosthesis as treatment of degenerative cervical disc disease. *J Spine*. 2016;5:1-7.
- 36. Shi S, Zheng S, Li XF, Yang LL, Liu ZD, Yuan W. Comparison of 2 Zero-Profile implants in the treatment of single-level cervical spondylotic myelopathy: a preliminary clinical study of cervical disc arthroplasty versus fusion. *PLoS One.* 2016;11:e0159761.
- Kim SH, Chung YS, Ropper AE, et al. Bone loss of the superior adjacent vertebral body immediately posterior to the anterior flange of Bryan cervical disc. *Eur Spine J.* 2015;24: 2872-2879.
- Lee SE, Jahng TA, Kim HJ. Correlation between cervical lordosis and adjacent segment pathology after anterior cervical spinal surgery. *Eur Spine J.* 2015;24:2899-2909.
- Zhang Z, Jiao L, Zhu W, Du Y, Zhang W. Comparison of Bryan versus ProDisc-C total disk replacement as treatment for singlelevel cervical symptomatic degenerative disk disease. *Arch Orthop Trauma Surg.* 2015;135:305-311.
- 40. Ding F, Jia Z, Wu Y, Li C, He Q, Ruan D. Fusion-nonfusion hybrid construct versus anterior cervical hybrid decompression and fusion: a comparative study for 3-level cervical degenerative disc diseases. *Spine (Phila Pa 1976)*. 2014;39:1934-1942.
- Qi M, Chen H, Cao P, Tian Y, Yuan W. Incidence and risk factors analysis of heterotopic ossification after cervical disc replacement. *Chin Med J.* 2014;127:3871-3875.
- Zhao Y, Sun Y, Zhou F, Liu Z. Cervical disc arthroplasty with ProDisc-C artificial disc: 5-year radiographic follow-up results. *Chin Med J (Engl)*. 2013;126:3809-3811.
- 43. Li J, Liang L, Ye XF, Qi M, Chen HJ, Yuan W. Cervical arthroplasty with Discover prosthesis: clinical outcomes and analysis of factors that may influence postoperative range of motion. *Eur Spine J.* 2013;22:2303-2309.
- 44. Chen F, Yang J, Ni B, Guo Q, Lu X, Xie N. Clinical and radiological follow-up of single-level Prestige LP cervical disc replacement. Arch Orthop Trauma Surg. 2013;133: 473-480.
- 45. Chung SB, Muradov JM, Lee SH, Eoh W, Kim ES. Uncovertebral hypertrophy is a significant risk factor for the occurrence of heterotopic ossification after cervical disc replacement: survivorship analysis of Bryan disc for single-level cervical arthroplasty. *Acta Neurochir (Wien)*. 2012;154:1017-1022.
- Guérin P, Obeid I, Bourghli A, et al. Heterotopic ossification after cervical disc replacement: clinical significance and radiographic analysis: a prospective study. *Acta Orthop Belg.* 2012; 78:80-86.
- 47. Barrey C, Champain S, Campana S, Ramadan A, Perrin G, Skalli W. Sagittal alignment and kinematics at instrumented and

adjacent levels after total disc replacement in the cervical spine. *Eur Spine J.* 2012;21:1648-1659.

- US Food and Drug Administration. PMA P100003: FDA summary of safety and effectiveness data. https://www.accessdata. fda.gov/cdrh\_docs/pdf10/P100003b.pdf. Published September 28, 2012. Accessed April 12, 2019.
- 49. Wang Q, Cheng H, Mao Z, Qi X, Zhang M, Chen Y. Clinical and radiographic results after treatment of cervical degenerative disc disease with the Bryan disc prosthesis: a prospective study with 2-year follow-up. *Acta Orthop Belg.* 2011;77: 809-815.
- Du J, Li M, Liu H, Meng H, He Q, Luo Z. Early follow-up outcomes after treatment of degenerative disc disease with the Discover cervical disc prosthesis. *Spine J.* 2011;11: 281-289.
- Cardoso MJ, Mendelsohn A, Rosner MK. Cervical hybrid arthroplasty with 2 unique fusion techniques. *J Neurosurg Spine*. 2011; 15:48-54.
- Kowalczyk I, Lazaro BC, Fink M, Rabin D, Duggal N. Analysis of in vivo kinematics of 3 different cervical devices: Bryan disc, ProDisc-C, and Prestige LP disc. *J Neurosurg Spine*. 2011;15: 630-635.
- Walraevens J, Demaerel P, Suetens P, et al. Longitudinal prospective long-term radiographic follow-up after treatment of single-level cervical disk disease with the Bryan cervical disc. *Neurosurgery*. 2010;67:679-687.
- Ryu KS, Park CK, Jun SC, Huh HY. Radiological changes of the operated and adjacent segments following cervical arthroplasty after a minimum 24-month follow-up: comparison between the Bryan and Prodisc-C devices. *J Neurosurg Spine*. 2010;13: 299-307.
- Yang YC, Nie L, Cheng L, Hou Y. Clinical and radiographic reports following cervical arthroplasty: a 24-month follow-up. *Int Orthop.* 2009;33:1037-1042.
- 56. Kim SW, Shin JH, Arbatin JJ, Park MS, Chung YK, McAfee PC. Effects of a cervical disc prosthesis on maintaining sagittal alignment of the functional spinal unit and overall sagittal balance of the cervical spine. *Eur Spine J.* 2008;17:20-29.
- Nunley PD, Cavanaugh DA, Kerr EJ, et al. Heterotopic ossification after cervical total disc replacement at 7 years—prevalence, progression, clinical implications, and risk factors. *Int J Spine Surg.* 2018;12:352-361.
- Tu TH, Wu JC, Huang WC, et al. Heterotopic ossification after cervical total disc replacement: determination by CT and effects on clinical outcomes. *J Neurosurg Spine*. 2011;14:457-465.
- Leung C, Casey AT, Goffin J, et al. Clinical significance of heterotopic ossification in cervical disc replacement: a prospective multicenter clinical trial. *Neurosurgery*. 2005;57:759-763.
- Park JH, Rhim SC, Roh SW. Mid-term follow-up of clinical and radiologic outcomes in cervical total disk replacement (Mobi-C): incidence of heterotopic ossification and risk factors. *J Spinal Disord Tech.* 2013;26:141-145.
- Ganbat D, Kim K, Jin YJ, Kim YH. Heterotopic ossification in cervical total disk replacement: a finite element analysis. *Proc Inst Mech Eng H.* 2014;228:200-205.

- 62. Ganbat D, Kim YH, Kim K, Jin YJ, Park WM. Effect of mechanical loading on heterotopic ossification in cervical total disc replacement: a three-dimensional finite element analysis. *Biomech Model Mechanobiol*. 2016;15:1191-1199.
- McAfee PC, Cunningham B, Dmitriev A, et al. Cervical disc replacement—porous coated motion prosthesis: a comparative biomechanical analysis showing the key role of the posterior longitudinal ligament. *Spine (Phila Pa 1976)*. 2003;28: S176-S185.
- Puttlitz CM, Rousseau MA, Xu Z, Hu S, Tay BK, Lotz JC. Intervertebral disc replacement maintains cervical spine kinetics. *Spine (Phila Pa 1976)*. 2004;29:2809-2814.
- Simpson AK, Biswas D, Emerson JW, Lawrence BD, Grauer JN. Quantifying the effects of age, gender, degeneration, and adjacent

level degeneration on cervical spine range of motion using multivariate analyses. *Spine (Phila Pa 1976)*. 2008;33:183-186.

- Lind B, Sihlbom H, Nordwall A, Malchau H. Normal range of motion of the cervical spine. *Arch Phys Med Rehabil*. 1989;70: 692-695.
- 67. Bogduk N, Mercer S.Biomechanics of the cervical spine: I. Normal kinematics. *Clin Biomech (Bristol, Avon)*. 2000;15:633-648.
- Park JH, Cho CB, Song JH, Kim SW, Ha Y, Oh JK. T1 slope and cervical sagittal alignment on cervical CT radiographs of asymptomatic persons. *J Korean Neurosurg Soc.* 2013;53: 356-359.
- 69. Morishita Y, Hida S, Miyazaki M, et al. The effects of the degenerative changes in the functional spinal unit on the kinematics of the cervical spine. *Spine (Phila Pa 1976)*. 2008;33:E178-E182.