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# Stability of frontal alpha asymmetry in depressed patients during antidepressant treatment



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# ABSTRACT

*Introduction:* Frontal alpha asymmetry (FAA) is a proposed prognostic biomarker in major depressive disorder (MDD), conventionally acquired with electroencephalography (EEG). Although small studies attributed trait-like properties to FAA, a larger sample is needed to reliably asses this characteristic. Furthermore, to use FAA to predict treatment response, determining its stability, including the potential dependency on depressive state or medication, is essential.

*Methods*: In the international Study to Predict Optimized Treatment in Depression (iSPOT-D), a multi-center, randomized, prospective open-label trial, 1008 MDD participants were randomized to treatment with escitalopram, sertraline or venlafaxine-extended release. Treatment response was established eight weeks after treatment initiation and resting state EEG was measured both at baseline and after eight weeks (n = 453).

*Results*: FAA did not change significantly after eight weeks of treatment (n = 453, p = .234), nor did we find associations with age, sex, depression severity, or change in depression severity. After randomizing females to escitalopram or sertraline, for whom treatment response could be predicted in an earlier study, FAA after eight weeks resulted in equivalent response prediction as baseline FAA (one tailed p = .028).

*Conclusion:* We demonstrate that FAA is a stable trait, robust to time, state and pharmacological status. This confirms FAA stability. Furthermore, as prediction of treatment response is irrespective of moment of measurement and use of medication, FAA can be used as a state-invariant prognostic biomarker with promise to optimize MDD treatments.

# 1. Introduction

Frontal alpha asymmetry (FAA) is a proposed biomarker conventionally acquired with electroencephalography (EEG). FAA has been studied for over three decades in major depressive disorder (MDD), anxiety, and other psychiatric diseases. Several studies stated, in a traditional framework of FAA, that it reflects the approach-withdrawal motivation system, i.e. the diathesis model (Davidson 1984; Harmon-Jones and Allen, 1997; Henriques and Davidson, 1991; Kelley et al., 2017). Left-sided FAA (i.e. more right-sided frontal cortical activation than left-sided) was correlated more to withdrawal behavior than to approach, which was in turn associated with a vulnerability to developing MDD. However, our meta-analysis showed that FAA cannot be used as a generic diagnostic biomarker in MDD and does not reliably differentiate MDD from non-MDD patients (Van der Vinne et al., 2017), providing evidence against the diathesis model. Only a small subgroup of severely depressed females over 53 years of age showed more rightsided alpha activity and severely depressed males over 53 years of age more left-sided alpha than control peers.

When regarding FAA as a *prognostic* rather than *diagnostic* biomarker, alpha asymmetry may be more promising. Bruder and colleagues (2008) found SSRIs (selective serotonin reuptake inhibitors) treatment responders to have more right-sided alpha asymmetry while non-responders showed opposite asymmetry, primarily over the occipital region. This was confirmed in the large international Study for Predicting Optimized Treatment – Depression sample, where specifically female SSRI responders had more right-sided FAA, and non-responders the opposite (iSPOT-D, Arns et al., 2016). To further assess

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#### Table 1

Summary of	f studies	on state/trait	properties of	of frontal	alpha	asymmet	rv.

Study	Study type*	Mostly trait	Not trait - or mostly state	Subjects	EEG methods	Intervention
Allen et al., 2004	1	Х		MDD, female	3 to 5 Ax., 8 or 16 weeks apart	Acupuncture
Bruder et al., 2008	1	Х		MDD and HC	2 Ax., 12 weeks apart	Fluoxetine treatment
Debener et al., 2000	1		Х	MDD and HC	2 Ax., 2-4 weeks apart	Several antidepressants
Deldin and Chiu, 2005	1	Х		MDD and HC	4 Ax. On 1 day	Cognitive restructuring
Gollan et al., 2014	1	Х		MDD and HC	2 Ax., 16 weeks apart	Behavioral activation
Keune et al., 2011	1	Х		MDD	2 Ax., 8 weeks apart	Mindfulness
Spronk et al., 2008	1	Х		MDD	2 Ax., pre/post-treatment	rTMS
Vuga et al., 2006	1	Х		Childhood onset MDD and HC	2 Ax., 1-3.2 years apart	Some patients on ADs (13 of $n = 49$ )
Davidson et al., 2003	2	X**		HC	3 Ax., 8 weeks, 4 months	Mindfulness meditation
Hagemann et al., 2002	2	Х		HC	4 Ax., all 4 weeks apart	None
Hagemann et al., 2005	2	Х	Х	HC	3 Ax., all 5 weeks apart	None
Sutton and Davidson, 1997	2	Х		HC	2 Ax., 6 weeks apart	None
Tenke et al., 2017	2	Х	Х	HC	2 Ax., 5–16 days apart	None
Tomarken et al., 1992	2	Х		HC	2 Ax., 3 weeks apart	None
Carvalho et al., 2011	3	X**		MDD, remitted, and HC	1 Ax.	None
Feldmann et al., 2018	3	X**		MDD, remitted, and HC	1 Ax.	None
Gotlib et al., 1998	3	Х		MDD, remitted, and HC	1 Ax.	None
Grünewald et al., 2018	3	X**		MDD and HC	1 Ax.	None
Nusslock et al., 2018	3	Х		MDD and HC	1 Ax.	None

MDD = major depressive disorder, HC = healthy controls, Ax. = assessment(s).

\* Type 1: Multiple assessment moments with depressed patients. Type 2: Multiple assessment moments, only healthy controls. Type 3: Cross-sectional study.

\*\* No explicit statements on state or trait were made by the authors (on electrode F3/F4 or F7/F8 based FAA), based on other literature we suggest our own conclusion to these results.

properties of FAA as a prognostic biomarker, knowledge on its reliability, stability, and sensitivity to other factors, such as medication or severity of depression, needs to be established.

A predominant view in affective neuroscience is that FAA in depressed patients consists of mostly *trait*-like features, not changing over time with *state* and independent of interventions, although some studies have suggested otherwise: both longitudinal and cross-sectional designs have been used to test FAA stability (see Table 1 for a summary, and appendix Table A1 for a detailed overview of studies). With an exception of Debener et al. (2000), most studies report FAA to be stable with minor or no changes between baseline and assessment later, both in patients and healthy controls (Allen et al., 2004; Bruder et al., 2008; Davidson et al., 2003; Deldin and Chiu, 2005; Gollan et al., 2014; Keune et al., 2011; Spronk et al., 2008; Sutton and Davidson, 1997; Tomarken et al., 1992).

Cross-sectionally, several studies showed that FAA is independent of depression severity, both between patients (Allen et al., 2004; Arns et al., 2016; Feldmann et al., 2018; Gollan et al., 2014; Nusslock et al., 2018; Van der Vinne et al., 2017; Vuga et al., 2006) and within patients, including remission (Carvalho et al., 2011). This contrasts the findings by Grünewald et al. (2018) and Keune et al. (2011), where a higher level of depression complaints correlated with more left-sided FAA (albeit only in the control group of Grünewald et al.). In other cross-sectional studies on FAA stability between depressed patients and patients remitted from depression, no differences were found (Carvalho et al., 2011; Feldmann et al., 2018; Gotlib et al., 1998).

Despite some inconclusive results, the majority of findings indicate that FAA is predominantly a trait, only partially or not affected by changes in depressive state. Our meta-analysis on FAA as a diagnostic marker of depression (Van der Vinne et al., 2017) demonstrated that bias is strongly reduced from 300 cases onwards. Studies investigating FAA stability until now always studied smaller samples ( $n \le 85$ ). This may explain part of the conflicting results on FAA in these studies.

This has motivated our current work that aims to replicate longitudinal results on the temporal stability of FAA by using data from the iSPOT-D dataset (baseline n = 1008, week-8 n = 453). The primary hypothesis was that FAA is reliable, and remains stable over time, with limited changes as a result of antidepressant treatment, time and state change. We therefore assessed FAA after eight weeks of antidepressant drugs and consequential state changes in mood. As age, sex, and depression severity have had a significant influence on FAA-related outcomes in iSPOT-D and other studies (e.g. Arns et al., 2016; Bruder et al., 2001; Stewart et al., 2010; Van der Vinne et al., 2017), we extended analyses by investigating possible mediation of FAA by these variables. We specifically studied MDD patients versus healthy controls differentiating subgroups identified in our previous meta-analysis, i.e. severely depressed patients over 53 years old (Van der Vinne et al., 2017). As in earlier iSPOT-D reports on FAA anxiety was not found to be of influence, we did not add this variable to our analyses.

For clinical use of FAA as a biomarker for treatment response, it is relevant to assess stability and robustness to medication. Stability is particularly an advantage when patients are already on an AD preceding baseline (that often have long half-life times requiring wash-out periods of weeks) and FAA remains unaffected. We therefore also assess outcome prediction with FAA recorded after eight weeks treatment. In our previous report (Arns et al., 2016), at baseline, right-sided FAA in females was associated with favorable outcome to the SSRIs escitalopram and sertraline, whereas left-sided FAA was not. If FAA *is* prognostic for AD treatment outcome in specific subsamples, and FAA is indeed a stable *trait*, FAA after eight weeks on an AD should still be able to predict treatment outcome for females in agreement with our previous study (Arns et al., 2016). We hypothesized that analysis of week-8 medicated EEG data would result in the same treatment prediction results as baseline unmedicated data did.

# 2. Materials and methods

#### 2.1. Design

This is an international multi-center, randomized, prospective openlabel trial (Phase-IV clinical trial), in which MDD patients were randomized to escitalopram, sertraline, or venlafaxine-XR treatment in a 1:1:1 ratio. The study protocol details, including a power calculation, have been published by Williams et al. (2011). This design was deliberately chosen to mimic real-world practice with the aim of optimizing the translatability to real world settings.

# 2.2. MDD patients and treatment

We included 1008 MDD patients, recruited between October 2008

and January 2011. A detailed description of the study assessments, inclusion/exclusion criteria, diagnostic procedures and treatment is available in Williams et al. (2011). In summary, the primary diagnosis of nonpsychotic MDD was confirmed before randomization using the Mini-International Neuropsychiatric Interview (MINI-Plus. Sheehan et al., 1998), according to DSM-IV criteria, and a score  $\geq 16$  on the 17-item Hamilton Rating Scale for Depression (HRSD<sub>17</sub>). Additional measuring of depression complaints was done with the Very Quick Inventory of Depressive Symptomatology - Self Report (VQIDS-SR5, De La Garza, John Rush, Grannemann, and Trivedi, 2017). Comorbid anxiety disorders were allowed (present in 6.2% [specific phobia] to 10.5% [social phobia] of patients). All patients were either medicationnaive or, if previously prescribed an antidepressant medication, had undergone a washout period of at least five half-lives before the baseline visit clinical and EEG assessments. After the baseline visit, patients were randomized to one of three antidepressant medication treatments. After eight weeks of treatment, patients were tested again using the HRSD<sub>17</sub>, the VQIDS-SR<sub>5</sub> and an EEG assessment (Fig. 1). This study was approved by the institutional review boards at all of the participating sites and this trial was registered with ClinicalTrials.gov. Registration number: NCT00693849; URL: http://clinicaltrials.gov/ct2/show/ NCT00693849.

#### 2.3. Pre-treatment assessments

EEG recordings were performed using a standardized methodology and platform (Brain Resource Ltd., Australia). Details of this procedure (Arns et al., 2008; Williams et al., 2011) and of its reliability and acrosssite consistency have been published elsewhere (Paul et al., 2007; Williams et al., 2005). In summary, subjects were seated in a sound and light attenuated room that was controlled at an ambient temperature of 22 °C. EEG data were acquired from 26 channels: Fp1, Fp2, F7, F3, Fz, F4, F8, FC3, FCz, FC4, T3, C3, Cz, C4, T4, CP3, CPz, CP4, T5, P3, Pz, P4, T6, O1, Oz and O2 (Quik-cap; NuAmps; 10-20 electrode international system). EEG was assessed for two minutes with eyes open (EO) (with the subject asked to fixate on a red dot on the screen) and two minutes with eyes closed (EC). The subject was instructed to remain relaxed for the duration of the recording. The operator did not intervene when drowsiness patterns were observed in the EEG. Data were referenced to averaged mastoids with a ground at AFz. Horizontal eye movements were recorded with electrodes placed 1.5 cm lateral to the outer canthus of each eye. Vertical eye movements were recorded with electrodes placed 3 mm above the middle of the left eyebrow and 1.5 cm below the middle of the left bottom eyelid. Skin resistance was <5 K Ohms for all electrodes. The sampling rate of all channels was 500 Hz. A low pass filter with an attenuation of 40 dB per decade above 100 Hz was employed prior to digitization.

# 2.4. EEG analysis

A detailed overview of the data-analysis can be found in Arns et al. (2016). In summary, data were (1) filtered (0.3–100 Hz and notch); (2) EOG-corrected using a regression-based technique similar to that used by Gratton et al. (1983), segmented in 4-second epochs (50% overlapping), and an automatic de-artifacting method was applied. This EEG processing pipeline was also validated against an independent manual-processing pipeline (Arns et al., 2016). For further analysis, an average reference was applied, data were filtered (alpha power ( $\mu$ V<sup>2</sup>): 8–13 Hz) and FAA was calculated between F3 and F4 as (F4 – F3)/(F4 + F3).

#### 2.5. Statistics

Normal distribution was inspected, and appropriate transformations performed in case of non-normality. Non-log transformed alpha power was used to calculate FAA. Remission was defined as a score  $\leq 7$  on the HRSD<sub>17</sub> eight weeks after starting treatment (current endpoint), and response was defined as  $a \geq 50\%$  decrease in HRSD<sub>17</sub> score from baseline to eight weeks. To control for antidepressant side-effects, we employed the VQIDS-SR<sub>5</sub>, developed specifically to focus on the core symptoms of depression. This enabled us to measure true depression severity, ruling out antidepressant side-effects such as physical complaints. We repeated ANOVAs from paragraph 3.2 and 3.3 and replaced all HRSD<sub>17</sub> variables with VQIDS-SR<sub>5</sub> equivalents. Results are reported in Appendix D.

Differences in age, sex, education, and depression severity at baseline were tested using one-way ANOVA or non-parametric tests, depending on its distribution. We only included patients who returned for their week-8 visit while on their assigned medication, having followed this treatment for a minimum of 6 weeks ('per-protocol' grouping, also see the Consort diagram in Fig. 1).

FAA reliability analysis was performed by calculating Intraclass Correlations (ICCs) across baseline and week-8 measurements. A full-factorial Repeated Measures ANOVA was conducted with the with-in–subject factor FAA Change Eyes Closed (FAA at baseline and after eight weeks) and between-subject factor Treatment arm (comparing drug effects of respectively escitalopram, sertraline, and venlafaxine). Given the large sample size we set the significance level for main effects found for FAA Change in the main analyses at  $p \le .01$ , for interaction effects this remained at a conventional level of  $p \le .05$ . When significant interactions were found prompting subgroup analyses, again a level of  $p \le .05$  was used. Effect sizes (ES) of main effects are reported in Cohen's *d*. FAA stability was also tested through Pearson correlations between FAA Change and HRSD<sub>17</sub> Change.

Post hoc, we repeated the Repeated Measures and Pearson correlations analyses in the subgroups of moderately and severely depressed (HRSD<sub>17</sub> score of  $\geq$  24) over the age of 53, separately for males and females (conform our meta-analysis, Van der Vinne et al., 2017). However, as these groups might lead to underpowered tests, we also performed a custom Repeated Measures ANCOVA on the whole dataset, now also including covariates Age and Depression severity, separately for males and females.

When a null hypothesis was not rejected by any of the ANOVAs or correlational analyses, we utilized Bayesian alternatives. This was done for testing evidence of *absence* of a change in FAA, using the Bayesian Repeated Measures ANOVA framework (based on work by Jeffreys (1961) and Rouder et al. (2009)). We analyzed the data with JASP (JASP Team, 2017). The first null hypotheses states that there is no difference in FAA between baseline and after 8 weeks. The second that FAA Change is not correlated to HRSD<sub>17</sub> Change. The two-sided alternative hypotheses state that FAA changed after eight weeks, or that FAA is correlated to HRSD<sub>17</sub> Change.

Through a Repeated Measures model (Arns et al., 2016), we again predicted treatment outcome in females taking an SSRI (escitalopram or sertraline), while this time replacing baseline FAA with week-8 FAA (within subjects variable FAA Condition (EC and EO), and between subjects variable Response, and covariate Age). We tested effects onetailed (halved *p*-values were reported) because we specifically expected more right-sided FAA in SSRI responders than in non-responders, implying that a result in the unexpected direction would lead to the same conclusion as finding no differences at all (Ruxton and Neuhäuser, 2010). In Appendix B, we explain why we compare the smaller sample containing only patients who were present for the assessment after 8 weeks, to the larger sample with *all* baseline patients

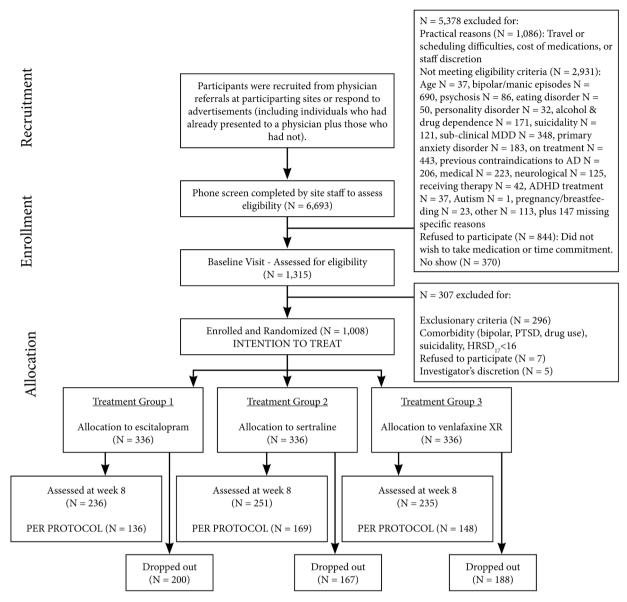


Fig 1. Consort diagram of the iSPOT-D study. Abbreviations: ADHD, attention deficit hyperactivity disorder; AD, antidepressant treatment; HRSD<sub>17</sub>, 17-item Hamilton rating scale for depression; MDD, major depressive disorder; PTSD, post-traumatic stress disorder; XR, extended release.

from the previous study.

#### 3. Results

Of the 1008 MDD patients enrolled, the final MDD sample for the FAA Change analyses consisted of 453 MDD patients. The remaining 555 patients were left out of the study: they either never started treatment, had less than 6 weeks of medication, or had no week-8 assessment (or it was of insufficient quality) (see Fig. 1). Table 2 shows demographic information and response and remission rates for included patients. There were no differences between the three treatment groups regarding age, sex, baseline MDD, anxiety severity, remission and response rates, or number of rejected EEG epochs. Approximately 5.3% of EEG epochs were rejected due to artifacts for the MDD group during EC.

#### 3.2. FAA change over time

ICCs for FAA with both continuous and dichotomous (leftward or rightward FAA) variables were 0.276 and 0.256, respectively. The Repeated Measures ANOVA revealed no evidence for change in FAA 
 Table 2

 Demographic features and treatment outcomes for patients who completed treatment.

	Escitalopram	Sertraline	Venlafaxine-XR	Total
N	136	169	148	453
Females	71	96	80	247
% Female	52.5	56.8	54.1	54.5
Average age (years)	38.27	38.72	37.98	38.34
HRSD17 baseline	21.45	21.74	21.45	21.56
HRSD <sub>17</sub> week-8	8.62	9.25	9.01	8.98
VQIDS-SR5 baseline	8.01	8.34	7.99	8.13
VQIDS-SR5 week-8	3.26	3.35	3.21	3.28
% Remission (HRSD <sub>17</sub> )	51.5	46.7	44.6	47.5
% Response (HRSD <sub>17</sub> )	66.2	66.9	66.2	66.4

after AD treatment (F(1,450) = 1.421, p = .234), nor an interaction with Treatment Arm (F(2,450) = 0.690, p = .502). FAA Change was neither significantly correlated to the change score in HRSD<sub>17</sub> (r = 0.039, p = .410), nor to the percentage change in HRSD<sub>17</sub> (r = 0.047, p = .323).

Results of Bayesian Repeated Measures testing of invariant (constant) FAA revealed a Bayes factor indicating evidence for the null hypothesis. The models with the factors FAA Change and Treatment Arm showed that the data occur >7.4 times more likely under the null hypothesis, than under any alternative model with (a combination of) the factors. Bayesian Pearson correlations between FAA Change and the difference score HRSD<sub>17</sub>/the percentage difference of HRSD<sub>17</sub> reveal moderate to strong results. The data are respectively 12.1 and 9.3 times more likely to occur under the null hypothesis than under the model assuming a correlation between the variables. See Appendix F for an elaboration on results and JASP tables.

#### 3.3. Extended repeated measures model and correlations

Focusing on variables known to have an influence on FAA, specifically in the subgroup we thought to be prone to changes in FAA (severely depressed females and males over 53 years old), we did not find significant changes, although subsample sizes were small. Furthermore, in these subgroups the FAA Change score was not significantly correlated to the change score in HRSD<sub>17</sub> (see appendix Table C1 for all statistics). Bayesian Repeated Measures ANOVAs for the two sex groups of severely depressed over the age of 53 reveal anecdotal (i.e. worth no more than a bare mention, a customary description for BFs ranging 1–3) to moderate results. Most models therefore provided no conclusive evidence for either the null or the alternative hypotheses, although some models indicated moderate evidence of the data being more likely to occur under the null hypothesis. See Appendix F for an elaboration on results and JASP tables.

Extending the Repeated Measures model from paragraph 3.2 showed that - irrespective of sex - baseline severity and age are not significantly contributing to FAA Change. Bayesian Repeated Measures alternatives for the extended ANOVAs showed similar results to paragraph 3.2. For females, the data are  $\geq 6.6$  times more likely to occur under the null hypothesis, than under any alternative model with (a combination of) the factors, and  $\geq 4.7$  times more likely in case of males. See Appendix F for an elaboration on results and JASP tables.

# 3.4. Treatment prediction using medicated week-8 data in females

Treatment outcome prediction with week-8 data, revealed a similar prediction pattern as baseline data reported in Arns et al. (2016): one-tailed testing of the prediction of response in females taking an SSRI for depression (escitalopram or sertraline), treatment response effects remained significant with week-8 FAA on group level (F(1,150) = 3.725, p = .028). Furthermore, the response effect of FAA was again lacking after eight weeks in the venlafaxine group.

The week-8 SSRI data in Fig. 2 visualize how responders were significantly more right-sided than non-responders (based on female FAA means reported in appendix Table E1). Fig. 2 also shows how the response effect was similar to the baseline assessment. This was despite the confidence interval (CI) of FAA in Fig. 2 (SSRI non-responders) showing no significant difference from 0 when measured with EO after eight weeks. No interactions with age were observed. The equivalent of Fig. 2 data for males is available in Appendix G.

Cohen's *d* comparing FAA change scores of female SSRI responders and non-responders was 0.304. When using the direction of week-8 FAA alone to prescribe an SSRI or SNRI would have improved the overall remission rate from 47% to 56-58% for an SSRI.

# 4. Discussion

We investigated the stability of FAA in MDD patients during antidepressant treatment. We hypothesized that FAA is a robust metric, insensitive to time, antidepressant drug treatment and state changes. FAA did not change significantly after eight weeks of escitalopram, sertraline, or venlafaxine treatment, despite a relatively low reliability of the FAA measurements. Additional Bayesian testing revealed that a stable FAA is more likely than a change in FAA over time after antidepressant treatment. Furthermore, post-hoc tests with variables known to have influence on FAA (in earlier iSPOT-D studies), revealed no differential temporal changes in FAA in depressed patients differing on age, sex, depression severity, or change in depression severity. Focusing on core depression symptoms only (as measured by the VQIDS-SR<sub>5</sub>, see appendix D), we found similar results.

To further confirm FAA temporal stability, we hypothesized that predicting treatment outcome in females taking SSRIs would lead to similar outcome when using *week-8* FAA instead of the previously studied *baseline* FAA (Arns et al., 2016). This re-analysis indeed confirmed an overall response in the SSRI group with right-sided FAA, and a nonresponse with left-sided FAA. Although the effect size was less pronounced with week-8 data, week-8 FAA yielded the same conclusions as the baseline measurements, with a Cohen's *d* of 0.547 in the previous analyses vs. our current 0.304. Furthermore, we yielded the same improvement in remission rates when week-8 FAA had been used for 'prescribing' medication: previous SSRI remission rates improved from 46% to 53–60% using baseline FAA, the current from 47% to 56–58% using week-8 FAA. This extends the use of FAA as a prognostic biomarker, as response prediction was neither modified by moment of assessment, nor by AD treatment.

The low reliability was unexpected, and implies that FAA following treatment was not as stable as in previous studies. In several studies, FAA was found to be relatively reliable and consistent, based on ICCs and Cronbach's alpha (Allen et al., 2004; Debener et al., 2000; Keune et al., 2011; Sutton and Davidson, 1997; Towers and Allen, 2009). Especially Towers and Allen (2009) demonstrated FAA consistency, through several methods. An important difference is the use of a single FAA statistic per assessment time (two in total) in our study vs. several other studies using (fictive) multiple time points. This could account for our lower reliability. Despite the low ICC, we did replicate no evidence for a significant change in FAA over time, in a large sample (N = 453).

To our knowledge, this is the first study to assess the temporal stability of FAA in a large sample. This supports previous studies showing that FAA mainly depends on a considerable number of trait-like features, insensitive to antidepressant treatment, age, sex or depression severity (Allen et al., 2004; Arns et al., 2016; Bruder et al., 2008; Carvalho et al., 2011; Deldin and Chiu, 2005; Feldmann et al., 2018; Gollan et al., 2014; Keune et al., 2011; Nusslock et al., 2018; Spronk et al., 2008; Sutton and Davidson, 1997; Tomarken et al., 1992; Van der Vinne et al., 2017; Vuga et al., 2006). Similarly, Segrave et al. (2011) showed no evidence for antidepressant elicited changes in FAA when comparing a small group of depressed patients on ADs with unmedicated patients. In other small cohorts, FAA was not modified by the use of antidepressive medication either (Bruder et al., 2008; Vuga et al., 2006), in agreement with our observations.

In the prevailing approach-withdrawal motivation system hypothesis, it is assumed that FAA is associated with lifetime MDD (having had at least one depressive episode in one's life), and not specifically current MDD. This is an important distinction, and our results initially support this theory. The motivation system hypothesis states that FAA is not expected to change as a result of changes in MDD status, and ultimately not with MDD remission. However, with establishing FAA (in)stability, our study would neither provide evidence for, nor against the theory. That is, if we would have found the opposite result (a change in FAA), this could have been explained as well, by the related capability model (Coan et al., 2006). This model states that resting state FAA is more prone to fluctuations than FAA measured after inducing positive or negative mood. Because we measured resting state FAA, either outcome could be explained within the approach-withdrawal motivation system, given the capability model. Therefore, it is difficult to unambiguously place our results in the existing theories. Note that our earlier findings were less compatible with the motivation system: Firstly, in the

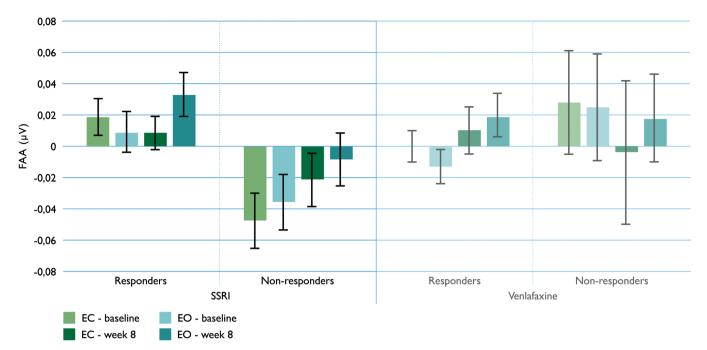


Fig 2. Mean values of female frontal alpha asymmetry (FAA, eyes open and eyes closed [EO and EC]), for the SSRI and venlafaxine groups, split up for responders and non-responders. Error bars represent standard error of the mean. The means and error bars indicate that baseline and week-8 FAA were not significantly different in predicting treatment outcome in females; SSRI responders showed right-sided, non-responders left-sided FAA. No differences were, yet again, observed for the venlafaxine group. The equivalent of this data for males is available in Appendix G.

approach-withdrawal motivation system, left-sided FAA is theorized to be more associated with withdrawal behavior and depression. But brain asymmetry was found not to be different in these groups as measured both through EEG FAA (Van der Vinne et al., 2017), and through fMRI in a recent large ENIGMA consortium study (de Kovel et al., 2019). Secondly, prognostic results for females in the FAA iSPOT-D study (Arns et al., 2016) revealed heterogeneity in MDD patients, not consistent with assuming a homogenic FAA related vulnerability for MDD. In sum, the current study was not designed to directly investigate the approach-withdrawal motivation theory, and cannot provide support in favor of or against the theory.

We show that FAA is a robust metric, suitable for sex specific treatment prediction under challenging circumstances, such as state,

# Appendix A

Table A1.

time, the use of common antidepressive agents and drug changes. This suggests reliable implementation in clinical practice as a prognostic biomarker in both medicated and unmedicated patients.

# 5. Conclusions

In an adequately powered sample, we demonstrate that (1) neither antidepressant medication, (2) nor MDD state and severity, have systematic effects on FAA. This confirms FAA stability. Furthermore, as prognosis of treatment response is irrespective of the moment of measurement, FAA may serve as a robust biomarker to optimize MDD treatments.

Allen et al., 2004130MDD and HCBruder et al., 2008118MDD and HCDebener et al., 2010115 and 22MDD and HCDefinit and Chity, 2005115 and 23MDD and HCCollins et al., 2011178MDD and HCSpronk et al., 2013178MDD and HCSpronk et al., 201318MDDSpronk et al., 200319 and 50Childhood onset depression and HCBagemann et al., 2003241HCDavidson et al., 2003241HCBagemann et al., 2003246HCDavidson et al., 2003290HCTenke et al., 2012334Connobid and HCGrithward et al., 2013324MDD, remitted and HCGrithward et al., 20183334Carrabho et al., 2018883Moto ane	Subjects	EEG Methods	Intervention	Relevant factors
c et al., 2008       1       18         er et al., 2014       1       15 and 22         e and Chiu, 2005       1       15 and 22         e and Chiu, 2005       1       1       78         e t al., 2011       1       78       37 and 35         e t al., 2011       1       78       36         e t al., 2008       1       8       41         and t al., 2003       2       41       41         and t al., 2003       2       59       41         ann et al., 2003       2       46       46         et al., 2003       2       59       46         and bavidson, 1997       2       39       46         et al., 2013       3       22, 16 and       34         and t al., 2013       3       22, 16 and       34         ann et al., 2018       3       34       69         wald et al., 2018       3       33       34         and et al., 2018       3       33       36         wald et al., 2018       3       33       36         wald et al., 2018       3       37       36         wald et al., 2018       3       36       36	MDD (females)	3 to 5 Ax., 8 or 16 weeks apart	Acupuncture (specific and non-	HRSD change score
er et al., 2000 1 15 and 22 and Chiu, 2005 1 15 and 22 et al., 2014 1 78 at al., 2014 1 78 at al., 2014 1 78 at al., 2011 1 78 and 50 cet al., 2008 1 88 at al., 2003 2 59 ann et al., 2018 3 34 et al., 1998 3 16, 31 and 34 et al., 2018 3 22, 16 and 34 et al., 2018 3 22, 16 and 36 mod et al., 2018 3 22, 16 and 37 and 69 and at al., 2018 3 22, 16 and 37 and 69 et al., 2018 3 22, 16 and 37 and 69 ret al., 2004 FAA solely: ICCs. FAA in rei Relevant analyses ret al., 2004 A solely: ICCs. FAA in rei Response * Site * Hemisphe et al., 2000 1. Cronbachs alpia FAA for remporal stability through and Chiu, 2005 1. Interaction Diagnosis * B ta al., 2014 1. Rep Measures ANOVA FA	MDD and HC	2 Ax., 12 weeks apart	Fluoxetine treatment	Response ("CGI-I rating much or very much immoved")
(and Chiu, 2005)       1       15 and 18         (et al., 2014)       1       37 and 35         (et al., 2011)       1       78       35         (et al., 2008)       1       8       37 and 35         (et al., 2008)       1       8       49       and 50         (et al., 2003)       2       41       8       37       and 50         (and Davidson, 1997)       2       59       59       34       59         (and Davidson, 1997)       2       39       56       34       56         (and Davidson, 1997)       2       39       59       34       56         (and Davidson, 1997)       2       39       34       56       31       34         (and Davidson, 1997)       2       39       33       34       34       33       34       33       34       33       34       36       33       30	MDD and HC	2 Ax., 2–4 weeks apart	Several antidepressants	BDI-score
et al., 2014       1       37 and 35         et al., 2011       1       78         at al., 2003       1       8         cet al., 2006       1       49 and 50         ann et al., 2003       2       59         ann et al., 2005       2       59         ann et al., 2005       2       59         and Davidson, 1997       2       46         and Davidson, 1997       2       39         ken et al., 2017       2       39         ken et al., 2013       3       34         an et al., 2018       3       34         an et al., 2018       3       34         et al., 2018       3       33         and det al., 2018       3       34         et al., 2018       3       37 and 69         wald et al., 2018       3       37 and 69         wald et al., 2018       3       37 and 69         et al., 2004       FAA solely: ICCs. FAA in rei         Relevant analyses       et al., 2000       1. Interaction Resonse-Nor         et al., 2004       FAA solely: ICCs. FAA in rei       Response K site * Hemisphe         et al., 2004       FAA solely: ICCs. FAA in rei       Response K site * Hemisphe	MDD and HC		Cognitive restructuring	Happiness change score
et al., 2011       1       78         cet al., 2008       1       8         con et al., 2003       2       41         nam et al., 2003       2       59         nand Davidson, 1997       2       46         nan et al., 2005       2       59         nand Davidson, 1997       2       46         et al., 2017       2       59         nan et al., 2013       3       46         et al., 2011       3       12, 8 and 7         ann et al., 2018       3       36         and et al., 2018       3       31         et al., 1992       2       88         ho et al., 2011       3       16, 31 and 34         et al., 2018       3       30         wald et al., 2018       3       30         wald et al., 2018       3       37 and 69         et al., 2004       FAA solely: ICCs. FAA in rei Relevant analyses         et al., 2004       FAA solely: ICCs. FAA in rei Relevant analyses         et al., 2004       Repeated Measures analysis         et al., 2006       1. Interaction Response-Noi         et al., 2006       1. Conbachs alpina FAA for         et al., 2000       1. Conbachs alpina FAA for <td>MDD and HC</td> <td></td> <td>Behavioral activation</td> <td>IDS-SR</td>	MDD and HC		Behavioral activation	IDS-SR
x et al., 2008         1         8           et al., 2005         1         49 and 50           som et al., 2003         2         41           nann et al., 2002         2         59           and Davidson, 1997         2         46           et al., 2017         2         59           and Davidson, 1997         2         59           ann et al., 2013         3         22, 16 and           ho et al., 2013         3         22, 16 and           an et al., 2013         3         3, 4           an et al., 2018         3         3, 16, 31 and           wald et al., 2018         3         3, 3           and et al., 2018         3         3, 3           wald et al., 2018         3         3, 3           wald et al., 2018         3         3, 3           ot et al., 2018         3         3, 3           ret al., 2004         FAA soley: ICCs, FAA in referent analyses           ret al., 2003         1. Interaction Resonse-Nor           ret al., 2004         FAA soley: ICCs, FAA in referent analyses           et al., 2004         FAA soley: ICCs, FAA in referent analyses           et al., 2004         Response K Site * Hemisphte <t< td=""><td>0</td><td>neutral vs. sad</td><td>Mindfulness</td><td>BDI en BDI-Change, gender</td></t<>	0	neutral vs. sad	Mindfulness	BDI en BDI-Change, gender
er al., 2006 1 49 and 50 con et al., 2003 2 41 rann et al., 2005 2 59 and Davidson, 1997 2 46 et al., 2017 2 59 ho et al., 2018 3 12, 8 and 7 ann et al., 2018 3 22, 16 and et al., 1998 3 15, 31 and at et al., 2018 3 22, 16 and et al., 1998 3 16, 31 and over al., 2018 3 7 and 69 ref al., 2018 3 7 and 69 ref al., 2004 FAA solely: ICCs. FAA in rei Relevant analyses et al., 2004 FAA solely: ICCs. FAA in rei Relevant analyses et al., 2006 1. Interaction Response-Nor ref al., 2006 1. Interaction Response-Nor ref al., 2006 1. Interaction Diagnosis * B t and Chiu, 2005 1. Interaction Diagnosis * B et al., 2014 1. Repeated Measures ANOVA FA ref al., 2014 1. Rep Measures ANOVA FA	D	pre/post-treatment	rTMS	None that was associated with frontal
et al., 2005       1       49 and 50         cont et al., 2003       2       59         and Davidson, 1997       2       59         and Davidson, 1997       2       59         and Davidson, 1997       2       59         and Davidson, 1992       2       59         tet al., 2017       2       39         ken et al., 2018       3       12, 8 and 7         ann et al., 2018       3       34         ann et al., 2018       3       34         et al., 1998       3       16, 31 and         wald et al., 2018       3       30         wald et al., 2018       3       37 and 69         et al., 2018       3       28 and 31         ct al., 2018       3       37 and 69         et al., 2018       3       28 and 31         ct al., 2018       3       37 and 69         ret al., 2004       FAA solely: ICCs. FAA in rei         Relevant analyses       37 and 69         et al., 2004       FAA solely: ICCs. FAA in rei         ret al., 2008       I. Interaction Response-Non         ret al., 2008       I. Interaction Biagnosis * B         and Chiu, 2005       I. Interaction Diagnosis * B				
and Call, 2003       2       71         and Davidson, 1997       2       59         and Davidson, 1997       2       59         et al., 2017       2       59         ken et al., 2013       3       46         et al., 2013       3       12, 8 and 7         ann et al., 2013       3       12, 8 and 7         ann et al., 2013       3       34         et al., 1998       3       16, 31 and         wald et al., 2018       3       30         wald et al., 2018       3       37 and 69         et al., 2018       3       28 and 31         ct et al., 2018       3       37 and 69         et al., 2018       3       28 and 31         ct et al., 2018       3       37 and 69         et al., 2004       FAA solely: ICCs. FAA in reit Relevant analyses         et al., 2004       FAA solely: ICCs. FAA in reit Relevant analyses         et al., 2008       I. Interaction Response-Non         ret al., 2008       I. Interaction Diagnosis * B         and Chiu, 2005       I. Interaction Diagnosis * B         and Chiu, 2005       I. RepMeasures ANOVA FA         et al., 2014       I.RepMeasures ANOVA FA	Unidnood onset depression and HC		some cases on ADs (13 of $n = 49$ ) Mindfulness modification	Age, sex, BDI
tann et al., 2002 2 59 ann et al., 2005 2 59 et al., 2017 2 46 ken et al., 1992 2 85 ho et al., 2011 3 12, 8 and 7 ann et al., 2018 3 22, 16 and et al., 2018 3 22, 16 and 34 et al., 2018 3 22, 16 and 30 and and et al., 2018 3 28 and 31 ck et al., 2018 3 28 and 31 ck et al., 2018 3 37 and 69 ret al., 2004 FAA solely: ICCs. FAA in rel Relevant analyses et al., 2004 FAA solely: ICCs. FAA in rel ret al., 2008 I. Interaction Measures analysis covariates (3 asymmetry micro ret al., 2000 I. Crombachs alpha FAA for rend Chiu, 2005 1. Interaction Diagnosis * B et al., 2014 I. Rep Measures ANOVA FAA et al., 2014 I. Rep Measures ANOVA FAA FAAA POST IDS/POST FAA-POST		×.		
nand tet al., 2005       2       59         and Davidson, 1997       2       46         et al., 2017       2       39         ken et al., 2013       3       12, 8 and 7         ann et al., 2013       3       22, 16 and         ann et al., 2018       3       16, 31 and         ant et al., 2018       3       16, 31 and         att et al., 2018       3       16, 31 and         wald et al., 2018       3       30         wald et al., 2018       3       30         wald et al., 2018       3       37 and 69         et al., 2004       FAA solely: ICCs. FAA in reistand seaders analysis         covarriates (3 asymmetry more et al., 2004       Relevant analyses         et al., 2004       Repeated Measures analysis         covarriates (3 asymmetry more et al., 2006       1. Interaction Response-Nor         et al., 2000       1. Conbacts alpha FAA for         et al., 2000       1. Conbacts alpha FAA for         et al., 2005       1. Interaction Diagnosis * B         et al., 2014       1. Rep Measures AOVA FAA         et al., 2014       1. Rep Measures AOVA FAA         et al., 2014       1. Rep Measures AOVA FAA			None	
et al., 2017 2 39 ken et al., 1992 2 85 ho et al., 2011 3 12, 8 and 7 ann et al., 2018 3 22, 16 and et al., 2018 3 22, 16 and 34 et al., 2018 3 28 and 31 ck et al., 2018 3 28 and 31 ck et al., 2018 3 37 and 69 Relevant analyses ret al., 2004 FAA solely: ICCs. FAA in re Response K site * Hemisphe covariates (3 asymmetry mi cet al., 2000 1. Interaction Diagnosis * B tappiness score et al., 2014 1. Rep Measures ANOVA FA et al., 2014 1. Rep Measures ANOVA FA		3 Ax., all 5 weeks apart 2 Ax., 6 weeks apart	None None	No depression scores (only BIS/BAS and
et al., 2017 2 39 ken et al., 1992 2 85 ho et al., 2011 3 12, 8 and 7 ann et al., 2018 3 22, 16 and et al., 2018 3 22, 16 and and et al., 2018 3 23 and 31 ck et al., 2018 3 28 and 31 ck et al., 2018 3 37 and 69 Relevant analyses ret al., 2004 FAA solely: ICCs. FAA in re Response. Site * Hemisph cer et al., 2000 1. Crombachs alpha FAA for remporal stability through and Chiu, 2005 1. Interaction Diagnosis * B tal., 2014 1. Rep Measures ANOVA FAA et al., 2016 1. Crombachs alpha FAA for remporal stability through and Chiu, 2005 1. Interaction Diagnosis * B tal., 2014 1. Rep Measures ANOVA FAA et al., 2014 1. Rep Measures ANOVA FAA FAAA FORT IDS/POSE FAAA FAAA FAAA FAAA FAAA FAAA FAAA FA				PANAS)
ken et al., 1992         2         85           ho et al., 2011         3         12, 8 and 7           ann et al., 2018         3         22, 16 and 31           et al., 1998         3         16, 31 and 31           wald et al., 2018         3         16, 31 and 30           wald et al., 2018         3         28 and 31           ck et al., 2004         FAA solely: ICCs. FAA in reit analyses           ret al., 2004         Relevant analyses           ret al., 2004         Repeated Measures analysis           cvariates (3 asymmetry mices (3 asymmetry m		ť	None	
ho et al., 2011       3       12, 8 and 7         ann et al., 2018       3       22, 16 and 3         et al., 1998       3       16, 31 and 34         et al., 1998       3       16, 31 and 34         wald et al., 2018       3       30         wald et al., 2018       3       22, 16 and 34         ck et al., 2018       3       30         stand et al., 2018       3       28 and 31         ck et al., 2018       3       28 and 31         ret al., 2004       FAA solely: ICCs. FAA in reist analyses         ret al., 2004       Relevant analyses         et al., 2004       Repeated Measures analysis         covariates (3 asymmetry more et al., 2000       1. Interaction Response-Nor         et al., 2000       1. Conbachs alpha FAA for         et al., 2000       1. Conbachs alpha FAA for         et al., 2000       1. Interaction Diagnosis * B         and Chiu, 2005       1. Interaction Diagnosis * Cove         et al., 2014       1. Rep Measures AOVA FAA         et al., 2014       1. Rep. Yoost FAA-post		3 weeks apart	None	
ann et al., 2018       3       22, 16 and         et al., 1998       34       34         et al., 1998       3       16, 31 and         wald et al., 2018       3       28 and 31         ck et al., 2018       3       28 and 31         ck et al., 2018       3       28 and 31         ck et al., 2018       3       28 and 31         et al., 2018       3       28 and 31         reck et al., 2018       3       37 and 69         et al., 2004       FAA solely: ICCs. FAA in relevant analyses         et al., 2004       FAA solely: ICCs. FAA in relevant analyses         et al., 2004       I. Interaction Resonse-Non         ret al., 2008       1. Interaction Resonse-Non         er et al., 2000       1. Conbachs alpha FAA for         rend Chiu, 2005       1. Interaction Diagnosis * B         et al., 2014       1. Rep Measures ANOVA FA         et al., 2014       1. Rep Measures ANOVA FA	MDD, remitted and HC		None	BDI
et al., 1998 3 wald et al., 2018 3 ick et al., 2018 3 ick et al., 2018 3 et al., 2004 et et al., 2006 er et al., 2005 i and Chiu, 2005 et al., 2014	MDD, remitted and HC (also other groups, with	1 Ax.	None	BDI
et al., 1996 et al., 1996 et al., 1996 et al., 2018 3 ck et al., 2018 3 3 ck et al., 2004 et al., 2004 et et al., 2000 et et al., 2000 et et al., 2014 et al., 2014	comorbid anxiety)			
wald et al., 2018 3 ck et al., 2018 3 et al., 2004 et al., 2008 er et al., 2000 er et al., 2000 er et al., 2005 t and Chiu, 2005	initio, remitted and AC	I AX.	INDIE	
et al., 2004 e et al., 2008 er et al., 2008 i and Chiu, 2005 e et al., 2014	MDD and HC MDD and HC	1 Ax. 1 Ax.	None None	BDI BDI
4 4 2005		Calculation FAA	Conclusion	
	FAA solely: ICCs. FAA in relation to symptoms: Correlations & multivariate Repeated Measures analysis of variance (HRSD-score) with changing	ln[Right] - ln[Left]	Stable across time and inde	Stable across time and independent of depression severity.
	ures)			
	ponse-HC * Hemisphere * Site. 2. Interaction * Session - 3 Test-retest correlations	Interaction of site and hemisphere	Overall stable. 1. No FAA d over time 3. Moderate test-	Overall stable. 1. No FAA differences between groups. 2. No change of FAA over time 3 Moderate test-rest correlations of FAA after treatment.
	1. Cronbachs alpha FAA for internal consistency. 2. Correlation FAA-BDI. 3.	1. Interaction of session * site * region (posterior-		Overall: Not a stable measure. 1. Good internal consistency. 2. No correlation
	arson correlations.	anterior) * Hemisphere 2. ln(right) - ln(left)		with BDI, so state-independent. 3. Unstable temporal stability of frontal regions (not posterior).
	1. Interaction Diagnosis * Block * Region * Laterality 2. Correlation FAA-	1. Interaction with region and laterality 2. ln F4		Overall: no changes between assessments on the same day. 2. No correlation.
		In F3		
	wer time. 2. Correlation pre FAA-pre IDS/pre S/nost FAA-nost IDS	10g F4 - 10g F3	Overall: FAA = stable, trait correlations	Uverall: FAA = stable, trait-like. 1.No changes in FAA. 2. No significant correlations
Keune et al., 2011 1. Cronbachs alpha. 2. ANOVA interaction FAA time 1 * 1 Correlation FAA-refear connect (to new tarte) 4. There-reneer	1. Crubachs alpha. 2. ANOVA interaction FAA time 1 * time 2. 3. Correlation FAA.2ffeet cornes (to hest state) 4. Test-relast reliability with	subtracting power values in the left hemisphere		1. Stable. 2. Change (in sad condition, not in neutral). 3. No correlation with affect to stable 4. Correlates to radiable 5. Stimilfrant correlation FAA. DDI
Person product moment correlat	Pearson product moment correlations. 5. Correlation FAA-BDI time 1. 6.		in sad condition (not in neu	in set condition (not in neutral, this would for other sites). 6. No
0	Correlation FAA Change-EUJ Change. /. interactions with gender interaction of Time * Hemisphere (left: F3, FC3, F7. Right: F4, FC4, F8)	Interaction of time and hemisphere	correlation 7. No gender interaction effects. No interaction for alpha1, alpha2 and alpha.	corretation. 7. no gender interaction effects. No interaction for alpha1, alpha2 and alpha. Alpha seems a trait.
Vuga et al., 2006 1. Cronbach's alpha. 2. ANOVA w ANOVA with group, sex, and FAA	<ol> <li>Cronbach's alpha. 2. ANOVA with Age, apart for the sexes. 3. ICC 4. ANOVA with group, sex, and FAA at Time 2 as dependent variable, on Time</li> </ol>	ln(F4) - ln(F3)	Overall: Moderate to high lo of Age or Sex. 3. Moderatel	Overall: Moderate to high long term stability. I. Consistent. 2. No influence of Age or Sex. 3. Moderately stable. 4. Stable after p-value correction,
L as dependent variable. $3.3$ anne medication. 6. Regression on FAA	1 as rependent variable. 5. Same anaryses, apart for with and without medication. 6. Regression on FAA Time 2, with FAA Time 1, BDI and BDI-		without correction mere we without medication, so stabl	without correction there would be differences. S. Same results with and without medication, so stable. 6. Depressive symptom severity and change in
change. Davidson et al., 2003 Group (mindfulness/waitlist) * Time.	Time.	ln(F4) – ln(F3), ln(F8) – ln(F7)	symptoms did not affect EEG asymmetry stability. Changes in asymmetry were only observed in othe	symptoms did not affect EEG asymmetry stability. Changes in asymmetry were only observed in other electrode pairs, not in

(continued on next page)

Study	Relevant analyses	Calculation FAA	Conclusion
Hagemann et al., 2002 Hagemann et al., 2005	Model of LST theory Model of LST theory	ln power density F4 – ln power density F3 ln F4 – ln F3	60% trait - 40% state 40–50% state
Sutton and Davidson, 1997	Averaged over 13 asymmetry measures (from 13 homologous electrode pairs): Cronbach's alpha (0.87) and ICC (0.57)	log F4 – log F3	High to modest test-retest reliability
Tenke et al., 2017	Test-retest correlations	F4 - F3	Variation in outcome: low in general, but it is correlating. Test-retest correlations differ per research site, but is in general significant for F3-F4: 0.371 (see supplement).
Tomarken et al., 1992	<ol> <li>t-tests of asymmetry measures. 2. ICCs and Pearson correlations. 3. Cronbach's alphas</li> </ol>	log R minus log L power density	<ol> <li>Stable: "asymmetry measures tended to be associated with nonsignificant shifts in mean values over time". 2. Not very high, buy significant ICCs (0.66 for Avg Ref baseline only, 0.79 for Avg Ref across time). 3. Acceptable-to-excellent Cronbach's alphas.</li> </ol>
Carvalho et al., 2011	1. ANOVA on FAA, with hemisphere and group. 2. Correlation FAA-BDI.	ln[right] – ln[left]	Overall: doubful, whether cross-sectional data is sufficient to establish trait properties. But there are no indications against FAA having trait properties. 1. No interaction especially between MDD and remitted, but also controls. 2. No correlation.
Feldmann et al., 2018	<ol> <li>One-way ANOVAs on FAA between the HC, Mda- and rMDa 2. Correlation FAA-BDI</li> </ol>	ln[right ROI] – ln[left ROI]	The most relevant results: 1. No differences between MDD and remitted. 2. No correlations.
Gotlib et al., 1998	Regression with 1st predictor "Never vs. Ever depressed" and 2nd predictor "Currently depressed vs. remitted"	log R – log L	Most relevant results: No difference between currently depressed and remitted. FAA seems to be a state independent marker.
Grünewald et al., 2018	Correlation FAA-BDI	ln[right] – ln[left]	Overall no specific conclusion on state or trait, but no correlations were found in the MDD group.
Nusslock et al., 2018	Correlation FAA-BDI	right – left	Overall: "[our results] highlight the trait like quality of reduced relative left frontal EEG activity." 1. No correlation.

Type 3: Conservation moments with depression and the assessment moments, only nearly controls. Type 3: Cross-sectional study, MDD = major depressive disorder, FC = nearly controls, AX. = assessment(s), HRSD = Hamilton Rating Scale for Depression, CGI = Clinical Global Impression, BDI = Beck Depression Inventory, IDS-SR = Inventory of Depressive Symptomatology-Self Report, BIS/BAS = Behavioral Avoidance/Inhibition Scales, PANAS = Positive and Negative Affect Scale, ICC = Intraclass Correlation Coefficient, HRSD = Hamilton Rating Scale for Depression, HC = healthy controls, BDI = Beck Depression Inventory, LST theory = latent state-trait theory, Avg Ref = average reference. \*Type

# Appendix B. Comparison baseline and week-8 data

To justify the use of a follow-up sample that is supposed to contain the same MDD patients as the baseline data (paragraph 3.5), but does not due to incomplete assessments, we performed the baseline analysis from Arns et al. (2016) on only those who *did* have a complete week-8 assessment. The effect within the SSRI group was the same (p = .001, F(1,150) = 10.619, see Table B1 for all statistics).

#### Table B1

P-values of mentioned interaction effects in the re-analysis of Arns et al. (2016) with data only of MDD patients who had measurements after 8 weeks (thus excluding FAA baseline measurements of patients who did not return for follow-up).

	Original analysis	Original analysis without patients with no follow-up	Re-analysis with week-8 FAA*
Females SSRI: Response Females venlafaxine: Response	P = .001 $P = .070$	P = .001 $P = .011$	P = .028 P = .821

# Appendix C

#### Table C1.

#### Table C1

Statistics paragraph 3.3. A: Severely depressed  $\geq$  53 years old only. B: Whole dataset.

	Sex	(Interaction) Effect	F (df)	p (F)	r	p (r)
А	Females	FAA Change	2.080 (1,14)	.171		
		FAA Change * Treatment arm	2.425 (2,14)	.125		
	Males	FAA Change	0.092 (1,7)	.771		
		FAA Change * Treatment arm	0.061 (2,7)	.941		
	Females	FAA Change * HRSD <sub>17</sub> Change			0.259	.316
	Males	FAA Change * HRSD <sub>17</sub> Change			-0.070	.849
В	Females	FAA Change	0.355 (1,235)	.552		
		FAA Change * Treatment arm	0.714 (2,235)	.491		
		FAA Change * Age	0.889 (1,235)	.344		
		FAA Change * Depression severity	0.645 (1,235)	.423		
		FAA Change * Treatment arm * Age	0.849 (2,235)	.429		
		FAA Change * Treatment arm * Depression severity	0.846 (2,235)	.430		
		FAA Change * Age * Depression severity	1.254 (1,235)	.264		
		FAA Change * Treatment arm * Age * Depression severity	1.148 (2,235)	.319		
	Males	FAA Change	0.029 (1,194)	.864		
		FAA Change * Treatment arm	0.282 (2,194)	.755		
		FAA Change * Age	0.024 (1,194)	.878		
		FAA Change * Depression severity	0.022 (1,194)	.881		
		FAA Change * Treatment arm * Age	0.292 (2,194)	.747		
		FAA Change * Treatment arm * Depression severity	0.471 (2,194)	.625		
		FAA Change * Age * Depression severity	0.052 (1,194)	.820		
		FAA Change * Treatment arm * Age * Depression severity	0.352 (2,194)	.704		

#### Appendix D. VQIDS-SR<sub>5</sub>

To control for AD side effects, we repeated analyses from paragraph 3.2 and 3.3 and replaced all HRSD<sub>17</sub> variables with VQIDS-SR<sub>5</sub> equivalents. Correlational analyses showed that FAA Change was neither significantly correlated to the change score in VQIDS-SR<sub>5</sub> (r = 0.059, p = .225), nor to the percentage change in VQIDS-SR<sub>5</sub> (r = 0.060, p = .219).

Focusing on variables known to have an influence on FAA, specifically in the subgroup we thought to be prone to changes in FAA (severely depressed females and males over 53 years old), we did not find the FAA Change score to be significantly correlated to the change score in VQIDS-SR<sub>5</sub>, although subsample sizes were small. Extending the Repeated Measures model from paragraph 3.2 showed that VQIDS-SR<sub>5</sub> baseline severity and age are not significantly contributing to FAA Change, both in males and females (see table D1 for all statistics).

#### Table D1

VQIDS-SR<sub>5</sub> Statistics paragraph 3.3. A: Severely depressed  $\geq$  53 years old only. B: Whole dataset.

	Sex	(Interaction) Effect	F (df)	p (F)	r	p (r)
А	Females	FAA Change * VQIDS Change			-0.121	.644
	Males	FAA Change * VQIDS Change			0.127	.381
В	Females	FAA Change	0.530 (1,225)	.467		
		FAA Change * Treatment arm	0.002 (2,225)	.998		
		FAA Change * Age	0.930 (1,225)	.336		
		FAA Change * VQIDS Depression severity	0.125 (1,225)	.724		
		FAA Change * Treatment arm * Age	0.066 (2,225)	.936		
		FAA Change * Treatment arm * VQIDS Depression severity	0.145 (2,225)	.865		
		FAA Change * Age * VQIDS Depression severity	0.384 (1,225)	.536		
		FAA Change * Treatment arm * Age * VQIDS Depression severity	0.351 (2,225)	.705		
	Males	FAA Change	0.991 (1,225)	.321		
		FAA Change * Treatment arm	1.491 (2,225)	.228		
		FAA Change * Age	0.407 (1,225)	.524		
		FAA Change * VQIDS Depression severity	1.214 (1,225)	.272		
		FAA Change * Treatment arm * Age	0.773 (2,225)	.463		
		FAA Change * Treatment arm * VQIDS Depression severity	1.739 (2,225)	.179		
		FAA Change * Age * VQIDS Depression severity	0.654 (1,225)	.420		
		FAA Change * Treatment arm * Age * VQIDS Depression severity	1.158 (2,225)	.316		

#### Appendix E

# Table E1.

#### Table E1

FAA means of the different subgroups reported in paragraph 3.5. Split on sex, medication type, EEG condition, response group, and time of assessment.

			В	aseline		Week 8
Sex	Medication type	EEG condition*	Response	Non-response	Response	Non-response
Female	SSRI	EC	0.019	-0.048	0.009	-0.022
		EO	0.009	-0.036	0.033	-0.008
	SNRI	EC	0.000	0.028	0.010	-0.004
		EO	-0.013	0.025	0.020	0.018
Male	SSRI	EC	0.003	0.017	0.013	0.030
		EO	0.015	0.036	0.044	0.036
	SNRI	EC	-0.015	-0.028	-0.031	-0.023
		EO	-0.010	-0.045	-0.036	0.002

\*EC = eyes closed, EO = eyes open.

# Appendix F. Bayesian Repeated Measures ANOVA and correlations

# F1. Elaborated Bayesian analyses paragraph 3.2

Results of Bayesian testing of an absence of change in FAA, revealed a Bayes factor indicating evidence for the null hypothesis: the models with the factors FAA Change and Treatment Arm showed that the data occur >7.4 times more likely under the null hypothesis, than under any alternative

#### Table F1

Bayesian Repeated Measures ANOVA main analysis.

Model comparison Models	P(M)	P(M data)	$BF_M$	BF <sub>01</sub>	error%
Null model (incl. subject)	.200	.856	23.749	1.000	
FAA Change	.200	.114	0.517	7.483	1.276
Treatment	.200	.026	0.107	32.853	0.604
FAA Change + Treatment	.200	.004	0.014	240.356	2.282
FAA Change + Treatment + FAA Change *Treatment	.200	1.675e-4	6.702e-4	5109.119	2.471

Note: All models include subject.

# Table F1

Continued. Bayesian Repeated Measures ANOVA main analysis.

Analyses of effects Effects	P(incl)	P(incl data)	BFInclusion
FAA Change	.400	.118	0.134
Treatment	.400	.030	0.031
FAA Change *Treatment	.200	1.675e-4	0.047

Note: Compares models that contain the effect to equivalent models stripped of the effect. Higher-order interactions are excluded.

#### Table F2

Bayesian Pearson correlations FAA Change vs. HRSD<sub>17</sub> Change/HRSD<sub>17</sub>% Change.

			r	BF <sub>01</sub>
FAA Change	-	HRSD <sub>17</sub> Change	0.039	12.111
FAA Change	-	HRSD <sub>17</sub> % Change	0.052	9.275

model with (a combination of) the factors. This means that moderate evidence for the null hypothesis was found with only FAA Change in the model ( $BF_{01} = 7.483$ ), increasing to (very) strong evidence when adding a combination of the two main effects ( $BF_{01} = 240.356$ ) and including their interaction effect ( $BF_{01} = 5109.119$ ). The error percentage was < 2.5%, which indicates sufficient stability of the numerical algorithm that was used to obtain the result. For each factor, the  $BF_{inclusion}$  reflects how well the factor predicts the data by comparing the performance of all models that include the factor to the performance of all the models that do not include the factor. For both the factors FAA Change and Treatment Arm, there is weak evidence in favor of their inclusion ( $BF_{inclusion} = 0.134$  and 0.031 respectively), as well as a weak evidence in favor of the inclusion of the interaction effect ( $BF_{inclusion} = 0.047$ ). This implies that these factors are not providing evidence for change in FAA. See Table F1 for all results.

Bayesian Pearson correlations between FAA Change and the difference score  $HRSD_{17}$ /the percentage difference  $HRSD_{17}$  reveal moderate to strong results, where the data are respectively 12.1 and 9.3 times more likely to occur under the null hypothesis than under the model assuming there is a correlation between the variables. See table F2 for all results.

#### F2. Elaborated Bayesian analyses paragraph 3.3

Bayesian Repeated Measures ANOVAs for the two sex groups of severely depressed over the age of 53 reveal anecdotal (i.e. worth no more than a bare mention, a customary description for BFs ranging 1–3) to moderate results. Males:  $BF_{01} = 1.351-2.715$  for models with only main effects,  $BF_{01} = 6.195$  for the model with the interaction;  $BF_{inclusion} = 0.438-0.748$ ; error% = 0.701-2.327. Females:  $BF_{01} = 1.864-2.944$  for most models,  $BF_{01} = 4.304$  for the model with only main effects of FAA Change and Treatment Arm;  $BF_{inclusion} = 0.434-1.462$ ; error% = 0.922-1.372. Most models therefore provided no conclusive evidence for either the null or the alternative hypotheses, and  $BF_{inclusion}$ s indicate that there is (very) weak evidence in favor of including the factors. However, some models indicated moderate evidence of the data being more likely to occur under the null hypothesis. See Tables F3 and F4 for all results.

#### Table F3

Bayesian Repeated Measures ANOVA for severely depressed males  $\geq$  53 years old.

Model comparison Models	P(M)	P(M data)	$BF_{M}$	BF <sub>01</sub>	error%
Null model (incl. subject)	.200	.363	2.282	1.000	
FAA Change	.200	.175	0.851	2.070	0.701
Treatment	.200	.269	1.472	1.351	0.687
FAA Change + Treatment	.200	.134	0.618	2.715	1.744
FAA Change + Treatment + Time*Treatment	.200	.059	0.249	6.195	2.327

Note: All models include subject.

Table F3

Continued. Bayesian Repeated Measures ANOVA for severely depressed males $\geq$ 53 years old.	53 vears old.
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Analyses of effects Effects	P(incl)	P(incl data)	BFInclusion
FAA Change	.400	.309	0.489
Treatment	.400	.403	0.748
FAA Change *Treatment	.200	.059	0.438

Note: Compares models that contain the effect to equivalent models stripped of the effect. Higher-order interactions are excluded.

#### Table F4

Bayesian Repeated Measures ANOVA for severely depressed females  $\geq$  53 years old.

Model comparison Models	P(M)	P(M data)	$BF_{M}$	BF01	error%
Null model (incl. subject)	.200	.393	2.592	1.000	
FAA Change	.200	.211	1.069	1.864	1.400
Treatment	.200	.171	0.825	2.299	0.528
FAA Change + Treatment	.200	.091	0.402	4.304	0.922
FAA Change + Treatment + FAA	.200	.134	0.617	2.944	1.372
Change *Treatment					

Note: All models include subject.

#### Table F4

Continued. Bayesian Repeated Measures ANOVA	for severely depressed females $\geq$ 53 years old.

Analyses of effects Effects	P(incl)	P(incl data)	BFInclusion
FAA Change	.400	.302	0.536
Treatment	.400	.262	0.434
FAA Change *Treatment	.200	.134	1.462

Note: Compares models that contain the effect to equivalent models stripped of the effect. Higher-order interactions are excluded.

Bayesian Repeated Measures alternatives for the extended ANOVAs showed similar results to paragraph 3.2: for females, the data are  $\geq$  6.6 times more likely to occur under the null hypothesis than under the alternative hypothesis (only models including factor FAA Change: BF<sub>inclusion</sub> FAA Change and FAA Change X Treatment Arm 0.152 and 0.102, error %  $\leq$  8.576), and  $\geq$  4.7 times more likely in case of males (only models including factor FAA Change: BF<sub>inclusion</sub> Time and Time X Treatment Arm 0.132 and 0.151, error%  $\leq$  5.582). See Tables F5 and F6 for all results.

# Table F5

Bayesian Repeated Measures ANOVA for females, with factors and covariates Treatment Arm, Age and Baseline HRSD17.

Model comparison Models	P(M)	P(M data)	$BF_M$	BF <sub>01</sub>	error%
Null and disclosed with all	050	E 472	22.002	1 000	
Null model (incl. subject)	.050	.547	22.983	1.000	1 0 6 0
FAA Change	.050	.083	1.720	6.596	1.069
Age	.050	.092	1.935	5.922	1.199
FAA Change + Age	.050	.014	0.268	39.377	1.598
Baseline HRSD17	.050	.097	2.036	5.657	1.928
FAA Change + Baseline HRSD <sub>17</sub>	.050	.015	0.286	36.858	1.939
Age + Baseline HRSD <sub>17</sub>	.050	.027	0.534	20.007	1.962
FAA Change + Age + Baseline HRSD <sub>17</sub>	.050	.004	0.077	134.758	2.073
Treatment	.050	.073	1.490	7.526	0.651
FAA Change + Treatment	.050	.011	0.216	48.653	1.854
Age + Treatment	.050	.013	0.243	43.438	1.488
FAA Change + Age + Treatment	.050	.002	0.039	268.859	4.110
Baseline $HRSD_{17}$ + Treatment	.050	.013	0.255	41.259	1.331
FAA Change + Baseline HRSD <sub>17</sub> + Treatment	.050	.002	0.040	263.804	1.689
Age + Baseline $HRSD_{17}$ + Treatment	.050	.004	0.076	137.616	3.325
FAA Change + Age + Baseline $HRSD_{17}$ + Treatment	.050	5.979e-4	0.011	915.659	1.734
FAA Change + Treatment + FAA Change*Treatment	.050	.001	0.022	472.071	5.124
FAA Change + Age + Treatment + FAA Change*Treatment	.050	1.915e-4	0.004	2858.225	2.712
FAA Change + Baseline HRSD <sub>17</sub> + Treatment + FAA Change*Treatment	.050	2.204e-4	0.004	2483.772	8.576
FAA Change + Age + Baseline HRSD <sub>17</sub> + Treatment + FAA Change*Treatment	.050	5.817e-5	0.001	9410.129	2.373

Note: All models include subject.

#### Table F5

Continued. Bayesian Repeated Measures ANOVA for females, with factors and covariates Treatment Arm, Age and Baseline HRSD17.

Analyses of effects Effects	P(incl)	P(incl data)	BFInclusion
FAA Change	.400	0.132	0.152
Age	.500	0.157	0.187
Baseline HRSD <sub>17</sub>	.500	0.163	0.195
Treatment	.400	0.119	0.135
FAA Change *Treatment	.200	0.002	0.102

Note: Compares models that contain the effect to equivalent models stripped of the effect. Higher-order interactions are excluded.

#### Table F6

Bayesian Repeated Measures ANOVA for males, with factors and covariates Treatment Arm, Age and Baseline HRSD17.

Model comparison Models	P(M)	P(M data)	$BF_{M}$	BF <sub>01</sub>	error%
Null model (incl. subject)	.050	.189	4.416	1.000	
FAA Change	.050	.025	0.492	7.471	3.978
Treatment	.050	.303	8.262	0.622	0.600
FAA Change + Treatment	.050	.040	0.787	4.740	1.459
FAA Change + Treatment + FAA Change*Treatment	.050	.006	0.118	30.614	2.419
Age	.050	.047	0.929	4.045	1.842
FAA Change + Age	.050	.006	0.111	32.350	1.464
Treatment + Age	.050	.060	1.203	3.166	1.480
FAA Change + Treatment + Age	.050	.008	0.152	23.809	2.818
FAA Change + Treatment + Age + FAA Change*Treatment	.050	.001	0.022	162.929	2.264

(continued on next page)

#### Table F6 (continued)

Model comparison Models	P(M)	P(M data)	BF <sub>M</sub>	BF <sub>01</sub>	error%
Baseline HRSD <sub>17</sub>	.050	.081	1.684	2.316	2.516
FAA Change + Baseline HRSD <sub>17</sub>	.050	.010	0.201	18.048	1.736
Treatment + Baseline $HRSD_{17}$	.050	.130	2.832	1.454	1.023
FAA Change + Treatment + Baseline $HRSD_{17}$	.050	.018	0.339	10.743	2.659
FAA Change + Treatment + Baseline HRSD <sub>17</sub> + FAA Change*Treatment	.050	.003	0.049	73.444	2.043
Age + Baseline HRSD <sub>17</sub>	.050	.028	0.547	6.740	1.240
FAA Change + Age + Baseline HRSD <sub>17</sub>	.050	.004	0.070	51.141	2.066
Treatment + Age + Baseline $HRSD_{17}$	.050	.037	0.728	5.113	1.253
FAA Change + Treatment + Age + Baseline HRSD <sub>17</sub>	.050	.005	0.097	37.061	5.852
FAA Change + Treatment + Age + Baseline $HRSD_{17}$ + FAA Change*Treatment	.050	7.334e-4	0.014	257.148	2.230

Note: All models include subject.

## Table F6

Continued. Bayesian Repeated Measures ANOVA for males, with factors and covariates Treatment Arm, Age and Baseline HRSD17.

Analyses of effects Effects	P(incl)	P(incl data)	BFInclusion
FAA Change	.400	.116	0.132
Treatment	.400	.600	1.538
Age	.500	.195	0.243
Baseline HRSD <sub>17</sub>	.500	.316	0.462
FAA Change *Treatment	.200	.011	0.151

Note: Compares models that contain the effect to equivalent models stripped of the effect. Higher-order interactions are excluded.

# Appendix G: Male data equivalent to figure 2 with female data

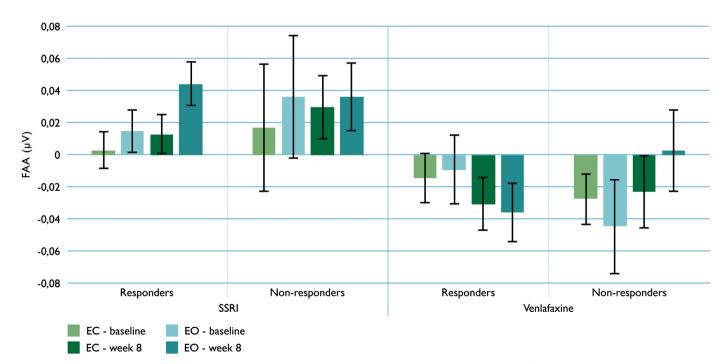


Fig G. Mean values of male frontal alpha asymmetry (FAA, eyes open and eyes closed [EO and EC]), for the SSRI and venlafaxine groups, split up for responders and non-responders.

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421-438

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