Kunal Deokar¹

Gopal Chawla^{2*}

Nana Kunjir¹

Nupur Abrol⁴

Sushant Meshram¹

Chetna Meshram³

Priyanka Gaikwad⁵

Maharashtra - India.

¹Government medical college,

Pulmonary Medicine - Nagpur -

Pulmonary Medicine and Sleep

²All India Institute of Medical Sciences,

Disorders - New Delhi - Delhi - India. ³Government medical college, Pharmacology - Nagpur -Maharashtra - India.

⁴All India Institute of Medical Sciences, Anaesthesia - Delhi - Delhi - India. ⁵Bhaktivedanta hospital, Paediatrics -Mumbai - Maharashtra - India.



Obstructive sleep apnea, intermittent hypoxemia and prothrombotic biomarkers

ABSTRACT

Objective: To study the serum levels of fibrinogen and d-dimer in patients with obstructive sleep apnea (OSA) and its correlation with apnea hypopnea index (AHI), oxygen desaturation index (ODI), minimal oxygen saturation and arousal index. **Methods:** It was a case control study in which 23 cases of OSA and 23 controls were enrolled. Morning fasting serum fibrinogen and d-dimer were measured in cases of OSA and controls. **Results:** Serum fibrinogen levels among OSA patients (268.47 ± 53.11 mg/dl) were elevated as compared to the levels in controls (221.52 ± 65.84 mg/dl) (p<0.05). Serum fibrinogen co-related positively with AHI (r=0.6381, p=0.0011) and ODI (r=0.7434, p=0.0000), negatively with minimal oxygen saturation (r=-0.4461, p=0.0329). There was no statistically significant correlation of fibrinogen with arousal index (r=0.2697, p=0.2133). There was no statistically significant difference between mean fasting d-dimer level in cases (0.12mg/L, 0.06\pm0.18mg/L) and controls (0.12mg/L, 0.02±0.22mg/L) (p=0.8926). **Conclusions:** The observation of elevated fibrinogen levels with the increasing severity of OSA and hypoxemic events makes OSA one of the important risk factor for cardiovascular disorders.

Keywords: Sleep Apnea Syndrome; Fibrinogen; Hypoxia.

* Corresponding author: Gopal Chawla E-mail: dr.gopalchawla@gmail.com

Received: November 22, 2019; Accepted: April 01, 2020.

DOI: 10.5935/1984-0063.20190147

INTRODUCTION

The risk of coronary artery disease and cerebrovascular disease is more in patients with obstructive sleep apnea^{1,2}. Obstructive sleep apnea is characterized by repetitive episodes of complete or partial upper airway collapse resulting in intermittent hypoxemia and inflammation. Intermittent hypoxia-reoxygenation leads to the generation of free radicals resulting in oxidative stress which along with the resultant endothelial dysfunction may trigger the coagulation cascade.

There have been various biomarkers which have been studied in the past linking cardiovascular diseases with OSA. Some biomarkers like Brain Natriuretic peptide (BNP) were associated with good results but are affected by age and weight. Thus, it is necessary to limit its role as an ideal biomarker in cases of OSA where the majority of patients are obese. Whereas other biomarkers like cardiac troponin, interleukin 6 and C reactive proteins are associated with variable results.⁴⁷

Plasma fibrinogen plays a very important role in the coagulation cascade. It has been proven to be a major determinant of blood viscosity and blood flow. High plasma fibrinogen levels were associated with an increased risk of cardiovascular disease. Several epidemiological studies have shown that elevated plasma fibrinogen levels are independently related to the development of vascular disease^{8,9}. D- Dimer indicates activation of coagulation and downstream fibrinolysis. Elevations in d-dimer are considered to be a procoagulant harbinger of a hypercoagulable state¹⁰.

We hypothesized that OSA might confer a hypercoagulable state due to intermittent nocturnal hypoxemia inducing oxidative stress, and thus could be an independent risk factor for cardiovascular and cerebrovascular episodes. Even though several studies have tried to assess the relationship between fibrinogen with OSA, the results have been inconsistent. Some studies have found that fibrinogen is elevated in OSA¹¹⁻¹⁴ while in a study by von Känel R et al ¹⁵ it was not elevated. Also, the above studies did not adjust their results for various factors like COPD, smoking, stroke etc. in which fibrinogen could be elevated. With this background, this study was undertaken to determine the levels of fibrinogen and d-dimer in patients with obstructive sleep apnea.

MATERIAL AND METHODS

Study design: Case-control study

Patient recruitment: The patients of obstructive sleep apnea were selected from the sleep clinic of the same institute. The study was carried out after approval from the institutional ethics committee and with fully informed written consent from the subjects. The patients were divided into the following groups:

Cases: Those with symptoms suggestive of OSA like snoring, daytime sleepiness, witnessed breathing interruptions during sleep, Epworth sleepiness score >10 and AHI \geq 5 on polysomnography. (n = 23)

Controls: Healthy individuals with no symptoms suggestive of OSA like snoring, daytime sleepiness, witnessed

breathing interruptions during sleep, Epworth sleepiness

score<10 and AHI < 5 on polysomnography. (n = 23) Those with liver disease, drug intake - stimulants, sedatives, oral anticoagulants, aspirin, oral contraceptives, estrogen replacement therapy; current alcoholics (someone, who at the time of the study, drinks alcohol either daily or occasionally); hypertension (blood pressure > 140 mm Hg or diastolic blood pressure > 90 mm Hg) or if using any antihypertensive medication 16; diabetes mellitus (fasting glucose > 126 mg/dl or oral glucose tolerance test > 200 mg/ dl or use of hypoglycemic medication) 17; those with history of angina, myocardial infarction, coronary heart disease, stroke, heart failure and/or who have undergone coronary artery bypass graft surgery or carotid endarterectomy; smokers (someone, who at the time of study smokes any tobacco product either daily or occasionally as per the classification criteria suggested by WHO)¹⁸ and diagnosed cases of COPD were excluded from the study.

For both cases and control groups, various anthropometric measurements like height, weight and neck circumference at the upper border of the cricothyroid membrane in an upright position were measured. Body mass index was calculated. Pulmonary function tests were done on the same day between 10.00 am to 02.00 pm. The patient was then given detailed instructions to follow for polysomnography at 08:00 pm. Early in the morning, fasting blood samples were taken and sent for investigations. Polysomnography was performed using Alice 5 (Philips Respironics, Murrysville, Pennsylvania, United States). PSG consisted of continuous polygraphic recording from surface leads for electroencephalography, electro-oculography, electromyography, electrocardiography, pressure transducer, and thermal flow sensor for nasal airflow, thoracic and abdominal impedance belts for respiratory effort, pulse oximetry, microphone for snoring, and sensors for body position. Our centre was 310m above sea level. PSG scoring for sleep stages and respiratory events like apnoea and hypopnea was performed following the recommendations outlined in the AASM manual ¹⁹. The average number of desaturation episodes per hour were measured using PSG and were called the oxygen desaturation index (ODI). Desaturation episodes were generally described as a decrease in the mean oxygen saturation of $\geq 4\%$ (over the last 120 seconds) that lasted for at least 10 seconds. Venous blood was sampled at the same centre between 7:00 and 8:00 am in the supine position the next morning after overnight polysomnography and an overnight fast for various biochemical testing. Fibrinogen was measured by using the Clauss method²⁰ and D-dimer was measured by using immunofiltration and sandwich-type method at the same centre where PSG was performed^{21,22}.

Statistical analysis:

Continuous variables were presented as mean ± SD; categorical variables were expressed in actual numbers and percentages. Data was checked for normality before statistical analysis by using the Shapiro–Wilk test. Serum fibrinogen was compared between cases and controls by performing unpaired t-test. Categorical variables were compared by chi-square statistics. Strength and magnitude of correlation of serum fibrinogen with other study variables was assessed by computing Pearson's correlation coefficient (r). All the tests were 2 sided. p - value < 0.05 was considered as statistically significant. Statistical software STATA version 10.0 was used for statistical analysis

RESULTS

In total 131 cases were screened for the present study. Of these 53 patients had either diabetes, hypertension or both thus were excluded. 39 were smoker with or without chronic obstructive pulmonary disease and 16 others who had a history of ischemic heart disease were also excluded. In total 23 patients who fulfilled inclusion criteria were enrolled in the study and analyzed. The mean age (in years) of cases and controls were 49.39 \pm 12.30 and 48.08 \pm 11.64 respectively. In both the groups, 17 (74%) were males and 16 (26%) were females. There was no significant difference in weight (in kg.) of individuals between the two groups (Cases vs. Controls: 81.62 ± 15.71 kg; vs. 81.82 ± 14.21 kg) (p=0.9641). There was no significant difference in BMI of individuals across the two groups (p=0.8346). There was no significant difference in FVC (Cases vs. Controls: 2.88 ± 0.78 L; vs. 2.98 ± 0.89 L) (p=0.8) and FEV1 (Cases vs. Controls: 2.28 ± 0.69 L; vs. 2.3 ± 0.72 L) (p=0.96) between the two groups (Table1).

Table 1. Age and BMI distribution among cases and controls.

Deserved	Cases	Controls	
Parameter	Mean ± SD	Mean ± SD	р
Age(yrs)	49.39 ± 12.30	48.08 ± 11.64	0.7137
BMI (kg/m2)	30.25 ± 4.73	29.95 ± 5.06	0.8346

Most of our cases had AHI more than 30/hour (n=18). There were 3 cases with AHI between 5 to 15/hour and 2 cases with AHI between 16 to 30/hour. Most of our cases had ODI more than 30/hour (n = 14). There were 3 cases with ODI less than 5/hour and 6 cases with ODI between 15 to 30/hour. The mean fasting serum fibrinogen level was more in cases $(268.47 \pm 53.11 \text{ mg/dl})$ as compared to controls (221.52 ± 65.84) mg/dl). This difference was statistically significant (p=0.0108). There was a moderate to strong correlation between fasting fibrinogen and apnea-hypopnea index (r = 0.6381, p = 0.0011) (Figure1) which was also statistically significant. Thus, with an increase in AHI, fasting serum fibrinogen levels also increased. Similarly, there was a statistically significant strong correlation between fasting serum fibrinogen levels and oxygen desaturation index (r = 0.7434, p = 0.0000) (Figure 2). There was a negative correlation between minimal oxygen saturation and fasting serum fibrinogen (r = -04461, p = 0.0329) which was statistically significant. Similarly, there was a statistically significant negative correlation between fasting serum fibrinogen levels and average minimal oxygen saturation (r = -0.6176, p = 0.0017). There was a weak positive correlation between arousal index and fasting serum fibrinogen (r = 0.2697, p = 0.2133), however, it was not statistically significant. (Table 2)

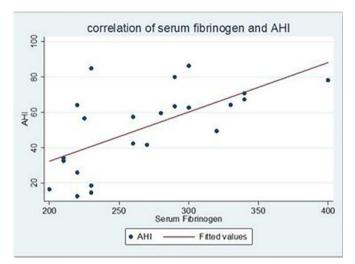


Figure 1. Correlation of fasting serum fibrinogen with AHI.

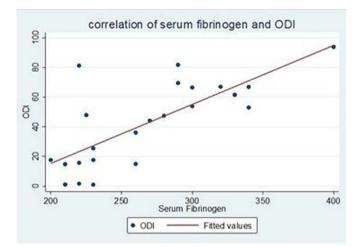


Figure 2. Correlation of fasting serum fibrinogen with ODI.

Table 2. Correlation of fasting serum fibrinogen with various polysomnographic indices.

Variable	Fasting serum fibrinogen		
variable	r-value	p-value	
Apnea-hypopnea index	0.6381	0.0011	
Oxygen desaturation index	0.7434	0.0000	
Minimal oxygen saturation	-0.4461	0.0329	
Average minimal oxygen saturation	-0.6176	0.0017	
Arousal index	0.2697	0.2133	

There was no statistically significant difference between mean fasting d-dimer level in cases (0.12 mg/L, 0.06 \pm 0.18 mg/L) and controls (0.12 mg/L, 0.02 \pm 0.22 mg/L) (p = 0.8926). A positive correlation of d-dimer with AHI (r = 0.1509, p = 0.4920) and ODI (r = 0.3472, p = 0.1042) was observed, however they were not statistically significant. A weak negative correlation of d-dimer was observed with minimal oxygen saturation (r = -0.1775) and average minimal oxygen saturation (r = -0.1454, p = 0.5079) but they were also not statistically significant. There was no correlation of d-dimer with arousal index (r = 0.0592, p = 0.7884). (Table 3)

Table 3. Correlation of d-dimer with various polysomnographic indices.

Variable	Fasting d-dimer	
	r-value	p-value
Apnea-hypopnea index	0.1509	0.4920
Oxygen desaturation index	0.3472	0.1042
Minimal oxygen desaturation	-0.1775	0.4178
Average minimal oxygen saturation	-0.1454	0.5079
Arousal index	0.0592	0.7884

DISCUSSION

The major finding of our study was that the average fasting serum fibrinogen levels among OSA patients (268.47 \pm 53.11 mg/dl) were elevated as compared to the levels in controls (221.52 \pm 65.84 mg/dl) which was statistically significant (p value < 0.05). Barceló A et al ¹¹ has shown that the serum fibrinogen levels in children with OSA are elevated as compared to controls. Steiner S et al ¹² has also shown that OSA is associated with higher plasma fibrinogen (353 \pm 83 mg/dl vs. 317 \pm 62 mg/dl, p = 0.015).

In our study, serum fibrinogen in patients with OSA co-related positively with apnea-hypopnea index (r = 0.6381, p = 0.0011) and oxygen desaturation index (r = 0.7434, p = 0.0000). A negative correlation of fasting serum fibrinogen with minimal oxygen saturation (r = -0.4461, p = 0.0329) and average minimal oxygen saturation (r = -0.6176, p = 0.0017) was also seen. There was no statistically significant co-relation of fasting serum fibrinogen levels with arousal index (r = 0.2697, p = 0.2133). In a study by Steiner S et al 12, serum fibrinogen correlated with nocturnal minimal oxygen saturation (r = -0.275, p = 0.0036) and AHI (r = 0.297, p = 0.001). In patients of ischemic stroke with OSA, Wessendorf et al ¹³ showed that fibrinogen levels positively correlated with RDI (r = 0.24, p = 0.007) and negatively correlated with several oxygen indices including average minimal oxygen saturation (r = -0.41, p < 0.001). Bouloukaki et al ¹⁴ in a cross-sectional study, showed that fibrinogen was elevated in OSA patients as compared to controls. Furthermore, several experimental studies have shown that the severity of intermittent nocturnal hypoxemia may contribute to procoagulant disturbances in OSA, which is consistent with our findings of correlation of elevated fibrinogen levels with hypoxemic indices²³⁻²⁸.

Though arousals are associated with bursts of sympathetic activity, not all arousals are associated with airway obstruction and intermittent hypoxemia ²⁹. And probably, therefore, there was no correlation between arousal index and fibrinogen. The finding of an association between AHI and hypoxemic indices with fibrinogen suggests that severity of intermittent nocturnal hypoxemia may contribute to procoagulant disturbances in OSA. We noted that as the severity of obstructive sleep apnea increases, there is an increase in the fibrinogen levels, which in turn may increase the risk of adverse cardiovascular events. Thus, our findings are consistent with most clinic-based samples that have reported an increased risk of adverse cardiometabolic outcomes at the severe levels of OSA.

233

D-dimer levels in patients with OSA were not elevated as compared to the controls and the difference between them was not statistically significant ($0.12 \pm 0.06 \text{ mg/L vs.} 0.12 \pm 0.10$ mg/L, p = 0.8926). There was a weak positive correlation of D-dimer with AHI (r = 0.1509, p = 0.4920), positive correlation with ODI (r = 0.3472, p = 0.1042), weak negative correlation with minimal oxygen desaturation (r = -0.1775, p = 0.4178) and average minimal oxygen desaturation, (r =-0.1454, p = 0.5079) but none of them were statistically significant. There was no correlation of d-dimer with arousal index (r = 0.0592, p = 0.7884). In a study by Mehra et al there was no significant relationship between d-dimer and AHI or arousal index ³⁰. Von Kanel et al studied the association between polysomnographic measures of disrupted sleep and prothrombotic factors and found that there was no association of d-dimer with AHI ³¹. D-dimer is formed after the breakdown of a well-formed fibrin clot. Therefore, probably we did not observe any increase of d-dimer in patients with OSA.

Inferences regarding correlations of serum fibrinogen with various study variables were based on a relatively modest sample size. Most of the OSA patients attending our tertiary care centre suffered from co-morbid conditions like hypertension, diabetes mellitus, stroke, heart failure, myocardial infarction. As per our exclusion criteria, we could not include these patients with co-morbidities, which limited our sample size. We tried to exclude many known confounders; residual confounding factors nonetheless may have influenced our results. As we observed that ODI correlated better with fibrinogen, further studies may evaluate the relation between percentage of time spent at various oxygen saturation levels with fibrinogen.

CONCLUSION

Raised serum fibrinogen levels in patients with obstructive sleep apnea are suggestive of a procoagulant state that might provide an explanatory link for the high prevalence of vascular diseases in these patients. Therefore, early diagnosis and treatment of obstructive sleep apnea is warranted to prevent its cardio-cerebrovascular consequences.

Since we observed a correlation of fibrinogen with AHI and hypoxemic indices, it is possible that the oxidative stress may be a reason for procoagulant state in OSA. Serum fibrinogen may be used as a biomarker to assess cardiovascular risk in OSA. The efficacy of the drugs, which reduce serum fibrinogen level, can be evaluated in the patients of obstructive sleep apnea to minimize cardio-cerebrovascular morbidity and mortality.

REFERENCES

- Lamberts M, Nielsen OW, Lip GYH, Ruwald MH, Christiansen CB, Kristensen SL, et al. Cardiovascular risk in patients with sleep apnoea with or without continuous positive airway pressure therapy: follow-up of 4.5 million Danish adults. J Intern Med. 2014 Dec;276(6):659-66.
- Yaggi HK, Concato J, Kernan WN, Lichtman JH, Brass LM, Mohsenin V. Obstructive sleep apnea as a risk factor for stroke and death. N Engl J Med. 2005 Nov;353(19):2034-41.
- Somers VK, Dyken ME, Clary MP, Abboud FM. Sympathetic neural mechanisms in obstructive sleep apnea. J Clin Invest. 1995 Oct;96(4):1897-904.

- Usui Y, Tomiyama H, Hashimoto H, Takata Y, Inoue Y, Asano K, et al. Plasma B-type natriuretic peptide level is associated with left ventricular hypertrophy among obstructive sleep apnoea patients. J Hypertens. 2008;26:117-23.
- Maeder MT, Ammann P, Rickli H, Schoch OD, Korte W, Hürny C, et al. N-terminal pro-B-type natriuretic peptide and functional capacity in patients with obstructive sleep apnea. Sleep Breath. 2008 Mar;12(1):7-16.
- Milleron O, Pilliere R, Foucher A, Roquefeuil F, Aegerter P, Jondeau G, et al. Benefits of obstructive sleep apnoea treatment in coronary artery disease: a long-term follow-up study. Eur Heart J. 2004 May;25(9):728-34.
- Freitas IGA, Bruin PF, Bittencourt L, Bruin VMS, Tufik S. What can blood biomarkers tell us about cardiovascular risk in obstructive sleep apnea?. Sleep Breath. 2015 Sep;19(3):755-68.
- Ernst E, Resch KL. Fibrinogen as a cardiovascular risk factor: a meta-analysis and review of the literature. Ann Intern Med. 1993 Jun;118(12):956-63.
- Maresca G, Di Blasio A, Marchioli R, Di Minno G. Measuring plasma fibrinogen to predict stroke and MI: an update. Arterioscler Thromb Vasc Biol. 1999 Jun;19(6):1368-77.
- Amiral J, Fareed J. Thromboembolic diseases: biochemical mechanisms and new possibilities of biological diagnosis. Semin Thromb Hemost. 1996;22(Suppl 1):41-8.
- Barceló A, Morell-Garcia D, Sanchís P, Peña-Zarza JA, Bauça JM, Piérola J, et al. Prothrombotic state in children with obstructive sleep apnea. Sleep Med. 2019 Jan;53:101-5.
- Steiner S, Jax T, Evers S, Hennersdorf M, Schwalen A, Strauer BE. Altered blood rheology in obstructive sleep apnea as a mediator of cardiovascular risk. Cardiology. 2005;104(2):92-6.
- Wessendorf TE, Thilmann AF, Wang YM, Schreiber A, Konietzko N, Teschler H. Fibrinogen levels and obstructive sleep apnea in ischemic stroke. Am J Respir Crit Care Med. 2000;162(6):2039-42.
- Bouloukaki I, Mermigkis C, Tzanakis N, Kallergis E, Moniaki V, Mauroudi E, et al. Evaluation of inflammatory markers in a large sample of obstructive sleep apnea patients without comorbidities. Mediators Inflamm. 2017;4573756.
- von Känel R, Princip M, Schmid JP, Barth J, Znoj H, Schnyder U, Meister-Langraf RE. Association of sleep problems with neuroendocrine hormones and coagulation factors in patients with acute myocardial infarction. BMC Cardiovasc Disord. 2018 Nov;18(1):213.
- Chobanian AV, Bakris GL, Black HR, Cushman WC, Green LA, Izzo JL, et al. Seventh report of the joint national committee on prevention, detection, evaluation, and treatment of high blood pressure. Hypertension. 2003 Dec;42(6):1206-52.
- American Diabetes Association (ADA). Diagnosis and classification of diabetes mellitus. Diabetes Care. 2010 Jan;33(Suppl 1):S62-S69.

- World Health Organization (WHO). Guidelines for controlling and monitoring the tobacco epidemic. Geneva: WHO; 1998. p. 76-101.
- Berry RB, Budhiraja R, Gottlieb DJ, Gozal D, Iber C, Kapur VK, et al. Rules for scoring respiratory events in sleep: update of the 2007 AASM Manual for the Scoring of Sleep and Associated Events. Deliberations of the Sleep Apnea Definitions Task Force of the American Academy of Sleep Medicine. J Clin Sleep Med. 2012 Oct 15;8(5):597-619.
- Clauss A. Rapid physiological coagulation method in determination of fibrinogen. Acta Haematol. 1957 Apr;17(4):237-46.
- Scarano L, Bernardi E, Prandoni P, Sardella C, Rossi L, Carraro P, et al. Accuracy of two newly described D-dimer tests in patients with suspected deep venous thrombosis. Thromb Res.1997 Apr;86(2):93-9.
- Killick SB, Pentek PG, Mercieca JE, Clarke MF, Bevan DH. Comparison of immunofiltration assay of plasma D-dimer with diagnostic imaging in deep vein thrombosis. Br J Haematol.1997 Mar;96(4):846-9.
- Bartsch P, Haeberli A, Hauser K, Gubser A, Straub PW. Fibrinogenolysis in the absence of fibrin formation in severe hypobaric hypoxia. Aviat Space Environ Med. 1988;59:428-32.
- Bendz B, Sevre K, Andersen TO, Sandset PM. Low molecular weight heparin prevents activation of coagulation in a hypobaric environment. Blood Coagul Fibrinolysis. 2001 Jul;12(5):371-4.
- 25. Konnerth D, Schwarz F, Probst M, Seidler M, Wagner T, Faul C, et al. Is acute pulmonary embolism more severe in the presence of obstructive sleep apnea? Results from an observational cohort study. J Thromb Thrombolysis. 2018 Aug;46(2):253-9.
- Nakanishi K, Tajima F, Nakata Y, Osada H, Sugiyama K, Maruta H, et al. Hypercoagulable state in a hypobaric, hypoxic environment causes nonbacterial thrombotic endocarditis in rats. J Pathol. 1997 Mar;181(3):338-46.
- Dean RT, Wilcox I. Possible atherogenic effects of hypoxia during obstructive sleep apnea. Sleep. 1993 Dec;16(Suppl 8):S15-S22.
- Gertler JP, Weibe DA, Ocasio VH, Abbott WM. Hypoxia induces procoagulant activity in cultured human venous endothelium. J Vasc Surg. 1991 Mar;13(3):428-33.
- Halász P. Arousal from sleep. In: Kushida CA, editor. Obstructive sleep apnea pathophysiology, comorbidities, and consequences. New York: CRC Press; 2007. p. 149-69.
- Mehra R, Xu F, Babineau DC, Tracy RP, Jenny NS, Patel SR, Redline S. Sleep-disordered breathing and prothrombotic biomarkers: crosssectional results of the Cleveland Family Study. Am J Respir Crit Care Med. 2010 Sep;182(6):826-33.
- von Känel R, Loredo JS, Ancoli-Israel S, Mills PJ, Natarajan L, Dimsdale JE. Association between polysomnographic measures of disrupted sleep and prothrombotic factors. Chest. 2007 Mar;131(3):733-9.