



Atg5 knockdown induces age-dependent cardiomyopathy which can be rescued by repeated remote ischemic conditioning

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Abstract

Altered autophagy is implicated in several human cardiovascular diseases. Remote ischemic conditioning (RIC) is cardioprotective in multiple cardiovascular injury models and modifies autophagy signaling, but its effect in cardiomyopathy induced by gene manipulation has not been reported. To investigate the cardiac effects of chronically reduced autophagy as a result of *Atg5* knockdown and assess whether RIC can rescue the phenotype. *Atg5* knockdown was induced with tamoxifen for 14 days in cardiac-specific conditional *Atg5* flox mice. Autophagy proteins and cardiac function were evaluated by Western blot and echocardiography, respectively. RIC was induced by cyclical hindlimb ischemia and reperfusion using a tourniquet. RIC or sham procedure was performed daily during tamoxifen induction and, in separate experiments, chronically 3 times per week for 8 weeks. Cardiac responses were assessed by end of the study. Cardiac-specific knockdown of *Atg5* reduced protein levels by 70% and was associated with a significant increase in mTOR, a reduction of LC3-II and increased upstream autophagy proteins including LC3-I, P62, and Beclin. The changes in biochemical markers were associated with development of an age-related cardiomyopathy during the 17-month follow-up indicated by increased heart weight body weight ratio, progressive decline in cardiac function, and premature death. RIC increased cardiac ATG5 and rescued some of the *Atg5* knockdown-induced cardiomyopathy phenotype and associated morphological remodeling. We conclude that cardiac-specific *Atg5* knockdown leads to the development of age-related cardiomyopathy. RIC reverses the molecular and structural phenotype when administered both acutely and chronically.

Keywords Inducible gene ablation · Cardiac function · Autophagy · Preconditioning

Abbreviations

| | |
|-------|---|
| ATG5 | Autophagy related protein 5 |
| PAS | Pre-autophagosomal structure |
| RIC | Remote ischemic conditioning |
| MCSA | Myocyte cross section area |
| RT | Room temperature |
| EF | Ejection fraction |
| FS | Fractional shortening |
| LVIDD | Left ventricular internal diameter end diastole |
| LVIDS | Left ventricular internal diameter end systole |

Introduction

Autophagy is an evolutionary conserved and tightly regulated process that facilitates intracellular digestion and recycling of dysfunctional organelles and accumulated protein aggregates. Accumulation of unfolded intracellular proteins or damaged organelles may cause genomic instability and cell death leading to premature aging, cancer, and tissue degeneration. Excessive autophagy can cause disease, such as X-linked myopathy [1], and cell death due to excessive self-consumption of cellular contents [2], while reduced autophagy has been associated with many age-related and chronic human diseases [3].

Autophagy related 5 (APG/ATG5) is a key protein that, in humans, is encoded by the *ATG5* gene located on chromosome 6q21. The protein is involved in the nucleation of the phagophor, a small cup-like membrane precursor formed upon induction of autophagy as part of an intricate membrane complex which is called the pre-autophagosomal structure (PAS). PAS is composed of membrane-associated

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active autophagy proteins including Beclin. ATG5 forms a complex with ATG12 and ATG16 to catalyze ligation of LC3-I and phosphatidylethanolamine on the phagophore converting LC3-I to LC3-II on the phagophore membrane [4]. The ATG5-ATG12-ATG16 complex also directs itself to the phagophore membrane, becoming a docking site for adaptor proteins leading to cargo protein degradation. After docking of ubiquitinated cargo proteins via P62/SQSTM1 [5], the phagophore is enclosed to form the autophagosome. The cargo proteins are digested and recycled in the autolysosome, which results from the fusion of the autophagosome and lysosomes.

Accumulating evidence suggests that ATG5 is involved in several human diseases, including cardiomyopathy. Alteration of *ATG5* expression has been found in neurodegenerative diseases [6–8] and type II diabetes [9, 10], and *ATG5* polymorphisms have been associated with development of coronary artery disease and acute myocardial infarction [11], autoimmune diseases including system lupus erythematosus [12–15], sepsis [16], Crohn's disease [17], and cancer [18].

In mice, overexpression of *Atg5* activates autophagy, increases insulin sensitivity, and ultimately results in extended lifespan [19]. Cardiac-specific knock out of *Atg5* induces a cardiomyopathy characterized by collapsed mitochondria, disorganized sarcomere structure, increased left ventricular dimension, and reduced cardiac function and early death [20]. ATG5 is also important in the development of cardiac hypertrophy. Genetic manipulation of the immunoproteasome catalytic $\beta 5i$ subunit which targets to the ubiquitination and degradation of ATG5 was a crucial pathogenic step in a model of pressure overload-induced cardiac hypertrophy. The hypertrophic response in this model was increased by cardiac-specific overexpression of $\beta 5i$ but abrogated by deficiency of $\beta 5i$ [21].

Remote ischemic conditioning (RIC), induced by 4 cycles of 5 min occlusion and 5 min reperfusion of a limb, has been demonstrated cardioprotective in animal models and clinical conditions such as ischemia/reperfusion injury [22], ventricular remodeling after myocardial infarction [23] and septic cardiomyopathy induced by lipopolysaccharide [24], and doxorubicin-induced cardiotoxicity in mice [25]. We have previously demonstrated [26] that RIC, both when administered acutely and chronically, is a strong pro-autophagy stimulus via suppression of mammalian target of rapamycin (mTOR), a known negative regulator of autophagy, which leads to improved myocardial function in a mouse MI/heart failure model. However, despite consistently encouraging preclinical experimental, and 'proof-of-principle' clinical studies in highly selected patient groups, the translation of RIC in phase III clinical trials has been disappointing [27, 28]. Multiple factors for lack of clinical effect have been suggested, including older patient age, the effect of comorbidities (e.g. diabetes, hyperlipidemia) and the concomitant use

of drugs that may block the RIC effect or themselves induce a conditioned state [29, 30]. These factors are clearly less relevant in preclinical experiments with animals, and such factors may not be at play in younger patients with different therapeutic profiles and comorbidities. Children and young adults with inherited cardiomyopathies, or toxin-related cardiomyopathy may fall into such a category and we, and others, have shown promising effects of RIC in experimental models of LPS-induced myocardial dysfunction [24], and Doxorubicin-induced cardiomyopathy [25, 31, 32], for example.

Whether RIC protects the heart in cardiomyopathy induced by gene manipulation has not been tested. Consequently, we created inducible cardiac-specific *Atg5* knockdown mice to address the effects of RIC on cardiomyopathy induced by genetic modification.

Methods

Mice bearing *Atg5* floxed allele (*Atg5^{loxP/loxP}*) in which *Atg5* exon3 is flanked by two loxP sequences originally produced by Mizushima group [33], were obtained from RIKEN BRC (Tsukuba, Japan). Tamoxifen-inducible and cardiac-specific *Cre* recombinase knock-in transgenic mice (α -MHC-MerCreMer), originally created by Dr. Molkenin group [34], were kindly provided by Dr. Jeffrey Robbins within our institution.

Creation of cardiac-specific *Atg5* knockdown mice

Atg5^{loxP/loxP} mice were crossed with α -MHC-MerCreMer transgenic mouse, only *Atg5^{loxP/loxP}/* α -MHC-MerCreMer mice with heterogenous *Cre* (*Atg5^{loxP/loxP}/* α -MHC-MerCreMer, *Atg5^{loxP/loxP}Cre*) were used for this study as a previous study showed that high level myocardial expression of *Cre*-recombinase resulting in dilated cardiomyopathy [35]. *Atg5* knockdown was induced by feeding tamoxifen containing chow for 14 days. This reduced cardiac ATG5 by about 70% comparing with *Cre* negative littermates.

Animal experiments

Animal experimental procedures were defined in animal protocol IACUC 2018-0026 which was approved by the Institutional Animal Care and Use Committees of the Cincinnati Children's Hospital Medical Center in accordance with the Animal Welfare Act (AWA) and PHS Policy on Humane Care and Use of Laboratory Animals. Mice were housed in a fully equipped animal facility on a 12 h dark/light cycle with free access to food and water ad libitum. The primary outcome of measure was ATG5 protein alteration. Other autophagy protein and cardiac physical/functional

changes were considered as secondary endpoints. Experimental design is followed the previous published “Practical guidelines for rigor and reproducibility in preclinical and clinical studies on cardioprotection” [36].

To induce Cre recombinase mediated *Atg5* knockdown, mice were switched to tamoxifen diet TD.130860 containing 0.4 g/kg tamoxifen from ENVIGO (Madison, WI) for 14 days. To evaluate autophagy proteins, adult (8–9 weeks) *Atg5^{loxP/loxP} Cre* mice were fed tamoxifen diet for 14 days and switched back to regular chow for another 14 days. Mice without Cre (*Atg5^{loxP/loxP} Cre⁻*, *Atg5^{loxP/loxP}*) were served as controls. Separate groups of age-matched mice with both genotypes were fed regular chow for all the experimental period to serve as controls. At the end of the study period mice were euthanized, and the heart was harvested for autophagy protein assessment by Western blotting.

For survival experiments, adult *Atg5^{loxP/loxP} Cre* and *Atg5^{loxP/loxP}* mice were treated with/without tamoxifen diet for 14 days and cardiac function was monitored till 17-month-old. Survival rate was calculated. The surviving mice were euthanized at end of the experiment, and heart was harvested for heart weight body weight ratio.

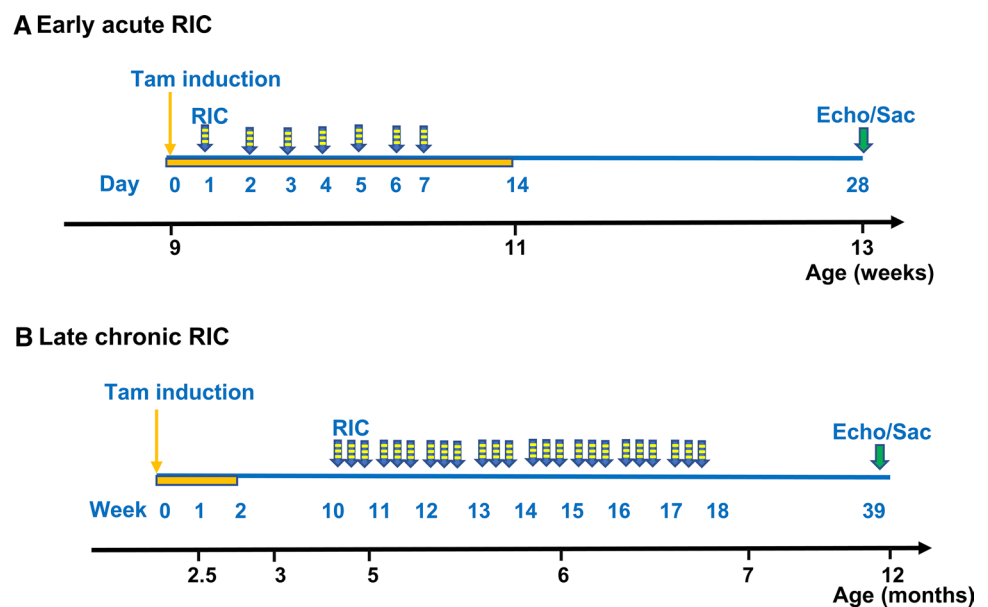
For remote ischemic conditioning (RIC) intervention experiments, adult *Atg5^{loxP/loxP} Cre* mice were fed tamoxifen diet for 14 days and the food was switched back regular chow. Two RIC intervention protocols were performed to test the early acute and late chronic effects (Fig. 1). (i) Early acute RIC: during *Atg5* knocking down, starting at the same time as tamoxifen induction, RIC was performed once a day for 7 days as study from our laboratory showed that daily RIC is superior over one time pre- or post-RIC to potentiate LPS-induced mortality in mice[24]. Cardiac function was evaluated at 28 days after tamoxifen induction, and animals

were euthanized after echocardiography. The heart was harvested after injection of 1 mM KCl to rest the heart at relaxation and sliced into 3 pieces transversely after removal of the atriums, the middle section was embedded in optimal cutting temperature (OCT) compound for histology analysis. The remainder was homogenized and prepared for autophagy protein analysis by Western blot. (ii) Late chronic RIC was performed 2 months after *Atg5* knockdown and continued 3 times/week for 8 weeks. The animals were followed up to 6 months till 12-month-old and cardiac function was determined by echocardiography. By end of the experiment, heart tissue was harvested for autophagy protein assays.

Remote ischemic conditioning was performed with a custom tourniquet to induce 4 cycles of 5 min hindlimb ischemia followed by reperfusion. Ischemia and subsequent reperfusion was confirmed with a Dopplex D900 from ArjoHuntleigh (Addison, IL). RIC was performed on one hindlimb for logistic reasons in this chronic model. Although there is a report showed that acute cardioprotection is slightly greater with RIC on two legs compared with RIC on one leg [30], another report showed that one and two hindlimb RIC were equally cardioprotective [37]. Given that there are no data regarding the use of multiple limbs in chronic responses to RIC, and we have shown previously that single-limb RIC is effective at modifying autophagy protein responses acutely and chronically [25, 26], we chose to use a single limb stimulus for this study.

Echocardiography was performed under anesthesia with isoflurane inhalation by using the Vevo2100 mouse echocardiography system equipped with a 40 MHz high-frequency transducer. Anesthetic depth was kept consistently the same and indicated by similar heart rates, all images being obtained between 400 and 600 beats per

Fig. 1 Study design for RIC intervention. **A** Early acute protocol. **B** Late chronic protocol



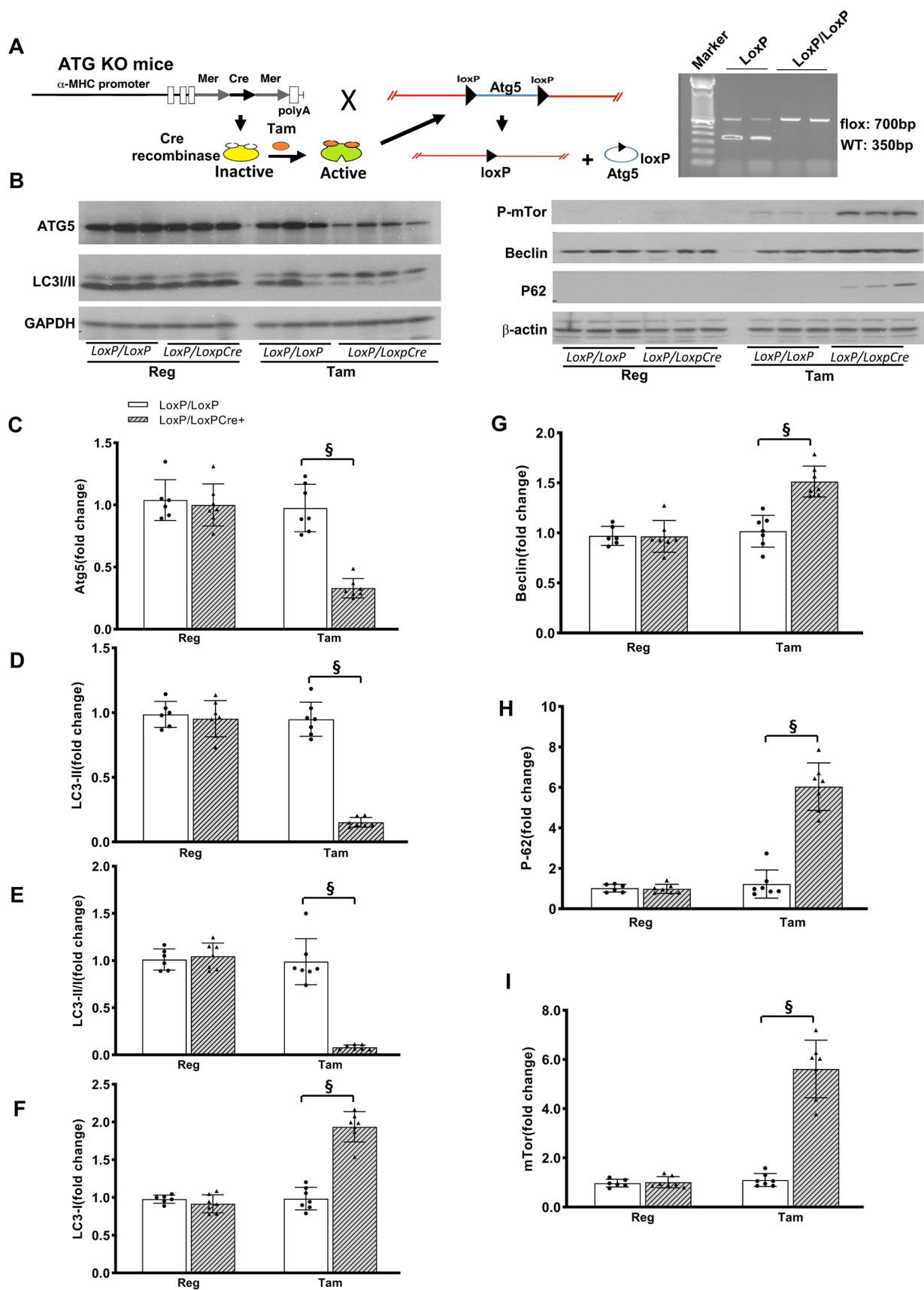


Fig. 2 Effects of *Atg5* knockdown on cardiac autophagy proteins. **A** Scheme of inducible cardiac-specific *Atg5* knockdown and representative image of genotyping. **B** Representative images of Western blots. Cardiac autophagy proteins were evaluated by Western blot and quantified by Image J software with tissue extract from myocardium including ATG5 (**C**), LC3-II (**D**), LC3-I (**F**), Beclin (**G**), P62/SQSTM1 (**H**), and phosphor-mTOR (**I**). The ratio of LC3-II and I was summarized in panel **E**. $^{\$}p < 0.01$ compared with *Atg5^{loxP/loxP}* fed the same diet

minute. Measurements and calculations were made offline by investigators blinded to interventions.

Western blot

Heart tissue was homogenized in a lysis buffer containing 150 mM NaCl, 50 mM Tris-Cl (pH 7.5), 0.5% deoxycholate, 0.1% SDS, 1% nonidet P-40, PhosSTOP, and Complete Mini. The homogenate was sonicated on ice for 3 strokes of 30 s at the setting of 125 Watt and 20 kHz. Cell extract was collected after centrifugation at 4 °C. Protein concentration was determined using a Pierce BCA protein assay kit and BSA was used as the standards. Relevant cardiac protein levels were determined by Western blot analysis with 50 µg total protein as described previously [38] and GAPDH was used as the loading control. LC3 II was also corrected by LC3 I as indicator of autophagy [39].

Histology

OCT compound preserved myocardial tissue was frozen cut into 6 µm slice and mounted onto glass slide for histological staining. After air drying and formalin fixation for 20 min, the slides were incubated with hematoxylin for 10 min and stained with eosin for 3 min after water wash in between stains. The slides were sealed with coverslips after dehydration and xylene clearing.

Sirius red stain

Frozen sections were air dried for 30 min at room temperature (RT), then fixed in Bonin's solution for 60 min at 60 °C and 10% formalin for 2 min at RT, and stained with Pico-Sirius for 60 min at RT. The slides were washed with acid water and excessive water was removed by vigorous shaking. The slides were sealed with coverslips a resinous medium after dehydration with alcohol and cleared with xylene. Five representative images per slide were obtained under a 20× objective and collagen area (red stain) was analyzed with Image J software as previously described in detail [38].

Cardiomyocyte cross-sectional area

After air drying and fixation in ice-cold acetone, the slides were incubated with 3.3U/ml neuraminidase type v for 60 min at RT, and thereafter incubated with fluorescein-labeled Peanut agglutinin and Rhodamine-labeled Griffonia simplicifolia lectin I for 2 h at RT. The slides were covered with coverslip in presence of anti-fade fluorescent mounting medium and sealed with nail polish. Four representative images were analyzed under a 40× objective for every slide. Myocyte cross section area (MCSA) was measured with Image J and averaged the 4 images from each slide.

Statistical analysis

Data are expressed as the means ± standard error (SE) unless otherwise noted. For survival experiments, the frequency of death between groups was compared using Fisher Exact Chi-square test. Survival times between groups were compared using Kaplan–Meier analyses with log-rank test. A two-sided *P* value < 0.05 was considered significant. All analyses were conducted using SAS version 9.4 (SAS Institute, Cary, North Carolina). For the rest experiments, differences between two groups were analyzed by a two-tailed t-test, while multiple groups were compared using one-way analysis of variance (ANOVA) and Bonferroni-Holm correction. A *p*-value < 0.05 was regarded as a significant. Analysis was carried out with Excel Office 365.

Results

Knocking down *Atg5* downregulates autophagy with complementary increase in levels of some upstream autophagy proteins in the heart

Previous studies showed that high level expression of *Cre* recombinase in the heart or cardiac-specific knockout *Atg5* cause cardiomyopathy [20, 35]. To maintain a relatively low-level expression of CRE recombinase in the heart, heterozygous α -MHC *MerCreMer* transgenic mice were crossed with floxed *Atg5* mice (Fig. 2A). The cardiac-specific expressed *Cre*, fused with the ligand-binding domain of the murine estrogen receptor, is activated by binding of tamoxifen [40]. Genotyped animals homozygous for *LoxP/LoxP* and positive *Cre* (*Atg5^{loxP/loxP}Cre*) were used for the experiments. *Atg5* was knocked down by about 70% in *Atg5^{loxP/loxP}Cre* mice after 14 days tamoxifen treatment, compared with *Atg5^{loxP/loxP}* mice (Fig. 2B, C). ATG12-ATG5 conjugate has been shown to induce E3 ligase activity which facilitates LC3 lipidation and phagophore formation [4], we tested LC3-II and found that it was reduced in *Atg5* knock-down hearts compared with control littermates (Fig. 2D).

The reduced ATG5 was associated with suppressed autophagy as indicated by decreased LC3 II and LC3-II/LC3-I ratio (Fig. 2E) and markedly increased phosphorylation (at s2481) of mTOR, the master negative regulator of autophagy (Fig. 2I). Other associated autophagy proteins were increased due to the decreased autophagy progression including LC3-I, Beclin and P62/SQSTM1 (Fig. 2F–H).

Knocking down *Atg5* impairs cardiac function and shortens life expectancy

Atg5^{loxP/loxP} Cre mice developed normally before tamoxifen induction up to 10 weeks old. Cardiac-specific knockdown of *Atg5* in adult *Atg5^{loxP/loxP} Cre* mouse heart via tamoxifen induction led to time-dependent cardiac functional decline as evaluated by echocardiography. Ejection fraction and fractional shortening gradually decreased from the normal range at baseline to 24% and 14% at 17 months, respectively (Fig. 3A and B). Left ventricular internal diameter at end diastole (LVIDD) and end systole (LVIDS) were very significantly increased at 17 months compared with *Atg5^{loxP/loxP} Cre* mice fed with regular chow or *Cre* negative littermates fed tamoxifen diet (Fig. 3C and D). Heart weight was also very significantly increased in *Atg5^{loxP/loxP} Cre* mice with tamoxifen diet compared with *Cre* negative littermates (Fig. 3E). The cardiac function of *Atg5^{loxP/loxP} Cre* mice was not different from *Cre* negative littermates without tamoxifen induction. The survival rate of *Atg5* knockdown mice was markedly decreased with approximately 40% mice surviving to 17 months compared with 100% of *Cre* negative littermates and 92% of *Atg5^{loxP/loxP} Cre* mice without tamoxifen induction (Fig. 3F). Autopsy showed that premature death was caused by congestive heart failure.

Early acute RIC intervention rescues ATG5 protein and autophagy signaling defects in cardiac-specific *Atg5* knockdown mice

Our previous study showed that the cardioprotective effects of RIC in post-MI remodeling cardiomyopathy was associated with enhanced autophagy signaling [26]. We tested if RIC rescues cardiac-specific *Atg5* knockdown suppressed autophagy signaling and found that RIC modified multiple autophagy signaling proteins (Fig. 4A). Daily RIC for 7 days during tamoxifen induction reduced mTOR phosphorylation compared with sham RIC procedure (Fig. 4B), and also significantly increased ATG5 levels compared with sham (Fig. 4C). However, the ATG5 level was only partially rescued to just over 50% of control levels (Supplementary sFig.1). Other tested autophagy proteins, including Beclin and P62/SQSTM1, were also enhanced by RIC (Fig. 4D and E). LC3II/I ratio was also significantly enhanced by RIC compared with

sham treated animals (Fig. 4F). The reduced mTOR phosphorylation and enhanced autophagy protein levels are compatible with RIC-enhanced autophagy in *Atg5* KD hearts.

Early acute RIC enhances cardiac function in cardiac-specific *Atg5* knockdown mice

As showed in Fig. 5A, RIC improved cardiac contractility in the *Atg5* KD-induced cardiomyopathy animals. RIC significantly improved left ventricular ejection fraction and fraction shortening as evaluated by echocardiography (Fig. 5B and C). Besides functional improvement, RIC also prevented the heart weight increase seen in the sham RIC group (Fig. 5D).

Early acute RIC improved cardiac function is associated with improved myocardial remodeling in cardiac-specific *Atg5* knockdown mice

We investigated if the improved cardiac function in RIC treated *Atg5* knockdown mice is associated with myocardial remodeling. As showed in Fig. 6A, H&E staining showed that RIC reduced intermyofibrillar spacing suggesting reduced fibrosis. The extracellular collagen deposition determined by Sirius Red staining was significantly reduced in RIC treated *Atg5* knockdown mice compared with sham operations (Fig. 6A and B). Myocyte hypertrophy indicated by MCSA was also reduced by RIC compared with Sham (Fig. 6A and C).

Late chronic RIC rescues ATG5 protein and other autophagy signaling defects in cardiac-specific *Atg5* knockdown mice

Similar to early acute RIC, late chronic RIC modified autophagy proteins. As showed in Fig. 7, RIC decreased mTOR phosphorylation and increased ATG5 compared with sham RIC (Fig. 7A–C). The ATG5 level in the RIC group was 41% of normal control level (Supplementary Fig. 2). RIC also increased Beclin (Fig. 7D) but not p62 levels (Fig. 7E). However, the LC3 II/I ratio (Fig. 7F) was also induced, indicating autophagy was enhanced by RIC.

Late chronic RIC effectively prevented heart weight increase and was associated with non-significant improvement in functional characteristics

Though late chronic RIC tended to improve cardiac function (Fig. 8A–C) as evaluated by echocardiographic EF ($p < 0.13$) and FS ($p < 0.15$), this failed to reach statistical significance. However, RIC delivered per protocol B significantly

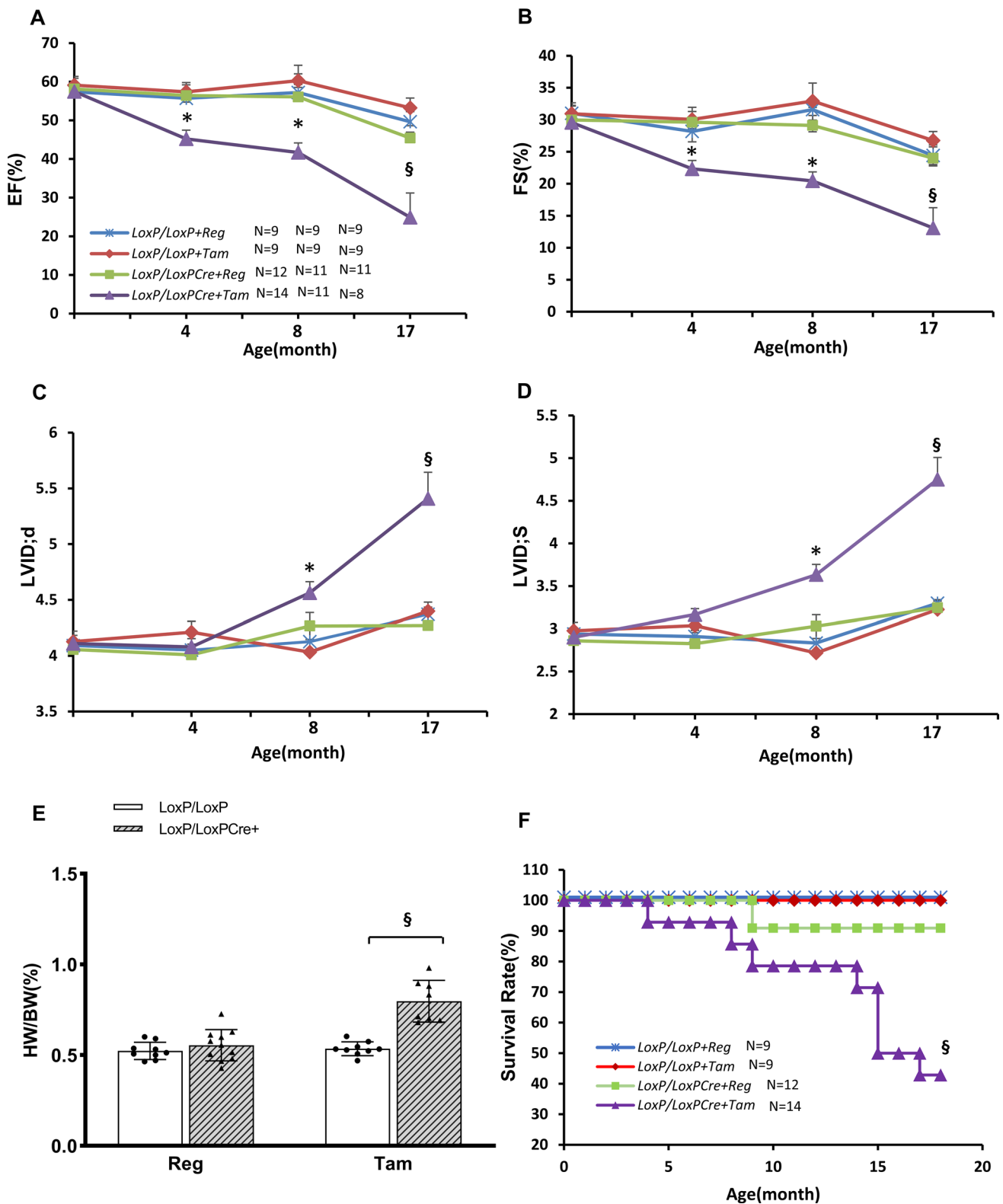
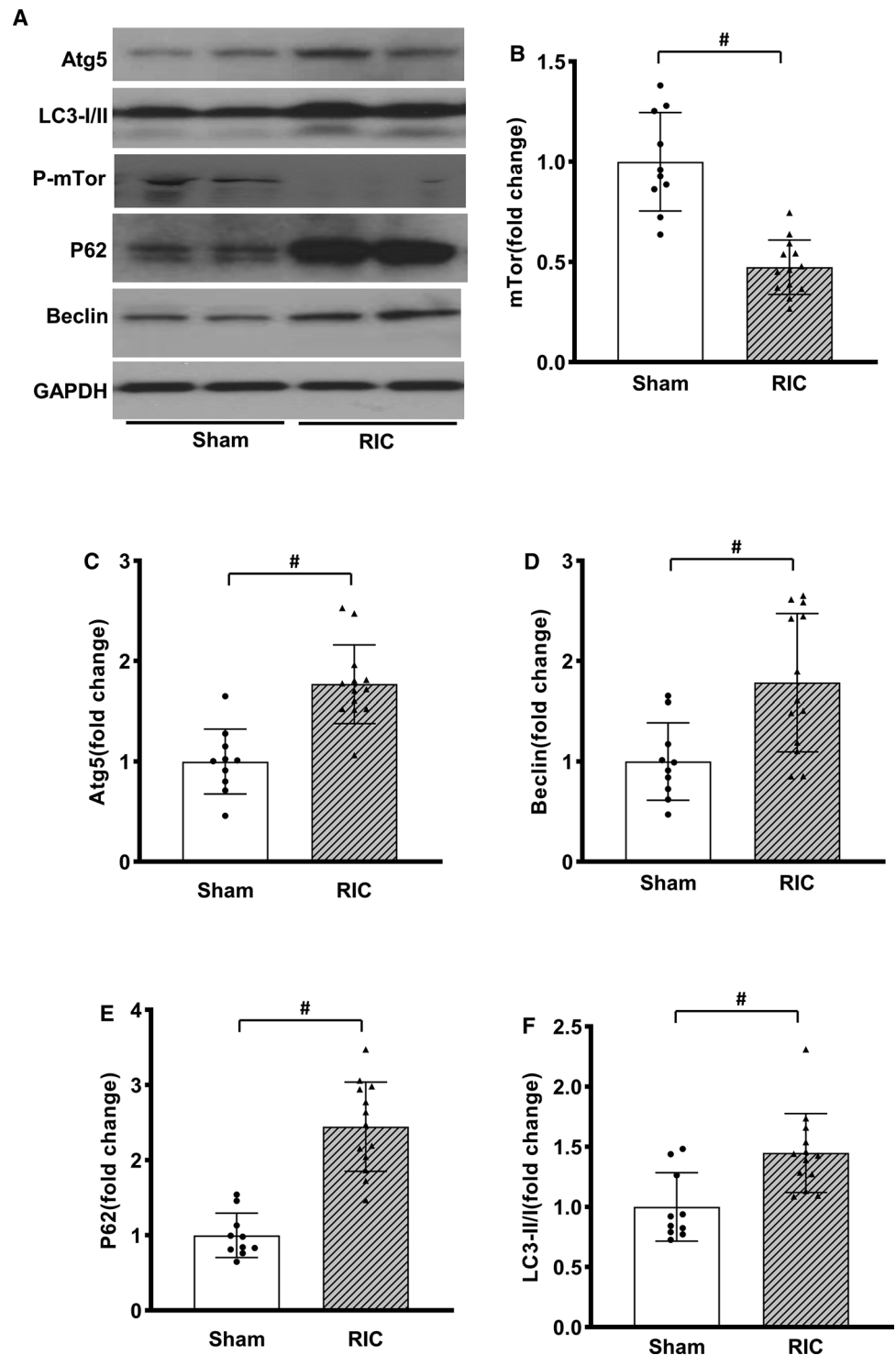


Fig. 3 *Atg5* knockdown impaired cardiac function and survival. Cardiac-specific knock down of *Atg5* induced time-dependent decreased in left ventricular ejection fraction (EF) (A) and FS (B), increased LVIDD (C) and LVIDS (D), increased heart weight/body weight ratio

(E), and decreased survival (F). Only animals alive at the end of the protocol were analyzed in panel E. * $p < 0.05$ and § $p < 0.01$ compared with *Atg5^{loxP/loxP}* fed the same diet

Fig. 4 Early acute RIC modified autophagy-related proteins in *Atg5* knockdown heart. **A** Representative Western blot images. RIC activated autophagy proteins in *Atg5* knockdown heart including phospho-mTOR (**B**), ATG5 (**C**), Beclin (**D**), and P62/SQSTM1 (**E**) compared with Sham operation. The ratio of LC3-II and I (**F**) was also increased compared with Sham RIC. # $p < 0.01$ compared with Sham

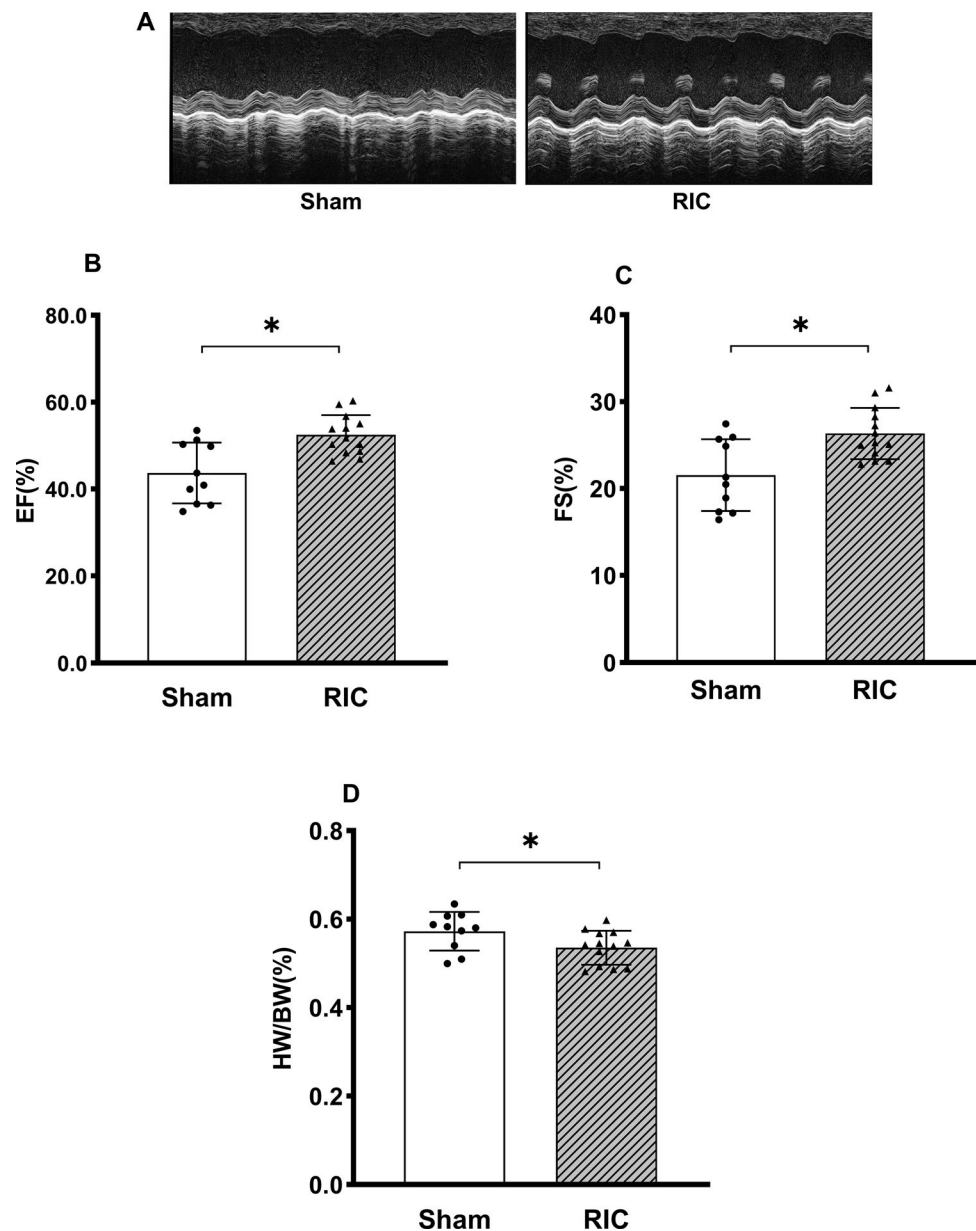


prevented heart weight increases compared with sham RIC (Fig. 8D). Late chronic RIC had non-significant survival benefit ($p < 0.31$, Fig. 8E).

Discussion

Autophagy is a critically important function for cardiac myocytes to maintain the quality of intracellular proteins and organelles. *Atg5* is a key protein involved in formation of the autophagosome, and gene knockdown in our study was associated with markedly increased phosphor-mTOR (a negative

Fig. 5 Effects of early acute RIC on cardiac function in *Atg5* knockdown cardiomyopathic mice. **A** Represent echocardiography images. Cardiac function was evaluated by echocardiography and quantified by EF (**B**) and FS (**C**). **D** Heart eight and body weight ratio. * $p < 0.05$ compared with Sham



regulator of autophagy) and reduced LC3-II (a microtubule-associated protein, which is recruited to autophagosomal membranes). There was also an increase of pro-autophagy associated proteins -P62/SQSTM1 (an autophagy receptor for ubiquitinated cargos via interaction with ubiquitin and LC3), LC3-I, and Beclin, presumably because of disruption of the autophagosome completion, downstream of their induction, but the net effect was a reduction of net autophagy as reflected by the decreased LC3-II/LC3-I ratio. We were initially surprised to find such a pronounced increase in mTOR with *Atg5* knockdown, but overexpression of p62 has been shown to activate mTOR in several models [41–43]. mTOR is a ubiquitously expressed core serine/threonine protein kinase component of mTOR complex 1 and mTOR

complex 2, which regulates multiple biological processes including protein synthesis, autophagy, gene transcription; and cell growth, proliferation, motility, and survival. Activation of mTOR increases protein synthesis and cell growth but suppresses autophagy. In contrast, inhibition of mTOR enhances autophagy but inhibits cell growth. mTOR can be activated by binding to partner proteins and phosphorylation at S1261, S2159, T2164, S2481, T2446, and S2448. Our data showed that *Atg5* KD increased p62 and phospho-mTOR (Fig. 2) and this is consistent with a previous report showing that liver-specific *Atg5* knock out mice had increased hepatic p62 and activation of mTOR [44].

Consequently, *Atg5* knockdown induced age-dependent reduction in cardiac function and shortened life span

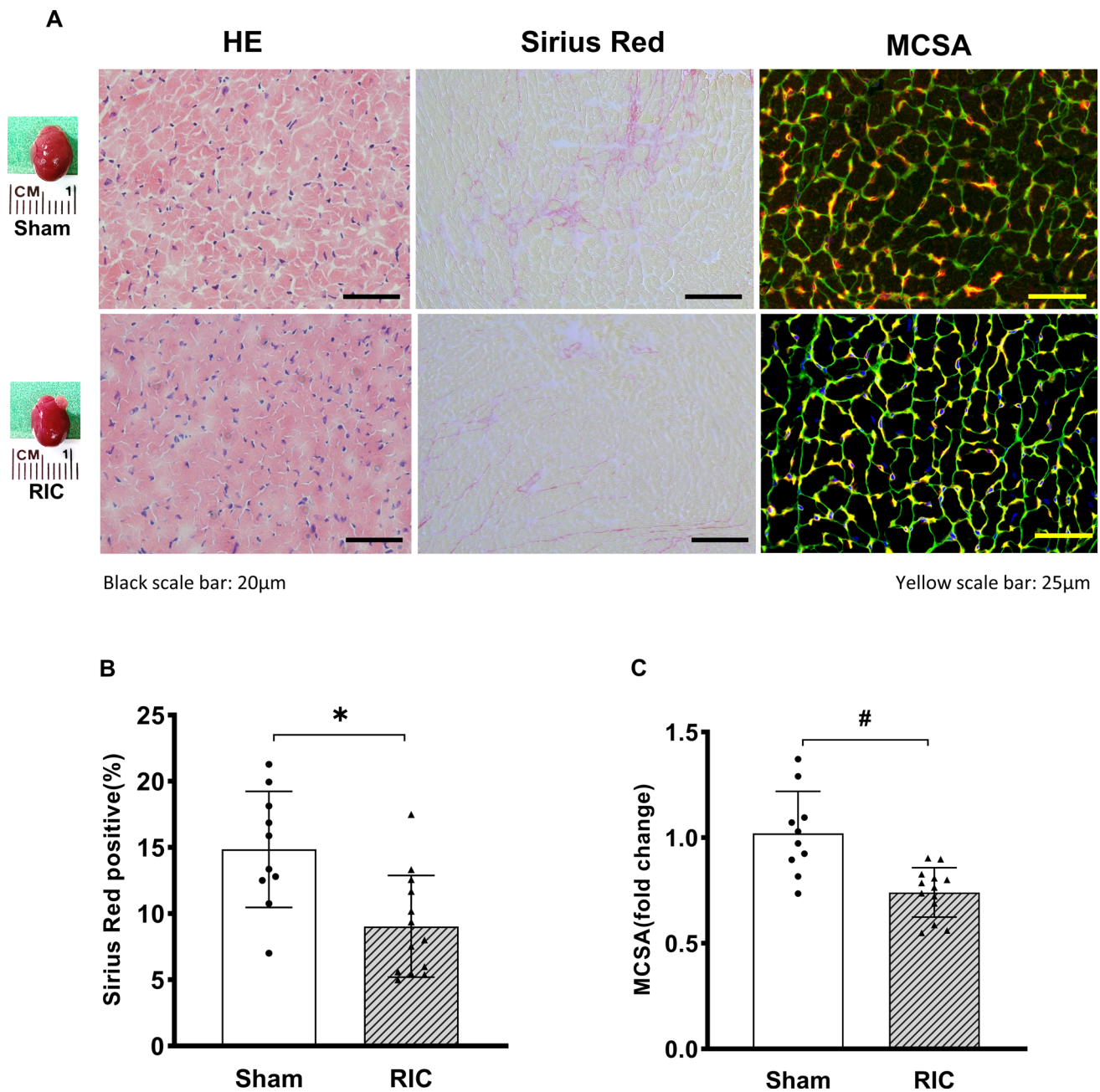
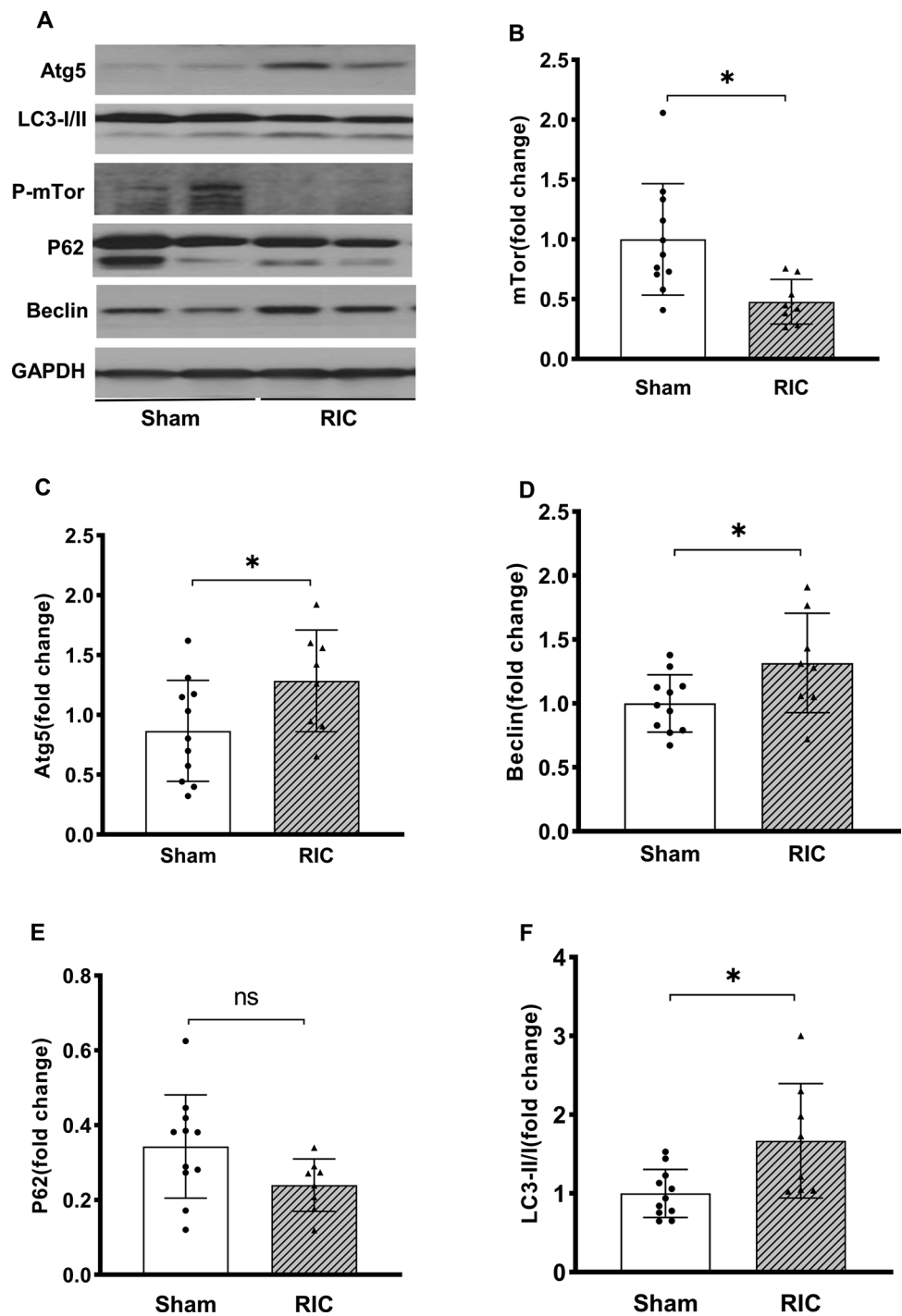


Fig. 6 Effects of early acute RIC on cardiac remodeling in *Atg5* knockdown mice. **A** Representative image for H&E, Sirius Red, and MCSA stains. Positive Sirius Red stains and MCSA were quantified in panel **B** and **C** respectively. * $p < 0.05$ and # $p < 0.01$ compared with Sham

(Fig. 3). These data are consistent with previous reports [20, 45] showing early onset, fatal, cardiac dysfunction in *Atg5* knockout mice. In our study, we were able to study the time course of functional decline over 17 months as the CRE level was limited by using heterozygous *Cre* animals. This is important as previous studies have shown that cardiac-specific overexpressed CRE itself can cause cardiomyopathy [35, 46]. The phenotype and myocardial biology in our model was quite different to the previously reported

‘cre-induced’ cardiomyopathy, which was uniformly fatal by 11 months of age in one long-term study. Reinforcing the difference, in our *Atg5^{loxP/loxP}Cre* animals without tamoxifen induction survival was 92% at 17 months, while *ATG5* knockdown reduced survival to 40% during the same period. The reduced survival was associated with clear differences in autophagy signaling in the *Atg5* knockdown mice, and markedly reduced left ventricular function, both of which were rescued by RIC.

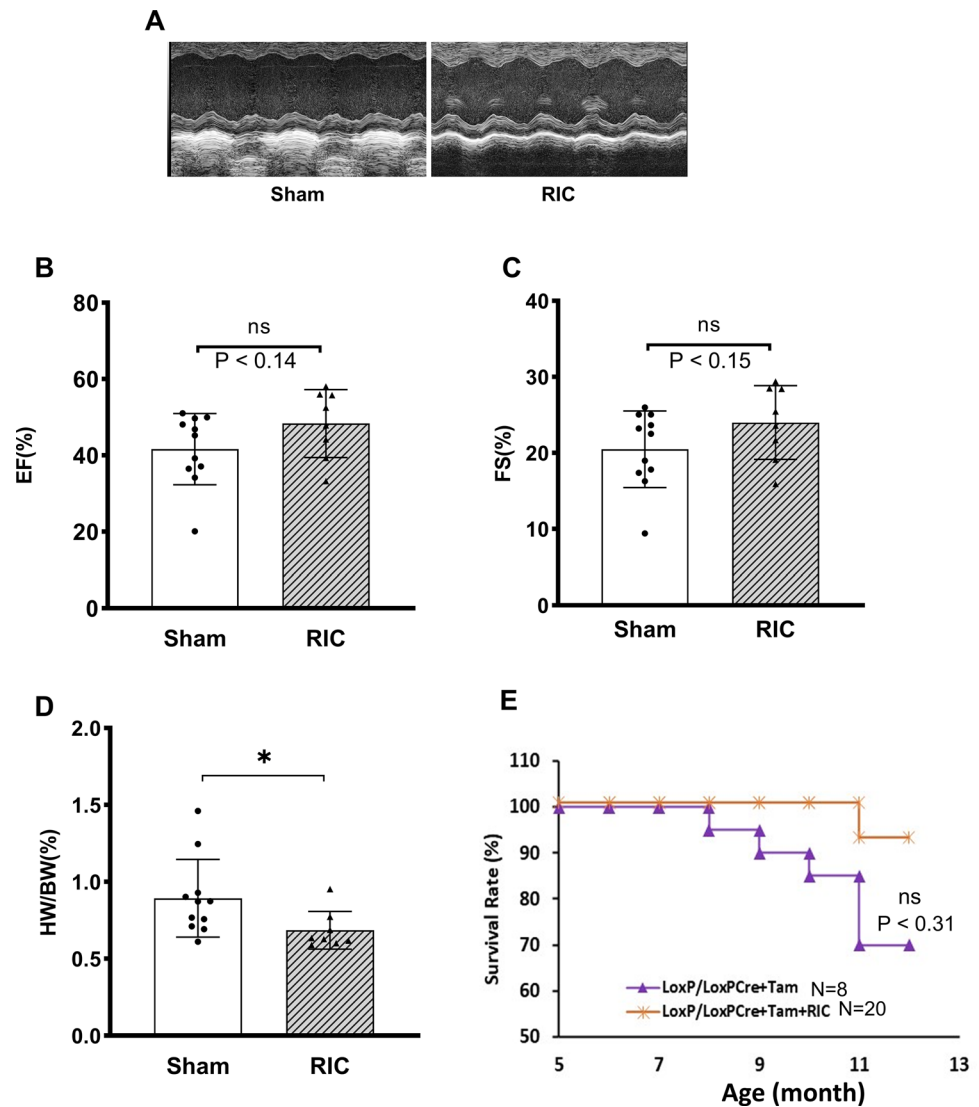
Fig. 7 Late chronic RIC modified autophagy-related proteins in *Atg5* knockdown heart. **A** Representative Western blot images. Late chronic RIC induced autophagy proteins in *Atg5* knockdown heart including phospho-mTOR (**B**), ATG5 (**C**), and Beclin (**D**) compared with Sham operation. **E** P62/SQSTM1. The ratio of LC3-II and I (**F**) was also increased compared with Sham RIC. **p* < 0.05 compared with Sham



Indeed, our data show that both RIC protocols increased autophagy signaling, including increased expression of ATG5 and Beclin, as well as a reduction in phospho-mTOR, leading to elevated autophagy as indicated by increased LC3-II/I ratio (Fig. 4 and 7). These findings are consistent with our previous reports showing that RIC increases autophagy signaling in several different models [25, 26, 47–49]. However, in some reports, albeit in different models with different RIC protocols (in large animals subjected

to intracoronary doxorubicin infusion [31], an acute human cardiac surgical intraoperative study [32] and doxorubicin toxicity in a mouse model [33]) have shown that RIC has no effect or even decreases autophagy signaling, emphasizing the need for disease-specific models in regard to the effects of RIC. Nonetheless, it is well known that impaired autophagy is associated with a variety of human diseases [3] including cardiovascular diseases. Some of these can be modeled in animals, whereby activation of autophagy

Fig. 8 Effects of late chronic RIC on cardiac function in *Atg5* knockdown cardiomyopathic mice. **A** Represent echocardiography images. Cardiac function was evaluated by echocardiography and quantified by EF (**B**) and FS (**C**). Heart weight and body weight ratio and survival data were summarized in panel **D** and **E**. * $p < 0.01$ compared with Sham



improves cardiac remodeling after myocardial infarction [50], and inhibition of autophagy induces cardiac hypertrophy [20], for example. Our data showed that RIC reduced *Atg5* knockdown-induced left ventricular remodeling as reflected by improved systolic function, increased cardiac myocyte cross sectional area, and reduced interstitial collagen deposition (Fig. 6). This effect is similar to the effects of RIC in other forms of cardiac remodeling and failure, including myocardial infarction [51] and chronic doxorubicin-induced cardiomyopathy [25]. We report, here for the first time, that RIC increases autophagy signaling in a genetic model of autophagy downregulation, suggesting that the beneficial effects of RIC may be relevant to human diseases associated with modified autophagy, whether induced by genetic polymorphisms or other manifestations of disease.

The cardiomyopathy phenotype in our study aligns well with that of many forms of human cardiomyopathy, in that it is relatively slowly progressive, with late mortality

associated with slow functional decline that is age-related [21]. There was therefore a potential ‘therapeutic window’ where RIC administration was able to show benefit on autophagy signaling and functional decline in our animals, that may also exist in patients with some forms of inherited and toxic-cardiomyopathies (e.g. anthracycline toxicity-related cardiomyopathy). In our study 40% of the *Atg5* KD mice survived to 17-month-old which equivalent to human age of approximately 60 years old [52], making it a unique model applicable to study the effect of other long-term interventions to treat heart failure. That said, we must be somewhat circumspect in regard to the clinical translatability in regards to the potential clinical benefit of RIC in our current study, as translation of the benefits of RIC shown in experimental and early phase clinical studies has frequently failed in phase III randomized clinical trials [27, 28]. The reasons for this have been widely debated. Multiple factors for lack of clinical translation

have been suggested, including older patient age [53], gender [30, 53], the effect of comorbidities such as diabetes [54], and the concomitant use of drugs that may block the RIC effect or themselves induce a ‘conditioned’ state [55]. Given that patients with inherited and other non-ischemic cardiomyopathies tend to be younger, with fewer age-related co-morbidities, there is perhaps some hope that if the beneficial effects of RIC are confirmed and optimized in future animal models, that translatability might be higher. The need for such optimization studies is crucial, particularly when RIC is being administered repeatedly and chronically given concerns regarding the potential adverse effects of hyperconditioning [56]. Furthermore, the current study, using daily administration of RIC to a single limb, while showing both short-term and long-term benefits, had somewhat different outcomes. It appears that RIC works better, at least in terms of functional outcomes, when used early, but further studies are clearly required.

Study limitations

Previous studies have shown that RIC may be more effective in males than females [53, 57]. Only male mice were used in this study and so similar experiments should be performed with females in future studies. While our data showed that RIC improved *Atg5* knockdown-induced myocardial remodeling was associated with marked differences in autophagy signaling, we are unable to prove a causal relationship, and other potential mechanisms of protection, such as the apoptosis pathway [25], and protein kinases [24], which are involved in RIC-induced cardioprotection, should be examined in future mechanistic studies. The late chronic RIC survival experiment was terminated prematurely due to the COVID-19 shut down and this limited our statistical power to show differences in functional outcomes, all of which trended towards improvement, but were not statistically significantly different. Nonetheless, the heart weight increase seen in sham-treated animals was significantly attenuated by chronic, late, RIC. Finally, as discussed above, the translatability of this technique, while technically straightforward, remains to be determined at a functional level. Future studies of the role of repeated RIC in human cardiomyopathy should concentrate on those phenotypes where early intervention might be applied to patients with a known progressive disease, rather than reversal of established end-stage disease, when and if the experimental data is mature enough to justify clinical trials. We would suggest that further experimental studies examining and optimizing dose response etc. are required prior to such studies, but the current study provides a rationale, and some optimism, for such studies to guide clinical translation.

Conclusions

Cardiac-specific *Atg5* knockdown leads to altered autophagy signaling and is associated with age-related cardiomyopathy and premature death. RIC is an effective approach to restore autophagy signaling, rescues *Atg5* knockdown-induced cardiomyopathy, and prolongs life. The benefits of RIC may potentially extend to other cardiovascular disorders associated with altered autophagy signaling.

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Declarations

Conflict of interest None of the authors have conflict of interests to report.

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