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### **METHODS: ORIGINAL ARTICLE**

# TanCAR: A Novel Bispecific Chimeric Antigen Receptor for Cancer Immunotherapy

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Targeted T cells are emerging as effective non-toxic therapies for cancer. Multiple elements, however, contribute to the overall pathogenesis of cancer through both distinct and redundant mechanisms. Hence, targeting multiple cancer-specific markers simultaneously could result in better therapeutic efficacy. We created a functional chimeric antigen receptor— the TanCAR, a novel artificial molecule that mediates bispecific activation and targeting of T cells. We demonstrate the feasibility of cumulative integration of structure and docking simulation data using computational tools to interrogate the design and predict the functionality of such a complex bispecific molecule. Our prototype TanCAR induced distinct T cell reactivity against each of two tumor restricted antigens, and produced synergistic enhancement of effector functions when both antigens were simultaneously encountered. Furthermore, the TanCAR preserved the cytolytic ability of T cells upon loss of one of the target molecules and better controlled established experimental tumors by recognition of both targets in an animal disease model. This proof-of-concept approach can be used to increase the specificity of effector cells for malignant versus normal target cells, to offset antigen escape or to allow for targeting the tumor and its microenvironment.

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### Introduction

Chimeric antigen receptors (CARs) are artificial molecules that redirect the specificity of T cells to predetermined antigens.<sup>1–3</sup> The prototype CAR was first described by Eshhar and colleagues in 1993, in which specific activation and targeting of T cells was mediated through molecules consisting of a target-antigen–specific antibody domain and the  $\gamma$ - or  $\zeta$ -signaling subunits of the Fc epsilon receptor or T cell receptor, respectively.<sup>2</sup> Since then, many groups have devised CAR molecules with single tumor-directed specificities and enhanced signaling endodomains;<sup>4–6</sup> CAR T cell-based clinical trials are currently underway, with some highly promising early results.<sup>6–10</sup>

Rendering an individual T cell bispecific could have substantial functional implications that would likely translate into therapeutic benefits. Downregulation or mutation of target antigens is commonly observed in cancer cells, creating antigen-loss escape variants; a bispecific T cell could thus offset tumor escape.<sup>11</sup> Furthermore, this bispecificity could enable simultaneous targeting of tumor cells and elements in the tumor microenvironment thereby augmenting T cell activation and function by increasing avidity and by broadening their therapeutic reach.<sup>12</sup> To accomplish such bispecificity, we constructed a CAR in which two distinct antigen recognition domains are present in tandem on a single transgenic receptor.

The folding of an amino acid chain into highly organized, biologically functional three-dimensional protein structures, such as a CAR, continues to be a challenge in the design of novel protein molecules.<sup>13</sup> In particular, protein misfolding, mispairing, and malfunction/dysfunction have traditionally impeded the wide scale production of molecules with multiple specificities.<sup>14</sup> Advances in computational modeling methods, through characterization of the underlying energy landscapes as well as the dynamics of the polypeptide chains, have made structure prediction, analysis and design of a novel protein molecule, such as a tandem CAR, more feasible.<sup>15,16</sup> Furthermore, docking routines have recently made it possible to predict, with high accuracy, the interface between two candidate molecules in a manner that could help to elucidate their functionality.<sup>17</sup>

We used computational modeling tools to predict the functionality of a novel single CAR molecule that can mediate

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bispecific activation and targeting of T cells. This tandem CAR (TanCAR) recognizes each target molecule individually and facilitates synergistic activation and functionality when both are encountered simultaneously. Such findings could have important therapeutic implications.

#### Results

### Requirements for a bispecific "tandem" CAR: The TanCAR

We designed a proof-of-concept molecule, the TanCAR, to simultaneously target the B-cell antigen CD19 and the human epidermal growth factor receptor 2 (HER2/neu; also known as ErbB-2, CD340, and p185).

The extracellular domain of the TanCAR was designed to include a CD19-specific single chain antibody variable fragment (scFv) followed by a Gly-Ser linker, a HER2-specific scFv (FRP5) and another Gly-Ser tandem repeat hinge.<sup>18</sup> Gly-Ser tandem repeats are highly flexible and non-cleavable thus would allow for near-free motion of the TanCAR subunits. Because of the respective lengths of HER2 (632aa/125Å) and CD19 (280aa/65Å) extracellular domains and the knowledge that FRP5 binds to the distal-most 4 loops of HER2 (W. Wels; unpublished data), we placed HER2-scFv in the juxta-membrane position and the CD19-scFv in the distal position to allow for more relaxed and potentially simultaneous binding. The intracytoplasmic domain of the proposed TanCAR molecule consists of a CD28/CD3- $\zeta$  signaling moiety, as previously described (Figure 1).<sup>19</sup>

### Computational docking of the proposed TanCAR design to target molecules

Predictive molecular modeling was used to interrogate the hypothetical TanCAR structure and provide rational basis for constructing a potentially functional molecule. To determine if the aforementioned molecular arrangement was possible, we created a TanCAR structural model using the protein-structure modeling webserver, ModWeb.<sup>20</sup> Four structural templates with >58% sequence identify were identified, from



Figure 1 Designing a bispecific tandem chimeric antigen receptor (TanCAR) molecule. Representation of the proposed chimeric antigen receptor molecule extracellular domains engaging the two targets; HER2 and CD19.

which a model for residues 39–285 of TanCAR was constructed (Figure 2a).

The next step was to assess how this TanCAR might interact with CD19 and HER2, individually. Although the structure of HER2 is known,<sup>21</sup> no structure of CD19 is currently available. As such, a homology model for CD19 was generated using the 3MJG crystal structure as a structural template for residues 1-272 within the Modeller software.22 Using a combination of Patchdock and FireDock,23,24 automated tools for docking and refining two structures based on shape complementarity, the docking of HER2- and CD19scFv's to their respective target molecules was simulated (Figure 2b,c). Thereafter, the whole TanCAR extracellulardomain model was docked pairwise to both structures, individually (Figure 2d,e). Results from the pairwise dockings were screened based on the overall score and agreement with known interaction sites from peptide spotting experiments in the case of HER2 (Supplementary Figure S1).25

From the *in silico* docking of these molecules, it appeared that the potential interactions of TanCAR with the target molecules could accommodate the intended bispecificity, and as such, we used this arrangement as an initial model to explore the ability of TanCAR to interact with the target molecules individually.

#### Construction, delivery, and expression of the TanCARencoding transgene

The modeled design of the TanCAR extracellular domain, composed of the CD19- and HER2-scFv fragments in tandem and separated by a linker, was assembled on Clone Manager, modified to introduce desired and remove unwanted restriction enzyme sites and optimized for maximum protein production using GeneOptimizer software.<sup>26</sup> This exodomain was custom synthesized as a DNA fragment and subcloned in frame into an SFG retroviral vector containing a short hinge. CD28 transmembrane and signaling domains and the signaling domain of the CD3ζ-chain (Figure 3a).<sup>27</sup> The resulting TanCAR transgene was then expressed in 293T cells as previously described.<sup>28</sup> By flow cytometry, we determined ~89% and ~59% of 293T cells to surface express the TanCAR using Fab-specific antibody (binds both CD19-scFv and HER2-scFv) and a HER2-Fc protein (binds HER2-scFv only), respectively (Supplementary Figure S2 and Figure 3b). Similarly, >70% of T cells transduced using the 293T cells retroviral supernatant surface expressed the TanCAR (Figure 3c). Specific detection of the juxta-membrane HER2scFv confirmed that the surface expression of the TanCAR extracellular domain in its entirety.

## TanCAR-expressing T cells distinctly recognize each target antigen

To test the dual functionality of the TanCAR T cells against CD19 and HER2, we first confirmed surface expression of these target antigens on a panel of human cancer cell lines using flow cytometry. Raji Burkitt lymphoma cells uniformly expressed the B-lineage marker CD19 but lacked detectable HER2 (**Figure 4a**).<sup>6</sup> Conversely, Daoy medulloblastoma cells uniformly expressed HER2 but did not express CD19.<sup>28</sup> MDA-MB-468 breast cancer cells were negative for both.<sup>28</sup>



Figure 2 Docking platforms predict favorable binding potential of TanCAR to target molecules. Compilation of structure and docking data of (a) hypothetical structure of both FRP5-derived scFv and the CD19-specific scFv joined with a 20 amino acid Gly-Ser linker; (b) most favorable docking models of FRP5-derived scFv and the distal 200 amino acid residues of the extracellular domain of HER2; (c) most favorable docking models of CD19-scFv and the extracellular domain of CD19, and combined docking of (d) HER2 and (e) CD19 and the whole TanCAR exodomain, individually.



Figure 3 Construction and surface expression of the TanCAR molecule. (a) pSFG vector construct encoding the TanCAR; (b) detection of the surface expression of the TanCAR using a Fabspecific antibody and FRP5-specific HER2-Fc protein on 293T cells; and (c) on T cells. See **Supplementary Figure S2** for description of the labeling strategy.

In 4-hour <sup>51</sup>Cr-release assays, TanCAR T cells recognized and killed HER2-expressing Daoy cells but not MDA-MB-468 cells. Non-transduced T cells from the same donor had no lytic activity, excluding an allogeneic response. Up to 95% of lysis could be blocked by soluble HER2 protein (Figure 4b), indicating that specific recognition occurred due to HER2 binding. Similarly, CD19-expressing Raji cells were killed by TanCAR T cells but not CD19 negative MDA-MB-468 cells. CD19-specific MAb 4G7 blocked cytolytic activity against Raji cells by up to 70% (Figure 4c).

In cocultures, TanCAR T cells secreted Interferon- $\gamma$  (IFN- $\gamma$ ) and Interleukin-2 (IL-2) upon encountering HER2- or CD19positive target cells. No cytokines were secreted in coculture with MDA-MB-468 (Figure 4d). These results indicate that TanCAR is bispecific for CD19 and HER2, and mediates activation and targeting of T cells upon encounter of either antigen alone.

### Enhanced functionality upon simultaneous encounter of both target antigens and preserved TanCAR T-cell– induced cytolysis in a model of antigen loss

To investigate the hypothetical potential for simultaneous binding of the TanCAR molecule to both target antigens we visualized and aligned the pairwise dockings in UCSF's Chimera and evaluated the ensemble docking for global energy, agreement with interaction sites and steric clashes.<sup>29</sup> Indeed, this composite algorithm yielded a sterically possible favorable docking combination in which HER2 and CD19 structures were both bound to the TanCAR without any clashes (Figure 5a). Based on this docking model, both the HER2 and CD19 are arranged such that their N-termini are essentially orientated in the same direction: hypothetically, this is the tumor cell direction. As the CD19 structure is ~50% of the size of HER2, there is a separation of ~93Å between the N-termini of both molecules. Differences in orientation of CD19 and HER2 along the cell membrane, as well as variations in the cell membrane itself, could account for the difference between the size and orientation of the receptors but would still allow for the simultaneous docking of both HER2 and CD19 to the TanCAR.

To study the kinetics of activation of TanCAR T cells in vitro and in vivo in the presence of both target molecules, we used a tetracycline-inducible system (Tet-On 3G) to conditionally express a truncated non-signaling CD19 molecule on Daoy cells (Daoy.TET.CD19).30 In the presence of doxycycline (DOX+), 60-85% of the endogenously HER2-positive Daoy.TET.CD19 cells expressed CD19 and the reporter gene mCherry (Supplementary Figure S3a). In cytotoxicity assays, we saw consistently higher killing after the induction of CD19 for all tumor/T-cell ratios tested. This enhancement of cytolysis followed an exponential trend with a higher order equation, an effect that became more evident at higher tumor/T cell ratios (Figure 5b). This indicates a synergistic effect of simultaneous recognition of both target antigens on the ability of T cells to lyse target cells. Consistent with these results, we observed fourfold increase (P < 0.01) in IFN- $\gamma$ secretion upon induction of CD19 (Figure 5c).

Downregulation or mutation of target antigens is a common process in cancer cells creating antigen-loss escape variants. Such variants are responsible for persistence of tumor cells at very low frequencies, and their outgrowth culminates in relapse. We simulated the downregulation of a targeted antigen by blocking HER2 recognition in CD19induced (DOX<sup>+</sup>) Daoy.TET.CD19 cells using a soluble HER2 fragment. Although the competitor successfully impaired HER2-mediated killing in these cells (up to 90%), bispecific TanCAR T cells were less affected by soluble HER2 fragment blocking because of maintained recognition of CD19 (**Figure 5d**).

### Simultaneous targeting of two antigens enhances the *in vivo* antitumor activity of TanCART cells

We used a xenograft model to assess the potential advantage of simultaneously targeting two antigens in established tumors. We inoculated Daoy.TET.CD19 xenografts in the flanks of SCID mice. The induction of CD19 by intraperitoneal doxycycline was confirmed in a subset of animals (**Supplementary Figure S3b**). The tumors were allowed to establish for 3 weeks, after which animals were randomly assigned into four groups. All four groups of animals had similar tumor volumes after randomization (mean tumor volume 94  $\mu$ l; SD 28  $\mu$ l). Two groups received intraperitoneal doxycycline (DOX<sup>+</sup>) whereas the other two received phosphate-buffered saline (PBS; DOX<sup>-</sup>). Within DOX<sup>+</sup> and DOX<sup>-</sup> groups, five animals each received one intratumoral injection of 10,000



TanCAR T cells/µl tumor volume. Administration of PBS or doxycycline alone induced minimal or no alteration of the tumor growth pattern. By contrast, TanCAR T cells resulted in significant delay in tumor progression that was further increased by induction of CD19 (**Figure 6a**). Kaplan–Meier survival studies after 60 days from treatments showed that mice administered PBS with and without doxycycline had a median survival of 28 and 31 days, respectively. In contrast, mice treated with TanCAR T cells had a median survival of 44 days (P < 0.01). Furthermore, mice administered TanCAR T cells and doxycycline had a median survival of >60 days with 50% of the mice surviving >80 days (P < 0.001; **Figure 6b**). Hence, simultaneous recognition of two antigens enhanced the *in vivo* antitumor activity of adoptively transferred Tan-CAR T cells.

#### Discussion

We created a functional CAR, the TanCAR, a novel artificial molecule that mediates bispecific activation and targeting of T cells. We demonstrated the feasibility of cumulative integration of structure and docking simulation data using computational tools to interrogate the design and predict the functionality of such a complex bispecific molecule. Our prototype Tan-CAR induced distinct T cell reactivity against each of two target molecules, and produced synergistic enhancement of effector functions when both antigens were simultaneously encountered. Furthermore, the TanCAR preserved the cytolytic ability of T cells upon loss of one of the target molecules and better controlled established experimental tumors by recognition of both targets in an animal disease model.

An approach using effector cells with multiple specificities could have a number of benefits as cancer therapy. First, simultaneous targeting of multiple tumor antigens could overcome antigen escape by providing an alternative killing pathway if there is antigen downregulation or deletion. Second, such effector cells would exhibit a broader spectrum of specificities allowing for targeting of heterogeneous antigens, such as those present on the tumor cells and within the tumor microenvironment, thereby enhancing tumor control by damaging the tumor complex. Lastly, the simultaneous encounter of several antigens should enhance T cell activation through increased avidity, a particular benefit when tumors express only modest levels of individual antigens.<sup>12,31</sup>

The potential benefits of bispecific antibodies and related molecules have led to an intensive investigation and definite successes of various designs and specificities. Indeed,

Figure 4 The TanCAR T cells distinctly recognize individual target molecules. (a) Flow cytometric analysis of the surface expression of the target antigens, HER2 and CD19, on a panel of human cancer cell lines used for functional testing; (b) cytotoxicity assay showing recognition and killing of HER2-positive Daoy cells and efficient blocking of this lysis using a soluble HER2 fragment; (c) similarly, TanCAR T cells recognized CD19-positive Raji cells and this lysis was blocked using the CD19 Ab 4G7; (d) in cocultures, TanCAR T cells secreted IFN- $\gamma$  as well as IL-2 upon encounter of HER2- and CD19-positive target cell above the non-transduced T-cell control (NT). No cytokines were secreted in coculture with the HER2- and CD19-null target cell MDA-MB-468. (b–d) Shown are representative plots of three or more experiments done in triplicates.



Figure 5 Enhanced cytolytic function upon simultaneous recognition of two antigens and preserved TanCAR T cell-induced cytolysis in a model of antigen loss. (a) Collective modeling of the simultaneous docking of the TanCAR to both HER2 and CD19. (b) In cytotoxicity assays, we saw consistently higher killing after the induction of CD19 at various tumor to T cell ratios. This was synergistic; with an exponential trend following a higher order equation; and was more prominent in higher tumor to T cell ratios (right panel). (c) Similarly, induction of CD19 (DOX<sup>+</sup>) in Daoy.TET.CD19 and T cell coultures resulted in a more than fourfold increase in IFN- $\gamma$  release as detected by ELISA (P < 0.01). (d) We modeled the scenario in which tumor cells downregulate the target antigen, by blocking HER2 in CD19-induced (DOX<sup>+</sup>) and CD19 null (DOX<sup>-</sup>) Daoy.TET.CD19 cells using a soluble HER2 fragment (s.HER2). Although soluble HER2 successfully induced substantial blocking of HER2-mediated killing in DOX<sup>-</sup> cells at various tumor to T cell ratios of 1:20, 1:10, and 1:5. Shown are representative plots of three experiments done in triplicates.

Blinatumomab, a CD3/CD19-bispecific antibody, has been shown in a Phase I/II trial to induce objective responses in non-Hodgkin lymphoma patients.<sup>32</sup> Unfortunately, the wider scale therapeutic use of bispecific antibodies has faced obstacles related to manufacturing feasibility and limitations related to pharmacokinetics and stability.33 Tandem-scFv, diabodies, two-in-one antibodies, and dual variable domain antibodies were introduced as novel designs that were able to overcome some of these limitations but still suffer from residual shortcomings of antibody-based approaches.33-36 Antibodies, unlike T cells, do not actively migrate through microvascular-walls or penetrate the core of solid tumors to exert their antitumor activity and usually have no access to the neuraxis, a common sanctuary for cancer cells.37,38 Furthermore, in the context of modest expression of target antigens, antibodies exhibit limited efficacy.<sup>31,39</sup> T cells expressing antibody-derived CARs have been shown to overcome such limitations.31,40

Our group previously redirected the specificity of T cells to two distinct entities by grafting tumor-restricted antigen-specific CARs onto T cells whose native receptor was specific for latent-virus antigens. Thus, we grafted EBV-specific cytotoxic T cells (EBV-CTLs) with a GD2-specific CAR to treat Neuroblastoma, whereas CMV.CTLs were grafted with HER2-specific CARs to treat Glioblastoma.<sup>7</sup> The intent was to enable CAR expressing CTLs to receive optimal costimulation after native-receptor engagement of viral antigens on professional antigen presenting cells, and thereby enhance their *in vivo* survival in these first-in-man studies.<sup>7,41</sup>

This work describes the first rationally designed artificial molecule to render a T cell bispecific. We demonstrate synergistic killing which should further enhance function and resistance against antigen loss. A combination of these approaches may also be feasible by grafting a TanCAR to a latent-virus–specific CTL, thereby providing a trispecific T cell with enhanced *in vivo* survival. The TanCAR could be further modified to incorporate additional costimulatory endodomains to enhance the degree of T cell activation and persistence.<sup>6,10</sup>

Though much progress has been made, designing novel protein molecules with correct protein folds is still very challenging.<sup>13,14</sup> Indeed, Patel *et al.* described, in 2000, T cell



**Figure 6** Simultaneous targeting of two antigens enhances the *in vivo* antitumor activity of adoptively transferred TanCART cells. (a) Daoy.TET.CD19 xenografts were established for 3 weeks in the flanks of SCID mice, and then animals were randomized into four groups. Administration of PBS into the tumor and/or systemic doxycycline induced minimal or no alteration of the tumor growth pattern. By contrast, treatment with TanCART cells resulted in a significant delay in tumor progression that was further enhanced by induction of CD19 expression in the DOX<sup>+</sup> group. (b) Kaplan–Meier survival curve: analysis performed 60 days after PBS or T-cells injection. Mice treated with TanCART cells had a significantly longer survival probability in comparison with control mice. Furthermore, induction of CD19 by the administration of doxycycline resulted in enhanced antitumor activity of adoptively transferred TanCART cells.

products expressing four empiric designs of anti-tumoral antigen bispecific chimeric immune receptors one of which lysed both CEA- and TAG-72-expressing targets and did not kill antigen-negative targets or target cells expressing other members of the CEA family.42 However, the recent advances in computational modeling and protein-protein docking make structure design and analysis of a novel protein, such as the aforementioned tandem CAR, more feasible.15,16 We used such tools, in a staged methodology, to profile the energy landscapes as well as the dynamics of the polypeptide chains in all stages of the folding process, in an attempt to predict functionality of this molecule. We reasoned that although CD19 and HER2 are not naturally coexpressed on normal or malignant cells, using them as targets would allow us to directly test the effects of binding one or both target antigens and the consequences of such binding on T cell activation and target cell killing. Both molecules are well characterized as targets and are in multiple clinical trials using CAR T cells. Furthermore, a crystal structure of HER2 was available.<sup>21</sup> Although the structure of CD19 is unknown, several potential structural templates, with relatively high sequence similarity, are available for constructing a CD19 homolog model. Coupled with a model of TanCAR, it is possible to reliably assess its docking potential to these target molecules.

The TanCAR model was produced from several closely related structures (>50% identity between the sequences of interest and the template structures), demonstrating that computational modeling is a useful tool in the construction of such complex molecules by interrogating their functional structure and predicting their functionality. However, in the proposed model, the Gly-Ser linker has no structural template; therefore, the exact structure of this loop and the orientations of the two TanCAR domains with respect to each other most likely exhibit some degree of variation. It is conceivable that, whereas unbound, TanCAR remains as a soluble, compact, globular protein. When bound to HER2 and CD19, steric forces may cause the two domains to separate, remaining tethered to each other through an extended conformation of the Gly-Ser linker. In an extended conformation, this loop could help resolve some of the differences in "height" of the two target molecules on the surface of the cell. Regardless of the conformation of the loop, the interface proposed by our docking is compatible with either a compact or extended form of the linker. In this respect, the achievement of a definite low energy model that<sup>1</sup> incorporates docking routines of both scFv's to their respective molecules, simultaneously, while<sup>2</sup> maintaining the polarity and distance of the target versus effector ends of all three molecules was an indicator of the correct orientation of the proposed design. Using alternative positions of the scFv's or different lengths of the linker segment failed to achieve these goals and thus a collective docking model was not generable. This TanCAR design with the FRP5 in the juxta-membrane position and a 20 amino acid Gly-Ser linker was then expressed in T cells and analyzed for its biological activity.

Indeed, when the hypothetical molecule was physically generated and tested, our results corroborated the predictive modeling. The surface expression of the TanCAR exodomain in its entirety was validated by detection of the juxta-membrane HER2-scFv. The proper folding and retention of the  $V_{\mu}$  and  $V_{\mu}$  stereo-orientation for HER2-scFv and CD19-scFv was evident in the specific recognition and distinct lysis by TanCAR T cells of tumor cells expressing these target molecules and the blockade of this lysis with the respective competitors. Failure to block the cytolytic effect against tumor cells expressing both targets using soluble HER2 indicated that the dual functionality of TanCAR T cells allowed for persistence of their effector functions despite antigen loss. This redundancy in function would be favorable in such a scenario. Lastly, the enhancement of cytolysis upon induction of CD19 in HER2-expressing targets indicated synergistic dynamics that further translated into improved tumor control in an animal model using a relatively small dose of T cells. These findings point to the potential of TanCAR T cells for therapeutic application in human disease.

With the enhanced activation of T cells comes the risk of adverse events related to recognition of modestly expressed antigens resulting in off-target effects. Although our group has recently shown that it is possible to quickly eliminate adoptively transferred T cells in case of adverse events by inducing apoptosis through a suicide gene<sup>43</sup>, TanCAR molecules could be conceptually used to "bar code" tumor cells— wherein only dual-antigen expressers are killed. Similarly, other groups have used a functionally bipartite CAR molecule that conditionally triggers sufficient T cell activation only on simultaneous engagement of two distinct tumor antigens.<sup>44</sup> Alternatively, TanCARs themselves could also be engineered to include antibody recognizable moieties that, in the advent of adverse events, would allow for the rapid elimination of TanCAR T cells.

In summary, we here describe a novel bispecific CAR molecule—termed TanCAR. TanCAR induced bispecific activation of T cells and exhibited potent effector functions against individual target antigens as well as synergistic enhancement of functionality upon simultaneous engagement of both. Preclinical studies of TanCAR T cells in an animal tumor model demonstrated its potential for therapeutic application in human disease.

### Materials and methods

*Blood donors and tumor cell lines.* Studies were performed on Baylor College of Medicine (BCM) IRB-approved protocols and informed consent was obtained from all blood donors. The tumor lines Daoy, Raji, and MDA-MB-468 were purchased from ATCC (Manassas, VA). All cell lines were grown in DMEM (Invitrogen, Carlsbad, CA) with 10% fetal calf serum (HyClone, Logan, UT), with 2 mmol/I GlutaMAX-I, 1.5g/I sodium bicarbonate and 1.0 mmol/I sodium pyruvate (Invitrogen). T cells derived from peripheral blood mononuclear cells were activated on CD3/CD28 antibody-coated plates and were expanded in IL-2 (100 U/mI)-containing RPMI1640 with 10% fetal calf serum and 2 mmol/I GlutaMAX-I.

Protein structure modeling and docking. A model for the bispecific CAR was constructed using ModWeb, an automated web server for protein-structure modeling.20 The full-length TanCAR sequence was submitted to the Mod-Web server, which identified the two antibody-like fragment domains. 10P3<sup>45</sup> was identified as a candidate structural template for residues 40-146 (59.81% sequence identity) and 1F3R<sup>46</sup> as a candidate structural template for residues 167-329 (58.90% sequence identity). Additional structural templates, 3ESV (64.76% sequence identity) and 2KH2 (59.51% sequence identity),<sup>47,48</sup> were also identified covering residues 38-285. From these templates, a homology model was constructed spanning residues 39-329, including the 20 amino acid long Gly-Ser linker. The model was truncated at residue 285 as residues 286-329 were poorly modeled. The structure for HER2 was available (PDB-ID: 1N8Z).<sup>21</sup> As a note, the 1N8Z-structure contains residues 23-629, resulting in a difference in numbering between 1N8Z and the HER2 precursor protein sequence (uniprot P04626). A homologous structure for CD19 was identified using BioInfoBank metaserver.49 A model for residues 1-272 of CD19 was constructed with Modeller V9.1 using 3MJG (12.59% sequence identity) as a structural template.<sup>22</sup>

Initially, pairwise docking was performed with PatchDock<sup>23</sup> using the individual TanCAR domains and the corresponding receptor; residues 39-155 corresponded to the HER2 binding portion of TanCAR, whereas residues 156-285 were assigned to the CD19 binding portion of TanCAR. Fits were evaluated visually and based on their PatchDock score. For the TanCAR-HER2 docking, results were additionally filtered based on peptide spotting experiments that had suggested binding residues (Supplementary Figure S1). Further refinement of the individual candidate dockings was done using FireDock.<sup>24</sup> Candidate dockings from both CAR-HER2 and CAR-CD19 were then combined in UCSF Chimera<sup>29</sup> and evaluated for steric clashes. The final model for the CD19-CAR-HER2 docking was selected based on lowest global energy in each of the pairwise dockings from FireDock and steric constraints in the entire assembly.

Construction, delivery, and expression of the TanCARencoding transgene. The CD19-specific scFv was provided by Heddy Zola (Women's and Children's Hospital, Adelaide, Australia).<sup>50</sup> The HER2-specific scFv, FRP5, was previously described by Wels and colleagues.<sup>51</sup> The modeled bispecific extracellular domain was assembled on Clone Manager (Sci-Ed Software, Cary, NC). The designed transgene DNA sequence was modified to include restriction enzyme sites at the cloning sites and exclude any inadvertently inserted sites within the translation elements, then optimized using the GeneOptimizer software for maximum protein production.<sup>26</sup> The TanCAR extracellular domain was then synthesized by GeneArt Inc. using oligonucleotides, cloned into the Gateway entry vector pDONR221, and sequence verified. This antigen recognition domain was then subcloned in frame into an SFG retroviral vector containing a short hinge, and the transmembrane and signaling domain of the costimulatory molecule, CD28 and the signaling domain of the T cell receptor  $\zeta$ -chain (Figure 3a). The structure of the construct was confirmed using restriction digests. The 5'-3' as well as the 3'-5' sequence of the whole construct was confirmed using single base pair pyro-sequencing (SeqWright DNA-Technology, Houston, TX) with >97% homology with the optimized construct map.

*Retrovirus production and transduction of T cells.* To produce retroviral supernatant, human embryonic kidney (HEK) 293T cells were cotransfected with the TanCAR-encoding retroviral transfer plasmid, Peg-Pam-e plasmid encoding MoMLV gag-pol, and plasmid pMEVSVg containing the sequence for VSV-G envelope,<sup>28</sup> using GeneJuice transfection reagent (EMD Biosciences, San Diego, CA).<sup>28</sup> Supernatants containing retroviral vector were collected 48 and 72 hours later.

Anti-CD3(OKT3)/anti-CD28 activated T cells were transduced with retroviral vectors as described.<sup>19</sup> Briefly, peripheral blood mononuclear cells were isolated by Lymphoprep (Bio-One, Monroe, NC) then activated with OKT3 (Ortho-Biotech, Raritan, NJ) and CD28 monoclonal antibodies (BD Biosciences, Palo Alto, CA) at a final concentration of 1 µg/ml. On day 2, recombinant human IL-2 (Chiron, Emeryville, CA) was added at a final concentration of 100 U/ml, and 2 days later, cells were harvested for retroviral transduction over recombinant fibronectin fragment (Takara-Bio-USA, Madison, WI) pre-coated plates. Subsequently,  $3 \times 10^5$  T cells per well were transduced with retrovirus in the presence of 100 U/ml IL-2. After 48–72 hours cells were removed and expanded in the presence of 50–100 U/ml IL-2 for 10–15 days before use.

*Cytotoxicity assays.* Cytotoxicity assays were performed as previously described.<sup>19</sup> Briefly,  $1 \times 10^6$  target cells were labeled with 0.1 mCi (3.7 MBq) <sup>51</sup>Cr and mixed with decreasing numbers of effector cells to give effector to target ratios of 40:1, 20:1, 10:1, and 5:1. Target cells incubated in complete medium alone or in 1% Triton X-100 were used to determine spontaneous and maximum <sup>51</sup>Cr release, respectively. After 4 hours, we collected supernatants and measured radioactivity in a gamma counter (Cobra Quantum; PerkinElmer; Wellesley, MA). The mean percentage of specific lysis of triplicate wells was calculated according to the following formula: (test release – spontaneous release)/(maximal release – spontaneous release) × 100.

Analysis of cytokine production and T cell expansion. Effector T cells (TanCAR-expressing T cells or non-transduced T cells) from healthy volunteers were cocultured with tumor cells in short-term culture, HER2-positive and HER2-negative cell lines, at various effector to target ratios in a 24 well plate. After 24 to 48 hours incubation, culture supernatants were harvested and the presence of IFN- $\gamma$  and IL-2 was determined by ELISA as per the manufacturer's instructions

(R&D Systems, Minneapolis, MN). T cell expansion was determined by counting viable cells (trypan blue exclusion) 7 days after stimulation.

*Tetracycline-inducible system.* To express the truncated CD19 (thereafter referred to as CD19) on Daoy cells, we used the Tet-On 3G Tetracycline-Inducible Expression System (CloneTech, Mountainview, CA). The CD19 encoding DNA fragment was subcloned downstream of the inducible promoter  $P_{TRE3G}$  using PCR amplification. Daoy cells expressed CD19, but only when cultured in the presence of doxycycline (DOX<sup>+</sup>), a tetracycline analog. When bound by doxycycline, the Tet-On 3G protein undergoes a conformational change that allows it to bind to tet operator sequences located in the inducible promoter  $P_{TRE3G}$ . The addition of doxycycline also initiated a proportionate expression of the reporter gene mCherry.

*Flow cytometry.* A FACScalibur instrument (Becton Dickinson, Mountain View, CA) and CellQuest software (BD Biosciences) were used. Analysis was done on >10,000 events. Negative controls included isotype antibodies. Cells were incubated 15–30 minutes with antibodies at 4 °C in the dark then washed and fixed in 0.5% paraformaldehyde before analysis. Surface expression of the TanCAR exodomain was assessed using a HER2-scFv (FRP5)-specific method by incubation with a soluble HER2.Fc fragment followed by Fc-specific FITC-labeled antibody. Alternatively, APC-conjugated Fab-specific antibody was used to detect either HER2-scFv or CD19-scFv.

Animal studies. All animal experiments were conducted on a protocol approved by the BCM Institutional Animal Care and Use Committee. Male recipient NOD-SCID mice of 8-10 weeks old (FOX CHASE CB-17 SCID ICR: Taconic. Hudson. NY) were anesthetized with isofluorane (Abbott Laboratories, Abbott Park, IL) and received a subcutaneous injection of 1×10<sup>6</sup> DAOY.TET.CD19 cells (in 100 µl PBS) per flank per mouse. Tumors were then allowed ~3 weeks to fully engraft. Mice with established tumors (mean tumor volume 94 µl: SD 28  $\mu$ l) were randomly assigned to four groups (*n* = 5 tumors/ group). Tumor volume was calculated from the product of the bidimensional area measured with an electronic caliper. All four groups of animals had similar tumor volumes after randomization. To induce the expression of CD19 (DOX<sup>+</sup>) two groups received intraperitoneally 80 µg/mouse daily for 1 week followed thrice-weekly doses thereafter. The other half received an equal volume of PBS (DOX-) on the same dosing schedule. On the third day of doxycycline/PBS administration, within the DOX<sup>+</sup> and DOX<sup>-</sup> groups, 5 animals each received one intratumoral injection of 10,000 TanCAR T cells per µl tumor volume. All mice were then blindly assessed for fold changes in tumor volume.

*Immunofluorescence.* Staining for CD19 was performed on Daoy.DOX.CD19 explants in the presence or absence doxycycline. Sections were deparaffinized in xylene and rehydrated in decreasing concentrations of ethanol. For antigen retrieval, slides were immersed in DAKO Citrate Buffer in a pressure cooker for 45 minutes. After blocking with 5% donkey serum, tissues were incubated with CD19 primary antibody at 4°C overnight in a humidified chamber and with secondary anti-rabbit antibody at room temperature for 1 hour. Samples were counterstained with DAPI and examined under a fluorescent microscope.

Statistical analysis. The two-tailed Student's *t*-test was used to test for significance in each set of values, assuming equal variance. Mean values ±SD are given unless otherwise stated. Kaplan–Meier analysis was used to assess survival of experimental animal groups.

#### Supplementary material

**Figure S1.** Binding of anti-HER2 antibody FRP5 to peptide arrays.

**Figure S2.** Surface expression of the TanCAR was tested using two different methods.

**Figure S3.** We used a tetracycline-inducible system to conditionally express a truncated non-signaling CD19 molecule on Daoy cells (Daoy.TET.CD19).<sup>30</sup>

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