

In situ self-assembly of amphiphilic dextran micelles and superparamagnetic iron oxide nanoparticle-loading as magnetic resonance imaging contrast agents

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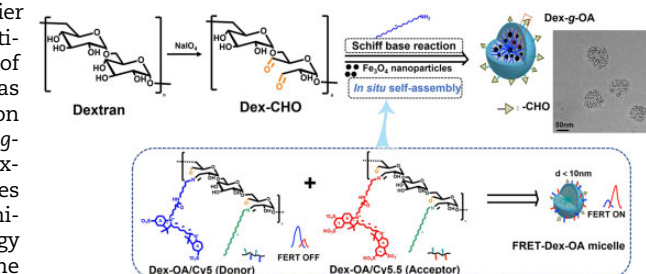
Abstract

Polymeric micelles have long been considered as promising nanocarrier for hydrophobic drugs and imaging probes, due to their nanoscale particle size, biocompatibility and ability to loading reasonable amount of cargoes. Herein, a facile method for dextran micelles preparation was developed and their performance as carriers of superparamagnetic iron oxide (SPIO) nanocrystals was evaluated. Amphiphilic dextran (Dex-g-OA) was synthesized via the Schiff base reactions between oxidized dextran and oleylamine, and self-assembled *in situ* into nano-size micelles in the reaction systems. The self-assembling behaviors of the amphiphilic dextran were identified using fluorescence resonance energy transfer technique by detection the energy transfer signal between the fluorophore pairs, Cy5 and Cy5.5. Hydrophobic SPIO nanoparticles (Fe_3O_4 NPs) were successfully loaded into the dextran micelles via the *in situ* self-assembly process, leading to a series of Fe_3O_4 NPs-loaded micelle nanocomposites ($\text{Fe}_3\text{O}_4@ \text{Dex-g-OA}$) with good biocompatibility, superparamagnetism and strongly enhanced T_2 relaxivity. At the magnetic field of 0.5 T, the $\text{Fe}_3\text{O}_4@ \text{Dex-g-OA}$ nanocomposite with particle size of 116.2 ± 53.7 nm presented a higher T_2 relaxivity of $327.9 \text{ mM}^{-1} \cdot \text{s}^{-1}$. The prepared magnetic nanocomposites hold the promise to be used as contrast agents in magnetic resonance imaging.

Keywords: micelle; dextran; superparamagnetic iron oxide; magnetic resonance imaging; Schiff base

Introduction

Polymeric micelles, which were formed by self-assembling of amphiphilic polymers in aqueous solution, have drawn significant attention as promising nanocarriers for poor water-soluble drug and imaging probe delivering [1–3]. Take advantages of their unique nanosized core/shell structure, hydrophobic drugs or nanoparticles can be encapsulated effectively inside the lipophilic cores, whereas the hydrophilic shell endow the resulted nanocomposites with excellent biocompatibilities and simplification for further ligand coupling. Magnetic nanoparticles, especially well-dispersed superparamagnetic iron oxide nanoparticles (SPIO NPs), have been clinically applied for magnetic resonance imaging (MRI) contrast enhancement. However, high quality SPIO NPs (e.g. Fe_3O_4 nanocrystals) with controlled particle size and better superparamagnetism are usually synthesized via high temperature decomposition of metal complexes in organic phase [4, 5]. Therefore, polymeric micelle encapsulation is considered as a useful surface engineering strategy to obtain SPIO nanocomposites with improved water solubility, biocompatibility and similar magnetic properties to the original SPIO NPs. Moreover,



surface coating by amphiphilic polymers is reported as an important factor in modulating the relaxation rate of SPIO-based MRI contrast agent. As reported by previous studies [6–8], clusters of SPIO NPs aggregated inside the hydrophobic core of micelle indicated much better MRI contrast compared with the single SPIO NPs.

To form polymeric micelle structures, amphiphilic comb-like graft copolymers are widely researched, especially those developed from natural polysaccharides, due to their intrinsic biocompatibility [9]. Amongst those widely used polysaccharides, dextran is a microbial origin natural polysaccharide, which has long been investigated as valuable antithrombotic and plasma volume expander in clinical setting and has become of interest as biomaterials. Nanoplatfroms developed from amphiphilic dextran have attracted many researchers' interests as carrier materials because of their excellent biocompatibility, zero net charge and abundant hydroxyl for further chemical coupling reactions. Consequently, dextran micelles were considered as an attractive candidate for SPIO nanoparticles encapsulation to form water-

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stable colloids for biomedical applications [10, 11]. Thus, it is very interesting to develop amphiphilic dextran and corresponding micelles for SPIO NPs-loading and study its feasibility as MRI contrast agent.

Amphiphilic dextran is typically synthesized by the conjugation of hydrophobic sidechains onto the hydroxyl functionality, leading to 'grafted' dextran derivatives [12]. Hydrophobic small molecules such as stearic acid and cholesterol are commonly conjugated through the 'grafting onto' approach [10, 13], while synthetic polymers (e.g. polycaprolactone, PCL) can be introduced either by the 'grafting onto' protocol or the 'grafting from' method using dextran as macroinitiator under high temperature [14]. Generally, several chemical processes including group activation, polymerization reaction and purification process are always involved for the preparation of amphiphilic dextran and corresponding micelle structures. Therefore, this study aims to develop facile protocols for the synthesis of dextran micelle and investigate its performance as vehicle for SPIO NPs encapsulation.

Periodate oxidation, which can convert 1,2-dihydroxyl groups to paired aldehyde groups, has long been used for industrial production of oxidized starch [15, 16]. The oxidized dextran, also known as polyaldehyde dextran, is widely researched as multivalent drug carrier due to the chemical reactivity of aldehyde groups [17, 18]. Typically, the pendent aldehyde groups on the oxidized dextran are available to form Schiff base in presence of primary amines under mild reaction conditions [19, 20], which is demonstrated as an effective protocol to form hydrophobized

dextran for surface functionalization of magnetic nanoparticles [21]. On this basis, a facile method for the preparation of amphiphilic dextran derivative was developed herein via the periodate oxidation and Schiff base reaction of dextran. Corresponding micelle nanoparticles were formed by an *in situ* assembly process and investigated for the encapsulation of SPIO NPs. As shown in Fig. 1, polyaldehyde dextran (Dex-CHO) was prepared by periodate oxidation of dextran, and hydrophobic oleylamine (OA) sidechains were conjugated onto the dextran backbones via the ultrasonication-assisted Schiff base reaction. The resulted amphiphilic dextran (Dex-g-OA) would self-assemble into nanosized micelles *in situ* in the reaction solution (THF/H₂O or CH₂Cl₂/H₂O), which was proved and monitored by the fluorescence resonance energy transfer (FRET) technology. The *in situ* self-assembly process was then applied successfully for the encapsulation of hydrophobic iron oxide nanoparticles (Fe₃O₄ NPs), leading to a series of superparamagnetic nanocomposites as MRI contrast agents. It is noteworthy that the *in situ* self-assembly protocol may provide a promising methodology for the surface engineering of hydrophobic nanoparticles with low cost and energy consume.

Materials and methods

Materials

Dextran T40 (Mw = 40 000 Da) and sodium periodate (NaIO₄, AR, 99.5%) were purchased from Aladdin CO. Ltd. (Shanghai, China). Sulfo-Cyanine 5 amine (sulfo-Cy5-NH₂) and Sulfo-Cyanine 5.5

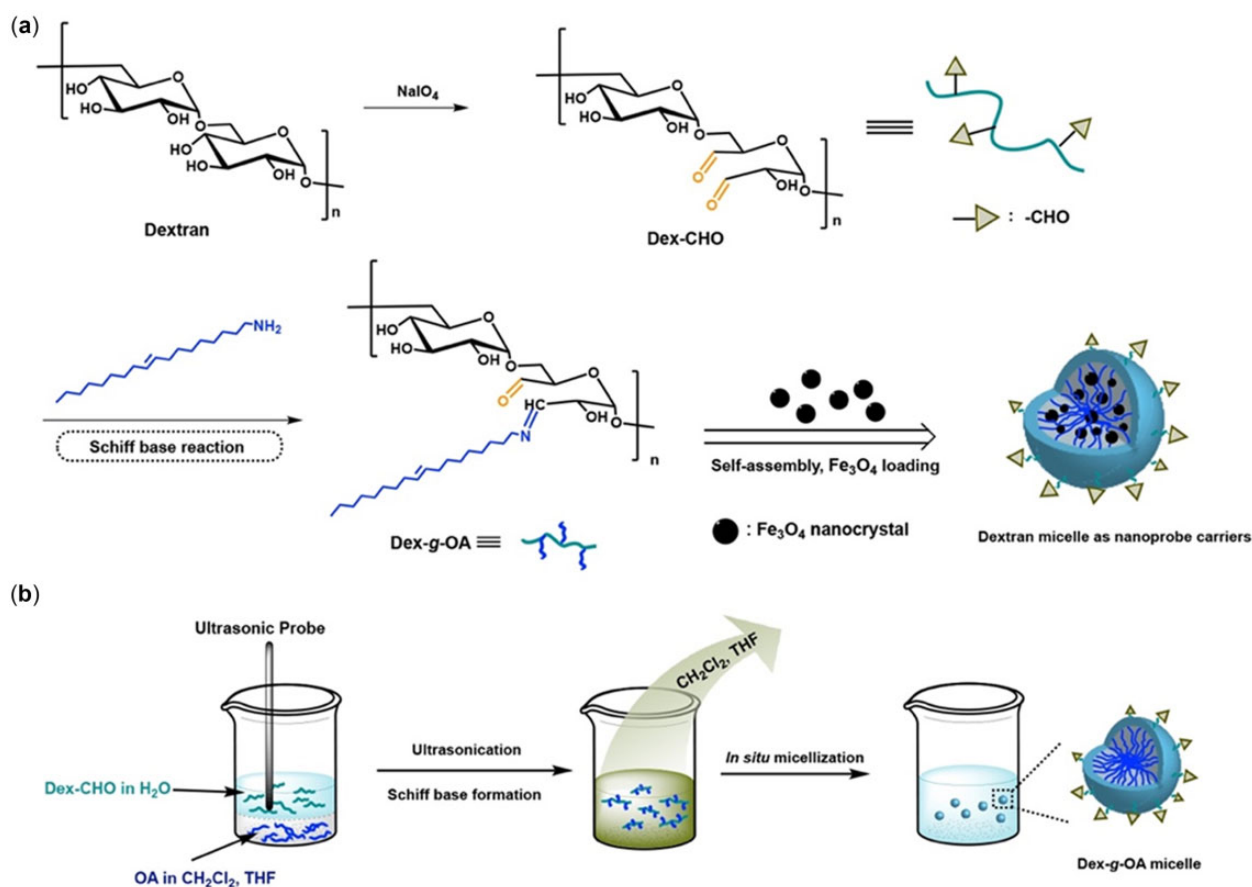


Figure 1. Schematic illustration of the preparation of amphiphilic dextran (Dex-g-OA) and Fe₃O₄ NPs-loaded dextran micellar nanocomposites (a), and the *in situ* self-assembly process (b). Note: vicinal diols on a glucose moiety may be attacked by periodate ions between both the C2–C3 or C3–C4 in the oxidation process of dextran, and only cleavage at C3–C4 bond is shown in the scheme.

amine (sulfo-Cy5.5-NH₂) were purchased from Xi'an Ruixi Biological Technology CO. Ltd (Xi'an, China). Iron (III) acetylacetonate (Fe(acac)₃, AR, 97%), 1,2-hexadecanediol (AR, 90%), benzyl ether (AR, 98%), potassium ferrocyanide (AR, 99%), oleic acid (AR, 70%) and oleylamine (OA, AR, 70%) were purchased from Sigma-Aldrich Corporation. All solvents and reagents were used as received. Dulbecco's modified Eagle medium and fetal bovine serum were purchased from Invitrogen (California). The human liver cancer cell line HepG2 was obtained from the American Type Culture Collection.

Synthesis of amphiphilic Dex-g-OA micelles

Oxidized dextran (also known as polyaldehyde dextran, Dex-CHO) was prepared by the periodate oxide of dextran with sodium periodate (mole ratio of glucose/IO₄⁻ at 1:1), and the oxidized degree was determined as 58% by hydroxylamine hydrochloride titration following previous reports [22, 23]. Briefly, 5.0 g of dextran was dissolved in double distilled water (50 ml), NaIO₄ (6.59 g) was then added into the resulted solution. After stirring for 4 h at room temperature, an equimolar amount of glycerol was dropped into the reaction, and the resulted mixture was dialyzed against deionized water for 3 days, solid Dex-CHO was then obtained after lyophilization. Subsequently, OA molecules were grafted onto the dextran backbone via Schiff base linkages and Dex-g-OA micelles were formed as follow: oxidized dextran (25.0 mg) was dissolved in 5 ml of double distilled water, 9.6 mg of the oleylamine in 0.5 ml of THF (or CH₂Cl₂) was then added dropwise into the Dex-CHO solution under ultrasonication (70% amplitude, probe ultrasonicator, 1.0 min, SCIENTZ-JY96-IIN, China). The mole ratio of -CHO/-NH₂ keep constant at 5/1 for all these formulations. The resultant emulsion was shaken at room temperature until THF (or CH₂Cl₂) was completely evaporated, leading to the formation of amphiphilic Dex-g-OA and in situ self-assembly of Dex-g-OA micelles. Dynamic light scattering (DLS) size distribution and zeta-potential of the resulted micelles were studied by a Nanosizer (Zetasizer Nano ZS, Malvern, UK). A field emission scanning electron microscope (FE-SEM, FEI Nova Nano SEM 450, USA) was used to observe their size and morphology. All these samples were diluted 10-fold with SPIO concentration at 0.01 mg ml⁻¹.

In order to confirm its molecular structure, the obtained Dex-g-OA polymer was precipitated in ethyl alcohol and washed three times. After being dried in vacuum, the products were characterized by nuclear magnetic resonance (¹H-NMR, AM400, Bruker, Switzerland) and Bruker Tensor 27 FT-IR spectrometer.

Critical micelle concentration measurement of the Dex-g-OA

Critical micelle concentration (CMC) of the Dex-g-OA was determined by fluorescence probe based on pyrene using luminescence spectrometer (F-7500, Hitachi, Japan) [24]. Briefly, Pyrene was dissolved in acetone with low concentration at 6×10^{-5} mol·l⁻¹ and quantitatively added into a series of containers, after acetone was evaporated completely, aqueous solution of pre-prepared Dex-g-OA micelles with various concentration were added into these containers and shaken for 24 h. Fluorescence spectra of each sample was performed with an optimal emission wavelength at 395 nm, and CMC value of the Dex-g-OA was measured from fluorescence intensity ratio between 338 and 334 nm (I_{338}/I_{334}).

Fabrication of Cy@Dex-g-OA FRET micelles and FRET ratio calculation

In order to detect the self-assembly of Dex-g-OA and formation of micelle structures, Cy5- or Cy5.5-labeled Dex-g-OA were synthesized and Cy@Dex-g-OA FRET micelles were prepared. Briefly, a solution of sulfo-Cy5-NH₂ or sulfo-Cy5.5-NH₂ in water (1.0 mg) was added into an aqueous solution of Dex-CHO while magnetically stirring. The mole ratio of the fluorescent dye to the glucose unit was kept at 1/2000. The resultant solution was stirred for 48 h in dark, and then dialyzed (MWCO: 7000 Da membrane) against deionized water in dark until no fluorescence was detected in the dialysate. The Cy5- or Cy5.5-labeled dextran (Cy5@Dex-CHO or Cy5.5@Dex-CHO) were lyophilized and leading to blue or green powder.

Cy@Dex-g-OA FRET micelles were then fabricated as follows: Cy5@Dex-CHO (10 mg) and Cy5.5@Dex-CHO (15 mg) was dissolved in 5 ml H₂O (mole ratio of Cy5/Cy5.5 at 1:1), 9.6 mg of the oleylamine in 0.5 ml of THF (or CH₂Cl₂) was then added dropwise into the resulted solution under ultrasonication (70% amplitude, probe ultrasonicator, 1.0 min, SCIENTZ-JY96-IIN, China). The resulted mixture was shaken at room temperature to evaporate the organic solvent (THF or CH₂Cl₂), at selected time interval, fluorescence spectra of the mixture was measured using a fluorescence spectrometer (F-4600 FL, Hitachi, Japan) with an excitation wavelength of 640 nm and slit width of 5 nm. Emission spectra were collected from 500 to 800 nm, and the FRET ratio (*r*) was calculated from the intensity of the fluorescence at 680 and 720 nm using the following equation.

$$r = I_A / (I_D + I_A),$$

where *I_A* and *I_D* were the fluorescence intensities of Cy5.5 (acceptor) and Cy5 (donor) at 695 nm and 665 nm, respectively.

Synthesis of Fe₃O₄-loaded Dex-g-OA micelles

Superparamagnetic Fe₃O₄ nanocrystals used in this study were synthesized via the thermal decomposition process of Fe(acac)₃, following the method developed by Sun *et al.* [4]. Briefly, mixture of Fe(acac)₃ (2 mmol), 1,2-Hexadecanediol (2 mmol), oleic acid (2 mmol) and oleylamine (2 mmol) in 20 ml benzyl ether was heated to 200°C and maintained for 2 h, and then heated to reflux for 1 h. After cooling to room temperature, the resulted Fe₃O₄ nanocrystals were precipitated using ethyl acetate and then dispersed in hexane.

Fe₃O₄-loaded Dex-g-OA nanocomposites (Fe₃O₄@Dex-g-OA) were then prepared by similar process for the Dex-g-OA micelle formation, with formulation of Dex-CHO/Fe₃O₄ (mass) = 3/1 (M1), 5/1 (M2), 7/1 (M3), 10/1 (M4) and 100/1 (M5). Briefly, Fe₃O₄ NPs (1.0 mg) and OA (the amount of OA was calculated with -CHO/-NH₂ mole ratio at 5/1) was dispersed in 1.0 ml THF, the resulted mixture was dropped into 10 ml of aqueous solution of Dex-CHO (3.0, 5.0, 7.0, 10.0 or 100 mg) under ultrasonication (70% amplitude, 1 min, probe ultrasonicator, SCIENTZ-JY96-IIN, China). Fe₃O₄@Dex-g-OA nanocomposites in water were obtained after evaporation of THF. Morphology and particle size of the Fe₃O₄-loaded nanocomposites were studied with FE-SEM, transmission electron microscopy (HRTEM, FEI, Tecnai G2 F30 S-Twin, 300 kV) and DLS measurement. Energy disperse spectroscopy (EDS) elemental distribution was monitored by an Octane Pro energy dispersive X-ray spectrometer.

In vitro cytotoxicity and cell labeling study

Firstly, the cytotoxicity of $\text{Fe}_3\text{O}_4@\text{Dex-g-OA}$ nanocomposites against HepG2 cells was investigated by the Cell Counting Kit-8 (CCK-8) method. Briefly, HepG2 cells were seeded in 96-well plates at density of 5×10^3 cells per well. After cultured for 24 h at 37°C , 5% CO_2 incubator, the medium was removed and replaced with fresh medium containing $\text{Fe}_3\text{O}_4@\text{Dex-g-OA}$ (M1, M2, M3, M4 and M5) to make a final Fe concentration of $10 \mu\text{g ml}^{-1}$ and different Fe concentration (4, 6, 8, 10, $12 \mu\text{g ml}^{-1}$) of the M3. After another 24 h incubation, the medium was removed, and the cells were washed with PBS. Then, 200 μl of medium containing 10% CCK-8 reagent was added to each well followed by 6 h of incubation at 37°C , 5% CO_2 incubator. Cell viability was determined by measuring the absorbance of each well at 450 nm using a Max M5 microplate reader (Molecular Devices, CA, USA). All experiments were conducted in quadruplicate.

Then, HepG2 cells were seeded on six-well tissue culture plates at 2×10^5 cells per well and cultured for 24 h before labeling. The medium was removed from each well and cells were washed twice with PBS, and the $\text{Fe}_3\text{O}_4@\text{Dex-g-OA}$ nanocomposite (M1, M2 and M3) in medium were added to the HepG2, leading to a final concentration of iron at $10 \mu\text{g ml}^{-1}$. The cell cultures were kept for 24 h at 37°C , 5% CO_2 incubator. The medium was then removed, and cells were washed two times with PBS. The $\text{Fe}_3\text{O}_4@\text{Dex-g-OA}$ labeling efficiency of HepG2 was examined with Prussian blue staining. Briefly, the labeled cells were fixed with 4% paraformaldehyde, followed by incubation at room temperature for 30 min. The cells were then washed with PBS and incubated for 4 h with 5% potassium ferrocyanide in 10% hydrochloric acid. Finally, cells were washed again with PBS and observed using an optical microscope.

Magnetization and T_2 relaxivity studies

Magnetic property of the $\text{Fe}_3\text{O}_4@\text{Dex-g-OA}$ nanocomposites in aqueous phase were studied using an MPMS3 Quantum Design

SQUID magnetometer (Quantum Design Inc., USA) at 300 K with the scope of -30 to 30 kOe , four quadrants. Solid content of the samples was measured after lyophilization.

T_2 relaxivity of the $\text{Fe}_3\text{O}_4@\text{Dex-g-OA}$ nanocomposites was measured at 0.5 T on an NMR relaximetry (PQ001-20-015V, Shanghai Niumag company LTD, China). The transverse relaxation times (T_2) of a series of aqueous solution of the $\text{Fe}_3\text{O}_4@\text{Dex-g-OA}$ nanocomposites with different Fe concentrations were measured. Relaxivity (r_2) value was calculated through the curve fitting of $1/T_2$ relaxation time (s^{-1}) vs the iron concentration (mM).

Results and discussions

In situ formation of Dex-g-OA micelles

Amphiphilic Dex-g-OA were prepared conveniently by Schiff base reactions between oleylamine (OA) and polyaldehyde dextran (Dex-CHO) in aqueous solution at room temperature, and corresponding micelles were 'one-pot' formed *in situ* in the reaction system, as shown in Fig. 1b. SEM study of the resulted aqueous mixture shown in Fig. 2 indicated that nanosized Dex-g-OA micelles were successfully formed both in the THF/ H_2O (Fig. 2a) and $\text{CH}_2\text{Cl}_2/\text{H}_2\text{O}$ (Fig. 2b) systems. DLS measurements in Fig. 2c showed that the mean diameters of the Dex-g-OA micelles were 338.1 and 549.7 nm, respectively. Both the SEM and DLS demonstrated that amphiphilic dextran derivative (Dex-g-OA) were successfully formed and self-assembled into nano-scale micelles *in situ* by the 'one-pot' process. However, the complicated components and combination of several processes (e.g. formation of Dex-g-OA polymer and self-assembly of Dex-g-OA may occur simultaneously) in the reaction system may lead to the broad particle size distribution, which was described as 'the more components are present in the solution, the more complex is the self-assembly process' by Eisenberg *et al.* [25].

In addition, CMC measurement via the fluorescence spectra (Supplementary Fig. S1) showed that both the obtained Dex-g-OA have CMC value at the same order of magnitude of $10^{-2} \text{ mg ml}^{-1}$.

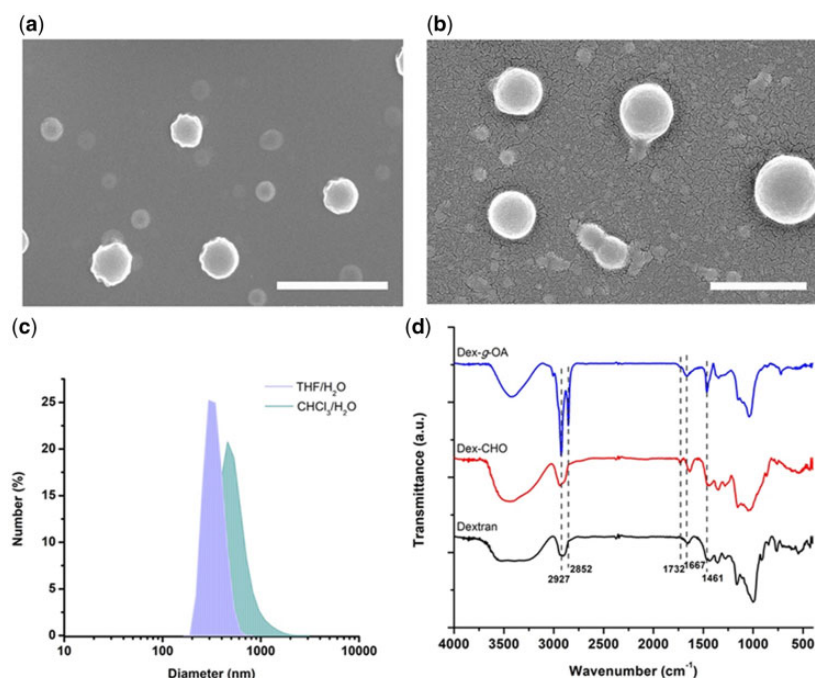


Figure 2. SEM images of Dex-g-OA micelles formed *in situ* in the reaction solution (a) THF/ H_2O , (b) $\text{CH}_2\text{Cl}_2/\text{H}_2\text{O}$ (scale bar: 2 μm); (c) DLS size distribution of the micelles; (d) FT-IR spectra of the dextran, Dex-CHO and Dex-g-OA synthesized in THF/ H_2O system.

Interestingly, the CMC value of Dex-*g*-OA prepared in CH₂Cl₂/H₂O (6.8×10^{-2} mg ml⁻¹) is slightly higher than that formed in THF/H₂O (3.9×10^{-2} mg ml⁻¹), indicating that the corresponding Dex-*g*-OA micelles assembled in THF/H₂O solution is more stable. The phenomenon may be caused by a different chain stretching behavior (solubility parameter) of OA molecule in the common solvent (CH₂Cl₂ or THF) [25, 26], which may also lead to the larger particle size of Dex-*g*-OA micelles prepared in CH₂Cl₂/H₂O system. Hence, Dex-*g*-OA micelles formed in THF/H₂O system were applied in the following study.

To further prove the structure of amphiphilic dextran (Dex-*g*-OA), FT-IR and ¹H NMR analysis of the resulted products were then carried out. As shown in Fig. 2d, absorption peaks at 1732 cm⁻¹ corresponding to the stretching vibration of carbonyl from an aldehyde group (C=O in -CHO groups) on the spectrum of Dex-CHO was strongly weakened after the grafting of OA molecules, leading to the Schiff base bonds (C=N) as junctions between the dextran backbones and the hydrophobic OA sidechains. Therefore, characteristic band corresponding to the stretching of C=N- and C=C- bonds (1690–1620 cm⁻¹) was observed in the spectra of Dex-*g*-OA at 1667 cm⁻¹, which may be slightly overlapped with the vibration band of water molecule at about 1640 cm⁻¹. Moreover, absorption peaks at 1461, 2852 and 2927 cm⁻¹ corresponding to the -CH₂- and -CH₃ bonds on the OA chains were clearly observed in the spectrum of Dex-*g*-OA, indicating the successful formation of Dex-*g*-OA.

The amphiphilic Dex-*g*-OA polymer prepared by the 'one-pot' method in THF/H₂O was also characterized by NMR spectroscopy, and the pure dextran T40 and Dex-CHO were both measured for comparison. As shown in Fig. 3a, peaks in the ¹H NMR spectrum of dextran between δ 3.0 and 4.0 are attributed to protons at positions 2, 3, 4, 5 and 6, while chemical shift for the

anomeric proton (H₁) and protons from hydroxy groups (OH_{2,3,4}) at the glucose unit are found between δ 4.3 and 5.1. After the periodate oxidation, distinctive peaks between δ 3.0 and 6.0 are observed obviously in the spectrum of Dex-CHO (Fig. 3b), which are likely assigned to protons at the glucose residues and oxidized residues according to previous literatures [27]. However, detailed assignment of those chemical shifts is complicated due to the formations of intra or inter-chain hemiacetals from the aldehyde groups and nearby hydroxyl groups [28, 29]. Moreover, the vicinal diols on glucose unit can be attacked by periodate ions both between C₃-C₄ or C₂-C₃ in the oxidation process, and even second oxidation would happen along with releasing of formic acid. According to Ishak and Painter [28], the cleavage at C₃-C₄ bond is much more favored comparatively to the cleavage at C₂-C₃ bond, therefore, Dex-CHO with C₃-C₄ cleavage bond is represented in the scheme. In the spectrum of Dex-*g*-OA (Fig. 3c), chemical shift of the grafted OA sidechains was showed as below: δ 0.83 (-CH=N-(CH₂)₇CH₂CH=CHCH₂(CH₂)₆CH₃, a, 3H), 1.23 (-CH=N-(CH₂)₇CH₂CH=CHCH₂(CH₂)₆CH₃, b, 26H), 1.75 (-CH=N-(CH₂)₇CH₂CH=CHCH₂(CH₂)₆CH₃, c, 4H), 5.35 (-CH=N-(CH₂)₇CH₂CH=CHCH₂(CH₂)₆CH₃, a, 2H), and a weak peak corresponding to the chemical shift for the proton on Schiff base (-CH=N-, *, 1H) was observed at δ 7.98, indicating the successful graft of OA onto the backbone of Dex-CHO via Schiff base formation. Moreover, the substitution degree (SD) of OA calculated from the ¹H NMR spectrum of Dex-*g*-OA was about 18%, which means there are approximate 18 OA chains grafted onto 100 of the residue saccharide units, and similar OA SD was determined from the Dex-*g*-OA obtained in CH₂Cl₂/H₂O (Supplementary Table S1 and Fig. S2). According to the OA SD, the theoretical average molecular weight of amphiphilic dextran units is about 205.2 Da.

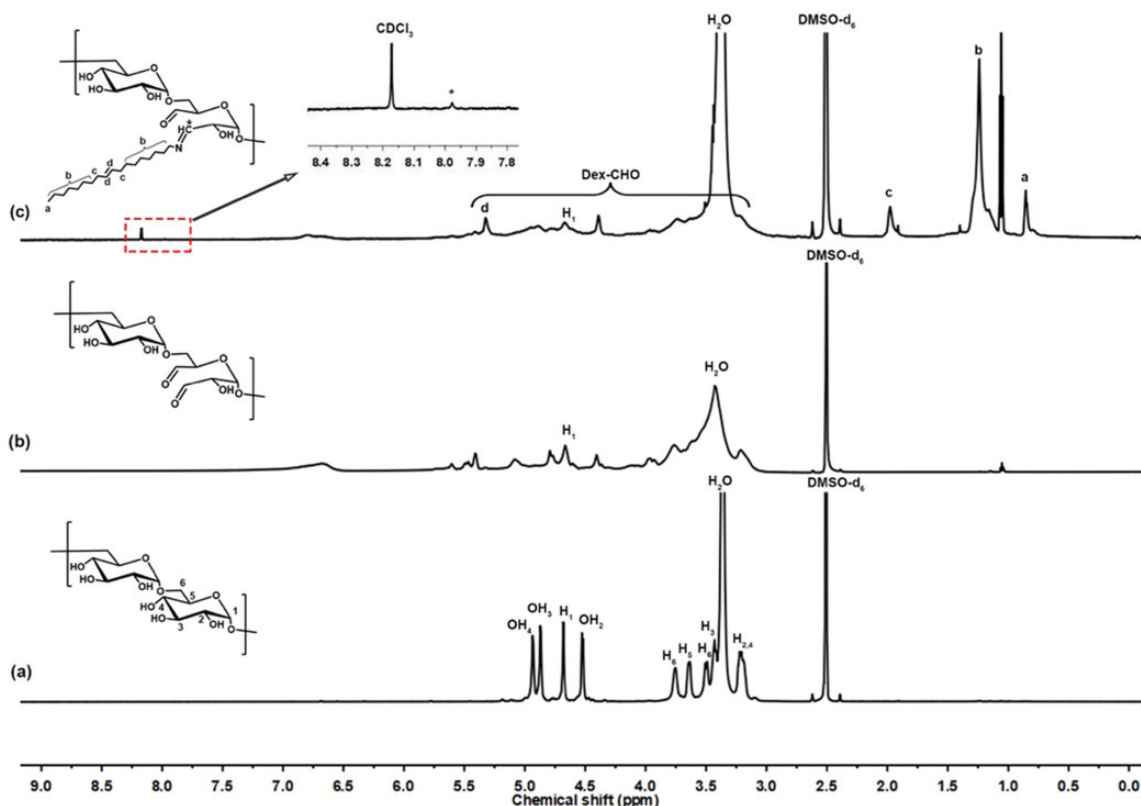


Figure 3. ¹H NMR spectra of the dextran (DMSO-*d*₆) (a), Dex-CHO (DMSO-*d*₆) (b) and Dex-*g*-OA (synthesized in THF/H₂O, CDCl₃/DMSO-*d*₆(v:v) = 1/5) (c).

FRET study of Dex-g-OA micelle

FRET-based technology has long been considered as an effective tool for investigating the structure, drug/nanoparticle loading, stimuli-responses and release kinetics of polymeric micelles [30–32]. Notably, the self-assembling behaviors of amphiphilic polymers can be assessed by FRET probes labeling and detection of their energy transfer signal along with the distance changes between the two fluorophores. In this study, the extensively used near-infrared dyes, water soluble Cy5 (Sulfo-Cy5-NH₂) and Cy5.5 (Sulfo-Cy5.5-NH₂) were used as an FRET pair, and conjugated separately onto the backbone of Dex-CHO. After grafting of OA sidechains, a pair of Cy-labeled amphiphilic dextran (Cy5@Dex-g-OA and Cy5.5@Dex-g-OA) were formed and self-assembled into Cy@Dex-g-OA micelles *in situ* in the reaction systems, as shown in Fig. 4a, the FRET phenomenon would be observed if the fluorophores pair (Cy5/Cy5.5) are close to each other ($d < 10$ nm). In addition, the ¹H NMR spectroscopy study (Supplementary Fig. S3) of the resulted products demonstrated the successful conjugation of OA molecules.

For comparison, fluorescence spectra of both the Cy5 and Cy5.5 labeled Dex-CHO was studied first and shown in Fig. 4c. The Cy5 labeled Dex-CHO (Cy5@Dex-CHO, donor) had strong fluorescence emission (Em) peak at 665 nm with an excitation wavelength (Ex) of 640 nm, while Dex-CHO labeled with Cy5.5 (Cy5.5@Dex-CHO, acceptor) showed a distinct emitted wavelength at 695 nm under exciting at 680 nm. In addition, no FRET phenomenon was detected from the mixture of Cy5@Dex-CHO and Cy5.5@Dex-CHO before reacting with OA sidechains. After grafting hydrophobic OA molecules, FRET signals of the reaction mixture were detected at selected time interval. As shown in Fig. 4d, a time-dependent fluorescence spectra of the reaction mixture (THF/H₂O system) were observed along with the formation of Dex-g-OA FRET micelles. Once excited at 640 nm, the strong Cy5 fluorescence at 665 nm weakened gradually after 1 h, and a shoulder peak of Cy5.5 at 690 nm appeared meanwhile and

enhanced dramatically after 4 h. At 24 h, a strong Cy5.5 fluorescence and weak Cy5 fluorescence of the reaction solution was observed, indicating that amphiphilic dextran formed via Schiff base reactions assembled into micelles and a strong FRET occurred. Similar FRET phenomenon was observed in the reaction system of CH₂Cl₂/H₂O (Supplementary Fig. S4). The FRET ratio (r) at different time intervals was calculated and listed in Supplementary Table S2. At the preliminary stage of the reaction, few amphiphilic polymers and corresponding micelle were formed, the calculated FRET ratio was 0.27 at 0.5 h. Once the Cy@Dex-g-OA FRET micelle formed *in situ* in the mixture, the FRET ratio increased from 0.38 at 1 h to 0.52 at 24 h. After evaporation of THF, nanosized micelle structures (Cy@Dex-g-OA) were clearly visible under SEM studies (Fig. 4b).

In situ SPIO loading

To investigate their performance as carrier systems, the *in situ* self-assembled Dex-g-OA micelles developed in this study were applied for iron oxide (Fe₃O₄) NPs loading. Since superparamagnetic Fe₃O₄ nanocrystal is a widely used MRI contrast agent, the resulted Fe₃O₄/micelle nanocomposite may find potential applications in biomedical imaging.

High-quality Fe₃O₄ nanocrystals are usually synthesized in organic phase at high temperatures for better control of particle size and morphology [4, 33], DLS (Fig. 5a) study indicated that Fe₃O₄ nanocrystals with narrow particle size distribution in hexane (7.1 ± 1.1 nm) were synthesized by thermal decomposition of Fe(acac)₃. The crystal diameter measured by selecting different representative areas under TEM was 5.6 ± 1.0 nm (30 particles), and lattice fringes were clearly visible in the high-resolution TEM (HRTEM) images. Fe₃O₄ nanoparticles were then successfully encapsulated into the hydrophobic core of Dex-g-OA micelles via the *in situ* process, leading to Fe₃O₄@Dex-g-OA nanocomposites well dispersed in water. Five different formulations with various mass ratio of Dex-CHO/Fe₃O₄ were tried for the phase transfer of

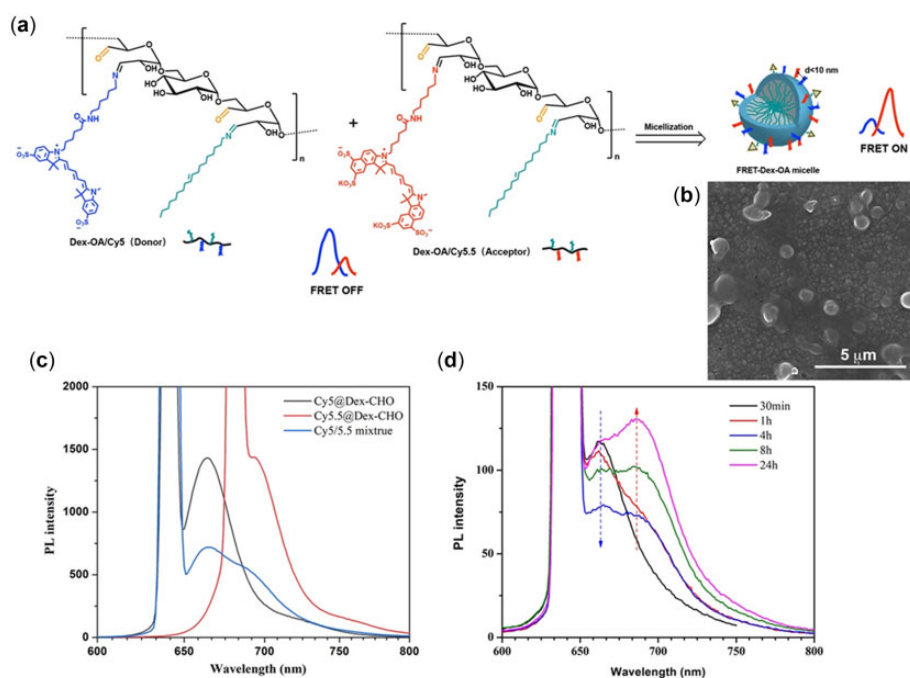


Figure 4. FRET study of the self-assembly behavior of Dex-g-OA. Schematic illustration (a) and SEM image (b) of Dex-g-OA FRET micelles; fluorescence spectra of the fluorophores pair (Cy5/Cy5.5) (c) and the reaction solution of THF/H₂O (d). (ex of Cy5@Dex-CHO and Cy5.5 mixture: 640 nm; ex of Cy5.5@Dex-CHO: 680 nm).

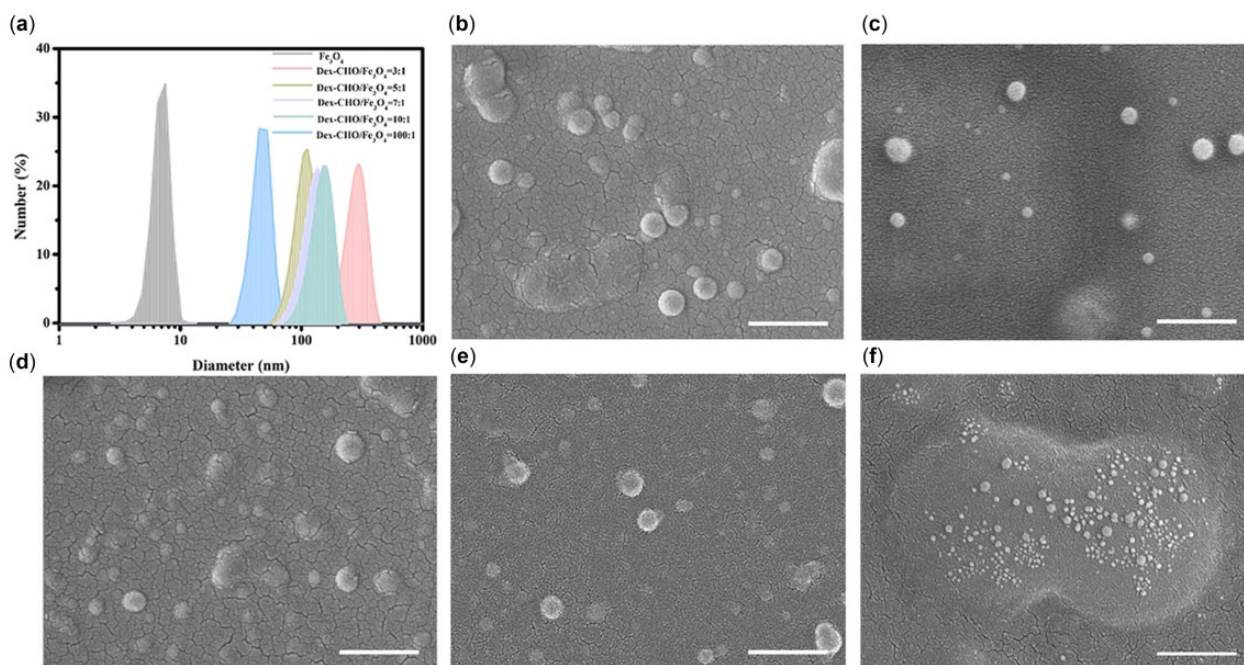


Figure 5. DLS (a) and SEM images of Fe_3O_4 @Dex-*g*-OA nanocomposites prepared with Dex-CHO/ Fe_3O_4 mass ratio of 3/1 (b), 5/1 (c), 7/1 (d), 10/1 (e) and 100/1 (f) (scale bar: 500 nm).

Table 1. DLS size, magnetization and T_2 relaxivities of the Fe_3O_4 @Dex-*g*-OA nanocomposites with different formulations

Entry	Dex-CHO/ Fe_3O_4 (m/m)	SD ^a of OA	Size (nm)	Magnetization (emu/ml sample)	T_2 relaxivity ($\text{mM}_{\text{Fe}}^{-1} \cdot \text{s}^{-1}$)
M1	3/1	29%	188.7 ± 38.0	1.97	139.2
M2	5/1	27%	93.4 ± 47.0	1.79	223.8
M3	7/1	25%	114.3 ± 26.7	1.31	285.2
M4	10/1	24%	116.2 ± 53.7	1.06	327.9
M5	100/1	23%	81.1 ± 30.0	-	-

^a Theoretical substitution degree of OA determined by feeding ratio.

Fe_3O_4 nanoparticles, SEM study (Fig. 5b–f) showed that all of these samples can form spherical nanoparticles in the reaction solutions, and Fe_3O_4 @Dex-*g*-OA nanocomposites prepared with Dex-CHO/ Fe_3O_4 mass ratio of 5/1, 7/1 and 10/1 showed higher stability. However, clearly visible sediment was observed after about 24 h in the solution prepared with Dex-CHO/ Fe_3O_4 mass ratio of 3/1, which may be caused by the aggregation of Fe_3O_4 @Dex-*g*-OA nanoparticles, as shown in the SEM study and Zeta potential study (Supplementary Fig. S5 and Table S3). In addition, nanoparticles with relatively smaller diameter were clearly observed in the SEM image (Fig. 5f) of sample prepared with Dex-CHO/ Fe_3O_4 mass ratio of 100/1, indicating that the excess Dex-*g*-OA molecules may lead to numerous Fe_3O_4 @Dex-*g*-OA nanocomposites with several Fe_3O_4 nanocrystal inside their micelle cores, which was proved by TEM study in Supplementary Fig. S6. EDS analysis (Supplementary Fig. S7) was used for further investigation of the elemental compositions of Fe_3O_4 @Dex-*g*-OA nanocomposites prepared with Dex-CHO/ Fe_3O_4 mass ratio of 5/1 (Supplementary Fig. S7a) and 7/1 (Supplementary Fig. S7b). Signals corresponding to the C, O and N element in the Dex-*g*-OA molecules, and Fe element from Fe_3O_4 NPs were identified.

Particle size distribution of the fresh prepared nanocomposites in aqueous solution was measured by DLS and is shown in Fig. 5a, and the corresponding average particle size (hydrodynamic diameter by number) was calculated. As shown in Table 1, particle size of

the Fe_3O_4 @Dex-*g*-OA nanocomposite is significantly larger than that of Fe_3O_4 particles, which was caused by the Dex-*g*-OA coating and multiple loaded Fe_3O_4 nanocrystals. TEM measurement of the resulted Fe_3O_4 @Dex-*g*-OA nanocomposite in Fig. 6 demonstrated that Fe_3O_4 particles were wrapped inside the micelles and packed densely as isolated clusters of multiple nanocrystals. Similar clustering of Fe_3O_4 nanocrystals encapsulated in polymeric micelles were commonly reported by previous publications, and particle size of the nanocomposites would increase with lower ratio of polymer/ Fe_3O_4 , since more Fe_3O_4 particles are assembled into clusters with less amount of amphiphilic polymers [34]. Interestingly, the DLS particles size of Fe_3O_4 @Dex-*g*-OA nanocomposites formed in this study with formulation M2, M3 and M4 (Dex-CHO/ Fe_3O_4 ratio: 5/1, 7/1 and 10/1) indicated a different profile by a larger particle diameter with increased polymer/ Fe_3O_4 ratio. Since Fe_3O_4 nanocrystals synthesized via thermal decomposition are covered with hydrophobic aliphatic chains from oleic acid and oleylamine, and those oleylamine molecules on the surface of Fe_3O_4 nanocrystals would react with Dex-CHO during the *in situ* Fe_3O_4 loading process, Dex-*g*-OA with different OA grafting ratio (as shown in Table 1, higher than 18% determined by ^1H NMR study of the pure Dex-*g*-OA micelle) would form in the resulted Fe_3O_4 @Dex-*g*-OA nanocomposites. Dex-*g*-OA with higher SD of OA side chains would self-assembled into polymeric micelles with smaller particle size, due to a stronger hydrophobic interaction between their

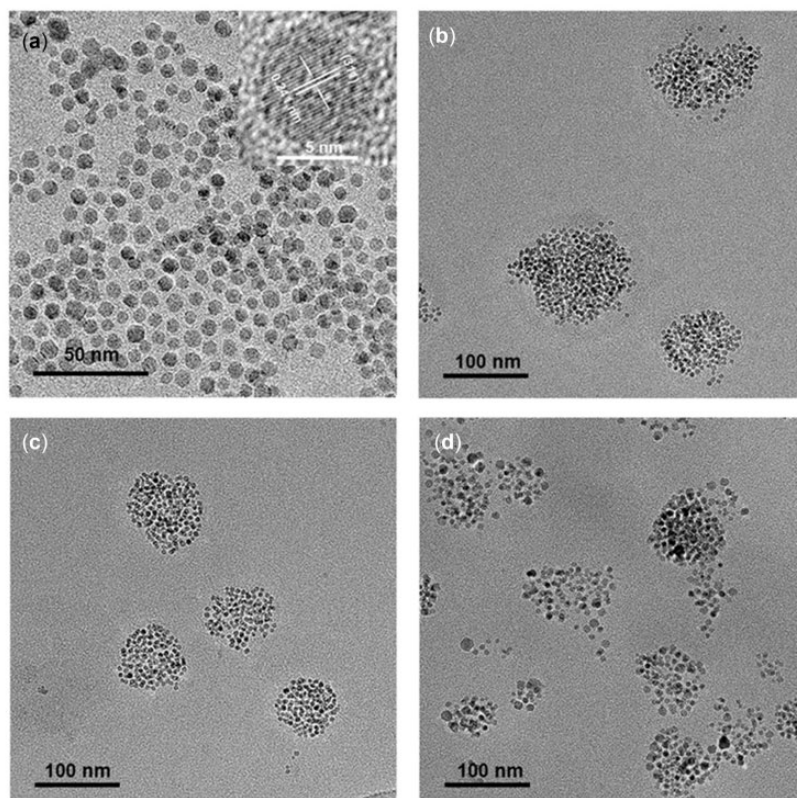


Figure 6. TEM images of Fe_3O_4 nanocrystals (a) and Fe_3O_4 @Dex-*g*-OA nanocomposites prepared with Dex-CHO/ Fe_3O_4 mass ratio of 5/1 (b), 7/1 (c) and 10/1 (d).

aliphatic side chains, which was commonly approved by previous publications focus on micelle structures formed by amphiphilic polysaccharides [12, 35, 36]. Therefore, Fe_3O_4 @Dex-*g*-OA nanocomposites prepared with formulation M4 showed a relatively larger diameter at 116.2 ± 53.7 nm, and particle size of Fe_3O_4 -loaded Dex-*g*-OA micelle decreased obviously compared with that of Dex-*g*-OA micelle without Fe_3O_4 loading.

Cytotoxicity and cell labeling

Cytotoxicity of the Fe_3O_4 @Dex-*g*-OA nanocomposites against HepG2 cells was evaluated by CCK-8 assay after incubation for 24 h (Supplementary Fig. S8). As shown in Supplementary Fig. S8a, cells treated with M1, M2, M3 and M4 showed no obvious cytotoxicity at the Fe concentration of $10 \mu\text{g}/\text{ml}$. At the same Fe concentration, however, Fe_3O_4 @Dex-*g*-OA nanocomposite M5 indicated relatively higher cytotoxicity due to a higher Dex-*g*-OA content. A possible reason for the toxic effects of Dex-*g*-OA at high concentration is the high content of aldehyde ($-\text{CHO}$), which are able to interact with electron-rich biological macromolecules and induce general toxicity [17]. In addition, CCK-8 results of M3 in Supplementary Fig. S8b indicated that no significant differences were found in the cellular proliferation between five concentrations. Thus, the Fe_3O_4 @Dex-*g*-OA nanocomposite M1, M2, M3 and M4 showed no obvious cytotoxicity on the tested cell line.

Cells were then labeled by simply adding Fe_3O_4 -loaded nanocomposites (M1, M2 and M3) to the culture medium at Fe concentrations of $10 \mu\text{g}/\text{ml}^{-1}$ with incubation time of 24 h. Compared with the blank control in Fig. 7a, HepG2 cells co-cultured with nanocomposite M1, M2 and M3 maintained normal flat and elongated shape, confirming the cytocompatibility of Fe_3O_4 @Dex-*g*-OA nanocomposites. Moreover, Prussian blue staining of the

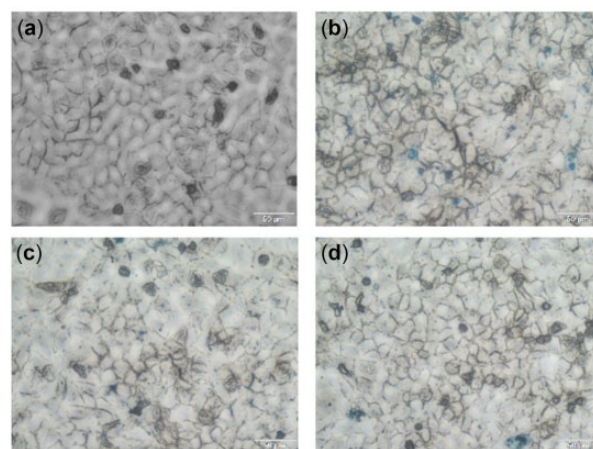


Figure 7. Optical microscopy images of HepG2 cells: without labeling (a), Prussian blue stains after labeling with Fe_3O_4 @Dex-*g*-OA nanocomposites M1 (b), M2 (c) and M3 (d).

formulation M1, M2 and M3 labeled cells show that M2 and M3 are mostly located in the cytoplasm and only a few on the cell surface (Fig. 7c and d), indicating an effective cell uptake of the tested nanocomposites. However, for M1, aggregates were mostly attached to the cell surface and were present in the background (Fig. 7b), and cannot be removed through washing, due to the instability, easy agglomeration and large micelle size of M1.

Magnetization and T_2 relaxivity

Iron oxide nanoparticles with particle size smaller than 20 nm are known to exhibit unique superparamagnetic properties and

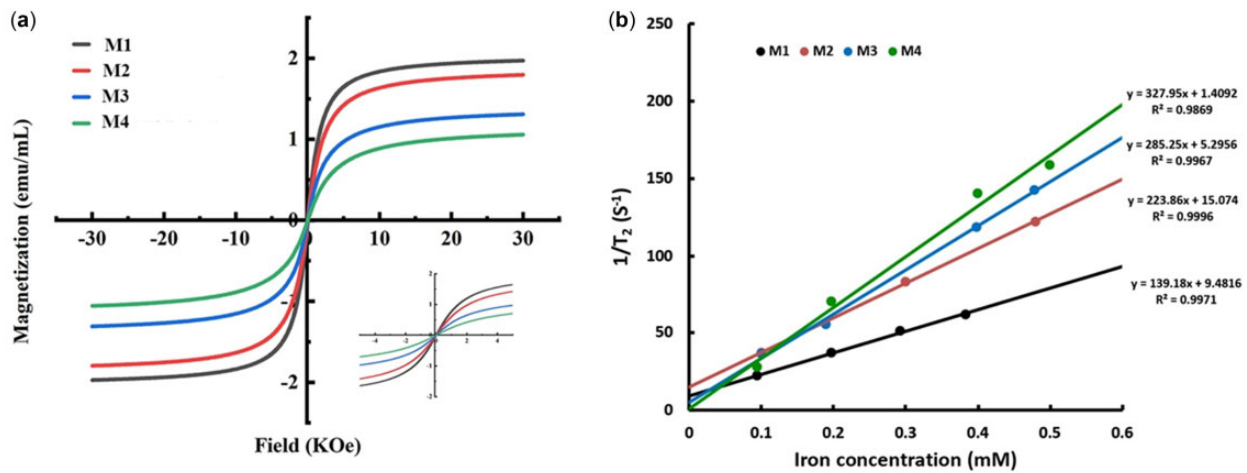


Figure 8. (a) Hysteresis loops measured at 300 K (inset shows a zoomed-in plot between -5 and 5 kOe magnetic field) and (b) T_2 relaxation rate ($1/T_2$, s^{-1}) as a function of Fe concentration (mM) for the $Fe_3O_4@Dex-g-OA$ nanocomposites.

have long been researched as MRI contrast agents, which are strong enhancers of proton relaxation with superior MR transverse relaxation (T_2) shortening effects [37, 38]. Interestingly, clusters of multiple SPIO nanoparticles were reported to show similar magnetic properties, and much stronger T_2 effects were identified compared with single SPIO particle [6, 39]. Therefore, the magnetic properties of $Fe_3O_4@Dex-g-OA$ nanocomposites developed in this study were measured and their functions as MRI contrast agent were evaluated.

The field-dependent magnetizations of $Fe_3O_4@Dex-g-OA$ nanocomposites in aqueous solution were measured and shown in Fig. 8a, which indicated that the net magnetization of all these samples returned to zero in the absence of an external magnetic field. Typical superparamagnetism of the $Fe_3O_4@Dex-g-OA$ nanocomposites with formulations M1, M2, M3 and M4 were identified by the magnetization measurement. The saturation magnetization (M_s) was detected under a large external magnetic field while the Fe_3O_4 nanocrystals align with the field direction. The M_s values decreased obviously from 1.97, 1.79, 1.31 to 1.06 $emu\ ml^{-1}$ of samples with the $Dex-CHO/Fe_3O_4$ mass ratio increased from 3/1 to 10/1. According to previous publications [40–42], the non-magnetic materials coating of iron oxide nanocrystals may result in the decrease of M_s values, which were supposed as magnetically ineffective layers on the particle surface. Similarly, the higher content of amphiphilic polymers (Dex-g-OA) in the $Fe_3O_4@Dex-g-OA$ nanocomposites may lead to more magnetically ineffective layer on their particle surface, and hence a decreased M_s value.

To understand how these $Fe_3O_4@Dex-g-OA$ nanocomposites performed as MRI contrast agents, the T_2 relaxivities (r_2) of formulation M1, M2, M3 and M4 were measured at 0.5 T using an NMR relaximetry. The T_2 relaxivity represents their net effectiveness of shortening the T_2 (spin-spin) relaxation time and is usually determined by the slope of the linear plot of $1/T_2$ against Fe concentration, with units of $mM_{Fe}^{-1}s^{-1}$. Figure 8b displayed the T_2 relaxivity of the $Fe_3O_4@Dex-g-OA$ nanocomposites, which indicated that nanocomposites M2, M3 and M4 have relatively higher r_2 value of 223.8, 285.2 and 327.9 $mM_{Fe}^{-1}s^{-1}$, respectively. Moreover, the T_2 relaxivity increased dramatically with the increasing particle size as shown in Table 1. It is assumed by Josephson et al. [43] that the enhancement of spin-spin relaxation indicates the ability of magnetic particles to distort the local magnetic field. Due to their larger magnetic moments per particle in solution, clusters of multiple SPIO nanocrystals with larger

diameter would distort the magnetic field in larger volumes of solvent. Similar phenomena were reported for SPIO-loaded mPEG-*b*-PCL and alkylated polyethylenimine micelles [37, 44]. However, a relatively lower r_2 value (139.2 $mM_{Fe}^{-1}s^{-1}$) of nanocomposite M1 was detected, which may be caused by the aggregations and precipitation of $Fe_3O_4@Dex-g-OA$ particles with lower stability during the testing process.

Conclusions

An *in situ* self-assembly method was developed for the facile preparation of amphiphilic dextran micelles, based on the Schiff base reactions between oxidized dextran and oleylamine in aqueous solutions. The self-assembling behavior of the amphiphilic dextran derivative was identified using FRET technique. Hydrophobic SPIO NPs were then successfully encapsulated into the dextran micelles via the *in situ* self-assembly process, leading to a series of superparamagnetic nanocomposites with good biocompatibility and strongly enhanced T_2 relaxivity. Notably, the residual aldehyde groups on the dextran micelle are available for covalent conjugations of functional ligands and allow facile design of multifunctional nanoplatforms in biomedical imaging.

Supplementary data

Supplementary data are available at REGGIO online.

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Conflict of interest statement. The authors declare no conflict interest.

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